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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation LOUIS H AND MARY PATRICIA STUMBERG FOUNDATION % DIANA M STUMBERG		A Employer identification number  74-6367261	
Number and street (or P O box number if mail is not delivered to street address) 227 W OLMOS DRIVE Suite		B Telephone number (see instructions)  (210) 271-0448	
City or town, state or province, country, and ZIP or foreign postal code SAN ANTONIO, TX 78212		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 7,857,385		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	100,000			
	2 Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities. . . . .	130,559	130,559		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	376,663			
	b Gross sales price for all assets on line 6a 2,282,433				
	7 Capital gain net income (from Part IV, line 2) . . .		376,663		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold . . . . .				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	607,222	507,222		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	5,150	2,575	0	2,575
	c Other professional fees (attach schedule) . . . . .	29,548	27,515		2,033
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions)	7,101	43		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	109	109		
	24 <b>Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	41,908	30,242	0	4,608
	25 Contributions, gifts, grants paid . . . . .	493,750			493,750
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	535,658	30,242	0	498,358
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	71,564			
	b <b>Net investment income</b> (if negative, enter -0-)		476,980		
	c <b>Adjusted net income</b> (if negative, enter -0-) . . .				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	24,880	46,130	46,130
	2	Savings and temporary cash investments . . . . .	666,291	271,052	271,052
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	3,834,817	3,891,229	7,063,782
	c	Investments—corporate bonds (attach schedule). . . . .	100,109	489,250	476,421
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,626,097	4,697,661	7,857,385	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	4,626,097	4,697,661	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	4,626,097	4,697,661	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	4,626,097	4,697,661		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	4,626,097
2	Enter amount from Part I, line 27a . . . . .	2	71,564
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	4,697,661
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	4,697,661

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 a	ST SALES THRU FROST - SEE ATTACHED			
b	LT SALES THRU FROST - SEE ATTACHED			
c	LT SALES THRU FROST - SEE ATTACHED			
d	LT CAPITAL GAIN DISTRIBUTION			
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 720,273		647,366	72,907
b 490,543		450,343	40,200
c 1,059,176		808,061	251,115
d 12,441			12,441
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			72,907
b			40,200
c			251,115
d			12,441
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	376,663
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	336,211	6,204,416	0 054189
2011	231,152	6,215,700	0 037188
2010	240,444	5,495,747	0 043751
2009	513,333	4,483,424	0 114496
2008	889,656	5,620,584	0 158285

2	Total of line 1, column (d). . . . .	2	0 407909
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 081582
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .	4	6,920,078
5	Multiply line 4 by line 3. . . . .	5	564,554
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	4,770
7	Add lines 5 and 6. . . . .	7	569,324
8	Enter qualifying distributions from Part XII, line 4. . . . .  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	498,358

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	9,540
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	9,540
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	9,540
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	8,392
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	8,392
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,148
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0 (2) On foundation managers \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶DIANA M STUMBERG Telephone no ▶(210) 271-0448 Located at ▶227 W OLMOS DRIVE SAN ANTONIO TX ZIP +4 ▶78212			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?. . . . .	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	2b		
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> ). . . . .	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes 

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes 

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes 

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes 

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes 

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes 

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes 

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes 

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARY PAT STUMBERG	TRUSTEE	0	0	0
227 WOLMOS DRIVE	1 0			
SAN ANTONIO, TX 78212				
LOUIS H STUMBERG JR	TRUSTEE	0	0	0
227 WOLMOS DRIVE	1 0			
SAN ANTONIO, TX 78212				
DIANA M STUMBERG	TRUSTEE	0	0	0
227 WOLMOS DRIVE	1 0			
SAN ANTONIO, TX 78212				
ERIC B STUMBERG	TRUSTEE	0	0	0
227 WOLMOS DRIVE	1 0			
SAN ANTONIO, TX 78212				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total

number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. . . . .		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3. . . . .	



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	6,611,386
b	Average of monthly cash balances. . . . .	1b	414,074
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	7,025,460
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	7,025,460
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	105,382
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,920,078
6	Minimum investment return. Enter 5% of line 5. . . . .	6	346,004

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	346,004
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	9,540
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	9,540
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	336,464
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	336,464
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	336,464

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	498,358
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	498,358
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	498,358
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				336,464
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only. . . . .			0	
b Total for prior years 2011 , 2010 , 2009		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008. . . . .	345,275			
b From 2009. . . . .	292,134			
c From 2010. . . . .				
d From 2011. . . . .				
e From 2012. . . . .	34,382			
f Total of lines 3a through e. . . . .	671,791			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 498,358				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2013 distributable amount. . . . .				336,464
e Remaining amount distributed out of corpus	161,894			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	833,685			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	345,275			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	488,410			
10 Analysis of line 9				
a Excess from 2009. . . .	292,134			
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .	34,382			
e Excess from 2013. . . .	161,894			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . .

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a . . . . .					
c	Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d	Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets . . . . .					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

DIANA M STUMBERG  
227 W OLMOS DRIVE  
SAN ANTONIO, TX 78212  
(210) 271-0448

b

The form in which applications should be submitted and information and materials they should include

APPLICATIONS SHOULD BE SUBMITTED IN LETTER FORM OUTLINING THE NEEDS OF THE APPLICANT

c

Any submission deadlines

APPLICATIONS SHOULD BE SUBMITTED BY DECEMBER

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO RESTRICTIONS OR LIMITATIONS ON AWARDS

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	493,750
b Approved for future payment				
Total . . . . .			3b	

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes


Form **990-PF** (2013)



**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).**

M P STUMBERG 227 W OLMOS DRIVE
ERIC B STUMBERG 106 EAST 6TH STRE

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
THE TEXAS RAMP PROJECT PO BOX 832065 RICHARDSON,TX 75083	NONE	PC	CHARITABLE	500
SAN ANTONIO LIVESTOCK EXPOSITION (SALE) 3201 E HOUSTON SAN ANTONIO,TX 78219	NONE	PC	CHARITABLE	5,000
JOHN R MCFARLIN TENNIS FOUNDATION 106 S SAINT MARYS STREET SAN ANTONIO,TX 78205	NONE	PC	CHARITABLE	1,000
UNIVERSITY OF TEXAS AT SAN ANTONIO 1 UTSA CIR SAN ANTONIO,TX 78249	NONE	PC	CHARITABLE	200,000
UNITED WAY OF SAN ANTONIO 700 SOUTH ALAMO STREET SAN ANTONIO,TX 78205	NONE	PC	CHARITABLE	25,000
YMCA OF GREATER SAN ANTONIO 3233 N ST MARYS SAN ANTONIO,TX 78212	NONE	PC	CHARITABLE	1,000
ETOSHA NATIONAL PARK 1ST FLOOR UNIT 6 SOUTH INDUST AR WINDHOEK WA	NONE	PC	CHARITABLE	500
WARM BLANKETS 5105 TOLLVIEW DRIVE STE 155 ROLLING MEADOWS,IL 60008	NONE	PC	CHARITABLE	250
HEART FOR THE NEIGHBORHOOD 25700 OVERLOOK PARKWAY SAN ANTONIO,TX 78260	NONE	PC	CHARITABLE	1,000
FIRST PRESBYTERIAN CHURCH 404 NORTH ALAMO SAN ANTONIO,TX 78205	NONE	PC	CHARITABLE	120,000
AUSTIN PRESBYTERIAN SEMINARY 100 EAST 27TH STREET AUSTIN,TX 78705	NONE	PC	CHARITABLE	50,000
SAPPHIRE SCHOOL 835 ISOM ROAD SAN ANTONIO,TX 78216	NONE	PC	CHARITABLE	1,500
ADOBE YOUTH VOICES SCHOLARSHIPS 530 BUSH STREET SUITE 1000 SAN FRANCISCO,CA 94108	NONE	PC	CHARITABLE	3,000
THE CHILDREN'S BEREAVEMENT CENTER OF SOUTH TEXAS 205 W OLMOS DR STE 101 SAN ANTONIO,TX 78212	NONE	PC	CHARITABLE	5,000
THE CHILDREN'S SHELTER 2939 WEST WOODLAWN SAN ANTONIO,TX 78228	NONE	PC	CHARITABLE	2,000
<b>Total</b> . . . . .  <b>3a</b>				493,750



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
TEXAS BIOMEDICAL RESEARCH INSTITUTE 7620 NW LOOP 410 SAN ANTONIO, TX 782275301	NONE	PC	CHARITABLE	10,000
SAN ANTONIO SYMPHONY PO BOX 658 SAN ANTONIO, TX 782930658	NONE	PC	CHARITABLE	20,000
THE OUTREACH FOUNDATION 381 RIVERSIDE DRIVE SUITE 110 FRANKLIN, TN 37064	NONE	PC	CHARITABLE	3,000
UT HEALTH SCIENCE CENTER SAN ANTONIO 7703 FLOYD CURL DRIVE SAN ANTONIO, TX 78229	NONE	PC	CHARITABLE	5,000
FOUNDATIONS FOR LAITY RENEWAL 719 EARL GARRETT STREET KERRVILLE, TX 780283324	NONE	PC	CHARITABLE	5,000
SCHREINER UNIVERSITY 2100 MEMORIAL BLVD KERRVILLE, TX 78028	NONE	PC	CHARITABLE	15,000
ST DAVID'S EPISCOPAL SCHOOL 1300 WILTSHIRE AVE SAN ANTONIO, TX 78209	NONE	PC	CHARITABLE	15,000
SUNSHINE COTTAGE SCHOOL FOR DEAF CHILDREN 603 E HILDEBRAND AVE SAN ANTONIO, TX 782122693	NONE	PC	CHARITABLE	5,000
<b>Total . . . . .</b>			<b>3a</b>	493,750

<b>Schedule B</b> (Form 990, 990-EZ, or 990-PF)  Department of the Treasury Internal Revenue Service	<b>Schedule of Contributors</b>  ▶ <b>Attach to Form 990, 990-EZ, or 990-PF.</b>  ▶ <b>Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.</b>	OMB No 1545-0047  <b>2013</b>
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<b>Name of the organization</b> LOUIS H AND MARY PATRICIA STUMBERG FOUNDATION	<b>Employer identification number</b>  74-6367261
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Organization type (check one)

<b>Filers of:</b>	<b>Section:</b>
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)( ) (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> LOUIS H AND MARY PATRICIA STUMBERG FOUNDATION	<b>Employer identification number</b>  74-6367261
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	MARY PAT STUMBERG 227 W OLMOS DRIVE  SAN ANTONIO, TX 78212	\$ 100,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
 		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )

<b>Name of organization</b> LOUIS H AND MARY PATRICIA STUMBERG FOUNDATION	<b>Employer identification number</b>  74-6367261
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

<b>Name of organization</b> LOUIS H AND MARY PATRICIA STUMBERG FOUNDATION	<b>Employer identification number</b> 74-6367261
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Part III

**Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year.** Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions ) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

**TY 2013 Accounting Fees Schedule**

**Name:** LOUIS H AND MARY PATRICIA STUMBERG  
FOUNDATION

**EIN:** 74-6367261

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ERNST AND YOUNG, LLP	5,150	2,575		2,575

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2013 Depreciation Schedule**

**Name:** LOUIS H AND MARY PATRICIA STUMBERG  
FOUNDATION

**EIN:** 74-6367261

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
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# TY 2013 Investments Corporate Bonds Schedule

**Name:** LOUIS H AND MARY PATRICIA STUMBERG  
FOUNDATION

**EIN:** 74-6367261

Name of Bond	End of Year Book Value	End of Year Fair Market Value
HEWLETT PACKARD CO BONDS	100,000	100,847
BOND FUNDS	389,250	375,574



# TY 2013 Investments Corporate Stock Schedule

**Name:** LOUIS H AND MARY PATRICIA STUMBERG  
FOUNDATION

**EIN:** 74-6367261

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VARIOUS CORPORATE STOCKS	3,473,016	5,000,160
MUTUAL FUNDS	418,213	2,063,622

**TY 2013 Investments - Land Schedule**

**Name:** LOUIS H AND MARY PATRICIA STUMBERG  
FOUNDATION

**EIN:** 74-6367261

**TY 2013 Land, Etc. Schedule**

**Name:** LOUIS H AND MARY PATRICIA STUMBERG  
FOUNDATION

**EIN:** 74-6367261

## TY 2013 Other Expenses Schedule

**Name:** LOUIS H AND MARY PATRICIA STUMBERG  
FOUNDATION

**EIN:** 74-6367261

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AMORTIZATION	109	109		

TY 2013 Other Income Schedule

**Name:** LOUIS H AND MARY PATRICIA STUMBERG  
FOUNDATION  
**EIN:** 74-6367261

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ROYALTY INCOME			

## TY 2013 Other Professional Fees Schedule

**Name:** LOUIS H AND MARY PATRICIA STUMBERG  
FOUNDATION

**EIN:** 74-6367261

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FROST BANK MANAGEMENT FEES	20,329	18,296		2,033
INVESTMENT MANAGEMENT FEES	9,219	9,219		

# TY 2013 Taxes Schedule

**Name:** LOUIS H AND MARY PATRICIA STUMBERG  
FOUNDATION

**EIN:** 74-6367261

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	43	43		
ESTIMATED TAX PAYMENTS	7,058			

SCHEDULE D

ACCT# 80371700 (L & M^SLUMBERG FOUNDATION MGMI AGCY ) YR END 12/31/13 TAXPAYER ID 74-6367261 1X O 299  
TAX OFFICER# 299 ALICE HERNANDEZ - TAX LETTER

PAGE 1

DATE	SHR/PV	DESCRIPTION	ACQUIRED	SOLD/RECD	SALE PRICE	BASIS	NET S.T.	NET I.T.
5/ 6/13	275 000	SOLD ALLERGAN INC COM*	VARIOUS	5/1/13	28923 88	14541 40	0.00	14382.48
		ACQUIRED 8/06/08 THROUGH 8/08/08						
		275 SHARES AT \$105.23010638						
*****	250 000	GAIN/LOSS LT:	8/ 6/08		26294.44	13140 00		
*****	25.000	GAIN/LOSS LT:	8/ 8/08		2629.44	1401 40		
5/ 7/13	475 000	SOLD ALLERGAN INC COM*	8/8/08	5/2/13	47760.09	26626 55	0.00	21133.54
		475 SHARES AT \$100 5998						
2/22/13	61.000	SOLD AMAZON COM INC*	8/4/10	2/19/13	16376.91	7621.34	0 00	8755.57
		61 SHARES AT \$268 53						
5/ 2/13	35 000	SOLD AMAZON.COM INC*	8/4/10	4/29/13	8751.55	4372.90	0 00	4378 65
		35 SHARES AT \$250.10						
5/10/13	1560 000	SOLD BAXTER INTERNATIONAL INC COM *	VARIOUS	5/7/13	105973.73	94701.37	11272 36	0.00
		ACQUIRED 7/27/12 THROUGH 1/28/13						
		1,560 SHARES AT \$67 9934						
*****	994 000	GAIN/LOSS ST:	7/27/12		67524.29	58324 04		
*****	216 000	GAIN/LOSS ST:	8/29/12		14673.29	12694 30		
*****	350 000	GAIN/LOSS ST:	1/28/13		23776.15	23683 03		
2/22/13	2759 000	SOLD BLOCK H & R INC COM	8/16/12	2/19/13	55370 92	37296.54	18074.38	0 00
		2,259 SHARES AT \$24.5718						
2/28/13	2116 000	SOLD BLOCK H & R INC COM	VARIOUS	2/25/13	52335.12	35022 76	17312.36	0.00
		ACQUIRED 8/16/12 THROUGH 8/17/12						
		2,116 SHARES AT \$24.79359937						
*****	200.000	GAIN/LOSS ST:	8/16/12		4946.61	3302 04		
*****	1916.000	GAIN/LOSS ST:	8/17/12		47388 51	31720.72		
2/28/13	741 000	SOLD BORGWARNER INC COM*	VARIOUS	2/25/13	55364.35	29242 01	0.00	26122.34
		ACQUIRED 5/11/10 THROUGH 6/02/10						
		741 SHARES AT \$74 7674						
*****	500 000	GAIN/LOSS LT	5/11/10		37357.86	20050.27		
*****	241.000	GAIN/LOSS LT:	6/ 2/10		18006 49	9191.74		
2/ 7/13	1370 000	SOLD CARDINAL HEALTH INC COM*	VARIOUS	2/4/13	59841.49	55240 44	967.39	3633.66
		ACQUIRED 9/13/11 THROUGH 8/09/12						
		1,370 SHARES AT \$43.74090156						
*****	995 000	GAIN/LOSS LT:	9/13/11		43461 52	39827.86		
*****	85 000	GAIN/LOSS ST:	8/ 1/12		3712 79	3653 23		
*****	290.000	GAIN/LOSS ST:	8/ 9/12		12667.18	11759.35		
7/19/13	123 000	SOLD CEPHEID INC COM*	12/18/12	7/16/13	4206 59	4243 76	-37.17	0.00
		123 SHARES AT \$34 25090023						
7/22/13	590.000	SOLD CEPHEID INC COM*	12/18/12	7/17/13	19999.32	20356 24	-356.92	0.00
		590 SHARES AT \$33 94780001						
1/15/13	327.000	SOLD EDWARDS LIFESCIENCES CORP COM*	12/9/11	1/10/13	30367.83	21074 49	0.00	9293.34
		327 SHARES AT \$92.92009174						



## S C H E D U L E D

ACCT# F0571700 (L & M^STUMBERG FOUNDATION MGMT AGCY ) YR END 12/31/13 TAXPAYER ID 74-6367261 TX O 299  
 TAX OFFICER# 299 ALICE HERNANDEZ - TAX LETTER

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DATE	SHR/PV	DESCRIPTION	ACQUIRED	SOLD/RECD	SALE PRICE	BASIS	NET S.F.	NET L.T.
11/13/13	1056.000	SOLD EDWARDS LIFESCIENCES CORP COM*	VARIOUS	11/7/13	66730.44	68457.99	-727.54	-1000.01
		ACQUIRED 12/09/11 THROUGH 5/24/13						
		1,056 SHARES AT \$63.2528						
*****	796.000	GAIN/LOSS LT:	12/ 9/11		50300.60	51300.61		
*****	260.000	GAIN/LOSS ST:	5/24/13		16429.84	17157.38		
5/ 3/13	68.000	SOLD EXXON MOBIL CORP COM*	5/4/09	4/30/13	6022.62	4641.00	0.00	1381.62
		68 SHARES AT \$88.62						
9/27/13	816.000	SOLD F5 NETWORKS INC COM*	7/2/13	9/24/13	70297.43	57005.44	13291.99	0.00
		816 SHARES AT \$86.2103						
7/25/13	3854.000	SOLD INTEL CORP COM *	2/26/13	7/22/13	88004.94	79967.42	8037.52	0.00
		3,854 SHARES AT \$22.89510033						
5/ 3/13	522.000	SOLD ISHARES CORE S & P SMALL-CAP ETF*	VARIOUS	4/30/13	45099.78	30326.04	0.00	14773.74
		ACQUIRED 1/10/08 THROUGH 9/17/10						
		522 SHARES AT \$86.45						
*****	107.000	GAIN/LOSS LT:	1/10/08		9244.59	6600.49		
*****	415.000	GAIN/LOSS LT:	9/17/10		35855.19	23725.55		
2/28/13	382.000	SOLD JPMORGAN CHASE & CO COM*	VARIOUS	2/25/13	18549.54	18617.52	0.00	-67.98
		ACQUIRED 1/17/07 THROUGH 4/10/07						
		382 SHARES AT \$48.6101						
*****	350.000	GAIN/LOSS LT:	1/17/07		16995.65	17038.00		
*****	32.000	GAIN/LOSS LT:	4/10/07		1553.89	1579.52		
5/ 3/13	122.000	SOLD KRAFT FOODS GROUP INC COM*	VARIOUS	4/30/13	6269.43	4457.79	0.00	1811.64
		ACQUIRED 10/10/11 THROUGH 12/20/11						
		122 SHARES AT \$51.44						
*****	92.000	GAIN/LOSS LT:	10/10/11		4727.77	3298.16		
*****	30.000	GAIN/LOSS LT:	12/20/11		1541.66	1159.63		
8/15/13	1632.000	SOLD KROGER CO COM*	VARIOUS	8/12/13	63145.38	37150.76	0.00	25994.62
		ACQUIRED 7/05/12 THROUGH 7/06/12						
		1,632 SHARES AT \$38.7527						
*****	113.000	GAIN/LOSS LT:	7/ 5/12		4372.20	2567.99		
*****	1519.000	GAIN/LOSS LT:	7/ 6/12		58773.18	34582.77		
2/22/13	1264.000	SOLD LAM RESEARCH CORPORATION COM*	VARIOUS	2/19/13	54054.00	54088.15	0.00	-34.15
		ACQUIRED 7/26/11 THROUGH 8/09/11						
		1,264 SHARES AT \$42.8252						
*****	1038.000	GAIN/LOSS LT:	7/26/11		44389.28	45846.38		
*****	226.000	GAIN/LOSS LT:	8/ 9/11		9664.72	8241.77		
7/ 8/13	1249.000	SOLD LAM RESEARCH CORPORATION COM*	VARIOUS	7/2/13	56822.39	45595.97	7769.34	3457.08
		ACQUIRED 8/09/11 THROUGH 11/05/12						
		1,249 SHARES AT \$45.5551						
*****	383.000	GAIN/LOSS LT:	8/ 9/11		17424.32	13967.24		
*****	514.000	GAIN/LOSS ST:	10/18/12		23384.07	18781.05		
*****	352.000	GAIN/LOSS ST:	11/ 5/12		16014.00	12847.68		

## S C H E D U L E D

ACCT# F0571700 (L & M^STUMBERG FOUNDATION MGMT AGCY ) YR END 12/31/13 TAXPAYER ID 74-6367261 TX O 299  
 TAX OFFICER# 299 ALICE HERNANDEZ TAX LETTER

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DATE	SHR/PV	DESCRIPTION	ACQUIRED	SOLD/RECD	SALE PRICE	BASIS	NET S T.	NET L T.
7/ 8/13	1683.000	SOLD LOWES COS INC COM *	VARIOUS	7/2/13	71614.62	36121.93	0 00	35492.69
		ACQUIRED 7/23/09 THROUGH 8/25/09						
		1,683 SHARES AT \$42.6125						
*****	850.000	GAIN/LOSS LT.	7/23/09		36169.00	18596 72		
*****	833 000	GAIN/LOSS LT:	8/25/09		35445.62	17525 21		
1/30/13	787 000	SOLD MEDTRONIC INC COM*	5/4/11	1/25/13	36415.01	33312.14	0 00	3102 87
		787 SHARES AT \$46.33169635						
2/22/13	1128.000	SOLD MEDTRONIC INC COM*	5/4/11	2/19/13	50905.61	47745 98	0 00	3159 63
		1,128 SHARES AT \$45.1901						
9/10/13	1195.000	SOLD MICROSOFT CORP COM*	VARIOUS	9/5/13	37395 19	36703.05	0 00	692 14
		ACQUIRED 1/11/07 THROUGH 1/12/07						
		1,195 SHARES AT \$31.35359833						
*****	750.000	GAIN/LOSS LT.	1/11/07		23469 78	22912 50		
*****	445.000	GAIN/LOSS LT:	1/12/07		13925 41	13790 55		
5/ 3/13	369.000	SOLD MONDELEZ INTL INC COM*	VARIOUS	4/30/13	11608.47	8371.88	0 00	3236 59
		ACQUIRED 10/10/11 THROUGH 12/20/11						
		369 SHARES AT \$31.51						
*****	279 000	GAIN/LOSS LT.	10/10/11		8777.14	6211.42		
*****	90.000	GAIN/LOSS LT:	12/20/11		2831.33	2160 46		
3/ 5/13	817.000	SOLD NETAPP INC COM*	8/24/12	2/28/13	27900.99	28430.05	-529 06 (	0.00
		817 SHARES AT \$34.2113						
4/18/13	976 000	SOLD NEWMONT MINING CORP COM	8/30/12	4/15/13	33038.61	47646.47	-14607.86	0.00
		976 SHARES AT \$33.9118						
1/24/13	80.000	SOLD QUALCOMM INC COM*	11/2/10	1/18/13	5166 29	3670.24	0 00	1496.05
		80 SHARES AT \$64.63011561						
2/26/13	548.000	SOLD QUALCOMM INC COM*	11/2/10	2/21/13	35349 59	25141.15	0.00	0208.44
		548 SHARES AT \$64.558						
3/ 1/13	1581 000	SOLD SANDISK CORP*	VARIOUS	2/26/13	78961.43	66299.44	5395.06 (	7266.93
		ACQUIRED 6/21/11 THROUGH 7/23/12						
		1,581 SHARES AT \$50.0051						
*****	1077 000	GAIN/LOSS LT	6/21/11		53789.66	46522 73		
*****	504 000	GAIN/LOSS ST	7/23/12		25171.77	19776 71		
10/25/13	2178.000	SOLD SILICON LABORATORIES INC COM*	VARIOUS	10/22/13	93397.11	91759.58	1637 53	0.00
		ACQUIRED 1/18/13 THROUGH 7/26/13						
		2,178 SHARES AT \$42.9428						
*****	95.000	GAIN/LOSS ST:	1/18/13		4073 79	3987 44		
*****	252.000	GAIN/LOSS ST:	1/22/13		10806.28	10614 52		
*****	451 000	GAIN/LOSS ST:	1/24/13		19339.81	18948 00		
*****	397 000	GAIN/LOSS ST	1/28/13		17024 17	17357 63		
*****	745.000	GAIN/LOSS ST	4/23/13		31947.13	31303 41		

## S C H E D U L E D

ACCT# F0571700 (L & M^SLUMBERG FOUNDATION MGMT AGCY ) YR END 12/31/13 TAXPAYER ID 74-6367261 1X O 299  
 TAX OFFICER# 299 ALICE HERNANDEZ - TAX LETIER

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DATE	SHR/PV	DESCRIPTION	ACQUITRED	SOLD/RECD	SALE PRICE	BASIS	NET S F.	NET L.F.
*****	238.000	GAIN/LOSS ST: 657.35	7/26/13		10205.93	9548.58		
11/25/13	561.000	SOLD UNION PACIFIC CORP COM* ACQUIRED 3/25/10 THROUGH 4/14/10 561 SHARES AT \$158.6454	VARIOUS	11/20/13	88970 48	42465 24	0 00	46505.24
*****	161.000	GAIN/LOSS LT: 13669 83	3/25/10		25533 42	11863 59		
*****	150 000	GAIN/LOSS LT: 12516 73	4/ 8/10		23788.90	11272.17		
*****	250 000	GAIN/LOSS LT: 20318.68	4/14/10		39648.16	19329.48		
1/14/13	184 000	SOLD VARIAN MEDICAL SYSTEMS INC COM* 184 SHARES AT \$75.3101	3/9/12	1/9/13	13847.55	12431 04	1416 51	0 00
2/ 6/13	824.000	SOLD VARIAN MEDICAL SYSTEMS INC COM* ACQUIRED 3/09/12 THROUGH 6/11/12 824 SHARES AT \$71.0824026	VARIOUS	2/1/13	58521 14	54529.72	3991 42	0 00
*****	593.000	GAIN/LOSS ST: 2052.25	3/ 9/12		42115.33	40063.08		
*****	141.000	GAIN/LOSS ST: 939.18	4/26/12		10013 93	9074 75		
*****	90 000	GAIN/LOSS ST: 999.99	6/11/12		6391 88	5391 89		
1/31/13	110.000	SOLD VISA INC CL A COM* 110 SHARES AT \$157 20	8/10/11	1/28/13	17286.11	8769 92	0.00	8516.19
3/18/13	1350 000	SOLD POTASH CORP SASK INC COM* 1,350 SHARES AT \$41.183	2/28/11	3/13/13	55514.80	83753.73	0 00	-28238.93
4/18/13	742 000	SOLD SCHLUMBERGER LIMITED COM* ACQUIRED 6/06/08 THROUGH 12/23/09 742 SHARES AT \$73.2138	VARIOUS	4/15/13	54278.91	64241.66	0 00	-9962 75
*****	250 000	GAIN/LOSS LT: -7956 16	6/ 6/08		18288.04	26244.20		
*****	250 000	GAIN/LOSS LT: -3844.96	9/12/08		18288 04	22133.00		
*****	242.000	GAIN/LOSS LT: 1838.37	12/23/09		17702 83	15864.46		
4/10/13	12135 922	SOLD FROST SMALL CAP EQUITY FUND CL I* 12,135.922 UNITS AT \$9.26	10/22/10	4/9/13	112378.64	100000.00	0 00	12378.64
5/ 1/13	1000 000	SOLD T ROWE PRICE INTL DISCOVERY FD* 1,000 UNITS AT \$50 30	9/13/10	4/30/13	50300.00	39130 00	0.00	11170 00
5/ 1/13	750.000	SOLD T ROWE PRICE INTL DISCOVERY FD* 750 UNITS AT \$50 30	9/13/10	4/30/13	37725 00	29347 50	0.00	8377.50
5/ 1/13	9500.000	SOLD THORNBURG INTERNATIONAL VALUE FD I* ACQUIRED 1/29/07 THROUGH 2/06/09 9,500 UNITS AT \$29.62	VARIOUS	4/30/13	281390 00	250593.68	0.00	30796.32
*****	3077.412	GAIN/LOSS LT: 2123.41	1/29/07		91152 94	89029.53		
*****	598.563	GAIN/LOSS LT: -2172.80	11/19/07		17729 44	19902.24		
*****	3527 337	GAIN/LOSS LT: 4479.72	8/ 6/08		104479.72	100000 00		
*****	2296.688	GAIN/LOSS LT: 26365.99	2/ 6/09		68027.90	41661 91		
5/ 1/13	1950.000	SOLD THORNBURG INTERNATIONAL VALUE FD I* ACQUIRED 2/06/09 THROUGH 10/06/09 1,950 UNITS AT \$29.62	VARIOUS	4/30/13	57759.00	44657.87	0.00	13101.13

## S C H E D U L E   D

ACCT# F0571700 (L & M^STUMBERG FOUNDATION MGMT AGCY ) YR END 12/31/13 TAXPAYER ID 74-6367261 TX O 299  
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DATE	SHR/PV	DESCRIPTION	ACQUIRED SOLD/RECD	SALE PRICE	BASIS	NET S.T.	NET I. T.
12/13/13	8888.146	RECD FROST MID CAP EQUITY FUND I* CAPITAL GAINS DISTRIBUTION	12/12/13	0.00	0.00	0 00	6961.20
12/18/13	2083 376	RECD T ROWE PRICE INTL DISCOVERY FD* CAPITAL GAINS DISTRIBUTION	12/17/13	0.00	0.00	0 00	854.18
12/13/13	35067 568	RECD FROST TOTAL RETURN BOND FUND INSTL* CAPITAL GAINS DISTRIBUTION	12/12/13	0 00	0 00	0.00	4625.41
12/13/13	8888 146	RECD FROST MID CAP EQUITY FUND I* CAPITAL GAINS DISTRIBUTION	12/12/13	0 00	0.00	1211.45	0.00
12/18/13	2083.376	RECD T ROWE PRICE INTL DISCOVERY FD* CAPITAL GAINS DISTRIBUTION	12/17/13	0 00	0.00	1062.52	0.00
						75181 28	303755.61