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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
POLLOCK FOUNDATION

% ROBERT G POLLOCK

A Employer identification number
75-6011985

Number and street (or P O box number if mail is not delivered to street address)
2626 HOWELL SUITE 166
Suite

Room/suite

B Telephone number (see instructions)
(214) 871-8155

City or town, state or province, country, and ZIP or foreign postal code
DALLAS, TX 752044064

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 19,492,962

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	120,420			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	1,150	1,150		
	4	Dividends and interest from securities	382,912	382,912		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	1,568,785			
	b	Gross sales price for all assets on line 6a 23,205,092				
	7	Capital gain net income (from Part IV, line 2)		1,568,785		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	7,535	7,535		
	12	**Total.** Add lines 1 through 11	2,080,802	1,960,382		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	7,700	7,700	0	0
	c	Other professional fees (attach schedule)				
	17	Interest	3,031	3,031		
	18	Taxes (attach schedule) (see instructions)	32,916	5,541		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	179,867	179,842		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	223,514	196,114	0	0
	25	Contributions, gifts, grants paid	948,000			948,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,171,514	196,114	0	948,000
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	909,288			
	b	**Net investment income** (if negative, enter -0-)		1,764,268		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	741,660	773,031	773,031
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	13,776,422	14,303,446	16,012,647
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	2,546,021	2,697,256	2,697,256
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	191,313	10,028	10,028
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	17,255,416	17,783,761	19,492,962
Liabilities	17	Accounts payable and accrued expenses	2,934	2,934	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	2,934	2,934	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	17,252,482	17,780,827	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	17,252,482	17,780,827	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	17,255,416	17,783,761	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,252,482
2	Enter amount from Part I, line 27a	2	909,288
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	18,161,770
5	Decreases not included in line 2 (itemize) ▶	5	380,943
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	17,780,827

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,568,785
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,011,000	18,443,698	0 054815
2011	1,015,500	18,682,515	0 054356
2010	1,038,976	18,182,760	0 057141
2009	981,251	17,075,270	0 057466
2008	989,594	18,460,970	0 053605

2	**Total** of line 1, column (d).	2	0 277383
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 055477
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	19,152,930
5	Multiply line 4 by line 3.	5	1,062,547
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	17,643
7	Add lines 5 and 6.	7	1,080,190
8	Enter qualifying distributions from Part XII, line 4.	8	948,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	35,285
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	35,285
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	35,285
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	22,720		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	22,000		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	44,720
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	49
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	9,386
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 9,386 **Refunded** ▶			11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶NONE

14 The books are in care of ▶ROBERT G POLLOCK Telephone no ▶(214) 871-8155

Located at ▶2626 HOWELL SUITE 166 dallas TX ZIP+4 ▶752044064

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ROBERT G POLLOCK 2626 HOWELL ST SUITE 166 dallas, TX 752044064	TRUSTEE 1 0	0	0	0
LAWRENCE S POLLOCK III 2626 HOWELL SUITE 166 dallas, TX 752044064	TRUSTEE 1 0	0	0	0
RICHARD POLLOCK 2626 HOWELL SUITE 166 dallas, TX 752044064	TRUSTEE 1 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	15,812,983
b	Average of monthly cash balances	1b	1,074,465
c	Fair market value of all other assets (see instructions)	1c	2,557,151
d	**Total** (add lines 1a, b, and c)	1d	19,444,599
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	19,444,599
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	291,669
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	19,152,930
6	**Minimum investment return.** Enter 5% of line 5	6	957,647

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	957,647
2a	Tax on investment income for 2013 from Part VI, line 5	2a	35,285		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	35,285
3	Distributable amount before adjustments Subtract line 2c from line 1			3	922,362
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	922,362
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	922,362

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	948,000
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	948,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	948,000

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				922,362
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			13,738	
b Total for prior years 2011 , 2010 , 2009				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009. 0				
c From 2010. 0				
d From 2011. 0				
e From 2012. 0				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 948,000				
a Applied to 2012, but not more than line 2a			13,738	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				922,362
e Remaining amount distributed out of corpus	11,900			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	11,900			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	11,900			
10 Analysis of line 9				
a Excess from 2009. . . . 0				
b Excess from 2010. . . . 0				
c Excess from 2011. . . . 0				
d Excess from 2012. . . . 0				
e Excess from 2013. . . . 11,900				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

POLLOCK FOUNDATION
2626 HOWELL SUITE 166
DALLAS, TX 752044064
(214) 871-8155

b The form in which applications should be submitted and information and materials they should include

NO SPECIFIC FORM, APPLICATION SHOULD INCLUDE THE ORGANIZATION'S TAX STATUS, INCLUDE THE PROPOSED BUDGET & TAX RETURN, DESCRIBE ITS PURPOSES AND ACTIVITIES, LIST AFFILIATES, LIST GOVERNING BOARD MEMBERS, DETAIL GRANT HISTORY AND THE PURPOSE OF REQUESTED GRANT

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				948,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	1,150	
4 Dividends and interest from securities. . . .			14	382,912	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,568,785	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a OTHER INCOME					7,535
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				1,952,847	7,535

13 Total. Add line 12, columns (b), (d), and (e). 13 1,960,382

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	**1a(1)**		No
(2) Other assets	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets	**1b(3)**		No
(4) Reimbursement arrangements	**1b(4)**		No
(5) Loans or loan guarantees	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2014-06-16 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
Richard D Trubitt				
Firm's name	LANE GORMAN TRUBITT PLLC		Firm's EIN	
Firm's address	2626 HOWELL STREET SUITE 700 DALLAS, TX 752044064		Phone no (214) 871-7500	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
SEE ATTACHMENT A -CHARLES SCHWAB 0731	P		
SEE ATTACHMENT A -CHARLES SCHWAB 0731	P		
SEE ATTACHMENT B -NATIONAL FINANCIAL SERVICES 8718	P		
SEE ATTACHMENT B -NATIONAL FINANCIAL SERVICES 8718	P		
SEE ATTACHMENT B -NATIONAL FINANCIAL SERVICES 8718	P		
SEE ATTACHMENT B -NATIONAL FINANCIAL SERVICES 8718	P		
SEE ATTACHMENT C - NATIONAL FINANCIAL SERVICES 6409	P		
SEE ATTACHMENT D - NATIONAL FINANCIAL SERVICES 6417	P		
SEE ATTACHMENT D - NATIONAL FINANCIAL SERVICES 6417	P		
SEE ATTACHMENT D - NATIONAL FINANCIAL SERVICES 6417	P		
SEE ATTACHMENT D - NATIONAL FINANCIAL SERVICES 6417	P		
SEE ATTACHMENT E - NATIONAL FINANCIAL SERVICES 2480	P		
SEE ATTACHMENT E - NATIONAL FINANCIAL SERVICES 2480	P		
SEE ATTACHMENT E - NATIONAL FINANCIAL SERVICES 2480	P		
SEE ATTACHMENT F - NATIONAL FINANCIAL SERVICES 7631	P		
SEE ATTACHMENT F - NATIONAL FINANCIAL SERVICES 7631	P		
SEE ATTACHMENT F - NATIONAL FINANCIAL SERVICES 7631	P		
SEE ATTACHMENT F - NATIONAL FINANCIAL SERVICES 7631	P		
BASIS ADJUSTMENT	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
562,927		570,767	-7,840
2,238,125		2,099,832	138,293
2,112,089		1,912,532	202,056
99		2	97
1,520,373		1,229,252	292,647
1,029,194		648,097	381,217
10,807,059		10,735,776	72,205
406,964		436,980	-30,001
142,746		163,935	-21,189
65,115		64,983	132
598,539		598,736	-85
41,116		40,867	249
102,574		103,642	-1,068
835,513		819,703	15,810
1,110,128		1,127,149	-17,021
59,415		59,136	279
298,215		302,811	-4,596
714,662		712,262	2,400
		15,039	-15,039
			41,570

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-7,840
			138,293
			199,557
			97
			291,121
			381,097
			71,283
			-30,016
			-21,189
			132
			-197
			249
			-1,068
			15,810
			-17,021
			279
			-4,596
			2,400
			-15,039

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
EAST DALLAS COMMUNITY SCHOOL 924 WAYNE STREET DALLAS,TX 75223	NONE	PC	GENERAL OPERATIONS	30,000
TEMPLE EMANU-EL 8500 HILLCREST AVENUE DALLAS,TX 75225	NONE	RELIGIOUS	ENDOWMENT	100,000
TEXAS WIND & MUSICAL OUTREACH 7038 HUNTERS RIDGE DR DALLAS,TX 75248	NONE	PUBLIC CHARITY	GENERAL OPERATIONS	1,000
VOGEL ALCOVE 7557 Rambler Road STE 262 DALLAS,TX 75231	NONE	PUBLIC CHARITY	GENERAL OPERATIONS	50,000
DALLAS ARBORETUM 8525 GARLAND ROAD DALLAS,TX 75218	NONE	PUBLIC CHARITY	GENERAL OPERATIONS	75,000
SHAKESPEARE DALLAS 3630 HARRY HINES BLVD 3rd FLOOR DALLAS,TX 75219	NONE	PUBLIC CHARITY	GENERAL OPERATIONS	5,000
GENESIS WOMEN'S SHELTER 4411 Lemmon Avenue Dallas,TX 75219	None	Public Charity	General Operations	10,000
BIG THOUGHT 1409 SOUTH LAMAR STREET STE 1015 Dallas,TX 75215	None	Public Charity	General Operations	25,000
NORTH TEXAS FOOD BANK 4500 S COCKRELL HILL RD DALLAS,TX 75236	NONE	PUBLIC CHARITY	GENERAL OPERATION	30,000
FAMILY GATEWAY 3000 SAN JACINTO DALLAS,TX 75204	NONE	PUBLIC CHARITY	GENERAL OPERATIONS	10,000
THE SOCIETY FOR CLASSICAL REFORM JUDAISIM 15 NEWBURY STREET BOSTON,MA 02116	NONE	PC	GENERAL OPERATIONS	25,000
CONTACT CRISIS LINE PO BOX 800742 DALLAS,TX 75380	NONE	PUBLIC CHARITY	GENERAL OPERATIONS	5,000
THE ARTHRITIS FOUNDATION 1330 W PEACHTREE STREET SUITE 100 ATLANTA,GA 30309	NONE	PUBLIC CHARITY	GENERAL OPERATIONS	25,000
CHILDREN'S MEDICAL CENTER 1935 MEDICAL DISTRICT DRIVE DALLAS,TX 75235	NONE	PUBLIC CHARITY	GENERAL OPERATIONS	100,000
THE FAMILY PLACE PO BOX 79999 DALLAS,TX 75209	NONE	PUBLIC CHARITY	GENERAL OPERATIONS	25,000
Total . . . . ▶ **3a**				948,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHAI 13101 PRESTON ROAD SUITE 314 DALLAS,TX 75240	NONE	PUBLIC CHARITY	GENERAL OPERATIONS	5,000
KERA 3000 HARRY HINES BOULEVARD DALLAS,TX 75201	NONE	PUBLIC CHAITY	GENERAL OPERATIONS	67,000
CHILD CARE GROUP 1420 WEST MOCKINGBIRD LANE SUITE 3 DALLAS,TX 75247	NONE	PUBLIC CHARITY	GENERAL OPERATIONS	5,000
ST PHILIP'S SCHOOL & COMMUNITY CENTER 1600 PENNSYLVANIA AVENUE DALLAS,TX 75215	NONE	PC	GENERAL OPERATIONS	50,000
FRIENDS OF THE DALLAS PUBLIC LIBRARY 1515 YOUNG STREET 7TH FLOOR DALLAS,TX 75201	NONE	PC	GENERAL OPERATIONS	300,000
READING & RADIO RESOURCES 2007 RANDALL STREET DALLAS,TX 75201	NONE	PC	GENERAL OPERATIONS	5,000
Total . . . . ▶ **3a**				948,000

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Name of the organization	Employer identification number
POLLOCK FOUNDATION	75-6011985

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
POLLOCK FOUNDATION	75-6011985

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	SHIRLEY POLLOCK CHARITABLE LEAD UNI 2626 HOWELL STREET SUITE 116 DALLAS, TX75204	$ 120,420	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
POLLOCK FOUNDATION

Employer identification number
75-6011985

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
POLLOCK FOUNDATION

Employer identification number
75-6011985

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc ,
contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

TY 2013 Accounting Fees Schedule

Name: POLLOCK FOUNDATION

EIN: 75-6011985

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	7,700	7,700		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: POLLOCK FOUNDATION

EIN: 75-6011985

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included

TY 2013 Investments Corporate Stock Schedule

Name: POLLOCK FOUNDATION

EIN: 75-6011985

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NATIONAL FIN. SVCS - FIDELITY	3,489,094	3,477,825
CHARLES SCHWAB - MUTUAL FUNDS	3,914,144	4,756,321
NATIONAL FIN. SVCS - FIDELITY	0	0
NATIONAL FIN. SVCS - FIDELITY	0	0
NATIONAL FIN. SVCS - FIDELITY	4,886,124	5,681,716
NATIONAL FIN. SVCS - FIDELITY	2,014,084	2,096,785

TY 2013 Investments - Land Schedule

Name: POLLOCK FOUNDATION

EIN: 75-6011985

TY 2013 Investments - Other Schedule

Name: POLLOCK FOUNDATION

EIN: 75-6011985

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ARTWORKS	AT COST	64,488	64,488
ACKERMAN VALUE L/P INT.	AT COST	2,632,768	2,632,768

TY 2013 Land, Etc. Schedule

Name: POLLOCK FOUNDATION

EIN: 75-6011985

TY 2013 Other Assets Schedule

Name: POLLOCK FOUNDATION

EIN: 75-6011985

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDENDS RECEIVABLE	16,527	6,363	6,363
UNSETTLED TRANSACTIONS	174,786	3,665	3,665

TY 2013 Other Decreases Schedule

Name: POLLOCK FOUNDATION

EIN: 75-6011985

Description	Amount
UNREALIZED GAIN/LOSS:	0
ACKERMAN VALUE PARTNERS L/P INTEREST	383,407
PTPS	-2,464

TY 2013 Other Expenses Schedule

Name: POLLOCK FOUNDATION

EIN: 75-6011985

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PORTFOLIO EXP ACKERMAN K-1	36,726	36,726		
INVESTMENT EXPENSE	142,991	142,991		
BANK FEES	25			
PORTFOLIO EXP PROSHARES K-1	125	125		

TY 2013 Other Income Schedule

Name: POLLOCK FOUNDATION

EIN: 75-6011985

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NONDIVIDEND DISTRIBUTIONS	7,535	7,535	

TY 2013 Taxes Schedule

Name: POLLOCK FOUNDATION

EIN: 75-6011985

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN INCOME TAX PAID	5,541	5,541		
EXCISE TAXES	27,375			

TD AMERITRADE CLEARING INC Account 922055731

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* OMB No. 1545-0715

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS*

Report on Form 8949, Part I with Box A checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL							
11/05/13	100.000	52,620.35	08/23/13	50,033.00		2,587.35	Sale
BRISTOL-MYERS SQUIBB CM / CUSIP. 110122108 / Symbol: BMY							
12/30/13	1,100.000	48,529.03	08/23/13	46,414.00		2,115.03	Sale
ISHARES MSCI EMERGING MKTS ETF / CUSIP: 464287234 / Symbol: EEM							
06/27/13	490.000	18,752 46	VARIOUS	20,925 87		-2,173.41	Sale
ISHARES RUSSELL 2000 ETF / CUSIP: 464287655 / Symbol: IWM							
03/14/13	45 000	4,244.26	01/22/13	4,004.55		239 71	Sale
06/19/13	135.000	13,352.76	01/22/13	12,013.65		1,339.11	Sale
06/27/13	1,050.000	102,355.81	01/22/13	93,439.50		8,916.31	Sale
	Security total:	119,952.83		109,457.70	0.00	10,495.13	
ISHARES US REAL ESTATE ETF / CUSIP· 464287739 / Symbol: IYR							
03/13/13	20.000	1,368 41	04/23/12	1,231.75		136 66	Sale
04/23/13	60 000	4,327.59	04/23/12	3,695.26		632 33	Sale
06/27/13	364.877	24,298.51	VARIOUS	23,436.50		862.01	Sale
	Security total	29,994.51		28,363.51	0.00	1,631.00	
MARKET VECTORS AGRIBUSINESS / CUSIP 57060U605 / Symbol· MOO							
06/27/13	1,835.000	94,219.44	VARIOUS	99,315.71		-5,096.27	Sale
SPDR TR S&P 500 ETF TR / CUSIP. 78462F103 / Symbol: SPY							
03/14/13	600.000	92,377.94	VARIOUS	82,063.20		10,314 74	Sale
05/14/13	25.000	4,117 48	11/02/12	3,566.33		551 15	Sale
06/27/13	620.000	99,942.70	VARIOUS	96,276.72	0 00	3,665 98	Total of 2 lots
	Security total:	196,438.12		181,906.25	0.00	14,531.87	
SELECT SECTOR SPDR TRUST ENERGY SELECT INDEX / CUSIP: 81369Y506 / Symbol: XLE							
03/13/13	30.000	2,360.49	04/23/12	2,061.11		299.38	Sale
06/27/13	215.000	16,924 51	VARIOUS	16,142.89		781.62	Sale
	Security total:	19,285 00		18,204.00	0.00	1,081.00	

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**For NONCOVERED tax lots values for "Date of acquisition" "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS
Less commissions

TD AMERITRADE CLEARING INC Account 922055731

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* (continued) OMB No 1545-0715

*1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
TEMPUR SEALY INTERNATIONAL INC COM / CUSIP 88023U101 / Symbol: TPX							
12/20/13	900.000	42,495.31	09/27/13	39,698 42		2,796 89	Sale
	Totals:	**622,287.05**		**594,318.46**	**0.00**	**27,968.59**	

*SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information	
SPDR GOLD TR GOLD SHS ETF / CUSIP 78463V107 / Symbol: GLD / Note. CL								
06/27/13	260 000	30,190.46	VARIOUS	40 117.89		-9,927 43	Sale	C

*1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
ISHARES MSCI EMERGING MKTS ETF / CUSIP 464287234 / Symbol EEM							
06/27/13	2,020.000	77,300 92	VARIOUS	83,153 24	340 46	-5,852.32	Total of 2 lots
ISHARES US REAL ESTATE ETF / CUSIP 464287739 / Symbol: IYR							
06/27/13	1,155 123	76,923.92	VARIOUS	71,140.57		5,783.35	Sale
MARKET VECTORS AGRIBUSINESS / CUSIP 57060U605 / Symbol: MOO							
06/27/13	30 000	1,540 37	06/18/12	1,417 71		122 66	Sale
SELECT SECTOR SPDR TRUST ENERGY SELECT INDEX / CUSIP: 81369Y506 / Symbol: XLE							
06/19/13	60 000	4,883 77	04/23/12	4,122 21		761.56	Sale
06/27/13	1 050 000	82,648 80	VARIOUS	72,014.00	0.00	10,634 80	Total of 2 lots
Security total		87,532 57		76,136 21	0 00	11,396 36	

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.
Less commissions

TD AMERITRADE CLEARING INC Account 922055731

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* **(continued)** OMB No. 1545-0715

*1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

8 - Description / CUSIP / 1d - Symbol These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
	Totals:	**243,297.78**		**231,847.73**	**340.46**	**11,450.05**	

*LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information	
SPDR GOLD TR GOLD SHS ETF / CUSIP: 78463V107 / Symbol: GLD / Note. CL								
06/11/13	380.000	50,522.28	04/23/12	60,172.13	.	-9,649 85	Sale	C
06/27/13	120 000	13,934.06	04/23/12	19,001.72		-5,067 66	Sale	C
	Security total·	64,456.34		79,173.85	0 00	-14,717.51		
	Totals:	**64,456.34**		**79,173.85**	**0.00**	**-14,717.51**		

*UNDETERMINED-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term.

Report on Form 8949. Use either Part I with Box B checked or Part II with Box E checked

8 - Description / CUSIP / 1d - Symbol These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
SPDR GOLD TR GOLD SHS ETF / CUSIP: 78463V107 / Symbol: GLD / Note: CL							
03/12/13	0.000	35 33	N/A				Principal payment Note: 16 Cost Basis Factor: 0.000341809
04/09/13	0.000	36.18	N/A				Principal payment Note: 16 Cost Basis Factor: 0.000353866
05/10/13	0.000	42 35	N/A				Principal payment Note. 16 Cost Basis Factor: 0.000403935
06/17/13	0.000	18.52	N/A	.			Principal payment Note: 16 Cost Basis Factor: 0.000364055
	Security total:	132.38		0.00	0.00	0.00	
	Totals:	**132.38**	**Link**	**0.00**	**0.00**	**0.00**	

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS
Less commissions

JAMES W. BOYD, JR.
SSN: 452-78-2864   FORM 8949 ATTACHMENT B

Fidelity INVESTMENTS

2013 TAX REPORTING STATEMENT

JAMES WILLIAM BOYD IR TRUST   Account No **637-955434**   Customer Service 877-231-7500
Recipient ID No *****-**-2864**   Payer's Fed ID Number 04-3523567

FORM 1099-B* 2013 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB NO 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
(This Label is a Substitute for Boxes **1c** & **6**)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4 Federal Income Tax Withheld**	**13** State	**15** State Tax Withheld
ISHARES CORE S&P SMALL-CAP ETF, IJR, 464287804										
Sale	01/18/13	12/04/12	1,400 000	110,298 63	106,495 20	3,803 43				
Sale	01/22/13	12/04/12	70 000	5,768 58	5,324 76	443 82				
Subtotals				**116,067.21**	**111,819.96**					
ISHARES TR DOW JONES U S REAL ESTATE IND, IYR 464287739										
Sale	01/16/13	04/23/12	65 000	4,329 48	4,003 19	326 29				
Cash In Lieu	02/28/13	11/28/12	0 123	8 38	7 74	0 64				
Subtotals				**4,337 86**	**4,010.93**					
ISHARES TR MSCI EMERGING MKTS INDEX FD, EEM, 464287234										
Sale	01/09/13	04/23/12	115 000	5,109 95	4,756 18	353 77				
Cash In Lieu	02/28/13	06/28/12	0 442	19 19	16 48	2 71				
Subtotals				**5,129.14**	**4,772.66**					
ISHARES US PREFERREDSTOCK ETF, PFF, 464288687										
Sale	10/30/13	08/26/13	312 000	11,905 74	11,807 92	97 82				
MARKET VECTORS ETF TR AGRIBUSINESS ETF, MOO, 57060U605										
Sale	02/15/13	04/23/12	1,500 000	81,646 54	76,608 87	5,037 67				
Sale	02/15/13	06/18/12	100 000	5,443 10	4,725 72	717 38				
Subtotals				**87,089.64**	**81,334.59**					
POWERSHARES DB U S DLR INDEX TR POWERSHA, UUP, 73936D107										
Sale	10/30/13	08/26/13	186 000	4,001 37	4,094 96	-93 59				

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JAMES W. BOYD, JR.

SSN: 452-78-2864 FORM 8949 ATTACHMENT B

Fidelity INVESTMENTS

2013 TAX REPORTING STATEMENT

JAMES WILLIAM BOYD IR TRUST

Account No 637-955434 Customer Service 877-231-7500
Recipient ID No ***-**-2864 Payer's Fed ID Number 04-3523567

FORM 1099-B* **2013 Proceeds from Broker and Barter Exchange Transactions** Copy B for Recipient OMB NO 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
(This Label is a Substitute for Boxes **1c** & **6**)

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4 Federal Income Tax Withheld**	**13** State	**15** State Tax Withheld
PROSHARES TR II PROSHARES ULTRASHORTDJ U, SCO, 74347W668										
Sale	08/27/13	08/26/13	50 000	1,367 10	1,446 50	-79 40				
PROSHARES TR PROSHARES ULTRASHORT20+ YR, TBT, 74347B201										
Sale	12/09/13	08/26/13	17 000	1,332 26	1,343 70	-11 44				
SECTOR SPDR TR SHS BEN INT ENERGY, XLE, 81369Y506										
Sale	01/17/13	04/23/12	25 000	1,865 60	1,717 59	148 01				
Cash In Lieu	02/28/13	06/27/12	0 162	12 61	10 22	2 39				
Subtotals				**1,878.21**	**1,727.81**					
SPDR S&P 500 ETF TRUST UNIT SER 1 S&P, SPY 78462F103										
Sale	02/01/13	04/23/12	30 000	4,527 41	4,095 01	432 40				
Cash In Lieu	02/28/13	12/26/12	0 168	25 52	24 04	1 48				
Subtotals				**4,552.93**	**4,119.05**					
SPDR SERIES TRUST NUVEEN S&P HIGH YLD MU, HYMB, 78464A284										
Sale	09/09/13	08/26/13	228 000	11,155 84	11,518 56	-362 72				
TOTALS				**248,817.30**	**237,996.64**			**0.00**		
	Box A Short-Term Realized Gain					**11,367.81**				
	Box A Short-Term Realized Loss					**-547.15**				
	Box A Wash Sale Loss Disallowed						**0.00**			

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JAMES W. BOYD, JR.
SSN: 452-78-2864 FORM 8949 ATTACHMENT B

Fidelity INVESTMENTS

2013 TAX REPORTING STATEMENT

JAMES WILLIAM BOYD IR TRUST Account No **637-955434** Customer Service 877-231-7500
Recipient ID No ***-**-2864 Payer's Fed ID Number 04-3523567

Copy B for Recipient OMB NO 1545-0715

FORM 1099-B* 2013 Proceeds from Broker and Barter Exchange Transactions

Short-term transactions for which basis is not reported to the IRS --report on Form 8949 with Box B checked and/or Schedule D, Part I
(This Label is a Substitute for Boxes **1c** & **6**)

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, **1d** Stock or Other Symbol, CUSIP										
Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4** Federal Income Tax Withheld	**13** State	**15** State Tax Withheld
SPDR GOLD TR GOLD SHS, GLD, 78463V107										
Principal	01/07/13	04/23/12	0 000	26 26	26 12	0 14				
Principal	01/07/13	08/20/12	0 000	0 53	0 52	0 01				
Principal	01/07/13	11/07/12	0 000	8 40	8 79	-0 39				
Principal	02/21/13	04/23/12	0 000	27 13	28 16	-1 03				
Principal	02/21/13	08/20/12	0 000	0 54	0 56	-0 02				
Principal	02/21/13	11/07/12	0 000	8 69	9 47	-0 78				
Subtotals				**71.55**	**73.62**					
TOTALS				**71.55**	**73.62**			**0.00**		
	Box B Short-Term Realized Gain					**0 15**				
	Box B Short-Term Realized Loss					**-2.22**				
	Box B Wash Sale Loss Disallowed						**0.00**			

For any transaction listed on Form 1099-B in a section indicating that **"basis is reported to the IRS"**, we are reporting to the IRS **1c** type of gain or loss (i e short-term or long-term), **6** basis reported to IRS, **8** Description, **1d** Stock or other symbol, the CUSIP number, and columns **1a**, **1b**, **1e**, **2a** and **2b**, **3**, **4**, **5**, **13**, **14** and **15** We are not reporting to the IRS the Action, the Gain / Loss, and all subtotals and totals

For any transaction listed on Form 1099-B in a section indicating that **"basis is not reported to the IRS"**, we are reporting to the IRS **6** Noncovered security **8** Description, **1d** Stock or other symbol, the CUSIP number, and columns **1a**, **1e**, **2a** and **2b**, **4**, **13**, **14** and **15** We are not reporting to the IRS **1c** type of gain or loss (i e short-term or long-term), the Action, the Gain / Loss, columns **1b**, **3**, **5**, and all subtotals and totals

Although Fidelity makes every effort to provide accurate information, please bear in mind that you, the taxpayer, are ultimately responsible for the accuracy of your tax returns

(a) Gross proceeds less commissions

(b) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium

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JAMES W. BOYD, JR.
SSN: 452-78-2864 FORM 8949 ATTACHMENT C

Fidelity INVESTMENTS

2013 TAX REPORTING STATEMENT

JAMES WILLIAM BOYD JR TRUST

Account No 678-902608 Customer Service CALL ADVISOR
Recipient ID No ***-**-2864 Payer's Fed ID Number 04-3523567

FORM 1099-B* 2013 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB NO 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
(This Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, **1d** Stock or Other Symbol CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4 Federal Income Tax Withheld**	**13** State	**16** State Tax Withheld
EATON VANCE LARGE - CAP CORE RESEARCH A EAERX, 277911285										
Sale	01/07/13	various	386 429	5,282 48	5,460 07	-177 59				
EATON VANCE LARGE CAP GROWTH CLASS A, EALCX, 277905691										
Sale	01/07/13	various	175 178	3,165 47	3,048 57	116 90				
EATON VANCE LARGE-CAP VALUE CL A, EHSTX, 277905808										
Sale	01/07/13	various	263 236	5,248 93	4,836 06	412 87				
EATON VANCE LOW DURATION GOV INC A, EALDX, 277911160										
Sale	01/07/13	various	689 908	6,181 58	6,209 26	-27 68				
EATON VANCE MULTI - STRATEGY ALL MRKT A, EAAMX, 27826A102										
Sale	01/07/13	various	12,162 970	124,183 92	122,369 80	1,814 12				
EATON VANCE SPECIAL EQUITIES A, EVSEX, 277905105										
Sale	01/07/13	various	253 408	4,287 66	4,126 10	161 56				
EV ATLANTA CAPITAL SELECT EQUITY CL A, ESEAX, 277902466										
Sale	01/07/13	various	868 771	10,564 26	9,607 56	956 70				
EV PARAMETIC OPT ABSOLUTE RTRN STRY A, EOAAX, 277905295										
Sale	01/07/13	various	1,414 628	14,386 77	14,884 34	-497 57				
EV PARAMETRIC STRCTDABSOLUTE RETURN A, EPRAX, 277923215										
Sale	01/07/13	various	2,751 957	27 822 29	27,860 68	-38 39				

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JAMES W. BOYD, JR.

Fidelity INVESTMENTS

SSN: 452-78-2864 FORM 8949 ATTACHMENT C

2013 TAX REPORTING STATEMENT

JAMES WILLIAM BOYD JR TRUST Account No 678-902608 Customer Service CALL ADVISOR

Recipient ID No ***-**-2864 Payer's Fed ID Number 04-3523567

FORM 1099-B* 2013 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB NO 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I

(This Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in **bold type**)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds etc (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4** Federal Income Tax Withheld	**13** State	**15** State Tax Withheld
EV PARAMETRIC STRCTDEMERGING MKTS CL A, EAEMX, 277923777										
Sale	01/07/13	various	420 455	6,374 10	5,921 32	452 78				
EV PARAMETRIC STRCTDINT'L EQUITY CL A, EAISX, 277923413										
Sale	01/07/13	various	959 183	10,378 36	9,532 71	845 65				
TOTALS				**406,685.51**	**402,275.12**			**0.00**		
		Box A Short-Term Realized Gain				**6,393.21**				
		Box A Short-Term Realized Loss				**-1,982.82**				
		Box A Wash Sale Loss Disallowed					**0.00**			

For any transaction listed on Form 1099-B in a section indicating that **"basis is reported to the IRS"**, we are reporting to the IRS **1c** type of gain or loss (i e short-term or long-term), **6** basis reported to IRS, **8** Description, **1d** Stock or other symbol the CUSIP number, and columns **1a**, **1b**, **1e**, **2a** and **2b**, **3**, **4** **5**, **13**, **14** and **15** We are not reporting to the IRS the Action, the Gain / Loss, and all subtotals and totals

For any transaction listed on Form 1099-B in a section indicating that **"basis is not reported to the IRS"**, we are reporting to the IRS **6** Noncovered security **8** Description, **1d** Stock or other symbol, the CUSIP number, and columns **1a**, **1e**, **2a** and **2b**, **4** **13** **14** and **15** We are not reporting to the IRS **1c** type of gain or loss (i e short-term or long-term), the Action, the Gain / Loss, columns **1b**, **3** **5**, and all subtotals and totals

Although Fidelity makes every effort to provide accurate information, please bear in mind that you, the taxpayer are ultimately responsible for the accuracy of your tax returns

(a) Gross proceeds less commissions

(b) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

JAMES W. BOYD, JR.

Fidelity INVESTMENTS

SSN: 452-78-2864 FORM 8949 ATTACHMENT D

2013 TAX REPORTING STATEMENT

JAMES WILLIAM BOYD JR TRUST

Account No X67-332283 Customer Service. CALL ADVISOR

Recipient ID No ***-**-2864 Payer's Fed ID Number 04-3523567

FORM 1099-B* 2013 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB NO 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I

(This Label is a Substitute for Boxes **1c** & **6**)

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, **1d** Stock or Other Symbol CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4 Federal Income Tax Withheld**	**13** State	**15** State Tax Withheld
ISHARES JP MORGAN USD EMERGING MARKETSB EMB, 464288281										
Sale	08/19/13	02/07/13	0 930	99 37	110 80	-11 43				
Sale	08/19/13	03/07/13	1 638	175 02	195 16	-20 14				
Sale	08/19/13	04/05/13	1 588	169 67	188 18	-18 51				
Sale	08/19/13	05/07/13	1 146	122 44	138 98	-16 54				
Cash In Lieu	08/22/13	05/07/13	0 409	43 70	49 60	-5 90				
Sale	06/10/13	07/09/12	1 716	192 39	198 11	-5 72				
Sale	06/10/13	08/07/12	1 666	186 78	198 88	-12 10				
Sale	06/10/13	09/10/12	1 586	177 81	190 87	-13 06				
Sale	06/10/13	10/05/12	1 475	165 37	179 39	-14 02				
Sale	06/10/13	11/07/12	1 518	170 19	184 75	-14 56				
Sale	06/10/13	12/07/12	1 443	161 78	176 91	-15 13				
Sale	06/10/13	01/02/13	1 442	161 67	177 10	-15 43				
Sale	06/10/13	02/07/13	0 726	81 39	86 50	-5 11				
Subtotals				**1,907.58**	**2,075.23**					
POWERSHARES GLOBAL EXCHANGE TRADED FD TR, PZA 73936T474										
Sale	06/12/13	06/29/12	7 150	176 65	180 04	-3 39				
Sale	06/12/13	07/31/12	7 014	173.29	180.84	-7 55				
Sale	06/12/13	08/31/12	6 681	165 06	171 53	-6 47				
Sale	06/12/13	09/28/12	6 520	161 08	166 83	-5 75				
Sale	06/12/13	10/31/12	6 417	158 54	165 27	-6 73				
Sale	06/12/13	11/30/12	6 957	171 88	182 96	-11 08				

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JAMES W. BOYD, JR.

SSN: 452-78-2864 FORM 8949 ATTACHMENT D

Fidelity INVESTMENTS

2013 TAX REPORTING STATEMENT

JAMES WILLIAM BOYD JR TRUST

Account No **X67-332283** Customer Service CALL ADVISOR

Recipient ID No *****-**-2864** Payer's Fed ID Number 04-3523567

FORM 1099-B* **2013 Proceeds from Broker and Barter Exchange Transactions** Copy B for Recipient OMB NO 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I

(This Label is a Substitute for Boxes **1c** & **6**)

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**6** Wash Sale Loss Disallowed	**4** Federal Income Tax Withheld	**13** State	**16** State Tax Withheld
POWERSHARES GLOBAL EXCHANGE TRADED FD TR, PZA, 73936T474										
Sale	06/12/13	12/31/12	6 667	164 71	171 46	-6 75				
Sale	06/12/13	01/31/13	6 395	157 98	164 98	-7 00				
Sale	08/19/13	01/31/13	0 319	7 13	8 23	-1 10				
Sale	08/19/13	02/28/13	6 445	144 14	165 43	-21 29				
Sale	08/19/13	03/28/13	6 398	143 09	162 43	-19 34				
Sale	08/19/13	04/30/13	6 318	141 30	162 12	-20 82				
Cash In Lieu	08/22/13	04/30/13	0 021	0 48	0 54	-0 06				
Subtotals				**1,765.33**	**1,882.66**					
SPDR SER TR BARCLAYSHIGH YIELD BD ETF, JNK, 78464A417										
Sale	06/24/13	07/11/12	7 709	296 21	303 49	-7 28				
Sale	06/24/13	08/09/12	7 454	286 41	297 50	-11 09				
Sale	06/24/13	09/12/12	7 228	277 73	290 25	-12 52				
Sale	06/24/13	10/09/12	7 237	278 07	290 70	-12 63				
Sale	06/24/13	11/09/12	7 348	282 34	296 07	-13 73				
Sale	06/24/13	12/11/12	7.257	278 84	294 88	-16 04				
Sale	06/24/13	01/07/13	6 980	268 20	286 23	-18 03				
Sale	06/24/13	02/11/13	7 004	269 12	284 38	-15 26				
Sale	06/24/13	03/11/13	7 068	271 58	288 77	-17 19				
Sale	06/24/13	04/09/13	6 862	263 66	281 17	-17 51				
Sale	06/24/13	05/09/13	6 906	265 35	288 31	-22 96				
Sale	06/24/13	06/11/13	6 059	232 79	242 35	-9 56				

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JAMES W. BOYD, JR.

Fidelity INVESTMENTS SSN: 452-78-2864 FORM 8949 ATTACHMENT D

2013 TAX REPORTING STATEMENT

JAMES WILLIAM BOYD JR TRUST Account No X67-332283 Customer Service CALL ADVISOR

Recipient ID No ***-**-2864 Payer's Fed ID Number 04-3523567

FORM 1099-B* **2013 Proceeds from Broker and Barter Exchange Transactions** Copy B for Recipient OMB NO 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I

(This Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, **1d** Stock or Other Symbol CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**6** Wash Sale Loss Disallowed	**4** Federal Income Tax Withheld	**13** State	**15** State Tax Withheld
SPDR SER TR BARCLAYSHIGH YIELD BD ETF, JNK, 78464A417										
Subtotals				**3,270.30**	**3,444.10**					
TOTALS				6,943.21	7,401.99			0 00		
	Box A Short-Term Realized Gain					**0.00**				
	Box A Short-Term Realized Loss					**-458.78**				
	Box A Wash Sale Loss Disallowed						**0.00**			

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JAMES W. BOYD, JR.

SSN: 452-78-2864 FORM 8949 ATTACHMENT D

Fidelity INVESTMENTS

2013 TAX REPORTING STATEMENT

JAMES WILLIAM BOYD JR TRUST

Account No **X67-332283** Customer Service CALL ADVISOR

Recipient ID No *****-**-2864** Payer's Fed ID Number 04-3523567

FORM 1099-B* **2013 Proceeds from Broker and Barter Exchange Transactions** Copy B for Recipient OMB NO 1545-0715

Long-term transactions for which basis is reported to the IRS --report on Form 8949 with Box D checked and/or Schedule D, Part II

(This Label is a Substitute for Boxes **1c & 6**)

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description, **1d** Stock or Other Symbol, CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks, Bonds, etc (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4 Federal Income Tax Withheld**	**13** State	**15** State Tax Withheld
ISHARES JP MORGAN USD EMERGING MARKETSB, EMB, 464288281										
Sale	08/19/13	04/20/12	1 668	178 22	191 47	-13 25	0 25			
Sale	08/19/13	05/18/12	0 030	3 21	3 30	-0 09				
Cash In Lieu	08/22/13	04/20/12	0 031	3 31	3 62	-0 31				
Sale	06/10/13	04/20/12	440 000	49,329 74	49,998 43	-668 69	2 58			
Sale	06/10/13	05/07/12	1 675	187 79	191 76	-3 97				
Sale	06/10/13	06/07/12	1 753	196 53	194 87	1 66				
Subtotals				**49,898.80**	**50,583.45**		**2.83**			
POWERSHARES GLOBAL EXCHANGE TRADED FD TR, PZA, 73936T474										
Sale	06/12/13	04/20/12	2,004 000	49,510 26	50,005 65	-495 39	1 61			
Sale	06/12/13	05/31/12	7 199	177 86	181 80	-3 94				
Sale	08/19/13	04/20/12	6 432	143 85	164 72	-20 87	0 31			
Sale	08/19/13	05/06/12	0 088	1 97	2 08	-0 11				
Cash In Lieu	08/22/13	04/20/12	0 094	2 13	2 50	-0 37				
Subtotals				**49,836.07**	**50,356.75**		**1.92**			
SPDR SER TR BARCLAYSHIGH YIELD BD ETF, JNK, 78464A417										
Sale	06/24/13	04/20/12	200 000	7,684 71	7,869 55	-184 84	0 79			
Sale	06/24/13	04/20/12	1,072 000	41,190 03	42,138 18	-948 15				
Sale	06/24/13	05/09/12	7 919	304 28	313 28	-9 00				
Sale	06/24/13	06/11/12	7 969	306 20	303 13	3 07				
Cash In Lieu	06/27/13	04/20/12	0 860	33 05	35 19	-2 14				
Subtotals				**49,518.27**	**50,659.33**		**0.79**			

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JAMES W. BOYD, JR.
SSN: 452-78-2864 FORM 8949 ATTACHMENT D

Fidelity INVESTMENTS

2013 TAX REPORTING STATEMENT

JAMES WILLIAM BOYD JR TRUST Account No X67-332283 Customer Service CALL ADVISOR
Recipient ID No ***-**-2864 Payer's Fed ID Number 04-3523567

FORM 1099-B* **2013 Proceeds from Broker and Barter Exchange Transactions** Copy B for Recipient OMB NO 1545-0715

Long-term transactions for which basis is reported to the IRS --report on Form 8949 with Box D checked and/or Schedule D, Part II
(This Label is a Substitute for Boxes 1c & 6)

(IRS Form 1099-B box numbers are shown below in **bold** type)

8 Description **1d** Stock or Other Symbol CUSIP

Action	**1a** Date of Sale or Exchange	**1b** Date of Acquisition	**1e** Quantity Sold	**2a** Sales Price of Stocks Bonds, etc (a)	**3** Cost or Other Basis (b)	Gain/Loss (-)	**5** Wash Sale Loss Disallowed	**4** Federal Income Tax Withheld	**13** State	**15** State Tax Withheld
TOTALS				**149,253.14**	**151,599.53**			**0.00**		
	Box D Long-Term Realized Gain					**4.73**				
	Box D Long-Term Realized Loss					**-2,351.12**				
	Box D Wash Sale Loss Disallowed						**5.54**			

For any transaction listed on Form 1099-B in a section indicating that **"basis is reported to the IRS"**, we are reporting to the IRS **1c** type of gain or loss (i e short-term or long-term) **6** basis reported to IRS, **8** Description, **1d** Stock or other symbol, the CUSIP number, and columns **1a**, **1b** **1e**, **2a** and **2b**, **3**, **4**, **5**, **13**, **14** and **15** We are not reporting to the IRS the Action, the Gain / Loss and all subtotals and totals

For any transaction listed on Form 1099-B in a section indicating that **"basis is not reported to the IRS"**, we are reporting to the IRS **6** Noncovered security **8** Description, **1d** Stock or other symbol the CUSIP number and columns **1a**, **1e**, **2a** and **2b**, **4**, **13**, **14** and **15** We are not reporting to the IRS **1c** type of gain or loss (i e short-term or long-term), the Action, the Gain / Loss, columns **1b**, **3**, **5** and all subtotals and totals

Although Fidelity makes every effort to provide accurate information, please bear in mind that you, the taxpayer, are ultimately responsible for the accuracy of your tax returns

(a) Gross proceeds less commissions

(b) Cost or other basis provided may include adjustments including but not limited to dividend reinvestment, return of capital/principal wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers

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James W. Boyd, Jr.
SSN: 452-78-2864
Form 8949 Attachment E

					Price	Qty	Proceeds	Cost	G/(L)
Option									
Call EEM 1-19-13 @ 42 (EEM Jan 19 2013 42.0 Call) (EEM Jan 19 2013 42.0 Call) Trust 637-955434 Specific ID - High Cost									
Short Term	Cover Short	OD	11/19/2012	1/2/2013	0.64	2,000.00	$1,289.64	$5,120.30	[illegible]
				Short Term Total		2,000.00	$1,289.64	$5,120.30	[illegible]
Call GLD 2-16-13 @ 165 (GLD Feb 16 2013 165.0 Call) (GLD Feb 16 2013 165.0 Call) Trust 637-955434 Specific ID - High Cost									
Short Term	Cover Short	OD	12/26/2012	2/12/2013	1.29	600.00	$773.58	$30.68	[illegible]
				Short Term Total		600.00	$773.58	$30.68	[illegible]
Call IJR 1-19-13 @ 78 (IJR Jan 19 2013 78.0 Call) (IJR Jan 19 2013 78.0 Call) Trust 637-955434 Specific ID - High Cost									
Short Term	Cover Short	OD	12/4/2012	1/18/2013	0.79	1,400.00	$1,101.08	$0.00	[illegible]
				Short Term Total		1,400.00	$1,101.08	$0.00	[illegible]
Call IYR 1-19-13 @ 64 (IYR Jan 19 2013 64.0 Call) (IYR Jan 19 2013 64.0 Call) Trust 637-955434 Specific ID - High Cost									
Short Term	Cover Short	OD	11/28/2012	1/16/2013	0.49	1,500.00	$741.89	$4,171.77	[illegible]
				Short Term Total		1,500.00	$741.89	$4,171.77	[illegible]
Call MOO 2-16-13 @ 54 (MOO Feb 16 2013 54.0 Call) (MOO Feb 16 2013 54.0 Call) Trust 637-955434 Specific ID - High Cost									
Short Term	Cover Short	OD	12/21/2012	2/15/2013	0.44	1,600.00	[illegible]	$0.00	$693.53
				Short Term Total		1,600.00	$639.[illegible]	$0.00	[illegible]
Call SPY 2-16-13 @ 145 (SPY Feb 16 2013 145.0 Call) (SPY Feb 16 2013 145.0 Call) Trust 637 955434 Specific ID - High Cost									
Short Term	Cover Short	OD	12/26/2012	2/[illegible]/2013	1.89	600.00	[illegible]	[illegible]	[illegible]
				Short Term Total		600.00	$1,[illegible]	$3,804.66	($2,671.35)
Call XLE 1-19-13 @ 73 (XLE Jan 19 2013 73.0 Call) (XLE Jan 19 2013 73.0 Call) Trust 637-955434 Specific ID - High Cost									
Short Term	Cover Short	OD	11/28/2012	1/17/2013	[illegible]	1,100.00	[illegible]	$2,194.61	($1,542.15)
				Short Term Total		1,100.00	$652.46	$2,194.61	($1,542.15)
Put HYG 1-19-13 @ 92 (HYG Jan 19 2013 92.0 Put) (HYG Jan 19 2013 92.0 Put) Trust 637 955434 Specific ID - High Cost									
Short Term	Cover Short	OD	11/26/2012	1/18/2013	1.38	100.00	$138.26	$0.00	$138.26
				Short Term Total		100.00	$138.26	$0.00	$138.26
Put HYG 2 16-13 @ 93 (99QACNDWC) (99QACNDWC) Trust 637-955434 Specific ID - High Cost									
Short Term	Cover Short	OD	12/28/2012	2/[illegible]/2013	1.38	900.00	$1,244.99	$0.00	$1,214.99
				Short Term Total		900.00	$1,244.99	$0.00	$1,214.99
Call IYR 7-20-13 @ 71 (IYR Jul 20 2013 71.0 Call) (IYR Jul 20 2013 71.0 Call) Trust 922055731 Specific ID - High Cost									
Short Term	Cover Short	OD	5/28/2013	6/21/2013	0.71	1,400.00	$999.49	$125.30	$874.18
				Short Term Total		1,400.00	$999.49	$125.30	$874.18
Call XLE 7-20-13 @ 84 (99QAC34Q4) (99QAC34Q4) Trust 922055731 Specific ID - High Cost									
Short Term	Cover Short	OD	6/19/2013	6/27/2013	0.53	1,200.00	$654.19	$97.79	$556.4[illegible]
				Short Term Total		1,200.00	$654.19	$97.79	$556.4[illegible]

Call EEM 4 20-13 @ 44.50 (EEM Apr 20 2013 44 5 Ca l) (FFM Apr 20 2013 44 5 Ca l) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	[illegible]	3/21/2013	0.45	2,200.00	$985.10	$110.38	$874.72
					Short Term Total	2,200.00	$985.10	$110.38	$874.72

Call EEM 5-18-13 @ 43 50 (99QACPWZC) (99QACPWZC) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	3/21 2013	5/20/2013	0.38	2,400.00	$916.06	$0.00	$916.06
					Short Term Total	2,400.00	$916.06	$0.00	$916.06

Call EEM 7-20-13 @ 44 50 (EEM Jul 20 2013 44 5 Call) (EEM Jul 20 2013 44 5 Call) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	5/20 2013	6/10 2013	0.47	2,400.00	$1,132.06	$96.[illegible]	$1,035.43
					Short Term Total	2,400.00	$1,132.06	$96.[illegible]	$1,035.[illegible]

Call EEM 8-17-13 @ 42 (EEM Aug 17 2013 42 0 Call) (EEM Aug 17 2013 42 0 Call) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	6/10/2013	6/24/2013	0.47	2,400.00	$1,131.80	$451.78	$680.[illegible]
Short Term	Cover Short	OD	6/17/2013	6/27/2013	0.31	100.00	$31.06	$18.92	$12.14
					Short Term Total	2,500.00	$1,162.[illegible]	$470.[illegible]	$692.25

Call GLD 5-18-13 @ 158 (GLD May 18 2013 158.0 Call) (GLD May 18 2013 158 0 Call) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	4/2/2013	4/15/2013	0.78	600.00	$469.37	$76.61	$392.76
					Short Term Total	600.00	$469.37	$76.61	$392.76

Call GLD 6-22-13 @ 140 (1567179SO) (1567179SO) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	4/15/2013	6/11/2013	2.55	700.00	$1,782.51	$116.17	$1,666.34
					Short Term Total	700.00	$1,782.51	$116.17	$1,666.34

Call GLD 8-17-13 @ 137 (99QACXAC5) (99QACXAC5) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	6/13/2013	6/27/2013	2.46	300.00	$738.20	$78.08	$660.12
					Short Term Total	300.00	$738.20	$78.08	$660.12

Call IWM 5-18-13 @ 97 (IWM May 18 2013 97.0 Call) (IWM May 18 2013 97.0 Call) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	3/14/2013	4/23/2013	0.91	1,100.00	$998.78	$132.54	$866.24
					Short Term Total	1,100.00	$998.78	$132.54	$866.24

Call IWM 6-22-13 @ 95 (IWM Jun 22 2013 95.0 Call) (IWM Jun 22 2013 95 0 Call) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	4/23/2013	6/19/2013	1.15	1,100.00	$1,268.63	$4,468.27	($3,199.64)
					Short Term Total	1,100.00	$1,268.63	$4,468.27	($3,199.64)

Call IWM 8-17-13 @ 102 (99QACLRSZ) (99QACLRSZ) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	6/19/2013	6/27/2013	1.25	1,000.00	$1,248.23	$642.74	$605.49
					Short Term Total	1,000.00	$1,248.23	$642.74	$605.49

Call IYR 5-18-13 @ 70 (99QACUX94) (99QACUX94) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	3/13/2013	4/23/2013	0.42	1,300.00	$546.20	$3,265.43	($2,719.23)
Short Term	Cover Short	OD	3/13/2013	4/23/2013	0.42	100.00	$42.02	$250.87	($208.85)
					Short Term Total	1,400.00	$588.22	$3,516.30	($2,928.08)

Call IYR 6-22-13 @ 74 (IYR Jun 22 2013 74 0 Call) (IYR Jun 22 2013 74.0 Call) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	4/23/2013	5/29/2013	0.49	1,300.00	$639.78	$99.77	$540.01
					Short Term Total	1,300.00	$639.78	$99.77	$540.01

Call IYR 8-17-13 @ 65 (IYR Aug 17 2013 65.0 Call) (IYR Aug 17 2013 65 0 Call) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	6/24/2013	6/27/2013	1.11	1,500.00	$1,664.91	$4,260.36	($2,595.45)
					Short Term Total	1,500.00	$1,664.91	$4,260.36	($2,595.45)

Call MOO 4-20-13 @ 56 (99QACTFXF) (99QACTFXF) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	2/28/2013	4/22/2013	0.39	1,800.00	$696.18	$0.00	$696.18
					Short Term Total	1,800.00	$696.18	$0.00	$696.18

Call MOO 6-22-13 @ 54 (MOO130622C54) (1737509RL) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	4/22/2013	6/24/2013	0.59	1,800.00	$1,063.16	$0.00	$1,063.16
					Short Term Total	1,800.00	$1,063.16	$0.00	$1,063.16

Call MOO 8-17-13 @ 52 (MOO Aug 17 2013 52.0 Call) (MOO Aug 17 2013 52.0 Call) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	6/24/2013	6/27/2013	0.72	1,800.00	$1,299.73	$1,978.94	($679.21)
					Short Term Total	1,800.00	$1,299.73	$1,978.94	($679.21)

Call SPY 5-18-13 @ 159 (SPY May 18 2013 159.0 Call) (SPY May 18 2013 159.0 Call) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	3/15/2013	5/14/2013	1.39	600.00	$835.37	$3,604.61	($2,769.24)
					Short Term Total	600.00	$835.37	$3,604.61	($2,769.24)

Call SPY 7-20-13 @ 170 (SPY Jul 20 2013 170.0 Call) (SPY Jul 20 2013 170.0 Call) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	5/14/2013	6/27/2013	1.1	600.00	$661.37	$52.65	$608.72
					Short Term Total	600.00	$661.37	$52.65	$608.72

Call XLE 4-20-13 @ 80 (99QACT57H) (99QACT57H) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	3/13/2013	4/15/2013	0.77	1,100.00	$844.79	$11.19	$833.60
					Short Term Total	1,100.00	$844.79	$11.19	$833.60

Call XLE 6-22-13 @ 78 (XLE Jun 22 2013 78.0 Call) (XLE Jun 22 2013 78.0 Call) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	4/[illegible]5/2013	6/19/2013	1.17	1,300.00	$1,519.73	$4,759.32	($3,239.59)
					Short Term Total	1,300.00	$1,519.73	$4,759.32	($3,239.59)

Put HYG 4-20-13 @ 93 (HYG Apr 20 2013 93.0 Put) (HYG Apr 20 2013 93.0 Put) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	2/28/2013	4/22/2013	1.08	1,100.00	$1,191.54	$0.00	$1,191.54
					Short Term Total	1,100.00	$1,191.54	$0.00	$1,191.54

Put HYG 6-22-13 @ 95 (HYG130622P95) (1640309CC) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	4/25/2013	5/24/2013	1.24	1,100.00	$1,361.77	$1,367.11	($5.34)
Short Term	Cover Short	OD	4/30/2013	5/24/2013	1.02	100.00	$101.97	$124.28	($22.31)
					Short Term Total	1,200.00	$1,463.74	$1,491.39	($27.65)

Put HYG 7-20-13 @ 94 (HYG130720P94) (HYG130720P94) Trust 922055731 Specific ID - High Cost

Short Term	Cover Short	OD	5/24/2013	6/27/2013	1.33	1,200.00	$1,591.44	$3,853.79	($2,262.35)
					Short Term Total	1,200.00	$1,591.44	$3,853.79	($2,262.35)

Put SPY 12-21-13 @ 115 (SPY Dec 21 2013 115.0 Put) (SPY Dec 21 2013 115.0 Put) Trust 922055731 Specific ID - High Cost

Short Term	Sale & Exchange	OD	12/5/2012	6/27/2013	0.6	2,200.00	$1,322.94	$8,294.69	($6,971.75)
					Short Term Total	2,200.00	$1,322.94	$8,294.69	($6,971.75)

Total Proceeds	Cost Basis	Gain/Loss
35,693.22	53,663.11	(17,969.89)