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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation HOLZMAN FAMILY FOUNDATION		A Employer identification number 74-2971500	
Number and street (or P O box number if mail is not delivered to street address) 11803 KELLERS POINT		B Telephone number (see instructions) (210) 696-6072	
City or town, state or province, country, and ZIP or foreign postal code SAN ANTONIO, TX 78230		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input checked="" type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 1,542,148	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	18	18		
	4 Dividends and interest from securities.	31,094	31,094		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 <input checked="" type="checkbox"/>	14,678			
	b Gross sales price for all assets on line 6a 1,486,586				
	7 Capital gain net income (from Part IV, line 2) . . .		14,717		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	<input checked="" type="checkbox"/> 5,095			
	12 Total. Add lines 1 through 11	50,885	45,829		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	<input checked="" type="checkbox"/> 13,945	13,945		
	17 Interest	46	46		
	18 Taxes (attach schedule) (see instructions) <input checked="" type="checkbox"/>	108	108		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	<input checked="" type="checkbox"/> 52	52		
	24 Total operating and administrative expenses. Add lines 13 through 23	14,151	14,151		0
	25 Contributions, gifts, grants paid	88,000			88,000
	26 Total expenses and disbursements. Add lines 24 and 25	102,151	14,151		88,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-51,266			
	b Net investment income (if negative, enter -0-)		31,678		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	20,216	67,400	67,400
	2	Savings and temporary cash investments	149,385	339,406	339,406
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,309,351	1,019,913	1,132,863
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)	3,354	2,479	2,479
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,482,306	1,429,198	1,542,148
	17	Accounts payable and accrued expenses	1,000		
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
Net Assets or Fund Balances	23	Total liabilities (add lines 17 through 22)	1,000	0	
		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	1,481,306	1,429,198	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	1,481,306	1,429,198	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,482,306	1,429,198	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,481,306
2	Enter amount from Part I, line 27a	2	-51,266
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	1,430,040
5	Decreases not included in line 2 (itemize) ▶ _____	5	842
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,429,198

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE ATTACHED SCHEDULE	P	2012-12-31	2013-12-31
b	CAPITAL GAIN DISTRIBUTIONS PER 1099	P	2012-12-31	2013-12-31
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,477,391	0	1,471,868	5,523
b 9,194	0	0	9,194
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a 0	0	0	5,523
b 0	0	0	9,194
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	14,717
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	56,000		
2011			
2010			
2009			
2008			

2	Total of line 1, column (d).	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,470,199
5	Multiply line 4 by line 3.	5	
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	317
7	Add lines 5 and 6.	7	317
8	Enter qualifying distributions from Part XII, line 4.	8	88,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	317
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3		Add lines 1 and 2.		3	317
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	317
6		Credits/Payments			
a		2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,980	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments. Add lines 6a through 6d.		7	1,980
8		Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	1,663
11		Enter the amount of line 10 to be Credited to 2014 estimated tax 1,663 Refunded		11	

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions): TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV.</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶ALAN SEEMAN CPA Telephone no ▶(210) 344-9494 Located at ▶40 NE LOOP 410 SUITE 620 SAN ANTONIO TX ZIP +4 ▶78216			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LEONARD HOLZMAN	PRESIDENT	0	0	0
11803 KELLERS POINT	1 00			
SAN ANTONIO, TX 78230				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,232,464
b	Average of monthly cash balances.	1b	260,124
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,492,588
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	1,492,588
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	22,389
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,470,199
6	Minimum investment return. Enter 5% of line 5.	6	73,510

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	73,510
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	317
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	317
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	73,193
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	73,193
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	73,193

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	88,000
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	88,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	317
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	87,683
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				73,193
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			46,969	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 88,000				
a Applied to 2012, but not more than line 2a			46,969	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				41,031
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				32,162
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV

4942(j)(3) or 4942(j)(5)

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV

1 Information Regarding Foundation Managers:

■ List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

LEONARD RAQUEL HOLZMAN

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment


Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	88,000
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JUNVENILE DIABETES RESEARCH FOUNDATION 8700 CROWN HILL 497 SAN ANTONIO,TX 78209		PUBLIC 501 (C)(3)	TO FUND DIABETES RESEARCH	10,000
FRIENDS OF ISRAEL DEFENSE FORCES 350 FIFTH AVENUE NEWYORK,NY 10118		PUBLIC 501 (C)(3)	TO FUND IMPACT PROGRAM	32,000
FRIENDS OF ISRAEL DEFENSE FORCES 350 FIFTH AVENUE SUITE 1011 NEWYORK,NY 10118		PUBLIC 501 (C)(3)	TO FUND DIGNITY PROGRAM	4,000
UNITED COMMUNITIES OF SAN ANTONIO 501 SOUTH MAIN SUITE 101 SAN ANTONIO,TX 78204		PUBLIC 501 (C)(3)	TO FUND EDUCATIONAL PROGRAMS	10,000
ELEANOR KOLITZ ACADEMY 12500 NW MILITARY HWY 150 SAN ANTONIO,TX 78231		PUBLIC 501 (C)(3)	TO FUND EDUCATIONAL PROGRAMS	32,000
Total  3a				88,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: HOLZMAN FAMILY FOUNDATION

EIN: 74-2971500

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
ALPHA POINT #N1Y-951083(SEE ATTACHED SCHEDULE)		Purchased	2013-12	SOLD THROUGH BROKER	1,477,391	1,471,908			5,483	
ALPHA POINT #N1Y-951083 CAPITAL GAIN DISTRIBUTIONS		Purchased	2013-12		9,195				9,195	

**TY 2013 Investments Corporate
Stock Schedule****Name:** HOLZMAN FAMILY FOUNDATION**EIN:** 74-2971500

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MUTUAL FUNDS-ALPHA POINT ACCOUNT N1Y-951083	494,675	521,628
EXCHANGE TRADED PRODUCTS-ALPHA POINT N1Y-951083	525,238	611,235

TY 2013 Other Assets Schedule

Name: HOLZMAN FAMILY FOUNDATION

EIN: 74-2971500

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDENDS RECIEVABLE	3,354	499	499
PREPAID EXCISE TAX		1,980	1,980

TY 2013 Other Assets Schedule**Name:** HOLZMAN FAMILY FOUNDATION**EIN:** 74-2971500

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDENDS RECIEVABLE	3,354	499	499
PREPAID EXCISE TAX		1,980	1,980

TY 2013 Other Assets Schedule**Name:** HOLZMAN FAMILY FOUNDATION**EIN:** 74-2971500

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDENDS RECIEVABLE	3,354	499	499
PREPAID EXCISE TAX		1,980	1,980

TY 2013 Other Decreases Schedule

Name: HOLZMAN FAMILY FOUNDATION

EIN: 74-2971500

Description	Amount
ADJUSTMENT OF COST BASIS OF INVESTMENTS	842

TY 2013 Other Expenses Schedule

Name: HOLZMAN FAMILY FOUNDATION

EIN: 74-2971500

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	52	52		

TY 2013 Other Income Schedule

Name: HOLZMAN FAMILY FOUNDATION

EIN: 74-2971500

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
EXCISE TAX REFUND	2,217		
NON DIVIDEND DISTRIBUT	2,878		

TY 2013 Other Professional Fees Schedule

Name: HOLZMAN FAMILY FOUNDATION

EIN: 74-2971500

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ALPHA POINT BROKERAGE SERVICES	13,945			

TY 2013 Taxes Schedule

Name: HOLZMAN FAMILY FOUNDATION

EIN: 74-2971500

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	108	108		

Recipient's Name and Address

THE HOLZMAN FAMILY FOUNDATION
11803 KELLERS PT

Account Number: NY-951083

Recipient's Identification
Number: 74-2971500

2013

YOUR TAX INFORMATION STATEMENT

As of 02/11/2014

IMPORTANT MESSAGE: We send tax statements when information is finalized by securities issuers. If information is pending from issuers, you and members of your household may receive tax statement(s) at different times. Tax statement mailings will occur by January 31, February 18, February 28 and March 17, depending on when final information for your account is received from issuers. If you have any questions, please visit mytaxhandbook.com.

Summary of Transactions We Do Not Report To The IRS (See instructions for additional information)

Securities Purchased	Amount
Net Cost of Securities Purchased	1,173,278.32
Margin Interest Expense Charged to Your Account	45.53

Margin Interest Expense Charged to Your Account: Margin interest expense charged to your account during 2013 is summarized and reported in the section of your Tax Information Statement, Margin Interest Expense, if characterized as a deductible investment interest expense and if paid during 2013, should be reported on IRS Form 1040, Schedule A, line 14. This amount may be subject to the limitations imposed on deducting investment interest expense. See IRS Form 4952 (Investment Interest Expense Deduction) for additional information.

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715

(For individuals report details on Form 1040 Schedule D line 1b, 2, 8b or 9)

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Disposition Transaction	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other		Adjustments Wash Sale Less (Box 5) 0-Option Premium
					Basis (Box 3)	0-Option Premium	

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b)

Description (Box 8): INVESCO BALANCED-RIS K ALLOCATION FD CL Y

CUSIP: 00141V697

SELL	FIRST IN FIRST OUT	4,418	12/03/2012	01/18/2013	56,020.24	58,096.70	
SELL	FIRST IN FIRST OUT	108,580	12/07/2012	01/18/2013	1,376.79	1,359.42	
SELL	FIRST IN FIRST OUT	74,598	12/07/2012	01/18/2013	945.90	933.97	
SELL	FIRST IN FIRST OUT	49,191	12/07/2012	01/18/2013	623.75	615.87	
SALE DATE TOTAL		4,650,369	VARIOUS	01/18/2013	58,966.68	61,005.96	

Recipient's Name and Address:
THE HOLZMAN FAMILY FOUNDATION
11803 KELLERS PT

Account Number: N1Y-951083

Recipient's Identification
Number: 74-2971500

2013

YOUR TAX INFORMATION STATEMENT
As of 02/11/2014

2013 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715 (Continued)

(For individuals report details on Form 1040, Schedule D, Line 1b, 2, 8b, or 9)

Disposition transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) 0=Option Premium
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Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part 1, with Box A checked.

Covered (Box 6b) (continued)

Description (Box 8): AMERICAN EXPRESS COMPANY

SELL FIRST IN FIRST OUT 518 12/03/2012 04/22/2013 34,523.92 CUSIP: 025816109 29,059.80

Description (Box 8): APPLE INC COM

SELL FIRST IN FIRST OUT 73 12/03/2012 04/22/2013 29,192.78 CUSIP: 037833100 42,971.45

Description (Box 8): BAIDU COM INC SPONS ADR REPSTG ORD SHS CL A

SELL FIRST IN FIRST OUT 297 12/03/2012 04/22/2013 25,434.72 CUSIP: 056752108 28,980.22

Description (Box 8): CHINA MOBILE LTD SPO N ADR S A ISIN#US169 41M099

SELL FIRST IN FIRST OUT 516 12/03/2012 01/18/2013 29,136.97 CUSIP: 16941M109 28,999.20

Description (Box 8): CHURCH & DWIGHT CO INC

SELL FIRST IN FIRST OUT 525 01/18/2013 04/22/2013 33,244.20 CUSIP: 171340102 29,692.52

Description (Box 8): CLAYMORE EXCHANGE-TRADED FD TR GUGGENHEIM MULTI ASSET INCOME

SELL FIRST IN FIRST OUT 1,314 12/03/2012 08/21/2013 30,794.51 CUSIP: 18383M506 28,999.98

Description (Box 8): DANAHER CORP COM

SELL FIRST IN FIRST OUT 537 12/03/2012 01/18/2013 32,201.81 CUSIP: 235851102 28,938.39

Description (Box 8): DELAWARE DIVERSIFIED INCOME FUND CLASS I

SELL VERSUS PURCHASE 4,611 12/03/2012 05/07/2013 43,343.40 CUSIP: 246248587 43,415.57 0.23

SELL VERSUS PURCHASE 7,140 12/21/2012 05/07/2013 67.12 66.45

Recipient's Name and Address:
THE HOLZMAN FAMILY FOUNDATION
11803 KELLERS PT

Account Number: N1Y-951083

Recipient's Identification
Number: 74-2971500

2013

YOUR TAX INFORMATION STATEMENT
As of 02/11/2014

2013 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715 (Continued)

(For individuals report details on Form 1040 Schedule D (line 1b), 2, 8b, or 9)

Disposition Transaction	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments Wash Sale Loss (Box 5) 0-Option Premium
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Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) (continued)

Description (Box 8): DELAWARE DIVERSIFIED INCOME FUND CLASS I

CUSIP: 246248587 (continued)

SELL	VERSUS PURCHASE	26.165	12/24/2012	05/07/2013	245.95	243.49
SELL	VERSUS PURCHASE	18.267	12/24/2012	05/07/2013	171.71	169.99
SELL	VERSUS PURCHASE	13.918	01/22/2013	05/07/2013	130.83	129.79
SELL	VERSUS PURCHASE	14.412	02/22/2013	05/07/2013	135.47	133.37
SELL	VERSUS PURCHASE	14.259	03/22/2013	05/07/2013	134.03	132.22
SELL	VERSUS PURCHASE	14.870	04/22/2013	05/07/2013	139.78	139.56

Wash sale, 155 day(s) added to holding period

SALE DATE TOTAL 4,720.031 44,368.29 44,430.44

Description (Box 8): DODGE & COX INCOME FUND

CUSIP: 256210105

SELL	FIRST IN FIRST OUT	3.123	12/03/2012	08/21/2013	41,910.66	43,565.85
SELL	FIRST IN FIRST OUT	23.501	12/20/2012	08/21/2013	315.38	324.79
SELL	FIRST IN FIRST OUT	23.906	03/27/2013	08/21/2013	320.82	330.38
SALE DATE TOTAL		3,170.407	VARIOUS	08/21/2013	42,546.86	44,221.02

Description (Box 8): ISHARES INC MSCI BRA ZIL CAPPED INDEX FD

CUSIP: 464286400

SELL	FIRST IN FIRST OUT	560	12/03/2012	06/25/2013	24,300.39	28,968.74
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Recipient's Name and Address:
THE HOLZMAN FAMILY FOUNDATION
11803 KELLERS PT

Account Number: N1Y-951083

Recipient's Identification
Number: 74-2971500

2013

YOUR TAX INFORMATION STATEMENT
As of 02/11/2014

2013 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0045 (Continued)

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition transaction	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) 0=Option Premium
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Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) (continued)

Description (Box 8): ISHARES INC MSCI MEXICO CAPPED INVESTABLE MKT INDEX FUND CUSIP: 464286822

SELL	FIRST IN FIRST OUT	01/18/2013	05/07/2013	1,548.92	1,542.16	
SELL	FIRST IN FIRST OUT	01/18/2013	06/25/2013	22,949.15	28,125.99	
SECURITY TOTAL				24,498.07	29,668.15	

Description (Box 8): ISHARES INC MSCI MALAYSIA FREE INDEX FUND CUSIP: 464286830

SELL	FIRST IN FIRST OUT	01/18/2013	05/07/2013	4,361.66	3,970.20	
SELL	FIRST IN FIRST OUT	01/18/2013	06/25/2013	25,646.92	25,714.65	
SECURITY TOTAL				30,008.58	29,684.85	

Description (Box 8): ISHARES TR MSCI EMERGING MKTS ETF CUSIP: 464287234

SELL	FIRST IN FIRST OUT	12/03/2012	08/21/2013	25,128.60	29,043.63	
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Description (Box 8): ISHARES TR BARCLAYS 3-7 YR TREAS BD FUND CUSIP: 464288661

SELL	FIRST IN FIRST OUT	12/03/2012	01/18/2013	79,455.77	79,805.79	
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Description (Box 8): LOBO ABBETT INVESTMENT TRUST INCOME FUND CLASS F CUSIP: 543916365

SELL	FIRST IN FIRST OUT	05/07/2013	08/01/2013	79,631.08	84,660.41	
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Description (Box 8): MCDONALDS CORP CUSIP: 580135101

SELL	FIRST IN FIRST OUT	12/03/2012	04/22/2013	33,150.59	29,021.26	
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Recipient's Name and Address:
THE HOLZMAN FAMILY FOUNDATION
11803 KELLERS PT

Account Number: N1Y-951083

Recipient's Identification
Number: 74-2971500

2013

YOUR TAX INFORMATION STATEMENT
As of 02/11/2014

2013 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0045 (Continued)

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1c)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) D=Option Premium
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Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) (continued)

Description (Box 8): QUALCOMM INC							
SELL	FIRST IN FIRST OUT	454	12/03/2012	04/22/2013	29,284.84	28,958.39	

CUSIP: 747525103

Description (Box 8): T ROWE PRICE SHORT-TERM BOND							
SELL	FIRST IN FIRST OUT	8,984	12/03/2012	05/07/2013	43,343.05	43,572.40	0.34 W
SELL	FIRST IN FIRST OUT	11,478	12/31/2012	05/07/2013	55.38	55.67	
SELL	FIRST IN FIRST OUT	10,696	01/31/2013	05/07/2013	51.60	51.77	
SELL	FIRST IN FIRST OUT	11,969	02/28/2013	05/07/2013	57.74	57.93	
SELL	FIRST IN FIRST OUT	12,446	03/28/2013	05/07/2013	60.05	60.24	
SELL	FIRST IN FIRST OUT	13,494	04/30/2013	05/07/2013	65.10	65.65	

CUSIP: 77957P105

Wash sale: 155 day(s) added to holding period

SALE DATE TOTAL		9,044.083	VARIOUS	05/07/2013	43,632.92	43,863.66	
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Description (Box 8): SPDR S&P 500 ETF TR UNIT							
SELL	FIRST IN FIRST OUT	882	04/22/2013	05/07/2013	143,424.09	137,733.12	

CUSIP: 78462F103

Description (Box 8): SPDR SER TR BARCLAYS HIGH YIELD BD ETF							
SELL	FIRST IN FIRST OUT	1,618	12/03/2012	01/18/2013	66,789.65	65,333.22	

CUSIP: 78464A417

Description (Box 8): SPDR SER TR S&P DIV D ETF							
SELL	FIRST IN FIRST OUT	383	12/03/2012	05/07/2013	26,225.72	22,344.22	
SELL	FIRST IN FIRST OUT	859	12/03/2012	08/21/2013	56,782.71	48,947.26	

CUSIP: 78464A763

Recipient's Name and Address:
THE HOLZMAN FAMILY FOUNDATION
11803 KELLERS PT

Account Number: N1Y-951083

Recipient's Identification
Number: 74-2971500

2013

YOUR TAX INFORMATION STATEMENT
As of 02/11/2014

2013 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0075 (Continued)

(For individuals report details on Form 1040 Schedule D Line 15, 2, 8a, or 9)

Disposition Transaction	Disposition Method (Box 1e)	Quantity (Box 1c)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) 0=Option Premium
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Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) (continued)

Description (Box 8): SPDR SER TR S&P DIV D ETF

CUSIP: 78464A763 (continued)

SECURITY TOTAL

83,008.43 71,291.48

Description (Box 8): SELECT SECTOR SPDR T R CONSUMER STAPLES

CUSIP: 81369Y308

SELL FIRST IN FIRST OUT

12/03/2012 05/07/2013 120 4,948.20 4,321.20

Description (Box 8): SELECT SECTOR SPDR T R CONSUMER DISCRETIO NARY TRANSN TO 06/24

CUSIP: 81369Y407

SELL FIRST IN FIRST-OUT

12/03/2012 05/07/2013 161 9,040.91 7,650.72

SELL FIRST IN FIRST-OUT

12/03/2012 08/21/2013 278 16,108.67 13,210.56

SECURITY TOTAL

25,149.58 20,861.28

Description (Box 8): SELECT SECTOR SPDR T R ENERGY

CUSIP: 81369Y506

SELL FIRST IN FIRST OUT

12/03/2012 01/18/2013 408 30,507.51 29,012.88

Description (Box 8): TOW EMERGING MARKETS INCOME FD CL I

CUSIP: 87234N765

SELL VERSUS PURCHASE

01/23/2013 05/07/2013 495 353 4,656.32 4,684.99 4.38 W

SELL VERSUS PURCHASE

01/23/2013 07/03/2013 7,351 647 63,077.13 69,412.88 34.09 W

SELL VERSUS PURCHASE

01/31/2013 07/03/2013 37,686 323.35 351.62

SELL VERSUS PURCHASE

02/28/2013 07/03/2013 38,152 327.34 353.57

SELL VERSUS PURCHASE

03/28/2013 07/03/2013 38,711 332.14 355.60

SELL VERSUS PURCHASE

04/30/2013 07/03/2013 38,359 329.12 359.87

Recipient's Name and Address
THE HOLZMAN FAMILY FOUNDATION
11803 KELLERS PT

Account Number: N1Y-951083

Recipient's Identification
Number: 74-2971500

2013

YOUR TAX INFORMATION STATEMENT
As of 02/11/2014

2013 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0713 (Continued)

(For individuals report details on Form 1040, Schedule D Line 1b, 2, 3b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium
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Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) (continued)

Description (Box 8): TCW EMERGING MARKETS INCOME FD CLI

CUSIP: 87234N765 (continued)

Wash sale: 104 day(s) added to holding period.

SELL	VERSUS PURCHASE	37,356	05/31/2013	07/03/2013	320.51	339.56	
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Wash sale: 104 day(s) added to holding period.

SELL	VERSUS PURCHASE	39,556	06/28/2013	07/03/2013	339.40	373.47	
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Wash sale: 161 day(s) added to holding period.

SALE DATE TOTAL		7,581,467	VARIOUS	07/03/2013	65,048.99	71,546.57	
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SECURITY TOTAL

69,705.31 76,231.56

Description (Box 8): TCW TOTAL RETURN BON D FUND CLASS I

CUSIP: 87234N880

SELL	VERSUS PURCHASE	814,826	12/03/2012	05/07/2013	8,466.04	8,355.29	
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SELL	VERSUS PURCHASE	3,419,174	12/03/2012	08/21/2013	33,815.63	34,836.63	
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SELL	VERSUS PURCHASE	18,516	12/28/2012	08/21/2013	183.12	188.65	
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SELL	VERSUS PURCHASE	465,544	01/25/2013	08/21/2013	4,604.23	4,771.18	
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SALE DATE TOTAL

3,903,234 38,602.98 39,796.46

SECURITY TOTAL

47,069.02 48,131.75

Description (Box 8): TEMPLETON GLOBAL BON D FUND ADVISOR CLASS

CUSIP: 880208400

SELL	FIRST IN FIRST OUT	379,414	01/25/2013	05/07/2013	5,220.73	5,080.35	
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SELL	FIRST IN FIRST OUT	4,068,586	01/25/2013	08/21/2013	51,508.30	54,478.37	
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Recipient's Name and Address
THE HOLZMAN FAMILY FOUNDATION
11803 KELLERS PT

Account Number: N1Y-951083

Recipient's Identification
Number 74-2971500

2013

YOUR TAX INFORMATION STATEMENT
As of 02/11/2014

2013 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715 (Continued)

(For individuals report details on Form 1040, Schedule D, Line 1b, 2, 3b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments: Wash Sale Loss (Box 5) 0-Option Premium
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Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) (continued)

Description (Box 8): TEMPLETON GLOBAL BOND FUND ADVISOR CLASS

CUSIP: 880208400 (continued)

SELL	FIRST IN FIRST OUT	14.098	02/19/2013	08/21/2013	178.48	189.48	
SELL	FIRST IN FIRST OUT	14.243	03/18/2013	08/21/2013	180.32	191.42	
SELL	FIRST IN FIRST OUT	14.160	04/16/2013	08/21/2013	179.26	191.59	
SALE DATE TOTAL		4,111.087	VARIOUS	08/21/2013	52,046.36	55,050.86	
SECURITY TOTAL					57,267.09	60,131.21	

Description (Box 8): THERMO FISHER SCIENTIFIC INC

CUSIP: 883556102

SELL	FIRST IN FIRST OUT	681	12/03/2012	04/22/2013	54,410.68	43,502.28	
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Description (Box 8): THORNBERG STRATEGIC INCOME FUND CLASS I

CUSIP: 885215194

SELL	FIRST IN FIRST OUT	382.875	12/03/2012	05/07/2013	4,797.42	4,648.10	
SELL	FIRST IN FIRST OUT	4,402.125	12/03/2012	08/21/2013	52,737.45	53,441.80	
SELL	FIRST IN FIRST OUT	20.118	12/31/2012	08/21/2013	241.01	244.83	
SELL	FIRST IN FIRST OUT	22.708	01/31/2013	08/21/2013	272.04	279.99	
SELL	FIRST IN FIRST OUT	20.816	02/28/2013	08/21/2013	249.38	256.25	
SELL	FIRST IN FIRST OUT	20.860	03/28/2013	08/21/2013	249.90	258.87	
SELL	FIRST IN FIRST OUT	20.632	04/30/2013	08/21/2013	247.18	258.31	
SALE DATE TOTAL		4,507.259	VARIOUS	08/21/2013	53,996.96	54,740.05	

Recipient's Name and Address:
THE HOLZMAN FAMILY FOUNDATION
11803 KELLERS PT

Account Number: N1Y-951083

Recipient's Identification
Number: 74-2971500

2013
YOUR TAX INFORMATION STATEMENT
As of 02/11/2014

2013 Form 1099-B **Proceeds from Broker and Barter Exchange Transactions** **OMB No. 1545-0715 (Continued)**
(For individuals report details on Form 1040 Schedule D, Line 1b, 2, 3b or 8)

Disposition Transaction	Quantity (Box 1c)	Disposition Method	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) 0=Option Premium
Short-Term Transactions for Which Basis is Reported to the IRS - Report on Form 8949, Part I, with Box A checked. Covered (Box 6b) (continued)							
Description (Box 8): THORNBURG STRATEGIC INCOME FUND CLASS I			CUSIP: 885215194 (continued)				
SECURITY TOTAL			58,794.38 59,388.15				
Description (Box 8): THORNBURG LTD TERM I INCOME INSTITUTIONAL			CUSIP: 885215681				
SELL	3,571.004	FIRST IN FIRST OUT	12/03/2012	05/07/2013	49,244.14	49,101.31	
SELL	2,993.502	FIRST IN FIRST OUT	12/03/2012	08/21/2013	39,813.57	41,160.65	
SECURITY TOTAL			89,057.71 90,261.96				
Description (Box 8): VANGUARD SPECIALIZED PORTFOLIOS DIVIDEND APPRECIATION INDEX			CUSIP: 921908844				
SELL	247	FIRST IN FIRST OUT	12/03/2012	05/07/2013	16,767.46	14,733.55	
Short-Term Covered Total			1,477,390.69 1,471,907.50				
Covered Total			1,477,390.69 1,471,907.50				
Total			1,477,390.69 1,471,907.50				

IRS Form 1099-B - Proceeds from Broker and Barter Exchange Transactions

The amounts in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales, redemptions, tender offers, return of principal distributions, the option premium portion of reverse convertibles and bond maturities. Short-term and long-term transactions are segregated in your 1099-B form in a format comparable to IRS Form 8949, for disposers of covered securities.

Type of Gain or (Loss) (Box 1c). The first two section headings within the 1099-B indicate the type of gain or (loss) for the transactions, short-term or long-term.

Covered (b) or Noncovered (a) Security (Box 6). The section headings within the 1099-B indicate whether your security transaction is or is not a covered security under the IRS cost basis reporting program.

Description (Box 8). Shows a brief description of the item or service for which the proceeds are being reported.

CUSIP. Broker transactions may show the Committee on Uniform Security Identification Procedures (CUSIP) number of the item reported.

Disposition Transaction. This column will denote the type of transaction, for example "SELL."

Disposition Method. The method used to select the lot will be displayed for covered transactions as, for example, first-in, first-out (FIFO). Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method.

Quantity (Box 1a). The number of shares included in the sale or exchange for the lot reported. If fractional shares are part of the disposition, those shares will be displayed to three decimal places.