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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
PATE FOUNDATION

A Employer identification number
75-6036779

Number and street (or P O box number if mail is not delivered to street address) Room/suite
1227 W MAGNOLIA NO 420

B Telephone number (see instructions)
(817) 922-9566

City or town, state or province, country, and ZIP or foreign postal code
FORT WORTH, TX 76104

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 3,607,609

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	6	6		
	4	Dividends and interest from securities	77,182	77,182		
	5a	Gross rents				
	b	Net rental income or (loss) ________				
	6a	Net gain or (loss) from sale of assets not on line 10	108,549			
	b	Gross sales price for all assets on line 6a 1,137,882				
	7	Capital gain net income (from Part IV, line 2)		108,549		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	25,432	0		
	12	**Total.** Add lines 1 through 11	211,169	185,737		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	4,957	0		4,957
	c	Other professional fees (attach schedule)	14,983	14,983		0
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	9,795	1,430		6,389
	19	Depreciation (attach schedule) and depletion	4,954	0		
	20	Occupancy	17,500	0		17,500
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	11,927	2		11,925
	24	**Total operating and administrative expenses.** Add lines 13 through 23	64,116	16,415		40,771
	25	Contributions, gifts, grants paid	102,500			102,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	166,616	16,415		143,271
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	44,553			
	b	**Net investment income** (if negative, enter -0-)		169,322		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	360,489	249,558	249,558
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges	2,760	784	784
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	1,017,434	1,335,660	2,087,692
c	Investments—corporate bonds (attach schedule)	526,529	476,457	479,868
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	726,466	620,663	719,738
14	Land, buildings, and equipment basis ▶ 219,202 Less accumulated depreciation (attach schedule) ▶ 149,233	74,923	69,969	69,969
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,708,601	2,753,091	3,607,609
Liabilities				
17	Accounts payable and accrued expenses	1,473	1,473	
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	1,473	1,473	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds	0	0	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	250,786	250,786	
29	Retained earnings, accumulated income, endowment, or other funds	2,456,342	2,500,832	
30	**Total net assets or fund balances** (see page 17 of the instructions)	2,707,128	2,751,618	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,708,601	2,753,091	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,707,128
2	Enter amount from Part I, line 27a	2	44,553
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	2,751,681
5	Decreases not included in line 2 (itemize) ▶	5	63
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,751,618

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	108,549
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	31,852	2,726,503	0 011682
2011	44,671	2,778,424	0 016078
2010	68,169	1,844,904	0 036950
2009	71,787	502,762	0 142785
2008	65,433	454,608	0 143933

2	**Total** of line 1, column (d)	2	0 351428
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 070286
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	3,134,320
5	Multiply line 4 by line 3	5	220,299
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,693
7	Add lines 5 and 6	7	221,992
8	Enter qualifying distributions from Part XII, line 4	8	143,271

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ___________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	3,386
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	3,386
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	3,386
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	784		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	784
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	22
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	2,624
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶PATE FOUNDATION Telephone no ▶(817) 922-9566

Located at ▶1227 W MAGNOLIA SUITE 420 FORT WORTH TX ZIP+4 ▶76104

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*.		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). . . ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? . . .	**5b**		No
	Organizations relying on a current notice regarding disaster assistance check here. . . ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . .	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . .	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
C PATRICK PATE 1227 W MAGNOLIA SUITE 420 FORT WORTH, TX 76104	PRESIDENT 5 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. . . ▶	0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE PATE FOUNDATION GRANTS EDUCATIONAL SCHOLARSHIPS	75,000
2 THE PATE FOUNDATION MAKES GRANTS TO OTHER SEC 501(C)(3) ORGANIZATIONS FOR CHARITABLE AND EDUCATIONAL PURPOSES	27,500
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	3,102,860
b	Average of monthly cash balances		1b	79,191
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	3,182,051
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	3,182,051
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	47,731
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	3,134,320
6	**Minimum investment return.** Enter 5% of line 5		6	156,716

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	156,716
2a	Tax on investment income for 2013 from Part VI, line 5	2a 3,386		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	3,386
3	Distributable amount before adjustments Subtract line 2c from line 1		3	153,330
4	Recoveries of amounts treated as qualifying distributions		4	0
5	Add lines 3 and 4		5	153,330
6	Deduction from distributable amount (see instructions)		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	153,330

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	143,271
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	143,271
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	143,271

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				153,330
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			102,497	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 143,271				
a Applied to 2012, but not more than line 2a			102,497	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				40,774
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				112,556
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

C PATRICK PATE
777 MAIN STREET SUITE 3250
FORT WORTH,TX 76102
(817) 922-9566

b The form in which applications should be submitted and information and materials they should include

APPLICATIONS SHOULD BE MADE IN WRITING WITH THE AMOUNT OF ASSISTANCE REQUESTED AND AN EXPLANATION OF THE NEED FOR FINANCIAL ASSISTANCE APPLICATIONS FOR EDUCATIONAL ASSISTANCE SHOULD INCLUDE RECENT TRANSCRIPTS, PHOTO AND PERSONAL REFERENCES EDUCATIONAL AWARDS ARE BASED ON GRADES, EXTRA-CURRICULAR SCHOOL ACTIVITIES, CIVIC ACTIVITIES, MORAL CHARACTER AND FINANCIAL NEED

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO SON OR DAUGHTER OF ANY EMPLOYEE OF TEXAS REFINERY CORPORATION OR ITS RELATED COMPANIES CAN BE ELIGIBLE FOR AN AWARD

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				102,500
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	6	
4 Dividends and interest from securities			14	77,182	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			01	24,602	
8 Gain or (loss) from sales of assets other than inventory			18	108,549	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a CEDAR FAIR, L P	525990	830			
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		830		210,339	0

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 211,169

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2014-04-30 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
ROBERT W DUKE				P00151313
Firm's name ▶ SPROLES WOODARD LLP			Firm's EIN ▶ 75-0807999	
Firm's address ▶ 777 MAIN STREET SUITE 3250 FORT WORTH, TX 761025304			Phone no (817) 332-1328	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
ADOBE SYSTEMS INC COM - 47 0000 SHS	P	2012-04-20	2013-04-10
CEPHEID INC COM - 24 0000 SHS	P	2012-04-20	2013-03-13
CEPHEID INC COM - 17 0000 SHS	P	2012-07-27	2013-07-15
CEPHEID INC COM - 5 0000 SHS	P	2012-07-27	2013-07-16
CEPHEID INC COM - 24 0000 SHS	P	2012-04-20	2013-07-17
CITRIX SYSTEMS INC COM - 19 0000 SHS	P	2012-05-29	2013-05-24
DOLLAR GENERAL CORP COM - 21 0000 SHS	P	2012-06-07	2013-01-07
EDWARDS LIFESCIENCES CORP COM - 22 0000 SHS	P	2013-07-02	2013-11-20
ELI LILLY CO COM - 45 0000 SHS	P	2012-11-29	2013-10-03
FRESH MARKET INC COM - 49 0000 SHS	P	2012-06-18	2013-03-07
MICROSOFT CORP COM - 43 0000 SHS	P	2012-07-27	2013-07-25
NETAPP INC COM - 39 0000 SHS	P	2013-05-16	2013-10-21
NETAPP INC COM - 66 0000 SHS	P	2012-01-15	2013-11-08
SILICON LABORATORIES INC COM - 17 0000 SHS	P	2013-01-31	2013-09-05
SILICON LABORATORIES INC COM - 20 0000 SHS	P	2013-01-31	2013-09-06
WAL MART STORES INC COM - 22 0000 SHS	P	2013-01-23	2013-02-19
BHP BILLITON LTD-SPON ADR - 21 0000 SHS	P	2011-11-25	2013-03-19
BIOGEN IDEC INC COM - 4 0000 SHS	P	2012-02-13	2013-06-20
CEPHEID INC COM - 10 0000 SHS	P	2012-04-20	2013-07-12
CEPHEID INC COM - 6 0000 SHS	P	2012-04-20	2013-07-15
CHART INDS INC COM - 20 0000 SHS	P	2012-09-27	2013-11-01
D R HORTON INC - 108 0000 SHS	P	2012-04-10	2013-10-24
DIRECTV COM - 6 0000 SHS	P	2011-12-20	2013-08-21
DIRECTV COM - 110000 SHS	P	2011-12-20	2013-08-22
DOLLAR GENERAL CORP COM - 23 0000 SHS	P	2011-12-07	2013-01-07
EDWARDS LIFESCIENCES CORP COM - 15 0000 SHS	P	2012-01-15	2013-01-20
F5 NETWORKS INC COM - 14 0000 SHS	P	2011-06-14	2001-01-02
FROST LOW DURATION BOND FD I - 3224 6060 SHS	P	2013-06-27	2013-11-15
FROST NATURAL RESOURCES INST FUND - 1905 6740 SHS	P	2012-02-09	2013-11-15
FROST TOTAL RETURN BOND FUND INSTL - 6151 7220 SHS	P	2012-06-14	2013-01-15

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ILLUMINA INC COM - 33 0000 SHS	P	2012-06-14	2013-07-12
ILLUMINA INC COM - 5 0000 SHS	P	2012-06-14	2013-11-04
LINCOLN ELECTRIC HOLDINGS INC COM - 22 0000 SHS	P	2011-06-01	2013-04-08
LINCOLN ELECTRIC HOLDINGS INC COM - 23 0000 SHS	P	2011-06-01	2013-04-09
LINCOLN ELECTRIC HOLDINGS INC COM - 14 0000 SHS	P	2011-06-01	2013-04-10
MEAD JOHNSON NUTRITION CO COM CL A - 20 0000 SHS	P	2011-08-25	2013-01-25
METLIFE INC COM - 75 0000 SHS	P	2011-11-25	2013-09-20
MICROSOFT CORP COM - 50 0000 SHS	P	2012-05-09	2013-07-25
TERADATA CORP COM - 25 0000 SHS	P	2012-12-06	2013-12-12
ULTA SALON COSMETICS FRAG COM - 32 0000 SHS	P	2011-06-15	2013-12-09
VMWARE INC COM - 15 0000 SHS	P	2011-05-02	2013-01-30
ALLERGAN INC COM - 27 0000 SHS	P	2009-06-26	2013-06-07
ANADARKO PETROLEUM CORP COM - 179990 SHS	P	2009-12-14	2013-04-12
COCA COLA CO COM - 580000 SHS	P	2009-07-07	2013-01-23
DIRECTV COM - 470000 SHS	P	2010-07-07	2013-08-21
HARTFORD FLOATING RATE FUND CL I - 479 3440 SHS	P	2011-11-29	2013-11-15
IVY HIGH INCOME FUND CLASS I - 300133539 SHS	P	2011-03-23	2013-02-06
MAINSTAY CONVERTIBLE FUND I - 2688750 SHS	P	2009-08-27	2013-01-15
ORACLE CORPORATION - 56 0000 SHS	P	2009-06-26	2013-07-02
POTASH CORP SASK INC COM - 67 0000 SHS	P	2010-11-05	2013-03-04
ROCKWELL AUTOMATION INC COM - 17 0000 SHS	P	2010-01-13	2013-04-15
ROCKWELL AUTOMATION INC COM - 3 0000 SHS	P	2010-01-13	2013-04-16
TEMPLETON GLOBAL BOND FUND - 1925 7830 SHS	P	2011-03-23	2013-11-15
GLAXO WELLCOME PLC SPONSORED ADR - 30 SHS	P	2012-04-10	2013-01-03
GLAXO WELLCOME PLC SPONSORED ADR - 34 SHS	P	2012-04-10	2013-01-04
SPDR GOLD TR GOLD SHS ETF - 1 SHS	P	2012-12-20	2013-01-07
AMAZON COM INC - 1 SHS	P	2012-04-19	2013-01-08
AMAZON COM INC - 5 SHS	P	2012-03-13	2013-01-08
BAIDU COM INC SPONSORED ADR REPSTG - 8 SHS	P	2012-04-19	2013-01-08
BAIDU COM INC SPONSORED ADR REPSTG - 2 SHS	P	2012-08-01	2013-01-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
BIOGEN IDEC INC - 3 SHS	P	2012-09-13	2013-01-08
BIOGEN IDEC INC - 3 SHS	P	2012-04-19	2013-01-08
EOG RES INC - 7 SHS	P	2012-08-01	2013-01-08
EOG RES INC - 5 SHS	P	2012-07-05	2013-01-08
LAS VEGAS SANDS CORP - 31 SHS	P	2012-10-01	2013-01-08
LINKEDIN CORP - 2 SHS	P	2012-10-01	2013-01-08
VISA INC CL A - 1 SHS	P	2012-08-01	2013-01-08
LAS VEGAS SANDS CORP - 10 SHS	P	2012-10-01	2013-01-25
LAS VEGAS SANDS CORP - 10 SHS	P	2012-10-01	2013-01-30
LAS VEGAS SANDS CORP - 11 SHS	P	2012-09-13	2013-01-30
LAS VEGAS SANDS CORP - 19 SHS	P	2012-07-05	2013-01-30
ISHARES SILVER TR ISHARES ETF - 1 SHS	P	2012-12-20	2013-01-31
LINKEDIN CORP - 7 SHS	P	2012-05-09	2013-02-08
LINKEDIN CORP - 12 SHS	P	2012-10-01	2013-02-08
LINKEDIN CORP - 5 SHS	P	2012-05-16	2013-02-08
MICHAEL KORS HLDGS LTD - 13 SHS	P	2012-03-13	2013-02-14
SPDR GOLD TR GOLD SHS ETF - 1 SHS	P	2012-12-20	2013-02-21
ISHARES SILVER TR ISHARES ETF - 1 SHS	P	2012-12-20	2013-02-28
LINKEDIN CORP - 5 SHS	P	2012-05-09	2013-02-28
LINKEDIN CORP - 4 SHS	P	2012-05-09	2013-03-01
LINKEDIN CORP - 11 SHS	P	2012-05-09	2013-03-07
SPDR GOLD TR GOLD SHS ETF - 1 SHS	P	2012-12-20	2013-03-12
LULULEMON ATHLETICA INC - 13 SHS	P	2013-01-08	2013-03-21
ISHARES SILVER TR ISHARES ETF - 1 SHS	P	2012-12-20	2013-03-31
CELGENE CORP COM - 8 SHS	P	2012-05-22	2013-04-03
SPDR GOLD TR GOLD SHS ETF - 1 SHS	P	2012-12-20	2013-04-09
ISHARES SILVER TR ISHARES ETF - 750 SHS	P	2012-12-20	2013-04-15
SPDR GOLD TR GOLD SHS ETF - 140 SHS	P	2012-12-20	2013-04-15
ALEXION PHARMACEUTICALS INC - 16 SHS	P	2012-12-14	2013-04-23
ALEXION PHARMACEUTICALS INC - 4 SHS	P	2013-01-30	2013-04-23

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CELGENE CORP COM - 13 SHS	P	2012-05-22	2013-04-23
GILEAD SCIENCES INC - 31 SHS	P	2013-03-07	2013-04-23
ALEXION PHARMACEUTICALS INC - 9 SHS	P	2012-12-14	2013-04-24
ALEXION PHARMACEUTICALS INC - 10 SHS	P	2013-01-25	2013-04-24
ALEXION PHARMACEUTICALS INC - 24 SHS	P	2013-02-21	2013-04-24
YUM BRANDS INC - 13 SHS	P	2012-10-01	2013-04-25
EMC CORPORATION - 35 SHS	P	2012-09-13	2013-05-14
EMC CORPORATION - 20 SHS	P	2012-10-01	2013-05-14
EMC CORPORATION - 19 SHS	P	2013-01-30	2013-05-14
FMC TECHNOLOGIES INC - 7 SHS	P	2013-02-19	2013-05-15
FMC TECHNOLOGIES INC - 6 SHS	P	2013-02-15	2013-05-15
GILEAD SCIENCES INC - 14 SHS	P	2013-03-07	2013-05-15
GILEAD SCIENCES INC - 3 SHS	P	2013-02-19	2013-05-15
YUM BRANDS INC - 6 SHS	P	2012-10-01	2013-05-15
YUM BRANDS INC - 7 SHS	P	2012-09-13	2013-05-15
MICHAEL KORS HLDGS LTD - 4 SHS	P	2013-04-23	2013-05-28
AMAZON COM INC - 1 SHS	P	2013-05-28	2013-06-10
FASTENAL CO - 1 SHS	P	2013-02-15	2013-06-10
MONSANTO CO NEW - 4 SHS	P	2013-01-25	2013-06-10
PRECISION CASTPARTS CORP - 1 SHS	P	2013-03-04	2013-06-10
TJX COS INC NEW - 3 SHS	P	2013-04-23	2013-06-10
MICHAEL KORS HLDGS LTD - 1 SHS	P	2013-04-23	2013-06-10
YUM BRANDS INC - 5 SHS	P	2012-07-05	2013-06-13
YUM BRANDS INC - 7 SHS	P	2012-08-01	2013-06-13
YUM BRANDS INC - 1 SHS	P	2012-09-13	2013-06-13
YUM BRANDS INC - 13 SHS	P	2013-02-08	2013-06-13
BAIDU COM INC SPONSORED ADR REPSTG - 3 SHS	P	2012-07-05	2013-06-19
BAIDU COM INC SPONSORED ADR REPSTG - 3 SHS	P	2012-08-01	2013-06-19
VANGUARD INTL EQUITY INDEX FD INC - 1390 SHS	P	2013-03-27	2013-06-25
APPLE COMPUTER INC - 5 SHS	P	2012-08-13	2013-06-25

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BRISTOL MYERS SQUIBB COMPANY - 3 SHS	P	2013-01-03	2013-06-25
BRISTOL MYERS SQUIBB COMPANY - 6 SHS	P	2013-01-04	2013-06-25
VERIZON COMMUNICATIONS - 20 SHS	P	2013-03-11	2013-06-25
FMC TECHNOLOGIES INC - 5 SHS	P	2013-02-15	2013-07-18
FMC TECHNOLOGIES INC - 10 SHS	P	2012-09-13	2013-07-18
BAIDU COM INC SPONSORED ADR REPSTG - 15 SHS	P	2012-10-01	2013-07-26
FACEBOOK INC - 45 SHS	P	2013-06-19	2013-07-26
FACEBOOK INC - 23 SHS	P	2013-01-08	2013-07-26
FACEBOOK INC - 24 SHS	P	2012-12-26	2013-07-26
FACEBOOK INC - 21 SHS	P	2013-02-15	2013-07-26
FACEBOOK INC - 13 SHS	P	2013-02-19	2013-07-26
FACEBOOK INC - 2 SHS	P	2013-05-28	2013-07-26
FACEBOOK INC - 33 SHS	P	2013-06-19	2013-07-31
MICHAEL KORS HLDGS LTD - 4 SHS	P	2013-04-23	2013-09-04
FACEBOOK INC - 79 SHS	P	2012-10-25	2013-09-09
FACEBOOK INC - 30 SHS	P	2013-06-19	2013-09-09
QUALCOMM INC - 6 SHS	P	2013-04-23	2013-09-09
QUALCOMM INC - 7 SHS	P	2013-01-08	2013-09-09
QUALCOMM INC - 11 SHS	P	2012-10-01	2013-09-09
EDWARDS LIFESCIENCES CORP - 2 SHS	P	2012-12-17	2013-09-25
EDWARDS LIFESCIENCES CORP - 3 SHS	P	2012-12-14	2013-09-25
EDWARDS LIFESCIENCES CORP - 2 SHS	P	2012-10-01	2013-09-25
EDWARDS LIFESCIENCES CORP - 6 SHS	P	2012-10-10	2013-09-25
EDWARDS LIFESCIENCES CORP - 7 SHS	P	2013-06-19	2013-09-26
EDWARDS LIFESCIENCES CORP - 18 SHS	P	2013-02-12	2013-09-26
EDWARDS LIFESCIENCES CORP - 17 SHS	P	2012-12-14	2013-09-26
EDWARDS LIFESCIENCES CORP - 19 SHS	P	2013-02-11	2013-09-26
FACEBOOK INC - 22 SHS	P	2012-10-25	2013-10-25
GILEAD SCIENCES INC - 22 SHS	P	2013-01-08	2013-11-04
GILEAD SCIENCES INC - 12 SHS	P	2013-02-15	2013-11-04

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GILEAD SCIENCES INC - 5 SHS	P	2013-02-19	2013-11-04
PRICELINE COM INC - 1 SHS	P	2013-04-23	2013-11-07
AMAZON COM INC - 1 SHS	P	2013-09-04	2013-11-14
COGNIZANT TECHNOLOGY SOLUTIONS - 7 SHS	P	2013-07-18	2013-11-14
MONSANTO CO NEW - 1 SHS	P	2013-06-19	2013-11-14
TJX COS INC NEW - 1 SHS	P	2013-04-23	2013-11-14
NOVO-NORDISK A S ADR - 5 SHS	P	2013-06-19	2013-11-15
AMAZON COM INC - 1 SHS	P	2013-09-04	2013-11-19
AMAZON COM INC - 2 SHS	P	2013-05-15	2013-11-19
PRECISION CASTPARTS CORP - 2 SHS	P	2013-09-27	2013-11-26
PRICELINE COM INC - 1 SHS	P	2013-04-23	2013-12-19
COGNIZANT TECHNOLOGY SOLUTIONS - 8 SHS	P	2013-07-18	2013-12-26
RED HAT INC - 24 SHS	P	2013-05-17	2013-12-26
ALLERGAN INC - 2 SHS	P	2013-05-15	2013-06-10
EDWARDS LIFESCIENCES CORP - 6 SHS	P	2012-10-01	2013-06-10
FACEBOOK INC - 24 SHS	P	2013-01-08	2013-06-10
ARM HLDGS PLC SPONSORED ADR - 2 SHS	P	2013-10-25	2013-11-14
FASTENAL CO - 1 SHS	P	2013-02-15	2013-11-14
MERCADOLIBRE INC - 1 SHS	P	2013-09-10	2013-11-14
GLAXO WELLCOME PLC SPONSORED ADR - 42 SHS	P	2011-11-22	2013-01-04
GLAXO WELLCOME PLC SPONSORED ADR - 15 SHS	P	2011-11-22	2013-01-07
BIOGEN IDEC INC - 4 SHS	P	2011-04-26	2013-01-08
BIOGEN IDEC INC - 3 SHS	P	2011-04-26	2013-01-08
EOG RES INC - 2 SHS	P	2010-12-17	2013-01-08
EOG RES INC - 1 SHS	P	2010-08-17	2013-01-08
EOG RES INC - 1 SHS	P	2010-08-06	2013-01-08
SALESFORCE COM INC - 7 SHS	P	2010-07-15	2013-01-08
VISA INC CL A - 11 SHS	P	2011-09-20	2013-01-08
SEMPRA ENERGY - 8 SHS	P	2011-11-09	2013-01-08
SEMPRA ENERGY - 4 SHS	P	2011-11-22	2013-01-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
SEMPRA ENERGY - 10 SHS	P	2010-07-15	2013-01-08
LAS VEGAS SANDS CORP - 3 SHS	P	2011-08-19	2013-01-30
INTEL CORP - 13 SHS	P	2012-01-05	2013-02-07
INTEL CORP - 60 SHS	P	2010-07-15	2013-02-07
BIOGEN IDEC INC - 7 SHS	P	2011-04-26	2013-02-15
NOVO-NORDISK A S ADR - 12 SHS	P	2010-07-15	2013-02-15
NOVO-NORDISK A S ADR - 7 SHS	P	2010-07-15	2013-02-19
LAUDER ESTEE COS INC CL A - 3 SHS	P	2011-01-05	2013-02-21
LAUDER ESTEE COS INC CL A - 5 SHS	P	2011-01-06	2013-02-21
NOVO-NORDISK A S ADR - 3 SHS	P	2010-07-15	2013-02-21
PRECISION CASTPARTS CORP - 2 SHS	P	2010-11-23	2013-02-21
PRECISION CASTPARTS CORP - 1 SHS	P	2010-11-10	2013-02-21
HEINZ H J CO - 72 SHS	P	2010-07-15	2013-03-07
CHEVRONTEXACO CORP - 20 SHS	P	2010-07-15	2013-03-11
CHEVRONTEXACO CORP - 7 SHS	P	2010-07-15	2013-03-12
DOVER CORP - 19 SHS	P	2010-07-15	2013-03-12
DOVER CORP - 11 SHS	P	2010-07-15	2013-03-13
DIAGEO PLC SPONSORED ADR NEW - 12 SHS	P	2010-07-15	2013-03-15
MEREDITH CORP - 12 SHS	P	2010-07-15	2013-03-15
DIAGEO PLC SPONSORED ADR NEW - 24 SHS	P	2010-07-15	2013-03-18
MEREDITH CORP - 17 SHS	P	2010-07-15	2013-03-18
DIAGEO PLC SPONSORED ADR NEW - 11 SHS	P	2010-07-15	2013-03-19
MEREDITH CORP - 17 SHS	P	2010-07-15	2013-03-19
LULULEMON ATHLETICA INC - 48 SHS	P	2010-07-15	2013-03-21
LULULEMON ATHLETICA INC - 6 SHS	P	2010-08-31	2013-03-22
LULULEMON ATHLETICA INC - 48 SHS	P	2010-08-31	2013-03-22
LULULEMON ATHLETICA INC - 23 SHS	P	2010-07-15	2013-03-22
COLUMBIA FDS SER TR I SMALL CAP - 1614 09 SHS	P	2010-07-12	2013-03-27
GENERAL ELECTRIC CO - 1004 SHS	P	2010-11-30	2013-03-27
ISHARES INC MSCI CDA INDEX FD - 955 SHS	P	2010-07-12	2013-03-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
PIMCO COMMODITY REALRETURN STRA - 3846 15 SHS	P	2010-07-13	2013-04-09
BIOGEN IDEC INC - 4 SHS	P	2011-04-25	2013-04-23
BIOGEN IDEC INC - 3 SHS	P	2011-04-25	2013-04-23
ALEXION PHARMACEUTICALS INC - 2 SHS	P	2010-10-22	2013-04-24
BHP LTD SPONSORED ADR - 3 SHS	P	2011-11-23	2013-05-07
BHP LTD SPONSORED ADR - 23 SHS	P	2010-07-15	2013-05-07
EMC CORPORATION - 342 SHS	P	2010-07-15	2013-05-14
PIMCO COMMODITY REALRETURN STRA - 4890 41 SHS	P	2010-07-13	2013-05-15
ALEXION PHARMACEUTICALS INC - 3 SHS	P	2010-10-22	2013-05-15
ALEXION PHARMACEUTICALS INC - 2 SHS	P	2010-10-04	2013-05-15
GOOGLE INC CL A - 1 SHS	P	2010-07-15	2013-05-15
LAS VEGAS SANDS CORP - 33 SHS	P	2011-08-19	2013-05-15
FRANKLIN RESOURCES INC - 2 SHS	P	2010-10-12	2013-05-28
LAUDER ESTEE COS INC CL A - 5 SHS	P	2011-01-06	2013-05-28
EOG RES INC - 1 SHS	P	2010-12-17	2013-06-10
LAS VEGAS SANDS CORP - 3 SHS	P	2011-08-19	2013-06-10
LAUDER ESTEE COS INC CL A - 1 SHS	P	2011-01-06	2013-06-10
LINKEDIN CORP - 1 SHS	P	2012-05-09	2013-06-10
COLUMBIA INCOME OPPORTUNITIES - 8298 76 SHS	P	2012-03-09	2013-06-12
LAUDER ESTEE COS INC CL A - 16 SHS	P	2011-01-06	2013-06-13
YUM BRANDS INC - 20 SHS	P	2012-02-03	2013-06-13
LAUDER ESTEE COS INC CL A - 4 SHS	P	2011-01-04	2013-06-14
LAUDER ESTEE COS INC CL A - 7 SHS	P	2011-01-06	2013-06-14
LAUDER ESTEE COS INC CL A - 2 SHS	P	2010-12-31	2013-06-14
LAUDER ESTEE COS INC CL A - 8 SHS	P	2011-01-06	2013-06-14
YUM BRANDS INC - 9 SHS	P	2012-02-03	2013-06-14
BAIDU COM INC SPONSORED ADR REPSTG - 1 SHS	P	2011-10-21	2013-06-19
BIOGEN IDEC INC - 9 SHS	P	2011-06-03	2013-06-19
BIOGEN IDEC INC - 7 SHS	P	2011-04-25	2013-06-19
VANGUARD INTL EQUITY INDEX FD INC - 1855 SHS	P	2010-07-12	2013-06-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
BRISTOL MYERS SQUIBB COMPANY - 27 SHS	P	2010-07-15	2013-06-25
PHILIP MORRIS INTL INC - 14 SHS	P	2010-07-15	2013-06-25
ALEXION PHARMACEUTICALS INC - 5 SHS	P	2010-10-04	2013-07-15
PIMCO TOTAL RETURN FUND #35 - 25777 78 SHS	P	2010-07-13	2013-07-16
YUM BRANDS INC - 3 SHS	P	2012-02-03	2013-07-16
YUM BRANDS INC - 96 SHS	P	2012-02-02	2013-07-16
INTERNATIONAL BUSINESS MACHINES - 10 SHS	P	2010-07-15	2013-07-17
LAS VEGAS SANDS CORP - 14 SHS	P	2011-08-19	2013-07-18
LINKEDIN CORP - 5 SHS	P	2012-05-09	2013-07-18
SEMPRA ENERGY - 6 SHS	P	2010-07-15	2013-07-18
LINKEDIN CORP - 5 SHS	P	2012-05-09	2013-07-19
BAIDU COM INC SPONSORED ADR REPSTG - 2 SHS	P	2010-07-19	2013-07-26
BAIDU COM INC SPONSORED ADR REPSTG - 3 SHS	P	2010-07-16	2013-07-26
NORDSTROM INC - 33 SHS	P	2010-07-15	2013-08-07
LAUDER ESTEE COS INC CL A - 12 SHS	P	2010-12-31	2013-08-13
LAUDER ESTEE COS INC CL A - 4 SHS	P	2011-01-07	2013-08-13
LAUDER ESTEE COS INC CL A - 20 SHS	P	2011-01-07	2013-08-13
LAUDER ESTEE COS INC CL A - 4 SHS	P	2011-01-07	2013-08-13
LAUDER ESTEE COS INC CL A - 2 SHS	P	2011-01-07	2013-08-14
LAUDER ESTEE COS INC CL A - 2 SHS	P	2011-01-07	2013-08-14
LAUDER ESTEE COS INC CL A - 6 SHS	P	2010-12-29	2013-08-14
LAUDER ESTEE COS INC CL A - 4 SHS	P	2010-12-23	2013-08-14
LAUDER ESTEE COS INC CL A - 12 SHS	P	2010-12-30	2013-08-14
LAUDER ESTEE COS INC CL A - 2 SHS	P	2010-12-27	2013-08-14
LAUDER ESTEE COS INC CL A - 6 SHS	P	2010-12-27	2013-08-14
LAUDER ESTEE COS INC CL A - 18 SHS	P	2010-12-28	2013-08-14
LAS VEGAS SANDS CORP - 79 SHS	P	2011-08-19	2013-08-27
LAS VEGAS SANDS CORP - 42 SHS	P	2011-10-03	2013-08-27
LAS VEGAS SANDS CORP - 29 SHS	P	2011-08-22	2013-08-27
MICHAEL KORS HLDGS LTD - 16 SHS	P	2012-03-13	2013-09-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
KINDER MORGAN INC DEL - 5 SHS	P	2011-10-19	2013-09-06
KINDER MORGAN INC DEL - 20 SHS	P	2011-10-20	2013-09-06
KINDER MORGAN INC DEL - 57 SHS	P	2011-03-16	2013-09-06
KINDER MORGAN INC DEL - 17 SHS	P	2011-10-19	2013-09-06
OCCIDENTAL PETROLEUM CORP - 7 SHS	P	2012-03-01	2013-09-06
OCCIDENTAL PETROLEUM CORP - 13 SHS	P	2010-07-15	2013-09-06
ROYAL DUTCH SHELL PLC SPONSORED - 4 58 SHS	P	2012-03-01	2013-09-06
ROYAL DUTCH SHELL PLC SPONSORED - 20 SHS	P	2010-07-15	2013-09-06
MICHAEL KORS HLDGS LTD - 11 SHS	P	2012-03-13	2013-09-09
ROYAL DUTCH SHELL PLC SPONSORED - 18 SHS	P	2010-07-15	2013-09-09
HOME DEPOT INC - 26 SHS	P	2010-07-15	2013-09-20
EDWARDS LIFESCIENCES CORP - 54 SHS	P	2012-06-14	2013-09-25
EDWARDS LIFESCIENCES CORP - 8 SHS	P	2012-09-17	2013-09-25
EDWARDS LIFESCIENCES CORP - 10 SHS	P	2012-09-13	2013-09-25
ROYAL DUTCH SHELL PLC SPONSORED - 0 42 SHS	P	2012-03-21	2013-10-08
AT&T INC - 123 SHS	P	2010-07-15	2013-10-21
CELGENE CORP COM - 5 SHS	P	2012-08-01	2013-10-25
BRISTOL MYERS SQUIBB COMPANY - 29 SHS	P	2010-07-15	2013-11-01
GILEAD SCIENCES INC - 7 SHS	P	2012-09-17	2013-11-04
ALEXION PHARMACEUTICALS INC - 1 SHS	P	2010-10-04	2013-11-14
ALEXION PHARMACEUTICALS INC - 2 SHS	P	2010-09-24	2013-11-14
ALEXION PHARMACEUTICALS INC - 4 SHS	P	2010-09-23	2013-11-14
BAIDU COM INC SPONSORED ADR REPSTG - 2 SHS	P	2010-07-19	2013-11-14
BIOGEN IDEC INC - 1 SHS	P	2011-06-03	2013-11-14
FRANKLIN RESOURCES INC - 3 SHS	P	2010-10-12	2013-11-14
QUALCOMM INC - 1 SHS	P	2012-10-01	2013-11-14
QUALCOMM INC - 32 SHS	P	2010-07-15	2013-11-14
QUALCOMM INC - 9 SHS	P	2012-07-05	2013-11-14
QUALCOMM INC - 10 SHS	P	2012-08-01	2013-11-14
NOVO-NORDISK A S ADR - 6 SHS	P	2010-07-15	2013-11-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
NOVO-NORDISK A S ADR - 20 SHS	P	2010-07-15	2013-11-18
CELGENE CORP COM - 5 SHS	P	2012-07-05	2013-11-19
CELGENE CORP COM - 3 SHS	P	2012-08-01	2013-11-19
CELGENE CORP COM - 4 SHS	P	2010-07-15	2013-11-19
NOVO-NORDISK A S ADR - 6 SHS	P	2010-07-15	2013-11-19
QUALCOMM INC - 27 SHS	P	2010-07-15	2013-11-19
QUALCOMM INC - 78 SHS	P	2010-07-15	2013-11-21
BAIDU COM INC SPONSORED ADR REPSTG - 10 SHS	P	2010-07-19	2013-12-17
FRANKLIN RESOURCES INC - 35 SHS	P	2010-10-12	2013-12-26
AMAZON COM INC - 6 SHS	P	2010-07-15	2013-12-27
AMAZON COM INC - 3 SHS	P	2012-03-13	2013-12-27
ROYAL DUTCH SHELL PLC SPONSORED - 1 42 SHS	P	2012-03-01	2013-09-06
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
2,121		1,562	559
938		925	13
588		561	27
171		165	6
814		879	-65
1,195		1,432	-237
919		1,029	-110
1,382		1,454	-72
2,193		2,138	55
1,921		2,407	-486
1,346		1,281	65
1,616		1,509	107
2,601		2,507	94
670		703	-33
790		854	-64
1,517		1,510	7
1,471		1,400	71
800		479	321
347		386	-39
208		231	-23
2,039		1,515	524
2,147		1,586	561
348		255	93
636		468	168
1,006		906	100
943		1,145	-202
1,037		1,495	-458
33,697		34,052	-355
23,325		22,258	1,067
6,711		6,570	141

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
2,470		1,307	1,163
479		196	283
1,171		814	357
1,231		851	380
753		518	235
1,395		1,351	44
3,561		2,096	1,465
1,565		1,538	27
988		1,462	-474
2,873		1,875	998
1,164		1,401	-237
2,683		1,254	1,429
1,026		729	297
2,151		1,420	731
2,725		1,646	1,079
4,328		4,098	230
25,992		25,421	571
4,740		3,425	1,315
1,684		1,195	489
2,614		3,156	-542
1,429		823	606
251		145	106
25,074		25,998	-924
1,318		1,351	-33
1,504		1,531	-27
7		7	0
265		192	73
1,324		916	408
807		1,167	-360
202		246	-44

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
435		456	-21
435		379	56
868		705	163
620		465	155
1,575		1,436	139
221		236	-15
157		128	29
527		463	64
511		463	48
562		489	73
971		810	161
10		9	1
1,054		786	268
1,807		1,414	393
753		562	191
799		634	165
8		8	0
8		9	-1
841		562	279
665		449	216
1,910		1,235	675
7		8	-1
841		926	-85
9		9	0
921		560	361
8		8	0
17,038		21,623	-4,585
18,670		22,239	-3,569
1,466		1,513	-47
367		386	-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,640		909	731
1,647		1,395	252
809		851	-42
899		941	-42
2,158		2,079	79
881		873	8
806		961	-155
461		548	-87
438		468	-30
393		367	26
337		309	28
788		630	158
169		127	42
421		403	18
491		467	24
247		216	31
282		269	13
49		53	-4
424		414	10
219		186	33
152		142	10
62		54	8
358		323	35
501		453	48
72		67	5
930		849	81
285		348	-63
285		369	-84
51,305		59,603	-8,298
1,999		3,138	-1,139

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
135		99	36
271		200	71
1,000		956	44
285		257	28
570		487	83
1,906		1,683	223
1,521		1,097	424
777		669	108
811		697	114
710		593	117
439		366	73
68		49	19
1,211		804	407
301		216	85
3,490		1,815	1,675
1,325		731	594
410		390	20
478		447	31
751		684	67
138		182	-44
207		274	-67
138		216	-78
414		652	-238
478		489	-11
1,228		1,571	-343
1,160		1,550	-390
1,296		1,645	-349
1,150		505	645
1,532		854	678
836		499	337

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
348		212	136
1,034		700	334
367		294	73
652		510	142
111		106	5
63		47	16
869		800	69
366		294	72
732		534	198
518		456	62
1,188		700	488
789		583	206
1,338		1,322	16
200		200	0
416		416	0
587		587	0
91		91	0
47		47	0
113		113	0
1,857		1,780	77
663		636	27
579		405	174
435		307	128
248		183	65
124		95	29
124		98	26
1,179		667	512
1,732		1,028	704
568		428	140
284		207	77

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
710		494	216
153		125	28
270		328	-58
1,245		1,291	-46
1,180		708	472
2,050		1,064	986
1,208		620	588
190		124	66
317		203	114
518		266	252
364		270	94
182		137	45
5,220		3,254	1,966
2,373		1,449	924
831		507	324
1,391		834	557
805		483	322
1,457		822	635
435		387	48
2,912		1,645	1,267
614		549	65
1,350		754	596
618		549	69
3,105		950	2,155
378		99	279
3,022		792	2,230
1,448		455	993
49,520		32,500	17,020
23,162		15,893	7,269
27,086		24,964	2,122

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
25,000		28,615	-3,615
849		401	448
637		300	337
180		67	113
205		203	2
1,574		1,536	38
7,880		7,010	870
30,418		36,385	-5,967
312		101	211
208		64	144
906		489	417
1,924		1,365	559
332		230	102
359		203	156
132		91	41
175		125	50
68		41	27
174		112	62
82,739		80,000	2,739
1,090		650	440
1,430		1,287	143
272		162	110
476		284	192
136		81	55
544		325	219
642		579	63
95		122	-27
1,864		859	1,005
1,450		701	749
68,468		74,497	-6,029

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,219		686	533
1,208		697	511
543		159	384
278,142		290,000	-11,858
214		193	21
6,849		6,121	728
1,943		1,300	643
779		577	202
1,001		562	439
511		297	214
996		562	434
254		146	108
381		221	160
1,943		1,122	821
795		484	311
265		160	105
1,325		803	522
265		161	104
130		80	50
130		80	50
391		240	151
261		160	101
782		479	303
130		80	50
391		239	152
1,173		716	457
4,354		3,255	1,099
2,315		1,541	774
1,598		1,173	425
1,206		780	426

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
179		139	40
717		568	149
2,044		1,715	329
610		468	142
625		734	-109
1,160		1,055	105
297		335	-38
1,299		1,109	190
834		536	298
1,160		998	162
2,006		732	1,274
3,726		5,258	-1,532
552		838	-286
690		1,057	-367
28		31	-3
4,313		3,071	1,242
776		342	434
1,522		737	785
488		230	258
120		32	88
240		64	176
479		126	353
318		146	172
238		96	142
163		115	48
71		62	9
2,275		1,178	1,097
640		506	134
711		596	115
1,043		532	511

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
3,483		1,773	1,710
767		325	442
460		205	255
614		213	401
1,045		532	513
1,942		994	948
5,541		2,870	2,671
1,684		730	954
1,992		1,344	648
2,402		728	1,674
1,201		550	651
92		92	0
51,687			51,687

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			559
			13
			27
			6
			-65
			-237
			-110
			-72
			55
			-486
			65
			107
			94
			-33
			-64
			7
			71
			321
			-39
			-23
			524
			561
			93
			168
			100
			-202
			-458
			-355
			1,067
			141

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,163
			283
			357
			380
			235
			44
			1,465
			27
			-474
			998
			-237
			1,429
			297
			731
			1,079
			230
			571
			1,315
			489
			-542
			606
			106
			-924
			-33
			-27
			0
			73
			408
			-360
			-44

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-21
			56
			163
			155
			139
			-15
			29
			64
			48
			73
			161
			1
			268
			393
			191
			165
			0
			-1
			279
			216
			675
			-1
			-85
			0
			361
			0
			-4,585
			-3,569
			-47
			-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			731
			252
			-42
			-42
			79
			8
			-155
			-87
			-30
			26
			28
			158
			42
			18
			24
			31
			13
			-4
			10
			33
			10
			8
			35
			48
			5
			81
			-63
			-84
			-8,298
			-1,139

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			36
			71
			44
			28
			83
			223
			424
			108
			114
			117
			73
			19
			407
			85
			1,675
			594
			20
			31
			67
			-44
			-67
			-78
			-238
			-11
			-343
			-390
			-349
			645
			678
			337

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			136
			334
			73
			142
			5
			16
			69
			72
			198
			62
			488
			206
			16
			0
			0
			0
			0
			0
			0
			77
			27
			174
			128
			65
			29
			26
			512
			704
			140
			77

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			216
			28
			-58
			-46
			472
			986
			588
			66
			114
			252
			94
			45
			1,966
			924
			324
			557
			322
			635
			48
			1,267
			65
			596
			69
			2,155
			279
			2,230
			993
			17,020
			7,269
			2,122

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-3,615
			448
			337
			113
			2
			38
			870
			-5,967
			211
			144
			417
			559
			102
			156
			41
			50
			27
			62
			2,739
			440
			143
			110
			192
			55
			219
			63
			-27
			1,005
			749
			-6,029

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			533
			511
			384
			-11,858
			21
			728
			643
			202
			439
			214
			434
			108
			160
			821
			311
			105
			522
			104
			50
			50
			151
			101
			303
			50
			152
			457
			1,099
			774
			425
			426

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			40
			149
			329
			142
			-109
			105
			-38
			190
			298
			162
			1,274
			-1,532
			-286
			-367
			-3
			1,242
			434
			785
			258
			88
			176
			353
			172
			142
			48
			9
			1,097
			134
			115
			511

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			1,710
			442
			255
			401
			513
			948
			2,671
			954
			648
			1,674
			651
			0
			51,687

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TEXAS WESLEYAN UNIVERSITY 1201 WESLEYAN STREET FORT WORTH, TX 76105		PC	EDUCATIONAL SCHOLARSHIPS	37,500
HARRIS COLLEGE OF NURSING 2800 WEST BOWIE STREET SUITE 214A FORT WORTH, TX 76109		PC	EDUCATIONAL SCHOLARSHIPS	37,500
BOYS & GIRLS CLUB OF GREATER FT WORTH 3218 E BELKNAP FORT WORTH, TX 76111		PC	GENERAL PURPOSE	10,000
PRESBYTERIAN NIGHT SHELTER 2400 CYPRESS STREET FORT WORTH, TX 76102		PC	GENERAL PURPOSE	5,000
RONALD MCDONALD HOUSE OF FT WORTH 1004 7TH AVE FORT WORTH, TX 76104		PC	GENERAL PURPOSE	5,000
LENA POPE HOME 3131 SANGUINET STREET FORT WORTH, TX 76107		PC	GENERAL PURPOSE	2,500
PATRIOT PAWS SEVICE DOGS 254 RANCH TRAIL ROCKWALL, TX 75032		PC	GENERAL PURPOSE	5,000
Total . . . . ▶ **3a**				102,500

TY 2013 Accounting Fees Schedule

Name: PATE FOUNDATION

EIN: 75-6036779

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES	4,957	0		4,957

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: PATE FOUNDATION

EIN: 75-6036779

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
BUILDING	1972-07-01	60,123	60,123	SL	30 000000000000	0	0		
FENCE	1991-05-21	6,800	6,772	SL	20 000000000000	0	0		
SPRAYER	1991-03-07	1,590	1,590	SL	12 000000000000	0	0		
MUSEUM ADDITION	1994-02-18	40,235	19,478	SL	39 000000000000	1,032	0		
LAMP	1994-06-13	165	165	200DB	7 000000000000	0	0		
CONFERENCE TABLE & 2 CHAIRS	1994-04-06	645	644	200DB	7 000000000000	0	0		
FANS	1994-07-11	1,591	1,591	200DB	7 000000000000	0	0		
MUSEUM IMPROVEMENT	1994-04-18	1,236	596	SL	39 000000000000	32	0		
MUSEUM IMPROVEMENT	1994-07-28	1,390	662	SL	39 000000000000	36	0		
FENCING - BARBED WIRE	1995-03-01	2,677	2,390	SL	20 000000000000	134	0		
FENCING	1997-10-08	4,445	3,386	SL	20 000000000000	222	0		
WATER WELL	1998-02-26	5,403	4,005	SL	20 000000000000	270	0		
RUDD 4 TON CONDENSING UNIT	1998-07-09	3,200	3,200	SL	7 000000000000	0	0		
DRILLING FOR WATER LINES	1999-08-24	2,700	1,800	SL	20 000000000000	135	0		
POULAN 42" LAWN TRACTOR	1999-04-15	1,069	1,064	200DB	5 000000000000	0	0		
MUSEUM IMPROVEMENT	2000-11-01	60,200	24,251	SL	30 000000000000	2,007	0		
CONCRETE WORK AND ENTRYWAY	2001-07-31	9,602	3,653	SL	30 000000000000	320	0		
SURVEILANCE SYSTEM	2001-04-30	802	802	200DB	5 000000000000	0	0		
FARNSWORTH HALL - IMPROVEMENTS	2002-06-06	15,329	8,107	SL	20 000000000000	766	0		

TY 2013 Investments Corporate Bonds Schedule

Name: PATE FOUNDATION

EIN: 75-6036779

Name of Bond	End of Year Book Value	End of Year Fair Market Value
FROST LOW DURATION BOND FD	50,806	49,091
FROST TOTAL RETURN BOND FUND	294,444	294,771
HARTFORD FLOATING RATE FUND	39,091	40,045
IVY HIGH INCOME FUND	24,772	25,830
MAINSTAY CONVERTIBLE FUND	16,701	19,901
TEMPLETON GLOBAL BOND FUND	50,643	50,230

TY 2013 Investments Corporate Stock Schedule

Name: PATE FOUNDATION

EIN: 75-6036779

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SELECT SECTOR SPDR TR FINANCIAL	22,121	25,358
COLUMBIA MID CAP GROWTH FUND	50,000	76,437
COLUMBIA MID CAP VALUE FUND	50,000	80,821
SPDR S&P HOMEBUILDERS	22,315	25,475
ISHARES RUSSELL 2000 VALUE INDEX FUND	69,707	99,500
ARTISAN INTERNATIONAL FUND	75,000	88,433
ARTISAN INTL VALUE FUND	75,000	85,300
COLUMBIA ACORN INTERNATIONAL FUND	52,000	72,071
ISHARES MSCI JAPAN	21,791	24,157
ISHARES MSCI SINGAPORE INDEX FUND	25,067	27,789
ISHARES MSCI EMERGING MARKETS	148,600	167,180
ISHARES MSCI SOUTH KOREA INDEX FUND	24,931	33,952
ALEXION PHARMACEUTICALS INC	1,702	7,176
ALLERGAN INC	8,348	12,663
AMAZON.COM INC	4,246	13,958
BIOGEN IDEC INC	4,337	10,065
BRISTOL MYERS SQUIBB CO	7,500	7,547
CELGENE CORP	5,295	16,897
COGNIZANT TECH SOLUTIONS	8,863	15,854
COGNIZANT TECH SOLUTIONS	9,710	10,037
DISCOVERY COMMUNICATIONS INC	6,200	11,581
EOG RESOURCES INC	4,912	11,913
FACEBOOK INC	5,156	8,198
FRANKLIN RES INC	7,056	16,147
GILEAD SCIENCES INC	6,952	14,569
GOOGLE INC	5,407	10,842
LINKEDIN CORP	10,053	17,131
MICHAEL KORS HLDGS LTD	12,056	14,103
MONSANTO CORP	8,833	15,889
PRECISION CASTPARTS CORP	3,294	17,436

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PRICELINE.COM INC	7,137	15,232
SALESFORCE COM	16,571	16,096
TESLA MOTORS INC	9,619	13,192
TJX COS INC	12,851	12,482
VERTEX PHARMACEUTICALS INC	5,667	13,806
VISA INC	14,453	17,045
VMWARE INC	4,949	12,274
BAIDU INC	14,264	14,063
FASTENAL CO	10,847	15,298
FMC TECHNOLOGIES	14,590	16,924
RED HAT INC	8,292	10,837
ARM HOLDINGS	12,757	11,210
MERCADOLIBRE INC	2,632	3,871
ABBOTT LABS	5,228	8,661
ABBVIE INC	3,156	5,509
ACCENTURE PLC	2,329	3,106
ACE LTD	2,207	3,954
ALTRIA GROUP INC	1,940	2,337
AMERICAN ELEC PWR INC	2,916	6,170
AMERICAN EXPRESS CO	4,404	7,415
AMGEN INC	2,547	3,586
AT&T INC	2,445	4,767
AUTOMATIC DATA PROCESSING INC	2,448	4,431
BLACKROCK INC	4,035	7,778
BOEING CO	3,914	8,185
BRISTOL MYERS SQUIBB CO	4,492	7,744
CHEVRON CORPORATIONS	2,796	4,445
CHUBB CORP	4,706	4,733
CISCO SYSTEMS INC	2,830	3,923
CME GROUP INC	2,767	2,962

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMCAST CORPORATION	3,910	4,027
CONOCOPHILLIPS	1,369	1,747
DOMINION RES INC	1,756	3,862
DOVER CORP	3,756	5,133
DU PONTE I DE NEMOURS & CO	1,429	1,518
DUKE ENERGY CORP	4,165	4,125
E M C CORPORATION	1,848	2,807
EMERSON ELECTRIC CO	6,515	10,727
EXXON MOBIL CORP	2,414	2,840
FIFTH THIRD BANK	3,517	10,293
HOME DEPOT INC	2,779	6,122
HONEYWELL INTL INC	4,606	5,554
INTEL CORP	4,811	6,940
INTL BUSINESS MACHINES CORP	5,266	7,661
JP MORGAN CHASE CO	6,555	9,342
JOHNSON & JOHNSON	3,118	5,223
KIMBERLY CLARK CORP	3,423	4,112
MACYS INC	2,887	4,062
MARSH & MCLENNAN COS INC	4,119	5,628
MCDONALDS CORP	5,203	7,257
MERCK & CO INC	1,395	1,941
METLIFE INC	6,553	9,652
MICROSOFT CORP	1,628	2,654
NEXTERA ENERGY INC	1,786	2,092
OCCIDENTAL PETROLEUM CORP	1,775	2,830
PARKER HANNIFIN CORP	4,854	9,648
PFIZER INC	6,528	10,281
PHILIP MORRIS INT'L INC	2,414	3,008
PHILLIPS 66	3,501	4,655
PNC FINANCIAL SVCS GROUP INC	1,774	3,099

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PRICE T ROWE GROUP INC	5,090	6,268
PROCTOR & GAMBLE CO	3,127	5,623
RAYTHEON CO	2,391	2,523
SCHLUMBERGER LIMITED COM	1,186	2,154
SEMPRA ENERGY	2,487	6,056
SHERWIN WILLIAMS CO	2,534	3,996
TEXAS INSTRUMENTS INC	2,842	6,484
TIME WARNER INC	1,152	3,441
TJX COS INC	4,052	4,939
UNITED PARCEL SVR INC	1,223	2,048
UNITED TECHNOLOGIES CORP	3,618	6,020
US BANCORP DEL	1,521	2,244
V F CORP	6,434	10,958
VERIZON COMMUNICATIONS INC	1,905	2,990
WALMART STORES INC	1,655	2,244
WASTE MANAGEMENT INC	5,969	8,217
WELLS FARGO & CO	1,357	1,687
CMS ENERGY CORP	2,997	3,416
KLA-TENCOR CORP	1,505	3,445
RPM INTERNATIONAL INC	1,989	2,188
WESTAR ENERGY INC	1,333	1,612
WISCONSIN ENERGY CORP	2,297	2,890
LYONDELLBASELL INDUSTRIES NV	3,234	4,134
ROYAL DUTCH SHELL PLC	4,094	5,245
VODAPHONE GROUP	1,207	3,589
AMAZON.COM INC	2,446	2,520
AMC NETWORKS	1,640	2,142
ANADARKO PETROLEUM CORP	3,436	9,537
APPLE INC	1,943	2,226
BAXTER INTERNATIONAL INC	2,607	5,312

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BIOGEN IDEC INC	1,664	2,848
BLACKROCK INC	1,111	3,802
BORGWARNER INC	2,529	3,189
BRISTOL MYERS SQUIBB CO	2,084	2,500
CAMERON INTERNATIONAL CORP	2,637	3,178
CANADIAN PACIFIC RAILWAY	2,671	7,772
CELGENE CORP	1,468	2,664
CHIPOTLE MEXICAN GRILL	2,492	1,316
COBALT INTL ENERGY	1,504	5,756
COGNIZANT TECH SOLUTIONS	2,153	3,928
COSTCO WHOLESALE CORP	1,709	3,665
CUMMINS INC	2,091	3,292
CVS CAREMARK CORP	2,272	2,375
DEERE & CO	3,454	3,707
DISCOVERY COMMUNICATIONS INC	1,645	3,194
E M C CORPORATION	2,431	3,237
EBAY INCORPORATED	2,454	3,860
EOG RESOURCES INC	2,423	3,443
FACEBOOK INC	2,436	3,882
FEDEX CORP	2,546	6,158
GILEAD SCIENCES INC	4,106	7,845
GOOGLE INC	3,469	3,788
HOME DEPOT INC	1,143	3,096
ILLUMINA INC	1,622	2,876
INVESCO LTD	2,567	2,831
LAM RESEARCH CORPORATION	1,393	3,342
MASTERCARD INC CL A	2,269	4,222
MICHAEL KORS HLDGS LTD	2,266	3,380
MONSANTO CO NEW	2,314	3,767
MOODYS CORP	1,839	2,120

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PANERA BREAD	1,610	3,311
PHILIP MORRIS INT'L INC	1,156	1,950
PRAXAIR INC	965	4,650
PRICELINE.COM INC	3,387	4,975
QUALCOMM INC	1,917	3,154
SCHLUMBERGER LIMITED COM	751	3,998
STARBUCKS CORP	3,203	3,552
TWENTY-FIRST CENTURY FOX INC	2,274	6,048
UNION PACIFIC CORP	2,265	2,260
VERIZON COMMUNICATIONS	2,459	2,229
VERTEX PHARMACEUTICALS INC	1,311	3,563
VISA INC	2,281	2,792
WASTE CONNECTIONS INC	2,630	2,713
ZOETIS INC	244	304
AMERICAN INTERNATIONAL GROUP	5,358	1,480
AMERICAN INTERNATIONAL GROUP	5,517	9,084
ATMOS ENERGY CORP	6,900	25,378
BB&T CORP	11,145	59,280
BERKSHIRE HATHAWAY INC CL B	2,941	19,832
CEDAR FAIR LIMITED PARTNERSHIP	583	325
CHORUS LTD	3,199	29,326
CULLEN FROST BANKERS INC	1,937	49,183
EXXON MOBIL CORP	3,007	8,577
GENERAL ELECTRIC	11,606	32,690
JP MORGAN CHASE CO	3,904	128
L L & E ROYALTY TRUST	5,372	5,180
NBT BANCORP INC	6,138	18,140
RAYTHEON CO	1,789	2,691
TELECOM CO NEW ZEALAND-284	1,422	11,373
TELEFONICA SA SP ADR	2,357	10,038

Name of Stock	End of Year Book Value	End of Year Fair Market Value
TYSON FOODS	38,104	62,952

TY 2013 Investments - Other Schedule

Name: PATE FOUNDATION

EIN: 75-6036779

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PRUDENTIAL SHORT-TERM CORPORATE BD FD	AT COST	300,000	300,027
NUVEEN REAL ESTATE SECS FUND	AT COST	46,421	41,000
COHEN & STEERS INSTL REALTY	AT COST	16,950	18,995
FROST INTERNATIONAL EQUITY	AT COST	54,592	65,624
FROST VALUE EQUITY FD	AT COST	120,826	171,614
INVESCO DEV MARKETS FUND	AT COST	26,991	28,653
LKCM SMALL CAP EQUITY FUND	AT COST	37,659	68,176
T ROWE PRICE INTL DISCOVERY FUND	AT COST	17,224	25,649

TY 2013 Land, Etc. Schedule

Name: PATE FOUNDATION

EIN: 75-6036779

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
BUILDING	60,123	60,123	0	
FENCE	6,800	6,772	28	
SPRAYER	1,590	1,590	0	
MUSEUM ADDITION	40,235	20,510	19,725	
LAMP	165	165	0	
CONFERENCE TABLE & 2 CHAIRS	645	644	1	
FANS	1,591	1,591	0	
MUSEUM IMPROVEMENT	1,236	628	608	
MUSEUM IMPROVEMENT	1,390	698	692	
FENCING - BARBED WIRE	2,677	2,524	153	
FENCING	4,445	3,608	837	
WATER WELL	5,403	4,275	1,128	
RUDD 4 TON CONDENSING UNIT	3,200	3,200	0	
DRILLING FOR WATER LINES	2,700	1,935	765	
POULAN 42" LAWN TRACTOR	1,069	1,064	5	
MUSEUM IMPROVEMENT	60,200	26,258	33,942	
CONCRETE WORK AND ENTRYWAY	9,602	3,973	5,629	
SURVEILANCE SYSTEM	802	802	0	
FARNSWORTH HALL - IMPROVEMENTS	15,329	8,873	6,456	

TY 2013 Other Decreases Schedule

Name: PATE FOUNDATION

EIN: 75-6036779

Description	Amount
BOOK-TAX DIFFERENCE IN PARTNERSHIP INCOME	63

TY 2013 Other Expenses Schedule

Name: PATE FOUNDATION

EIN: 75-6036779

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DUES AND SUBSCRIPTIONS	300	0		300
INSURANCE	1,915	0		1,915
INTEREST EXPENSE	2	2		0
MUSEUM UPKEEP	4,510	0		4,510
TELEPHONE	1,824	0		1,824
OFFICE SUPPLIES	76	0		76
OFFICE EXPENSE - ACCTG	3,300	0		3,300

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TY 2013 Other Income Schedule

Name: PATE FOUNDATION

EIN: 75-6036779

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
GAS ROYALTY	23,618	0	23,618
OTHER INCOME	984	0	984
CEDAR FAIR, L P	830		830

TY 2013 Other Professional Fees Schedule

Name: PATE FOUNDATION

EIN: 75-6036779

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	14,983	14,983		0

TY 2013 Taxes Schedule

Name: PATE FOUNDATION

EIN: 75-6036779

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD	1,430	1,430		0
FEDERAL EXCISE TAX	1,976	0		0
PROPERTY TAX	6,389	0		6,389