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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013Department of the Treasury
Internal Revenue Service

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Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation JOHN J. SULLIVAN, JR. FOUNDATION JOHN HOULEHAN & MARK HENKE-TRUSTEES		A Employer identification number 74-2815203
Number and street (or P O box number if mail is not delivered to street address) 11914 SUMMIT	Room/suite	B Telephone number (see instructions) 816-360-8639
City or town, state or province, country, and ZIP or foreign postal code KANSAS CITY MO 64145		C If exemption application is pending, check here ▶ ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 6,304,996 (Part I, column (d) must be on cash basis)	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	75,071	75,071		
	4 Dividends and interest from securities	112,401	112,401		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	254,145			
	b Gross sales price for all assets on line 6a	1,205,323			
	7 Capital gain net income (from Part IV, line 2)		254,145		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	441,617	441,617	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	61,176	30,588		30,588
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) SEE STMT 1	600	300		300
	b Accounting fees (attach schedule) STMT 2	4,250	2,125		2,125
	c Other professional fees (attach schedule) STMT 3	29,122	26,122		3,000
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 4	8,195	3,760		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	1,768			1,768
	22 Printing and publications				
	23 Other expenses (att sch) STMT 5	4,590	2,005		2,585
	24 Total operating and administrative expenses. Add lines 13 through 23	109,701	64,900	0	40,366
	25 Contributions, gifts, grants paid	380,579			380,579
26 Total expenses and disbursements. Add lines 24 and 25	490,280	64,900	0	420,945	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-48,663				
b Net investment income (if negative, enter -0-)		376,717			
c Adjusted net income (if negative, enter -0-)			0		

914

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash – non-interest-bearing				
	2	Savings and temporary cash investments		195,456	107,673	107,673
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (att. schedule) ▶ Less: allowance for doubtful accounts ▶	0			
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments – U.S. and state government obligations (attach schedule) STMT 6		753,267	758,593	786,128
	b	Investments – corporate stock (attach schedule) SEE STMT 7		3,016,389	3,105,696	4,249,681
	c	Investments – corporate bonds (attach schedule) SEE STMT 8		1,132,792	1,072,445	1,131,699
	11	Investments – land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach sch.) ▶				
	12	Investments – mortgage loans				
	13	Investments – other (attach schedule) SEE STATEMENT 9				24,815
	14	Land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach sch.) ▶				
	15	Other assets (describe ▶ SEE STATEMENT 10)		2,751	5,000	5,000
	16	Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)		5,100,655	5,049,407	6,304,996
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒				
	27	Capital stock, trust principal, or current funds		5,100,655	5,049,407	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		5,100,655	5,049,407	
	31	Total liabilities and net assets/fund balances (see instructions)		5,100,655	5,049,407	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,100,655
2	Enter amount from Part I, line 27a	2	-48,663
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	5,051,992
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 11	5	2,585
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	5,049,407

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE WORKSHEET				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	254,145
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	-40,672

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	397,182	5,790,783	0.068589
2011	393,704	5,985,136	0.065780
2010	385,452	5,875,020	0.065609
2009	382,213	5,532,990	0.069079
2008	352,838	6,111,388	0.057735

2 Total of line 1, column (d)	2	0.326792
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.065358
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	6,053,941
5 Multiply line 4 by line 3	5	395,673
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,767
7 Add lines 5 and 6	7	399,440
8 Enter qualifying distributions from Part XII, line 4	8	420,945

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)	1	3,767
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	3,767
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	3,767
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	5,000
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	5,000
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	1
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,232
11	Enter the amount of line 10 to be Credited to 2014 estimated tax 1,232 Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers. \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► JOHN G. HOULEHAN 11914 SUMMIT Located at ► KANSAS CITY MO ZIP+4 ► 64145	Telephone no ► 816-360-8639		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes	☐ No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		
	► ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		
	N/A	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► 20 , 20 , 20 , 20	☐ Yes	☒ No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions)		
	N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)		
	N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		
		4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		
		4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No **6b** **X**

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No **7b** **N/A**

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN HOULEHAN 11914 SUMMIT MO 64145	TRUSTEE 8.00	30,588	0	0
MARK HENKE 6717 WILLOW LANE KS 66208-2267	TRUSTEE 8.00	30,588	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE

2

3

4

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 N/A

2

All other program-related investments See instructions

3

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	6,016,606
b	Average of monthly cash balances	1b	124,527
c	Fair market value of all other assets (see instructions)	1c	5,000
d	Total (add lines 1a, b, and c)	1d	6,146,133
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	6,146,133
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	92,192
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,053,941
6	Minimum investment return. Enter 5% of line 5	6	302,697

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	302,697
2a	Tax on investment income for 2013 from Part VI, line 5	2a	3,767
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,767
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	298,930
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	298,930
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	298,930

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	1a	420,945
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1b	
b	Program-related investments – total from Part IX-B		
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	420,945
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	3,767
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	417,178

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				298,930
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years. 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008				50,390
b From 2009				108,415
c From 2010				97,355
d From 2011				98,945
e From 2012				116,513
f Total of lines 3a through e	471,618			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 420,945				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2013 distributable amount				298,930
e Remaining amount distributed out of corpus	122,015			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	593,633			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	50,390			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	543,243			
10 Analysis of line 9				
a Excess from 2009				108,415
b Excess from 2010				97,355
c Excess from 2011				98,945
d Excess from 2012				116,513
e Excess from 2013				122,015

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
N/A

b The form in which applications should be submitted and information and materials they should include
N/A

c Any submission deadlines
N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.
N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year SEE STATEMENT 12					380,579
Total					▶ 3a 380,579
b Approved for future payment N/A					
Total					▶ 3b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	75,071	
4	Dividends and interest from securities			14	112,401	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			14	254,145	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0		441,617	0
13	Total. Add line 12, columns (b), (d), and (e)				13 441,617	441,617

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1) Cash
 - (2) Other assets
- b** Other transactions
- (1) Sales of assets to a noncharitable exempt organization
 - (2) Purchases of assets from a noncharitable exempt organization
 - (3) Rental of facilities, equipment, or other assets
 - (4) Reimbursement arrangements
 - (5) Loans or loan guarantees
 - (6) Performance of services or membership or fundraising solicitations
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes

**Sign
Here**

John S. Hurlburt
Signature of officer or trustee

Date _____

CO-TRUSTEE

Title

**Paid
Preparer
Use Only**

PnnType preparer's name

Preparer's signature

Date _____

Check ☐ if
self-employed

KELLY N. BOAN, CPA

KELLY N. BOAN, CPA

05/14/14

Firm's name ▶ **KMB-CPAS, LLC**

PTIN P01386178

Firm's address ▶ 8717 W. 110TH STREET, SUITE 540
OVERLAND PARK, KS 66210-2126

Firm's EIN ▶ 27-4417132

Phone no **913-912-7052**

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2013

For calendar year 2013, or tax year beginning , and ending

Name

**JOHN J. SULLIVAN, JR. FOUNDATION
JOHN HOULEHAN & MARK HENKE-TRUSTEES**

Employer Identification Number

74-2815203

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) SEE STMT ATTACHED-COUNTRY CLUB TRUST	P	VARIOUS	VARIOUS
(2) SEE STMT ATTACHED-COUNTRY CLUB TRUST	P	VARIOUS	VARIOUS
(3) SEE STMT ATTACHED-COUNTRY CLUB TRUST	P	VARIOUS	VARIOUS
(4) SPDR GOLD TR GOLD SHS - 100 SHS	P	VARIOUS	VARIOUS
(5) SPDR GOLD TR GOLD SHS	P	VARIOUS	VARIOUS
(6) COUNTRY CLUB TRUST CO. - CGD			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 71,908		107,359	-35,451
(2) 350,705		287,160	63,545
(3) 768,328		539,337	228,991
(4) 11,781		17,322	-5,541
(5) 320			320
(6) 2,281			2,281
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-35,451
(2)			63,545
(3)			228,991
(4)			-5,541
(5)			320
(6)			2,281
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16a - Legal Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
R. REUTER-FDTN LEGAL SERVICES	\$ 600	\$ 300	\$	\$ 300
TOTAL	\$ 600	\$ 300	\$ 0	\$ 300

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
KMB - CPAS, LLC	\$	\$	\$	\$
-ACCOUNTING, TAX & CONSULTING	4,250	2,125		2,125
TOTAL	\$ 4,250	\$ 2,125	\$ 0	\$ 2,125

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
COUNTRY CLUB TRUST CO	\$	\$	\$	\$
-INV AGENCY / TRUST ADM FEES	26,122	26,122		
ANNE E. HOULEHAN				
-ADMINISTRATIVE SERVICES	2,400			2,400
JOHN E. HOULEHAN				
-ADMINISTRATIVE SERVICES	600			600
TOTAL	\$ 29,122	\$ 26,122	\$ 0	\$ 3,000

Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAXES	\$ 3,760	\$ 3,760	\$	\$
FEDERAL EXCISE TAX ON INV INCOME	4,435			
TOTAL	\$ 8,195	\$ 3,760	\$ 0	\$ 0

Federal Statements

Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXPENSES				
INSURANCE	1,529	626		903
FOUNDATION ASSOCIATION DUES	725			725
INVESTMENT EXPENSES	1,379	1,379		
CONTINUING EDUC - KC ESTATE	345			345
SUBSCRIPTN-CHRONICLE OF PHILA	132			132
ENGRAVED CRYSTAL BOWL AWARD C	480			480
TOTAL	4,590	2,005	0	2,585

Statement 6 - Form 990-PF, Part II, Line 10a - US and State Government Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
F H L B DEBENTURES	\$ 39,778	\$ 39,778	COST	\$ 43,521
F N M A DEBENTURES	21,145	18,085	COST	18,210
FPL GROUP CAP TR PFD TR SECS 5.875%	51,682	51,682	COST	48,400
G N M A DEBENTURES	148,846	109,638	COST	119,189
UNITED STATES TREASURY NOTE 2.0%	70,178	70,846	COST	69,516
COLUMBIA MO SPL OBLIG 4.70% BD	50,573	50,573	COST	53,409
JOHNSON COUNTY KS USD 4.50% BD	50,000	50,000	COST	52,290
MERAMEC VY SCH DIST NO R 4.791% BD	75,000	75,000	COST	78,877
ST CHARLES CO MO SPL OBLIG 5.155% BD	51,347	51,347	COST	54,849
ST CHARLES CO MO SPL OBLIG 5.805% BD	40,885	40,885	COST	42,892
STANFORD LELAND JR UNIV BD 4.25% BD	115,584	115,584	COST	124,593
DALLAS TX GO PENSION BONDS	38,249	38,249	COST	34,106
LAREDO TX CTFB OBLIG 2012 B 2.95% BD		46,926	COST	46,276
TOTAL	\$ 753,267	\$ 758,593		\$ 786,128

Federal Statements

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE STATEMENTS ATTACHED - EQUITIES	\$ 3,016,389	\$ 3,105,696	COST	\$ 4,249,681
TOTAL	\$ 3,016,389	\$ 3,105,696		\$ 4,249,681

Statement 8 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE STMTS ATTACHED - CORP SECS & BDS	\$ 1,132,792	\$ 1,072,445	COST	\$ 1,131,699
TOTAL	\$ 1,132,792	\$ 1,072,445		\$ 1,131,699

Statement 9 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
ACCRUED INCOME	\$	\$	COST	\$ 24,815
TOTAL	\$ 0	\$ 0		\$ 24,815

Federal Statements

Statement 10 - Form 990-PF, Part II, Line 15 - Other Assets

Description	Beginning of Year	End of Year	Fair Market Value
PREPAID FEDERAL EXCISE TAX/INV INC	\$ 2,751	\$ 5,000	\$ 5,000
TOTAL	\$ 2,751	\$ 5,000	\$ 5,000

Statement 11 - Form 990-PF, Part III, Line 5 - Other Decreases

Description	Amount
BOOK/TAX REPORTING DIFF ON ASSET SALES -BASIS ADJS	\$ 2,585
TOTAL	\$ 2,585

Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000

Name of Manager	Amount
NONE	\$
TOTAL	\$ 0

Form 990-PF, Part XV, Line 1b - Managers Who Own 10% or More Stock

Name of Manager	Amount
NONE	\$
TOTAL	\$ 0

Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

Description
N/A

Form 990-PF, Part XV, Line 2c - Submission Deadlines

Description
N/A

Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

Description
N/A

Federal Statements

Statement 12 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year

Name		Address		Relationship		Status		Purpose		Amount
ABNORMAL SOCIETY		KANSAS CITY MO 64148		N/A		P.O. BOX 480361		PUB. CHARITY FINCL SUPPORT OF CHARITABLE ORG.		1,000
ACTION INSTITUTE		KANSAS CITY MO 64148		N/A		161 OTTAWA AVE NW STE 301		PUB. CHARITY FINCL SUPPORT OF GENERAL USE FD		1,000
ADVICE & AID PREGNANCY CT		SHAWNEE KS 66214		N/A		11031 W. 75TH TERRACE		PUB. CHARITY FINCL SUPPORT OF GENERAL USE FD		1,000
ARCHBISHOP O'HARA HIGH SCHOOL		KANSAS CITY MO 64138		N/A		9001 JAMES A. REED RD.		FINCL SUPPORT OF RELIGIOUS SCHOOL		2,000
ARTHRITIS FOUNDATION		PRAIRIE VILLAGE KS 66208		N/A		1900 W. 75TH ST., #200		PUB. CHARITY FINCL SUPPORT OF PUBLIC CHARITY		2,000
ANGEL FLIGHT CENTRAL		KANSAS CITY MO 64116		N/A		10 RICHARDS RD.		FINCL SUPPORT OF CHARITABLE ORG.		1,500
AVILA UNIVERSITY		KANSAS CITY MO 64145		N/A		11901 WORNALL ROAD		FINCL SUPPORT/GEN FD		950
BACCHUS FOUNDATION		KANSAS CITY MO 64105		N/A		1055 BROADWAY		FINCL SUPPORT OF CHARITABLE FUND		900
BAGS OF FUND FOUNDATION		KANSAS CITY MO 64113		N/A		6320 BROOKSIDE PLAZA		FINCL SUPPORT OF PUBLIC CHARITY		1,000
BENEDICTINE COLLEGE		ATCHISON KS 66002		N/A		1020 NORTH 2ND ST.		FINCL SUPPORT OF EDUCATIONAL ORG.		3,550
BENILDE HALL PROGRAM		KANSAS CITY MO 64108		N/A		1600 PASEO BLVD.		FINCL SUPPORT OF CHARITABLE ORG.		500
BISHOP MIEGE HIGH SCHOOL		SHAWNEE MISSION KS 66205		N/A		5041 REINHARDT DR.		FINCL SUPPORT OF SCHOOL/AUCT/MAINT.		5,650
BISHOP MIEGE HIGH SCHOOL		SHAWNEE MISSION KS 66205		N/A		5041 REINHARDT DR.		FINCL SUP OF CAPTL CAMP/ATHLETIC FD		40,750
BISHOP SULLIVAN CTR		KANSAS CITY MO 64126		N/A		6435 E TRUMAN ROAD		FINCL SUPPORT OF CHARITABLE ORG.		3,000
BISHOP WARD HIGH SCHOOL		KANSAS CITY KS 66102		N/A		708 N. 18TH STREET		FINCL SUPPORT OF EDUCATIONAL ORG.		11,450
BOYS & GIRLS CLUBS OF KC		KANSAS CITY MO 64127		N/A		2405 ELMWOOD AVE.		FINCL SUPPORT OF PUBLIC CHARITY		2,000
BRAIN INJURY ASSOC.		KANSAS CITY MO 64105		N/A		1100 PENNSYLVANIA		FINCL SUP FOR MED RESEARCH/TREATMENT		2,000
BRIAN ANSELMO FOUNDATION		KANSAS CITY MO 64145		N/A		901 W. 121ST ST.		FINCL SUPPORT OF CHARITABLE ORG.		3,500
CARITAS CLINICS, INC.		KANSAS CITY KS 66101		N/A		636 TAUROMEE		FINCL SUPPORT OF PUBLIC CHARITY		1,000

Federal Statements

**Statement 12 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)**

Name		Address		Relationship	Status	Purpose	Amount
CATHOLIC EDUCATION FDTN. SHAWNEE KS 66227	N/A	7726 HEDGE LANE TERRACE	EDUC. ORG.	FINCL SUPPORT OF EDUC. ORG.	5,000		
CATHOLIC CHARITIES KANSAS CITY KS 66102	N/A	2220 CENTRAL AVENUE	PUB. CHARITY	FINCL SUP OF CHAR FUNDRAISER EVENTS	5,000		
CATHOLIC CHARITIES OF KC-ST JOSEPH KANSAS CITY MO 64105	N/A	20 W. 9TH ST., #400	PUB. CHARITY	FINCL SUPPORT OF PUBLIC CHARITY	5,000		
CATHOLIC RADIO NETWORK EXCELSIOR SPRINGS MO 6402	N/A	201 N. INDUSTRIAL PARK RD	RELIG. ORG.	FINCL SUPPORT OF RELIGIOUS RADIO NET	2,000		
CHRISTMAS IN OCTOBER KANSAS CITY MO 64111	N/A	4310 MADISON AVE.	PUB. CHARITY	FINCL SUPPORT OF PUBLIC CHARITY	1,000		
CHURCH OF THE ASCENSION OVERLAND PARK KS 66213	N/A	9510 W. 127TH ST.	CHURCH	FINCL SUPPORT OF CHURCH	1,900		
CONCEPTION ABBEY SEMINARY CONCEPTION MO 64433	N/A	P.O. BOX 501	EDUC. ORG.	FINCL SUPPORT OF GENERAL USE FD	2,000		
COMMUNITY-GOOD SHEPHERD KANSAS CITY MO 64134	N/A	10101 JAMES A REED RD	PUB. CHARITY	FINCL SUPPORT OF CHARITABLE ORG	9,000		
CRISTO REY KANSAS CITY KANSAS CITY MO 64111	N/A	211 W. LINWOOD BLVD.	EDUC. ORG.	FINCL SUPPORT OF EDUCATIONAL ORG.	6,000		
CURE OF ARS LEAWOOD KS 66206	N/A	9401 MISSION RD.	CHURCH	FINCL SUPPORT OF CHURCH ORG.	20,000		
DELASALLE EDUCATION CTR. KANSAS CITY MO 64109	N/A	3740 FOREST	EDUC. ORG.	FINCL SUPPORT OF EDUCATIONAL ORG.	5,000		
GILLIS CENTER KANSAS CITY MO 64114	N/A	8150 WORNALL RD.	PUB. CHARITY	FINCL SUPPORT OF PUBLIC CHARITY	1,000		
IRISH MUSEUM AND CULTURAL CTR KANSAS CITY MO 64108	N/A	30 W. PERSHING RD.	PUB. CHARITY	FINCL SUPPORT OF MUSEUM	5,000		
HEARTLAND HABITAT FOR HUMANITY KANSAS CITY KS 66115	N/A	1401 FAIRFAX TRAFFICWAY	PUB. CHARITY	FINCL SUPPORT OF PUBLIC CHARITY	4,000		
HOLY TRINITY CATHOLIC CHURCH LENEXA KS 66215	N/A	9150 PFLUMM RD.	CHURCH	FINCL SUPPORT OF CHURCH	950		
FIRE FOUNDATION KANSAS CITY MO 64113	N/A	P.O. BOX 22515	RELIG. ORG.	FINCL SUPPORT OF RELIGIOUS ORG.	1,250		
KC HEALTHY KIDS KANSAS CITY KS 66101	N/A	650 MINNESOTA AVE., #2	PUB. CHARITY	FINCL SUPPORT OF PUBLIC CHARITY	2,000		
KIDS TLC OLATHE KS 66062	N/A	480 ROGERS ROAD	PUB. CHARITY	FINCL SUPPORT OF PUBLIC CHARITY	1,000		

Federal Statements

**Statement 12 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)**

Name		Address		Relationship		Status	Purpose	Amount
LADIES OF CHARITY		P.O. BOX 480753						
KANSAS CITY MO 64148	N/A	PUB. CHARITY	FINCL SUPPORT OF PUBLIC CHARITY					1,000
LAKEMARY CENTER		100 LAKEMARY DRIVE						
PAOLA KS 66071	N/A	PUB. CHARITY	FINCL SUPPORT OF HOMES FOR CHILDREN					6,000
L'ARCHE HEARTLAND		8001 CONSER						
OVERLAND PARK KS 66204	N/A	PUB. CHARITY	FINCL SUPPORT OF CHARITABLE ORG.					1,000
LEUKEMIA & LYMPHOMA SOCIETY		6811 W. 63RD STREET						
SHAWNEE MISSION KS 66202	N/A	PUB. CHARITY	FINCL SUPPORT OF PUBLIC CHARITY					1,000
LOS OLIVOS-CIS		1026 SHERWOOD RD.						
SAINT PAUL MN 55126	N/A	PUB. CHARITY	FINCL SUPPORT OF PUBLIC CHARITY					500
LITTLE SISTERS OF THE LAMB		36 S. BOEKE ST.						
KANSAS CITY KS 66101	N/A	RELIG. ORG.	FINCL SUPPORT OF RELIGIOUS ORG.					5,000
MT. ST. SCHOLASTICA		801 S. 8TH STREET						
ATCHISON KS 66002	N/A	RELIG. ORG.	FINCL SUPPORT OF RELIGIOUS ORG.					950
NOTRE DAME DE SION		10631 WORNALL						
KANSAS CITY MO 64114	N/A	EDUC. ORG.	FINCL SUPPORT & CAPITAL CAMPAIGN					31,050
NOTRE DAME UNIVERSITY		100 ECK CENTER						
NOTRE DAME IN 46556	N/A	EDUC. ORG.	FINCL SUPPORT OF EDUCATIONAL ORG.					5,000
OPSF STUDENT HEALTH EMERGENCY	FD	315 N. LINDENWOOD						
OLATHE KS 66101	N/A	PUB. CHARITY	FINCL SUPPORT OF PUBLIC CHARITY					1,250
OUR LADY OF PERPETUAL HELP		3333 BROADWAY						
KANSAS CITY MO 64111	N/A	RELIG. ORG.	FINCL SUPPORT OF RELIGIOUS ORG					15,000
PLAZA ACADEMY		4050 PENNSYLVANIA AVE						
KANSAS CITY MO 64111	N/A	EDUC. ORG.	FINCL SUPPORT OF EDUCATIONAL ORG.					1,000
RAYTOWN EMERG ASSIST PROG		9300 E. 75TH						
RAYTOWN MO 64138	N/A	PUB CHARITY	FINCL SUPPORT OF ASSISTANCE PROGRAM					2,000
ROBERT MOHART EDUC FDTN		6407 MORNINGSIDE DR						
KANSAS CITY MO 64113	N/A	EDUC. ORG.	FINCL SUPPORT OF EDUCATIONAL ORG.					500
ROCKHURST UNIVERSITY		1100 ROCKHURST ROAD						
KANSAS CITY MO 64110	N/A	EDUC. ORG.	FINCL SUPPORT OF EDUCATIONAL ORG.					3,500
ROCKHURST HIGH SCHOOL		9301 STATE LINE RD.						
KANSAS CITY MO 64110	N/A	EDUC. ORG.	FINCL SUPPORT OF EDUCATIONAL ORG.					52,479
ROTARY CLUB OF LEAWOOD		5001 TOWN CENTER PLAZA						
LEAWOOD KS 66206	N/A	PUB. CHARITY	FINCL SUPPORT OF CHARITABLE ORG.					1,000
SCHOOL OF FAITH		11400 W. 103RD ST.						
OVERLAND PARK KS 66214	N/A	RELIG. ORG.	FINCL SUPPORT OF RELIGIOUS SCHOOL					1,000

Federal Statements

Statement 12 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)

Name		Address		Relationship	Status	Purpose	Amount
SETON CENTER		2816 E. 23RD ST.					
KANSAS CITY MO 64127	N/A	PUB. CHARITY				FINCL SUPPORT OF PUBLIC CHARITY	4,000
SOC OF OUR LADY MOST HOLY TRINITY		3738 TRACY AVE.					
KANSAS CITY MO 64109	N/A	RELIG. ORG.				FINCL SUPPORT OF RELIGIOUS ORG.	10,000
ST. AGNES SCHOOL		5130 MISSION RD.					
FAIRWAY KS 66205	N/A	EDUC. ORG.				FINCL SUPPORT OF AUCTION / EDUC ORG	500
ST. ANNE'S CENTER CAPITAL CAMPAIGN		7231 MISSION RD.					
PRAIRIE VILLAGE KS 66208	N/A	CHURCH				BUILDING CAPITAL CAMPAIGN	2,000
ST. BENEDICT'S ABBEY		1020 N. 2ND ST.					
ATCHISON KS 66002	N/A	RELIG. ORG.				FINCL SUPPORT OF RELIGIOUS ORG.	3,000
ST. JAMES FOOD KITCHEN		P.O. BOX 515					
ST. JAMES MO 65559	N/A	PUB. CHARITY				FINCL SUPPORT OF CHARITABLE ORG.	1,250
JAMES CATHOLIC SCHOOL		309 S. STEWART RD.					
LIBERTY MO 64068	N/A	EDUC. ORG.				FINCL SUPPORT OF EDUC. ORGANIZATION	950
ST. JOSEPH CATHOLIC CHURCH		11525 JOHNSON DRIVE				FINCL SUPPORT OF CHUCH	750
SHAWNEE KS 66203	N/A	CHURCH					
ST. JUDE'S CHILDREN'S RESEARCH		262 DANNY THOMAS PLACE				FINCL /RESEARCH SUPPORT FOR HOSPITAL	500
MEMPHIS TN 38105	N/A	HOSPITAL					
LAWRENCE CATHOLIC CTR		1631 CRESCENT RD.					
LAWRENCE KS 66044	N/A	PUB. CHARITY				FINCL SUPPORT OF CHARITABLE ORG.	2,000
ST. PAUL'S OUTREACH		110 CRUSADER AVE. W.					
WEST ST. PAUL MN 55118	N/A	RELIG. ORG.				FINCL SUPPORT OF RELIGIOUS ORG.	2,000
ST. PETER'S CHURCH & SCHOOL		6415 HOLMES ST.					
KANSAS CITY MO 64131	N/A	RELIG ORG.				FINCL SUPPORT OF CHURCH & SCHOOL	8,950
ST. TERESA'S ACADEMY		5600 MAIN					
KANSAS CITY MO 64113	N/A	EDUC. ORG.				FINCL SUP OF CHAR AUCTION/ GEN FD	1,050
ST. THOMAS AQUINAS		801 S. 8TH ST.					
ATCHISON KS 66002	N/A	SCHOOL				FINCL SUPPORT OF EDUCATIONAL ORG.	1,050
ST. THOMAS MORE CHURCH		11822 HOLMES RD.					
KANSAS CITY MO 64131	N/A	CHURCH				FINCL SUPPORT OF CHURCH	1,000
ST. THOMAS MORE SCHOOL		11822 HOLMES RD.					
KANSAS CITY MO 64131	N/A	RELIG SCHOOL				FINCL SUPPORT OF RELIGIOUS SCHOOL	500
STRONG CITY SCHOOL FUND		20 W. NINTH ST.					
KANSAS CITY MO 64104	N/A	EDUC. ORG.				FINCL SUPPORT OF EDUCATIONAL ORG.	5,000
SISTERS OF ST. JOSEPH CARONDELET		6400 MINNESOTA AVE.					
ST. LOUIS MO 63111	N/A	RELIG. ORG.				FINCL SUPPORT OF RELIGIOUS ORG.	1,000

Federal Statements

**Statement 12 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)**

Name		Address		Relationship	Status	Purpose	Amount
SISTER SERVANTS OF MARY KANSAS CITY KS 66102 SUNFLOWER HOUSE				800 N. 18TH ST. PUB. CHARITY	FINCL	SUPPORT OF RELIGIOUS/CHAR ORG.	11,500
SHAWNEE KS 66217 THE SPOFFORD HOME OF KC				15440 W. 65TH ST. PUB. CHARITY	FINCL	SUPPORT OF CHARITABLE ORG.	500
KANSAS CITY MO 64134 TURNING POINT				9700 GRANDVIEW RD. PUB. CHARITY	FINCL	SUPPORT OF PUBLIC CHARITY	1,000
SHAWNEE MISSION KS 66206 UMKC				8900 STATE LINE, STE 240 PUB. CHARITY	FINCL	SUPPORT OF GENERAL USE FD	6,000
KANSAS CITY MO 64110 UMKC				5100 ROCKHILL ROAD EDUC. ORG.	FINCL	SUPPORT OF SCHOLARSHIP FD	1,000
KANSAS CITY MO 64110 UNION GOSPEL MISSION OF TARRANT CO				5100 ROCKHILL ROAD EDUC. ORG.	FINCL	SUPPORT OF BASKETBALL PRGM	1,000
FORT WORTH TX 76102 UNIV OF KS CANCER CENTER				1321 E. LANCASTER AVE. PUB. CHARITY	FINCL	SUPPORT OF CHARITABLE ORG.	5,000
KANSAS CITY KS 66460 UNIV OF KS BREAST CANCER CTR				3901 RAINBOW BLVD. MED. CARE	FINCL	SUPPORT OF MEDICAL RES/CARE	11,000
KANSAS CITY KS 66460 URBAN RANGERS				3901 RAINBOW BLVD. MED. CARE	FINCL	SUPPORT OF MEDICAL RES/CARE	1,000
KANSAS CITY MO 64130 VITAE SOCIETY				5908 SWOPE PARKWAY PUB. CHARITY	FINCL	SUPPORT OF CHARITABLE ORG.	1,000
JEFFERSON CITY MO 65102 WELCOME HOUSE				621B E. MCCARTY ST. PUB. CHARITY	FINCL	SUPPORT OF CHARITABLE ORG.	2,000
KANSAS CITY MO 64108 TOTAL				1414 E. 27TH ST. PUB. CHARITY	FINCL	SUPPORT OF GENERAL USE FD	3,000
							<u>380,579</u>

2013 Tax Information Statement

Payer's Name and Address:
COUNTRY CLUB TRUST CO.
P.O. BOX 413038
KANSAS CITY, MO 64141

Account Number: 611563008
Recipient's Tax ID Number: 74-2815203
Payer's Federal ID Number: 26-0052158
Payer's State ID Number: MO
State: MO
Questions? (816)751-4285

☐ Corrected
☐ 2nd TIN notice

Recipient's Name and Address:
JOHN J SULLIVAN JR. CHARITABLE TRUST
C/O MR. J HOULEHAN, TRUSTEE
11914 SUMMIT ST
KANSAS CITY, MO 64145

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip		Description (Box 8)		Stock or Other Symbol (Box 1d)		Federal Income Tax Withheld (Box 4)		State Tax Withheld (Box 15)	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss			
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)				
Short Term Sales Reported on 1099-B									
067901108	BARRICK GOLD CORP COM		ABX						
2000.0000	04/15/2013		40,363.28	77,351.45	0.00	-36,988.17	0.00	0.00	0.00
14040H105	CAPITAL ONE FINL CORP COM		COF						
150.0000	10/28/2013	04/03/2013	10,610.81	8,127.40	0.00	2,483.41	0.00	0.00	0.00
97717W315	WISDOMTREE EMERGING MARKETS EQUITY INCOME		DEM						
400.0000	11/19/2013	10/18/2013	20,933.52	21,879.92	0.00	-946.40	0.00	0.00	0.00
Total Short Term Sales Reported on 1099-B			71,907.61	107,358.77	0.00	-35,451.16	0.00	0.00	0.00
Report on Form 8949, Part I, with Box A checked									
Long Term Sales Reported on 1099-B									
008252108	AFFILIATED MANAGERS GROUP COM		AMG						
50.0000	10/28/2013	11/14/2011	10,071.99	4,562.97	0.00	5,509.02	0.00	0.00	0.00
008252108	AFFILIATED MANAGERS GROUP COM		AMG						
50.0000	12/17/2013	11/14/2011	10,113.82	4,562.97	0.00	5,550.85	0.00	0.00	0.00
062540109	BANK HAWAII CORP COM		BOH						
1250.0000	02/25/2013		60,972.51	57,490.30	0.00	3,482.21	0.00	0.00	0.00

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Payer's Federal ID Number: 26-0052158
Payer's State ID Number: MO
State: (816)751-4285

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Questions?

☐ Corrected

☐ 2nd TIN notice

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)				
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)
097023105	BOEING CO COM		BA			(Box 15)
150.0000	10/28/2013		19,448.36	11,198.75	0.00	8,249.61
097023105	BOEING CO COM		BA			0.00
100.0000	11/20/2013	07/10/2012	13,234.74	7,459.50	0.00	5,775.24
254687106	DISNEY WALT CO COM		DIS			0.00
225.0000	07/01/2013	10/05/2011	14,481.45	7,073.37	0.00	7,408.08
257867101	DONNELLEY R R & SONS CO COM		RRD			0.00
1500.0000	07/29/2013		23,937.03	23,697.75	0.00	239.28
263534109	DU PONT E IDE NEMOURS & CO COM		DD			0.00
200.0000	12/17/2013	11/27/2012	12,134.34	8,729.06	0.00	3,405.28
418056107	HASBRO INC COM		HAS			0.00
300.0000	08/12/2013	10/05/2011	13,560.69	10,038.57	0.00	3,522.12
464286806	ISHARES MSCI GERMANY ETF		EWG			0.00
800.0000	04/09/2013		19,520.20	16,159.00	0.00	3,361.21
478160104	JOHNSON & JOHNSON COM		JNJ			0.00
100.0000	02/25/2013	06/08/2011	7,608.51	6,599.73	0.00	1,008.78
478160104	JOHNSON & JOHNSON COM		JNJ			0.00
150.0000	12/17/2013	06/08/2011	13,582.12	9,899.60	0.00	3,682.52

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Payer's State ID Number: MO

State: (816)751-4285

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Covered (Box 6a is not checked)

Cusip		Description (Box 8)		Stock or Other Symbol (Box 1d)		Federal Income Tax Withheld		State Tax Withheld	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
66987V109	NOVARTIS AG ADR		NVS						
100.0000	02/25/2013	07/27/2011	6,937.75	6,200.95	0.00	736.80	0.00	0.00	0.00
742718109	PROCTER & GAMBLE CO COM		PG						
200.0000	07/01/2013	06/22/2011	15,651.97	12,864.00	0.00	2,787.97	0.00	0.00	0.00
808513105	SCHWAB CHARLES CORP NEW COM		SCHW						
250.0000	03/04/2013	01/14/2011	4,142.71	4,697.48	0.00	-554.77	0.00	0.00	0.00
871829107	SYSCO CORP COM		SY						
200.0000	09/17/2013	07/27/2011	6,623.22	6,151.90	0.00	471.32	0.00	0.00	0.00
88732J207	TIME WARNER CABLE INC COM		TWC						
100.0000	11/27/2013	01/11/2012	13,661.77	6,465.00	0.00	7,196.77	0.00	0.00	0.00
913017109	UNITED TECHNOLOGIES CORP COM		UTX						
100.0000	02/25/2013	01/11/2012	8,955.99	7,649.00	0.00	1,306.99	0.00	0.00	0.00
931142103	WAL MART STORES INC COM		WMT						
150.0000	02/25/2013	01/11/2012	10,648.71	8,914.50	0.00	1,734.21	0.00	0.00	0.00
97717W315	WISDOMTREE EMERGING MARKETS EQUITY INCOME		DEM						
1250.0000	11/19/2013		65,417.24	66,745.78	0.00	-1,328.54	0.00	0.00	0.00
Total Long Term Sales Reported on 1099-B				350,705.12	287,160.18	63,544.95	0.00	0.00	0.00

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2013 Tax Information Statement

Page 11 of 50

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2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.
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Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)					State Tax Withheld (Box 15)
Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	
Long Term Sales Reported on 1099-B								
002824100	ABBOTT LABS COM		ABT					
1000.0000	08/02/2013		36,809.36	19,386.55	0.00	17,422.81	0.00	0.00
00287Y109	ABBVIE INC COM		ABBV					
1000.0000	01/07/2013		34,503.03	21,023.05	0.00	13,479.98	0.00	0.00
126650100	CVS CORP COM		CVS					
100.0000	02/25/2013	08/08/2008	5,108.02	3,850.00	0.00	1,258.02	0.00	0.00
257867101	DONNELLEY R R & SONS CO COM		RRD					
2500.0000	07/29/2013	11/01/2010	39,895.06	45,673.00	0.00	-5,777.94	0.00	0.00
263534109	DU PONT E I DE NEMOURS & CO COM		DD					
50.0000	12/17/2013	03/16/2001	3,033.59	2,184.00	0.00	849.59	0.00	0.00
31362YW24	FEDERAL NATL MTG ASSN GTD PASSTHRU CTF POOL							
251.5000	#75365 ARM RATE DTD 01/25/2013	11/10/2003	251.50	251.27	0.00	0.23	0.00	0.00
31362YW24	FEDERAL NATL MTG ASSN GTD PASSTHRU CTF POOL							
252.7900	#75365 ARM RATE DTD 02/25/2013	11/10/2003	252.79	252.56	0.00	0.23	0.00	0.00

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Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Bonds, etc.					
(Box 1e)	(Box 1a)							
31362YW24	FEDERAL NATL MTG ASSN GTD PASSTHRU CTF POOL							
253.8100	#75365 ARM RATE DTD 03/25/2013	11/10/2003	253.81	253.58	0.00	0.23	0.00	0.00
31362YW24	FEDERAL NATL MTG ASSN GTD PASSTHRU CTF POOL							
253.4400	#75365 ARM RATE DTD 04/25/2013	11/10/2003	253.44	253.21	0.00	0.23	0.00	0.00
31362YW24	FEDERAL NATL MTG ASSN GTD PASSTHRU CTF POOL							
253.6600	#75365 ARM RATE DTD 05/28/2013	11/10/2003	253.66	253.43	0.00	0.23	0.00	0.00
31362YW24	FEDERAL NATL MTG ASSN GTD PASSTHRU CTF POOL							
253.8600	#75365 ARM RATE DTD 06/25/2013	11/10/2003	253.86	253.63	0.00	0.23	0.00	0.00
31362YW24	FEDERAL NATL MTG ASSN GTD PASSTHRU CTF POOL							
255.2000	#75365 ARM RATE DTD 07/25/2013	11/10/2003	255.20	254.97	0.00	0.23	0.00	0.00
31362YW24	FEDERAL NATL MTG ASSN GTD PASSTHRU CTF POOL							
255.9800	#75365 ARM RATE DTD 08/26/2013	11/10/2003	255.98	255.75	0.00	0.23	0.00	0.00
31362YW24	FEDERAL NATL MTG ASSN GTD PASSTHRU CTF POOL							
257.0900	#75365 ARM RATE DTD 09/25/2013	11/10/2003	257.09	256.86	0.00	0.23	0.00	0.00
31362YW24	FEDERAL NATL MTG ASSN GTD PASSTHRU CTF POOL							
257.8700	#75365 ARM RATE DTD 10/25/2013	11/10/2003	257.87	257.64	0.00	0.23	0.00	0.00
31362YW24	FEDERAL NATL MTG ASSN GTD PASSTHRU CTF POOL							
258.6900	#75365 ARM RATE DTD 11/25/2013	11/10/2003	258.69	258.45	0.00	0.24	0.00	0.00
31362YW24	FEDERAL NATL MTG ASSN GTD PASSTHRU CTF POOL							
259.7300	#75365 ARM RATE DTD 12/26/2013	11/10/2003	259.73	259.49	0.00	0.24	0.00	0.00

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Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
375558103	(Box 1e) GILEAD SCIENCES INC COM							
200.0000	02/25/2013 08/05/2010		8,530.73	3,585.00	0.00	4,945.73	0.00	0.00
375558103	GILEAD SCIENCES INC COM							
1800.0000	04/15/2013 08/05/2010		92,060.20	32,265.00	0.00	59,795.20	0.00	0.00
36202KK61	GNMA II GTD PASS THRU CTF POOL #8417 5.125% DTD							
72.2300	10/01/88 DUE 10/20/2018 02/20/2013 11/29/2004		72.23	71.91	0.00	0.32	0.00	0.00
36202KK61	GNMA II GTD PASS THRU CTF POOL #8417 5.125% DTD							
72.5700	10/01/88 DUE 10/20/2018 03/20/2013 11/29/2004		72.57	72.25	0.00	0.32	0.00	0.00
36202KK61	GNMA II GTD PASS THRU CTF POOL #8417 5.125% DTD							
72.7900	10/01/88 DUE 10/20/2018 04/20/2013 11/29/2004		72.79	72.47	0.00	0.32	0.00	0.00
36202KK61	GNMA II GTD PASS THRU CTF POOL #8417 5.125% DTD							
77.8300	10/01/88 DUE 10/20/2018 05/20/2013 11/29/2004		77.83	77.49	0.00	0.34	0.00	0.00
36202KK61	GNMA II GTD PASS THRU CTF POOL #8417 5.125% DTD							
73.2800	10/01/88 DUE 10/20/2018 06/20/2013 11/29/2004		73.28	72.96	0.00	0.32	0.00	0.00
36202KK61	GNMA II GTD PASS THRU CTF POOL #8417 5.125% DTD							
73.5400	10/01/88 DUE 10/20/2018 07/20/2013 11/29/2004		73.54	73.22	0.00	0.32	0.00	0.00
36202KK61	GNMA II GTD PASS THRU CTF POOL #8417 5.125% DTD							
74.6600	10/01/88 DUE 10/20/2018 08/20/2013 11/29/2004		74.66	74.33	0.00	0.33	0.00	0.00
36202KK61	GNMA II GTD PASS THRU CTF POOL #8417 5.125% DTD							
74.2100	10/01/88 DUE 10/20/2018 09/20/2013 11/29/2004		74.21	73.88	0.00	0.33	0.00	0.00

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2013 Tax Information Statement

Page 14 of 50

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Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition						
(Box 1e)	(Box 1a)							
36202KK61	GNMA II GTD PASS THRU CTF POOL #8417 5.125% DTD		83.70	83.33	0.00	0.37	0.00	0.00
83.7000	10/01/88 DUE 10/20/2018							
	10/20/2013 11/29/2004							
36202KK61	GNMA II GTD PASS THRU CTF POOL #8417 5.125% DTD		78.43	78.08	0.00	0.35	0.00	0.00
78.4300	10/01/88 DUE 10/20/2018							
	11/20/2013 11/29/2004							
36202KK61	GNMA II GTD PASS THRU CTF POOL #8417 5.125% DTD		73.93	73.60	0.00	0.33	0.00	0.00
73.9300	10/01/88 DUE 10/20/2018							
	12/20/2013 11/29/2004							
36202KK61	GNMA II GTD PASS THRU CTF POOL #8417 5.125% DTD		517.18	514.91	0.00	2.27	0.00	0.00
517.1800	10/01/88 DUE 10/20/2018							
	12/31/2013 11/29/2004							
36225DCE2	GNMA II GTD PASSTHRU CTF POOL #80968 5.625% DTD		23.42	23.36	0.00	0.06	0.00	0.00
23.4200	07/01/04 DUE 07/20/2034							
	02/20/2013 03/29/2005							
36225DCE2	GNMA II GTD PASSTHRU CTF POOL #80968 5.625% DTD		33.70	33.62	0.00	0.08	0.00	0.00
33.7000	07/01/04 DUE 07/20/2034							
	03/20/2013 03/29/2005							
36225DCE2	GNMA II GTD PASSTHRU CTF POOL #80968 5.625% DTD		12.52	12.49	0.00	0.03	0.00	0.00
12.5200	07/01/04 DUE 07/20/2034							
	04/20/2013 03/29/2005							
36225DCE2	GNMA II GTD PASSTHRU CTF POOL #80968 5.625% DTD		34.13	34.05	0.00	0.08	0.00	0.00
34.1300	07/01/04 DUE 07/20/2034							
	05/20/2013 03/29/2005							
36225DCE2	GNMA II GTD PASSTHRU CTF POOL #80968 5.625% DTD		22.67	22.62	0.00	0.05	0.00	0.00
22.6700	07/01/04 DUE 07/20/2034							
	06/20/2013 03/29/2005							
36225DCE2	GNMA II GTD PASSTHRU CTF POOL #80968 5.625% DTD		53.09	52.96	0.00	0.13	0.00	0.00
53.0900	07/01/04 DUE 07/20/2034							
	07/20/2013 03/29/2005							

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2013 Tax Information Statement

Page 15 of 50

Account Number: 611563008

Recipient's Tax ID Number: 74-2815203

Payer's Federal ID Number: 26-0052158

Payer's State ID Number:

State: MO

Questions? ☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:

COUNTRY CLUB TRUST CO.
P.O. BOX 413038
KANSAS CITY, MO 64141

Recipient's Name and Address:

JOHN J SULLIVAN JR. CHARITABLE TRUST
C/O MR. J HOULEHAN, TRUSTEE
11914 SUMMIT ST
KANSAS CITY, MO 64145

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition						
(Box 1e)	(Box 1a)							
36225DCE2	GNMA II GTD PASSTHRU CTF POOL #80968 5.625% DTD							
30.1400	07/01/04 DUE 07/20/2034	03/29/2005	30.14	30.07	0.00	0.07	0.00	0.00
36225DCE2	GNMA II GTD PASSTHRU CTF POOL #80968 5.625% DTD							
51.4900	07/01/04 DUE 07/20/2034	03/29/2005	51.49	51.37	0.00	0.12	0.00	0.00
36225DCE2	GNMA II GTD PASSTHRU CTF POOL #80968 5.625% DTD							
38.3600	07/01/04 DUE 07/20/2034	03/29/2005	38.36	38.27	0.00	0.09	0.00	0.00
36225DCE2	GNMA II GTD PASSTHRU CTF POOL #80968 5.625% DTD							
39.8900	07/01/04 DUE 07/20/2034	03/29/2005	39.89	39.80	0.00	0.09	0.00	0.00
36225DCE2	GNMA II GTD PASSTHRU CTF POOL #80968 5.625% DTD							
32.4100	07/01/04 DUE 07/20/2034	03/29/2005	32.41	32.33	0.00	0.08	0.00	0.00
36225DCE2	GNMA II GTD PASSTHRU CTF POOL #80968 5.625% DTD							
36.3000	07/01/04 DUE 07/20/2034	03/29/2005	36.30	36.21	0.00	0.09	0.00	0.00
36202EGC7	GNMA II PASSTHRU CTF POOL #3795 5.000% DTD							
1728.1900	12/01/05 DUE 12/20/2035	05/15/2008	1,728.19	1,683.19	0.00	45.00	0.00	0.00
36202EGC7	GNMA II PASSTHRU CTF POOL #3795 5.000% DTD							
1083.9700	12/01/05 DUE 12/20/2035	05/15/2008	1,083.97	1,055.75	0.00	28.22	0.00	0.00
36202EGC7	GNMA II PASSTHRU CTF POOL #3795 5.000% DTD							
1785.0800	12/01/05 DUE 12/20/2035	05/15/2008	1,785.08	1,738.60	0.00	46.48	0.00	0.00
36202EGC7	GNMA II PASSTHRU CTF POOL #3795 5.000% DTD							
1058.2400	12/01/05 DUE 12/20/2035	05/15/2008	1,058.24	1,030.69	0.00	27.55	0.00	0.00

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KANSAS CITY, MO 64145

Recipient's Tax ID Number:

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Payer's State ID Number:

MO

State:

(816)751-4285

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Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition						
36202EGC7	GNMA II PASSTHRU CTF POOL #3795 5.000% DTD							
1467.8300	12/01/05 DUE 12/20/2035	05/15/2008	1,467.83	1,429.61	0.00	38.22	0.00	0.00
36202EGC7	GNMA II PASSTHRU CTF POOL #3795 5.000% DTD							
862.7500	12/01/05 DUE 12/20/2035	05/15/2008	862.75	840.29	0.00	22.46	0.00	0.00
36202EGC7	GNMA II PASSTHRU CTF POOL #3795 5.000% DTD							
1228.7200	12/01/05 DUE 12/20/2035	05/15/2008	1,228.72	1,196.73	0.00	31.99	0.00	0.00
36202EGC7	GNMA II PASSTHRU CTF POOL #3795 5.000% DTD							
957.2700	12/01/05 DUE 12/20/2035	05/15/2008	957.27	932.34	0.00	24.93	0.00	0.00
36202EGC7	GNMA II PASSTHRU CTF POOL #3795 5.000% DTD							
797.1500	12/01/05 DUE 12/20/2035	05/15/2008	797.15	776.39	0.00	20.76	0.00	0.00
36202EGC7	GNMA II PASSTHRU CTF POOL #3795 5.000% DTD							
767.7600	12/01/05 DUE 12/20/2035	05/15/2008	767.76	747.77	0.00	19.99	0.00	0.00
36202EGC7	GNMA II PASSTHRU CTF POOL #3795 5.000% DTD							
540.5900	12/01/05 DUE 12/20/2035	05/15/2008	540.59	526.51	0.00	14.08	0.00	0.00
36202EGC7	GNMA II PASSTHRU CTF POOL #3795 5.000% DTD							
855.8900	12/01/05 DUE 12/20/2035	05/15/2008	855.89	833.60	0.00	22.29	0.00	0.00
36202EHF9	GNMA II PASSTHRU CTF POOL #3830 5.500% DTD							
447.3100	03/01/06 DUE 03/20/2036	02/12/2008	447.31	439.40	0.00	7.91	0.00	0.00
36202EHF9	GNMA II PASSTHRU CTF POOL #3830 5.500% DTD							
487.3400	03/01/06 DUE 03/20/2036	06/12/2008	487.34	478.72	0.00	8.62	0.00	0.00

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2013 Tax Information Statement

Page 17 of 50

Account Number: 611563008
 Recipient's Tax ID Number: 74-2815203
 Payer's Federal ID Number: 26-0052158
 Payer's State ID Number: MO
 State: (816)751-4285
 Questions? ☐ 2nd TIN notice

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition						
(Box 1e)	(Box 1a)							
36202EHF9	GNMA II PASSTHRU CTF POOL #3830 5.500% DTD							
298.0300	03/01/06 DUE 03/20/2036	06/12/2008	298.03	292.76	0.00	5.27	0.00	0.00
36202EHF9	GNMA II PASSTHRU CTF POOL #3830 5.500% DTD							
290.5100	03/01/06 DUE 03/20/2036	06/12/2008	290.51	285.37	0.00	5.14	0.00	0.00
36202EHF9	GNMA II PASSTHRU CTF POOL #3830 5.500% DTD							
303.7300	03/01/06 DUE 03/20/2036	06/12/2008	303.73	298.36	0.00	5.37	0.00	0.00
36202EHF9	GNMA II PASSTHRU CTF POOL #3830 5.500% DTD							
349.2400	03/01/06 DUE 03/20/2036	06/12/2008	349.24	343.06	0.00	6.18	0.00	0.00
36202EHF9	GNMA II PASSTHRU CTF POOL #3830 5.500% DTD							
256.8700	03/01/06 DUE 03/20/2036	06/12/2008	256.87	252.32	0.00	4.55	0.00	0.00
36202EHF9	GNMA II PASSTHRU CTF POOL #3830 5.500% DTD							
254.7500	03/01/06 DUE 03/20/2036	06/12/2008	254.75	250.24	0.00	4.51	0.00	0.00
36202EHF9	GNMA II PASSTHRU CTF POOL #3830 5.500% DTD							
280.6300	03/01/06 DUE 03/20/2036	06/12/2008	280.63	275.66	0.00	4.97	0.00	0.00
36202EHF9	GNMA II PASSTHRU CTF POOL #3830 5.500% DTD							
178.0500	03/01/06 DUE 03/20/2036	06/12/2008	178.05	174.90	0.00	3.15	0.00	0.00
36202EHF9	GNMA II PASSTHRU CTF POOL #3830 5.500% DTD							
151.2400	03/01/06 DUE 03/20/2036	06/12/2008	151.24	148.56	0.00	2.68	0.00	0.00
36202EHF9	GNMA II PASSTHRU CTF POOL #3830 5.500% DTD							
184.8200	03/01/06 DUE 03/20/2036	06/12/2008	184.82	181.55	0.00	3.27	0.00	0.00

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2013 Tax Information Statement

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State:

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Corrected

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Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition						
(Box 1e)	(Box 1a)							
36202EHR3	GNMA II PASSTHRU CTF POOL #3840 5.000% DTD							
754.8600	04/01/06 DUE 04/20/2036		754.86	717.94	0.00	36.92	0.00	0.00
	02/20/2013 06/12/2008							
36202EHR3	GNMA II PASSTHRU CTF POOL #3840 5.000% DTD							
1333.5800	04/01/06 DUE 04/20/2036		1,333.58	1,268.35	0.00	65.23	0.00	0.00
	03/20/2013 06/12/2008							
36202EHR3	GNMA II PASSTHRU CTF POOL #3840 5.000% DTD							
1062.7200	04/01/06 DUE 04/20/2036		1,062.72	1,010.74	0.00	51.98	0.00	0.00
	04/20/2013 06/12/2008							
36202EHR3	GNMA II PASSTHRU CTF POOL #3840 5.000% DTD							
1551.0600	04/01/06 DUE 04/20/2036		1,551.06	1,475.19	0.00	75.87	0.00	0.00
	05/20/2013 06/12/2008							
36202EHR3	GNMA II PASSTHRU CTF POOL #3840 5.000% DTD							
1244.3200	04/01/06 DUE 04/20/2036		1,244.32	1,183.46	0.00	60.86	0.00	0.00
	06/20/2013 06/12/2008							
36202EHR3	GNMA II PASSTHRU CTF POOL #3840 5.000% DTD							
1017.5400	04/01/06 DUE 04/20/2036		1,017.54	967.77	0.00	49.77	0.00	0.00
	07/20/2013 06/12/2008							
36202EHR3	GNMA II PASSTHRU CTF POOL #3840 5.000% DTD							
1191.6100	04/01/06 DUE 04/20/2036		1,191.61	1,133.33	0.00	58.28	0.00	0.00
	08/20/2013 06/12/2008							
36202EHR3	GNMA II PASSTHRU CTF POOL #3840 5.000% DTD							
779.9700	04/01/06 DUE 04/20/2036		779.97	741.82	0.00	38.15	0.00	0.00
	09/20/2013 06/12/2008							
36202EHR3	GNMA II PASSTHRU CTF POOL #3840 5.000% DTD							
571.4400	04/01/06 DUE 04/20/2036		571.44	543.49	0.00	27.95	0.00	0.00
	10/20/2013 06/12/2008							
36202EHR3	GNMA II PASSTHRU CTF POOL #3840 5.000% DTD							
303.1500	04/01/06 DUE 04/20/2036		303.15	288.32	0.00	14.83	0.00	0.00
	11/20/2013 06/12/2008							

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2013 Tax Information Statement

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Account Number: 611563008

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Recipient's Tax ID Number: 74-2815203

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☐ Corrected

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Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	State
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	State
(Box 1e)	(Box 1a)	(Box 2a)	(Box 15)
36202EHR3	GNMA II PASSTHRU CTF POOL #3840 5.000% DTD		
317.5500	04/01/06 DUE 04/20/2036	317.55	0.00
	12/20/2013 06/12/2008	302.02	15.53
36202EHR3	GNMA II PASSTHRU CTF POOL #3840 5.000% DTD		
586.0500	04/01/06 DUE 04/20/2036	586.05	0.00
	12/31/2013 06/12/2008	557.38	28.67
36225CA97	GNMA II PASSTHRU CTF POOL #80031 5.375% DTD		
23.4700	01/01/97 DUE 01/20/2027	23.47	0.00
	02/20/2013 02/25/2005	23.42	0.05
36225CA97	GNMA II PASSTHRU CTF POOL #80031 5.375% DTD		
70.6000	01/01/97 DUE 01/20/2027	70.60	0.00
	03/20/2013 02/25/2005	70.46	0.14
36225CA97	GNMA II PASSTHRU CTF POOL #80031 5.375% DTD		
23.4200	01/01/97 DUE 01/20/2027	23.42	0.00
	04/20/2013 02/25/2005	23.37	0.05
36225CA97	GNMA II PASSTHRU CTF POOL #80031 5.375% DTD		
22.8600	01/01/97 DUE 01/20/2027	22.86	0.00
	05/20/2013 02/25/2005	22.82	0.04
36225CA97	GNMA II PASSTHRU CTF POOL #80031 5.375% DTD		
24.7400	01/01/97 DUE 01/20/2027	24.74	0.00
	06/20/2013 02/25/2005	24.69	0.05
36225CA97	GNMA II PASSTHRU CTF POOL #80031 5.375% DTD		
22.7900	01/01/97 DUE 01/20/2027	22.79	0.00
	07/20/2013 02/25/2005	22.75	0.04
36225CA97	GNMA II PASSTHRU CTF POOL #80031 5.375% DTD		
22.6300	01/01/97 DUE 01/20/2027	22.63	0.00
	08/20/2013 02/25/2005	22.59	0.04
36225CA97	GNMA II PASSTHRU CTF POOL #80031 5.375% DTD		
98.9800	01/01/97 DUE 01/20/2027	98.98	0.00
	09/20/2013 02/25/2005	98.79	0.19

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	Date of Sale or Exchange	Date of Acquisition		Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
	(Box 1a)			(Box 2a)				(Box 4)	(Box 15)
36225CA97	GNMA II PASSTHRU CTF POOL #80031 5.375% DTD	01/01/97 DUE 01/20/2027	10/20/2013 02/25/2005	23.16	23.12	0.00	0.04	0.00	0.00
36225CA97	GNMA II PASSTHRU CTF POOL #80031 5.375% DTD	01/01/97 DUE 01/20/2027	11/20/2013 02/25/2005	22.70	22.66	0.00	0.04	0.00	0.00
36225CA97	GNMA II PASSTHRU CTF POOL #80031 5.375% DTD	01/01/97 DUE 01/20/2027	12/20/2013 02/25/2005	22.83	22.79	0.00	0.04	0.00	0.00
36225CA97	GNMA II PASSTHRU CTF POOL #80031 5.375% DTD	01/01/97 DUE 01/20/2027	12/31/2013 02/25/2005	22.36	22.32	0.00	0.04	0.00	0.00
36225CC79	GNMA II PASSTHRU CTF POOL #80093 5.625% DTD	07/01/97 DUE 07/20/2027	02/20/2013 08/27/2004	283.95	283.00	0.00	0.95	0.00	0.00
36225CC79	GNMA II PASSTHRU CTF POOL #80093 5.625% DTD	07/01/97 DUE 07/20/2027	03/20/2013 08/27/2004	266.22	265.33	0.00	0.89	0.00	0.00
36225CC79	GNMA II PASSTHRU CTF POOL #80093 5.625% DTD	07/01/97 DUE 07/20/2027	04/20/2013 08/27/2004	189.29	188.65	0.00	0.64	0.00	0.00
36225CC79	GNMA II PASSTHRU CTF POOL #80093 5.625% DTD	07/01/97 DUE 07/20/2027	05/20/2013 08/27/2004	60.65	60.45	0.00	0.20	0.00	0.00
36225CC79	GNMA II PASSTHRU CTF POOL #80093 5.625% DTD	07/01/97 DUE 07/20/2027	06/20/2013 08/27/2004	159.57	159.03	0.00	0.54	0.00	0.00
36225CC79	GNMA II PASSTHRU CTF POOL #80093 5.625% DTD	07/01/97 DUE 07/20/2027	07/20/2013 08/27/2004	55.68	55.49	0.00	0.19	0.00	0.00

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2013 Tax Information Statement

Page 21 of 50

Account Number:

611563008

Recipient's Name and Address:

JOHN J SULLIVAN JR. CHARITABLE TRUST
C/O MR. J HOULEHAN, TRUSTEE
11914 SUMMIT ST
KANSAS CITY, MO 64145

Payer's Name and Address:

COUNTRY CLUB TRUST CO.
P.O. BOX 413038
KANSAS CITY, MO 64141

Recipient's Tax ID Number:

74-2815203

Payer's Federal ID Number:

26-0052158

Payer's State ID Number:

MO

(816)751-4285

Questions?

☐ Corrected

☐ 2nd TIN notice

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Acquisition	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 2a)	(Box 15)
36225CC79	GNMA II PASSTHRU CTF POOL #80093 5.625% DTD		
450.2500	07/01/97 DUE 07/20/2027	450.25	0.00
	08/20/2013 08/27/2004		
36225CC79	GNMA II PASSTHRU CTF POOL #80093 5.625% DTD		
187.0000	07/01/97 DUE 07/20/2027	187.00	0.00
	09/20/2013 08/27/2004		
36225CC79	GNMA II PASSTHRU CTF POOL #80093 5.625% DTD		
200.7900	07/01/97 DUE 07/20/2027	200.79	0.00
	10/20/2013 08/27/2004		
36225CC79	GNMA II PASSTHRU CTF POOL #80093 5.625% DTD		
51.4600	07/01/97 DUE 07/20/2027	51.46	0.00
	11/20/2013 08/27/2004		
36225CC79	GNMA II PASSTHRU CTF POOL #80093 5.625% DTD		
53.5900	07/01/97 DUE 07/20/2027	53.59	0.00
	12/20/2013 08/27/2004		
36225CC79	GNMA II PASSTHRU CTF POOL #80093 5.625% DTD		
49.8500	07/01/97 DUE 07/20/2027	49.85	0.00
	12/31/2013 08/27/2004		
36225CC87	GNMA II PASSTHRU CTF POOL #80094 5.625% DTD		
76.8600	07/01/97 DUE 07/20/2027	76.86	0.00
	02/20/2013 08/27/2004		
36225CC87	GNMA II PASSTHRU CTF POOL #80094 5.625% DTD		
176.5700	07/01/97 DUE 07/20/2027	176.57	0.00
	03/20/2013 08/27/2004		
36225CC87	GNMA II PASSTHRU CTF POOL #80094 5.625% DTD		
203.0100	07/01/97 DUE 07/20/2027	203.01	0.00
	04/20/2013 08/27/2004		
36225CC87	GNMA II PASSTHRU CTF POOL #80094 5.625% DTD		
145.6400	07/01/97 DUE 07/20/2027	145.64	0.00
	05/20/2013 08/27/2004		

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2013 Tax Information Statement

Page 22 of 50

Account Number: 611563008

Recipient's Tax ID Number: 74-2815203

Payer's Federal ID Number: 26-0052158

Payer's State ID Number: MO

State: (816)751-4285

Questions? ☐ Corrected

☐ 2nd TIN notice

Payer's Name and Address:
COUNTRY CLUB TRUST CO.
P.O. BOX 413038
KANSAS CITY, MO 64141

Recipient's Name and Address:
JOHN J SULLIVAN JR. CHARITABLE TRUST
C/O MR. J HOULEHAN, TRUSTEE
11914 SUMMIT ST
KANSAS CITY, MO 64145

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Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold (Box 1e)	Date of Sale or Exchange Acquisition (Box 1a)							
36225CC87	GNMA II PASSTHRU CTF POOL #80094 5.625% DTD							
223.3300	07/01/97 DUE 07/20/2027		223.33	222.71	0.00	0.62	0.00	0.00
	06/20/2013 08/27/2004							
36225CC87	GNMA II PASSTHRU CTF POOL #80094 5.625% DTD							
116.5000	07/01/97 DUE 07/20/2027		116.50	116.18	0.00	0.32	0.00	0.00
	07/20/2013 08/27/2004							
36225CC87	GNMA II PASSTHRU CTF POOL #80094 5.625% DTD							
183.7600	07/01/97 DUE 07/20/2027		183.76	183.25	0.00	0.51	0.00	0.00
	08/20/2013 08/27/2004							
36225CC87	GNMA II PASSTHRU CTF POOL #80094 5.625% DTD							
104.7800	07/01/97 DUE 07/20/2027		104.78	104.49	0.00	0.29	0.00	0.00
	09/20/2013 08/27/2004							
36225CC87	GNMA II PASSTHRU CTF POOL #80094 5.625% DTD							
248.1400	07/01/97 DUE 07/20/2027		248.14	247.46	0.00	0.68	0.00	0.00
	10/20/2013 08/27/2004							
36225CC87	GNMA II PASSTHRU CTF POOL #80094 5.625% DTD							
74.8500	07/01/97 DUE 07/20/2027		74.85	74.64	0.00	0.21	0.00	0.00
	11/20/2013 08/27/2004							
36225CC87	GNMA II PASSTHRU CTF POOL #80094 5.625% DTD							
110.2000	07/01/97 DUE 07/20/2027		110.20	109.90	0.00	0.30	0.00	0.00
	12/20/2013 08/27/2004							
36225CC87	GNMA II PASSTHRU CTF POOL #80094 5.625% DTD							
77.0600	07/01/97 DUE 07/20/2027		77.06	76.85	0.00	0.21	0.00	0.00
	12/31/2013 08/27/2004							
36202J4Q8	GNMA II PASSTHRU CTF POOL #8031 6.000% DTD							
149.5400	01/01/85 DUE 12/20/2014		149.54	149.11	0.00	0.43	0.00	0.00
	02/20/2013 07/27/2005							
36202J4Q8	GNMA II PASSTHRU CTF POOL #8031 6.000% DTD							
150.3500	01/01/85 DUE 12/20/2014		150.35	149.91	0.00	0.44	0.00	0.00
	03/20/2013 07/27/2005							

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2013 Tax Information Statement

Page 23 of 50

Recipient's Name and Address:

JOHN J SULLIVAN JR. CHARITABLE TRUST
C/O MR. J HOULEHAN, TRUSTEE
11914 SUMMIT ST
KANSAS CITY, MO 64145

Account Number:

611563008
74-2815203
26-0052158

Recipient's Tax ID Number:

MO
(816)751-4285

Payer's Federal ID Number:

Payer's State ID Number:

State:
Questions?

☐ Corrected

☐ 2nd TIN notice

Payer's Name and Address:

COUNTRY CLUB TRUST CO.
P.O. BOX 413038
KANSAS CITY, MO 64141

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Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition						
(Box 1e)	(Box 1a)							
36202J4Q8	GNMA II PASSTHRU CTF POOL #8031 6.000% DTD							
151.1600	01/01/85 DUE 12/20/2014	04/20/2013 07/27/2005	151.16	150.72	0.00	0.44	0.00	0.00
36202J4Q8	GNMA II PASSTHRU CTF POOL #8031 6.000% DTD							
151.9800	01/01/85 DUE 12/20/2014	05/20/2013 07/27/2005	151.98	151.54	0.00	0.44	0.00	0.00
36202J4Q8	GNMA II PASSTHRU CTF POOL #8031 6.000% DTD							
152.8100	01/01/85 DUE 12/20/2014	06/20/2013 07/27/2005	152.81	152.37	0.00	0.44	0.00	0.00
36202J4Q8	GNMA II PASSTHRU CTF POOL #8031 6.000% DTD							
153.6300	01/01/85 DUE 12/20/2014	07/20/2013 07/27/2005	153.63	153.18	0.00	0.45	0.00	0.00
36202J4Q8	GNMA II PASSTHRU CTF POOL #8031 6.000% DTD							
154.4600	01/01/85 DUE 12/20/2014	08/20/2013 07/27/2005	154.46	154.01	0.00	0.45	0.00	0.00
36202J4Q8	GNMA II PASSTHRU CTF POOL #8031 6.000% DTD							
155.3000	01/01/85 DUE 12/20/2014	09/20/2013 07/27/2005	155.30	154.85	0.00	0.45	0.00	0.00
36202J4Q8	GNMA II PASSTHRU CTF POOL #8031 6.000% DTD							
156.1400	01/01/85 DUE 12/20/2014	10/20/2013 07/27/2005	156.14	155.69	0.00	0.45	0.00	0.00
36202J4Q8	GNMA II PASSTHRU CTF POOL #8031 6.000% DTD							
156.9900	01/01/85 DUE 12/20/2014	11/20/2013 07/27/2005	156.99	156.54	0.00	0.45	0.00	0.00
36202J4Q8	GNMA II PASSTHRU CTF POOL #8031 6.000% DTD							
157.8400	01/01/85 DUE 12/20/2014	12/20/2013 07/27/2005	157.84	157.38	0.00	0.46	0.00	0.00
36202J4Q8	GNMA II PASSTHRU CTF POOL #8031 6.000% DTD							
158.6900	01/01/85 DUE 12/20/2014	12/31/2013 07/27/2005	158.69	158.23	0.00	0.46	0.00	0.00

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2013 Tax Information Statement

Account Number: 611563008

Recipient's Name and Address:

Recipient's Tax ID Number: 74-2815203
Payer's Federal ID Number: 26-0052158

JOHN J SULLIVAN JR. CHARITABLE TRUST
C/O MR. J HOULEHAN, TRUSTEE
11914 SUMMIT ST
KANSAS CITY, MO 64145

Payer's Name and Address:

COUNTRY CLUB TRUST CO.
P.O. BOX 413038
KANSAS CITY, MO 64141

Payer's State ID Number: MO
State: (816)751-4285

Questions?

☐ Corrected

☐ 2nd TIN notice

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip			Description (Box 8)			Stock or Other Symbol (Box 1d)				State Tax Withheld (Box 15)	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)				
(Box 1e)											
36225DE67	GNMA II PASSTHRU CTF POOL #81056 5.625% DTD										
83.6700	09/01/04 DUE 09/20/2034	02/20/2013 03/29/2005	83.67	83.46	0.00	0.21	0.00			0.00	
36225DE67	GNMA II PASSTHRU CTF POOL #81056 5.625% DTD										
37.0000	09/01/04 DUE 09/20/2034	03/20/2013 03/29/2005	37.00	36.91	0.00	0.09	0.00			0.00	
36225DE67	GNMA II PASSTHRU CTF POOL #81056 5.625% DTD										
63.4500	09/01/04 DUE 09/20/2034	04/20/2013 03/29/2005	63.45	63.29	0.00	0.16	0.00			0.00	
36225DE67	GNMA II PASSTHRU CTF POOL #81056 5.625% DTD										
62.1600	09/01/04 DUE 09/20/2034	05/20/2013 03/29/2005	62.16	62.01	0.00	0.15	0.00			0.00	
36225DE67	GNMA II PASSTHRU CTF POOL #81056 5.625% DTD										
17.2500	09/01/04 DUE 09/20/2034	06/20/2013 03/29/2005	17.25	17.21	0.00	0.04	0.00			0.00	
36225DE67	GNMA II PASSTHRU CTF POOL #81056 5.625% DTD										
124.5800	09/01/04 DUE 09/20/2034	07/20/2013 03/29/2005	124.58	124.27	0.00	0.31	0.00			0.00	
36225DE67	GNMA II PASSTHRU CTF POOL #81056 5.625% DTD										
69.1000	09/01/04 DUE 09/20/2034	08/20/2013 03/29/2005	69.10	68.93	0.00	0.17	0.00			0.00	
36225DE67	GNMA II PASSTHRU CTF POOL #81056 5.625% DTD										
38.6400	09/01/04 DUE 09/20/2034	09/20/2013 03/29/2005	38.64	38.54	0.00	0.10	0.00			0.00	
36225DE67	GNMA II PASSTHRU CTF POOL #81056 5.625% DTD										
36.0600	09/01/04 DUE 09/20/2034	10/20/2013 03/29/2005	36.06	35.97	0.00	0.09	0.00			0.00	
36225DE67	GNMA II PASSTHRU CTF POOL #81056 5.625% DTD										
87.3400	09/01/04 DUE 09/20/2034	11/20/2013 03/29/2005	87.34	87.12	0.00	0.22	0.00			0.00	

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2013 Tax Information Statement

Account Number: 611563008
 Recipient's Tax ID Number: 74-2815203
 Payer's Federal ID Number: 26-0052158
 Payer's State ID Number: MO
 State: (816)751-4285
 Questions? ☐ 2nd TIN notice

Payer's Name and Address:
 COUNTRY CLUB TRUST CO.
 P.O. BOX 413038
 KANSAS CITY, MO 64141

Recipient's Name and Address:
 JOHN J SULLIVAN JR. CHARITABLE TRUST
 C/O MR. J HOULEHAN, TRUSTEE
 11914 SUMMIT ST
 KANSAS CITY, MO 64145

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
36225DE67	GNMA II PASSTHRU CTF POOL #81056 5.625% DTD 09/01/04 DUE 09/20/2034 12/20/2013 03/29/2005		45.48	45.37	0.00	0.11	0.00	0.00
36225DE67	GNMA II PASSTHRU CTF POOL #81056 5.625% DTD 09/01/04 DUE 09/20/2034 12/31/2013 03/29/2005		51.27	51.14	0.00	0.13	0.00	0.00
36202KC86	GNMA II PASSTHRU CTF POOL #8195 5.375% DTD 02/01/87 DUE 02/20/2017 02/20/2013 04/26/2006		175.49	174.71	0.00	0.78	0.00	0.00
36202KC86	GNMA II PASSTHRU CTF POOL #8195 5.375% DTD 02/01/87 DUE 02/20/2017 03/20/2013 04/26/2006		170.25	169.49	0.00	0.76	0.00	0.00
36202KC86	GNMA II PASSTHRU CTF POOL #8195 5.375% DTD 02/01/87 DUE 02/20/2017 04/20/2013 04/26/2006		170.59	169.83	0.00	0.76	0.00	0.00
36202KC86	GNMA II PASSTHRU CTF POOL #8195 5.375% DTD 02/01/87 DUE 02/20/2017 05/20/2013 04/26/2006		175.09	174.31	0.00	0.78	0.00	0.00
36202KC86	GNMA II PASSTHRU CTF POOL #8195 5.375% DTD 02/01/87 DUE 02/20/2017 06/20/2013 04/26/2006		370.80	369.15	0.00	1.65	0.00	0.00
36202KC86	GNMA II PASSTHRU CTF POOL #8195 5.375% DTD 02/01/87 DUE 02/20/2017 07/20/2013 04/26/2006		176.12	175.34	0.00	0.78	0.00	0.00
36202KC86	GNMA II PASSTHRU CTF POOL #8195 5.375% DTD 02/01/87 DUE 02/20/2017 08/20/2013 04/26/2006		174.56	173.78	0.00	0.78	0.00	0.00
36202KC86	GNMA II PASSTHRU CTF POOL #8195 5.375% DTD 02/01/87 DUE 02/20/2017 09/20/2013 04/26/2006		179.80	179.00	0.00	0.80	0.00	0.00

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2013 Tax Information Statement

Page 26 of 50

Account Number: 611563008
Recipient's Name and Address:
 JOHN J SULLIVAN JR. CHARITABLE TRUST
 C/O MR. J HOULEHAN, TRUSTEE
 11914 SUMMIT ST
 KANSAS CITY, MO 64145

Recipient's Tax ID Number: 74-2815203
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 COUNTRY CLUB TRUST CO.
 P.O. BOX 413038
 KANSAS CITY, MO 64141

Payer's State ID Number:

State: MO
Questions? (816)751-4285

☐ Corrected ☐ 2nd TIN notice

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Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition						
(Box 1e)	(Box 1a)							
36202KC86	GNMA II PASSTHRU CTF POOL #8195 5.375% DTD							
175.2200	02/01/87 DUE 02/20/2017		175.22	174.44	0.00	0.78	0.00	0.00
	10/20/2013 04/26/2006							
36202KC86	GNMA II PASSTHRU CTF POOL #8195 5.375% DTD							
175.5200	02/01/87 DUE 02/20/2017		175.52	174.74	0.00	0.78	0.00	0.00
	11/20/2013 04/26/2006							
36202KC86	GNMA II PASSTHRU CTF POOL #8195 5.375% DTD							
175.2400	02/01/87 DUE 02/20/2017		175.24	174.46	0.00	0.78	0.00	0.00
	12/20/2013 04/26/2006							
36202KC86	GNMA II PASSTHRU CTF POOL #8195 5.375% DTD							
178.0700	02/01/87 DUE 02/20/2017		178.07	177.28	0.00	0.79	0.00	0.00
	12/31/2013 04/26/2006							
36202KYG4	GNMA II PASSTHRU CTF POOL #8811 5.625% DTD							
50.8500	07/01/91 DUE 07/20/2021		50.85	50.48	0.00	0.37	0.00	0.00
	02/20/2013 05/26/2004							
36202KYG4	GNMA II PASSTHRU CTF POOL #8811 5.625% DTD							
50.9700	07/01/91 DUE 07/20/2021		50.97	50.59	0.00	0.38	0.00	0.00
	03/20/2013 05/26/2004							
36202KYG4	GNMA II PASSTHRU CTF POOL #8811 5.625% DTD							
51.1000	07/01/91 DUE 07/20/2021		51.10	50.72	0.00	0.38	0.00	0.00
	04/20/2013 05/26/2004							
36202KYG4	GNMA II PASSTHRU CTF POOL #8811 5.625% DTD							
51.2400	07/01/91 DUE 07/20/2021		51.24	50.86	0.00	0.38	0.00	0.00
	05/20/2013 05/26/2004							
36202KYG4	GNMA II PASSTHRU CTF POOL #8811 5.625% DTD							
51.3600	07/01/91 DUE 07/20/2021		51.36	50.98	0.00	0.38	0.00	0.00
	06/20/2013 05/26/2004							
36202KYG4	GNMA II PASSTHRU CTF POOL #8811 5.625% DTD							
51.4900	07/01/91 DUE 07/20/2021		51.49	51.11	0.00	0.38	0.00	0.00
	07/20/2013 05/26/2004							

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Recipient's Tax ID Number: 74-2815203
Payer's Federal ID Number: 26-0052158
Payer's State ID Number: MO
State: (816)751-4285
Questions? ☐ 2nd TIN notice
☐ Corrected

Payer's Name and Address:
 COUNTRY CLUB TRUST CO.
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 KANSAS CITY, MO 64141

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 C/O MR. J HOULEHAN, TRUSTEE
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 KANSAS CITY, MO 64145

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Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold	Date of Sale or Exchange	Date of Acquisition						
(Box 1e)	(Box 1a)							
36202KYG4	GNMA II PASSTHRU CTF POOL #8811 5.625% DTD							
51.6100	07/01/91 DUE 07/20/2021		51.61	51.23	0.00	0.38	0.00	0.00
	08/20/2013 05/26/2004							
36202KYG4	GNMA II PASSTHRU CTF POOL #8811 5.625% DTD							
51.7500	07/01/91 DUE 07/20/2021		51.75	51.37	0.00	0.38	0.00	0.00
	09/20/2013 05/26/2004							
36202KYG4	GNMA II PASSTHRU CTF POOL #8811 5.625% DTD							
51.8700	07/01/91 DUE 07/20/2021		51.87	51.49	0.00	0.38	0.00	0.00
	10/20/2013 05/26/2004							
36202KYG4	GNMA II PASSTHRU CTF POOL #8811 5.625% DTD							
51.8200	07/01/91 DUE 07/20/2021		51.82	51.44	0.00	0.38	0.00	0.00
	11/20/2013 05/26/2004							
36202KYG4	GNMA II PASSTHRU CTF POOL #8811 5.625% DTD							
51.9400	07/01/91 DUE 07/20/2021		51.94	51.56	0.00	0.38	0.00	0.00
	12/20/2013 05/26/2004							
36202KYG4	GNMA II PASSTHRU CTF POOL #8811 5.625% DTD							
52.0800	07/01/91 DUE 07/20/2021		52.08	51.70	0.00	0.38	0.00	0.00
	12/31/2013 05/26/2004							
36202K5K7	GNMA II PASSTHRU CTF POOL #8950 6.375% DTD							
53.9600	04/01/92 DUE 04/20/2022		53.96	53.80	0.00	0.16	0.00	0.00
	02/20/2013 12/29/2004							
36202K5K7	GNMA II PASSTHRU CTF POOL #8950 6.375% DTD							
54.0600	04/01/92 DUE 04/20/2022		54.06	53.90	0.00	0.16	0.00	0.00
	03/20/2013 12/29/2004							
36202K5K7	GNMA II PASSTHRU CTF POOL #8950 6.375% DTD							
54.1600	04/01/92 DUE 04/20/2022		54.16	54.00	0.00	0.16	0.00	0.00
	04/20/2013 12/29/2004							
36202K5K7	GNMA II PASSTHRU CTF POOL #8950 6.375% DTD							
54.2600	04/01/92 DUE 04/20/2022		54.26	54.10	0.00	0.16	0.00	0.00
	05/20/2013 12/29/2004							

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2013 Tax Information Statement

Account Number: 611563008
 Recipient's Tax ID Number: 74-2815203
 Payer's Federal ID Number: 26-0052158
 Payer's State ID Number: MO
 State: (816)751-4285
 Questions? ☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:
 COUNTRY CLUB TRUST CO.
 P.O. BOX 413038
 KANSAS CITY, MO 64141

Recipient's Name and Address:
 JOHN J SULLIVAN JR. CHARITABLE TRUST
 C/O MR. J HOULEHAN, TRUSTEE
 11914 SUMMIT ST
 KANSAS CITY, MO 64145

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Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
36202K5K7	GNMA II PASSTHRU CTF POOL #8950 6.375% DTD (Box 1e) 04/01/92 DUE 04/20/2022 06/20/2013 12/29/2004		54.36	54.20	0.00	0.16	0.00	0.00
36202K5K7	GNMA II PASSTHRU CTF POOL #8950 6.375% DTD 04/01/92 DUE 04/20/2022 07/20/2013 12/29/2004		54.47	54.31	0.00	0.16	0.00	0.00
36202K5K7	GNMA II PASSTHRU CTF POOL #8950 6.375% DTD 04/01/92 DUE 04/20/2022 08/20/2013 12/29/2004		126.63	126.25	0.00	0.38	0.00	0.00
36202K5K7	GNMA II PASSTHRU CTF POOL #8950 6.375% DTD 04/01/92 DUE 04/20/2022 09/20/2013 12/29/2004		48.77	48.62	0.00	0.15	0.00	0.00
36202K5K7	GNMA II PASSTHRU CTF POOL #8950 6.375% DTD 04/01/92 DUE 04/20/2022 10/20/2013 12/29/2004		47.79	47.65	0.00	0.14	0.00	0.00
36202K5K7	GNMA II PASSTHRU CTF POOL #8950 6.375% DTD 04/01/92 DUE 04/20/2022 11/20/2013 12/29/2004		67.47	67.27	0.00	0.20	0.00	0.00
36202K5K7	GNMA II PASSTHRU CTF POOL #8950 6.375% DTD 04/01/92 DUE 04/20/2022 12/20/2013 12/29/2004		49.03	48.88	0.00	0.15	0.00	0.00
36202K5K7	GNMA II PASSTHRU CTF POOL #8950 6.375% DTD 04/01/92 DUE 04/20/2022 12/31/2013 12/29/2004		30.58	30.49	0.00	0.09	0.00	0.00
418056107	HASBRO INC COM	HAS						
1500.0000	08/12/2013 09/21/2009		67,803.47	43,064.10	0.00	24,739.37	0.00	0.00
464286806	ISHARES MSCI GERMANY ETF	EWG						
1200.0000	04/09/2013		29,280.30	24,523.59	0.00	4,756.71	0.00	0.00

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Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stocks, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e) 502424104	(Box 1a) L-3 COMMUNICATIONS CORP								
750.0000	11/20/2013			75,984.03	52,567.19	0.00	23,416.84	0.00	0.00
717081A06	PFIZER INC NT 4.65% DTD 02/19/2003 DUE 03/01/2018			PFE 18					
25000.0000	10/28/2013	01/30/2009		28,182.00	25,180.00	0.00	3,002.00	0.00	0.00
742718109	PROCTER & GAMBLE CO COM			PG					
500.0000	07/01/2013			39,129.92	15,003.71	0.00	24,126.21	0.00	0.00
747525103	QUALCOMM INC COM			QCOM					
150.0000	12/17/2013	10/13/2010		10,910.25	6,819.00	0.00	4,091.25	0.00	0.00
808513105	SCHWAB CHARLES CORP NEW COM			SCHW					
3000.0000	03/04/2013	10/20/2010		49,712.48	44,665.80	0.00	5,046.68	0.00	0.00
871829107	SYSCO CORP COM			SY					
1500.0000	09/17/2013	11/01/2010		49,674.19	44,325.00	0.00	5,349.19	0.00	0.00
87612EAP1	TARGET CORP NT 5.375% DTD 05/01/2007 DUE 05/01/2017 TGT 17								
25000.0000	10/28/2013	05/12/2009		28,504.25	25,475.00	0.00	3,029.25	0.00	0.00
883556102	THERMO FISHER CORP COM			TMO					
100.0000	10/28/2013	08/08/2008		9,783.33	6,100.58	0.00	3,682.75	0.00	0.00
883556AR3	THERMO FISHER SCIENTIFIC INC SR NT 144A 3.25% DTD			TMO 14					
50000.0000	11/20/2009 DUE			50,935.00	49,896.50	0.00	1,038.50	0.00	0.00
12/17/2013	01/19/2010								
88732J207	TIME WARNER CABLE INC COM			TWC					
200.0000	11/27/2013	04/30/2009		27,323.55	6,479.15	0.00	20,844.40	0.00	0.00

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94106L109	WASTE MANAGEMENT INC COM	WM	38,694.43	25,774.00	0.00	12,920.43	0.00	0.00
1000.0000	04/03/2013							
Total Long Term Sales Reported on 1099-B								
Report on Form 8949, Part II, with Box E checked								
Long Term 28% Sales Reported on 1099-B								
78463V107	SPDR GOLD TR GOLD SHS	GLD	28.89	0.00	0.00	28.89	0.00	0.00
550.0000	01/07/2013							
78463V107	SPDR GOLD TR GOLD SHS	GLD	29.85	0.00	0.00	29.85	0.00	0.00
550.0000	02/21/2013							
78463V107	SPDR GOLD TR GOLD SHS	GLD	29.00	0.00	0.00	29.00	0.00	0.00
550.0000	03/12/2013							
78463V107	SPDR GOLD TR GOLD SHS	GLD	29.70	0.00	0.00	29.70	0.00	0.00
550.0000	04/09/2013							
78463V107	SPDR GOLD TR GOLD SHS	GLD	30.65	0.00	0.00	30.65	0.00	0.00
550.0000	05/10/2013							
78463V107	SPDR GOLD TR GOLD SHS	GLD	26.81	0.00	0.00	26.81	0.00	0.00
550.0000	06/17/2013							
78463V107	SPDR GOLD TR GOLD SHS	GLD	26.39	0.00	0.00	26.39	0.00	0.00
550.0000	07/10/2013							

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JOHN J SULLIVAN JR CHAR TR IMA-MAINAccount Number:
Statement Period:61-1563-00-8
01/01/13 - 12/31/13**Asset Position As of 12/31/13**

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Cash Equivalents				
Money Market Sweep	107,672.930	107,672.93 107,672.93	6.00 0.45	0.01%
Total Cash Equivalents		\$ 107,672.93 \$ 107,672.93	6.00 0.45	0.01%
Equities				
AT&T Inc Com	1,250.000	43,950.00 33,661.34	2,300.00	5.23%
Affiliated Managers Group Com	400.000	86,752.00 42,396.62		
Apache Corp Com	1,000.000	85,940.00 93,156.18	800.00	0.93%
Archer Daniels Midland Co Com	1,750.000	75,950.00 48,780.22	1,680.00	2.21%
Astrazeneca PLC Sponsored ADR	1,750.000	103,897.50 86,364.45	4,900.00	4.72%
Bank New York Mellon Corp Com	1,750.000	61,145.00 44,852.45	1,050.00	1.72%
Boeing Co Com	750.000	102,367.50 52,254.15	2,190.00	2.14%
CVS Caremark Corp Com	1,500.000	107,355.00 54,854.98	1,650.00	1.54%
Capital One Finl Corp Com	1,350.000	103,423.50 70,550.31	1,620.00	1.57%
Centurylink Inc	1,750.000	55,737.50 60,909.21	3,780.00	6.78%
Chevron Corporation	700.000	87,437.00 32,326.72	2,800.00	3.20%
Cisco Sys Inc Com	4,000.000	89,720.00 84,745.60	2,720.00	3.03%
Disney Walt Co Com	1,175.000	89,770.00 28,125.68	1,010.00 1,010.50	1.13%
Du Pont E I De Nemours & Co Com	1,250.000	81,212.50 43,772.20	2,250.00	2.77%



JOHN J SULLIVAN JR CHAR TR IMA-MAIN

Account Number:
Statement Period:

61-1563-00-8
01/01/13 - 12/31/13

Asset Position As of 12/31/13

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
EMC Corp Mass Com	4,000.000	100,600.00 99,934.75	1,600.00	1.59%
Exxon Mobil Corp Com	850.000	86,020.00 47,256.12	2,142.00	2.49%
General Elec Co Com	3,500.000	98,105.00 79,941.94	3,080.00 770.00	3.14%
Google Inc Cl A	50.000	56,035.50 33,259.76		
Honda Mtr LTD ADR Representing 2 Ord Shs	2,250.000	93,037.50 87,315.97	1,620.00	1.74%
Intel Corp Com	3,500.000	90,842.50 71,613.85	3,150.00	3.47%
International Business Machs Corp Com	400.000	75,028.00 44,656.71	1,520.00	2.03%
Ishares Msci Pacific Ex Japan Etf	2,000.000	93,460.00 81,337.35	3,816.00	4.08%
Ishares Msci EAFE Growth Etf	2,000.000	142,960.00 116,875.63	2,658.00	1.86%
Jacobs Engr Group Inc Com	1,500.000	94,485.00 62,632.28		
Johnson & Johnson Com	850.000	77,851.50 50,735.10	2,244.00	2.88%
Kemper Corp Del Com	1,500.000	61,320.00 42,524.55	1,440.00	2.35%
Linear Technology Corp Com	1,500.000	68,325.00 47,894.40	1,560.00	2.28%
Microsoft Corp Com	2,500.000	93,525.00 65,933.17	2,800.00	2.99%
Molson Coors Brewing Co Cl B	1,000.000	56,150.00 50,756.10	1,280.00	2.28%
NCR Corp New Com	1,795.000	61,137.70 67,413.56		
Nextera Energy Inc Com	500.000	42,810.00 23,173.69	1,320.00	3.08%
Novartis Ag Sponsored ADR	1,200.000	96,456.00 73,373.43	2,468.00	2.56%

Asset Position As of 12/31/13

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Omnicom Group Com	1,250.000	92,962.50 47,088.74	2,000.00 500.00	2.15%
Oracle Corp Com	2,450.000	93,737.00 56,080.50	1,176.00	1.25%
PPL Corp Com	2,250.000	67,702.50 61,788.15	3,307.00 826.87	4.89%
Powershares Exchange-Traded Fd Tr Intl Divid Achievers Portfolio	5,000.000	92,100.50 75,073.92	1,995.00	2.17%
Prudential Financial Inc Com	1,000.000	92,220.00 57,842.37	2,120.00	2.30%
Qualcomm Inc Com	1,250.000	92,812.50 53,879.31	1,750.00	1.89%
Spdr Gold Tr Gold Shs	450.000	52,254.00 60,617.14		
Sanofi Sponsored ADR	1,750.000	93,852.50 91,873.34	2,196.00	2.34%
Schlumberger LTD Com	1,000.000	90,110.00 46,146.06	1,250.00 312.50	1.39%
Tesco PLC Sponsored ADR	3,000.000	49,839.00 51,471.60	1,935.00	3.88%
Teva Pharmaceutical Inds LTD ADR	2,250.000	90,180.00 105,840.04	2,443.00	2.71%
Thermo Fisher Corp Com	900.000	100,215.00 35,644.09	540.00 135.00	0.54%
Time Warner Cable Inc Com	450.000	60,975.00 14,349.00	1,170.00	1.92%
Total S A Sponsored ADR	1,500.000	91,905.00 79,122.23	3,958.00 1,177.75	4.31%
United Technologies Corp Com	900.000	102,420.00 46,677.38	2,124.00	2.07%
Vanguard Ftse Emerging Markets Etf	4,040.000	166,205.60 167,814.32	4,545.00	2.73%
Wal Mart Stores Inc Com	1,000.000	78,690.00 59,932.01	1,880.00 470.00	2.39%
Wisdomtree Tr Europe Hedged Eq	1,400.000	78,694.00 71,077.04	1,454.00	1.85%



JOHN J SULLIVAN JR CHAR TR IMA-MAIN

Account Number:
Statement Period:

61-1563-00-8
01/01/13 - 12/31/13

Asset Position As of 12/31/13

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Total Equities		\$ 4,249,681.30 \$ 3,105,695.71	97,291.00 5,202.62	2.29%
Fixed Income				
Boeing Co Sr Nt 4.875% Dtd 07/28/2009 Due 02/15/2020 Callable	30,000.000	33,448.20 30,666.00	1,462.00 552.50	4.37%
Chevron Corp New Sr Nt 3.191% Dtd 06/24/2013 Due 06/24/2023 Callable	40,000.000	38,398.00 40,204.40	1,276.00 24.81	3.32%
Coca Cola Enterprises Inc Nt Zero Cpn Zero Cpn Dtd 06/20/1995 Due 06/20/2020 Putable Non-Callable	65,000.000	52,586.30 52,608.40		
Columbia MO Spl Oblig Taxable Spl Oblig Impt B 2009a Taxable 4.70% Dtd 09/01/2009 Due 03/01/2021 Callable	50,000.000	53,490.00 50,573.00	2,350.00 783.33	4.39%
Conocophillips Gtd Nt 6.00% Dtd 05/21/2009 Due 01/15/2020 Callable	50,000.000	58,656.00 50,877.50	3,000.00 1,383.33	5.11%
Dallas Tex Gopension Bds 2005 B Taxable Zero Cpn Dtd 02/16/2005 Due 02/15/2025 Non-Callable	60,000.000	34,106.40 38,248.80		
FPL Group Cap Tr I Pfd Tr Secs 5.875%	2,000.000	48,400.00 51,681.92	2,938.00	6.07%
Federal Home Loan Bks Cons Bds 5.00% Dtd 11/03/2005 Due 12/11/2015	40,000.000	43,521.20 39,778.40	2,000.00 111.11	4.60%
Federal Natl Mtg Assn Gtd Passthru CTF Pool #75365 Arm Rate Dtd 04/01/89 Due 03/01/2019 IPD24	18,100.980	18,209.59 18,084.53	399.00 33.27	2.19%
Fidelity New Markets Income Fund #331	3,993.289	62,255.38 66,825.00	3,063.00 253.37	4.92%
GNMA II Passthru CTF Pool #3795 5.000% Dtd 12/01/05 Due 12/20/2035	30,086.470	33,009.97 29,303.08	1,504.00 125.36	4.56%
GNMA II Passthru CTF Pool #3830 5.500% Dtd 03/01/06 Due 03/20/2036	7,574.260	8,380.31 7,440.25	416.00 34.71	4.97%
GNMA II Passthru CTF Pool #3840 5.000% Dtd 04/01/06 Due 04/20/2036	19,333.160	21,112.97 18,387.53	966.00 80.55	4.58%

JOHN J SULLIVAN JR CHAR TR IMA-MAIN**Account Number:**
Statement Period:**61-1563-00-8**
01/01/13 - 12/31/13**Asset Position As of 12/31/13**

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
GNMA II Passthru CTF Pool #8031 6.000% Dtd 01/01/85 Due 12/20/2014	1,459.550	1,522.22 1,455.32	87.00 7.29	5.75%
GNMA II Passthru CTF Pool #8195 5.375% Dtd 02/01/87 Due 02/20/2017	6,062.430	6,083.22 6,035.45	98.00 8.20	1.62%
GNMA II Gtd Pass Thru CTF Pool #8417 5.125% Dtd 10/01/88 Due 10/20/2018	4,544.130	4,798.87 4,524.15	181.00 15.14	3.79%
GNMA II Passthru CTF Pool #8811 5.625% Dtd 07/01/91 Due 07/20/2021	5,206.420	5,436.75 5,168.10	130.00 10.84	2.39%
GNMA II Passthru CTF Pool #8950 6.375% Dtd 04/01/92 Due 04/20/2022	3,169.940	3,282.06 3,160.44	51.00 4.29	1.57%
GNMA II Passthru CTF Pool #80031 5.375% Dtd 01/01/97 Due 01/20/2027	3,909.400	4,054.87 3,901.81	63.00 5.29	1.57%
GNMA II Passthru CTF Pool #80093 5.625% Dtd 07/01/97 Due 07/20/2027	9,308.490	9,669.94 9,277.22	151.00 12.60	1.56%
GNMA II Passthru CTF Pool #80094 5.625% Dtd 07/01/97 Due 07/20/2027	13,058.660	13,546.14 13,022.69	212.00 17.68	1.57%
GNMA II Gtd Passthru CTF Pool #80968 5.625% Dtd 07/01/04 Due 07/20/2034	3,029.980	3,147.85 3,022.84	49.00 4.10	1.56%
GNMA II Passthru CTF Pool #81056 5.625% Dtd 09/01/04 Due 09/20/2034	4,951.570	5,144.24 4,939.37	80.00 6.70	1.56%
General Elec Co Nt 5.25% Dtd 12/06/2007 Due 12/06/2017	55,000.000	62,256.70 54,285.00	2,887.00 200.52	4.64%
Goldman Sachs Strategic Income Fund Inst #3716	4,995.117	53,247.95 51,150.00	1,476.00 157.70	2.77%
JPMorgan Chase & Co 6.00% Dtd 12/20/2007 Due 01/15/2018	60,000.000	69,088.80 61,485.60	3,600.00 1,660.00	5.21%
Johnson Cnty Kans Uni Sch Dist GO Sch Bds 2010a Taxable 4.50% Dtd 07/01/2010 Due 09/01/2021 Callable	50,000.000	52,290.00 50,000.00	2,250.00 750.00	4.30%
Laredo Tex Ctf Oblig 2012 B Taxable 2.95% Dtd 10/01/2012 Due 02/15/2022 Non-Callable	50,000.000	46,275.50 46,925.50	1,475.00 557.22	3.19%
Mfs Multimarket Income Tr Sh Ben Int	9,000.000	59,580.00 63,407.30	3,942.00	6.62%



JOHN J SULLIVAN JR CHAR TR IMA-MAIN

Account Number:
Statement Period:

61-1563-00-8
01/01/13 - 12/31/13

Asset Position As of 12/31/13

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Meramec Vy Sch Dist No R III M Taxable GO Bds 2010a Taxable 4.791% Dtd 08/05/2010 Due 03/01/2021 Callable	75,000.000	78,876.75 75,000.00	3,593.00 1,197.75	4.56%
Merck & Co Inc 4.75% Dtd 02/17/2005 Due 03/01/2015 Callable	50,000.000	52,478.50 50,183.00	2,375.00 791.66	4.53%
Nucor Corp Nt 5.75% Dtd 12/03/2007 Due 12/01/2017 Callable	30,000.000	33,950.10 30,720.00	1,725.00 143.75	5.08%
Pfizer Inc Nt 4.65% Dtd 02/19/2003 Due 03/01/2018 Non-Callable	50,000.000	55,462.00 50,360.00	2,325.00 771.77	4.19%
Procter & Gamble Co Sr Nt 4.70% Dtd 02/06/2009 Due 02/15/2019 Callable	50,000.000	55,847.00 50,625.00	2,350.00 887.77	4.21%
St Charles Cnty MO Spl Oblig Spl Oblig Bds 2010c Taxable 5.155% Dtd 05/06/2010 Due 10/01/2020 Non-Callable	50,000.000	54,849.00 51,347.00	2,577.00 644.37	4.70%
St Charles Cnty MO Spl Oblig Spl Oblig Bds 2010c Taxable 5.805% Dtd 05/06/2010 Due 10/01/2025 Callable	40,000.000	42,892.40 40,884.80	2,322.00 580.50	5.41%
Stanford Leland Jr Univ Board Bd 4.25% Dtd 04/29/2009 Due 05/01/2016 Callable	115,000.000	124,593.30 115,583.60	4,887.00 814.58	3.92%
Target Corp Nt 5.375% Dtd 05/01/2007 Due 05/01/2017 Callable	75,000.000	84,303.75 76,425.00	4,031.00 671.87	4.78%
US Bancorp Del Dep Shs Pfd F 6.50%	2,195.000	57,728.50 63,736.85	3,566.00 891.71	6.18%
US Treasury Note 2.000% Dtd 01/15/04 Due 01/15/2014 Treasury Inflation Protection Security	69,523.300	69,515.65 70,846.04	1,390.00 642.30	2.00%
Verizon Communications Inc Nt 5.55% Dtd 02/15/2006 Due 02/15/2016 Callable	50,000.000	54,681.00 51,975.00	2,775.00 1,048.33	5.07%
Wal-Mart Stores Inc Nt 5.80% Dtd 08/24/2007 Due 02/15/2018	50,000.000	57,779.50 52,663.50	2,900.00 1,095.55	5.02%

JOHN J SULLIVAN JR CHAR TR IMA-MAINAccount Number:
Statement Period:61-1563-00-8
01/01/13 - 12/31/13**Asset Position As of 12/31/13**

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Wal Mart Stores Inc Nt 4.125% Dtd 01/23/2009 Due 02/01/2019 Non-Callable	75,000.000	81,350.25 74,550.00	3,093.00 1,289.06	3.80%
Waste Mgmt Inc Del Gtd Sr 6.375% Dtd 02/26/2009 Due 03/11/2015 Callable	50,000.000	53,251.50 50,234.00	3,187.00 973.95	5.99%
Wells Fargo Bk Natl Assn Sub Nt Accredited Invs 5.75% Dtd 05/15/2006 Due 05/16/2016	50,000.000	55,268.00 49,464.50	2,875.00 367.36	5.20%
Total Fixed Income		\$ 1,917,826.63 \$ 1,831,037.89	82,077.00 19,612.19	4.28%
Cash				
Principal Cash		-849,129.27 -849,129.27		
Income Cash		849,129.27 849,129.27		
Total Cash		\$ 0.00 \$ 0.00	0.00 0.00	0.00%
Total Market Value		\$ 6,275,180.86 \$ 5,044,406.53	179,374.00 24,815.26	2.86%
Total Market Value Plus Accruals		\$ 6,299,996.12		

Income Activity

	Date	Income Cash	Principal Cash
Interest Income			
Boeing Co Sr Nt 4.875% Dtd 07/28/2009 Due 02/15/2020 Callable			
Int To 02/15/13 on 30,000	02/15/13	731.25	
Int To 08/15/13 on 30,000	08/15/13	731.25	
Chevron Corp New Sr Nt 3.191% Dtd 06/24/2013 Due 06/24/2023 Callable			
Int 06/24/13 To 12/24/13 on 40000	12/24/13	638.20	

JOHN J SULLIVAN JR CHAR TR IMA-MAINAccount Number:
Statement Period:61-1563-00-8
01/01/12 - 12/31/12**Asset Position As of 12/31/12**

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Cash Equivalents				
Money Market Sweep	195,456.470	195,456.47 195,456.47	75.00 3.27	0.04%
Total Cash Equivalents		\$ 195,456.47 \$ 195,456.47	75.00 3.27	0.04%
Equities				
AT&T Inc Com	950.000	32,024.50 22,439.00	1,710.00	5.34%
Abbott Labs Com	1,000.000	65,500.00 40,409.60	560.00	0.85%
Affiliated Managers Group Com	400.000	52,060.00 36,503.76		
Apache Corp Com	800.000	62,800.00 77,571.75	544.00	0.87%
Archer Daniels Midland Co Com	1,500.000	41,085.00 40,617.42	1,050.00	2.56%
Astrazeneca PLC Sponsored ADR	1,300.000	61,451.00 63,596.90	3,705.00	6.03%
Bank Hawaii Corp Com	1,250.000	55,062.50 57,490.30	2,250.00	4.09%
Bank New York Mellon Corp Com	1,500.000	38,550.00 37,944.60	780.00	2.02%
Barrick Gold Corp Com	1,500.000	52,515.00 60,177.10	1,200.00	2.29%
Boeing Co Com	1,000.000	75,360.00 70,912.40	1,940.00	2.57%
CVS Caremark Corp Com	1,600.000	77,360.00 58,704.98	1,440.00	1.86%
Centurylink Inc	1,500.000	58,680.00 52,299.85	4,350.00	7.41%
Chevron Corporation	700.000	75,698.00 32,326.72	2,520.00	3.33%
Cisco Sys Inc Com	4,000.000	78,597.60 84,745.60	2,240.00	2.85%



JOHN J SULLIVAN JR CHAR TR IMA-MAIN

Account Number:
Statement Period:

61-1563-00-8
01/01/12 - 12/31/12

Asset Position As of 12/31/12

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Disney Walt Co Com	1,400.000	69,706.00 35,199.05	1,050.00	1.51%
Donnelley R R & Sons Co Com	4,000.000	35,960.00 69,370.75	4,160.00	11.57%
Du Pont E I De Nemours & Co Com	1,500.000	67,467.75 54,685.26	2,580.00	3.82%
EMC Corp Mass Com	2,500.000	63,250.00 64,498.95		
Exxon Mobil Corp Com	850.000	73,567.50 47,256.12	1,938.00	2.63%
General Elec Co Com	3,500.000	73,465.00 79,941.94	2,660.00 665.00	3.62%
Gilead Sciences Inc Com	1,000.000	73,450.00 35,850.00		
Google Inc Cl A	50.000	35,369.00 33,259.76		
Hasbro Inc Com	1,800.000	64,620.00 53,102.67	2,592.00	4.01%
Intel Corp Com	3,000.000	61,860.00 61,342.50	2,700.00	4.36%
International Business Machs Corp Com	400.000	76,620.00 44,656.71	1,360.00	1.77%
Ishares Inc Msci Pacific Ex Japan Index Fd	2,000.000	94,280.00 81,337.35	3,964.00	4.20%
Ishares Msci Germany Index Fd	2,000.000	49,400.00 40,682.59	1,162.00	2.35%
Ishares Tr Msci EAFE Growth Index Fd	2,000.000	120,080.00 116,875.63	2,750.00	2.29%
Jacobs Engr Group-Inc Com	1,500.000	63,855.00 62,632.28		
Johnson & Johnson Com	1,100.000	77,110.00 67,234.43	2,684.00	3.48%
Kemper Corp Del Com	1,500.000	44,250.00 42,524.55	1,440.00	3.25%
L-3 Communications Hldgs Inc Com	750.000	57,465.00 52,567.19	1,500.00	2.61%

JOHN J SULLIVAN JR CHAR TR IMA-MAINAccount Number:
Statement Period:61-1563-00-8
01/01/12 - 12/31/12**Asset Position As of 12/31/12**

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Linear Technology Corp Com	1,500.000	51,450.00 47,894.40	1,560.00	3.03%
Microsoft Corp Com	2,500.000	66,774.25 65,933.17	2,300.00	3.44%
Nextera Energy Inc Com	500.000	34,595.00 23,173.69	1,200.00	3.47%
Novartis Ag Sponsored ADR	1,000.000	63,300.00 60,678.13	2,106.00	3.33%
Omnicom Group Com	1,250.000	62,450.00 47,088.74	1,500.00	2.40%
Oracle Corp Com	2,450.000	81,634.00 56,080.50	588.00	0.72%
PPL Corp Com	2,250.000	64,417.50 61,788.15	3,240.00 810.00	5.03%
Powershares Exchange-Traded Fd Tr Intl Divid Achievers Portfolio	5,000.000	79,150.00 75,073.92	2,135.00	2.70%
Procter & Gamble Co Com	700.000	47,523.00 27,867.71	1,573.00	3.31%
Qualcomm Inc Com	1,200.000	74,231.52 47,466.95	1,200.00	1.62%
Spdr Gold Tr Gold Shs	550.000	89,111.22 77,939.11		
Schlumberger LTD Com	1,000.000	69,298.60 46,146.06	1,100.00 275.00	1.59%
Schwab Charles Corp New Com	3,250.000	46,670.00 49,363.28	780.00	1.67%
Sysco Corp Com	1,700.000	53,822.00 50,476.90	1,904.00	3.54%
Teva Pharmaceutical Inds LTD ADR	1,750.000	65,345.00 86,968.49	1,407.00	2.15%
Thermo Fisher Corp Com	1,000.000	63,780.00 41,744.67	600.00 150.00	0.94%
Time Warner Cable Inc Com	750.000	72,892.50 27,293.15	1,680.00	2.30%
Total S A Sponsored ADR	1,350.000	70,213.50 71,862.42	3,384.00 1,033.04	4.82%



JOHN J SULLIVAN JR CHAR TR IMA-MAIN

Account Number:
Statement Period:

61-1563-00-8
01/01/12 - 12/31/12

Asset Position As of 12/31/12

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
United Technologies Corp Com	1,000.000	82,010.00 54,326.38	2,140.00	2.61%
Vanguard Intl Equity Index Fds Msci Emerging Mkts Etf	1,500.000	66,795.00 61,026.00	1,462.00	2.19%
Wal Mart Stores Inc Com	1,100.000	75,053.00 64,919.57	1,749.00	2.33%
Waste Management Inc Com	1,000.000	33,740.00 25,774.00	1,420.00	4.21%
Wisdomtree Emerging Markets Equity Income	1,250.000	71,487.50 66,745.78	2,355.00	3.29%
Total Equities		\$ 3,514,262.44 \$ 3,016,388.88	94,212.00 2,933.04	2.68%
Fixed Income				
Boeing Co Sr Nt 4.875% Dtd 07/28/2009 Due 02/15/2020 Callable	30,000.000	36,144.60 30,666.00	1,462.00 552.49	4.05%
Coca Cola Enterprises Inc Nt Zero Cpn Zero Cpn Dtd 06/20/1995 Due 06/20/2020 Putable Non-Callable	65,000.000	53,380.60 52,608.40		
Columbia MO Spl Oblig Taxable Spl Oblig Impt B 2009a Taxable 4.70% Dtd 09/01/2009 Due 03/01/2021 Callable	50,000.000	57,111.00 50,573.00	2,350.00 783.33	4.11%
Conocophillips Gtd Nt 6.00% Dtd 05/21/2009 Due 01/15/2020 Callable	50,000.000	63,451.50 50,877.50	3,000.00 1,383.33	4.73%
Dallas Tex Gopension Bds 2005 B Taxable Zero Cpn Dtd 02/16/2005 Due 02/15/2025 Non-Callable	60,000.000	38,059.20 38,248.80		
FPL Group Cap Tr I Pfd Tr Secs 5.875%	2,000.000	51,080.00 51,681.92	2,938.00	5.75%
Federal Home Loan Bks Cons Bds 5.00% Dtd 11/03/2005 Due 12/11/2015	40,000.000	45,376.40 39,778.40	2,000.00 111.11	4.41%
Federal Natl Mtg Assn Gtd Passthru CTF Pool #75365 Arm Rate Dtd 04/01/89 Due 03/01/2019 IPD24	21,164.600	21,221.53 21,145.37	490.00 40.98	2.31%
Fidelity New Markets Income Fund #331	3,993.289	71,080.54 66,825.00	2,809.00 253.01	3.95%

JOHN J SULLIVAN JR CHAR TR IMA-MAINAccount Number:
Statement Period:61-1563-00-8
01/01/12 - 12/31/12**Asset Position As of 12/31/12**

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
GNMA II Passthru CTF Pool #3795 5.000% Dtd 12/01/05 Due 12/20/2035	43,735.260	48,165.20 42,596.49	2,186.00 182.23	4.54%
GNMA II Passthru CTF Pool #3830 5.500% Dtd 03/01/06 Due 03/20/2036	11,193.530	12,341.31 10,995.48	615.00 51.30	4.99%
GNMA II Passthru CTF Pool #3840 5.000% Dtd 04/01/06 Due 04/20/2036	30,549.200	33,605.34 29,054.97	1,527.00 127.28	4.55%
GNMA II Passthru CTF Pool #8031 6.000% Dtd 01/01/85 Due 12/20/2014	3,298.480	3,521.23 3,288.92	197.00 16.49	5.62%
GNMA II Passthru CTF Pool #8195 5.375% Dtd 02/01/87 Due 02/20/2017	8,348.380	8,672.80 8,311.23	135.00 11.30	1.56%
GNMA II Gtd Pass Thru CTF Pool #8417 5.125% Dtd 10/01/88 Due 10/20/2018	5,442.200	5,744.41 5,418.26	217.00 18.14	3.79%
GNMA II Passthru CTF Pool #8811 5.625% Dtd 07/01/91 Due 07/20/2021	5,823.140	6,059.85 5,780.28	145.00 12.13	2.40%
GNMA II Passthru CTF Pool #8950 6.375% Dtd 04/01/92 Due 04/20/2022	3,888.760	4,070.99 3,877.12	68.00 5.67	1.67%
GNMA II Passthru CTF Pool #80031 5.375% Dtd 01/01/97 Due 01/20/2027	4,311.100	4,478.63 4,302.74	70.00 5.83	1.56%
GNMA II Passthru CTF Pool #80093 5.625% Dtd 07/01/97 Due 07/20/2027	11,450.110	11,898.84 11,411.65	200.00 16.69	1.68%
GNMA II Passthru CTF Pool #80094 5.625% Dtd 07/01/97 Due 07/20/2027	14,796.390	15,376.26 14,755.63	258.00 21.57	1.68%
GNMA II Gtd Passthru CTF Pool #80968 5.625% Dtd 07/01/04 Due 07/20/2034	3,412.630	3,546.37 3,404.58	59.00 4.97	1.68%
GNMA II Passthru CTF Pool #81056 5.625% Dtd 09/01/04 Due 09/20/2034	5,662.130	5,884.03 5,648.17	99.00 8.25	1.68%
General Elec Co Nt 5.25% Dtd 12/06/2007 Due 12/06/2017	55,000.000	64,853.25 54,285.00	2,887.00 200.52	4.45%
Goldman Sachs Strategic Income Fund Inst #3716	4,995.117	51,649.51 51,150.00	2,000.00 545.44	3.87%
JPMorgan Chase & Co 6.00% Dtd 12/20/2007 Due 01/15/2018	60,000.000	71,831.40 61,485.60	3,600.00 1,660.00	5.01%
Johnson Cnty Kans Uni Sch Dist GO Sch Bds 2010a Taxable 4.50% Dtd 07/01/2010 Due 09/01/2021 Callable	50,000.000	56,137.50 50,000.00	2,250.00 750.00	4.01%



JOHN J SULLIVAN JR CHAR TR IMA-MAIN

Account Number:
Statement Period:

61-1563-00-8
01/01/12 - 12/31/12

Asset Position As of 12/31/12

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Mfs Multimarket Income Tr Sh Ben Int	9,000.000	65,520.00 63,407.30	4,320.00	6.59%
Meramec Vy Sch Dist No R III M Taxable GO Bds 2010a Taxable 4.791% Dtd 08/05/2010 Due 03/01/2021 Callable	75,000.000	84,124.50 75,000.00	3,593.00 1,197.75	4.27%
Merck & Co Inc 4.75% Dtd 02/17/2005 Due 03/01/2015 Callable	50,000.000	54,504.00 50,183.00	2,375.00 791.66	4.36%
Nucor Corp Nt 5.75% Dtd 12/03/2007 Due 12/01/2017 Callable	30,000.000	35,989.20 30,720.00	1,725.00 143.75	4.79%
Pfizer Inc Nt 4.65% Dtd 02/19/2003 Due 03/01/2018 Non-Callable	75,000.000	87,029.25 75,540.00	3,487.00 1,162.50	4.01%
Procter & Gamble Co Sr Nt 4.70% Dtd 02/06/2009 Due 02/15/2019 Callable	50,000.000	59,073.50 50,625.00	2,350.00 887.77	3.98%
St Charles Cnty MO Spl Oblig Spl Oblig Bds 2010c Taxable 5.155% Dtd 05/06/2010 Due 10/01/2020 Non-Callable	50,000.000	59,239.00 51,347.00	2,577.00 644.37	4.35%
St Charles Cnty MO Spl Oblig Spl Oblig Bds 2010c Taxable 5.805% Dtd 05/06/2010 Due 10/01/2025 Callable	40,000.000	46,368.80 40,884.80	2,322.00 580.50	5.01%
Stanford Leland Jr Univ Board Bd 4.25% Dtd 04/29/2009 Due 05/01/2016 Callable	115,000.000	127,600.55 115,583.60	4,887.00 814.58	3.83%
Target Corp Nt 5.375% Dtd 05/01/2007 Due 05/01/2017 Callable	100,000.000	117,712.00 101,900.00	5,375.00 895.83	4.57%
Thermo Fisher Scientific Inc Sr Nt 144a 3.25% Dtd 11/20/2009 Due 11/20/2014 Callable	50,000.000	52,280.50 49,896.50	1,625.00 185.06	3.11%
US Bancorp Del Dep Shs Pfd F 6.50%	2,195.000	62,864.80 63,736.85	3,566.00 891.71	5.67%
US Treasury Note 2.000% Dtd 01/15/04 Due 01/15/2014 Treasury Inflation Protection Security	68,855.050	71,087.33 70,177.79	1,377.00 632.82	1.94%

JOHN J SULLIVAN JR CHAR TR IMA-MAIN

Account Number:
Statement Period:61-1563-00-8
01/01/12 - 12/31/12

Asset Position As of 12/31/12

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Verizon Communications Inc Nt 5.55% Dtd 02/15/2006 Due 02/15/2016 Callable	50,000.000	56,937.00 51,975.00	2,775.00 1,048.33	4.87%
Wal-Mart Stores Inc Nt 5.80% Dtd 08/24/2007 Due 02/15/2018	50,000.000	61,252.50 52,663.50	2,900.00 1,095.55	4.73%
Wal Mart Stores Inc Nt 4.125% Dtd 01/23/2009 Due 02/01/2019 Non-Callable	75,000.000	85,338.00 74,550.00	3,093.00 1,289.06	3.63%
Waste Mgmt Inc Del Gtd Sr 6.375% Dtd 02/26/2009 Due 03/11/2015 Callable	50,000.000	55,918.50 50,234.00	3,187.00 973.95	5.70%
Wells Fargo Bk Natl Assn Sub Nt Accredited Invs 5.75% Dtd 05/15/2006 Due 05/16/2016	50,000.000	56,768.50 49,464.50	2,875.00 367.36	5.06%
Total Fixed Income		\$ 2,084,350.22 \$ 1,886,059.35	85,971.00 20,364.61	4.13%

Cash

Principal Cash	-702,835.22 -702,835.22			
Income Cash	702,835.22 702,835.22			
Total Cash	\$ 0.00 \$ 0.00	0.00 0.00	0.00%	
Total Market Value	\$ 5,794,069.13 \$ 5,097,904.70	180,258.00 23,300.92	3.11%	
Total Market Value Plus Accruals	\$ 5,817,370.05			

Income Activity

	Date	Income Cash	Principal Cash
Interest Income			
Berkshire Hathaway Fin Corp Gtd Sr Nt 144a 4.00% Dtd 04/02/2009 Due 04/15/2012 Callable Int To 04/15/12 on 47,000	04/16/12	940.00	

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions JOHN J. SULLIVAN, JR. FOUNDATION JOHN HOULEHAN & MARK HENKE-TRUSTEES	Employer identification number (EIN) or 74-2815203
	Number, street, and room or suite no. If a P O box, see instructions 11914 SUMMIT	Social security number (SSN)
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions KANSAS CITY MO 64145	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

JOHN G. HOULEHAN**11914 SUMMIT**

- The books are in the care of ► **KANSAS CITY**

MO 64145Telephone No ► **816-360-8639**

FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach

a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **08/15/14**, to file the exempt organization return for the organization named above. The extension is for the organization's return for

► ☒ calendar year **2013** or► ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return

☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	5,000
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	5,000
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions**For Privacy Act and Paperwork Reduction Act Notice, see instructions.**