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### **Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

Department of the freasury Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public. ▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

F	or o	alendar year 2013 or tax year beginning		, 2013, and en	ding	
-	Nan	e of foundation			A Employer	identification number
	TH	E ROSENTHAL FOUNDATION			75-167	75127
-	Nun	ber and street (or P O box number if mail is not delivered	ed to street address)	Room/su	ute B Telephone	number (see instructions)
						(817) 870-5001
	60	4 EAST 4TH STREET, SUITE 201		!	1	·
-		or town, state or province, country, and ZIP or foreign p	ostal code	<del> </del>	-	
	,	,			C If exemption	application is
	FO	RT WORTH, TX 76102			pending che	eck here
-		eck all that apply   Initial return	Initial return	of a former public cha	arity -	_ [ ]
	اب د	Final return	Amended re	·	- L Torcigii o	rganizations, check here
		H ' ' '	<del></del> 1		85% test,	organizations meeting the check here and attach
-		Address change	Name chang		computati	son ▶
	$\overline{}$		(c)(3) exempt private t		E If private for	undation status was terminated
Ţ		Section 4947(a)(1) nonexempt charitable trust	Other taxable pr		under sectio	n 507(b)(1)(A), check here .
ı			ounting method C	asn Accrual	I	ation is in a 60-month termination
			Other (specify)		under section	n 507(b)(1)(B), check here .
5		The state of the s	, column (d) must be on	i casti basis )	<del></del>	(d) Disbursements
Ã.	æ	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d)	(-,	(b) Net investment	(c) Adjusted ne	1
		may not necessarily equal the amounts in	expenses per books	income	income	purposes
_	_	column (a) (see instructions))	DOOKS	Wayne - The little selection - The E	the particular was	(cash basis only)
	1	Contributions, gifts, grants, etc., received (attach schedule) if the foundation is <b>not</b> required to	.इ.,क्ट, क्षेत्रकारक स्थापन ए कराव्या का उत्तर सम्बद्ध	The Property of the second sec		THE PARTY OF THE P
	2	Check Lattach Sch B	1945年1949年1949年19	のである。	第二届 地名	STATE OF THE PARTY
	3	Interest on savings and temporary cash investments	47 471	41 471		The state of the s
	4	Dividends and interest from securities	41,471.	41,471.		ATCH 1
	5 a	Gross rents	Stude: 2 2 2 2 2 4 7 5 47 5 48	AND THE RESERVE OF THE PROPERTY OF THE PROPERT	S. N. Th. J. Magneton of the Co.	A COLUMN A PROPERTY OF THE PARTY OF THE PART
	į t	Net rental income or (loss)	如於\$2.16.00 (BE) [18]	THE STATE OF THE S		
90	6 a	Net gain or (loss) from sale of assets not on line 10	322,499.			
ē		Gross sales price for all 1,132,977.	The second second	STEEL COMPANY	COLUMN TO THE STATE OF THE STAT	
Revenue	7	Capital gain net income (from Part IV, line 2) .		322,499.	(2007) <b>国</b>	THE TAX THE REAL PROPERTY.
_	8	Net short-term capital gain		e transfer and the		\$ 1000 M 18 18 18 18 18 18 18 18 18 18 18 18 18
	9	Income modifications · · · · · · · · · · · · · · · · · · ·			Martin China and State Colors	
	IU a	Gross sales less returns and allowances · · · ·	<b>医验验证证证证</b>		经证据法国	
		Less Cost of goods sold .	<b>这种种种类型的种类型</b>		自由大學者也能夠	A STATE OF THE STA
	C	Gross profit or (loss) (attach schedule)		的。因為不能認識。這樣的		Company of the same of the sam
	11	Other income (attach schedule)	263.050	262 020		
_	12	Total. Add lines 1 through 11	363,970.	363,970.	KE	CHIVED
	13	Compensation of officers, directors, trustees, etc	0			IŏI
S	14	Other employee salaries and wages			APR	3 0 2014
ns(	15	Pension plans, employee benefits			S ALK	
Expenses	16 a	Legal fees (attach schedule)			<u> </u>	
ũ		Accounting fees (attach schedule)ATCH 2	3,126.	3. 353	QG	DEN UT
Administrative	С	Other professional fees (attach schedule). *	34,892.	34,862.		
ta	17	Interest				
<u>.</u>	18	Taxes (attach schedule) (see instructions)ATCH 4 .	3,778.	446.		
Ē	19	Depreciation (attach schedule) and depletion.				<b>电影影響等等</b>
P	20	Occupancy				
	21	Travel, conferences, and meetings				
perating and	22	Printing and publications				
Ĕ	23	Other expenses (attach schedule) ATCH 5	165.			
ra	24	Total operating and administrative expenses.				
릵		Add lines 13 through 23	41,961.	35,308.		
	25	Contributions, gifts, grants paid	196,500.	Pur 2 1. 2 作题	E 10 10 10 10 10 10 10 10 10 10 10 10 10	
)	26	Total expenses and disbursements Add lines 24 and 25	238,461.	35,308.		196,500.
$\neg$	<del></del> 27		日本一年五十五年	CONTRACTOR TO	N. 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	The second of th
5		Excess of revenue over expenses and disbursements	125,509.		語言語	
		Net investment income (if negative, enter -0-)	77 - Sign 1 - Sign 1	328,662.	47.4	THE STATE OF THE S
	-	Adjusted not income (if negative enter 0.)		~ a ( " , 15 ; 1 )		307 E 2 30 2 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3

Partill Bala		Attached schedules and amounts in the description column should be for end-of-year	Beginning of year		of year					
4.5	arti	amounts only (See instructions )	(a) Book Value	(b) Book Value	(c) Fair Market Value					
	1	Cash - non-interest-bearing	72,049.	328,754.	328,754					
	2 .	Savings and temporary cash investments								
	3	Accounts receivable	THE MELL MAN LE JAMPS A CHOPPET TO THE P	LANGE TO THE WASHING THE REPORT OF THE PERSON OF THE PERSO	<b>"国家"</b> "是这个是一个					
		Less allowance for doubtful accounts ▶	The state of the s	The state of the s						
	4	Pledges receivable	Mark Strain Comment of the Strain	The way the second of the second	A series has realisted in the life in					
	~	Less allowance for doubtful accounts	1 St. Levis Metallicated State of Comment of States	Anny - Town highly than committee	ATTACHER OF STANDING STANDS SATISFY OF STANDING					
	5		l .							
	-	Grants receivable								
	6									
	_	disqualified persons (attach schedule) (see instructions) Other notes and loans receivable (attach schedule) $\blacktriangleright$	THE THE SOUTH PRINTED THE	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	M. Darr Waller Co. 100 Baller					
	7	Other notes and loans receivable (attach schedule)		V No. 10,000 PA - GALLMA COMENTA	E when a bone in the					
		Less allowance for doubtful accounts ▶								
Assets	8	Inventories for sale or use								
SS	9	Prepaid expenses and deferred charges								
٩	iva	Investments - U S and state government obligations (attach schedule)		2 070 000	4 516 001					
	b	Investments - corporate stock (attach schedule) ATCH 6	3,209,295.	3,078,099.	4,516,081					
	11 C	Investments - corporate stock (attach schedule) Investments - land, buildings, and equipment basis Less accumulated depreciation	1 1 2 2 2 3 2 4 8 4 2 4 5 4 5 4 8 4 8 4 8 4 8 4 8 4 8 4 8 4 8	mar and the street of the street of the	1 - 7:3					
	' '	and equipment basis	- A B - A - B - A - B - A - B - A - B - A - B - A - B - A - B - A - B - B	Section of the sectio	<b>上至,江州地域河北海水</b>					
		and equipment basis Less accumulated depreciation (attach schedule)		<del></del>						
	12	Investments - mortgage loans								
	13	Investments - other (attach schedule) Land, buildings, and equipment basis								
	14	equipment basis		<b>通道使于非常,然后</b>	<b>的是一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个</b>					
		equipment basis Less accumulated depreciation (attach schedule)								
	15	Other assets (describe								
		Total assets (to be completed by all filers - see the								
		instructions Also, see page 1, item I)	3,281,344.	3,406,853.	4,844,835.					
	17	Accounts payable and accrued expenses								
		Grants payable								
တ္တ	19	Deferred revenue	I I							
abilities		Loans from officers, directors, trustees, and other disqualified persons		- <u> </u>						
<u>ā</u>		Mortgages and other notes payable (attach schedule)	1		<b>特别的意思的意思</b>					
ן⊏		Other liabilities (describe  )								
	23	Total liabilities (add lines 17 through 22)	l o	o	10000000000000000000000000000000000000					
		Foundations that follow SFAS 117, check here								
S		and complete lines 24 through 26 and lines 30 and 31.								
ë	24	Unrestricted			· · · · · · · · · · · · · · · · · · ·					
티	25	Temporarily restricted								
B	26 I	Permanently restricted								
밀	20	Foundations that do not follow SFAS 117, $\blacktriangleright$ X			<b>第一种人类的</b>					
리		check here and complete lines 27 through 31.			化 这是"是是我的?"					
t Assets or Fund Balar	27 (	Capital stock, trust principal, or current funds								
	20 1	Paid-in or capital surplus, or land, bldg, and equipment fund								
	20 1		3,281,344.	3,406,853.	The state of the s					
		Retained earnings, accumulated income, endowment, or other funds	3,281,344.	3,406,853.						
71		Total net assets or fund balances (see instructions)	3,201,311.	37 1007 0331	differ of the state of the state of					
1		Total liabilities and net assets/fund balances (see	3,281,344.	3,406,853.	The same of the sa					
		nstructions)		3,400,000.	CARL STORESTON AND STORESTON					
		Analysis of Changes in Net Assets or Fund I		aust soresth	<del></del>					
1		net assets or fund balances at beginning of year - Part			2 201 244					
_	end-d	of-year figure reported on prior year's return)			3,281,344.					
				1 _ 1	125,509.					
					2 106 053					
	Add lines 1, 2, and 3 406, 853.									
6	Total	net assets or fund balances at end of year (line 4 minus	line 5) - Part II, column (b	), line 30 <b>6</b>	3,406,853.					
					- 000 BE (***)					

Form 990-PF (2013)

Page 3	

Part IV Capital Gains	s and Losses for Tax on Inv	restment Income			
	id describe the kind(s) of property sold		(b) How acquired P - Purchase	(c) Date acquired	(d) Date sold
· · · · · · · · · · · · · · · · · · ·	orick warehouse, or common stock, 200	sns MLC Co )	D - Donation	(mo , day, yr )	(mo, day, yr)
1a SEE PART IV SCHE	POOPE				
<u>b</u>	· · · · · · · · · · · · · · · · · · ·				
<u>c</u>			+		-
<u>d</u>			<del> </del>		
<u>e</u>	(f) Depreciation allowed	(g) Cost or other basis	<u> </u>	(h) Corp or (lo	
(e) Gross sales price	(or allowable)	plus expense of sale	-	(h) Gain or (lo (e) plus (f) minu	
<u>a</u>			ļ		
ь			+		
<u>c</u>		-	+		<del></del>
d		-	+		
Complete only for assets	showing gain in column (h) and owne	ed by the foundation on 12/31/69		Comp (Cal (b) ==	
	(j) Adjusted basis	(k) Excess of col (i)		Gains (Col. (h) ga (k), but not less t	
(i) F M V as of 12/31/69	as of 12/31/69	over col (j), if any		Losses (from co	
a					
b					
С					
d					
е	= =====================================				
2 Capital gain net income or	(not appetal loss)	gain, also enter in Part I, line 7		<del> </del>	
2 Capital gain het income of	(Het Capital loss)	(loss), enter -0- in Part I, line 7	2		322,499.
	or (loss) as defined in sections 12				
_		ructions) If (loss), enter -0- in $\}$			•
			_3		0
		duced Tax on Net Investment I e section 4940(a) tax on net invest			
		outable amount of any year in the b	ase period	?	Yes X No
<del></del>		, see the instructions before making	anv entrie	 2S	
(a)	(b)	(c)	j uny onune	(d)	
Base period years Calendar year (or tax year beginning in)	Adjusted qualifying distributions	Net value of nonchantable-use assets	· (	Distribution rat col (b) divided by	
2012	178,043.	3,981,256.	· ·		0.044720
2011	87,000.	3,634,508.		(	0.023937
2010		3,101,394.			
2009		2,524,809.			
2008	112,392.	2,939,076.		(	0.038241
2 Total of line 1, column (d)			2	(	0.106898
_	for the 5-year base period - divide			,	
number of years the found	lation has been in existence if less	than 5 years	3		0.021380
4. Enter the net value of nen	phositable use appets for 2012 from	m Bort V line F		A 1	185,290.
4 Enter the net value of none	charitable-use assets for 2013 from	m Paπ X, line 5	4	4,1	165,290.
E. Multiply tipo 4 by tipo 2		į	_		89,482.
Multiply line 4 by line 3			_ 5		09,462.
Enter 1% of net investmen	it income (1% of Part I, line 27b)				3,287.
b Enter 1% of het investmen	it income (1% of Fait i, line 27b).		6		
7 Add lines 5 and 6			7		92,769.
Add lines 3 and 6			7		22, 103.
B Enter qualifying distribution	ns from Part XII line 4		8	1	96,500.
If line 8 is equal to or are	ater than line 7, check the box in	ا	nat part us	sing a 1% tax	rate See the
Part VI instructions	,	,	p	3 = 770 1971	
CA .		<del></del> .			200 75:

Page 4

Pa	Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see	instructions)	_			
1 a	Exempt operating foundations described in section 4940(d)(2), check here		ì			
	Date of ruling or determination letter (attach copy of letter if necessary - see instructions)	3,287	Ş			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check						
	here ► X and enter 1% of Part I, line 27b					
С	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)	S. Land Control of the Control	1			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 2		_			
3	Add lines 1 and 2	3,287	·			
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) 4		0			
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0	3,287	÷			
6	Credits/Payments		·			
а	2013 estimated tax payments and 2012 overpayment credited to 2013 6a 3,600.		4			
b	Exempt foreign organizations - tax withheld at source 6b		-			
С	Tax paid with application for extension of time to file (Form 8868)		Ž			
ď	Backup withholding erroneously withheld					
7	Total credits and payments Add lines 6a through 6d	3,600	•			
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached		_			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	313	_			
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		<u>.</u>			
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ▶ 313. Refunded ▶ 11		-			
	t VII-A Statements Regarding Activities	18" 3"CG"	_			
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate	Yes No	_			
	or intervene in any political campaign?	1a ^	_			
þ	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the	1 <sub>b</sub>   X				
	definition)?	1b A				
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or					
_	distributed by the foundation in connection with the activities	1c X	•			
	Did the foundation file Form 1120-POL for this year?	USB ALLAND	 á			
u	(1) On the foundation \$\infty\$ \( \begin{align*} \left( \text{array} \) of tax on pointed experientales (section 4550) imposed during the year.  (2) On foundation managers \$\infty\$ \( \begin{align*} \left( \text{array} \) \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\		,			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on		į			
_	foundation managers > \$		ji L			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2 X				
	If "Yes," attach a detailed description of the activities		Ĺ			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation,	是計畫學	•			
	or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3 X	_			
4 a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a X	_			
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b X	_			
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5 X	=			
	If "Yes," attach the statement required by General Instruction T		:			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either		4			
	By language in the governing instrument, or	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict		سا			
	with the state law remain in the governing instrument?	6 X	-			
	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7 X	-			
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)					
	TX,	18. m. Br. 16. E. S. E. S				
	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)	1 0				
	of each state as required by General Instruction G <sup>2</sup> If "No," attach explanation	8b A	-			
	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or	1500 at 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				
	4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete	y X				
	Part XIV		-			
	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and	10 X				
	addresses	10				

Form	990-PF (2013) THE ROSENTHAL FOUNDATION 75-1	675127	Page 5
Pa	t VII.A Statements Regarding Activities (continued)	•	
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the	ne l	
•••	meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualific		
'-	person had advisory privileges? If "Yes," attach statement (see instructions)	1 1	l x
42	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		X
13	NI / 7	[13]	<u> </u>
	Website address ► N/A  The books are in care of ► KATHY PHILLIPS Telephone no ► 817-8	70-5001	
14	The books are in care of Martin Third Date of Form Worth TY 76102		
	The books are in care of KATHY PHILLIPS  Located at 604 EAST 4TH ST., STE 201 FORT WORTH, TX  Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here		
15		1	. ▶
	and enter the amount of tax-exempt interest received or accrued during the year		<u> </u>
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authori		Yes No
	over a bank, securities, or other financial account in a foreign country?		**************************************
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name	of E	
. 31	the foreign country	<b>秦公司</b>	r4.23 . h. 22
Pa	Statements Regarding Activities for Which Form 4720 May Be Required	ाक्षणका <b>.</b>	
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	75.70	Yes No
1 a	During the year did the foundation (either directly or indirectly)		1000
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No	\$ 2.30 C	
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a		1 - St.   1 - St
	disqualified person? Yes X No	18	
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No.		A real of the
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for	234.412	
	the benefit or use of a disqualified person)?		4
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the	1. 18 E	
	foundation agreed to make a grant to or to employ the official for a period after	2 Miles	nergen sie de
	termination of government service, if terminating within 90 days )		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulation	s zin	工资概定
	section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	. 1b	
	Organizations relying on a current notice regarding disaster assistance check here	1	
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, the	it Balle	23 34
	were not corrected before the first day of the tax year beginning in 2013?	. 1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private	e Figure 1	
	operating foundation defined in section 4942(j)(3) or 4942(j)(5))	2.3	3255
а	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and	4 6	2 4 1 2 2
	6e, Part XIII) for tax year(s) beginning before 2013?		
	If "Yes," list the years	1. Land	7.1
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2		道院
	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) t	· 1	
	all years listed, answer "No" and attach statement - see instructions )	. 2b	
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here	15 36 2 mg	
	<b>&gt;</b>		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise	50.30	
	at any time during the year?		
h	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation of		
	disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the	37.4 13.4	
	Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of	1756 35 1671	
	the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the	18000001.0	in the same
	foundation had excess business holdings in 2013 )	1 1	285 miles (* 1. miles
	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize it	37. The	- 7 E' X
	charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013	l i	X
	chantable purpose that had not been removed from Jeopaidy before the first day of the tax year beginning in 2013	1 70	

THE ROSENTHAL FOUNDATION

bill the restriction, saming the year, pay premiante, already of maneous, and personal benefit contact.	Pa:	Statements Regarding Activities t	or Which Form 4	720 May Be Requ	uired (contii	nuea)			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  (3) Provide a grant to an individual for travel, study, or other similar purposes?  (4) Provide a grant to an organization other than a charitable, etc. organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).  (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelly to children or animals?  b If any answer is "Yes" to Sa(1)+(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding dissater assistance (see instructions)?  Crganizations relying on a current notice regarding dissater assistance of the foundation of the statement required by Regulations section 53 4945. does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  If "Yes," attach the statement required by Regulations section 53 4945-5(d)  6a Did the foundation, during the year, pay premiums, directly or indirectly, to pay premiums on a personal benefit contract?  b Did the foundation, during the year, pay premiums, directly or indirectly, to pay premiums on a personal benefit contract?  b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  The part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors.  1 List all officers, directors, trustees, foundation managers and their compensation (e) Expense account, other allowances.  (a) Name and address of each employee pad more than \$50,000 (b) Titls, and average devoted to position (e) Compensation (e) Expense account, other allowances.	5a	During the year did the foundation pay or incur any amo	unt to						
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	3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE	
NONE    Fotal number of others receiving over \$50,000 for professional services   Part IX-A   Summary of Direct Charitable Activities    List the foundation's four largest direct charitable activities		(c) Compensation
Total number of others receiving over \$50,000 for professional services.  Part IX-A Summary of Direct Charitable Activities  List the foundation's four largest direct chantable activities during the tax year. Include relevant statistical information such as the number of graginazions and other beneficianes served, conferences convened, research papers produced, etc.  1 NOT APPLICABLE  2		
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Expenses  List the foundation's four largest direct charitable activities during the tax year include relevant statistical information such as the number of organizations and other beneficianes served, conferences convened, research papers produced, etc.  1 NOT APPLICABLE  2		
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Expenses  List the foundation's four largest direct charitable activities during the tax year include relevant statistical information such as the number of organizations and other beneficianes served, conferences convened, research papers produced, etc.  1 NOT APPLICABLE  2		
Part IX-A Summary of Direct Charitable Activities  List the foundation's four largest direct charitable activities during the tax year include relevant statistical information such as the number of organizations and other beneficianes served, conferences convened, research papers produced, etc.  1 NOT APPLICABLE  2		
Part IX-A Summary of Direct Charitable Activities  List the foundation's four largest direct charitable activities during the tax year include relevant statistical information such as the number of organizations and other beneficianes served, conferences convened, research papers produced, etc.  1 NOT APPLICABLE  2		
List the foundation's four largest direct charitable activities during the tax year include relevant statistical information such as the number of organizations and other beneficianes served, conferences convened, research papers produced, etc  1 NOT APPLICABLE  2	Total number of others receiving over \$50,000 for professional services	
List the foundation's four largest direct charitable activities during the tax year include relevant statistical information such as the number of organizations and other beneficianes served, conferences convened, research papers produced, etc  1 NOT APPLICABLE  2	Part IX-A Summary of Direct Charitable Activities	
organizations and other beneficianes served, conferences convened, research papers produced, etc  1 NOT APPLICABLE  2		
2		Expenses
2  All other program-related investments See instructions  All other program-related investments See instructions	1 NOT APPLICABLE	
3  4  Part IX-B Summary of Program-Related Investments (see instructions)  Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  Amount  1 NONE  All other program-related investments See instructions		
3  4  Part IX-B Summary of Program-Related Investments (see instructions)  Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  Amount  1 NONE  All other program-related investments See instructions		
Part IX-B Summary of Program-Related Investments (see instructions)  Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  Amount  All other program-related investments See instructions	2	
Part IX-B Summary of Program-Related Investments (see instructions)  Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  Amount  All other program-related investments See instructions		
Part IX-B Summary of Program-Related Investments (see instructions)  Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  Amount  All other program-related investments See instructions		
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  1 NONE  2	3	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  Amount  NONE  All other program-related investments See instructions		
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  1 NONE  2	4	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  Amount  NONE  All other program-related investments See instructions		
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2  Amount  NONE  All other program-related investments See instructions		
1 NONE 2	Part IX-B Summary of Program-Related Investments (see instructions)	
2All other program-related investments See instructions		Amount
All other program-related investments See instructions	1 NONE	
All other program-related investments See instructions		
All other program-related investments See instructions	2	
	*	
3 NONE	All other program-related investments. See instructions	
,	3 NONE	

Page 8

Par	<b>Minimum Investment Return</b> (All domestic foundations must complete this part. Fore see instructions.)	ign founda	ations,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc purposes		
а	Average monthly fair market value of securities	1a	4,041,378.
b		1b	207,647.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	4,249,025.
e	Total (add lines 1a, b, and c)  Reduction claimed for blockage or other factors reported on lines 1a and	<b>爱</b> []	ii
	1c (attach detailed explanation)	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
2	Acquisition indebtedness applicable to line 1 assets		
3	Subtract line 2 from line 1d	3	4,249,025.
4	Subtract line 2 from line 1d  Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see		
	·	4	63,735.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	4,185,290.
6	Minimum investment return. Enter 5% of line 5	6	209,265.
Par	Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating fou and certain foreign organizations check here ▶ and do not complete this part )	ndations	
1	Minimum investment return from Part X, line 6	1	209,265.
2 a	Tax on investment income for 2013 from Part VI, line 5 2a 3,287	16 J	
ь	Income tax for 2013 (This does not include the tax from Part VI) 2b		
С	Add lines 2a and 2b	2c	3,287.
3 -	Distributable amount before adjustments Subtract line 2c from line 1.		205,978.
4	Recoveries of amounts treated as qualifying distributions		
5	Add lines 3 and 4	5	205,978.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII,		-
	line 1	7	205,978.
Part	Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	£13	
а	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	196,500.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.		
	purposes	2	<del> </del>
3	Amounts set aside for specific charitable projects that satisfy the	3.7.2	
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	196,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income		
	Enter 1% of Part I, line 27b (see instructions)	5	3,287.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	193,213.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when c qualifies for the section 4940(e) reduction of tax in those years	alculating	whether the foundation

Page 9 Form 990-PF (2013)

	art XIII Undistributed Income (see insti	ructions)			
	,	(a)	(b)	(c)	(d)
1	Distributable amount for 2013 from Part XI,	Corpus	Years prior to 2012	2012	2013
	line 7	Carried and the second	Washington and		205,978.
2	Undistributed income, if any, as of the end of 2013			195,173.	
a	Enter amount for 2012 only	THE TAX TO THE TAX TO	以近近 <b>是</b> ,人類以此,是一個	193,173.	以一次的 就可以不到了一个
_	Total for pnor years 20 11 ,20 10 ,20 09	SELECT CONTROL SELECT			Elizabeth State College
3	Excess distributions carryover, if any, to 2013 From 2008				
a	5 0000				THE STATE OF THE STATE OF
ч	From 2010	· · · · · · · · · · · · · · · · · · ·			
-	From 2012	<b>国的"点"。</b>		<b>经验证证证</b>	
f	Total of lines 3a through e	C			
4	Qualifying distributions for 2013 from Part XII,		<b>2017年7月17日</b>	TO THE BOARD	<b>以及</b>
	line 4 ▶ \$ 196,500.			The second second	
а	Applied to 2012, but not more than line 2a		是一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个	195,173.	1、经验的影響
ь	Applied to undistributed income of prior years				
	(Election required - see instructions)	<b>为这个海外的。</b>			
С	Treated as distributions out of corpus (Election				
	required - see instructions)	CONTROL OF A TAKEN AND AND ADDRESS OF	CONTROL OF THE PARTY OF THE PAR	# 37 974 77 PR	になる。
đ	Applied to 2013 distributable amount	The state of the s	En and a street of	春年 25、100天 1470年	1,327.
е	Remaining amount distributed out of corpus		177 T. 138 T. 128 T. 138 T	The state of the s	27年19日1日
5	Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same	SATELLAND SALES TANDES	1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	F 1 - 4 - 4 - 4 - 4 - 4 - 4 - 4 - 4 - 4 -	Charles and the state of the st
	amount must be shown in column (a) )		المين المحقولة أنوا المراجع المراجع المراجع المراجع	BELL CONTROL TO THE PARTY AND	是可能是不通過
6	Enter the net total of each column as indicated below:			T. T.	<b>表现的是一种的</b>
_	Corpus Add lines 3f, 4c, and 4e Subtract line 5	1250 FEL 44 P. MED F F ( 13 TT)			
	,		A THE SECOND SECOND	Salar Salar	THE THE THE THE THE THE THE THE THE THE
D	Prior years' undistributed income Subtract line 4b from line 2b				
С	Enter the amount of prior years' undistributed			是大多一个。	Property of the second
	income for which a notice of deficiency has been issued, or on which the section 4942(a)				
	tax has been previously assessed	- Alb			E - Barrel
d	Subtract line 6c from line 6b Taxable			197	
	amount - see instructions	7	Barrer Carlotter	A. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.	The second of th
е	Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see				
	instructions	The state of the s	The state of the s	हर <b>ब</b> ट्ट अक्टन सम्बद्ध	The state of the s
f	Undistributed income for 2013 Subtract lines	And the second	Taring the state of the state o		
	4d and 5 from line 1 This amount must be	<b>"美"、"美"、"</b> "			204,651.
_	distributed in 2014	7. 532 A 19 A	Mark at 1 Sales and a sales a	2 4 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	<u> </u>
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section			特別的	
	170(b)(1)(F) or 4942(g)(3) (see instructions)			The state of the s	
	Excess distributions carryover from 2008 not		Super Super	1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -	The state of the s
	applied on line 5 or line 7 (see instructions)				
	Excess distributions carryover to 2014.			F. C. Talk of the Talk	ALTO STORY
	Subtract lines 7 and 8 from line 6a	0			1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1
	Analysis of line 9				TO THE PARTY
	Excess from 2009		The state of the state of		
b	Excess from 2010	問題: "是語:		智の研究の事例	
С	Excess from 2011	Burn	一个"我们就是什么		
d	Excess from 2012				A CONTRACTOR OF THE PARTY OF TH
e	Excess from 2013		18 17 to 2 19 64		carry to a margary on

	n 990-PF (2013)		THAL FOUNDATI		-t	7.5-	NOT	APPLICABI
_		perating Foundation					NOT	AFFLICADI
1 a	If the foundation has	-			_			
	foundation, and the rulin	=			▶└	40404	V(2) ==	4042(-)(5)
b	Check box to indicate wi		a private operating four		on [	4942()	)(3) or	4942(j)(5)
2 a	Enter the lesser of the ad-			Prior 3 years			(	e) Total
	Justed net income from Part I or the minimum investment return from Part X for each year listed	t	<b>(b)</b> 2012	(c) 2011	(d) 2	010		
b	85% of line 2a							
	Qualifying distributions from Part	1						
d	XII, line 4 for each year listed .  Amounts included in line 2c not used directly for active conduct of exempt activities					· · · · · · · · · · · · · · · · · · ·		
е	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c							
3 a	Complete 3a, b, or c for the alternative test relied upon "Assets" alternative test - enter						i	
	<ul><li>(1) Value of all assets</li><li>(2) Value of assets qualifying under section</li></ul>	<u></u>						
b	4942(j)(3)(B)(i) "Endowment" alternative test- enter 2/3 of minimum invest-							
	ment return shown in Part X, line 6 for each year listed							
С	"Support" alternative test - enter					-		
	(1) Total support other than gross investment income (interest, dividends rents, payments on securities loans (section 512(a)(5))							
	or royalties)							
	provided in section 4942 (jX3)(B)(iii)					<del></del>		
	port from an exempt organization							
	(4) Gross investment income	ıtary Information (	Complete this par	et only if the foun	dation had	\$5,000	or more	o in assots
	at any time	during the year - s	ee instructions.)	TO Only II the Tour		<b>#</b> 5,000		——————————————————————————————————————
	Information Regardin			45 00/ 45 4				- 6
а	List any managers of before the close of any	the foundation who is tax year (but only if the	have contributed mo ney have contributed	more than \$5,000) (\$	tal contribution See section 50	ons receiv 07(d)(2) )	ea by th	e toundation
	E.M ROSENTI		<del>=</del>					
	List any managers of ownership of a partner					an equally	large p	ortion of the
	N/A							
2	Information Regarding	g Contribution, Grant	, Gift, Loan, Scholars	hip, etc., Programs:				
	Check here ► X if the unsolicited requests for other conditions, comp	or funds If the found	ation makes gifts, gr					
	The name, address, a			the person to whom a	oplications sho	ould be add	dressed	
b	The form in which appl	ications should be sub	omitted and informati	on and materials they	should include	de		
С	Any submission deadlir	nes						
	Any restrictions or lir factors	mitations on awards,	such as by geogra	aphical areas, charita	able fields, k	inds of ir	stitution	s, or other

_3_	Grants and Contributions Paid Duri	ng the Year or Appr	oved for I	Future Payment	
	Grants and Contributions Paid Duri Recipient Name and address (home or business)	If recipient is an individual show any relationship to	Foundation status of	Purpose of grant or contribution	Amount
	Name and address (home or business)	or substantial contributor	recipient	Contribution	
а	Paid during the year				
יי ע	СН 8				
Αı	Ch o				
				l i	
		5			
					•
			i		
	Total		<u>.</u> .	▶ 3a	196,500
b	Approved for future payment				
	İ				
				<u> </u>	
	Total			▶ 3b	

	nalysis of Income-Produ	T	ated business income	Excluded by	section 512, 513, or 514	(e)
ter gross amount	s unless otherwise indicated	(a)	(b)	(c)	(d)	Related or exempt function income
Program service		Business code	Amount	Exclusion code	Amount	(See instructions)
_			-	_		
				-		
_				<del></del>		
ef						
•	racts from government agencies					
	es and assessments					
Interest on savings	s and temporary cash investments			14	41,471.	
Dividends and in	nterest from securities ne or (loss) from real estate	Tarine Marie	其情况。 "我们是我们是不是一种的人。"	10000 \$ 2.25		
a Debt-finance	d property		As a state of the	( 1 ( ) Y ( ) ( ) ( )	1 24 1 1 4 Drase 2 C 8 War 18 18 18 18 18 18 18 18 18 18 18 18 18	-A-1-4 & 10 72 LB (1) 22
	inced property					
	or (loss) from personal property					
	it income					
Gain or (loss) from	sales of assets other than inventory			18	322,499.	
Net income or (I	oss) from special events	<u> </u>		<del>                                     </del>		
, ,	oss) from sales of inventory					
b			· · · · · · · · · · · · · · · · · · ·			
		1				
с						
c			-1			
c d Subtotal Add co		ulations)				363,97
c d e Subtotal Add co Total. Add line 1 e worksheet in lii Irt XVI-B R ne No. Expla	lumns (b), (d), and (e)	ulations) to the Acc	complishment of Ex	kempt Purpo in column (e	oses  Oses  Oses  Oses	ted importantly to
c d e Subtotal Add co Total. Add line 1 e worksheet in lii Irt XVI-B R ne No. Expla	lumns (b), (d), and (e)	ulations) to the Acc	complishment of Ex	kempt Purpo in column (e	oses  Oses  Oses  Oses	ted importantly to
c d e Subtotal Add co Total. Add line 1 e worksheet in lii Irt XVI-B R ne No. Expla	lumns (b), (d), and (e)	ulations) to the Acc	complishment of Ex	kempt Purpo in column (e	oses  Oses  Oses  Oses	
c d e Subtotal Add co Total. Add line 1 e worksheet in lii Irt XVI-B R ne No. Expla	lumns (b), (d), and (e)	ulations) to the Acc	complishment of Ex	kempt Purpo in column (e	oses  Oses  Oses  Oses	ted importantly to
c d e Subtotal Add co Total. Add line 1 e worksheet in lii Irt XVI-B R ne No. Expla	lumns (b), (d), and (e)	ulations) to the Acc	complishment of Ex	kempt Purpo in column (e	oses  Oses  Oses  Oses	ted importantly to
c d e Subtotal Add co Total. Add line 1 e worksheet in lii Irt XVI-B R ne No. Expla	lumns (b), (d), and (e)	ulations) to the Acc	complishment of Ex	kempt Purpo in column (e	oses  Oses  Oses  Oses	ted importantly to
c d e Subtotal Add co Total. Add line 1 e worksheet in lii Irt XVI-B R ne No. Expla	lumns (b), (d), and (e)	ulations) to the Acc	complishment of Ex	kempt Purpo in column (e	oses  Oses  Oses  Oses	ted importantly to
c d e Subtotal Add co Total. Add line 1 e worksheet in lii Irt XVI-B R ne No. Expla	lumns (b), (d), and (e)	ulations) to the Acc	complishment of Ex	kempt Purpo in column (e	oses  Oses  Oses  Oses	ted importantly to
c d e Subtotal Add co Total. Add line 1 e worksheet in lii Irt XVI-B R ne No. Expla	lumns (b), (d), and (e)	ulations) to the Acc	complishment of Ex	kempt Purpo in column (e	oses  Oses  Oses  Oses	ted importantly to
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c d e Subtotal Add co Total. Add line 1 e worksheet in lii Irt XVI-B R ne No. Expla	lumns (b), (d), and (e)	ulations) to the Acc	complishment of Ex	kempt Purpo in column (e	oses  Oses  Oses  Oses	ted importantly to
c d e Subtotal Add co Total. Add line 1 e worksheet in lii Irt XVI-B R ne No. Expla	lumns (b), (d), and (e)	ulations) to the Acc	complishment of Ex	kempt Purpo in column (e	oses  Oses  Oses  Oses	ted importantly to
c d e Subtotal Add co Total. Add line 1 e worksheet in lii Irt XVI-B R ne No. Expla	lumns (b), (d), and (e)	ulations) to the Acc	complishment of Ex	kempt Purpo in column (e	oses  Oses  Oses  Oses	ted importantly to
c d e Subtotal Add co Total. Add line 1 e worksheet in lii Irt XVI-B R ne No. Expla	lumns (b), (d), and (e)	ulations) to the Acc	complishment of Ex	kempt Purpo in column (e	oses  Oses  Oses  Oses	ted importantly to
c d e Subtotal Add co Total. Add line 1 e worksheet in lii Irt XVI-B R ne No. Expla	lumns (b), (d), and (e)	ulations) to the Acc	complishment of Ex	kempt Purpo in column (e	oses  Oses  Oses  Oses	ted importantly to
c d e Subtotal Add co Total. Add line 1 e worksheet in lii Irt XVI-B R ne No. Expla	lumns (b), (d), and (e)	ulations) to the Acc	complishment of Ex	kempt Purpo in column (e	oses  Oses  Oses  Oses	ted importantly to
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c d e Subtotal Add co Total. Add line 1 e worksheet in lii Irt XVI-B R ne No. Expla	lumns (b), (d), and (e)	ulations) to the Acc	complishment of Ex	kempt Purpo in column (e	oses  Oses  Oses  Oses	ted importantly to

THE ROSENTHAL FOUNDATION

### Information Regarding Transfers To and Transactions and Relationships With Noncharitable Part XVII **Exempt Organizations**

1		ne organization direct	-							Yes	No	
	in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political											
	•	izations?					`		Post (		\$3.925 367	
а		fers from the reporting	=			- I I						
	(2) Other assets								1a(2)	San Warper	X	
b	Other transactions								3500		16.03	
	(1) Sa	ales of assets to a no	ncharitable exe	mpt organization					1b(1)		X	
	(2) Pu	irchases of assets fro	om a noncharita	able exempt organizati	on	. <b></b> .			1b(2)		<u> </u>	
	(3) Re	ental of facilities, equ	ipment, or other	assets					1b(3)		X	
	(4) Re	eimbursement arrang	ements						1b(4)		_ <u>X</u> _	
	(5) Lo	ans or loan guarantee	es						1b(5)		<u> </u>	
	(6) Pe	erformance of service	s or members	nip or fundraising solic	citations				1b(6)		<u> </u>	
С	Sharır	ng of facilities, equipr	nent, mailing lis	sts, other assets, or pa	aid employees ,				1c		_ <u>X</u>	
d	If the	answer to any of th	ie above is "Ye	es," complete the fol	lowing schedu	ile Column (b)	should a	lways show	/ the fa	ır m	arke	
		of the goods, other										
	value	in any transaction of	sharing arran	gement, show in col	umn (d) the va	lue of the good	ds, other	assets, or s	ervices	rece	ived	
(a) L	ne no	(b) Amount involved	(c) Name of	noncharitable exempt organ	ization (d)	Description of tran	sfers, transa	ctions, and shar	ıng arranç	gemen	ts	
		N/A			N,	/A						
_												
				<u>-</u>					4			
							-					
						<del></del>						
	descri	foundation directly of bed in section 501(c) s," complete the follow	of the Code (o						Yes	Х	No	
		(a) Name of organization	1	(b) Type of org	anization		(c) Descrip	tion of relations	hip			
N/	Α											
Sign	correc	r penalties of perjury, I decla	re that I have examing preparer (other than ta	ned this return, including acceptage) is based on all informa	tion of which preparer	and statements, and has any knowledge	to the best	May the IRS	e and belied discussion in the	this r	s true, eturn	
lere	Sigi	nature of officer or trustee		Date	Title			(see instructions		'es	No	
		Print/Type preparer's nar	ne	Preparer's signature		Date	Che	eck if P	TIN			
Paid		MICHARL SO	NSINO	1 lul /	$\sim$				01494	4242	2	
rep	arer		CHAEL SONS	INO, P.C.			Firm's EIN	7.6 04				
-	Only	Firm's address > 13	40		1	<u> </u>						
	Jy	но		77056 Phone no 713-358-3870								
			JSTON, TX				I HOUSE HO		m 990-F		013)	
								1 011	555-1	- 12	5,5,	

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FORM	

THE ROSENTHAL FOUNDATION

	NET	INVESTMENT	INCOME	13,107. 28,248. 15. 101.
REVENUE		EXPENSES	<b>r</b> 0	13,107. 28,248. 15. 101.
				TOTAL
			DESCRIPTION	GOLDMAN SACHS-DIVIDENDS MERRILL LYNCH-DIVIDENDS GOLDMAN SACHS-INTEREST MERRILL LYNCH-INTEREST

ATTACHMENT 1

FORM 990PF, PART I - ACCOUNTING FEES

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ATTACHMENT
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7

CHARITABLE PURPOSES		
ADJUSTED NET INCOME		
NET INVESTMENT INCOME		
REVENUE AND EXPENSES PER BOOKS	3,126.	3,126.
		TOTALS
DESCRIPTION	ACCOUNTING FEES	

75-1675127

## ATTACHMENT 3

FEES	
THER PROFESSIONAL	
O	
PART I -	
PF,	
Σ	

REVENUE	AND	 PER BOOKS INCOME	34,862. 34,862. 30.
		DESCRIPTION	INVESTMENT MANAGEMENT FEES BANK CHARGES

34,862.

34,892.

TOTALS

ATTACHMENT 4

## THE ROSENTHAL FOUNDATION

TAXES
ι
Н
PART
990PF.
FOR M

NET INVESTMENT INCOME	446.	446.
REVENUE AND EXPENSES PER BOOKS	446.	3,778.
DESCRIPTION	FOREIGN TAXES ON DIVIDENDS	TOTALS

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION OFFICE SUPPLIES

REVENUE

AND

EXPENSES

PER BOOKS

165.

TOTALS

165.

ATTACHMENT 6

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FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION

STOCKS

TOTALS

ENDING BOOK VALUE

ENDING FMV

4,516,081.

3,078,099.

3,078,099.

4,516,081.

ATTACHMENT 6 PAGE 21

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FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

_
ATTACHMENT

75-1675127

COMPENSATION	0	0	0
TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	TRUSTEE	TRUSTEE	TRUSTEE
NAME AND ADDRESS	ROSALYN G. ROSENTHAL 604 EAST 4TH STREET, SUITE 201 FORT WORTH, TX 76102	WILLIAM ROSENTHAL 604 EAST 4TH STREET, SUITE 201 FORT WORTH, TX 76102	MARCIA COHEN 604 EAST 4TH STREET, SUITE 201 FORT WORTH, TX 76102

GRAND TOTALS

V 13-4.2F

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ATTACHMENT 8		AMOUNT	1,500.	1,500.
		PURPOSE OF GRANT OR CONTRIBUTION	GENERAL FUNDING	GENERAL FUNDING
ONS PAID DURING THE YEAR	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND	FOUNDATION STATUS OF RECIPIENT	NOT RELATED PC	NOT RELATED PC
EORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR		RECIPIENT NAME AND ADDRESS	AFRICA SCHOOLS OF KENYA P O BOX 3391 SANTA BARBARA, CA 93130-3391	ALLIANCE FOR CHILDREN 908 SOUTHLAND AVE FORT WORTH, TX 76104

1,000. 1,500. GENERAL FUNDING GENERAL FUNDING NOT RELATED NOT RELATED

25,000.

GENERAL FUNDING

NOT RELATED PC

5,000.

GENERAL FUNDING

NOT RELATED
PC

CHABAD OF FORT WORTH & TARRANT COUNTY

FORT WORTH, TX 76102

5659 WOODWAY DRIVE

101 SUMMITT AVE STE 505

FORT WORTH, TX 76102

CASA OF TARRANT COUNTY

12800 HILCREST ROAD SUITE 219

DALLAS, TX 75230

ANTI DEFAMATION LEAUGE

FORT WORTH, TX 76104

2630 WEST FREEWAY

ALZHEIMERS ASSN

2		
	4	

FORM 990PE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

			ATTACHMENT 8 (CONT'D)
	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		•
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CHAMBER MUSIC SOCIETY OF FORT WORTH 2501 PARKVIEW DRIVE STE 317E FORT WORTH, TX 76102	NOT RELATED PC	GENERAL FUNDING	10,000
COOK CHILDREN'S NEUROBLASTOMA FUND 801 SEVENTH AVE FORT WORTH, TX 76104	NOT RELATED PC	GENERAL FUNDING	5,000
CUISINE FOR HEALING 1450 BTH AVENUE FORT WORTH, TX 76104	NOT RELATED PC	GENERAL FUNDING	2,000.
FORT WORTH CLASSIC GUITAR SOCIETY PO BOX 821954 FORT WORTH, TX 76182-1954	NOT RELATED PC	GENERAL FUNDING	5,000.
FORT WORTH SYMPHONY ORCHESTRA 1600 GENDY FORT WORTH, TX 76107	NOT RELATED PC	GENERAL FUNDING	2,500.
JAMES L WEST ALZHEIMER CENTER 2504 COCKRELL AVENUE FORT WORTH, TX 76109	NOT RELATED PC	GENERAL FUNDING	25,000

V 13-4 2F

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

V 13-4 2F

ATTACHMENT 8

			ATTACHMENT 8 (CONT'D)
	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
KEY SCHOOL 611 PENN STREET FORT WORTH, TX 76102	NOT RELATED PC	GENERAL FUNDING	10,000.
KIDS WHO CARE 4049 KINGSRIDGE ROAD FORT WORTH, TX 76109	NOT RELATED PC	GENERAL FUNDING	20,000
MAKE A WISH FOUNDATION 506 MAIN STREET FORT WORTH, TX 76102-3925	NOT RELATED PC	GENERAL FUNDING	. 000
MENTAL HEALTH AMERICA OF GREATER TARRANT 3136 WEST 4TH STREET FORT WORTH, TX 76107	NOT RELATED PC	GENERAL FUNDING	3,500.
NICU HELPING HANDS 1400 JACKSON DENVER, CO 80206	NOT RELATED PF	GENERAL FUNDING	1,000.
REFORM JUDAISM MAGAZINE 633 THIRD AVENUE, 7TH FLOOR NEW YORK, NY 10017-6778	NOT RELATED PC	GENERAL FUNDING	. 000 .

75-1675127

יינות ביינות ביי	יייין איני זימין איני		ATTACHMENT 8 (CONT'D)
	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR		
	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
TCH SCHOOL OF MISIC - INT'L STHIBENT FIND	nor meranaran	CENEBBI: PHUDING	1,000.
4216 SHADOW DRIVE	, ca		
FORT WORTH, TX 76116	2	-	
THE WARM PLACE	NOT RELATED	GENERAL FUNDING	25,000.
2751 GREEN OAKS ROAD	PC		
FORT WORTH, TX 76116			
VAN CLIBURN FOUNDATION	NOT RELATED	GENERAL FUNDING	8,000.
2525 RIDGMAR BLVD STE 307	25		
FORT WORTH, TX 76116			
WISH WITH WINGS	NOT RELATED	GENERAL FUNDING	2,500.
3817 ALAMO AVE	PC		
FORT WORTH, IX 76107			
WORLD UNION FOR PROGRESSIVE JUDAISM	NOT RELATED	GENERAL FUNDING	35,000.
633 THIRD AVENUE	PC		
NEW YORK, NY 10017			

TOTAL CONTRIBUTIONS PAID

196,500.

ATTACHMENT 8 PAGF 26

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### **SCHEDULE D** (Form 1041)

Department of the Treasury Internal Revenue Service

Name of estate or trust

**Capital Gains and Losses** 

► Attach to Form 1041, Form 5227, or Form 990-T.

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041.

OMB No 1545-0092

Employer identification number

	THE ROSENTHAL FOUNDATION			75-16751	L27	
Not	e: Form 5227 filers need to complete only Parts I and II.	•	····	<u>+</u>		
Pa	Short-Term Capital Gains and Losses - Ass	ets Held One Yea	r or Less			
See the This	Instructions for how to figure the amounts to enter on lines below form may be easier to complete if you round off cents whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustment to gain or loss Form(s) 8949, F line 2, column	from Part I,	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a	Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b					
1b	Totals for all transactions reported on Form(s) 8949 with Box A checked,	123,502.	86,574.			36,928.
2	Totals for all transactions reported on Form(s) 8949 with <b>Box B</b> checked					
3	Totals for all transactions reported on Form(s) 8949 with <b>Box C</b> checked					
4	Short-term capital gain or (loss) from Forms 4684, 62	52, 6781, and 8824			4	
5	Net short-term gain or (loss) from partnerships, S corp	porations, and other e	estates or trusts		5	
6	Short-term capital loss carryover Enter the amoun Carryover Worksheet	-			6	(
7	Net short-term capital gain or (loss). Combine line line 17, column (3) on the back	- 			7	36,928.
Pai	Long-Term Capital Gains and Losses - Asset	ets Held More Tha	n One Year			
	instructions for how to figure the amounts to enter on	(4)	(e)	(g) Adjustments	.	(h) Gain or (loss) Subtract column (e)
This	ines below form may be easier to complete if you round off cents hole dollars	(d) Proceeds (sales price)	Cost (or other basis)	to gain or loss f Form(s) 8949, P line 2, column	rom art II,	from column (d) and combine the result with column (g)
	Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				A Company of the Comp	
8b	Totals for all transactions reported on Form(s) 8949 with <b>Box D</b> checked	581,690.	528,784.			52,906.
9	Totals for all transactions reported on Form(s) 8949 with Box E checked	427,785.	195,120.			232,665.
		·				
	Totals for all transactions reported on Form(s) 8949 with <b>Box F</b> checked					
					11	
	with Box F checked	34, 6252, 6781, and	8824		11 12	
11	Long-term capital gain or (loss) from Forms 2439, 468	34, 6252, 6781, and other es	8824 states or trusts			
11 12 13	Net long-term gain or (loss) from partnerships, S corporcial gain distributions.	34, 6252, 6781, and orations, and other es	8824		12	
11 12 13	Net long-term gain or (loss) from partnerships, S corpo Capital gain distributions.	orations, and other es	8824	Capital Loss	12	( )

Cabadula.	D (Form	1041) 2013	
scheaule	UIFORM	1041) 2013	

necessary

Sche	edule D (Form 1041) 2013				Page ∠
Pa	Summary of Parts I and II  Caution: Read the instructions before completing this pa	rt.	(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17	Net short-term gain or (loss)	17			36,928.
18	Net long-term gain or (loss):				
а	Total for year	18a			285,571.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b			
С	28% rate gain	18c			
19	Total net gain or (loss). Combine lines 17 and 18a ▶	19			322,499.
Note	e: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4	or Fo	m 990-T, Part I, line 4a complete Part IV and	a) If lines 18a and 19 the Capital Loss Car	), column (2), are nei

Part IV Capital Loss Limitation

Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of 20

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the Capital Loss Carryover Worksheet in the instructions to figure your capital loss carryover

### Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero

Caution: Skip this part and complete the Schedule D Tax Worksheet in the instructions if

- Either line 18b, col (2) or line 18c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part only if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the Schedule D Tax Worksheet in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	21	- 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1
22	Enter the smaller of line 18a or 19 in column (2)		
	but not less than zero	A TE	
23	Enter the estate's or trust's qualified dividends	4.4.1	
	from Form 1041, line 2b(2) (or enter the qualified	100 mg	
	dividends included in income in Part I of Form 990-T) 23		
24	Add lines 22 and 23		
25	If the estate or trust is filing Form 4952, enter the		T#: # #
	amount from line 4g, otherwise, enter -0 ▶ 25	5.3	
26	Subtract line 25 from line 24 If zero or less, enter -0-	26	5 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4
27	Subtract line 26 from line 21 If zero or less, enter -0-	27	
28	Enter the smaller of the amount on line 21 or \$2,450	28	
29	Enter the <b>smaller</b> of the amount on line 27 or line 28	29	1.5
30	Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at	0%	▶ 30
31	Enter the smaller of line 21 or line 26	31	7 2 2
32	Subtract line 30 from line 26	32	
33	Enter the smaller of line 21 or \$11,950	33	
34	Add lines 27 and 30	34	
35	Subtract line 34 from line 33 If zero or less, enter -0	35	The state of the s
36	Enter the smaller of line 32 or line 35	36	हिल्लेक
37	Multiply line 36 by 15%		▶ 37
38	Enter the amount from line 31	38	R HE of
39	Add lines 30 and 36	39	
40	Subtract line 39 from line 38 If zero or less, enter -0	40	
41	Multiply line 40 by 20%	,	<b>41</b>
42	Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates		
	and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42	
43	Add lines 37, 41, and 42	43	
44	Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates		1 abr.
	and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44	
15	Tax on all taxable income. Enter the smaller of line 43 or line 44 here and	on Form 1041, Schedule	
	G, line 1a (or Form 990-T, line 36)		▶ 45

Schedule D (Form 1041) 2013

THE ROSENTHAL FOUNDATION

FORM 990-PF - PART IV
AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of P	roperty		Desc	ription		or D	Date acquired	Date sold
Gross sale price less	Depreciation allowed/	Cost or other	FMV as of	Adj basis as of	Excess of FMV over		Gain or (loss)	-
xpenses of sale	allowable	basis	12/31/69	12/31/69	adı basıs			
		12,886.50 S. PROPERTY TY				P	VAR	V.A
150,000.		184,536.					-34,536.	
		SEE ATTACHE				P	VAR	V
123,502.		PROPERTY TY 86,574.	PE: SECURIT	IES			36,928.	
		SEE ATTACHE	D SCH-MERRI	LL		P	VAR	V
		PROPERTY TY						
431,690.		344,248.					87,442.	
		SEE ATTACHE PROPERTY TY				Р	VAR	V.
427,785.		195,120.	FE. SECONII	165			232,665.	
					-			
ral Gain(LC	oss)						322,499.	

### Form 8949

### Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

OMB No 1545-0074

20**13** 

Attachment Sequence No. 12A

Department of the Treasury Internal Revenue Service

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Social security number or taxpayer identification number 75-1675127

Name(s) shown on return
THE ROSENTHAL FOUNDATION

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term Part I: transactions, see page 2 Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions). You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above) (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS (C) Short-term transactions not reported to you on Form 1099-B Adjustment, if any, to gain or loss. 1 If you enter an amount in column (g), (e) enter a code in column (f) Cost or other basis See the Gain or (loss) Subtract column (e) (c) Date sold or (d) (a) Description of property (b) See the separate instructions Proceeds Date acquired from column (d) and disposed (sales price) Note below and (Example 100 sh XYZ Co) (Mo, day, yr) (Mo , day, yr ) (g) (see instructions) see Column (e) combine the result Code(s) from Amount of in the separate with column (a) adjustment instructions instructions SEE ATTACHED SCH-MERRILL 123,502. 86,574. 36,928.

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked)

123,502.

86,574.

المناج الماسانة

36,928

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side ) THE ROSENTHAL FOUNDATION

Social security number or taxpayer identification number 75-1675127

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

(E) Long-term transactions r	eported on F	orm(s) 1099	-B showing basis	•	•	•	
(a) Description of property	(b) Date acquired	(c) Date sold or disposed	(d) Proceeds (sales price)	(e) Cost or other basis See the Note below and	Adjustment, if a lif you enter an a enter a co	(h) Gain or (loss) Subtract column from column (d) a	
(Example 100 sh XYZ Co)	(Mo, day, yr)	(Mo , day, yr )	(see instructions)	see Column (e) in the separate instructions	(f) Code(s) from instructions	(g) Amount of adjustment	combine the result with column (g)
12,886.50 SHS GS GROWT STRATEGY			150,000.	184,536.			-34,536
SEE ATTACHED SCH-MERRILL			431,690.	344,248.			87,442
					_		
						-	
							<u> </u>
2 Totals. Add the amounts in colur (subtract negative amounts) En include on your Schedule D, line checked), line 9 (if Box E above (if Box E above is checked)	ter each total	here and above is	581,690	528,784.			52,906.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment

(if Box F above is checked) ▶

Social security number or taxpayer identification number

THE ROSENTHAL FOUNDATION

75-1675127

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II. Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions)

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

(F) Long-term transactions	not reported t	o you on For	m 1099-B		γ		r
(a)  Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed	(d) Proceeds (sales price)	(e) Cost or other basis See the Note below and	Adjustment, if a lif you enter an a enter a cool See the separation	Gain or (loss) Subtract column (e from column (d) an	
(Example 100 Sit X12 GG)	(Wo , day, yr )	(Mo , day, yr )	(see instructions)	see Column (e) in the separate instructions	(f) Code(s) from instructions	<b>(g)</b> Amount of adjustment	combine the result with column (g)
SEE ATTACHED							
SCH-MERRILL			427,785.	195,120.			232,665
							<del></del>
2 Totals. Add the amounts in column (subtract negative amounts) Einclude on your Schedule D, Iii checked), Iine 9 (if Box E above is checked)	Enter each total ne 8b (if Box D	here and above is	427,785.	195,120.			232,665

(if Box F above is checked) ▶ Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment

Account No. 87A-02040

Taxpayer No.

75-1675127

7 of 12 Page

### ROSENTHAL FOUNDATION

# **2013 ANNUAL STATEMENT SUMMARY**

The following sections are provided to facilitate your review and the preparation of your tax return

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities" A covered security includes those within the following timeline Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities" Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available

distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract. It was assumed that the unit holder included contract

adjustment payment was anotated to the published of the following adjustments to the buildings contract, it was assumed that the unit holder included contract adjustment payments in income when received  This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.	ted to the partitions of the velocition and is it is sanction may be in	being furnished posed on you i	to the internal R this income is t	cost basis of the p Revenue Service. axable and the IP	ulchase contract, it will you are required If you are required SS determines that	to file a return, a it has not been re	component was anotated to the purchase contract in making adjustments to the cost basis of the purchase contract in was assumed the unit notice included contract in income when received.  This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
1099-B	2013 PROCE	EEDS FROM	BROKER & F	<b>SARTER EXC</b>	2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS	SACTIONS	
Transaction 1e Quantity Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss) Remarks	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1C.	D LOSSES - 1099-1	B Line 1c.					
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)	B Line 6b. (cost ba	sis reported to	the IRS)				
MONSTER BEVERAGE CORP 160 0000 Sale	CUSIP Number 611740101 10/25/12 06/14/13	<i>611740101</i> 06/14/13	9,293 74	7,598 51	00 0	1,695 23	
PRICELINE COM INC 61 0000 Sale	CUSIP Number 741503403 10/12/12	741503403 10/10/13	61,078 51	36,516 59	00 0	24,561 92	
PERRIGO CO 342 0000 Exchange	CUSIP Number 714290103 09/05/13 12/19/13	714290103 12/19/13	53,129 57	42,459 09	00 0	10,670 48	
Covered Short Term Capital Gains and Losses Subtotal	ns and Losses Sul	ototal	123,501.82	86,574.19	0.00	36,927.63	
NET SHORT TERM CAPITAL GAINS AND LOSSES	S AND LOSSES		123,501.82	86,574.19	0.00	36,927.63	



Account No. 87A-02040

Taxpayer No. 75-1675127

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### ROSENTHAL FOUNDATION

1099-B

<b>JMMARY</b>	
<b>013 ANNUAL STATEMENT SUMMARY</b>	
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<b>J13 ANNU</b>	
7	

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

			-										
Remarks													
Gain or (Loss)				4,156 01	5,991 62	(7,603 07)	(12,412.49)	5,888 66	17,777 09 22,356 73 6,645 61 37,038 47	83,817.90	87,441.70		11,552 32 8,602 00 7,317 03
5 Wash Sale Loss Disallowed				00 0	00 0	0.00	00.00	00 0	00000	0.00	00.00		00 00 00 0
3 Cost Basis			:	18,236 79	62,260 08	54,327 69 70,111 31	124,439.00	8,092 80	45,709 71 30,833 06 7,438 33 47,238 06	131,219.16	344,247.83	RS)	25,598 00 10,321 78 11,228 28
le 2a Amount		ed to the IRS)	:	22,392 80	68,251 70	46,724 62 65,301 89	112,026.51	13,981 46	63,486 80 53,189 79 14,083 94 84,276 53	215,037.06	431,689.53	ot reported to the IRS)	37,150 32 18,923 78 18,545 31
1a Date of Sale or Exchange	3 Line 1c.	asis reported	084670702	02/20/13	<i>231021106</i> 01/10/13	<i>302130109</i> 05/02/13 05/02/13		714290103 12/19/13	808513105 02/13/13 07/17/13 10/31/13 10/31/13		ibtotal	cost basis not I	025816109 01/10/13 05/22/13 05/22/13
1b Date of Acquisition	1 LOSSES - 1099-L	B Line 6b. (cost b	CUSIP Number 084670702	01/13/11	CUSIP Number 06/29/11	CUSIP Number 08/17/11 04/30/12		CUSIP Number 08/12/11	CUSIP Number 01/05/12 01/05/12 01/05/12 01/20/12		ins and Losses Su	1099-B Line 6a. (c	CUSIP Number 05/18/10 05/18/10 07/26/10
Transaction 1e Quantity Description	LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1C.	COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis report	BERKSHIRE HATHAWAYINC	225 0000 Sale	CUMMINS INC COM 615 0000 Sale	EXPEDITORS INTL WASH INC 1245 0000 Sale 1740 0000 Sale	Security Subtotal	PERRIGO CO 90 0000 Exchange	SCHWAB CHARLES CORP NEW 3810 0000 Sale 2570 0000 Sale 620 0000 Sale 3710 0000 Sale	Security Subtotal	Covered Long Term Capital Gains and Losses Subtotal	NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis n	AMER EXPRESS COMPANY 620 0000 Sale 250 0000 Sale 245 0000 Sale

27,471.35

0.00

47,148.06

74,619.41

Security Subtotal



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### ROSENTHAL FOUNDATION

1099-B

2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS
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Sale illowed Gain or (Loss) Remarks	0 00 25,327 55 0 00 14,797 24	0.00 40,124.79	0 00 23,404 10	0 00 13,353 64 0 00 423 77 0 00 57,374 03		0 00 70,513 61	0.00 232,665.29	0.00 320,106.99	
5 Wash Sale 3 Cost Basis Loss Disallowed	14,540 45 9,650 12	24, 190.57	34,090 88	19,706 69 345 08 19,756 06	39,807.83	49,882 33	195,119.67	539,367.50	
2a Amount	39,868 00 24,447 36	64,315.36	57,494 98	33,060 33 768 85 77,130 09	110,959.27	120,395 94	427,784.96	859,474.49	982.976.31
1a Date of Sale or Exchange	192446102 09/05/13 09/05/13		<i>38259P508</i> 05/15/13	375558103 01/10/13 01/10/13 11/11/13		714290103 12/19/13	Subtotal		D LOSSES
1b Date of Acquisition	CUSIP Number 192446102 01/17/08 09/05/13 05/08/08		CUSIP Number 04/28/10	CUSIP Number 01/20/10 06/11/10 06/11/10		CUSIP Number 11/05/10	ains and Losses	ND LOSSES	ITAL GAINS AN
Transaction 1e Quantity Description	COGNIZANT TECH SOLUTNS A 530 0000 Sale 325 0000 Sale	Security Subtotal	GOOGLE INC CL A 64 0000 Sale	GILEAD SCIENCES INC COM 430 0000 Sale 10 0000 Sale 1145 0000 Sale	Security Subtotal	PERRIGO CO 775 0000 Exchange	Noncovered Long Term Capital Gains and Losses Subtotal	NET LONG TERM CAPITAL GAINS AND LOSSES	TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES

# 2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

NONCOVERED LONG TERM S GAINS/LOSSES	0 232,665.29
COVERED LONG TERM GAINS/LOSSES	87,441.70
NONCOVERED SHORT TERM GAINS/LOSSES	0.00
COVERED SHORT TERM GAINS/LOSSES	36,927.63