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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE KLEIN FOUNDATION		A Employer identification number 75-2555667	
Number and street (or P O box number if mail is not delivered to street address) 600 N MARIENFELD ROOM/SUITE 906		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code MIDLAND, TX 79701		B Telephone number (see instructions) (432) 684-8442	
G Check all that apply <div>☐ Initial return</div> <div>☐ Initial return of a former public charity</div> <div>☐ Final return</div> <div>☐ Amended return</div> <div>☒ Address change</div> <div>☐ Name change</div>		C If exemption application is pending, check here ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,021,453		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	1,000,000			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	767	6		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a _____				
	7 Capital gain net income (from Part IV , line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	1,000,767	6		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	897			
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	1,404	12		
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	2,301	12		0
	25 Contributions, gifts, grants paid	1,911,023			1,911,023
	26 Total expenses and disbursements. Add lines 24 and 25	1,913,324	12		1,911,023
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-912,557			
	b Net investment income (if negative, enter -0-)		0		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	1,397	16,477	16,477
	2	Savings and temporary cash investments	1,932,613	1,004,976	1,004,976
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,934,010	1,021,453	1,021,453	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,934,010	1,021,453	
	30	Total net assets or fund balances (see page 17 of the instructions)	1,934,010	1,021,453	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,934,010	1,021,453	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,934,010
2	Enter amount from Part I, line 27a	2	-912,557
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	1,021,453
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,021,453

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	WELLS FARGO S-T NONCOVERED SALES	P	2013-01-30	2013-12-31
b	WELLS FARGO L-T NONCOVERED SALES	P	2012-07-19	2013-12-31
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,672,000		1,672,000	
b 15,000		15,000	
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012		111,753	
2011		34,987	
2010	1,211,991	543,678	2 229244
2009		35,389	
2008	1,581,658	293,261	5 393346

2 Total of line 1, column (d).	2	7 622590
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	3 811295
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,083,375
5 Multiply line 4 by line 3.	5	4,129,062
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	
7 Add lines 5 and 6.	7	4,129,062
8 Enter qualifying distributions from Part XII, line 4.	8	1,911,023

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	0
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2.	3	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions): ☐ TX _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of SUSAN GUERRY Telephone no (432) 684-8442 Located at 600 N MARIENFELD STE 906 MIDLAND TX ZIP +4 79701			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country			

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

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Part VIII

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	

Total. Add lines 1 through 3

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	1,099,873
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,099,873
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	1,099,873
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	16,498
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,083,375
6	Minimum investment return. Enter 5% of line 5.	6	54,169

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	54,169
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	54,169
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	54,169
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	54,169

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,911,023
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,911,023
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,911,023
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				54,169
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.	1,561,587			
b From 2009.				
c From 2010.	1,184,807			
d From 2011.				
e From 2012.				
f Total of lines 3a through e.	2,746,394			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 1,911,023				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				54,169
e Remaining amount distributed out of corpus	1,856,854			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,603,248			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	1,561,587			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	3,041,661			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .	1,184,807			
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .	1,856,854			

Part XIV

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- a** "Assets" alternative test—enter
 - (1)** Value of all assets
 - (2)** Value of assets qualifying under section 4942(j)(3)(B)(i)
- b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .
- c** "Support" alternative test—enter
 - (1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
 - (2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .
 - (3)** Largest amount of support from an exempt organization
 - (4)** Gross investment income

Part XV

1

MICHAEL L KLEIN

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	1,911,023
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL L KLEIN  600 N MARIENFELD SUITE 906 MIDLAND, TX 79701	PRES/TRUSTEE 1 00	0	0	0
JEANNE LEE KLEIN  600 N MARIENFELD SUITE 906 MIDLAND, TX 79701	VP/SEC/TR/TT 1 00	0	0	0
JACQUELYN KLEIN BROWN  914 SKYVIEW DRIVE SANTA BARBARA, CA 93108	TRUSTEE 0 10	0	0	0
ERIC KLEIN  4875 DAKOTA BLVD BOULDER, CO 80304	TRUSTEE 0 10	0	0	0
QUINCY JAMES LEE  1501 CANYON VIEW AUSTIN, TX 78746	TRUSTEE 0 10	0	0	0
ZACHRY STEVEN LEE  970 ISOM RD SAN ANTONIO, TX 78216	TRUSTEE 0 10	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN DIABETES ASSOCIATION 1701 N BEAUREGARD ST ALEXANDRIA,VA 22311		PC	SUPPORT OF MEDICAL RESEARCH	1,000
AMERICAN PATRONS OF TATE 520 WEST 27TH ST UNIT 404 NEW YORK,NY 10001		PC	SUPPORT OF THE ARTS	1,000
AMOA - ARTHOUSE 3809 WEST 35TH STREET AUSTIN,TX 78746		PC	SUPPORT OF THE ARTS	110,000
ANTI-DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK,NY 10158		PC	SUPPORT ANTI-DISCRIMINATION EFFORTS	8,000
ART 21 133 WEST 25TH STREET STE 3E NEW YORK,NY 10001		PC	SUPORT OF THE ARTS	2,500
ARTPACE SAN ANTONIO 445 NORTH MAIN AVE SAN ANTONIO,TX 78205		PC	SUPPORT OF THE ARTS	10,000
ASPEN ART MUSEUM 590 NORTH MILL STREET ASPEN,CO 81611		PC	SUPPORT OF THE ARTS	5,000
AUSTIN BAT CAVE 1807 W 11TH STREET AUSTIN,TX 78703		PC	SUPPORT OF WILDLIFE & CONSERVATION	10,000
AUSTIN CHILDREN'S MUSEUM 201 COLORADO STREET AUSTIN,TX 78701		PC	SUPPORT OF EARLY CHILDHOOD EDUCATION	20,000
AUSTIN INDEPENDENT SCHOOL DISTRICT 1111 WEST 6TH STREET C150 AUSTIN,TX 78703		PC	SUPPORT OF PUBLIC EDUCATION	111,111
AUSTIN YMBL SUNSHINE CAMPS PO BOX 684980 AUSTIN,TX 78768		PC	SUPPORT OF LOW-INCOME YOUTH ACTIVITY	10,000
BALLROOM MARFA PO BOX 1661 MARFA,TX 79843		PC	SUPPORT OF ART & CULTURE	5,000
BLANTON MUSEUM OF ART - UNIV OF TEX 200 E MARTIN LUTHER KING AUSTIN,TX 78712		PC	SUPPORT OF ART & CULTURE	220,000
BREAKTHROUGH AUSTIN 1050 EAST 11TH STREET SUITE 350 AUSTIN,TX 78702		PC	SUPPORT OF STUDENT LEADERSHIP & EDUC	10,000
CANCER RESEARCH CENTER 3501 BERRYWOOD DRIVE COLUMBIA,MO 65201		PC	SUPPORT OF CANCER RESEARCH	1,000
Total  3a				1,911,023

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CENTER FOR CHILD PROTECTION 8509 FM 969 BUILDING 2 AUSTIN,TX 78724		PC	SUPPORT OF CHILD ADVOCACY	35,000
CHINATI FOUNDATION PO BOX 1135 MARFA,TX 79843		PC	SUPPORT OF ARTS & CULTURE	2,500
CITIZEN GENERATION PO BOX 160564 AUSTIN,TX 78716		PC	PROMOTION OF PHILANTROPY & COMMUNIT	10,000
COMPANION ANIMAL RESCUE & MEDICAL PO BOX 1233 CORRALES,NM 87048		PC	SUPPORT OF ANIMAL WELFARE	5,000
CONGREGATION B'NAI B'RITH 1000 SAN ANTONIO CREEK RD SANTA BARBARA,CA 93111		PC	SUPPORT OF RELIGIOUS INSTITUTION	5,000
CRANE COUNTRY DAY SCHOOL 1795 SAN LEANDRO LANE SANTA BARBARA,CA 93108		PC	SUPPORT OF EDUCATIONAL INSTITUTION	1,700
DALLAS MUSEUM OF ART 1717 NORTH HARWOOD DALLAS,TX 75201		PC	SUPPORT OF ART & CULTURE	28,000
FAMILY ELDERCARE 1700 RUTHERFORD LANE AUSTIN,TX 78754		PC	SUPPORT OF ELDERCARE PROGRAMS	10,000
FRIENDS OF THE LBJ PRESIDENTIAL LIB 2313 RED RIVER STREET AUSTIN,TX 78705		PC	SUPPORT OF PUBLIC LIBRARY	10,000
FUSEBOX 2023 E CESAR CHAVEZ AUSTIN,TX 78702		PC	SUPPORT OF ART & CULTURE	5,000
GULF COAST MARROW DONOR PROGRAM 1400 LA CONCHA LANE HOUSTON,TX 77054		PC	SUPPORT OF MEDICAL RESEARCH	10,000
HOUSE EAR INSTITUTE 2100 WEST THIRD STREET LOS ANGELES,CA 90057		PC	SUPPORT OF MEDICAL RESEARCH	10,000
HUMANITIES TEXAS 1410 RIO GRANDE STREET AUSTIN,TX 78701		PC	SUPPORT OF HUMANITARIAN EFFORTS	132,650
INDEPENDENT CURATORS INTERNATIONAL 799 BROADWAY ST SUITE 205 NEWYORK,NY 10003		PC	SUPPORT OF ART & CULTURE	1,750
JARROW MONTESSORI SCHOOL 3900 ORANGE COURT BOULDER,CO 80304		PC	SUPPORT OF EDUCUTIONAL INSTITUTION	1,000
Total 3a				1,911,023

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JEWISH COMMUNITY ASSN OF AUSTIN 7300 HART LANE AUSTIN,TX 78731		PC	SUPPORT RELIGIOUS & CULTURAL EFFORTS	25,000
JEWISH COUNCIL FOR PUBLIC AFFAIRS 116 EAST 27TH STREET 10TH FLOOR NEWYORK,NY 10016		PC	SUPPORT RELIGIOUS & CULTURAL EFFORTS	5,000
JOHN HOPKINS CENTER FOR AMERICAN 621 N WASHINGTON STREET BALTIMORE,MD 21205		PC	PROMOTE HEALTH & NUTRITION EDUCATION	50,000
LAGUNA BLANCA SCHOOL 4125 PALOMA DRIVE SANTA BARBARA,CA 93110		PC	SUPPORT OF EDUCATIONAL INSTITUTION	2,500
LIVESTRONG CAMP KESEM 2201 E SIXTH STREET AUSTIN,TX 78702		PC	SUPPORT OF CANCER SURVIVORS	10,000
MD ANDERSON CANCER CENTER PO BOX 301439 HOUSTON,TX 77230		PC	SUPPORT OF CANCER RESEARCH	500
MUSEUM OF CONTEMPORARY ART 770 NE 125TH STREET NORTH MIAMI,FL 33161		PC	SUPPORT OF ART & CULTURE	1,500
NEW MEXICO MUSEUM OF ART PO BOX 2065 SANTA FE,NM 87504		PC	SUPPORT OF ART & CULTURE	16,000
THE ORANGE CENTER FOR VISIONARY ART 2402 MUNGER STREET HOUSTON,TX 77023		PC	SUPPORT OF ART & CULTURE	2,500
PEOPLE'S COMMUNITY CLINIC 2909 N IH-35 AUSTIN,TX 78722		PC	SUPPORT OF COMMUNITY HEALTH CENTER	1,000
PRESBYTERIAN EAR INSTITUTE 415 CEDAR STREET SE ALBUQUERQUE,NM 87106		PC	SUPPORT OF MEDICAL RESEARCH	100,000
PUBLICOLOR 149 MADISON AVENUE SUITE 1201 NEWYORK,NY 10016		PC	SUPPORT OF EDUCATION ACTIVITIES	500
RICE UNIVERSITY - ART GALLERY PATRO PO BOX 1892 HOUSTON,TX 77251		PC	SUPPORT OF ART & CULTURE	1,000
RISE ACROSS TEXAS FOUNDATION PO BOX 12275 AUSTIN,TX 78711		PC	SUPPORT OF EDUCATION ACTIVITIES	16,667
SANTA BARBARA MUSEUM OF ART 1130 STATE STREET SANTA BARBARA,CA 93101		PC	SUPPORT OF THE ARTS	10,000
Total  3a				1,911,023

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SANTA FE INSTITUTE 1399 HYDE PARK ROAD SANTA FE,NM 87501		PC	SUPPORT OF SCIENTIFIC RESEARCH	10,000
SITE SANTA FE 1606 PASEO DE PERALTA SANTA FE,NM 87501		PC	SUPPORT OF ART & CULTURE	22,500
ST STEPHEN'S EPISCOPAL SCHOOL 6500 ST STEPHENS DRIVE AUSTIN,TX 78746		PC	SUPPORT OF EDUCATIONAL INSTITUTION	10,000
TEMPLE BETH EL 1501 NORTH GRANDVIEW ODESSA,TX 79761		PC	SUPPORT RELIGIOUS & CULTURAL EFFORTS	600
TEMPLE BETH SHALOM 7300 HART LANE AUSTIN,TX 78731		PC	SUPPORT RELIGIOUS & CULTURAL EFFORTS	6,000
TEXAS BOOK FESTIVAL 610 BRAZOS SUITE 200 AUSTIN,TX 78701		PC	ENHANCEMENT OF PUBLIC LIBRARIES	15,000
TEXAS CULTURAL TRUST 823 CONGRESS AVE SUITE 650 AUSTIN,TX 78701		PC	SUPPORT OF ART EDUCATION & AWARENESS	10,000
TEXAS HEART INSTITUTE AT ST LUKE'S PO BOX 20345 HOUSTON,TX 77225		PC	SUPPORT OF MEDICAL RESEARCH	55,000
THE MENIL SOCIETY 1515 BARNARD HOUSTON,TX 77006		PC	SUPPORT OF THE ARTS	5,000
THE NOBILITY PROJECT 2600 CUERNAVACA DRIVE AUSTIN,TX 78733		PC	SUPPORT HUMANITARIAN EFFORTS	10,000
THE TEXAS TRIBUNE 823 CONGRESS AVE SUITE 210 AUSTIN,TX 78701		PC	SUPPORT OF PUBLIC ENGAGEMENT	20,000
THE UNITED WAY OF MIDLAND 1209 W WALL STREET MIDLAND,TX 79701		PC	SUPPORT COMMUNITY PROGRAMS	500
THE UNIVERSITY OF MIAMI MILLER SCHOOL OF MEDICINE PO BOX 016960 MIAMI,FL 33101		PC	SUPPORT OF MEDICAL RESEARCH	1,000
THE UNIVERSITY OF TEXAS AT AUSTIN PO BOX 7458 AUSTIN,TX 78713		PC	SUPPORT OF EDUCATIONAL INSTITUTION	299,545
THE WESTVIEW SCHOOL 1900 KERSTEN DRIVE HOUSTON,TX 77043		PC	SUPPORT OF AUTISTIC EDUCATION	500
Total  3a				1,911,023

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TRINITY EPISCOPAL SCHOOL 3901 BEE CAVE ROAD AUSTIN,TX 78746		PC	SUPPORT OF EDUCATIONAL INSTITUTION	20,000
UNITED STATES ARTISTS 5757 WILSHIRE BLVD LOS ANGELES,CA 90036		PC	SUPPORT OF THE ARTS	50,000
UNIVERSITY OF TEXAS PRESS 2100 COMAL STREET AUSTIN,TX 78722		PC	SUPPORT OF HIGHER EDUCATION	20,000
UNIVERSITY OF WYOMING FOUNDATION 1200 EAST IVINSON AVE LARAMIE,WY 82070		PC	SUPPORT OF EDUCATIONAL INSTITUTION	240,000
WHITNEY MUSEUM OF AMERICAN ART 945 MADISON AVE AT 75TH NEW YORK,NY 10021		PC	SUPPORT OF THE ARTS	75,000
WOMEN AND THEIR WORK 1710 LAVACA STREET AUSTIN,TX 78701		PC	SUPPORT OF THE ARTS	1,000
WRIGHT FOUNDATION FOR PEDIATRIC OPT 520 S SAN VICENTE BLVD LOS ANGELES,CA 90048		PC	SUPPORT OF MEDICAL RESEARCH	5,000
Total  3a				1,911,023

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization THE KLEIN FOUNDATION	Employer identification number 75-2555667
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MICHAEL L KLEIN 600 N MARIENFELD SUITE 906 MIDLAND, TX 79701	\$ 1,000,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization THE KLEIN FOUNDATION	Employer identification number 75-2555667
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization THE KLEIN FOUNDATION	Employer identification number 75-2555667
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	<div>Transferee's name, address, and ZIP 4Relationship of transferor to transferee</div>		

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	<div>Transferee's name, address, and ZIP 4Relationship of transferor to transferee</div>		

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	<div>Transferee's name, address, and ZIP 4Relationship of transferor to transferee</div>		

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	<div>Transferee's name, address, and ZIP 4Relationship of transferor to transferee</div>		

TY 2013 Accounting Fees Schedule

Name: THE KLEIN FOUNDATION

EIN: 75-2555667

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BEAL & WILKES, PC - PREPARATION				
OF FORM 990-PF	897			

TY 2013 Compensation Explanation

Name: THE KLEIN FOUNDATION

EIN: 75-2555667

Person Name	Explanation
MICHAEL L KLEIN	
JEANNE LEE KLEIN	
JACQUELYN KLEIN BROWN	
ERIC KLEIN	
QUINCY JAMES LEE	
ZACHRY STEVEN LEE	

TY 2013 Other Expenses Schedule**Name:** THE KLEIN FOUNDATION**EIN:** 75-2555667

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INVESTMENT ACCOUNT MANAGMENT	1,404	12		