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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

 STM127
 Department of the Treasury
 Internal Revenue Service

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 Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation Patrick & Beatrice Haggerty Foundation		A Employer identification number 75-2076387
Number and street (or P.O. box number if mail is not delivered to street address) 4712 Shadywood Lane	Room/suite	B Telephone number (see instructions) (214) 361-1921
City or town, state or province, country, and ZIP or foreign postal code Dallas, TX 75209		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply:	<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change	<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 14,675,306	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	347,956	347,956		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	492,218			
	b Gross sales price for all assets on line 6a 6,430,733				
	7 Capital gain net income (from Part IV, line 2)		492,218		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) STM106	2,857	2,857			
12 Total. Add lines 1 through 11	843,031	843,031			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STM108	8,575	2,144		6,431
	c Other professional fees (attach schedule) STM109	117,967	81,336		36,631
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STM110	15,125	5,125		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	2,074			2,074
	22 Printing and publications				
	23 Other expenses (attach schedule) STM103	3,458	1,687		1,771
	24 Total operating and administrative expenses. Add lines 13 through 23	147,199	90,292		46,907
	25 Contributions, gifts, grants paid	561,000			561,000
26 Total expenses and disbursements. Add lines 24 and 25	708,199	90,292		607,907	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	134,832				
b Net investment income (if negative, enter -0-)		752,739			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	40,493	38,113	38,113
	2 Savings and temporary cash investments	45,643	273,995	273,995
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) STM137	8,715,930	11,134,652	11,134,652
	c Investments - corporate bonds (attach schedule) STM138	1,744,182	1,354,153	1,354,153
	11 Investments - land, buildings, and equipment: basis ▶			
Less: accumulated depreciation (attach schedule) ▶				
12 Investments - mortgage loans				
13 Investments - other (attach schedule) STM118	2,320,189	1,874,393	1,874,393	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	12,866,437	14,675,306	14,675,306	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	12,866,437	14,675,306		
30 Total net assets or fund balances (see instructions)	12,866,437	14,675,306		
31 Total liabilities and net assets/fund balances (see instructions)	12,866,437	14,675,306		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,866,437
2 Enter amount from Part I, line 27a	2	134,832
3 Other increases not included in line 2 (itemize) ▶ STM115	3	1,674,037
4 Add lines 1, 2, and 3	4	14,675,306
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	14,675,306

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (yr., mo., day)	(d) Date sold (yr., mo., day)
1a Publicly traded securities-LT				
b Publicly traded securities-ST				
c Publicly traded securities-CG distr				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,361,653		3,048,021	313,632
b 3,007,927		2,890,494	117,433
c 61,153			61,153
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			313,632
b			117,433
c			61,153
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	492,218
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	675,405	12,885,030	0.052418
2011	596,213	13,459,724	0.044296
2010	553,037	12,409,567	0.044565
2009	751,975	11,024,535	0.068209
2008	797,635	15,039,427	0.053036

2 Total of line 1, column (d)	2	0.262525
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.052505
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	13,865,442
5 Multiply line 4 by line 3	5	728,005
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	7,527
7 Add lines 5 and 6	7	735,532
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	607,907

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	15,055
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3 Add lines 1 and 2		3	15,055
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	15,055
6 Credits/Payments.			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	14,960	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	2,000	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	16,960	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	1	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,904	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ▶ 1,904 Refunded ▶	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV . . .	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TX		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ Patrick E Haggerty	Telephone no. ▶ 214-361-1921		
	Located at ▶ 4712 Shadywood Lane, Dallas, TX	ZIP+4 ▶ 75209		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041-Check here	▶ <input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☒ N/A ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?If "Yes," attach the statement required by Regulations section 53.4945-5(d). ☒ N/A ☐ Yes ☐ No**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870.**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See attached schedule	0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	13,671,220
b	Average of monthly cash balances	1b	405,371
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	14,076,591
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	14,076,591
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	211,149
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,865,442
6	Minimum investment return. Enter 5% of line 5	6	693,272

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	693,272
2a	Tax on investment income for 2013 from Part VI, line 5	2a	15,055
b	Income tax for 2013. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	15,055
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	678,217
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	678,217
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	678,217

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	607,907
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	607,907
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	607,907

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				678,217
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			607,044	
b Total for prior years.				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 607,907				
a Applied to 2012, but not more than line 2a			607,044	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				863
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				677,354
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9.				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None,

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None,

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See attached schedule				561,000
Total			3a	561,000
b Approved for future payment None				0
Total			3b	0

Part XVI-A	Analysis of Income-Producing Activities
-------------------	--

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies . . .					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments .					
4	Dividends and interest from securities			14	347,956	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property . . .					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	492,218	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a Sec litigation			14	1,170	
b	K1 income			14	1,687	
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				843,031	
13	Total. Add line 12, columns (b), (d), and (e)				13	843,031

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes
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[illegible]

Federal Supporting Statements

2013 PG 01

Employer Identification Number

75-2076387

Statement #106

Name(s) as shown on return

Patrick & Beatrice Haggerty Foundation

Form 990PF, Part I, Line 11 - Other Income Schedule

Description	Revenue and expenses	Net investment	Adjusted net income
Securities litigation	1,170	1,170	0
Excelsior Private Markets K1	1,687	1,687	0
Totals	<u>2,857</u>	<u>2,857</u>	<u>0</u>

Federal Supporting Statements

2013 PG 01

Employer Identification Number
75-2076387

Name(s) as shown on return

Patrick & Beatrice Haggerty Foundation

Statement #108

Form 990PF, Part I, Line 16(b) - Accounting Fees Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
Owen E. Barnett, CPA - preparation of 2012 Form 990PF	0 8,575	0 2,144	0 0	0 6,431
Totals	8,575	2,144	0	6,431

Federal Supporting Statements

2013 PG 01

Employer Identification Number

75-2076387

Name(s) as shown on return

Patrick & Beatrice Haggerty Foundation

Statement #109

Form 990PF, Part I, Line 16(c) - Other Professional Fees Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
JRB International -	0	0	0	0
Administrative services	21,729	4,346	0	17,383
Financial manager, advisory	0	0	0	0
and account fees:	0	0	0	0
US Trust	96,238	76,990	0	19,248
Totals	117,967	81,336	0	36,631

Federal Supporting Statements

2013 PG 01

Employer Identification Number
75-2076387

Name(s) as shown on return

Patrick & Beatrice Haggerty Foundation

Statement #110

Form 990PF, Part I, Line 18 - Taxes Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
Foreign income taxes	5,125	5,125	0	0
Federal excise tax - 2013	10,000	0	0	0
Totals	15,125	5,125	0	0

Federal Supporting Statements

2013 PG 01

Name(s) as shown on return

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Patrick & Beatrice Haggerty Foundation

75-2076387

Form 990PF, Part I, Line 23 -- Other Expenses Schedule

Statement #103

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
Telephone	783	0	0	783
Postage	158	0	0	158
Office expenses	825	0	0	825
K-1 Investment exp-Excessior	1,687	1,687	0	0
Miscellaneous fee	5	0	0	5
Totals	3,458	1,687	0	1,771

Federal Supporting Statements**2013 PG 01**

Name(s) as shown on return

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Patrick & Beatrice Haggerty Foundation

75-2076387

**Form 990PF, Part II, Line 10(b)
Investments: Corporate Stock Schedule**

Statement #137

<u>Category</u>	<u>BOY</u>	<u>Book Value</u>	<u>EOY FMV</u>
Equities-US Trust acct 2214641	2,472,388	3,230,990	3,230,990
Equities-US Trust acct 224473	4,244,835	5,062,509	5,062,509
Equities-US Trust acct 2257715	1,998,707	2,841,153	2,841,153
Totals	8,715,930	11,134,652	11,134,652

Attach to Form 990-PF

The Patrick and Beatrice Haggerty Foundation 75-2076387
2013

Page 2, Part II, line 10(b) - Investments - stocks

<u>Security</u>	<u>Number of shares</u>	<u>End of year market value</u>
<u>Equities - US Trust acct #2214641</u>		
Goldman Sachs Growth Opportunities Fund Instl	13,799	420,172
John Hancock FDS III Disciplined Value Mid Cap Fund	23,604	428,180
JPMorgan Alerian MLP Index ETN	9,900	458,865
Harbor International Fund Instl CI	5,729	406,808
Thornburg Intl Value Fund CI	12,798	410,317
Neuberger Berman Emerging Mkts Equity Fund	23,705	394,926
Vanguard Ftse Emerging Mkts ETF	17,300	711,722
		<u>3,230,990</u>

Equities - US Trust acct #224473

Accenture PLC	1,500	123,330
Apple Inc	475	266,485
Automatic Data Processing Inc	1,700	137,358
Baxter Intl Inc	1,900	132,145
Blackrock Inc	300	94,941
Call Accenture PLC	(15)	-150
Call Apple Inc	(4)	-8,400
Call Blackrock Inc	(3)	-4,080
Call Caterpillar Inc	(21)	-189
Call Deere & Co	(14)	-644
Call EMC Corp	(30)	-240
Call Freeport-McMoran Copper	(60)	-960
Call International Business Mach	(10)	-140
Call Mondelez Intl Inc	(26)	-806
Call Oracle Corp	(40)	-2,760
Call Pepsico Inc	(17)	-204
Call Qualcomm Inc	(28)	-840
Call Schlumberger LTD	(13)	-182
Call TJX Cos Inc	(20)	-4,160
Call Visa Inc	(7)	-4,270
Call Wells Fargo	(53)	-1,272
Caterpillar	2,100	190,701
Chevron Corp	1,000	124,910
Chubb Corp	1,400	135,282
Deere & Co	1,400	127,862
Discover Finl Svcs	100	5,595

Attach to Form 990-PF

The Patrick and Beatrice Haggerty Foundation 75-2076387
2013

Page 2, Part II, line 10(b) - Investments - stocks

Security	Number of shares	End of year market value
EMC Corp	4,500	113,175
Emerson Elec Co	2,800	196,504
Exxon Mobil Corp	2,200	222,640
Freeport-McMoran Copper & Gold	6,000	226,440
Honeywell Intl Inc	300	27,411
International Business Machs	1,000	187,570
Johnson & Johnson	800	73,272
Kraft Foods Group Inc	1,700	91,647
Mcdonalds Corp	1,500	145,545
Mondelez Intl Inc	2,600	91,780
Occidental Pete Corp Del	2,100	199,710
Oracle Corp	4,000	153,040
Pepsico Inc	1,700	140,998
Philip Morris Intl Inc	1,400	121,982
Procter & Gamble Co	2,300	187,243
Qualcomm Inc	2,800	207,900
Schlumberger LTD	1,300	117,143
TE Connectivity LTD Switzerland	100	5,511
TJX Cos Inc	2,000	127,460
United Parcel Svc Inc	1,200	126,096
Visa	700	155,876
Wells Fargo & Co	5,300	240,620
Cullen / Frost Bankers Inc	600	44,658
Smucker J M Co	600	62,172
Windstream Hldgs Inc	12,000	95,760
Nestle S A	2,800	205,587
Novartis A G	1,000	80,380
Roche Hldg LTD	1,500	105,077
		<hr/>
		5,062,509
		<hr/>
<u>Equities - US Trust acct #2257715</u>		
Texas Instrs Inc	64,704	2,841,152
		<hr/>
	Total	2,841,152
		<hr/>
Total - investments - corporate stocks		11,134,652

Federal Supporting Statements**2013 PG 01**

Name(s) as shown on return

Employer Identification Number

Patrick & Beatrice Haggerty Foundation

75-2076387

**Form 990PF, Part II, Line 10(c)
Investments: Corporate Bond Schedule**

Statement #138

<u>Category</u>	<u>BOY</u>	<u>Book Value</u>	<u>EOY FMV</u>
Fixed income-US Tr 2214641	<u>1,744,182</u>	<u>1,354,153</u>	<u>1,354,153</u>
Totals	<u>1,744,182</u>	<u>1,354,153</u>	<u>1,354,153</u>

Attach to Form 990-PF

The Patrick and Beatrice Haggerty Foundation 75-2076387
2013

Page 2, Part II, line 10(c) - Investments - corporate bonds

<u>Security</u>	<u>Par or Shares</u>	<u>End of year market value</u>
<u>Fixed Income - US Trust #2214641</u>		
Stanley Black & Decker Inc	4,200	89,796
CMG Ultra Short Term Bond Fund	6	58
Kimco Rlty Corp	4,200	87,654
Principal PFD Secs Fund	42,904	421,318
Templeton Global BD Fund	34,270	448,597
Fidelity Advisor Floating Rate HI Fund	15,381	153,198
Franklin Ftlg Rate Daily Access Fund CI	16,670	153,532
		<hr/>
Total - investments - corporate bonds		<u><u>1,354,153</u></u>

Federal Supporting Statements**2013 PG 01**

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Patrick & Beatrice Haggerty Foundation

75-2076387

**Form 990PF, Part II, Line 13
Investments: Other Schedule**

Statement #118

<u>Category</u>	<u>Book value (BOY)</u>	<u>Book value (EOY)</u>	<u>FMV (EOY)</u>
Hedge funds-US Trust acct 2214641	1,218,574	875,506	875,506
Private Equity-US Tr acct 2214641	247,821	394,401	394,401
Public REITS-US Tr acct 2214641	458,096	501,846	501,846
Commodities-US Tr acct 2214641	<u>395,698</u>	<u>102,640</u>	<u>102,640</u>
Total	<u><u>2,320,189</u></u>	<u><u>1,874,393</u></u>	<u><u>1,874,393</u></u>

Attach to Form 990-PF

The Patrick and Beatrice Haggerty Foundation 75-2076387
2013

Page 2, Part II, line 13 - Investments - other

<u>Security</u>	<u>Par</u>	<u>End of year market value</u>
<u>Hedge Funds - US Trust acct #2214641</u>		
AQR Diversified Arbitrage Fund	28,438	309,973
Goldman Sachs Absolute Return Tracker	22,197	206,877
Robeco Boston Partners Long/Short Res Fund	24,855	358,656
		<hr/>
		875,506
		<hr/>
<u>Private Equity - US Trust acct #2214641</u>		
Excelsior Private Markets Fund II	445	394,401
		<hr/>
		394,401
		<hr/>
<u>Public REITs - US Trust acct #2214641</u>		
Cohen & Steers Intl Reality Fund	21,655	246,865
Rems Real Estate Value Oppty Fund	16,419	254,981
		<hr/>
		501,846
		<hr/>
<u>Commodities - US Trust acct #2214641</u>		
Powershares DB Commodity Index	4,000	102,640
		<hr/>
		102,640
		<hr/>
Total - investments - other		<u>1,874,393</u>

Federal Supporting Statements**2013 PG 01**

Name(s) as shown on return

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Patrick & Beatrice Haggerty Foundation75-2076387**Form 990PF, Part III, Line 3****Statement #115****Other Increases Schedule**

Increase in fair market value

1,674,037

Total

1,674,037

Attach to Form 990-PF

The Patrick and Beatrice Haggerty Foundation 75-2076387
2013

Page 6, Part VIII, line 1 - Officers, Directors

Name and address	Title	Average hours per week	Compensation	Employee Benefits	Expense Accounts
Patrick E. Haggerty 4712 Shadywood Lane Dallas, Texas 75209	Chairman/CEO	1 hour or less	0	0	0
Teresa Haggerty Parravano 6434 Mimosa Dallas, Texas 75230	Director	1 hour or less	0	0	0
Michael G. Haggerty 2003 Lakeshore Drive Austin, Texas 78746	Secretary/Treasurer	1 hour or less	0	0	0
Robert Moosey 1409 10th St. NW Washington, DC 20001	Director	1 hour or less	0	0	0
Shella Haggerty Turner 4369 Bayshore Drive Sturgeon Bay, Wisconsin 54235	Director	1 hour or less	0	0	0
			0	0	0

Attach to Form 990-PF

The Patrick and Beatrice Haggerty Foundation 75-2076387
2013

Page 10, Part XV, line 3a - Grants and Contributions Paid During Year

Recipient Name and address	Foundation Status	Purpose of grant or contribution	Amount
Alley's House 4113 Junius St. Dallas, TX 75226	Public	Charitable - for Programs within the agency	3,000
Austin Street 2929 Hickory St. Dallas, TX 75226	Public	Charitable - for Programs within the agency	5,000
Caritas of Austin 611 Neches St. Austin, TX 78701	Public	Charitable - for Programs within the agency	5,500
Chase's Place 320 Custer Road Richardson, TX 75080	Public	Charitable - for Programs within the agency	2,500
Catholic Charities of Fort Worth 249 West Thornhill Drive Fort Worth, TX 76115	Public	Charitable - for Programs within the agency	2,000
Children's Medical 2777 Stemmons, Suite 700 Dallas, TX 75207	Public	Charitable - for Children's Center for Blood Disorders	15,500
Children's Medical 2777 Stemmons, Suite 700 Dallas, TX 75207	Public	Charitable - for Children's Center for Blood Disorders Teen Room	14,000

Attach to Form 990-PF

The Patrick and Beatrice Haggerty Foundation 75-2076387
2013

Page 10, Part XV, line 3a - Grants and Contributions Paid During Year

Recipient Name and address	Foundation Status	Purpose of grant or contribution	Amount
Children's Medical 2777 Stemmons, Suite 700 Dallas, TX 75207	Public	Charitable - for Children's Center for Blood Disorders	5,000
Community Partners of Dallas 1215 Skiles St. Dallas, TX 75204	Public	Charitable - for Programs within the agency	5,000
Dallas Casa 2815 Gaston Ave. Dallas, TX 752236	Public	Charitable - for Programs within the agency	2,000
DC Central 425 2nd St. NW Washington, DC 20001	Public	Charitable - for Programs within the agency	4,000
Door County Medical Center Foundation 1843 Michigan St. Sturgeon Bay, WI 54235	Public	Charitable - for the Dental Clinic	5,000
Hockaday School 11600 Welch Road Dallas, TX 75229	Public	Charitable - for Centennial Campaign	5,000

Attach to Form 990-PF

The Patrick and Beatrice Haggerty Foundation 75-2076387
2013

Page 10, Part XV, line 3a - Grants and Contributions Paid During Year

Recipient Name and address	Foundation Status	Purpose of grant or contribution	Amount
Housing Crisis Center 4210 Junius St. Dallas, TX 75246	Public	Charitable - for Programs within the agency	10,000
Marquette High School 3401 Wisconsin Ave. Milwaukee, WI 53208	Public	Charitable - to sponsor a Student Program	4,000
Marquette University Haggerty Museum of Art PO Box 1881 Milwaukee, WI 53201	Public	Charitable - for Chagall Bible Series	43,000
Old Red Museum 100 South Houston St. Dallas, TX 75202	Public	Charitable - for the Erik Jonsson exhibit	10,000
Our Lady of Perpetual Help School 7625 Cortland Ave., Dallas, TX 75235	Public	Charitable - for implementation and maintenance of the RenWeb School Management Software	15,000
Paramount Theatre 713 Congress Ave Austin, TX 78701	Public	Charitable - for Programs within the agency	10,000
Peninsula Players 4351 Peninsula Players Road Fish Creek, WI 54212	Public	Charitable - for the Encore Campaign	5,000

Attach to Form 990-PF

The Patrick and Beatrice Haggerty Foundation 75-2076387
2013

Page 10, Part XV, line 3a - Grants and Contributions Paid During Year

Recipient Name and address	Foundation Status	Purpose of grant or contribution	Amount
Rise Adventures PO Box 14122 Irving, TX 75014	Public	Charitable - for Programs within the agency	3,500
St. Michael Catholic Academy 3000 Barton Creek Blvd. Austin, TX 78735	Public	Charitable - for the Center for Entrepreneurial and Social Studies	3,000
University of Dallas 1845 E. Northgate Irving, TX 75062	Public	Charitable - for Haggerty Arts Renovation, for upgrades to the Museum, and for community outreach programs	373,500
Urban Roots 2921 East 17th St. Bldg D Ste 4 Austin, TX 78702	Public	Charitable - for Programs within the agency	5,500
Women's Fund of Door County PO Box 802 Sturgeon Bay, WI 54235	Public	Charitable - for Programs within the agency	5,000
Total			561,000