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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation <b>THE RALPH AND VIRGINIA MULLIN FOUNDATION C/O ROBERT RAUH</b>		A Employer identification number <b>74-3069850</b>
Number and street (or P O box number if mail is not delivered to street address) <b>2401 EAST SPEEDWAY BOULEVARD</b>	Room/suite	B Telephone number <b>(520) 881-6607</b>
City or town, state or province, country, and ZIP or foreign postal code <b>TUCSON, AZ 85719</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 484,610.</b> (Part I, column (d) must be on cash basis)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		543.	543.		STATEMENT 1
4 Dividends and interest from securities		15,800.	15,800.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,185.			
b Gross sales price for all assets on line 6a		52,569.			
7 Capital gain net income (from Part IV, line 2)			1,185.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		1,522.	1,522.		STATEMENT 3
12 Total. Add lines 1 through 11		19,050.	19,050.		
13 Compensation of officers, directors, trustees, etc		10,000.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 4		5,929.	3,953.		1,976.
b Accounting fees STMT 5		1,625.	813.		813.
c Other professional fees STMT 6		8,416.	8,416.		0.
17 Interest					
18 Taxes STMT 7		115.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 8		658.	29.		629.
24 Total operating and administrative expenses. Add lines 13 through 23		26,743.	13,211.		3,418.
25 Contributions, gifts, grants paid		52,156.			52,156.
26 Total expenses and disbursements. Add lines 24 and 25		78,899.	13,211.		55,574.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<59,849.>			
b Net investment income (if negative, enter -0-)			5,839.		
c Adjusted net income (if negative, enter -0-)				N/A	

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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only			Beginning of year	End of year	
					(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1	Cash - non-interest-bearing					
	2	Savings and temporary cash investments			168,678.	29,887.	29,888.
	3	Accounts receivable ▶					
		Less: allowance for doubtful accounts ▶					
	4	Pledges receivable ▶					
		Less: allowance for doubtful accounts ▶					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons					
	7	Other notes and loans receivable ▶					
		Less: allowance for doubtful accounts ▶					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments - U.S. and state government obligations <b>STMT 9</b>			30,310.	9,988.	10,782.
	b	Investments - corporate stock <b>STMT 10</b>			345,851.	445,102.	443,903.
	c	Investments - corporate bonds					
	11	Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶						
12	Investments - mortgage loans						
13	Investments - other						
14	Land, buildings, and equipment: basis ▶ <b>1,482.</b>						
	Less: accumulated depreciation <b>STMT 11</b> ▶ <b>1,482.</b>						
15	Other assets (describe ▶ <b>STATEMENT 12</b> )			24.	37.	37.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item 1)			544,863.	485,014.	484,610.	
<b>Liabilities</b>	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable					
	22	Other liabilities (describe ▶ )					
	23	<b>Total liabilities</b> (add lines 17 through 22)			0.	0.	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>						
	24	Unrestricted			544,863.	485,014.	
	25	Temporarily restricted					
	26	Permanently restricted					
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31</b>						
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg., and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
	30	<b>Total net assets or fund balances</b>			544,863.	485,014.	
	31	<b>Total liabilities and net assets/fund balances</b>			544,863.	485,014.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	544,863.
2	Enter amount from Part I, line 27a	2	<59,849.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	485,014.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	485,014.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> RBC 4213- SEE ATTACHED	P	VARIOUS	VARIOUS
<b>b</b> RBC 4213- SEE ATTACHED	P	VARIOUS	VARIOUS
<b>c</b> RBC 0289- SEE ATTACHED	P	VARIOUS	VARIOUS
<b>d</b>			
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 14,433.		14,949.	<516.>
<b>b</b> 5,372.		5,372.	0.
<b>c</b> 32,764.		31,063.	1,701.
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			<516.>
<b>b</b>			0.
<b>c</b>			1,701.
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss) <span style="float:right;">{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</span>	<b>2</b>	<b>1,185.</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	<b>3</b>	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	76,733.	567,273.	.135266
2011	50,985.	612,678.	.083217
2010	118,005.	650,150.	.181504
2009	93,252.	717,059.	.130048
2008	56,435.	1,042,017.	.054159

  

<b>2</b> Total of line 1, column (d)	<b>2</b>	<b>.584194</b>
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	<b>.116839</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	<b>4</b>	<b>504,790.</b>
<b>5</b> Multiply line 4 by line 3	<b>5</b>	<b>58,979.</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	<b>58.</b>
<b>7</b> Add lines 5 and 6	<b>7</b>	<b>59,037.</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	<b>55,574.</b>

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	1	117.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).	2	0.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	3	117.
3	Add lines 1 and 2	4	0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	5	117.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	110.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	110.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	7.
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	
11	Enter the amount of line 10 to be: <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>AZ</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>ROB RAUH, TRUSTEE</u> Telephone no. ► <u>520-881-6607</u> Located at ► <u>2401 E. SPEEDWAY BOULEVARD, TUCSON, AZ</u> ZIP+4 ► <u>85719</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____ , _____ , _____ , _____		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____ , _____ , _____ , _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROB RAUH	PRESIDENT			
2401 E. SPEEDWAY BOULEVARD				
TUCSON, AZ 85719	5.00	10,000.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3	0.

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	471,436.
b Average of monthly cash balances	1b	41,041.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	512,477.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	512,477.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	7,687.
5 Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	504,790.
6 Minimum investment return Enter 5% of line 5	6	25,240.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	25,240.
2a Tax on investment income for 2013 from Part VI, line 5	2a	117.
b Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	117.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	25,123.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	25,123.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	25,123.

**Part XII****Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	55,574.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	55,574.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	55,574.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

## THE RALPH AND VIRGINIA MULLIN FOUNDATION

Form 990-PF (2013)

C/O ROBERT RAUH

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				25,123.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	5,042.			
b From 2009	57,665.			
c From 2010	85,747.			
d From 2011	20,555.			
e From 2012	48,569.			
f Total of lines 3a through e	217,578.			
4 Qualifying distributions for 2013 from Part XII, line 4. ► \$	55,574.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				25,123.
e Remaining amount distributed out of corpus	30,451.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	248,029.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	5,042.			
9 Excess distributions carryover to 2014 Subtract lines 7 and 8 from line 6a	242,987.			
10 Analysis of line 9:				
a Excess from 2009	57,665.			
b Excess from 2010	85,747.			
c Excess from 2011	20,555.			
d Excess from 2012	48,569.			
e Excess from 2013	30,451.			

## THE RALPH AND VIRGINIA MULLIN FOUNDATION

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**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)****1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

## THE RALPH AND VIRGINIA MULLIN FOUNDATION

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C/O ROBERT RAUH

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**Part XV** Supplementary Information (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>  SEE ATTACHED SCHEDULE SEE ATTACHED SCHEDULE VARIOUS, AZ 85719	NONE		REIMBURSEMENT OF EXPENSES INCURRED BY ANIMAL WELFARE ORGANIZATIONS	52,156.
<b>Total</b>			<b>3a</b>	52,156.
<b>b Approved for future payment</b>  NONE				
<b>Total</b>			<b>3b</b>	0.

**Part XVI-A**      **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	543.	
4	Dividends and interest from securities			14	15,800.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income			14	1,522.	
8	Gain or (loss) from sales of assets other than inventory			18	1,185.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0.		19,050.	0.
13	Total. Add line 12, columns (b), (d), and (e)					19,050.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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SOURCE	AMOUNT
BANK OF TUCSON	7.
RBC WEALTH MANAGEMENT ACCT 0289	535.
RBC WEALTH MANAGEMENT ACCT 4213	1.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	543.

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
RBC WEALTH MANAGEMENT 0289	11,022.	0.	11,022.
RBC WEALTH MANAGEMENT 0289	4,778.	0.	4,778.
TOTAL TO FM 990-PF, PART I, LN 4	15,800.	0.	15,800.

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FORM 990-PF OTHER INCOME STATEMENT 3

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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NONDIVIDEND DISTRIBUTIONS	1,522.	1,522.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,522.	1,522.	

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FORM 990-PF LEGAL FEES STATEMENT 4

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	5,929.	3,953.		1,976.
TO FM 990-PF, PG 1, LN 16A	5,929.	3,953.		1,976.

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FORM 990-PF	ACCOUNTING FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	1,625.	813.		813.	
TO FORM 990-PF, PG 1, LN 16B	1,625.	813.		813.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MANAGEMENT FEES	8,416.	8,416.		0.	
TO FORM 990-PF, PG 1, LN 16C	8,416.	8,416.		0.	

FORM 990-PF	TAXES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL INCOME TAX	30.	0.		0.	
FOREIGN TAX	85.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	115.	0.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BANK CHARGES	58.	29.		29.	
DUES	600.	0.		600.	
TO FORM 990-PF, PG 1, LN 23	658.	29.		629.	



FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	9
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
GOVERNMENT NATIONAL MTG ASSN	X		9,988.	10,782.	
GOVERNMENT NATIONAL MTG ASSN	X		0.	0.	
GOVERNMENT NATIONAL MTG ASSN	X		0.	0.	
GOVERNMENT NATIONAL MTG ASSN	X		0.	0.	
GINNIE MAE CMO SERIES 2010-2	X		0.	0.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			9,988.	10,782.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			9,988.	10,782.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	10
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
ARTISAN FDS INC	23,767.	28,322.	
THORNBURG INT VALUE FUND	39,223.	44,766.	
WELLS FARGO ADVANGAE FUNDS	48,727.	44,844.	
FPA NEW INCOME INC	110,601.	106,755.	
JP MORGAN TR II	101,566.	96,597.	
ASTON FUNDS	20,660.	24,455.	
DODGE AND COX INCOME FUND	100,558.	98,164.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	445,102.	443,903.	

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	11
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
OFFICE EQUIPMENT	1,482.	1,482.	0.
TOTAL TO FM 990-PF, PART II, LN 14	1,482.	1,482.	0.

FORM 990-PF

OTHER ASSETS

STATEMENT 12

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED INTEREST RECEIVABLE	24.	37.	37.
TO FORM 990-PF, PART II, LINE 15	24.	37.	37.



Organization Names	2013 Donation	Check No.	IRS Letter	Address
Straw for Dogs	\$ 850.00	1852	X	645 G. St., Ste. 100-1175 Anchorage, AK 99501-3451
Virginia Equine Welfare	\$ 994.20	1853	X	9088 Knight Drive Mechanicsville, VA 23116
The Way Home, Inc.	\$ 1,321.20	1854	X	75 W. Sheffield Rd. Battle Creek, MI 49017-8200
Homeward Bound Cat Rescue, Inc.	\$ 1,025.99	1855	X	13412 Chatelaine Dr. NE Cumberland, MD 21502
Starr's Rat Rescue, Inc.	\$ 1,099.71	1856	X	805 E 4th St. Winner, SD, 57580
AdvoCAT Foundation, Inc.	\$ 1,303.29	1857	X	8915 Footstep Ct. Annandale, Virginia 22003
Barkie's Legacy, Inc.	\$ 2,101.45	1858	X	2032 Hedgerow Circle Ocoee, FL 34761
For the Love of Paws, Inc.	\$ 1,180.00	1859	X	941 Riverside Rd. PVT Huntsville, TN 37756
Maggie's Angels, Inc.	\$ 977.20	1860	X	13732 Ranch Horse Run Haslet, TX 76052
Sand Stone Farms Rescue Effort	\$ 1,000.00	1837	X	115 West Beverly Pontiac, MI 48340
Love Animals	\$ 850.00	1838	X	3436 Mariposa St. Denver, CO 80211-3535
Lil Paws Safe Haven, Inc.	\$ 400.00	1839	X	923 Cr 401 Westerlo, NY 12193
Rez Dawg Rescue, Inc.	\$ 400.00	1842	X	P.O. Box 3912 Gallup, NM 87301
Golden Paw Society, Inc	\$ 960.00	1843	X	P.O. Box 4183 Huntington, NY 11743
Advocates for Animal Rescue	\$ 400.00	1844	X	P.O Box 323 Perry, MI 48872-0323

Growing Kinder, Inc.	\$ 1,000.00	1845	X	P.O. Box 392 Redwood Estates, CA 95044-0392
Beat the Heat Alliance, Inc.	\$ 1,245.00	1848	X	101 Hedrick Dr. Newport, TN 37821
Spilled Milk Cat Sanctuary, Inc.	\$ 1,298.70	1849	X	2950 S. Holly Lane Amelia, OH 45102
Saving Grace Animal Rescue & Sanctuary	\$ 1,358.93	1850	X	412 Chad Ln Red Oak, TX 75154
Dire Straits Companion Animal Rescue	\$ 1,177.74	1861	X	ON 411 Morningside Ave. West Chicago, IL 60188
Tanner's P.A.W.S., Inc.	\$ 967.27	1862	X	P.O. Box 1125 Corningm, NY 14830
Liberty Equine Rescue NJ Nonprofit Corporation	\$ 1,993.93	1863	X	7 Laurel Ave. Denville, New Jersey 07834
Smiling Dog Rescue, Inc.	\$ 1,419.12	1864	X	8909 E. 35th Circle Tucson, AZ 85710
Izzy's Love Equine Rescue, Inc.	\$ 1,036.57	1865	X	2732 Rowe Rd. New Windsor, MD 21776
Meow Cat Rescue WV, Inc.	\$ 1,052.07	1866	X	1900 Oakridge Dr Charleston, WV 25311
Take the Lead Animal Rescue, Inc.	\$ 1,252.86	1867	X	21401 E. Birchwood Circle, NE Cedar MN 55011
Purple Power Animal Welfare Society, Inc.	\$ 1,207.07	1868	X	P.O. Box 953 Manhattan, KS 66505
Fisher Valley Felines	\$ 1,000.00	1870	X	5644 County Rd. M Fitchburg, WI 53575
Tired Dog Rescue	\$ 1,000.00	1871	X	PO Box 10751 Gulfport, MS 39505
Dreamland Animal Rescue and Sanctuary	\$ 1,000.00	1872	X	124C Kelly Lane TN 37087 Lebanon,
Petex Rescue N Transport	\$ 425.00	1873	X	5243 North Walnut Street Kansas City, MO 64118

Jade's Mission Rescue	\$ 981.10	1874	X	8716 E. 79th Terr. MO 64138	Rayburn,
Hoofnorns Farm	\$ 1,322.57	1875	X	9740 W. Lariat Dr. AZ 85743	Tucson,
Akita Angels	\$ 1,157.31	1876	X	25138 Highspring Avenue Newhall, CA 91321	
Caring Arms Rescue	\$ 865.00	1877	X	1953 Vista Del Mar #5 Los Angeles, CA 90068	
Randoms Dog Rescue	\$ 1,171.50	1878	X	1441 Ray Sowell Rd. Austin, AR 72007	
Phoebe's Rabbit Haven	\$ 1,171.00	1879	X	940 Cross St. SE Salem, OR 97302	
Furr-Ever Homes Feline Foster Network	\$ 2,461.00	1880	X	89 Bear Swamp Rd. Chenango Forks, NY 13746	
CTM Horse Rescue	\$ 1,651.00	1881	X	P.O. Box 68 Bruner, Missouri 65620	
West Michigan Ferret Connection	\$ 1,339.00	1882	X	1361 Oaklawn St. NE Grand Rapids, MI 49505	
Sadie's Animal Rescue	\$ 963.50	1883	X	2821 FM 2737 Lone Oak, TX 75453	
We Are Not Disposable	\$ 876.50	1884	X	5004 E. Fowler Ave. Ste. C Tampa, FL 33617	
Rags 2 Wags	\$ 843.00	1885	X	22287 Mulholland Hwy Ste. 126 Calabasas, CA 91302	
Only Hope Animal Rescue	\$ 1,314.00	1886	X	600 W. Orange Grove Unit A20 AZ 85704	Tucson,
Wheeler's Animal Rescue	\$ 1,569.87	1887	X	120 Pickering Lane Nottingham, PA 19362	
Tucson 2 Tails	\$ 1,172.50	1888	X	Tucson 2 Tails P.O. Box 12294	

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ROBERT M RAUH TTEE  
 RALPH & VIRGINIA MULLIN FOUNDA  
 01/01/2001  
 2401 E SPEEDWAY  
 TUCSON AZ 85719-4735

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RECIPIENT'S ACCOUNT NUMBER
314-80289

### Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

Please see Form 1099-B for information reported to the IRS.

#### Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
378.047	ARTISAN FDS INC ARTISAN VALUE FUND SYMBOL: ARTLX, CUSIP: 04314H873	<input type="checkbox"/>	12/31/12	06/17/13	4,876.80	4,260.59	616.21
181.794	ARTISAN FDS INC ARTISAN VALUE FUND SYMBOL: ARTLX, CUSIP: 04314H873	<input type="checkbox"/>	12/31/12	10/10/13	2,457.85	2,048.82	409.03
145.818	ASTON FUNDS MONTAG & CALDWELL GRTH FD CL I SYMBOL: MCGIX, CUSIP: 00078H281	<input type="checkbox"/>	12/31/12	06/17/13	3,989.58	3,492.34	497.24
37.602	ASTON FUNDS MONTAG & CALDWELL GRTH FD CL I SYMBOL: MCGIX, CUSIP: 00078H281	<input type="checkbox"/>	12/31/12	10/10/13	1,039.32	900.57	138.75
146.213	DODGE & COX INCOME FUND SYMBOL: DODIX, CUSIP: 256210105	<input type="checkbox"/>	12/31/12	06/17/13	2,008.96	2,026.51	-17.55
192.982	DODGE & COX INCOME FUND SYMBOL: DODIX, CUSIP: 256210105	<input type="checkbox"/>	12/31/12	10/10/13	2,604.99	2,674.45	-69.46
226.214	FPA NEW INCOME INC SYMBOL: FPNIX, CUSIP: 302544101	<input type="checkbox"/>	12/31/12	06/17/13	2,391.08	2,406.92	-15.84
213.943	FPA NEW INCOME INC SYMBOL: FPNIX, CUSIP: 302544101	<input type="checkbox"/>	12/31/12	10/10/13	2,207.89	2,276.35	-68.46
87.073	JPMORGAN TR II CORE BD FD SELECT CL SYMBOL: WOBDX, CUSIP: 4812C0381	<input type="checkbox"/>	12/31/12	06/17/13	1,031.82	1,050.10	-18.28
219.265	JPMORGAN TR II CORE BD FD SELECT CL SYMBOL: WOBDX, CUSIP: 4812C0381	<input type="checkbox"/>	12/31/12	10/10/13	2,547.86	2,644.34	-96.48
82.457	THORNBURG INTL VALUE FUND CL I SYMBOL: TGVIX, CUSIP: 885215566	<input type="checkbox"/>	12/31/12	06/17/13	2,392.89	2,316.22	76.67
127.210	THORNBURG INTL VALUE FUND CL I SYMBOL: TGVIX, CUSIP: 885215566	<input type="checkbox"/>	12/31/12	10/10/13	3,881.19	3,573.33	307.86



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RALPH & VIRGINIA MULLIN FOUNDA  
01/01/2001  
2401 E SPEEDWAY  
TUCSON AZ 85719-4735

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RECIPIENT'S ACCOUNT NUMBER
314-80289

# Schedule of Realized Gains and Losses (con't)

## Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
14.012	WELLS FARGO ADVANTAGE FUNDS INTL BOND FUND ADMIN CL SYMBOL: ESIDX, CUSIP: 94975P850	<input type="checkbox"/>	12/31/12	06/17/13	159.60	163.52	-3.92
105.275	WELLS FARGO ADVANTAGE FUNDS INTL BOND FUND ADMIN CL SYMBOL: ESIDX, CUSIP: 94975P850	<input type="checkbox"/>	12/31/12	10/10/13	1,173.82	1,228.56	-54.74
Short-Term Subtotal					32,763.65		1,701.03
Total Gains and Losses					32,763.65		1,701.03
Short-Term							1,701.03
Long-Term							0.00
Term Unknown							0.00

ROBERT M RAUH TTEE  
RALPH & VIRGINIA MULLIN FOUNDA  
01/01/2001  
U/A DTD 01/01/2001  
2401 E SPEEDWAY  
TUCSON AZ 85719-4735

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RECIPIENT'S ACCOUNT NUMBER
302-94213

### Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

Please see Form 1099-B for information reported to the IRS.

#### Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
15,000.000*	GOVERNMENT NATIONAL MTG ASSN SER 2012-136 CL BA 2.000% DUE 11/20/2042 ADJUSTED QUANTITY: 130.229, ORIGINAL COST: 130.23, PURCHASE FACTOR: 0.0086819, SALE FACTOR: 0.0086819 CUSIP: 38377X4L3	<input checked="" type="checkbox"/>	11/20/12	02/20/13	130.23	130.23	0.00
15,000.000*	GOVERNMENT NATIONAL MTG ASSN SER 2012-136 CL BA 2.000% DUE 11/20/2042 ADJUSTED QUANTITY: 59.882, ORIGINAL COST: 59.88, PURCHASE FACTOR: 0.00399212, SALE FACTOR: 0.00399212 CUSIP: 38377X4L3	<input checked="" type="checkbox"/>	11/20/12	03/20/13	59.88	59.88	0.00
15,000.000	GOVERNMENT NATIONAL MTG ASSN SER 2012-136 CL BA 2.000% DUE 11/20/2042 ADJUSTED QUANTITY: 14,579.102, ORIGINAL COST: 15,000.00, ACCRUALS: 420.90, PURCHASE FACTOR: 1, SALE FACTOR: 0.97194016 CUSIP: 38377X4L3	<input checked="" type="checkbox"/>	11/20/12	03/27/13	14,062.84	14,579.10	-516.26
15,000.000*	GOVERNMENT NATIONAL MTG ASSN SER 2012-136 CL BA 2.000% DUE 11/20/2042 ADJUSTED QUANTITY: 179.610, ORIGINAL COST: 179.61, PURCHASE FACTOR: 0.01197403, SALE FACTOR: 0.01197403 CUSIP: 38377X4L3	<input checked="" type="checkbox"/>	11/20/12	04/22/13	179.61	179.61	0.00
<b>Short-Term Subtotal</b>					<b>14,432.56</b>		<b>-516.26</b>

#### Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
9,000.000*	GINNIE MAE CMO/Series 2010-2 WB-FIXED RT 4.000% DUE 01/20/2041 ADJUSTED QUANTITY: 737.425, ORIGINAL COST: 737.43, PURCHASE FACTOR: 0.08193615, SALE FACTOR: 0.08193615 CUSIP: 38377TEW7	<input checked="" type="checkbox"/>	01/24/11	01/22/13	737.43	737.43	0.00

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## Schedule of Realized Gains and Losses (con't)

### Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
9,000.000*	GINNIE MAE CMO/Series 2010-2 WB-FIXED RT 4.000% DUE 01/20/2041 ADJUSTED QUANTITY: 650.733, ORIGINAL COST: 650.73, PURCHASE FACTOR: 0.07230366, SALE FACTOR: 0.07230366 CUSIP: 38377TEW7	<input checked="" type="checkbox"/>	01/24/11	02/20/13	650.73	650.73	0.00
9,000.000	GINNIE MAE CMO/Series 2010-2 WB-FIXED RT 4.000% DUE 01/20/2041 ADJUSTED QUANTITY: 290.325, ORIGINAL COST: 9,000.00, ACCRUALS: 8,709.67, PURCHASE FACTOR: 1, SALE FACTOR: 0.03225838 CUSIP: 38377TEW7	<input checked="" type="checkbox"/>	01/24/11	03/20/13	290.33	290.33	0.00
100,000.000*	GOVERNMENT NATIONAL MTG ASSN SER 2009-57 CLASS KC 4.500% DUE 05/20/2039 ADJUSTED QUANTITY: 0.048, ORIGINAL COST: 0.05, PURCHASE FACTOR: 0.00000048, SALE FACTOR: 0.00000048 CUSIP: 38374VTJ8	<input checked="" type="checkbox"/>	07/15/09	01/22/13	0.05	0.05	0.00
100,000.000*	GOVERNMENT NATIONAL MTG ASSN SER 2009-57 CLASS KC 4.500% DUE 05/20/2039 ADJUSTED QUANTITY: 0.048, ORIGINAL COST: 0.05, PURCHASE FACTOR: 0.00000048, SALE FACTOR: 0.00000048 CUSIP: 38374VTJ8	<input checked="" type="checkbox"/>	07/15/09	02/20/13	0.05	0.05	0.00
100,000.000*	GOVERNMENT NATIONAL MTG ASSN SER 2009-57 CLASS KC 4.500% DUE 05/20/2039 ADJUSTED QUANTITY: 0.048, ORIGINAL COST: 0.05, PURCHASE FACTOR: 0.00000048, SALE FACTOR: 0.00000048 CUSIP: 38374VTJ8	<input checked="" type="checkbox"/>	07/15/09	03/20/13	0.05	0.05	0.00
100,000.000*	GOVERNMENT NATIONAL MTG ASSN SER 2009-57 CLASS KC 4.500% DUE 05/20/2039 ADJUSTED QUANTITY: 0.048, ORIGINAL COST: 0.05, PURCHASE FACTOR: 0.00000048, SALE FACTOR: 0.00000048 CUSIP: 38374VTJ8	<input checked="" type="checkbox"/>	07/15/09	04/22/13	0.05	0.05	0.00
100,000.000*	GOVERNMENT NATIONAL MTG ASSN SER 2009-57 CLASS KC 4.500% DUE 05/20/2039 ADJUSTED QUANTITY: 0.049, ORIGINAL COST: 0.05, PURCHASE FACTOR: 0.00000049, SALE FACTOR: 0.00000049 CUSIP: 38374VTJ8	<input checked="" type="checkbox"/>	07/15/09	05/20/13	0.05	-0.05	0.00
100,000.000*	GOVERNMENT NATIONAL MTG ASSN SER 2009-57 CLASS KC 4.500% DUE 05/20/2039 ADJUSTED QUANTITY: 0.048, ORIGINAL COST: 0.05, PURCHASE FACTOR: 0.00000048, SALE FACTOR: 0.00000048 CUSIP: 38374VTJ8	<input checked="" type="checkbox"/>	07/15/09	06/20/13	0.05	0.05	0.00

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# Schedule of Realized Gains and Losses (con't)

## Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
100,000.000*	GOVERNMENT NATIONAL MTG ASSN SER 2009-57 CLASS KC 4.500% DUE 05/20/2039 ADJUSTED QUANTITY: 0.049, ORIGINAL COST: 0.05, PURCHASE FACTOR: 0.00000049, SALE FACTOR: 0.00000049 CUSIP: 38374VTJ8	<input checked="" type="checkbox"/>	07/15/09	07/22/13	0.05	0.05	0.00
100,000.000*	GOVERNMENT NATIONAL MTG ASSN SER 2009-57 CLASS KC 4.500% DUE 05/20/2039 ADJUSTED QUANTITY: 0.049, ORIGINAL COST: 0.05, PURCHASE FACTOR: 0.00000049, SALE FACTOR: 0.00000049 CUSIP: 38374VTJ8	<input checked="" type="checkbox"/>	07/15/09	08/20/13	0.05	0.05	0.00
100,000.000*	GOVERNMENT NATIONAL MTG ASSN SER 2009-57 CLASS KC 4.500% DUE 05/20/2039 ADJUSTED QUANTITY: 0.049, ORIGINAL COST: 0.05, PURCHASE FACTOR: 0.00000049, SALE FACTOR: 0.00000049 CUSIP: 38374VTJ8	<input checked="" type="checkbox"/>	07/15/09	09/20/13	0.05	0.05	0.00
100,000.000*	GOVERNMENT NATIONAL MTG ASSN SER 2009-57 CLASS KC 4.500% DUE 05/20/2039 ADJUSTED QUANTITY: 0.049, ORIGINAL COST: 0.05, PURCHASE FACTOR: 0.00000049, SALE FACTOR: 0.00000049 CUSIP: 38374VTJ8	<input checked="" type="checkbox"/>	07/15/09	10/21/13	0.05	0.05	0.00
100,000.000*	GOVERNMENT NATIONAL MTG ASSN SER 2009-57 CLASS KC 4.500% DUE 05/20/2039 ADJUSTED QUANTITY: 0.050, ORIGINAL COST: 0.05, PURCHASE FACTOR: 0.00000005, SALE FACTOR: 0.00000005 CUSIP: 38374VTJ8	<input checked="" type="checkbox"/>	07/15/09	11/20/13	0.05	0.05	0.00
100,000.000*	GOVERNMENT NATIONAL MTG ASSN SER 2009-57 CLASS KC 4.500% DUE 05/20/2039 ADJUSTED QUANTITY: 0.049, ORIGINAL COST: 0.05, PURCHASE FACTOR: 0.00000049, SALE FACTOR: 0.00000049 CUSIP: 38374VTJ8	<input checked="" type="checkbox"/>	07/15/09	12/20/13	0.05	0.05	0.00
14,000.000*	GOVERNMENT NATIONAL MTG ASSN SERIES 2010-012 CLASS WA 4.500% DUE 04/20/2039 ADJUSTED QUANTITY: 845.566, ORIGINAL COST: 845.57, PURCHASE FACTOR: 0.06039756, SALE FACTOR: 0.08039756 CUSIP: 38376TAM4	<input checked="" type="checkbox"/>	01/21/10	01/22/13	845.57	845.57	0.00
14,000.000*	GOVERNMENT NATIONAL MTG ASSN SERIES 2010-012 CLASS WA 4.500% DUE 04/20/2039 ADJUSTED QUANTITY: 740.824, ORIGINAL COST: 740.82, PURCHASE FACTOR: 0.05291603, SALE FACTOR: 0.05291603 CUSIP: 38376TAM4	<input checked="" type="checkbox"/>	01/21/10	02/20/13	740.82	740.82	0.00

\* ROBERT M RAUH TTEE  
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## Schedule of Realized Gains and Losses (con't)

### Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
14,000.000*	GOVERNMENT NATIONAL MTG ASSN SERIES 2010-012 CLASS WA 4.500% DUE 04/20/2039 ADJUSTED QUANTITY: 750.474, ORIGINAL COST: 750.47, PURCHASE FACTOR: 0.05360531, SALE FACTOR: 0.05360531 CUSIP: 38376TAM4	<input checked="" type="checkbox"/>	01/21/10	03/20/13	750.47	750.47	0.00
14,000.000*	GOVERNMENT NATIONAL MTG ASSN SERIES 2010-012 CLASS WA 4.500% DUE 04/20/2039 ADJUSTED QUANTITY: 705.482, ORIGINAL COST: 705.48, PURCHASE FACTOR: 0.05039157, SALE FACTOR: 0.05039157 CUSIP: 38376TAM4	<input checked="" type="checkbox"/>	01/21/10	04/22/13	705.48	705.48	0.00
14,000.000	GOVERNMENT NATIONAL MTG ASSN SERIES 2010-012 CLASS WA 4.500% DUE 04/20/2039 ADJUSTED QUANTITY: 369.912, ORIGINAL COST: 14,000.00, ACCRUALS: 13,630.09, PURCHASE FACTOR: 1, SALE FACTOR: 0.02642229 CUSIP: 38376TAM4	<input checked="" type="checkbox"/>	01/21/10	05/20/13	369.91	369.91	0.00
7,000.000	GOVERNMENT NATIONAL MTG ASSN SERIES 2010-34 CLASS UA 4.500% DUE 05/20/2039 ADJUSTED QUANTITY: 280.500, ORIGINAL COST: 7,000.00, ACCRUALS: 6,719.50, PURCHASE FACTOR: 1, SALE FACTOR: 0.04007142 CUSIP: 38376WZ65	<input checked="" type="checkbox"/>	03/24/10	01/22/13	280.50	280.50	0.00
<b>Long-Term Subtotal</b>					<b>5,371.84</b>		<b>0.00</b>
<b>Total Gains and Losses</b>					<b>19,804.40</b>		<b>-516.26</b>
<b>Short-Term</b>							<b>-516.26</b>
<b>Long-Term</b>							<b>0.00</b>
<b>Term Unknown</b>							<b>0.00</b>

\* Return of principal. Quantity represents face value.