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**Return of Private Foundation**

**2013**

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
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Open to Public Inspection

For calendar year 2013 or tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

Name of foundation: **JAMES M. COLLINS FOUNDATION**

Number and street (or P O box number if mail is not delivered to street address): **8115 PRESTON ROAD**

Room/suite: **680**

City or town, state or province, country, and ZIP or foreign postal code: **DALLAS, TX 75225**

A Employer identification number: **75-6040743**

B Telephone number: **(214) 691-2032**

C If exemption application is pending, check here

D 1 Foreign organizations, check here   
2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply:  
 Initial return  
 Final return  
 Address change  
 Initial return of a former public charity  
 Amended return  
 Name change

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  
 Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16): **\$ 18,329,401.** (Part I, column (d) must be on cash basis)

J Accounting method:  Cash  Accrual  
 Other (specify)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		9,093.	9,093.		STATEMENT 1
4 Dividends and interest from securities		12,662.	12,662.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		344,824.			
b Gross sales price for all assets on line 6a		344,824.			
7 Capital gain net income (from Part IV, line 2)			344,824.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		5,575.	5,575.		STATEMENT 3
12 Total Add lines 1 through 11		372,154.	372,154.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees					
17 Interest		6.	6.		0.
18 Taxes <b>STMT 4</b>		453.	453.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses <b>STMT 5</b>		28,546.	28,546.		0.
24 Total operating and administrative expenses Add lines 13 through 23		29,005.	29,005.		0.
25 Contributions, gifts, grants paid		803,678.			803,678.
26 Total expenses and disbursements Add lines 24 and 25		832,683.	29,005.		803,678.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-460,529.			
b Net investment income (if negative, enter -0-)			343,149.		
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED NOV 26 2014 Revenue

Operating and Administrative Expenses

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13

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	902,301.	-8,777.	-8,780.
	3 Accounts receivable ▶ 1,125,980.			
	Less: allowance for doubtful accounts ▶		1,125,980.	1,125,980.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	55,861.	-694,139.	9,582,328.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 8	6,799,556.	6,871,102.	7,629,873.	
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ )				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	7,757,718.	7,294,166.	18,329,401.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ )			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,660,573.	1,660,573.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	6,097,145.	5,633,593.		
30 Total net assets or fund balances	7,757,718.	7,294,166.		
31 Total liabilities and net assets/fund balances	7,757,718.	7,294,166.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,757,718.
2 Enter amount from Part I, line 27a	2	-460,529.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	7,297,189.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	3,023.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	7,294,166.

**Part IV Capital Gains and Losses for Tax on Investment Income**

1a	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
b	SEE ATTACHED STATEMENT			
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	344,824.		344,824.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			344,824.

2	Capital gain net income or (net capital loss) $\left\{ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter -0- in Part I, line 7} \end{array} \right\}$	2	344,824.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	816,655.	17,191,186.	.047504
2011	915,770.	18,241,674.	.050202
2010	948,200.	18,395,748.	.051545
2009	1,109,143.	17,955,511.	.061772
2008	1,187,663.	23,239,155.	.051106

2	Total of line 1, column (d)	2	.262129
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.052426
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	17,483,619.
5	Multiply line 4 by line 3	5	916,596.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,431.
7	Add lines 5 and 6	7	920,027.
8	Enter qualifying distributions from Part XII, line 4	8	803,678.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	6,863.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	6,863.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	6,863.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	54.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed <b>SEE STATEMENT 9</b>	9	6,917.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>BOBBY CHALMERS</u> Telephone no. ► <u>(214) 691-2032</u> Located at ► <u>8115 PRESTON ROAD, SUITE 680, DALLAS, TX</u> ZIP+4 ► <u>75225</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u> N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____, _____, _____		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ► _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here  N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DOROTHY DANN COLLINS TORBERT 8115 PRESTON ROAD, SUITE 680 DALLAS, TX 75225	PRESIDENT 0.00	0.	0.	0.
MICHAEL COLLINS 8115 PRESTON ROAD, SUITE 680 DALLAS, TX 75225	VICE PRES 0.00	0.	0.	0.
DOROTHY COLLINS WEAVER 13643 DEERING BAY CORAL GABLES, FL 33158	SECRETARY 0.00	0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3 ▶ 0.

**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	17,070,767.
b	Average of monthly cash balances	1b	679,100.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	17,749,867.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	17,749,867.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	266,248.
5	<b>Net value of noncharitable-use assets</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	17,483,619.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	874,181.

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	874,181.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	6,863.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	6,863.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	867,318.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	867,318.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	867,318.

**Part XII**

**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	803,678.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:			
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	803,678.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	<b>Adjusted qualifying distributions</b> Subtract line 5 from line 4	6	803,678.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				867,318.
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only			771,272.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2013:				
<b>a</b> From 2008				
<b>b</b> From 2009				
<b>c</b> From 2010				
<b>d</b> From 2011				
<b>e</b> From 2012				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 803,678.				
<b>a</b> Applied to 2012, but not more than line 2a			771,272.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2013 distributable amount				32,406.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				834,912.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2009				
<b>b</b> Excess from 2010				
<b>c</b> Excess from 2011				
<b>d</b> Excess from 2012				
<b>e</b> Excess from 2013				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

- 1 **Information Regarding Foundation Managers:**
- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )
- NONE**
- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**SEE STATEMENT 12**

- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.
- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**SEE STATEMENT 13**

- b The form in which applications should be submitted and information and materials they should include:
- c Any submission deadlines:
- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
SEE ATTACHED SCHEDULE	NONE	PUBLIC CHARITIES	TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS.	803,678.
<b>Total</b>			▶ 3a	803,678.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			▶ 3b	0.





**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS V, LP	P		
b COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS V, LP	P		
c COMMONFUND CAPITAL VENTURE PARTNERS VI, LP	P		
d COMMONFUND CAPITAL VENTURE PARTNERS VI, LP	P		
e ENDOWMENT VENTURE PARTNERS V, LP	P		
f ENDOWMENT VENTURE PARTNERS V, LP	P		
g COLLINS ALTERNATIVE SOLUTIONS	P		
h			
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,735.			1,735.
b 58,027.			58,027.
c 1,321.			1,321.
d 233,735.			233,735.
e 1,564.			1,564.
f 37,682.			37,682.
g 10,760.			10,760.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,735.
b			58,027.
c			1,321.
d			233,735.
e			1,564.
f			37,682.
g			10,760.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	344,824.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

10/31/2014  
09:23 AM

THE JAMES M. COLLINS FOUNDATION  
FORM 990 PF RETURN OF PRIVATE FOUNDATION

EIN 75-6040743  
2013

SCHEDULE OF CONTRIBUTIONS AND AWARDS

04/29/2013	ACT		20,000.00
10/28/2013	AFP of Greater Dallas (A. Box)		1,000.00
03/15/2013	Alzheimers Assn		1,000.00
10/04/2013	Alzheimers (Friends of) UTSW		500.00
05/13/2013	American Diabetes		500.00
12/16/2013	American Lung Association		1,000.00
03/12/2013	Americares		500.00
12/16/2013	Arthritis Foundation Research		5,000.00
04/15/2013	Arthritis Foundation (Nancy O'Neal)		203.00
08/08/2013	Aspen Brain Forum		390.00
07/02/2013	Aspen Institute		3,000.00
07/22/2013	Aspen Institute		6,750.00
11/12/2013	Aspen Institute		6,000.00
01/03/2013	Athena		4,000.00
12/31/2013	Atlanta's Chidrens Shelter		100.00
12/31/2013	Atlanta International School		1,984.00
05/14/2013	Austin Street Center		1,000.00
01/25/2013	Baylor		50,000.00
08/08/2013	BHCSF/Celebrating Women		5,000.00
09/13/2013	Booker T. Washington (Nash Flores)		1,000.00
05/14/2013	Callier Cares		500.00
04/10/2013	Celebration in Reading (B Bush Family Literacy)		10,000.00
09/13/2013	Center for Brain Health		1,000.00
12/16/2013	Center for Brain Health		5,000.00
02/06/2013	Center for Longevity		2,000.00
05/14/2013	Chiapas International		500.00
12/16/2013	Children's Chorus of Greater Dallas		1,000.00
12/31/2013	Children's Health Care of Atlanta		2,000.00
05/14/2013	Children's Medical Center		1,000.00
12/31/2013	Christ Church		2,000.00
12/31/2013	Colorado College		1,874.00
09/16/2013	Combat Veterans Assn.		2,500.00
03/12/2013	Communities in Schools Miami		5,000.00
03/12/2013	Communities in Schools Miami		5,000.00
09/13/2013	Cooper Institute (Hunt/O'Donnell)		1,000.00
10/28/2013	Council on Life (Donosky/Humphrey)		1,000.00
10/04/2013	Crystal Charity Ball		10,000.00
10/28/2013	Dallas Arboretum		1,000.00
12/16/2013	Dallas Challenge		1,000.00
05/14/2013	Dallas Challenge (Tim James)		1,000.00
11/25/2013	Dallas Heritage Village		750.00
12/16/2013	Dallas Historical Society		1,000.00
10/04/2013	Dallas Historical Society		500.00
02/01/2013	DMA Associates		2,000.00
03/15/2013	DSO Silver Scroll		7,500.00
12/16/2013	Educational Opportunities		600.00
04/03/2013	Equest		200.00
12/27/2013	Friends of Florence		22,660.00
10/16/2013	Friends of Florence		700.00
07/18/2013	Friends of Florence		12,000.00

10/28/2013	Friends of KERA-R...n Rogers	5,000.00
05/14/2013	Friends of the Dallas Public Library	500.00
10/04/2013	Friends of the Dallas Public Library-Billy Collins	1,000.00
12/31/2013	Friens of Alice Pendleton Library	250.00
12/18/2013	Frost School of Music	53,608.00
12/18/2013	Frost School of Music	50,000.00
12/31/2013	Frost School of Music (2014 p/p)	13,518.00
09/09/2013	Fund A Cure	1,000.00
12/16/2013	George Bush Presidential Center	1,000.00
12/16/2013	Goodwill Industries	20,000.00
12/31/2013	Harvard College Fund	5,000.00
12/31/2013	Hockaday	100.00
12/16/2013	Houston Methodist Hospital Foundation	5,000.00
08/09/2013	HP Presbyterian Church	2,000.00
12/31/2013	Institute of the Americas	10,000.00
12/31/2013	Islesboro Community Fund	250.00
12/31/2013	Islesboro Commuunity Center	2,500.00
12/31/2013	Islesboro Historical Society	100.00
04/15/2013	Islesboro Island School (\$20,000 due in '13)	5,000.00
07/18/2013	Islesboro School Music Program	500.00
08/28/2013	Jazz Aspen	1,100.00
03/18/2013	Junior League	1,000.00
02/01/2013	Junior League	275.00
12/16/2013	LIFT	1,000.00
12/16/2013	Macular Degeneration	1,400.00
12/31/2013	Maine Community Foundation	20,000.00
12/16/2013	March of Dimes	1,000.00
12/16/2013	Methodist Health System	1,000.00
04/15/2013	Miami Music Frost (Bal 2012)	2,216.14
12/31/2013	MIT-Charles Vest Memorial	10,000.00
02/13/2013	MOCA	7,000.00
12/16/2013	MOCA	3,000.00
11/25/2013	MOCA	200.00
09/13/2013	Montgomery Library-Greenhill	150.00
03/25/2013	Museum of Nature & Science	100,000.00
12/11/2013	Naples Children and Education FND	4,250.00
11/25/2013	National Psoriasis FND-Dr. Menter	1,000.00
02/06/2013	Non-Event Gala	500.00
10/04/2013	Old Red Museum	1,000.00
01/31/2013	Parkinson Voice	1,000.00
10/28/2013	Perot Museum-Night at the Museum	1,000.00
12/31/2013	Phillips Academy	5,000.00
12/16/2013	Planned Parenthood	1,000.00
04/15/2013	Planned Parenthood	500.00
01/10/2013	Prevent Blindness	1,000.00
01/14/2013	Pronto Beneficio	450.00
10/28/2013	Retina Foundation	500.00
03/15/2013	Salvation Army Luncheon	1,500.00
10/04/2013	Salvatrion Army Doint the Most Good (Margo Perot)	1,500.00
01/10/2013	Samaritan Medical-Naylor	750.00
09/09/2013	Santa Fe Community Foundation	500.00
09/09/2013	Santa Fe Community Homeless Shelter	500.00
09/09/2013	Santa Fe Food Depot	1,000.00
09/25/2013	Santa Fe Institute	50,000.00
09/09/2013	Santa Fe Public Radio	500.00

12/16/2013	Senior Source	10,000.00
03/15/2013	Smithsonian	350.00
12/16/2013	Smithsonian	25,000.00
08/14/2013	Smithsonian, Friends of	700.00
12/16/2013	Soup's On	1,000.00
12/16/2013	St. Johns School	25,000.00
09/13/2013	St. Paul Medical Foundation	500.00
10/28/2013	St. Phillips	1,000.00
04/22/2013	Texas Scottish Rite	1,000.00
09/13/2013	Texas Scottish Rite (Dyslexia)	800.00
07/18/2013	The Beacon Project (Boardman)	5,000.00
01/30/2013	TWU	250.00
11/25/2013	Uplift Education-mem Philip Montgomery	1,000.00
03/15/2013	UTSW-Dr. Grundy	1,000.00
03/25/2013	UTSW Annual Fund	1,000.00
01/25/2013	UTSW Texana Fisher	100,000.00
03/18/2013	VNA	500.00
12/16/2013	VNA Hospice	1,000.00
04/03/2013	Volunteer Center of NT	1,000.00
06/03/2013	Wellesley '68	1,000.00
11/04/2013	Wellesley College	10,000.00
09/09/2013	Wellesley Student Aid	250.00
10/04/2013	West Dallas Community School	1,000.00
04/22/2013	YWCA of Metro Dallas	5,000.00
	TOTAL 2013 GIFTS	803,678.14

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
COLLINS ALTERNATIVE SOLUTIONS COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS V, LP	5,563.	5,563.	
COMMONFUND CAPITAL VENTURE PARTNER VI, LP	1,482.	1,482.	
ENDOWMENT VENTURE PARTNERS V LP	755.	755.	
JPMORGAN	519.	519.	
	774.	774.	
TOTAL TO PART I, LINE 3	9,093.	9,093.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS V, LP	9,493.	0.	9,493.	9,493.	
COMMONFUND CAPITAL VENTURE PARTNER VI, LP	1,989.	0.	1,989.	1,989.	
ENDOWMENT VENTURE PARTNERS V LP	1,180.	0.	1,180.	1,180.	
TO PART I, LINE 4	12,662.	0.	12,662.	12,662.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ENDOWMENT VENTURE PARTNERS V, LP PARTNERSHIP INCOME-ENDOWMENT VENTURE PARTNERS V, LP	1,362.	1,362.	
PARTNERSHIP INCOME-COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS V, LP	143.	143.	
	1,717.	1,717.	

COMMONFUND CAPITAL VENTURE PARTNERS VI, LP	2,169.	2,169.
COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS V, LP	353.	353.
PARTNERSHIP INCOME-COMMONFUND CAPITAL VENTURE PARTNERS VI, LP	-169.	-169.
<b>TOTAL TO FORM 990-PF, PART I, LINE 11</b>	<b>5,575.</b>	<b>5,575.</b>

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD ON INCOME FROM PASSTHROUGHS	351.	351.		0.
FOREIGN TAX ACCRUED ON INCOME FROM PASSTHROUGHS	102.	102.		0.
<b>TO FORM 990-PF, PG 1, LN 18</b>	<b>453.</b>	<b>453.</b>		<b>0.</b>

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,700.	2,700.		0.
BANK CHARGES	225.	225.		0.
PASS THROUGH EXPENSES	5,960.	5,960.		0.
PASS THROUGH EXPENSES	12,398.	12,398.		0.
PASS THROUGH EXPENSES	7,263.	7,263.		0.
<b>TO FORM 990-PF, PG 1, LN 23</b>	<b>28,546.</b>	<b>28,546.</b>		<b>0.</b>

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 6

DESCRIPTION	AMOUNT
NON DEDUCTIBLE FEDERAL TAXES & PENALTIES	3,023.
TOTAL TO FORM 990-PF, PART III, LINE 5	3,023.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COLLINS CAPITAL DIVERSIFIED FUND OFFSHORE I, LTD.	-694,139.	9,582,328.
TOTAL TO FORM 990-PF, PART II, LINE 10B	-694,139.	9,582,328.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ENDOWMENT VENTURE PARTNERS V LP	COST	231,953.	265,719.
COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS V LP	COST	196,947.	303,678.
COMMONFUND CAPITAL VENTURE PARTNERS VI LP	COST	400,805.	483,410.
COLLINS ALTERNATIVE SOLUTIONS	COST	6,041,397.	6,577,066.
TOTAL TO FORM 990-PF, PART II, LINE 13		6,871,102.	7,629,873.

FORM 990-PF INTEREST AND PENALTIES STATEMENT 9

TAX DUE FROM FORM 990-PF, PART VI	6,863.
UNDERPAYMENT PENALTY	54.
LATE PAYMENT INTEREST	105.
LATE PAYMENT PENALTY	206.
TOTAL AMOUNT DUE	7,228.

FORM 990-PF LATE PAYMENT PENALTY STATEMENT 10

DESCRIPTION	DATE	AMOUNT	BALANCE	MONTHS	PENALTY
TAX DUE	05/15/14	6,863.	6,863.	6	206.
DATE FILED	11/15/14		6,863.		
TOTAL LATE PAYMENT PENALTY					206.

FORM 990-PF LATE PAYMENT INTEREST STATEMENT 11

DESCRIPTION	DATE	AMOUNT	BALANCE	RATE	DAYS	INTEREST
TAX DUE	05/15/14	6,863.	6,863.	.0300	184	105.
DATE FILED	11/15/14		6,968.			
TOTAL LATE PAYMENT INTEREST						105.

FORM 990-PF PART XV - LINE 1B LIST OF FOUNDATION MANAGERS STATEMENT 12

NAME OF MANAGER  
 DOROTHY DANN COLLINS TORBERT  
 MICHAEL COLLINS  
 DOROTHY COLLINS WEAVER

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 13

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JAMES M. COLLINS FOUNDATION  
8115 PRESTON ROAD SUITE 680  
DALLAS, TX 75225

TELEPHONE NUMBER

214-691-2032

FORM AND CONTENT OF APPLICATIONS

THERE IS NO SPECIAL FORM FOR REQUESTS OF CONTRIBUTIONS OR GIFTS FOR PUBLIC CHARITABLE PURPOSES, WHICH INCLUDES CHARITABLE, SCIENTIFIC, LITERARY, RELIGIOUS AND EDUCATIONAL PUBLIC PURPOSES. A SIMPLE LETTER SETTING FORTH PUBLIC PURPOSE IS SUFFICIENT. THERE IS NO RESTRICTION ON GEOGRAPHICAL AREAS. THERE ARE DOLLAR LIMITATIONS DEPENDING ON AVAILABILITY OF FUNDS AND THE CHOICE OF THE TRUSTEES OF THE PROJECT THAT MOST BENEFITS THE PUBLIC CHARITABLE PURPOSE.

ANY SUBMISSION DEADLINES

REQUESTS ARE RECEIVED ANYTIME. NOTICE OF APPROVAL, REJECTION, AND REQUEST FOR ADDITIONAL INFORMATION IS MADE WITHIN A REASONABLE TIME.

RESTRICTIONS AND LIMITATIONS ON AWARDS

THERE IS NO RESTRICTION ON GEOGRAPHICAL AREAS. CONTRIBUTIONS, GIFTS, AND AWARDS ARE MADE EXCLUSIVELY IN AID OF PUBLIC CHARITABLE PURPOSES. THIS INCLUDES SUCH CHARITABLE, SCIENTIFIC, LITERARY, RELIGIOUS, AND EDUCATIONAL PUBLIC PURPOSES AS THE TRUSTEES SELECT FROM TIME TO TIME AND NOT FOR ANY OTHER PURPOSES. NO SCHOLARSHIPS OR LOANS OR GRANTS FOR FURTHER STUDIES AND RESEARCH ARE MADE TO INDIVIDUALS. THE FOUNDATION MAKES CONTRIBUTIONS TO SOME PRE-SELECTED ORGANIZATIONS. AWARD PROGRAMS WOULD BE PRE-SELECTED DEPENDING ON THE PUBLIC NEED.

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box  **X**

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. <b>JAMES M. COLLINS FOUNDATION</b>	Employer identification number (EIN) or <b>75-6040743</b>
	Number, street, and room or suite no. If a P.O. box, see instructions. <b>8115 PRESTON ROAD, NO. 680</b>	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>DALLAS, TX 75225</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

**BOBBY CHALMERS**

• The books are in the care of  **8115 PRESTON ROAD, SUITE 680 - DALLAS, TX 75225**

Telephone No.  **(214) 691-2032** Fax No.  **214-691-7886**

• If the organization does not have an office or place of business in the United States, check this box

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014**.

5 For calendar year **2013**, or other tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.

6 If the tax year entered in line 5 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

7 State in detail why you need the extension  
**TAXPAYER NEEDS ADDITIONAL TIME TO GATHER DATA NECESSARY TO PREPARE AN ACCURATE RETURN. CERTAIN FORM K-1S HAVE NOT BEEN ISSUED OR RECEIVED BY TAXPAYER**

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  *Dumca Lyndell* Title  **CFA**

Date  **7/21/14**