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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury
Internal Revenue Service

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▶ **Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.**

Open to Public Inspection

For calendar year 2013 or tax year beginning January 1, 2013, and ending December 31, 2013

Name of foundation Cathay Bank Foundation		A Employer identification number 74-3052411
Number and street (or P O box number if mail is not delivered to street address) 777 North Broadway	Room/suite	B Telephone number (see instructions) 626-279-3876
City or town, state or province, country, and ZIP or foreign postal code Los Angeles, CA 90012		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 2,215	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	1,470,148			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	1,470,148				
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	180			180
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	180			180
	25 Contributions, gifts, grants paid	1,476,365			1,476,365
26 Total expenses and disbursements. Add lines 24 and 25	1,476,545			1,476,545	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	(6,397)				
b Net investment income (if negative, enter -0-)		none			
c Adjusted net income (if negative, enter -0-)			none		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash—non-interest-bearing	8,612	2,215	2,215
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item l)	8,612	2,215	2,215	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	8,612	2,215	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	8,612	2,215		
31 Total liabilities and net assets/fund balances (see instructions)	8,612	2,215		

Part III Analysis of Changes in Net Assets or Fund Balances			
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)		1	8,612
2 Enter amount from Part I, line 27a		2	(6,397)
3 Other increases not included in line 2 (itemize) ▶		3	
4 Add lines 1, 2, and 3		4	2,215
5 Decreases not included in line 2 (itemize) ▶		5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30		6	2,215

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(j) FMV as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }	3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012			
2011			
2010			
2009			
2008			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3 Add lines 1 and 2	3		
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		✓
c Did the foundation file Form 1120-POL for this year?	1c		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		✓
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	7	✓	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	8b	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	✓	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>www.cathaybank.org</u>	13	✓	
14	The books are in care of ▶ <u>Nancy Morikawa</u> Telephone no. ▶ <u>626-279-3876</u> Located at ▶ <u>9650 Flair Drive, El Monte, CA</u> ZIP+4 ▶ <u>91731</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No ✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
see attached				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 Annual Golf Tournament: to raise funds to support charitable worth organizations. Beneficiaries for this year include: (1) Asian American Senior Citizen Service Center, (2) CCHC Herald Family Center, (3) Friends of the Chinatown Library, (4) International Institute of Los Angeles, (5) Irvine Chinese School, (6) Pacific Clinics,	0
2 (7) Taiwanese American Heritage Week, and (8) Cathay Bank Foundation. Expenses incurred for this event was paid directly by Cathay Bank which was \$68,623.37 for this year.	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	None
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	
6	Minimum investment return. Enter 5% of line 5	6	

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2013 from Part VI, line 5	2a	
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	1,476,545
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,476,545
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,476,545

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ _____				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Nancy Morikawa,
address: Cathay Bank, 9650 Flair Drive, Mail Stop EL-3-H, El Monte, CA 91731; tel: (626) 279-3876; email: nancy.morikawa@cathaybank.com

b The form in which applications should be submitted and information and materials they should include:

****see attached****

c Any submission deadlines:

August 30

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

****see attached****

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a <i>Paid during the year</i></p> <p>**see attached**</p>				<p>1,476,365</p>
<p>Total ▶ 3a</p>				<p>1,476,365</p>
<p>b <i>Approved for future payment</i></p> <p>None</p>				<p>0</p>
<p>Total ▶ 3b</p>				<p>0</p>

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

Employer identification number

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization Cathay Bank Foundation	Employer identification number 74-3052411
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Cathay Bank 777 North Broadway Los Angeles, CA 90012	\$ 1,320,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	Belmont Fibers, Inc. / Greenwood Company 1736 Chapin Road Montebello, CA 90640	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	Fidelity National Information Services (FIS) 2001 Summit Park Drive Orlando, FL 92810	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	Frandzel Robins Bloom & Csato, L.C. 6500 Wilshire Blvd. 17th Floor Los Angeles, CA 90048	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	Great Far East 7700 Irvine Center Drive, Suite 620 Irvine, CA 92618	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	Laeroc Partners, Inc. 447 Pacific Coast Hwy Hermosa Beach, CA 90254	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization Cathay Bank Foundation	Employer identification number 74-3052411
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	Miller Barondess, LLP 1999 Avenue of the Stars, Suite 1000 Los Angeles, CA 90067	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	Nova Lifestyle Inc. 6541 E. Washington Blvd. Commerce, CA 90040	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9	Pacific BMW 800 South Brand Blvd. Glendale, CA 91204	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
10	Recycling Services Alliance Inc. / Resource Services Alliance Inc. 13324 Saticor Street North Hollywood, CA 91605	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
11	Thunder Group, Inc. 780 S. Nogales Street City of Industry, CA 91748	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
12	Waitex Group 135 West 35th Street New York, NY 10018	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization Cathay Bank Foundation	Employer identification number 74-3052411
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Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	None ----- ----- -----	\$ -----	-----
	----- ----- -----	\$ -----	-----
<u>8</u>	----- ----- -----	\$ -----	-----
	----- ----- -----	\$ -----	-----
<u>9</u>	----- ----- -----	\$ -----	-----
	----- ----- -----	\$ -----	-----
<u>10</u>	----- ----- -----	\$ -----	-----
	----- ----- -----	\$ -----	-----
	----- ----- -----	\$ -----	-----
	----- ----- -----	\$ -----	-----

Name of organization Cathay Bank Foundation	Employer identification number 74-3052411
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Part III *Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year.* Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	None ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

Cathay Bank Foundation
Statement of Financial Position as of December 31, 2013

BALANCE SHEET	Amount	Total
Assets		
Cash and cash equivalent		\$ 2,215.00
Total Assets		\$ 2,215.00
Liabilities		
Accounts Payable		\$ -
		\$ 2,215.00
Net Assets		
Beginning Balance as of 1/1/2013	\$ 8,612.00	
Change in Net Assets	\$ (6,397.00)	\$ 2,215.00
Total Net Assets		\$ 2,215.00

STATEMENT OF ACTIVITIES

Support and Revenue		
<i>Corporate Support</i>		
Cathay Bank		\$ 1,320,000.00
<i>Public Support</i>		
Cathay Bank Golf Tournament		\$ 150,148.00
Total Support and Revenues		\$ 1,470,148.00

Expenditures		
<i>Contributions, gifts, grants paid</i>		
Affordable Housing Clearing House	\$	5,000
Alameda County Community Food Bank	\$	5,000
AnewAmerica	\$	25,000
APA Family Support Services	\$	15,000
Asian American Civic Association	\$	7,500
Asian American Senior Citizen Service Center	\$	13,000
Asian Americans for Equality	\$	20,000
Asian Community Development Corp.	\$	3,115
Asian Counseling and Referral Services	\$	4,250
Asian Pacific American Legal Center	\$	15,000
Asian Pacific Community Center	\$	15,000
Asian Pacific Community Fund	\$	15,000
Asian Pacific Islander Small Business Prog	\$	25,000
Asian Pacific Women's Center	\$	7,000
Boston Chinatown Neighborhood Center	\$	5,000
Boys and Girls Club of West San Gabriel Valley	\$	8,000
Break the Cycle	\$	5,000
C5 Youth Foundation of So Cal	\$	5,000
California Association for Mico Enterprise	\$	10,000
California Community Economic Development Asso.	\$	8,000
California Reinvestment Coalition	\$	15,000
Career Gear, Inc.	\$	5,000
CCHC - Herald Family Center	\$	13,000
Center for Employment Opportunities	\$	10,000
Center for Pacific Asian Family	\$	7,000
Centor Latino for Literacy	\$	10,000

Charity Cultural Service Center	\$	10,000
Chicago Neighborhood Initiatives	\$	10,000
Chinatown Community Development Center	\$	15,000
Chinatown Partnership Local Development Corp	\$	10,000
Chinatown Service Center	\$	80,000
Chinese American Planning Council	\$	10,000
Chinese American Service League	\$	15,000
Chinese Community Center	\$	15,000
Chinese Community Health Resource Center	\$	7,000
Chinese Historical Society of So. Cal	\$	3,000
Chinese Information Service Center	\$	20,000
Chinese Newcomers Service Center	\$	15,000
CHIRLA	\$	5,000
City Scholars	\$	3,000
Coalition for Responsible Comm Devlp	\$	30,000
Common Ground, Inc.	\$	10,000
Consumer Credit Counseling Service of OC	\$	5,000
Denise Louie Education Center	\$	2,500
Edgewater Development Corporation	\$	10,000
EHC LifeBuilders	\$	10,000
Express Advantage	\$	10,000
Family Bridges, Inc	\$	25,000
Fenway Community Development Corp.	\$	2,500
First Community Housing	\$	5,000
Flushing Council on Culture and the Arts	\$	10,000
Food Bank for New York City	\$	55,000
Friends of the Chinatown Library	\$	13,000
Friends of the Chinese American Museum	\$	20,000
Garden of Hope	\$	10,000
Greater Boston Chinese Golden Age Center	\$	4,000
Greenlining Institute	\$	12,000
Habitat for Humanity Greater San Francisco	\$	5,000
Heartland Alliance for Human Needs & Human Rights	\$	5,000
Henry Street Settlement	\$	10,000
Hope of the Valley	\$	8,000
Housing Partnership Development Corporation	\$	25,000
Huaxia Chinese School	\$	5,000
International Institute of Los Angeles	\$	13,000
International Sanctuary	\$	10,000
Irvine Chinese School South Coast Chinese Cultural Association	\$	13,000
Jewish Free Loan Association	\$	20,000
Junior Achievement of So Cal	\$	80,000
Junior Achievement of Washington	\$	7,000
Korean American Family Center	\$	15,000
Koreatown Youth and Community Center	\$	10,000
LA Sheriff's Professional Association	\$	1,500
Little Tokyo Service Ctr Community Devlp Corp	\$	5,000
Los Angeles Regional Food Bank	\$	10,000
Mercy Housing Lakefront	\$	10,000
Midnight Mission	\$	5,000
Minkwon Center	\$	7,000
Mosaic Family Services	\$	5,000
Multi-Ethnic Education and Economic Development Center	\$	5,000
My Sisters Place	\$	5,000
National Asian American Coalition	\$	3,500

Neighborhood Housing Serices of Inland Empire	\$	4,000	
Neighborhood Housing Serices of Los Angeles	\$	4,000	
Network for Teaching Entrepreneurship	\$	4,000	
New Destiny Housing Corp	\$	10,000	
New Jersey Citizen Action Education Fund	\$	5,000	
Open Doors for Multicultural Families	\$	10,000	
Operation HOPE	\$	35,000	
Operation Nightwatch	\$	10,000	
Oppportunity Fund	\$	20,000	
Organization of Chinese Americans Greater Los Angeles	\$	5,000	
Pacific Clinics	\$	13,000	
PediPlace	\$	5,000	
PeopleFund	\$	5,000	
Prision Enterpreneurship Program	\$	9,000	
Project Access	\$	5,000	
Project VISION, Inc.	\$	7,000	
Rainbow House	\$	10,000	
Self Help for the Elderly	\$	30,000	
Sierra House Inc	\$	10,000	
Sophia Way	\$	10,000	
Southeast Asian Community Center	\$	10,000	
St. Barnabas Senior Services	\$	5,000	
St. Vincent de Paul Village	\$	10,000	
Taiwanese American Heritage Week LA Chapter	\$	10,000	
Taiwanese American Heritage Week OC Chapter	\$	3,000	
Telacu Community Capital	\$	5,000	
Tenderloin Neighborhood Development	\$	20,000	
The Bridge Fund of New York, Inc	\$	20,000	
The Doe Fund	\$	15,000	
The HOPE Program	\$	10,000	
The Tower Foundation of San Jose State University	\$	10,000	
The Youth Employment Partnership, Inc.	\$	5,000	
TMC Working Solutions	\$	5,000	
Union Station Homeless Services	\$	5,000	
United Voices of Youth	\$	5,000	
Urban Solutions	\$	10,000	
Valley Economic Development Center Inc.	\$	50,000	
Vietnamese Association of Illinois	\$	10,000	
Vietnamese Friendship Association	\$	7,500	
Web of Benefit, Inc.	\$	5,000	
Western Center on Law and Proverty	\$	4,000	
Womens Home	\$	5,000	
Worksite Wellness	\$	10,000	
Wu Yee Children's Services	\$	5,000	
Yolo Mutual Housing	\$	10,000	\$ 1,476,365 00

Operating and administrative expenses

Accounting fees	\$	180 00	\$	180.00
Total Operating Expenditures				\$1,476,545.00

Change in Net Assets			\$	(6,397 00)
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Cathay Bank Foundation

EIN: 74-3052411

2013 Form 990-PF Part I -

Question 16b: Operating and Administrative Expenses

16b. Accounting Fees	Amount (\$)
Attorney's General Register of Charitable Trust	150.00
Department of Justice	20.00
Franchise Tax Board	10.00
	180.00

Cathay Bank Foundation
EIN: 74-3052411
2013 Form 990-PF Part VIII -

Question 1: Information About Officers, Directors, Trustees, Foundation Manager, Highly Paid Employees, and Contractors

(a) Name and Address	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account and other allowance
Peter Wu 9650 Flair Drive El Monte, CA 91731	Chairman of the Board, President, and Chief Executive Officer	0 08	-0-	-0-
Deborah Ching 9650 Flair Drive El Monte, CA 91731	Co-Chairman of the Board	0 25	-0-	-0-
Alex Lee 9650 Flair Drive El Monte, CA 91731	Director, Chief Financial Officer/Treasurer	0 08	-0-	-0-
Esther Wee 9650 Flair Drive El Monte, CA 91731	Director and Secretary	2 00	-0-	-0-
Patrick Lee 9650 Flair Drive El Monte, CA 91731	Director	0 08	-0-	-0-
Irwin Wong 9650 Flair Drive El Monte, CA 91731	Director	0 08	-0-	-0-
David Lin 9650 Flair Drive El Monte, CA 91731	Director	0 08	-0-	-0-
Pin Tai 9650 Flair Drive El Monte, CA 91731	Director	0 08	-0-	-0-
Wilson Tang 9650 Flair Drive El Monte, CA 91731	Director	0 08	-0-	-0-

Grant Application

Instructions: Please review the Cathay Bank Foundation application process guidelines before completing this form. Please forward this application in electronic format together with any other electronic forms you may consider essential to support your Grant. This will facilitate our overall review and presentation process. All sections of the application must be completed. Remember to include all required attachments, and sign and date the application before your final submission. Please submit the application in duplicate.

ORGANIZATION INFORMATION

Name of Organization: _____

Federal Tax I.D. Number (EIN): _____

Address: _____

City _____ State _____ Zip: _____

Website _____

Executive Director: _____

Telephone No.: _____ Fax No _____ Email: _____

Principle Contact Person: _____ Title: _____

Telephone No.: _____ Fax No _____ Email: _____

ORGANIZATION BACKGROUND

1) Type of organization (check all that apply(s)) 501c(3) CDFI CDC other, please specify: _____

2) Year of Establishment (e.g. 1982) _____

3) What geographic area(s) does your organization serve? _____

4) What target market does your organization serve? _____

5) Briefly provide an overview of your organization (attach separate sheet if necessary) _____

GRANT INFORMATION

6) Amount Requested: \$ _____ 7) Event/Project Title: _____

8) Description of target market and estimated number of people who will benefit from this event/project:

9) Briefly explain how funds requested will be used to serve the low- to moderate- income population and what percentage of that population will be served?(attach separate sheet if necessary). _____

10) Has the organization received a grant from Cathay Bank in the last three years? No Yes
 If yes, during what year, what amounts, and explain how funds were applied.

Year	Purpose	Amount

11) Please list any Cathay Bank employees involved in your organization and their roles.

SUPPORTING DOCUMENTS

Please submit a copy of the following documents together with the completed application form

- Cover letter, including mission of the organization, total amount requested and purpose of funds;
- A list of all trustees or directors and officers, including names, addresses, and contact number;
- A copy of your tax exemption letter from the IRS indicating tax exempt 501c(3) status;
- Operating budget for the past two years;
- Audited financial statements (minimum 2 years);
- Itemized project costs related to the program;
- A description of the organization with a brief history, specific programs offered and program objectives, significant highlights of the organization, number of people and geographic areas served, and staff list and job titles; (This may also be included in electronic format)
- A list of major corporate and foundation contributors and amounts contributed for the past three years; and
- Marketing/Communications materials and Annual Report (if available) or brochures describing programs and services.

AUTHORIZATION

The undersigned, an authorized officer of the organization, does hereby certified that the information contained in this application is true and correct, and if the organization is awarded a grant, the grant will be used only for the purpose(s) outlined in the grant application letter and the grant may not be used for any other purpose(s) without prior written approval from Cathay Bank Foundation

Authorized Officer (Signature) Title Date of Application

APPLICATION SUBMISSION SUMMARY

Please forward the following documents electronically to Nancy.Morikawa@CathayBank.com as it will greatly assist us in preparing and presenting your application to the Foundation for their final consideration

- Cathay Bank Foundation Grant Application (**word doc format**)
- Any other electronic forms you may consider essential to support your Grant

Mail, in duplicate, the documents listed in the Supporting Documents section to:

Cathay Bank Foundation
9650 Flair Drive
Mail Stop EL-3-H
El Monte, CA 91731

Cathay Bank Foundation
EIN: 74-3052411
2013 Form 990PF Part XV – Question 2d

Cathay Bank Foundation

enhance the growth and success of communities

Home

Home > Our Grant Focus

Our Grant Focus

The Cathay Bank Foundation will consider grants from within the following areas

Community & Economic Development

- » Programs to preserve, rehabilitate and construct affordable housing in low- and moderate-income (LMI) areas,
- » Organizations that provide home-buyer counseling to families in the LMI communities,
- » Programs that support small business development, commercial revitalization and job creation,
- » Programs tailored to assist LMI individuals in development of work and life skills, with a specific emphasis on work-entry programs, skills training and employment retention,
- » Senior services, workforce development and services for individuals with disabilities

Education

- » Innovative programs that offer assistance to LMI youths to excel in school and prepare for higher education,
- » Financial literacy programs for youth and adults in LMI communities,
- » Effective mentoring programs for at-risk youths,
- » Student scholarship programs focused on finance-related studies,
- » Literacy, math, parent engagement efforts and English as a Second Language (ESL) programs,
- » Entrepreneurship education programs for underserved youth

Civic & Community

- » Civic organizations that focus on development of neighborhoods and raising of social issues,
- » Voter registration and education, community organizing and leadership development

Health & Welfare

- » Homeless, crisis shelters and battered women shelters,
- » Programs that provide services to children and families at risk,
- » Soup kitchens,
- » Health prevention and education targeted to conditions such as diabetes, obesity, asthma, insurance enrollment of uninsured children and adults, mental health services, and fitness and nutrition

Eligibility Criteria

The Foundation will place a strong emphasis on nonprofit organizations that are results-oriented and market-savvy. The organization's programs and services must

- » Target the low- and moderate-income population,
- » Display leadership and vision in the community,

Cathay Bank Foundation

Our Grant Focus

Frequently Asked Questions

Application Guidelines

Cathay Bank Foundation
EIN: 74-3052411
2013 Form 990PF Part XV – Question 2d

- » Provide tangible benefits to the communities they serve,
- » Have an effective system to measure and report results with a demonstrated track record,
- » Have established strategic alliances with the community to face expected challenges,
- » Have 501c(3) tax-exempt status from the IRS,
- » Be equal opportunity employers,
- » Display fiscal and administrative stability

In eligible Organizations

The Cathay Bank Foundation cannot support the following

- » Organizations without 501c(3) status
- » Organizations/programs that are not aligned with the Foundation's mission,
- » Organizations that are located or benefit outside Cathay Bank's communities,
- » Private foundations,
- » Organizations with missions to influence or participate in political campaigns on behalf of or against a candidate or initiative,
- » Veterans and/or military organizations,
- » Organizations that channel grant funds to third parties,

- » Service club activities,
- » Operating funds for hospitals or other patient care facilities,
- » Funding of travel and related expenses for any organization



Organization Name	Street Address	CITY	STATE	ZIP	If recipient is an individual, show an relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose/Use of Funds	Grant Amount
Affordable Housing Cleanghouse	23861 El Toro Road, Suite 401	Lake Forest	CA	92630	n/a	501(c)(3)	A network of lenders, community groups, and public agencies dedicated to the creation of quality affordable housing. We finance housing for low-income, very-low-income, and special-needs populations, provide education on housing opportunities, issues, and needs, and provide a forum for communication, partnerships, and innovative solutions addressing community needs.	\$ 5,000
Alameda County Community Food Bank	7900 Edgewater Drive	Oakland	CA	94621	n/a	501(c)(3)	General Operations - Alleviating hunger among children, seniors and adults in Alameda County	\$ 5,000
AnewAmerica Community Corporation	1918 University Avenue, Suite A	Berkeley	CA	94704	n/a	501(c)(3)	Financial Literacy and Microbusiness Education for Low-Income Communities to reach 90 individuals with extensive financial literacy education, and 50 individuals will graduate from the Business Incubation program	\$ 25,000
APA Family Support Services	10 Nottingham Place	San Francisco	CA	94133	n/a	501(c)(3)	Family Economic Success Project - help low-income and working-poor Asian and Pacific Islanders (APIs) to develop the work skills and life skills they need to find and retain good jobs, in order to achieve financial stability for their families	\$ 15,000
Asian American Civic Association	87 Tyler Street	Boston	MA	02111	n/a	501(c)(3)	The Careers in Banking and Finance Program - serve a diverse clientele that includes both immigrants and non-immigrants in the Metropolitan Boston area	\$ 7,500
Asian Americans for Equality	111 Division Street	New York	NY	10002	n/a	501(c)(3)	Affordable Housing Development and Preservation, Community Organizing and Leadership Development, Housing Legal, Immigration, and Community Services, and Youth & Family Development	\$ 20,000
Asian Community Development Center	38 Oak St	Boston	MA	2111	n/a	501(c)(3)	Affordable Housing and Comprehensive Housing Opportunities Program (CHOP) large-scale mixed-income, mixed-use One Greenway development is breaking ground this year as the largest new construction, affordable housing development in downtown Boston	\$ 3,115
Asian Counseling & Referral Service (ACRS)	3639 Martin Luther King Jr Way S	Seattle	WA	98144	n/a	501(c)(3)	To support job readiness training classes and the development of a bilingual online job search handbook in six different languages	\$ 4,250
Asian Pacific American Community Center (APACC)	2440 Bayshore Blvd	San Francisco	CA	94134	n/a	501(c)(3)	Asian Pacific Islanders LMI Community Education and Support Programs	\$ 15,000
Asian Pacific American Legal Center	1145 Wilshire Blvd 2nd Floor	Los Angeles	CA	90017	n/a	501(c)(3)	30th Anniversary Dinner of Asian Pacific American Legal Center	\$ 15,000
Asian Pacific Community Fund	315 W 9th Street, Suite 301	Los Angeles	CA	90015	n/a	501(c)(3)	General operating support and sponsorship of the 2013 7th Annual Giving for All Seasons Fundraising Gala	\$ 15,000
Asian Pacific Islander Small Business Program	231 East Third Street	Los Angeles	CA	90013	n/a	501(c)(3)	Program Operating Support - All services are provided in Cantonese, Mandarin, Japanese, Korean, Tagalog, Thai and English. API SBP will provide free individual business consulting and pertinent workshops to over 2500 a year	\$ 25,000
Asian Pacific Woman's Center	1145 Wilshire Blvd	Los Angeles	CA	90017	n/a	501(c)(3)	Operational Support & Metamorphosis Gala Sponsor	\$ 7,000
Boston Chinatown Neighborhood Center	885 Washington Street	Boston	MA	02111	n/a	501(c)(3)	Youth Center - estimating to serve 290 youth through the Education, Leadership and Enrichment programs	\$ 5,000
Boys & Girls Club of West San Gabriel Valley	328 South Ramona Avenue	Monterey Park	CA	91754	n/a	501(c)(3)	Education for Life Program - Funding will help to defray part of the salary of skilled professional staff and also supplies and materials necessary to run the program i.e. cost of College campus tours, SAT tests, nutritional snacks for our Healthy Choices program, books study guides for our Study guides for Stud Island and Smart Moves programs	\$ 8,000
Break the Cycle	5777 W Century Blvd	Los Angeles	CA	90045	n/a	501(c)(3)	Annual Gala to raise funds for teen awareness of date abuse	\$ 5,000
C5 Youth Foundation of S Cal	1334 South Central Ave	Los Angeles	CA	90021	n/a	501(c)(3)	C5 Leadership Development and College Preparation Program for Low-Income Youth - Reaches out to school partners, representing a pool of almost 15,000 eighth graders, in order to identify motivated and high-potential youth to enter the C5LA program	\$ 5,000
California Association for Micro Enterprise	1 Hallidie Plaza, Suite 210	San Francisco	CA	94102	n/a	501(c)(3)	General operations of CAMEO's capacity building activities designed to improve and increase services to micro businesses in California. Capacity building training, technical assistance and advocacy to enable expansion of entrepreneurship services/loans to 15% more LMI clients (18,000)	\$ 10,000
California Community Economic Development Association (CCEDA)	244 S San Pedro Street, Suite 412	Los Angeles	CA	90012	n/a	501(c)(3)	Resources and Trainings for CBOs - Trained over 1,000 practitioners in affordable housing development and community based economic development	\$ 8,000
California Reinvestment Coalition	474 Valencia Street, Suite 110	San Francisco	CA	94103	n/a	501(c)(3)	Support an annual community event that salutes all the CRC members that contributed to community reinvestment in 2013 through their hard work as members of CRC and as community activists	\$ 15,000
Career Gear	120 Broadway, 36th Floor	New York	NY	10271	n/a	501(c)(3)	Professional Development Series - Offers vital services to men of all ages and ethnic backgrounds including the disabled, recovering substance abusers, youth aging out of foster care, veterans and the formerly incarcerated	\$ 5,000
Center for Employment Opportunities	32 Broadway, 15th Floor	New York	NY	10004	n/a	501(c)(3)	Reentry Employment Program - To sustain employment services for the formerly incarcerated	\$ 10,000
Center for the Pacific Asian Family	543 N Fairfax Ave #108	Los Angeles	CA	90036	n/a	501(c)(3)	Employment and Housing Initiative for DV Survivors - Funds will support economic empowerment services for CPAF shelter residents. 100% of shelter residents are low-income survivors of domestic violence	\$ 7,000
Centro Latino for Literacy	1709 W 8th Street, Suite A	Los Angeles	CA	90017	n/a	501(c)(3)	Leamos-Listos Functional Literacy - funds will be used to develop the Listos financial literacy curriculum to prepare for the online adaption	\$ 10,000
Chanty Cultural Service Center	827 Stockton Street	San Francisco	CA	94108	n/a	501(c)(3)	Families in Transition Program - FIT program will assist at least 80 low-income, newcomer students by taking a comprehensive approach to the issues of cultural adjustment, juvenile delinquency and prevention and academic success	\$ 10,000

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Chicago Neighborhood Initiatives (CNI)	1000 E 111th Street	Chicago	IL	60628	n/a	501(c)(3)	CNI Micro Finance Group to support deployment of \$300,000 in microloans in 12 months 100% of the proceeds will go to low- to mod-income borrowers	\$ 10,000
Chinatown Community Development Center	1525 Grant Avenue	San Francisco	CA	94133	n/a	501(c)(3)	Youth Jobs in Action - Provides training and service learning through the Adopt and Alleyway program	\$ 15,000
Chinatown Partnership, LDC	60 St. James Place	New York	NY	10038	n/a	501(c)(3)	To support the Chinatown Partnership 6th Annual Benefit and Awards Gala	\$ 10,000
Chinatown Service Center	767 North Hill Street	Los Angeles	CA	90012	n/a	501(c)(3)	VITA-EITC Program / Youth Center / Financial Education Program for Youth and their Families / Community Health Center / 42nd Anniversary Gala Celebration	\$ 80,000
Chinese American Planning Council	150 Elizabeth St	New York	NY	10012	n/a	501(c)(3)	To assist low-income Chinese immigrant seniors 55 years of age and older with their employment needs	\$ 10,000
Chinese American Service League	2141 S Tan Ct.	Chicago	IL	60616	n/a	501(c)(3)	The Housing and Financial Education Department promotes financial literacy, money management, home ownership and foreclosure prevention	\$ 15,000
Chinese Community Center, Inc	9800 Town Park Drive	Houston	TX	77036	n/a	501(c)(3)	Project THRIVE - 1700 program participants who are Low to Moderate Income, Immigrant, Limited English Proficiency, or Small Business Owners	\$ 15,000
Chinese Community Health Resource Center	845 Jackson Street, Suite 101	San Francisco	CA	94133	n/a	501(c)(3)	Developing and Empowering the Next Generation of Asian American Community Leaders and Public Health Professionals through the "Asian American Public Health Youth Leadership Academy (AAPHYLA)"	\$ 7,000
Chinese Historical Society of Southern California	415 Bernard St.	Los Angeles	CA	90012	n/a	501(c)(3)	Dinner event	\$ 3,000
Chinese Information Service Center	611 S Lane Street	Seattle	WA	98104	n/a	501(c)(3)	Immigrant transition programs that help non-English speakers understand and navigate American culture - including comprehensive information and assistance services, crime prevention education, crime victims assistance, and ESL clients	\$ 20,000
Chinese Newcomers Service Center	777 Stockton Street	San Francisco	CA	94108	n/a	501(c)(3)	Food Drive for low income families and seniors, free low income tax preparation, workforce development	\$ 15,000
City Scholars	611 Wilshire Blvd Suite 1109	Los Angeles	CA	90017	n/a	501(c)(3)	City Scholars Leadership Academy - cohort of 10 Academy Fellows together lead organizations providing much-needed education and enrichment in literacy, arts, math, science, technology, health, wellness, college readiness and career prep for approximately 5,000-7,000 low-income youth/year	\$ 3,000
Coalition for Humane Immigrant Rights of Los Angeles (CHIRLA)	2533 W Third St Suite 101	Los Angeles	CA	90057	n/a	501(c)(3)	Annual Spring Gala - The funds raised through CHIRLA's Annual Gala support our Community Education programs which provide free education and support for immigrant workers and youth including workshops and trainings in topics such as citizenship and worker rights for adults, and college access for youth	\$ 5,000
Coalition for Responsible Community Development	3101 S Grand Avenue	Los Angeles	CA	90007	n/a	501(c)(3)	South LA Affordable Housing - Serve extremely low-income transition-age youth (age 16-24) and other low-income residents of South Los Angeles	\$ 30,000
Common Ground Community HDFC, Inc	505 Eighth Avenue	New York	NY	10018	n/a	501(c)(3)	Homeless Housing - Majority of support will be used to house homeless New Yorkers, with approximately 2,350 individuals served through this endeavor	\$ 10,000
Consumer Credit Counseling Service of OC	1920 Old Tustin Avenue	Santa Ana	CA	92705	n/a	501(c)(3)	Housing & Financial Literacy Conduct 35 financial literacy workshops & 15 Housing Workshops and educate 1,500 Low-Moderate Income Individuals	\$ 5,000
Denise Louie	606 Maynard Ave S #101	Seattle	WA	98104	n/a	501(c)(3)	Annual Dinner & Auction to support school and life readiness by providing multi-cultural early learning services to children and families, especially those who need our services the most	\$ 2,500
Doe Fund Inc., The	232 East 84th Street	New York	NY	10028	n/a	501(c)(3)	Ready, Willing and Able Program - a 10- to 12- month program that includes paid employment, transitional housing and food services, case management, career development, and graduate services	\$ 15,000
Edgewater Development Corp	1106-08 West Bryn Mawr Avenue	Chicago	IL	60660	n/a	501(c)(3)	Edgewater Development Corporation Small Business Incubator Program - service a community which is one of the nation's most ethnically and racially diverse neighborhoods, with a trade area population	\$ 10,000
EHC LifeBuilders	507 Valley Way	Milpitas	CA	95035	n/a	501(c)(3)	provides shelter, supportive services, and case management to homeless US Military Veterans, Families with Children, Transition-age youth, and Chronically Homeless Individuals throughout Santa Clara County.	\$ 10,000
Express Advantage	1930 6th Avenue South, Suite 101	Seattle	WA	98134	n/a	501(c)(3)	Financial Empowerment Pathways Volunteer Program - recruit volunteers from diverse professional backgrounds to facilitate our education and coaching program	\$ 10,000
Family Bridges	168 11th Street	Oakland	CA	94607	n/a	501(c)(3)	Enhancing the services at our community-based adult services programs, senior activity centers, and our child care center	\$ 25,000
Fenway Community Development Corp	70 Burbank Street	Boston	MA	2115	n/a	501(c)(3)	Community and Economic Development Project - provide potential technical assistance support and loan opportunity to selected small businesses	\$ 2,500
First Community Housing	75 E Santa Clara Street, Suite 1300	San Jose	CA	95113	n/a	501(c)(3)	Provide affordable rental housing and financial training for low-income residents in the San Francisco Bay Area	\$ 5,000
Flushing Council on Culture and Arts	137-35 Northern Blvd	Flushing	NY	11001	n/a	501(c)(3)	Story Mosaics IV a visual arts and English Language Arts (ELA) integration project for English Language Learners (ELL) in Flushing, Queens schools	\$ 10,000
Food Bank for New York City	39 Broadway, 10th Floor	New York	NY	10006	n/a	501(c)(3)	Earned Income Tax Credit Program- The largest poverty-reduction program in the nation, lifting more than 4.8 million people, including 2.6 million children, out of poverty each year	\$ 55,000
Friends of the Chinese American Museum	125 Paseo de la Plaza, Suite 300	Los Angeles	CA	90012	n/a	501(c)(3)	General Operating Support	\$ 20,000
Garden of Hope	P O Box 5200048	Flushing	NY	11352	n/a	501(c)(3)	The Hope House Project - Established to provide emergency shelter services to those desperately in need of protection from violence among Chinese immigrants in the New York City area They estimate that more than 50-80 individuals will benefit from the Hope House Project annually	\$ 10,000

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Greater Boston Chinese Golden Age Center	75 Kneeland St., Suite 204	Boston	MA	2111	n/a	501(c)(3)	Hong Lok House - Provides 28 rent-assisted apartments for a vulnerable population of 39 Asian elders, of whom 24 or 62% are women. The typical Hong Lok House resident is over 62 years old with income at or below 50% of the area median income.	\$ 4,000
Greenlining Institute	1918 University Avenue	Berkeley	CA	94704	n/a	501(c)(3)	Greenlining's 20th Annual Economic Summit - Target markets for this event are students, financial, nonprofit, and business professionals. Anticipate 1000+ attendees.	\$ 12,000
Habitat for Humanity (San Francisco)	645 Hamson Street, Suite 201	San Francisco	CA	94017	n/a	501(c)(3)	Provide affordable rental housing and financial training for low-income residents in the San Francisco Bay Area.	\$ 5,000
Heartland Alliance Partnership	208 S LaSalle Ste 1818	Chicago	IL	60604	n/a	501(c)(3)	Refugee and Immigrant Community Services to achieve freedom from want, fear, and injustice for people marginalized by poverty, displacement, or situations of vulnerability by developing and providing a continuum of services that meet basic needs, build strengths, safeguard human rights, and provide opportunities for positive change.	\$ 5,000
Henry Street Settlement	265 Henry Street	New York	NY	10002	n/a	501(c)(3)	Sunday Meal Program - Used to cover the expenses for providing 1,600 meals to seniors during the year, and the associated staffing costs.	\$ 10,000
Hope of the Valley	8165 San Fernando Road	Sun Valley	CA	91352	n/a	501(c)(3)	Homeless and Food Insecure	\$ 8,000
Housing Partnership Development Corporation	242 W 36TH Street, 3rd Floor	New York	NY	10018	n/a	501(c)(3)	Neighborhood Preservation & Rehabilitation - Focus is on New York City where our Neighborhood Preservation & Rehabilitation programs are developing and preserving affordable homeownership and rental properties for households earning between 60% and 80% of AMI.	\$ 25,000
Hua Xia Chinese School	5925 Sovereign Drive	Houston	TX	77036	n/a	501(c)(3)	Many of the students come from recently immigrated families that are in the low to moderate income population. This grant will be used to provide additional financial support for those students as well as other students belonging to low income families.	\$ 5,000
International Sanctuary	17935 Sky Park Circle #F	Irvine	CA	92614	n/a	501(c)(3)	P O S T Professional Opportunities for Survivors of Trafficking provide survivors of human trafficking rescued in Southern California professional training and education. Seminars will be available to ALL survivors rescued through collaboration efforts with the OC Human Trafficking Task Force.	\$ 10,000
Jewish Free Loan Association	6505 Wilshire Blvd Suite 715	Los Angeles	CA	90048	n/a	501(c)(3)	Microenterprise Small Business Loan Fund - The target market is the working poor who have their own businesses or who seek to open their own businesses.	\$ 20,000
Junior Achievement of So California	6250 Forest Lawn Drive	Los Angeles	CA	90068	n/a	501(c)(3)	Provide JA programs to over 2,000 LMI students. In Los Angeles, funds will be used with the goal of providing volunteer opportunities for Cathy Bank employees, both in Finance Park, and in-classroom, as well as to conduct a program outreach to schools.	\$ 80,000
Junior Achievement of Washington	1700 Westlake Avenue N, Suite 400	Seattle	WA	98109	n/a	501(c)(3)	JA World programs JA BizTown and JA Finance Park - financial literacy for students.	\$ 7,000
Korean American Family Services Center	P O Box 541429	New York	NY	11354	n/a	501(c)(3)	Youth Community Project Team (YCPT) & Unni-Hyung "Big Sister - Big Brother" Mentoring Program - YCPT to develop leadership, positive-self-esteem and a healthy bicultural identity that embraces both Korean and American cultures. Moreover, in the process of planning and implementing projects, youth feel an affiliation with the community, gaining understanding of its needs and mature as responsible citizens. Unni-Hyung "Big Sister, Big Brother" Mentoring program matches youth (ages 11-18) with an adult, typically a young professional or older college student, who provides friendship and guidance.	\$ 15,000
Korean Youth & Community Center	3727 West 6th Street, Suite 300	Los Angeles	CA	90020	n/a	501(c)(3)	Wraparound Financial Case Management - The funds requested will be used to fund program activities related to wrap-around financial case management services. This program's target population is low- to moderate-income individuals and families.	\$ 10,000
Little Tokyo Service Center	213 East Third Street, G106	Los Angeles	CA	90013	n/a	501(c)(3)	Affordable Housing Collaborative - LTSC's Affordable Housing Collaborative serves the low-income community living in Los Angeles and surrounding counties.	\$ 5,000
Los Angeles Regional Food Bank	1734 E 41st Street	Los Angeles	CA	90058	n/a	501(c)(3)	Fund supports the essential functions of the Foodbank that includes purchasing, soliciting, collecting, sorting, storing and distributing millions of pounds of food every month to people at risk for hunger.	\$ 10,000
Los Angeles Sheriff's Department	5019 E 3rd St	Los Angeles	CA	90022	n/a	501(c)(3)	Success Through Awareness & Resistance - "S T A R" Drug, gang and violence prevention education classes are co-taught throughout Los Angeles county schools by specially trained Sheriff's Deputies, reaching over 100,000 youths.	\$ 1,500
MEED Center	7610 N Stemmons Freeway Suite 690	Dallas	TX	75247	n/a	501(c)(3)	Education Training and Workforce Development - seminars, business register, setting up incubation center with tech support, etc.	\$ 5,000
Mercy Housing Lakefront	120 South La Salle, Suite 1850	Chicago	IL		n/a	501(c)(3)	2013 Affordable Housing Initiative - this project will serve approximately 2,500 low income individuals including families, seniors, and individuals with special needs.	\$ 10,000
Midnight Mission	601 South San Pedro Street	Los Angeles	CA	90014	n/a	501(c)(3)	Family Housing Self-Sufficiency Program - Funding will provide comprehensive family services to homeless adults/women and children to achieve self-sufficiency skills including employment counseling, financial literacy, computers, mental health & domestic violence counseling & parenting skills.	\$ 5,000
Minkwon Center	138-19 41st Ave 3rd Floor	Flushing	NY	11355	n/a	501(c)(3)	Financial Empowerment Clinic - Primarily focus on the low-income Asian American immigrant community in Flushing, Queens. Expected to reach out to a total of 1,000 people through the financial empowerment services and educational workshops.	\$ 7,000

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Mosaic Family Services, Inc	4144 N Central Expressway, Suite 5	Dallas	TX	75204	n/a	501(c)(3)	Mosaic House - Mosaic House provides comprehensive shelter services for immigrant women and children who have survived domestic violence or human trafficking. Approximately 200 clients will benefit from shelter this year.	\$ 5,000
My Sister's Place	One Water Street, 3rd Floor	White Plains	NY	10601	n/a	501(c)(3)	Residential Counseling Program - Adults and children residing in one of MSP's two emergency shelters who have experienced and/or witnessed domestic violence and/or human trafficking in need of counseling services.	\$ 5,000
National Asian American Coalition	1758 El Camino Real	San Bruno	CA	94066	n/a	501(c)(3)	Annual Economic Development Conference and Microbusiness Technical Assistance Program. Approximately 700 minority small business and community leaders attend and benefit from this event.	\$ 3,500
Neighborhood Housing Services of Los Angeles (NHSLA)	3926 Wilshire Blvd, Ste 200	Los Angeles	CA	90010	n/a	501(c)(3)	Neighborhood Stabilization & Revitalization Program to serve over 10,000 families through financial literacy and homeownership education, foreclosure prevention counseling, affordable loan programs, mission-driven real estate services, construction management and rehabilitation, and neighborhood advocacy programs.	\$ 4,000
Neighborhood Housing Services of the Inland Empire, Inc	1390 North D Street	San Bernardino	CA	92405	n/a	501(c)(3)	General Operations to support mission-driven programs such as homebuyer education, financial fitness, and foreclosure prevention, which help LMI residents achieve and maintain their dreams of homeownership and self-sufficiency.	\$ 4,000
Network for Teaching Entrepreneurship	350 S Bixel Street, Suite 280	Los Angeles	CA	90017	n/a	501(c)(3)	Adopt-a-School at Downtown Magnets HS to seek to increase students' entrepreneurial knowledge, with the ultimate outcomes of graduation, college attendance, business ownership and/or gainful employment.	\$ 4,000
New Destiny Housing Corp	12 West 37th Street, 7th floor	New York	NY	10018	n/a	501(c)(3)	Beyond Shelter: Permanent Housing for Low-Income DV Survivors. Financial Empowerment Projects - support 500 LMI individuals and families in the Edison, NJ MSA, through a combination of free quality financial empowerment programs, including housing & foreclosure counseling, financial education, and outreach to those affected by Hurricane Sandy.	\$ 10,000
New Jersey Citizen Action Education Fund	744 Broad Street, Suite 2080	Newark	NJ	7102	n/a	501(c)(3)	Financial Empowerment Programs - support 500 LMI individuals and families in the Edison, NJ MSA, through a combination of free quality financial empowerment programs, including housing & foreclosure counseling, financial education, and outreach to those affected by Hurricane Sandy.	\$ 5,000
Open Doors for Multicultural Families	4327 S 253rd St.	Kent	WA	98032	n/a	501(c)(3)	Multicultural Youth Leadership and Job Readiness Development Program - provide leadership development and job readiness skills and training to 15 low income refugee and immigrant youth with disabilities.	\$ 10,000
Operation Hope	707 Wilshire Boulevard, Suite 3030	Los Angeles	CA	90017	n/a	501(c)(3)	Youth empowerment services. The Banking On Our Future program provides 4th to 12th grade students from low- to moderate-income backgrounds with information and skills needed to escape poverty and attain financial stability. The specific information and skills delivered include basic knowledge and tools related to banking, savings accounts, credit and investing.	\$ 35,000
Operation Nightwatch	1265 S Main Street, Suite 216	Seattle	WA	98144	n/a	501(c)(3)	Shelter Program - provide overnight shelter for homeless people. An average of 158 people each night with 1769 distinct individuals served.	\$ 10,000
Opportunity Fund	111 West St. John Street, Suite 800	San Jose	CA	95113	n/a	501(c)(3)	Scaling Microlending in California - Funds will support outreach, underwriting, and loan servicing to provide financing for disadvantaged small business owners, including 85+% low- and moderate-income.	\$ 20,000
Organization of Chinese Americans - Greater LA (OCA-GLA)	1145 Wilshire Blvd, 1st Floor	Los Angeles	CA	90017	n/a	501(c)(3)	22nd Annual Image Awards Dinner/Leading Asian American Women for Impact.	\$ 5,000
PediPlace	502 South Old Orchard Lane	Lewisville	TX	75067	n/a	501(c)(3)	Healthy Kids Program - Uninsured children or children receiving Medicaid or CHIP benefits. Provide a medical home for children that tend to be from low income families who otherwise would go without care or who would unnecessarily use the emergency room.	\$ 5,000
People Fund (formally The Plan Fund)	2801 Swiss Avenue, Suite 120	Dallas	TX	75204	n/a	501(c)(3)	Dallas Workforce Development Small Business Lending and Business Education Program - offers a safety net to low income populations, providing both access to capital denied by the financial mainstream, and the educational guidance to deploy the funds responsibly.	\$ 5,000
Person Entrepreneurship Program	4140 Directors Row, Suite B	Houston	TX	77092	n/a	501(c)(3)	Workforce Training and Entrepreneurship Development - PEP's core curriculum is a six-month class taught by PEP staff and business executives from the college level textbook.	\$ 9,000
Project Access	3900 Birch St Suite 113	Newport Beach	CA	92680	n/a	501(c)(3)	Capacity Building and Savings provide financial literacy workshops and Keys to Success Gala.	\$ 5,000
Project Vision	301 S Archer Ave Unit #3	Chicago	IL	60616	n/a	501(c)(3)	After School Homework Tutoring Program - The program will serve 60+ youths in grades 6-12, primarily from low/moderate income, first generation Chinese immigrant families.	\$ 7,000
Rainbow House	4149 W 26th Street	Chicago	IL	60623	n/a	501(c)(3)	Adult Services Program - support will yield comprehensive support services to adult survivors of domestic abuse, helping them live their lives free from violence.	\$ 10,000
Self Help for the Elderly (SHE)	407 Sansome Street	San Francisco	CA	94111	n/a	501(c)(3)	Life Skills Training - provide Employment Training Economic Development (ETED) trainees with information and skills that will further empower them to make quality of life choices and to enhance their standards of living.	\$ 30,000
Sierra House	11 South Maple Avenue	East Orange	NJ	7018	n/a	501(c)(3)	Sierra House Transitional Program serves homeless young women between the ages of 18 and 25 and their babies.	\$ 10,000
Sophia Way	700 108th Ave NE	Bellevue	WA	98104	n/a	501(c)(3)	Sophia's Place Shelter - to operate our homeless shelter to nearly approximately 285 bed-nights for a woman in need.	\$ 10,000
Southeast Asian Community Center	875 O'Farrell Street	San Francisco	CA	94109	n/a	501(c)(3)	SBA 7(m) Microloan Program- SEACC is a designated intermediary lender for the US Small Business Administration's 7(m) Microloan program. This program provides commercial loans of \$1,000 to \$50,000, to small businesses that have been unable to secure conventional bank loans.	\$ 10,000

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St. Barnabas Senior Services	675 S Carondelet St.	Los Angeles	CA	90057	n/a	501(c)(3)	Case Management Program with a team of degreed, multi-lingual social workers have daily contact with numerous seniors and/or their caregivers to address health, nutrition, behavioral health, housing, transportation, socialization, translation, legal and financial concerns, as well as in-home supportive services	\$ 5,000
St. Vincent de Paul Village	3350 E Street	San Diego	CA	92102	n/a	501(c)(3)	St. Vincent de Paul Village Employment Services to provide employment services to the homeless adults residing at St. Vincent de Paul Village	\$ 10,000
Telacu Community Capital	5400 E Olympic Blvd #300	Los Angeles	CA	90022	n/a	501(c)(3)	Technical Assistance in South East Los Angeles and their small business community Approximately 200 entrepreneurs will benefit from these technical assistance workshops	\$ 5,000
Tenderloin Neighborhood Development	201 Eddy Street	San Francisco	CA	94102	n/a	501(c)(3)	General Operating Support - The target market includes 3,200 extremely low-income and formerly homeless residents who live in TND's 30 Buildings and 220 children ages 5-18 who participate in TND's Tenderloin After-School Program	\$ 20,000
The Bridge Fund of New York	271 Madison Avenue, Suite 907	New York	NY	10018	n/a	501(c)(3)	Preventing Homelessness among the Working Poor Program	\$ 20,000
The HOPE Program	One Smith Street, 4th Floor	Brooklyn	NY	11201	n/a	501(c)(3)	HOPEworks and GROCERYworks - provide hundreds of hours of work readiness training, internships, employment placements, mental health services, professional clothing and meals to men and women who are committed to transforming their lives through employment and advancement.	\$ 10,000
The Women's Home	607 Westheimer Road	Houston	TX	77006	n/a	501(c)(3)	Women's Home Whole Life Program - provide housing and support services to low-income and homeless women	\$ 5,000
The Youth Employment Partnership, Inc	2300 International Blvd	Oakland	CA	94601	n/a	501(c)(3)	Building Futures will target services to 56 high risk Oakland youth for comprehensive "green" construction training, education and support services	\$ 5,000
TMC Development Working Solutions	440 Pacific Avenue	San Francisco	CA	94133	n/a	501(c)(3)	Sponsorship of Working Solutions Annual Breakfast The funds are used to provide microloans of \$5,000 to \$50,000 to population of mostly low-income entrepreneurs as well as 5 years of business coaching, mentoring, and support. 71% of services are provided to low-income individuals	\$ 5,000
Tower Foundation of San Jose State University	One Washington Square	San Jose	CA	95192	n/a	501(c)(3)	Financial Literacy and Business Development program will enable to engage over 400 low-income residents and 10 micro entrepreneurs	\$ 10,000
Union Station Homeless Shelter	825 E Orange Grove Blvd	Pasadena	CA	91104	n/a	501(c)(3)	Provide clients with a full continuum of services to help them permanently end their homelessness 100% of the populations served are homeless, very low income families	\$ 5,000
United Voice of Youth	P O Box 452375	Los Angeles	CA	90045	n/a	501(c)(3)	Back 2 Basics UVOY to pay the literacy program director and volunteer coordinator as well as the curriculum director and provide some of the much needed curriculum development (student and tutor workbooks, phonics cards and other support materials such as dictionaries as well as to continue to provide	\$ 5,000
Urban Solutions	1083 Mission Street, 2nd Floor	San Francisco	CA	94301	n/a	501(c)(3)	Small Business Services / Enterprising Women program - 150 low- and moderate-income small business clients, including women of color developing businesses as a way to generate income to become self-sufficient	\$ 10,000
Valley Economic Development Center	5121 Van Nuys Blvd 3rd Floor	Van Nuys	CA	91403	n/a	501(c)(3)	Sponsorship of the annual "Where's the Money" Access to Capital Business Events in Los Angeles General operating support for VEDC's programs and facilities throughout Los Angeles County	\$ 50,000
Vietnamese Association of Illinois	5110 N Broadway	Chicago	IL	60640	n/a	501(c)(3)	Job Readiness Training and Placement Program targeting low income clients through the employment program which provides services every Tuesdays and Thursdays of the week	\$ 10,000
Vietnamese Friendship Association	7101 Martin Luther King Jr Way S	Seattle	WA	98118	n/a	501(c)(3)	Community Action Research and Empowerment (CARE) Project - provide leadership training for Youth community activists, and organize community-wide gatherings around planning and action	\$ 7,500
Web of Benefit	PO Box 81925	Wellesley	MA	2484	n/a	501(c)(3)	Transition to Self-Sufficiency provide grants to survivors to assist women in achieving the economic self-sufficiency that will enable them to live productive lives free from the threat of violence	\$ 5,000
Western Center on Law and Poverty	3701 Wilshire Blvd Suite 208	Los Angeles	CA	90010	n/a	501(c)(3)	Protecting and Preserving the Safety Net for Low-Income Californians A Systems Change Approach to help mitigate challenges to safe, affordable housing, strengthen the public benefits safety net, and improve low-income access to healthcare insurance	\$ 4,000
Worksite Wellness LA	5955 S Western Avenue	Los Angeles	CA	90047	n/a	501(c)(3)	Project Access - provide a preventive health education program and an outreach program that provides underserved, low-income residents access to healthcare services	\$ 10,000
Wu Yee Children's Services	706 Mission Street, 6th Floor	San Francisco	CA	94013	n/a	501(c)(3)	The Butterfly Social, Wu Yee's premier annual event featuring an award celebration and a key-note address, to commemorate Wu Yee's 34 years of service dedicated to low income children and their families, will bring together 300 of the Bay Area's business,	\$ 5,000
Yolo Mutual Housing	8001 Fruitridge Rd, Suite A	Sacramento	CA	95820	n/a	501(c)(3)	Preserving Affordable Housing for Neighborhood Renewal renovate three multifamily properties, increase energy efficiency, and upgrade neighborhoods in process 100% of the residents, the grant beneficiaries, are low-income households and will receive improvements to their homes	\$ 10,000
Asian American Senior Citizen Service Center	850 North Birch Street	Santa Ana	CA	92701	n/a	501(c)(3)	Provides social services to Asian American senior Citizens	\$ 13,000
Friends of the Chinatown Library	639 North Hill Street	Los Angeles	CA	90012	n/a	501(c)(3)	Provides library services for Chinatown's youth for after school and non Saturday school hours	\$ 13,000

Organization Name	Street Address	CITY	STATE	ZIP	If recipient is an individual, show an relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose/Use of Funds	Grant Amount
International Institute of Los Angeles	3845 Selig Pl	Los Angeles	CA	90031	n/a	501(c)(3)	Provides employment skills to newly arrived low-income immigrants	\$ 13,000
Pacific Clinics	800 S Santa Anita Ave	Arcadia	CA	91006	n/a	501(c)(3)	Provides mental behavioral healthcare services to individuals and families of all diverse backgrounds and cultures	\$ 13,000
Irvine Chinese School South Coast Chinese Cultural Association	9 Truman	Irvine	CA	92620	n/a	501(c)(3)	Provides an array of cultural services to the Chinese American community	\$ 13,000
Taiwanese American Heritage Week LA Chapter	3001 Walnut Grove Ave	Rosemead	CA	91770	n/a	501(c)(3)	Provides mental behavioral healthcare services to individuals and families of all diverse backgrounds and cultures	\$ 6,500
Taiwanese American Heritage Week OC Chapter	2901 W McArthur Blvd	Santa Ana	CA	92704	n/a	501(c)(3)	Provides mental behavioral healthcare services to individuals and families of all diverse backgrounds and cultures	\$ 6,500
CCHC - Herald Family Center	715 E Mission Rd	San Gabriel	CA	91776	n/a	501(c)(3)	Provides care to Southern California Chinese American communities through Prevention, Education and Counseling services to assist them in building healthy families	\$ 13,000
							Total:	\$ 1,478,385