Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: MB KRUPP FOUNDATION

A Employer identification number: 74-1562995

Number and street (or P O box number if mail is not delivered to street address): 8903 QUAIL RIDGE LANE

Room/suite:

B Telephone number: (913) 895-4701

City or town, state or province, country, and ZIP or foreign postal code: LENEXA, KS 66220

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 1,320,070.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received	0.		N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	88.	88.		STATEMENT 1
	4 Dividends and interest from securities	41,831.	41,244.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	22,429.			
	b Gross sales price for all assets on line 6a 1,142,926.				
	7 Capital gain net income (from Part IV, line 2)		22,429.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	2,516.	1,486.		STATEMENT 3
	12 Total Add lines 1 through 11	66,864.	65,247.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 4	2,670.	2,670.		0.
	b Accounting fees STMT 5	7,125.	7,125.		0.
	c Other professional fees STMT 6	25,559.	25,559.		0.
	17 Interest				
	18 Taxes STMT 7	1,840.	108.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 8	2,448.	2,447.		0.
	24 Total operating and administrative expenses Add lines 13 through 23	39,642.	37,909.		0.
	25 Contributions, gifts, grants paid	120,000.			120,000.
	26 Total expenses and disbursements Add lines 24 and 25	159,642.	37,909.		120,000.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<92,778.>			
	b Net investment income (if negative, enter -0-)		27,338.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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323501 10-10-13 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2013)

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash - non-interest-bearing			
2	Savings and temporary cash investments	211,079.	83,725.	83,725.
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons			
7	Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U S and state government obligations STMT 10	9,256.	9,256.	9,526.
b	Investments - corporate stock STMT 11	624,259.	715,366.	892,961.
c	Investments - corporate bonds STMT 12	363,159.	304,596.	311,008.
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
12	Investments - mortgage loans			
13	Investments - other STMT 13	19,983.	17,070.	22,850.
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,227,736.	1,130,013.	1,320,070.
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31**			
24	Unrestricted	1,227,736.	1,130,013.	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances**	1,227,736.	1,130,013.	
31	**Total liabilities and net assets/fund balances**	1,227,736.	1,130,013.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,227,736.
2	Enter amount from Part I, line 27a	2	<92,778.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,134,958.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9	5	4,945.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,130,013.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	1,142,926.		1,120,497.	22,429.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				22,429.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 22,429.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2012	20,037.	1,300,997.	.015401
2011	14,798.	1,246,149.	.011875
2010	12,406.	680,448.	.018232
2009	17,459.	339,250.	.051464
2008	20,967.	369,190.	.056792

2	**Total** of line 1, column (d)	2	.153764
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.030753
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,361,954.
5	Multiply line 4 by line 3	5	41,884.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	273.
7	Add lines 5 and 6	7	42,157.
8	Enter qualifying distributions from Part XII, line 4	8	120,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	273.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	273.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-		5	273.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 880.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 2,000.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	2,880.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	2,607.
11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 2,607. **Refunded** ▶		11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NONE			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ GARY SMITH Telephone no ▶ (913) 895-4701

Located at ▶ 8903 QUAIL RIDGE LANE, LENEXA, KS ZIP+4 ▶ 66220

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GARY A. SMITH 8903 QUAIL RIDGE LANE LENEXA, KS 66220	CHAIRMAN 5.00	0.	0.	0.
GARY A. SMITH, JR. 8401 HARBINGER STREET LENEXA, KS 66219	PRESIDENT 5.00	0.	0.	0.
KAY M. SMITH 8903 QUAIL RIDGE LANE LENEXA, KS 66220	TREASURER 5.00	0.	0.	0.
ROBERT G. SMITH 2630 S. 1700 EAST SALT LAKE CITY, UT 84106	SECRETARY 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:			
a	Average monthly fair market value of securities		1a	1,174,220.
b	Average of monthly cash balances		1b	208,474.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	1,382,694.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	1,382,694.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	20,740.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	1,361,954.
6	**Minimum investment return** Enter 5% of line 5		6	68,098.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	68,098.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 273.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	273.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	67,825.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	67,825.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	67,825.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	120,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	120,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	273.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	119,727.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				67,825.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			64,202.	
b Total for prior years: 2011 , 2010 ,		42,328.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 120,000.				
a Applied to 2012, but not more than line 2a			64,202.	
b Applied to undistributed income of prior years (Election required - see instructions)		42,328.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				13,470.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				54,355.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter. (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMERICAN RESPIRATORY CARE 9425 NORTH MACARTHUR BOULEVARD IRVING, TX 75063	NONE	NONPROFIT	HEALTH RESEARCH	30,000.
OLATHE MAYOR'S CHRISTMAS TREE FUND P.O. BOX 768 OLATHE, KS 66051-0768	NONE	NONPROFIT	ASSISTANCE TO INDIGENT FAMILIES	10,000.
NATIONAL LUNG HEALTH EDUCATION PROGRAM 18000 W. 105TH STREET OLATHE, KS 66061	NONE	NONPROFIT	HEALTH EDUCATION	20,000.
ST ANDREW'S EPISCOPAL CHURCH 6401 WORNALL TERRACE KANSAS CITY, KS 64113	NONE	CHURCH	FELLOWSHIPS	10,000.
CHURCH OF ST. CLEMENT 810 NORTH CAMPBELL EL PASO, TX 79902	NONE	CHURCH	FELLOWSHIPS	7,500.
Total SEE CONTINUATION SHEET(S)			▶ 3a	120,000.
b Approved for future payment NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	88.	
4 Dividends and interest from securities			14	41,831.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	2,516.	
8 Gain or (loss) from sales of assets other than inventory			18	22,429.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		66,864.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 66,864.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Gary A. Smith | 7/23/2014 ▶ CHAIRMAN ~~PRESIDENT~~

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
	MARY I. RAMM	Mary I Ramm	7-8-14		P00038710
	Firm's name ▶ RUBINBROWN LLP			Firm's EIN ▶ 43-0765316	
	Firm's address ▶ 10975 GRANDVIEW DR SUITE 600 OVERLAND PARK, KS 66210			Phone no. 913-491-4144	

Form 990-PF (2013)

323622
10-10-13

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BAIRD 1096-7921 - SHORT TERM (SEE ATTACHED)		VARIOUS	12/31/13
b	BAIRD 1096-7921 - LONG TERM (SEE ATTACHED)		VARIOUS	12/31/13
c	BAIRD 2197-4820 - SHORT TERM (SEE ATTACHED)		VARIOUS	12/31/13
d	BAIRD 2197-4820 - LONG TERM (SEE ATTACHED)		VARIOUS	12/31/13
e	BAIRD 7079-4486 - SHORT TERM (SEE ATTACHED)		VARIOUS	12/31/13
f	BAIRD 7079-4486 - LONG TERM (SEE ATTACHED)		VARIOUS	12/31/13
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	366.		347.	19.
b	83,341.		67,337.	16,004.
c	132,326.		129,743.	2,583.
d	137,270.		136,061.	1,209.
e	678,575.		679,024.	<449.>
f	110,859.		107,985.	2,874.
g	189.			189.
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				19.
b				16,004.
c				2,583.
d				1,209.
e				<449.>
f				2,874.
g				189.
h				
i				
j				
k				
l				
m				
n				
o				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	22,429.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

323591
05-01-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RADFORD SCHOOL 2001 RADFORD STREET EL PASO, TX 79903	NONE	NONPROFIT	EDUCATION ASSISTANCE	7,500.
KANSAS UNIVERSITY ENDOWMENT ASSOCIATION P.O. BOX 928 LAWRENCE, KS 66044	NONE	NONPROFIT	UNIVERSITY GIVING	10,000.
EMORY UNIVERSITY 1762 CLIFTON ROAD, SUITE 1400MS ATLANTA, GA 30322-4001	NONE	NONPROFIT	UNIVERSITY GIVING	25,000.
Total from continuation sheets				42,500.

323631
05-01-13

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BAIRD 1096-7921	43.	43.	
BAIRD 2197-4820	6.	6.	
BAIRD 7079-4486	39.	39.	
TOTAL TO PART I, LINE 3	88.	88.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
BAIRD 1096-7921	12,975.	0.	12,975.	12,388.	
BAIRD 2197-4820	15,565.	0.	15,565.	15,565.	
BAIRD 7079-4486	13,479.	189.	13,290.	13,290.	
PARKSIDE FINANCIAL 7680-0905	1.	0.	1.	1.	
TO PART I, LINE 4	42,020.	189.	41,831.	41,244.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
PARTNERSHIP DISTRIBUTIONS	1,030.	0.	
MID-CON ENERGY PARTNERS LP - ORDINARY BUSINESS INCOME	1,486.	1,486.	
TOTAL TO FORM 990-PF, PART I, LINE 11	2,516.	1,486.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	2,670.	2,670.		0.
TO FM 990-PF, PG 1, LN 16A	2,670.	2,670.		0.

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	7,125.	7,125.		0.
TO FORM 990-PF, PG 1, LN 16B	7,125.	7,125.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	25,559.	25,559.		0.
TO FORM 990-PF, PG 1, LN 16C	25,559.	25,559.		0.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX PAID	1,732.	0.		0.
FOREIGN TAX PAID	108.	108.		0.
TO FORM 990-PF, PG 1, LN 18	1,840.	108.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MID-CON ENERGY PARTNERS LP - SECTION 59(E)(2) EXPENDITURES	805.	805.		0.
MID-CON ENERGY PARTNERS LP - DEPLETION	1,562.	1,562.		0.
MID-CON ENERGY PARTNERS LP - NONDEDUCTIBLE EXPENSES	1.	0.		0.
WIRE TRANSFER FEE	75.	75.		0.
FILING FEE	5.	5.		0.
TO FORM 990-PF, PG 1, LN 23	2,448.	2,447.		0.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 9

DESCRIPTION	AMOUNT
MID-CON ENERGY PARTNERS LP BASIS ADJUSTMENT	2,030.
INVESTMENT BASIS ADJUSTMENT	2,915.
TOTAL TO FORM 990-PF, PART III, LINE 5	4,945.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 10

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
TAX-EXEMPT BONDS (SEE ATTACHED)		X	9,256.	9,526.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			9,256.	9,526.
TOTAL TO FORM 990-PF, PART II, LINE 10A			9,256.	9,526.

FORM 990-PF	CORPORATE STOCK	STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK (SEE ATTACHED)	715,366.	892,961.
TOTAL TO FORM 990-PF, PART II, LINE 10B	715,366.	892,961.

FORM 990-PF	CORPORATE BONDS	STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS (SEE ATTACHED)	304,596.	311,008.
TOTAL TO FORM 990-PF, PART II, LINE 10C	304,596.	311,008.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 13

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MID-CON ENERGY PARTNERS LP	COST	17,070.	22,850.
TOTAL TO FORM 990-PF, PART II, LINE 13		17,070.	22,850.

ELECTION UNDER REGULATIONS SECTION 53.4942(A)-3(D)(2) TO APPLY EXCESS QUALIFYING DISTRIBUTIONS TO PRIOR YEARS' UNDISTRIBUTED INCOME

THE FOUNDATION MANAGER IS MAKING AN IRC SECTION 4942(h)(2) ELECTION ON BEHALF OF THE FOUNDATION TO VARY THE NORMAL SEQUENCE OF TREATMENT OF QUALIFYING DISTRIBUTIONS. THE PURPOSE OF THE IRC SECTION 4942(h)(2) ELECTION IS TO VARY THE TREATMENT OF QUALIFYING DISTRIBUTIONS TO CORRECT DEFICIENCY DISTRIBUTIONS FOR PRIOR TAXABLE YEARS. AS SUCH, THE EXCESS QUALIFYING DISTRIBUTIONS REMAINING AFTER APPLICATION TO THE 2012 UNDSTRIBUTED INCOME IS FIRST APPLIED TO THE 2010 UNDISTRIBUTED INCOME AND THEN TO THE 2011 UNDISTRIBUTED INCOME. ANY QUALIFIYING DISTRIBUTIONS REMAINING AFTER THIS PRIOR YEAR DEFICIENCY APPLICATION ARE THEN APPLIED TO THE 2013 DISTRIBUTABLE AMOUNT.

IT SHOULD BE NOTED THAT AN ATTACHMENT TO THE 2012 TAX RETURN WAS PROVIDED CITING IRC SECTION 4942(a)-3(b)(6)(ii). AS SUCH, FORM 4720 WAS NOT FILED AND THE POTENTIAL TAX UNDER IRC SECTION 4942(A) WAS NOT ASSESSED FOR PRIOR YEARS' UNDSTRIBUTED INCOME. THE FOUNDATION'S IRC SECTION 4942(h)(2) ELECTION ON THE 2013 TAX RETURN CARRIES OUT THE FOUNDATION'S STATEMENT ON THE 2012 TAX RETURN TO SATISFY THE EXCEPTION REQUIREMENTS OF THE TAX IMPOSED UNDER IRC SECTION 4942(A).

Gary A. Smith
(Signature)

GARY A. SMITH
(Name)

FOUNDATION MANAGER
(Title)

ASSET DETAILS

This section shows the cash, cash alternatives and/or securities in your account It reflects market values as of the close of business, December 31, 2013 Any balances reflected in this section held in a bank deposit account or in shares of money market mutual funds may be liquidated on your order and the proceeds returned to your account or remitted to you

Please note, unrealized gain/loss is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor

Performance on funds and unit investment trusts is provided for informational purposes only to assist you in comparing the total current value of your position with your total amount invested, excluding reinvestment activity Performance may be misstated if any cost information is inaccurate or if you have sold part of your position since your original investment

CASH AND CASH ALTERNATIVES

	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
GENERAL MONEY MARKET	4,771 76	4,771 76		0 48	0 01%
Net yield from 12/01/13 - 12/31/13 was 0 01% (Compounded)					
INSURED DEPOSIT US BANK	392 08	392 08		0 20	0 05%
Net yield from 12/01/13 - 12/31/13 was 0 05% (Compounded)					
Deposits held at US Bank are insured by the FDIC up to $250,000					
Total Cash and Cash Alternatives	$5,163.84	$5,163.84		$0.68	0.01%

PORTFOLIO ASSETS

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
Stocks/Options									
CHEVRON CORP	CVX	235	124 9100	62 8783	29,353 84	14,776 40	14,577 45	940 00	
REINVESTMENTS		1 8180	124 9100	100 8251	227 09	183 30	43 78	7 27	
TOTAL		236 8180	124 9100	63 1696	29,580 93	14,959 70	14,621 23	947 27	3 20%
EXXON MOBIL CORP	XOM	245	101 2000	58 7884	24,793 99	14,403 15	10,390 85	617 39	
REINVESTMENTS		1 4240	101 2000	80 8638	144 11	115 15	28 95	3 59	
TOTAL		246 4240	101 2000	58 9159	24,938 10	14,518 30	10,419 80	620 98	2 49%
ILLINOIS TOOL WORKS INC	ITW	380	84 0800	46 5161	31,950 40	17,676 10	14,274 30	638 39	
REINVESTMENTS		25 2240	84 0800	57 8310	2,120 83	1,458 73	662 06	42 38	
TOTAL		405 2240	84 0800	47 2204	34,071 23	19,134 83	14,936 36	680 77	2 00%
JOHNSON & JOHNSON	JNJ	330	91 5900	64 1085	30,224 69	21,155 80	9,068 90	871 19	
REINVESTMENTS		31 8090	91 5900	72 7432	2,913 39	2,313 89	599 44	83 98	
TOTAL		361 8090	91 5900	64 8676	33,138 08	23,469 69	9,668 34	955 17	2 88%
PEPSICO INC	PEP	330	82 9400	57 4873	27,370 19	18,970 80	8,399 40	749 10	
REINVESTMENTS		25 5870	82 9400	71 4160	2,122 19	1,827 32	294 82	58 08	
TOTAL		355 5870	82 9400	58 4895	29,492 38	20,798 12	8,694 22	807 18	2 74%
PFIZER INC	PFE	835	30 6300	22 0405	25,576 04	18,403 80	7,172 25	868 39	

ASSET DETAILS continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
Stocks/Options continued									
REINVESTMENTS		89 5360	30 6300	23 9539	2,742 49	2,144 74	597 68	93 12	
TOTAL		924 5360	30 6300	22 2258	28,318 53	20,548 54	7,769 93	961 51	3 40%
3M COMPANY	MMM	280	140 2500	81 4616	39,270 00	22,809 25	16,460 75	957 59	
REINVESTMENTS		19 7670	140 2500	95 9807	2,772 32	1,897 25	875 02	67 61	
TOTAL		299 7670	140 2500	82 4190	42,042 32	24,706 50	17,335 77	1,025 20	2 44%
Total Stocks/Options					**$221,581.57**	**$138,135.68**	**$83,445.65**	**$5,998.08**	**2.71%**
Stock Funds									
SALIENT MLP & ENERGY INFRA FUND	SMF	1,500 000	28 1100	25 0000	42,165 00	33,946 53***	8,218 47	2,796 00	6 63%
SELECT UTILITIES SELECT SECTOR SPDR ETF	XLU	280 000	37 9700	32 6005	10,631 59	9,128 15	1,503 45	410 20	
REINVESTMENTS		32 355	37 9700	36 2293	1,228 52	1,172 20	56 28	47 40	
TOTAL		312 355	37 9700	32 9764	11,860 11	10,300 35	1,559 73	457 60	3 86%
PERFORMANCE $2,731 96					11,860 11	9,128 15			
TORTOISE PIPELINE & ENERGY FUND INC	TTP	1,000 000	28 4500	24 8740	28,450 00	24,632 03***	3,817 97	1,630 00	5 73%
Total Stock Funds					**$82,475.11**	**$68,878.91**	**$13,596.17**	**$4,883.60**	**5.92%**
Tax-Exempt Bond Funds									
NUVEEN HIGH YIELD MUN BOND CL I	NHMRX	580 881	15 3600	14 9300	8,922 33	8,672 55	249 78	584 36	
REINVESTMENTS		39 289	15 3600	14 8444	603 48	583 22	20 21	39 53	
TOTAL		620 170	15 3600	14 9246	9,525 81	9,255 77	269 99	623 89	6 55%
PERFORMANCE $853 26					9,525 81	8,672 55			
Total Tax-Exempt Bond Funds					**$9,525.81**	**$9,255.77**	**$269.99**	**$623.89**	**6.55%**
Other Investments									
MID CON ENERGY PARTNERS LTD PARTNERSHIP Accumulated Paydown 1,030 00	MCEP	1,000	22 8500	20 0700	22,850 00	20,070 00***	2,780 00	2,060 00	9 02%
Total Other Investments					**$22,850.00**	**$20,070.00**	**$2,780.00**	**$2,060.00**	**9.02%**
Total Portfolio Assets					**$336,432.49**	**$236,340.36**	**$100,091.81**	**$13,565.57**	**4.03%**

ASSET DETAILS continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
Total Assets					$341,596.33	**$241,504.20**	**$100,091.81**	**$13,566.25**	**3.97%**

+ Bond ratings of securities displayed on your statement were obtained from various rating services There is no guarantee with respect to the accuracy of this information

* Please note, "Unrealized Gain/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing

*** The original cost of this security has been adjusted to reflect principal payments, return of capital or amortization/accretion based on our records and/or provided by you

ACTIVITY SUMMARY

Type of Activity	Activity	This Period	Year-to-Date
	Opening Balance - Cash and Cash Alternatives	**$104,754.84**	**$46,072.40**
Buy and Sell Transactions	Assets Sold/Redeemed		83,706 70
	Assets Bought	-770 57	-4,453 52
Cash Deposits and Withdrawals	Deposits	100,000 00	100,000 00
	Withdrawals	-200,000 00	-230,000 00
Income and Distributions	Dividends	1,228 93	12,975 37
	Interest	0 64	42 82
	Capital Gain Distributions		
	Return of Principal		
	Other		1,030 00
Margin Interest	Margin Interest Charged		
Cash Management Activity	Debit Card Activity		
	ACH/ATM Activity		
	Checks You Wrote		
Other	Asset Based Fees		-4,159 93
	Other Transactions	-50 00	-50 00
	Closing Balance - Cash and Cash Alternatives	**$5,163.84**	**$5,163.84**

ACTIVITY DETAILS

	Description
Opening Balance	GENERAL MONEY MARKET

SUBACCOUNT ACTIVITY

Money Market
12,743.37

ASSET DETAILS

This section shows the cash, cash alternatives and/or securities in your account It reflects market values as of the close of business, December 31, 2013 Any balances reflected in this section held in a bank deposit account or in shares of money market mutual funds may be liquidated on your order and the proceeds returned to your account or remitted to you

Please note, unrealized gain/loss is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor

Performance on funds and unit investment trusts is provided for informational purposes only to assist you in comparing the total current value of your position with your total amount invested, excluding reinvestment activity Performance may be misstated if any cost information is inaccurate or if you have sold part of your position since your original investment

CASH AND CASH ALTERNATIVES

	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
INSURED DEPOSIT US BANK	11,058 05	11,058 05		5 53	0 05%
Net yield from 12/01/13 - 12/31/13 was 0 05% (Compounded)					
Deposits held at US Bank are insured by the FDIC up to $250,000					
Total Cash and Cash Alternatives	**$11,058.05**	**$11,058.05**		**$5.53**	**0.05%**

PORTFOLIO ASSETS

Stock Funds	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
ISHARES S&P MID CAP 400 VALUE ETF	IJJ	303 000	116 2300	106 8099	35,217 69	32,363 41	2,854 28	519 94	1 48%
ISHARES S&P MID CAP 400 GROWTH ETF	IJK	353 000	150 1900	139 1045	53,017 07	49,103 88	3,913 19	273 22	0 52%
ISHARES TECHNOLOGY ETF	IYW	398 000	88 4400	68 2294	35,199 12	27,155 31	8,043 81	374 47	1 06%
ISHARES U S FINANCIALS ETF	IYF	335 000	80 1600	53 6732	26,853 60	17,980 51	8,873 09	357 94	1 33%
ISHARES U S UTILITIES ETF	IDU	51 000	95 8200	81 3437	4,886 82	4,148 53	738 29	151 44	3 10%
SECTOR HEALTHCARE SELECT SECTOR SPDR ETF	XLV	191 000	55 4400	32 3969	10,589 04	6,187 81	4,401 23	160 05	1 51%
SECTOR CONSUMER STAPLES SELECT SECTOR SPDR ETF	XLP	266 000	42 9800	29 6676	11,432 68	7,891 59	3,541 09	293 39	2 57%
SECTOR MATERIALS SELECT SECTOR SPDR ETF	XLB	124 000	46 2200	34 3773	5,731 28	4,262 78	1,468 50	124 99	2 18%
SECTOR ENERGY SELECT SECTOR SPDR ETF	XLE	250 356	88 5100	66 5113	22,159 01	16,651 50	5,507 50	374 28	
REINVESTMENTS		0 644	88 5100	61 8168	57 00	39 81	17 19	0 96	

ASSET DETAILS continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
Stock Funds continued									
TOTAL *PERFORMANCE $5,564 51*		251 000	88 5100	66 4992	22,216 01 22,216 01	16,691 31 16,651 50	5,524 69	375 24	1 69%
SECTOR CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF	XLY	374 000	66 8300	45 8850	24,994 42	17,160 99	7,833 43	306 68	1 23%
SECTOR INDUSTRIAL SELECT SECTOR SPDR ETF	XLI	430 000	52 2600	33 1759	22,471 80	14,265 64	8,206 16	385 71	1 72%
Total Stock Funds					**$252,609.53**	**$197,211.76**	**$55,397.76**	**$3,323.07**	**1.32%**
Balanced Funds									
VANGUARD REIT INDEX ETF	VNQ	1,312 000	64 5600	58 1684	84,702 72	76,316 88	9,261 28	3,661 79	4 32%
Total Balanced Funds					**$84,702.72**	**$76,316.88**	**$9,261.28**	**$3,661.79**	**4.32%**
Taxable Bond Funds									
ISHARES IBOXX $INVESTMENT GRADE CORP BOND ETF	LQD	395 000	114 1880	113 2957	45,104 26	44,751 80	352 46	1,728 55	3 83%
ISHARES INTERMEDIATE CREDIT BOND ETF	CIU	116 000	107 8800	107 6831	12,514 08	12,491 24	22 84	340 73	2 72%
ISHARES 3-7YR TREASURY BOND ETF	IEI	68 000	120 0300	121 1443	8,162 04	8,237 81	-75 77	63 12	0 77%
ISHARES IBOXX $ HIGH YIELD CORP BOND ETF	HYG	395 000	92 8800	85 2037	36,687 60	33,655 48	3,032 12	2,238 03	6 10%
ISHARES MBS ETF	MBB	155 000	104 5700	106 1174	16,208 35	16,448 20	-239 85	205 99	1 27%
SPDR BARCLAYS CAPITAL HIGH YIELD BOND ETF	JNK	907 000	40 5600	37 1239	36,787 92	33,671 38	3,116 54	2,225 41	6 05%
Total Taxable Bond Funds					**$155,464.25**	**$149,255.91**	**$6,208.34**	**$6,801.83**	**4.38%**
Total Portfolio Assets					**$492,776.50**	**$422,784.55**	**$70,867.38**	**$13,786.69**	**2.80%**

BAIRD

ASSET DETAILS continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
Total Assets					**$503,834.55**	**$433,842.60**	**$70,867.38**	**$13,792.22**	**2.74%**

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ACTIVITY SUMMARY

Type of Activity	Activity	This Period	Year-to-Date
	Opening Balance - Cash and Cash Alternatives	**$8,126.02**	**$13,851.06**
Buy and Sell Transactions	Assets Sold/Redeemed		269,595 93
	Assets Bought		-280,864 97
Cash Deposits and Withdrawals	Deposits		
	Withdrawals		
Income and Distributions	Dividends	2,931 66	15,564 51
	Interest	0 37	5 78
	Capital Gain Distributions		
	Return of Principal		
	Other		
Margin Interest	Margin Interest Charged		
Cash Management Activity	Debit Card Activity		
	ACH/ATM Activity		
	Checks You Wrote		
Other	Asset Based Fees		-7,094 26
	Other Transactions		
	Closing Balance - Cash and Cash Alternatives	**$11,058.05**	**$11,058.05**

ASSET DETAILS

This section shows the cash, cash alternatives and/or securities in your account It reflects market values as of the close of business, December 31, 2013 Any balances reflected in this section held in a bank deposit account or in shares of money market mutual funds may be liquidated on your order and the proceeds returned to your account or remitted to you

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CASH AND CASH ALTERNATIVES

	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
INSURED DEPOSIT US BANK	56,941 43	56,941 43		28 47	0 05%
Net yield from 12/01/13 - 12/31/13 was 0 05% (Compounded)					
Deposits held at US Bank are insured by the FDIC up to $250,000					
Total Cash and Cash Alternatives	**$56,941.43**	**$56,941.43**		**$28.47**	**0.05%**

PORTFOLIO ASSETS

Stocks/Options	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
AMERICAN CAMPUS COMMUNITIES INC	ACC	124	32 2100	35 6631	3,994 04	4,422 22	-428 18	178 56	4 47%
BB&T CORP	BBT	179	37 3200	33 9892	6,680 28	6,084 07	596 21	164 68	2 47%
BCE INC NEW	BCE	109	43 2900	40 8744	4,718 61	4,455 31	263 30	239 88	5 08%
CBL & ASSOCIATES PROPERTIES INC	CBL	237	17 9600	19 0727	4,256 52	4,520 23	-263 71	232 26	5 46%
CABLEVISION SYS CORP NY GROUP	CVC	286	17 9300	15 9443	5,127 98	4,560 07	567 91	171 60	3 35%
CAMPBELL SOUP COMPANY	CPB	246	43 2800	42 7100	10,646 88	10,506 66	140 22	307 00	2 88%
CISCO SYSTEMS INC	CSCO	381	22 4300	21 2409	8,545 83	8,092 78	453 05	259 08	3 03%
DUKE ENERGY CORP NEW	DUK	203	69 0100	68 1461	14,009 03	13,833 66	175 37	633 36	4 52%
DUPONT FABROS TECHNOLOGY INC	DFT	197	24 7100	22 8467	4,867 87	4,500 80	367 07	197 00	4 05%
ENBRIDGE INC	ENB	109	43 6800	41 6819	4,761 12	4,543 33	217 79	129 72	2 72%
ENTERGY CORP NEW	ETR	165	63 2700	62 8164	10,439 55	10,364 71	74 84	547 80	5 25%
FIDELITY NATIONAL FINANCIAL INC NEW CLASS A	FNF	251	32 4500	24 5246	8,144 95	6,155 67	1,989 28	180 72	2 22%
FOOT LOCKER INC	FL	227	41 4400	33 3516	9,406 88	7,570 81	1,836 07	181 60	1 93%

ASSET DETAILS continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
Stocks/Options continued									
HIGHWOODS PPTYS INC	HIW	126	36 1700	35 1769	4,557 42	4,432 29	125 13	214 20	4 70%
HUNTSMAN CORP	HUN	251	24 6000	18 4437	6,174 60	4,629 37	1,545 23	125 50	2 03%
INFOSYS LTD SPONSORED ADR REPSTG 1 EQUITY SH	INFY	245	56 6000	43 8764	13,867 00	10,749 72	3,117 28	259 96	1 87%
INTERNATIONAL PAPER COMPANY	IP	222	49 0300	45 4366	10,884 66	10,086 93	797 73	310 80	2 86%
JPMORGAN CHASE & COMPANY	JPM	254	58 4800	53 6649	14,853 92	13,630 88	1,223 04	386 08	2 60%
LILLY ELI & COMPANY	LLY	270	51 0000	50 2167	13,770 00	13,558 51	211 49	529 20	3 84%
MAXIM INTEGRATED PRODUCTS INC	MXIM	368	27 9000	28 9481	10,267 20	10,652 90	-385 70	382 72	3 73%
MEDICAL PROPERTIES TRUST	MPW	362	12 2200	12 5504	4,423 64	4,543 24	-119 60	304 08	6 87%
MID-AMERICA APT CMNTYS	MAA	74	60 7400	61 5736	4,494 76	4,556 45	-61 69	216 08	4 81%
NORTHSTAR REALTY FINANCIAL CORP	NRF	497	13 4500	9 1014	6,684 65	4,523 40	2,161 25	417 48	6 25%
PG&E CORP	PCG	252	40 2800	41 2726	10,150 56	10,400 70	-250 14	458 64	4 52%
PINNACLE WEST CAP CORP	PNW	142	52 9200	53 1415	7,514 64	7,546 09	-31 45	322 34	4 29%
TARGET CORP	TGT	213	63 2700	63 8176	13,476 51	13,593 15	-116 64	366 36	2 72%
UMPQUA HOLDINGS CORP	UMPQ	274	19 1400	16 5091	5,244 36	4,523 49	720 87	164 40	3 13%
UNION PACIFIC CORP	UNP	60	168 0000	155 8308	10,080 00	9,349 85	730 15	189 60	1 88%
WESTERN UNION COMPANY	WU	619	17 2500	16 8213	10,677 75	10,412 38	265 37	309 50	2 90%
WEYERHAEUSER COMPANY	WY	281	31 5700	28 5510	8,871 17	8,022 83	848 34	247 28	2 79%
Total Stocks/Options					**$251,592.38**	**$234,822.50**	**$16,769.88**	**$8,627.48**	**3.43%**
Taxable Bond Funds									
ISHARES IBOXX $INVESTMENT GRADE CORP BOND ETF	LQD	429 000	114 1880	113 2986	48,986 65	48,605 10	381 55	1,877 34	3 83%
PIMCO TOTAL RETURN ETF	BOND	124 000	104 7400	110 3811	12,987 76	13,687 26	-699 50	312 23	2 40%
POWERSHARES SENIOR LOAN PORT ETF	BKLN	1,274 000	24 8800	23 9908	31,697 12	30,564 27	1,134 04	1,572 11	4 96%
VANGUARD LONG TERM CORP BOND ETF	VCLT	329 000	82 9900	83 7739	27,303 71	27,561 61	-257 90	1,319 29	4 83%
VANGUARD INTERMEDIATE TERM CORP BOND ETF	VCIT	418 000	82 7000	83 5445	34,568 60	34,921 60	-353 00	1,140 72	3 30%
Total Taxable Bond Funds					**$155,543.84**	**$155,339.84**	**$205.19**	**$6,221.69**	**4.00%**

ASSET DETAILS continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
Total Portfolio Assets					$407,136.22	**$390,162.34**	**$16,975.07**	**$14,849.17**	**3.65%**
Total Assets					$464,077.65	**$447,103.77**	**$16,975.07**	**$14,877.64**	**3.21%**

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ACTIVITY SUMMARY

Type of Activity	Activity	This Period	Year-to-Date
	Opening Balance - Cash and Cash Alternatives	**$73,519.17**	**$133,180.95**
Buy and Sell Transactions	Assets Sold/Redeemed	22,481 59	789,433 40
	Assets Bought	-41,058 71	-870,634 79
Cash Deposits and Withdrawals	Deposits		
	Withdrawals		
Income and Distributions	Dividends	1,812 58	13,290 07
	Interest	2 70	38 57
	Capital Gain Distributions	188 94	188 94
	Return of Principal		
	Other		
Margin Interest	Margin Interest Charged		
Cash Management Activity	Debit Card Activity		
	ACH/ATM Activity		
	Checks You Wrote		
Other	Asset Based Fees		-8,449 46
	Other Transactions	-4 84	-106 25
	Closing Balance - Cash and Cash Alternatives	**$56,941.43**	**$56,941.43**

ACTIVITY DETAILS continued / SUBACCOUNT ACTIVITY continued

Date	Activity	Quantity	Price	Description	Total	Cash	Money Market	Margin
12/12/13	Asset Bought-Reinv	1 4940		3M COMPANY REINVEST AT 126 751	-189 40	-189 40		
12/31/13	Dividend			SELECT UTILITIES SELECT SECTOR SPDR ETF	123 81	123 81		
12/31/13	Asset Bought-Reinv	3 2610		SELECT UTILITIES SELECT SECTOR SPDR ETF REINVEST AT 37 963	-123 81	-123 81		
12/31/13	Dividend			GENERAL MONEY MARKET	0 05	0 05		
12/31/13	Mny Mkt Deposit			GENERAL MONEY MARKET		-0 05	0 05	
12/31/13	Interest			INSURED DEPOSIT US BANK	0 01	0 01		
12/31/13	Mny Mkt Deposit			INSURED DEPOSIT US BANK		-0 01	0 01	
	Closing Balance			**Total Closing Balance**	**$5,163.84**	**$0.00**	**$5,163.84**	**$0.00**
				GENERAL MONEY MARKET			4,771.76	
				INSURED DEPOSIT US BANK			392.08	

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REALIZED GAINS/(-)LOSSES

This section presents estimated realized gains or losses for your information only, and should not be used for tax purposes To calculate gains or losses, the position with the highest purchase price has been liquidated first unless you have instructed us otherwise We suggest you review this information for accuracy and contact your Baird Financial Advisor with any questions

	Date Acquired	Date Sold	Quantity	Purchase Price	Sale Price	Cost Basis	Sale Proceeds	Realized Gain/(-)Loss*
Stocks/Options								
AUTOMATIC DATA PROC INC	12/04/09 n	03/01/13	359 535	43 4162	61 3101	15,609 64	22,042 64	6,433 00(LT)
	12/04/09 n	03/01/13	0 465	43 3978	61 3101	20 18	28 51	8 33 (LT)
	07/01/11 n	03/01/13	2 465	52 5728	61 3101	129 60	151 12	21 52 (LT)
			362 4650			15,759 42	22,222 27	6,462 85
VOC ENERGY TR UNIT	11/10/11 n	03/01/13	1,000	20 1000	12 9598	20,100 00	12,959 50	-7,140 50(LT)
Total Stocks/Options						**$35,859.42**	**$35,181.77**	**-$677.65**
Stock Funds								
HARBOR INTL I	03/20/09 n	03/01/13	350 564	33 6600	63 3800	11,800 00	22,218 75	10,418 75(LT)
	12/21/10 n	03/01/13	5 166	59 1500	63 3800	305 58	327 42	21 84 (LT)
			355 730			12,105 58	22,546 17	10,440 59
SPDR DOW JONES REIT ETF	03/28/12 c	03/01/13	0 954	70 3550	75 7133	67 10	72 22	5 12(ST)
	06/27/12 c	03/01/13	1 217	70 0600	75 7133	85 24	92 14	6 90(ST)
	10/03/12 c	03/01/13	1 299	72 0050	75 7133	93 52	98 34	4 82(ST)
	01/04/13 c	03/01/13	1 366	74 0700	75 7133	101 16	103 42	2 26(ST)
	12/04/09 n	03/01/13	149 253	48 4300	75 7133	7,228 33	11,300 23	4,071 90(LT)

REALIZED GAINS/(-)LOSSES continued

Stock Funds continued	Date Acquired	Date Sold	Quantity	Purchase Price	Sale Price	Cost Basis	Sale Proceeds	Realized Gain/(-)Loss*
	12/04/09 n	03/01/13	0 747	48 4203	75 7133	36 17	56 56	20 39 (LT)
	06/29/11 n	03/01/13	1 173	66 0100	75 7133	77 46	88 80	11 34 (LT)
	09/28/11 n	03/01/13	1 292	58 8294	75 7133	75 99	97 81	21 82 (LT)
	12/29/11 n	03/01/13	1 446	64 3704	75 7133	93 11	109 47	16 36 (LT)
			158 747			7,858 08	12,018 99	4,160 91
SPDR DJ INTL RL EST ETF	04/07/10 n	03/01/13	333 000	35 6174	41 9222	11,860 59	13,959 77	2,099 18 (LT)
Total Stock Funds						**$31,824.25**	**$48,524.93**	**$16,700.68**
Total Realized Gains/(-)Losses						**$67,683.67**	**$83,706.70**	**$16,023.03**
Total Net Short-Term (ST)						**$347.02**	**$366.12**	**$19.10**
Total Net Long-Term (LT)						**$67,336.65**	**$83,340.58**	**$16,003.93**

* Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing
c Covered Tax Lot - The cost basis, holding period and sale proceeds on this tax lot will be reported by Baird to the IRS for taxable (reportable) accounts
n Noncovered Tax Lot - The cost basis and holding period on this tax lot will not be reported by Baird to the IRS The sale proceeds will be reported to the IRS for taxable (reportable) accounts Cost information is being supplied for informational purposes only

INTERESTED PARTIES AND/OR SUPPORTING REPRESENTATIVE(S)

Per your request, a copy of this statement has also been sent to

PARKSIDE FINANCIAL
ATTN JANELLE PIDCOCK
8112 MARYLAND AVE #101
SAINT LOUIS MO 63105-3700

Your Supporting Representative(s) is (are)

ROBERT W BAIRD & CO INC
ADVISORY SERVICES

BAIRD

ACTIVITY DETAILS continued / SUBACCOUNT ACTIVITY continued

Date	Activity	Quantity	Price	Description	Total	Cash	Money Market	Margin
12/30/13	Dividend			SECTOR CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF	97 81	97 81		
12/30/13	Dividend			SECTOR INDUSTRIAL SELECT SECTOR SPDR ETF	111 22	111 22		
12/30/13	Dividend			VANGUARD REIT INDEX ETF	1,322 50	1,322 50		
12/31/13	Interest			INSURED DEPOSIT US BANK	0 37	0 37		
12/31/13	Mny Mkt Deposit			INSURED DEPOSIT US BANK		-0 37	0 37	
12/31/13	Mny Mkt Deposit			INSURED DEPOSIT US BANK		-2,370 32	2,370 32	
	Closing Balance			**Total Closing Balance**	**$11,058.05**	**$0.00**	**$11,058.05**	**$0.00**

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REALIZED GAINS/(-)LOSSES

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	Date Acquired	Date Sold	Quantity	Purchase Price	Sale Price	Cost Basis	Sale Proceeds	Realized Gain/(-)Loss*
Stocks/Options								
ISHS GOLD TRUST ETF	07/02/12 n	07/01/13	141	15 5318	12 0413	2,189 98	1,697 79	-492 19(ST)
	07/01/11 n	07/01/13	97	14 5050	12 0413	1,406 98	1,168 00	-238 98(LT)
	10/03/11 n	07/01/13	33	16 1100	12 0413	531 63	397 35	-134 28(LT)
	10/13/11 n	07/01/13	173	16 2200	12 0413	2,806 06	2,083 11	-722 95(LT)
	10/14/11 n	07/01/13	78	16 3100	12 0413	1,272 18	939 20	-332 98(LT)
	04/02/12 n	07/01/13	381	16 2497	12 0413	6,191 14	4,587 65	-1,603 49(LT)
			903			14,397 97	10,873 10	-3,524 87
Total Stocks/Options						**$14,397.97**	**$10,873.10**	**-$3,524.87**
Stock Funds								
ISHS RUSS 2000 VAL ETF	04/02/12 c	01/02/13	65 000	72 8799	77 3093	4,737 20	5,024 98	287 78(ST)
ISHS RUSS 2000 VAL ETF	07/02/12 c	07/01/13	35 000	70 3227	86 8447	2,461 29	3,039 52	578 23(ST)
	04/02/12 c	07/01/13	56 000	72 8799	86 8447	4,081 27	4,863 21	781 94(LT)
			91 000			6,542 56	7,902 73	1,360 17
ISHS RUSS 2000 GRW ETF	04/02/12 c	01/02/13	48 000	95 0202	97 7884	4,560 98	4,693 73	132 75(ST)
ISHS RUSS 2000 GRW ETF	04/02/12 c	04/01/13	18 000	95 0202	107 2435	1,710 36	1,930 33	219 97(ST)
ISHS RUSS 2000 GRW ETF	07/02/12 c	07/01/13	27 000	91 4655	113 3870	2,469 57	3,061 40	591 83(ST)
	04/02/12 c	07/01/13	27 000	95 0202	113 3870	2,565 54	3,061 39	495 85(LT)
			54 000			5,035 11	6,122 79	1,087 68
ISHS US TECH ETF	07/01/13 c	10/01/13	107 000	74 6007	79 1807	7,982 28	8,472 18	489 90(ST)
ISHS U S FINANCIALS ETF	01/02/13 c	10/01/13	102 000	62 2988	73 5313	6,354 48	7,500 06	1,145 58(ST)
	07/01/13 c	10/01/13	63 000	72 3933	73 5313	4,560 78	4,632 38	71 60(ST)

REALIZED GAINS/(-)LOSSES continued

	Date Acquired	Date Sold	Quantity	Purchase Price	Sale Price	Cost Basis	Sale Proceeds	Realized Gain/(-)Loss*
Stock Funds continued								
			165 000			10,915 26	12,132 44	1,217 18
ISHS BRAZIL CAP INDX ETF	04/02/12 c	07/01/13	68 000	64 5168	43 5951	4,387 14	2,964 41	***-1,357 44 (LT)
Accumulated Paydown Amount 65 29								
PWRSH S&P SMCP ENGY ETF	04/02/12 c	01/02/13	119 000	37 3100	34 1340	4,439 89	4,061 85	-378 04(ST)
PWRSH S&P S/C HLTHCR ETF	04/02/12 c	01/02/13	126 000	35 1088	36 0600	4,423 71	4,543 45	119 74(ST)
HLTHCR SEL SECT SPDR ETF	07/02/12 c	01/02/13	38 000	38 0572	40 4958	1,446 17	1,538 80	92 63(ST)
	10/14/11 c	01/02/13	35 000	32 7600	40 4958	1,146 60	1,417 32	270 72 (LT)
			73 000			2,592 77	2,956 12	363 35
HLTHCR SEL SECT SPDR ETF	10/14/11 c	04/01/13	39 000	32 7600	45 9701	1,277 64	1,792 78	515 14 (LT)
HLTHCR SEL SECT SPDR ETF	07/01/13 c	10/01/13	77 000	48 1429	50 8222	3,707 00	3,913 23	206 23(ST)
	10/13/11 c	10/01/13	50 000	32 4300	50 8222	1,621 50	2,541 07	919 57 (LT)
	10/14/11 c	10/01/13	156 000	32 7600	50 8222	5,110 56	7,928 12	2,817 56 (LT)
			283 000			10,439 06	14,382 42	3,943 36
CONS STPLS SEL SPDR ETF	07/01/13 c	10/01/13	78 000	40 1244	39 8636	3,129 71	3,109 30	-20 41(ST)
ENERGY SEL SECT SPDR ETF	01/02/13 c	10/01/13	27 461	72 4404	83 1299	1,989 29	2,282 80	293 51(ST)
	07/01/13 c	10/01/13	18 000	79 2269	83 1299	1,426 08	1,496 31	70 23(ST)
	06/29/11 n	10/01/13	0 539	73 6419	83 1299	39 69	44 80	5 11 (LT)
	07/01/11 c	10/01/13	4 000	75 8800	83 1299	303 52	332 51	28 99 (LT)
			50 000			3,758 58	4,156 42	397 84
CONS DSCR SEL SPDR ETF	04/02/12 c	01/02/13	41 000	44 9300	48 3127	1,842 13	1,980 77	138 64(ST)
	07/01/11 c	01/02/13	3 000	40 9300	48 3127	122 79	144 94	22 15 (LT)
			44 000			1,964 92	2,125 71	160 79
INDL SEL SECT SPDR ETF	07/01/11 c	07/01/13	17 000	37 8800	43 0865	643 96	732 45	88 49 (LT)
	04/02/12 c	07/01/13	33 000	37 2800	43 0865	1,230 24	1,421 84	191 60 (LT)
			50 000			1,874 20	2,154 29	280 09
VNGRD FTSE EMG MKTS ETF	04/01/13 c	07/01/13	245 000	42 8440	39 0499	10,496 78	9,567 06	-929 72(ST)
	04/02/12 c	07/01/13	204 000	43 3681	39 0499	8,847 09	7,966 04	-881 05 (LT)
			449 000			19,343 87	17,533 10	-1,810 77
Total Stock Funds						**$99,115.24**	**$106,059.03**	**$7,009.08**
Preferred Stocks								
BRCLY DJ UBS CMDTY ETN	04/01/13 c	10/01/13	43	40 3312	36 9920	1,734 24	1,590 62	-143 62(ST)
	07/01/13 c	10/01/13	206	36 8230	36 9920	7,585 54	7,620 24	34 70(ST)
	10/13/11 c	10/01/13	204	43 3200	36 9920	8,837 28	7,546 23	-1,291 05 (LT)
	10/14/11 c	10/01/13	179	44 2205	36 9920	7,915 47	6,621 45	-1,294 02 (LT)
	10/17/11 c	10/01/13	30	44 0200	36 9920	1,320 60	1,109 74	-210 86 (LT)
	07/02/12 c	10/01/13	112	40 1917	36 9920	4,501 47	4,143 03	-358 44 (LT)
			774			31,894 60	28,631 31	-3,263 29
Total Preferred Stocks						**$31,894.60**	**$28,631.31**	**-$3,263.29**
Balanced Funds								
VNGRD REIT INDX ETF	01/02/13 c	04/01/13	24 000	66 7398	70 4473	1,601 76	1,690 70	88 94(ST)
Total Balanced Funds						**$1,601.76**	**$1,690.70**	**$88.94**

REALIZED GAINS/(-)LOSSES continued

	Date Acquired	Date Sold	Quantity	Purchase Price	Sale Price	Cost Basis	Sale Proceeds	Realized Gain/(-)Loss*
Taxable Bond Funds								
ISHS UTIL SECTOR BD ETF	04/02/12 c	01/02/13	133 000	49 8200	50 7157	6,626 06	6,745 03	118 97(ST)
ISHS BARC 7-10Y TRSY ETF	07/01/11 c	01/02/13	26 000	95 3394	106 9966	2,478 82	2,781 85	303 03(LT)
	10/14/11 c	01/02/13	17 000	102 4000	106 9966	1,740 80	1,818 89	78 09(LT)
			43 000			4,219 62	4,600 74	381 12
ISHS INVSTMNT BD FD ETF	01/03/12 c	01/02/13	16 000	113 8600	120 8885	1,821 76	1,934 17	112 41(ST)
	07/01/11 c	01/02/13	36 000	109 6497	120 8885	3,947 38	4,351 89	404 51(LT)
	10/13/11 c	01/02/13	46 000	111 8200	120 8885	5,143 72	5,560 74	417 02(LT)
	10/14/11 c	01/02/13	74 000	111 6500	120 8885	8,262 10	8,945 55	683 45(LT)
			172 000			19,174 96	20,792 35	1,617 39
ISHS BRCLY 1-3YR TRS ETF	01/02/13 c	04/01/13	87 000	84 4067	84 4200	7,343 38	7,344 37	0 99(ST)
ISHS BARC INTRM CRDT ETF	04/02/12 c	01/02/13	41 000	109 0273	111 1256	4,470 12	4,556 04	85 92(ST)
ISHS 1-3Y CR BD ETF	01/02/13 c	07/01/13	208 000	105 4992	104 9101	21,943 83	21,820 92	-122 91(ST)
ISHS IBOX $ H/Y CORP ETF	10/01/12 c	07/01/13	16 000	92 1150	90 6238	1,473 84	1,449 95	-23 89(ST)
	04/01/13 c	07/01/13	22 000	93 8431	90 6238	2,064 55	1,993 68	-70 87(ST)
	01/03/12 c	07/01/13	8 000	89 6200	90 6238	716 96	724 98	8 02(LT)
	04/02/12 c	07/01/13	21 000	90 3500	90 6238	1,897 35	1,903 07	5 72(LT)
			67 000			6,152 70	6,071 68	-81 02
ISHS IBOX $ H/Y CORP ETF	10/14/11 c	10/01/13	113 000	86 2000	91 2465	9,740 60	10,310 68	570 08(LT)
	01/03/12 c	10/01/13	113 000	89 6200	91 2465	10,127 06	10,310 67	183 61(LT)
			226 000			19,867 66	20,621 35	753 69
ISHS BRCLY MBS BD ETF	10/13/11 c	01/02/13	14 000	107 5298	107 9853	1,505 42	1,511 75	6 33(LT)
ISHS BRCLY MBS BD ETF	10/13/11 c	04/01/13	5 000	107 5298	107 7029	537 64	538 50	0 86(LT)
	10/14/11 c	04/01/13	10 000	107 4445	107 7029	1,074 45	1,077 00	2 55(LT)
			15 000			1,612 09	1,615 50	3 41
SPDR BARC HI YLD BD ETF	04/01/13 c	07/01/13	77 000	40 9080	39 4087	3,149 92	3,034 41	-115 51(ST)
	01/03/12 c	07/01/13	33 000	38 7300	39 4087	1,278 09	1,300 47	22 38(LT)
	04/02/12 c	07/01/13	40 000	39 3300	39 4087	1,573 20	1,576 32	3 12(LT)
			150 000			6,001 21	5,911 20	-90 01
SPDR BARC HI YLD BD ETF	10/14/11 c	10/01/13	212 000	37 5066	39 6773	7,951 40	8,411 45	460 05(LT)
	10/17/11 c	10/01/13	45 000	37 5200	39 6773	1,688 40	1,785 44	97 04(LT)
	01/03/12 c	10/01/13	266 000	38 7300	39 6773	10,302 18	10,553 97	251 79(LT)
			523 000			19,941 98	20,750 86	808 88
Total Taxable Bond Funds						**$118,859.03**	**$122,341.79**	**$3,482.76**
Total Realized Gains/(-)Losses						**$265,868.60**	**$269,595.93**	**$3,792.62**
Total Net Short-Term (ST)						**$129,742.68**	**$132,326.07**	**$2,583.39**
Total Net Long-Term (LT)						**$136,125.92**	**$137,269.86**	**$1,209.23**

ACTIVITY DETAILS continued / SUBACCOUNT ACTIVITY continued

Date	Activity	Quantity	Price	Description	Total	Cash	Money Market	Margin
12/30/13	Dividend			VANGUARD LONG TERM CORP BOND ETF	109 23	109 23		
12/30/13	Dividend			VANGUARD INTERMEDIATE TERM CORP BOND ETF	97 39	97 39		
12/30/13	Dividend-St Cap			VANGUARD INTERMEDIATE TERM CORP BOND ETF	54 34	54 34		
12/30/13	Capital Gain Distrib			VANGUARD INTERMEDIATE TERM CORP BOND ETF	188 94	188 94		
12/30/13	Dividend			WESTERN UNION COMPANY	77 38	77 38		
12/31/13	Interest			INSURED DEPOSIT US BANK	2 70	2 70		
12/31/13	Mny Mkt Deposit			INSURED DEPOSIT US BANK		-2 70	2 70	
12/31/13	Mny Mkt Deposit			INSURED DEPOSIT US BANK		-710 93	710 93	
	Closing Balance			**Total Closing Balance**	**$56,941.43**	**$0.00**	**$56,941.43**	**$0.00**

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REALIZED GAINS/(-)LOSSES

This section presents estimated realized gains or losses for your information only, and should not be used for tax purposes To calculate gains or losses, the position with the highest purchase price has been liquidated first unless you have instructed us otherwise We suggest you review this information for accuracy and contact your Baird Financial Advisor with any questions

	Date Acquired	Date Sold	Quantity	Purchase Price	Sale Price	Cost Basis	Sale Proceeds	Realized Gain/(-)Loss*
Stocks/Options								
ABERCROMBIE & FITCH A	10/25/13 c	12/16/13	125	35 9863	31 8429	4,498 29	3,980 29	-518 00(ST)
AMERICAN CAP AGENCY CORP	08/05/13 c	11/12/13	235	22 5196	20 7648	5,292 11	4,879 64	-412 47(ST)
AMERN EAGLE OUTFITTERS	03/08/13 c	03/21/13	367	20 8248	19 1071	7,642 70	7,012 15	-630 55(ST)
AMERN EAGLE OUTFITTERS	04/30/13 c	06/24/13	239	19 3749	17 6361	4,630 60	4,214 95	-415 65(ST)
AMERIPRISE FINL INC	05/03/13 c	06/03/13	102	75 6851	80 7308	7,719 88	8,234 39	514 51(ST)
APPLE INC	03/18/13 c	04/22/13	31	452 3828	396 7986	14,023 87	12,300 48	-1,723 39(ST)
AVERY DENNISON CORP	05/15/13 c	12/12/13	107	44 1273	48 0608	4,721 62	5,142 42	420 80(ST)
BLACKROCK INC	05/03/13 c	06/06/13	14	270 6783	262 8682	3,789 50	3,680 09	-109 41(ST)
	05/06/13 c	06/06/13	3	274 6384	262 8682	823 92	788 59	-35 33(ST)
			17			4,613 42	4,468 68	-144 74
CARNIVAL CORP PAIRD CTF	06/18/13 c	08/12/13	310	34 1823	36 2991	10,596 51	11,252 52	656 01(ST)
CENOVUS ENERGY INC	12/17/12 c	02/08/13	138	33 0799	32 6715	4,565 03	4,508 56	-56 47(ST)
CISCO SYSTEMS INC	05/13/13 c	05/23/13	390	21 1880	23 3802	8,263 32	9,118 12	854 80(ST)
CISCO SYSTEMS INC	09/12/13 c	11/15/13	557	24 3732	21 4242	13,575 87	11,933 07	-1,642 80(ST)
COACH INC	03/11/13 c	06/24/13	281	49 6103	54 7554	13,940 49	15,386 00	1,445 51(ST)
COACH INC	08/30/13 c	10/24/13	195	52 8768	48 8340	10,310 98	9,522 46	-788 52(ST)
COMP DE BRASIL PFD A ADR	07/23/13 c	08/19/13	99	47 1995	39 9446	4,672 75	3,954 45	-718 30(ST)
CONAGRA FOODS INC	05/20/13 c	08/29/13	216	35 8610	34 0773	7,745 98	7,360 57	-385 41(ST)
DDR CORP	07/15/13 c	08/16/13	438	17 4558	15 9440	7,645 64	6,983 34	-662 30(ST)

REALIZED GAINS/(-)LOSSES continued

Stocks/Options continued	Date Acquired	Date Sold	Quantity	Purchase Price	Sale Price	Cost Basis	Sale Proceeds	Realized Gain/(-)Loss*
DIGITAL REALTY TRUST INC	06/11/13 c	08/01/13	171	61 5551	54 6725	10,525 92	9,348 83	-1,177 09(ST)
DIGITAL REALTY TRUST INC	10/17/13 c	10/31/13	58	56 2335	47 5740	3,261 54	2,759 25	-502 29(ST)
	10/18/13 c	10/31/13	32	56 5295	47 5740	1,808 94	1,522 33	-286 61(ST)
			90			5,070 48	4,281 58	-788 90
ERICSSON TELEPHONE NEW B	05/06/13 c	06/24/13	379	12 2700	10 7720	4,650 33	4,082 51	-567 82(ST)
EMERSON ELECTRIC COMPANY	05/01/13 c	10/03/13	192	54 7933	63 6315	10,520 31	12,217 03	1,696 72(ST)
ENCANA CORP	08/02/13 c	12/05/13	433	17 5993	19 0778	7,620 50	8,260 54	640 04(ST)
EXELON CORP	06/25/13 c	10/24/13	431	31 0768	27 8158	13,394 10	11,988 40	-1,405 70(ST)
FIDELITY NATL FINL INC	05/31/13 c	06/13/13	174	26 4741	23 9891	4,606 49	4,174 02	-432 47(ST)
FORD MOTOR COMPANY NEW	04/26/13 c	06/06/13	1,013	13 6794	15 2163	13,857 23	15,413 84	1,556 61(ST)
FREEPORT MCMRN COP & GLD	04/02/13 c	04/17/13	429	32 1740	27 9503	13,802 65	11,990 41	-1,812 24(ST)
GANNETT COMPANY INC DE	05/10/13 c	06/17/13	115	21 5641	24 9003	2,479 87	2,863 49	383 62(ST)
	05/13/13 c	06/17/13	106	21 7862	24 9003	2,309 34	2,639 38	330 04(ST)
			221			4,789 21	5,502 87	713 66
HCP INC	07/17/13 c	08/07/13	225	47 1923	41 9845	10,618 27	9,446 34	-1,171 93(ST)
HASBRO INC	07/01/13 c	11/08/13	165	45 6495	50 4680	7,532 17	8,327 07	794 90(ST)
HUNTINGTON BANCSHARES IN	11/26/12 c	02/21/13	1,192	6 2758	6 8701	7,480 75	8,188 97	708 22(ST)
IAMGOLD CORP	05/01/13 c	05/16/13	269	5 4057	4 9169	1,454 13	1,322 62	-131 51(ST)
	05/02/13 c	05/16/13	572	5 5107	4 9169	3,152 12	2,812 39	-339 73(ST)
			841			4,606 25	4,135 01	-471 24
INTERNATIONAL GAME TECHN	11/04/13 c	11/08/13	242	19 2512	17 0589	4,658 79	4,128 18	-530 61(ST)
	11/05/13 c	11/08/13	143	19 0549	17 0589	2,724 85	2,439 38	-285 47(ST)
			385			7,383 64	6,567 56	-816 08
INVESCO LTD SHS	06/14/12 c	02/26/13	455	21 7909	25 6027	9,914 86	11,648 96	1,734 10(ST)
KLA-TENCOR CORP	04/30/13 c	07/29/13	142	54 1056	57 8577	7,683 00	8,215 64	532 64(ST)
KAR AUCTION SVCS INC	04/12/13 c	06/03/13	112	20 8873	22 4841	2,339 38	2,518 18	178 80(ST)
	04/16/13 c	06/03/13	109	21 1183	22 4841	2,301 89	2,450 72	148 83(ST)
			221			4,641 27	4,968 90	327 63
KINROSS GOLD CORP NO PAR	03/06/13 c	04/12/13	608	7 6590	6 3372	4,656 67	3,852 93	-803 74(ST)
	03/07/13 c	04/12/13	362	7 8706	6 3372	2,849 16	2,294 01	-555 15(ST)
			970			7,505 83	6,146 94	-1,358 89
LIBERTY PROPERTY TRUST	07/10/13 c	08/16/13	121	37 7226	34 4539	4,564 43	4,168 84	-395 59(ST)
LYONDELLBASELL N V CL A	04/26/13 c	11/01/13	174	60 3508	73 9083	10,501 04	12,859 81	2,358 77(ST)
MEDICAL PROPERTIES TRUST	07/10/13 c	08/16/13	316	14 5350	12 2908	4,593 06	3,883 82	-709 24(ST)
MOLSON COORS BREWNG CL B	11/29/12 c	02/28/13	111	40 7628	44 2203	4,524 67	4,908 34	383 67(ST)
NYSE EURONEXT	05/02/13 c	07/11/13	116	40 0488	41 3755	4,645 66	4,799 47	153 81(ST)
NORTHSTAR RLTY FINL CORP	04/30/13 c	05/31/13	466	9 9430	8 4408	4,633 44	3,933 34	-700 10(ST)
PINNACLE WEST CAP CORP	06/18/13 c	09/04/13	80	56 4083	52 6123	4,512 66	4,208 90	-303 76(ST)
QUESTCOR PHARMACEUTICAL	04/26/13 c	05/16/13	158	29 3131	36 9254	4,631 47	5,834 07	1,202 60(ST)
ROCKWELL AUTOMATION INC	07/30/12 c	03/20/13	88	68 3333	86 6943	6,013 33	7,628 92	1,615 59(ST)
ROYAL BANK CDA MONTREAL	04/19/13 c	12/02/13	77	59 7208	66 2134	4,598 50	5,098 34	499 84(ST)
RYDER SYSTEM INC	07/26/12 c	02/26/13	114	38 1068	54 1845	4,344 18	6,176 89	1,832 71(ST)
SAFEWAY INC NEW	08/09/12 c	04/26/13	632	16 1621	23 3351	10,214 45	14,747 44	4,532 99(ST)
SIX FLAGS ENTMT CORP NEW	05/24/13 c	08/14/13	118	38 9664	34 8212	4,598 04	4,108 82	-489 22(ST)
STEEL DYNAMICS INC	05/20/13 c	06/06/13	103	15 5863	14 7631	1,605 39	1,520 58	-84 81(ST)

BAIRD

REALIZED GAINS/(-)LOSSES continued

	Date Acquired	Date Sold	Quantity	Purchase Price	Sale Price	Cost Basis	Sale Proceeds	Realized Gain/(-)Loss*
Stocks/Options continued								
	05/21/13 c	06/06/13	201	16 0147	14 7631	3,218 95	2,967 32	-251 63(ST)
			304			4,824 34	4,487 90	-336 44
SYMANTEC CORP	06/18/13 c	10/25/13	607	22 7205	21 6801	13,791 34	13,159 59	-631 75(ST)
TIME WARNER CABLE INC	03/01/13 c	08/15/13	157	88 0513	110 6959	13,824 05	17,378 95	3,554 90(ST)
TORONTO DOMINION BK NEW	06/11/12 c	08/07/13	94	75 7378	82 8282	7,119 35	7,785 71	666 36(LT)
TRANSOCEAN LTD NAMEN AKT	10/21/13 c	11/21/13	118	46 5435	52 1674	5,492 13	6,155 64	663 51(ST)
VERIZON COMMS INC	06/18/13 c	08/19/13	268	51 4984	47 5762	13,801 57	12,750 19	-1,051 38(ST)
WADDELL & REED FINL CL A	06/11/12 c	02/26/13	148	29 2057	40 0937	4,322 44	5,933 73	1,611 29(ST)
WEYERHAEUSER COMPANY	05/09/13 c	06/05/13	337	31 8634	28 4421	10,737 97	9,584 82	-1,153 15(ST)
Total Stocks/Options						**$436,950.44**	**$444,751.04**	**$7,800.60**
Stock Funds								
SPDR S&P DIVIDEND ETF	11/29/12 c	03/18/13	241 000	58 1851	64 8197	14,022 61	15,621 20	1,598 59(ST)
SPDR S&P DIVIDEND ETF	11/29/12 c	06/03/13	71 000	58 1851	67 3885	4,131 14	4,784 49	653 35(ST)
SPDR WELLS FARGO PFD ETF	10/14/11 c	08/14/13	12 000	42 9100	41 6815	514 92	500 17	-14 75(LT)
	10/19/11 c	08/14/13	268 000	42 9300	41 6815	11,505 24	11,170 44	-334 80(LT)
			280 000			12,020 16	11,670 61	-349 55
SPDR WELLS FARGO PFD ETF	10/14/11 c	08/15/13	281 000	42 9100	41 1055	12,057 71	11,550 44	-507 27(LT)
Total Stock Funds						**$42,231.62**	**$43,626.74**	**$1,395.12**
Preferred Stocks								
BRCLY FUTURE BEARSH ETN	08/20/13 c	09/23/13	926	33 1104	31 0804	30,660 23	28,779 94	-1,880 29(ST)
Total Preferred Stocks						**$30,660.23**	**$28,779.94**	**-$1,880.29**
Taxable Bond Funds								
ISHS JPM USD E/M BD ETF	12/06/12 c	06/20/13	111 000	122 7014	106 5337	13,619 86	11,825 03	-1,794 83(ST)
	01/18/13 c	06/20/13	152 000	122 1751	106 5337	18,570 62	16,192 84	-2,377 78(ST)
			263 000			32,190 48	28,017 87	-4,172 61
ISHS BRCLY 20+ TRSY ETF	06/27/12 c	01/04/13	162 000	126 4447	117 6509	20,484 04	19,059 02	-1,425 02(ST)
ISHS BARC 7-10Y TRSY ETF	06/27/12 c	06/03/13	239 000	108 0175	105 4134	25,816 18	25,193 36	-622 82(ST)
ISHS INVSTMNT BD FD ETF	07/08/11 c	06/03/13	98 000	110 9200	117 1934	10,870 16	11,484 76	614 60(LT)
	10/14/11 c	06/03/13	209 000	111 5599	117 1934	23,316 02	24,492 99	1,176 97(LT)
	10/19/11 c	06/03/13	224 000	112 2199	117 1934	25,137 25	26,250 86	1,113 61(LT)
			531 000			59,323 43	62,228 61	2,905 18
ISHS INVSTMNT BD ETF	07/15/13 c	08/19/13	368 000	114 4614	111 0556	42,121 80	40,867 74	-1,254 06(ST)
	07/16/13 c	08/19/13	67 000	114 4599	111 0556	7,668 81	7,440 60	-228 21(ST)
			435 000			49,790 61	48,308 34	-1,482 27
ISHS 1-3Y CR BD ETF	06/11/13 c	07/15/13	331 000	105 2436	104 7613	34,835 63	34,675 38	-160 25(ST)
PIMCO ENH SHRT MATY ETF	06/11/13 c	10/21/13	180 000	101 4664	101 3966	18,263 95	18,251 07	-12 88(ST)
SPDR BRCLY S/T CORP ETF	06/11/13 c	07/15/13	447 000	30 7385	30 5500	13,740 11	13,655 61	-84 50(ST)
VNGRD SHRT TRM CORP ETF	06/11/13 c	10/21/13	66 000	79 6680	79 7450	5,258 09	5,263 07	4 98(ST)
	03/19/12 c	10/21/13	221 000	79 0255	79 7450	17,464 63	17,623 35	158 72(LT)
			287 000			22,722 72	22,886 42	163 70

REALIZED GAINS/(-)LOSSES continued

	Date Acquired	Date Sold	Quantity	Purchase Price	Sale Price	Cost Basis	Sale Proceeds	Realized Gain/(-)Loss*
Taxable Bond Funds continued								
Total Taxable Bond Funds						**$277,167.15**	**$272,275.68**	**-$4,891.47**
Total Realized Gains/(-)Losses						**$787,009.44**	**$789,433.40**	**$2,423.96**
Total Net Short-Term (ST)						**$679,024.16**	**$678,574.68**	**-$449.48**
Total Net Long-Term (LT)						**$107,985.28**	**$110,858.72**	**$2,873.44**

* Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing
c Covered Tax Lot - The cost basis, holding period and sale proceeds on this tax lot will be reported by Baird to the IRS for taxable (reportable) accounts

INTERESTED PARTIES AND/OR SUPPORTING REPRESENTATIVE(S)

Per your request, a copy of this statement has also been sent to

WBI INVESTMENTS, INC
34 SYCAMORE AVENUE
SUITE 1-E
LITTLE SILVER NJ 07739-1228

PARKSIDE FINANCIAL
ATTN JANELLE PIDCOCK
8112 MARYLAND AVE #101
SAINT LOUIS MO 63105-3700

Your Supporting Representative(s) is (are)

ROBERT W BAIRD & CO INC
ADVISORY SERVICES

Thank you for allowing Robert W Baird & Co Incorporated to serve you
Visit our Web site at www rwbaird com Clients enrolled in Baird OnLine can access updated Account Information, Baird Research and Investing Tools including the latest market information, portfolio tracking and more Please contact your Baird Financial Advisor with questions regarding Baird OnLine, your account or this statement