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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

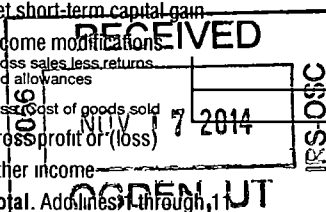
Name of foundation HILLE FAMILY CHARITABLE FOUNDATION		A Employer identification number 73-1521975
Number and street (or P.O. box number if mail is not delivered to street address) 624 SOUTH BOSTON	Room/suite 710	B Telephone number 918-592-0079
City or town, state or province, country, and ZIP or foreign postal code TULSA, OK 74119		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 55,536,102.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		6,374.	6,374.		STATEMENT 1
4 Dividends and interest from securities		820,018.	820,018.		STATEMENT 2
5a Gross rents		406,913.	406,913.		STATEMENT 3
b Net rental income or (loss) -43,185.					STATEMENT 4
6a Net gain or (loss) from sale of assets not on line 10		-970,584.			
b Gross sales price for all assets on line 6a 6,060,730.					
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less cost of goods sold					
c Gross profit or (loss)					
11 Other income		-367,485.	-367,485.		STATEMENT 5
12 Total. Add lines 1 through 11		-104,764.	865,820.		
13 Compensation of officers, directors, trustees, etc		251,262.	33,921.		217,341.
14 Other employee salaries and wages		84,030.	48,768.		35,262.
15 Pension plans, employee benefits		123,222.	30,388.		92,833.
16a Legal fees STMT 6		535,112.	535,112.		0.
b Accounting fees STMT 7		13,830.	13,830.		0.
c Other professional fees STMT 8		292,635.	292,635.		0.
17 Interest					
18 Taxes STMT 9		22,430.	5,550.		16,880.
19 Depreciation and depletion		80,519.	80,519.		
20 Occupancy		155,791.	155,791.		0.
21 Travel, conferences, and meetings		5,087.	687.		4,400.
22 Printing and publications					
23 Other expenses STMT 10		208,576.	171,280.		30,631.
24 Total operating and administrative expenses. Add lines 13 through 23		1,772,494.	1,368,481.		397,347.
25 Contributions, gifts, grants paid		1,805,692.			1,805,692.
26 Total expenses and disbursements. Add lines 24 and 25		3,578,186.	1,368,481.		2,203,039.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-3,682,950.			
b Net investment income (if negative, enter -0-)			0.		
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED NOV 19 2014

Revenue

Operating and Administrative Expenses



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	8,040,258.	2,320,476.	2,320,476.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 13	26,533,930.	28,349,671.	39,389,050.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶ 3,056,524.			
Liabilities		Less: accumulated depreciation STMT 14 ▶ 388,848.	2,743,125.	2,667,676.	3,000,000.
	12	Investments - mortgage loans			
	13	Investments - other STMT 15	8,569,841.	8,870,971.	10,802,034.
	14	Land, buildings, and equipment: basis ▶ 66,251.			
		Less: accumulated depreciation STMT 16 ▶ 41,711.	4,810.	24,540.	24,542.
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	45,891,964.	42,233,334.	55,536,102.
	17	Accounts payable and accrued expenses	163,470.	81,649.	
	18	Grants payable			
	19	Deferred revenue			
Net Assets or Fund Balances	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ STATEMENT 17)	46,945.	219,483.	
	23	Total liabilities (add lines 17 through 22)	210,415.	301,132.	
		Foundations that follow SFAS 117, check here ▶ ☐			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	45,681,549.	41,932,202.	
	30	Total net assets or fund balances	45,681,549.	41,932,202.	
	31	Total liabilities and net assets/fund balances	45,891,964.	42,233,334.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	45,681,549.
2	Enter amount from Part I, line 27a	2	-3,682,950.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 11	3	61,748.
4	Add lines 1, 2, and 3	4	42,060,347.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 12	5	128,145.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	41,932,202.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MERRILL LYNCH ACCOUNTS		P		
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 6,060,730.		7,031,314.	-970,584.	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			-970,584.	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-970,584.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			3	N/A
If gain, also enter in Part I, line 8, column (c).				
If (loss), enter -0- in Part I, line 8				

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,957,507.	50,950,680.	.038420
2011	2,170,385.	52,826,448.	.041085
2010	2,566,926.	52,479,784.	.048913
2009	3,126,777.	52,204,399.	.059895
2008	3,490,723.	61,797,461.	.056487
2 Total of line 1, column (d)			2 .244800
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .048960
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 53,018,056.
5 Multiply line 4 by line 3			5 2,595,764.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 0.
7 Add lines 5 and 6			7 2,595,764.
8 Enter qualifying distributions from Part XII, line 4			8 2,203,039.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	0.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 37,428.	7	37,428.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c	9	
d Backup withholding erroneously withheld	6d	10	37,428.
7 Total credits and payments. Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ 37,428. Refunded ☐			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ OK		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.HILLEFOUNDATION.ORG	13	X	
14	The books are in care of ► THE FOUNDATION Telephone no. ► 918-592-0079 Located at ► 624 SOUTH BOSTON, TULSA, OK ZIP+4 ► 74119			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ► _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 18		251,262.	37,688.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CHAPMAN SPINGOLA, LLP 77 WEST WACKER DRIVE, CHICAGO, IL 60601	LEGAL SERVICES	359,129.
MERRILL LYNCH - 1800 MERRILL LYNCH DRIVE, MSC 0803, PENNINGTON, NJ 08534-412	BROKER FEES	181,731.
KAJEER YAR 2651 E. 66TH ST., TULSA, OK 74136	LEGAL SERVICES	143,500.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2013)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	40,142,138.
b	Average of monthly cash balances	1b	4,315,190.
c	Fair market value of all other assets	1c	9,368,110.
d	Total (add lines 1a, b, and c)	1d	53,825,438.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	53,825,438.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	807,382.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	53,018,056.
6	Minimum investment return. Enter 5% of line 5	6	2,650,903.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,650,903.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,650,903.
4	Recoveries of amounts treated as qualifying distributions	4	59,975.
5	Add lines 3 and 4	5	2,710,878.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,710,878.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,203,039.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,203,039.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	2,203,039.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,710,878.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	415,984.			
b From 2009	527,573.			
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	943,557.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 2,203,039.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				2,203,039.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	507,839.			507,839.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	435,718.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	435,718.			
10 Analysis of line 9:				
a Excess from 2009	435,718.			
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

[illegible]

Form 990-PF (2013)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT				1,805,692.
Total			3a	1,805,692.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments				14	6,374.	
4 Dividends and interest from securities				14	820,018.	
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property				16	-43,185.	
6 Net rental income or (loss) from personal property						
7 Other investment income				14	-367,485.	
8 Gain or (loss) from sales of assets other than inventory				18	-970,584.	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)			0.		-554,862.	0.
13 Total. Add line 12, columns (b), (d), and (e)						-554,862.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions.	Enter filer's identifying number
File by the due date for filing your return. See instructions.	HILLE FAMILY CHARITABLE FOUNDATION	Employer identification number (EIN) or 73-1521975
	Number, street, and room or suite no. If a P O box, see instructions 624 SOUTH BOSTON, NO. 710	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions TULSA, OK 74119	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THE FOUNDATION

- The books are in the care of ► **624 SOUTH BOSTON, NO. 710 - TULSA, OK 74119**

Telephone No ► **918-592-0079**

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year **2013** or
- ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	37,428.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

		Enter filer's identifying number, see instructions	
Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or	
	HILLE FAMILY CHARITABLE FOUNDATION	73-1521975	
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)	
	624 SOUTH BOSTON, NO. 710		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.		
	TULSA, OK 74119		

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

THE FOUNDATION

- The books are in the care of **624 SOUTH BOSTON, NO. 710 - TULSA, OK 74119**

Telephone No. **918-592-0079**

Fax No.

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014**

5 For calendar year **2013**, or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS RESPECTFULLY REQUESTED IN ORDER TO GATHER THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	37,428.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **Lowell W. W. W.** Title **CPA**

Date **7/24/2014**

A STATEMENT ATTACHED TO AND MADE A PART OF U.S. RETURN OF
PRIVATE FOUNDATION FOR HILLE FAMILY CHARITABLE FOUNDATION
FOR THE CALENDAR YEAR 2013.

The foregoing U.S. Return of Private Foundation for Hille Family Charitable Foundation was prepared by the undersigned or under his direction. The facts stated therein were obtained from the taxpayer's records and other sources considered to be reliable and are believed to be true and correct.

By 
Michael R. Moore PTIN: 00398770
HOGANTAYLOR, LLP
2222 S. Utica Place, Suite 200
Tulsa, Oklahoma 74114-7002
I.D. #73-1413977

Dated 11-6-14

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST INCOME	6,374.	6,374.	
TOTAL TO PART I, LINE 3	6,374.	6,374.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME	820,018.	0.	820,018.	820,018.	
TO PART I, LINE 4	820,018.	0.	820,018.	820,018.	

FORM 990-PF RENTAL INCOME STATEMENT 3

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
COMMERCIAL REAL ESTATE, TULSA, OK	1	406,913.
TOTAL TO FORM 990-PF, PART I, LINE 5A		406,913.

FORM 990-PF RENTAL EXPENSES STATEMENT 4

DESCRIPTION	ACTIVITY NUMBER	AMOUNT	TOTAL
DEPRECIATION		75,449.	
INSURANCE		20,927.	
MAINTENANCE & REPAIRS		112,374.	
PROFESSIONAL FEES		51,656.	
UTILITIES		147,680.	
TAXES		8,112.	
ALARM SERVICE		2,483.	

JANITORIAL	27,569.	
SECURITY	1,080.	
TRASH SERVICE	2,680.	
OFFICE EXPENSES	88.	
- SUBTOTAL -	1	450,098.
TOTAL RENTAL EXPENSES		450,098.
NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B		-43,185.

FORM 990-PF	OTHER INCOME	STATEMENT	5
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PARTNERSHIP- EXERBOTICS, LLC	-47,043.	-47,043.	
PARTNERSHIP- GREENARCH, LLC	-412,285.	-412,285.	
MISCELLANEOUS INCOME	31,386.	31,386.	
PARTNERSHIP- EMOTION MEDIA, LLC	482.	482.	
MISC INCOME-REFUND OF GRANT PAID IN PRIOR YEAR FROM OU FOUNDATION	59,975.	59,975.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-367,485.	-367,485.	

FORM 990-PF	LEGAL FEES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	535,112.	535,112.		0.
TO FM 990-PF, PG 1, LN 16A	535,112.	535,112.		0.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	13,830.	13,830.		0.
TO FORM 990-PF, PG 1, LN 16B	13,830.	13,830.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OTHER PROFESSIONAL FEES	59,248.	59,248.		0.	
MERRILL LYNCH MANAGEMENT FEE	181,731.	181,731.		0.	
OTHER PROFESSIONAL FEES - 624 SOUTH BOSTON	51,656.	51,656.		0.	
TO FORM 990-PF, PG 1, LN 16C	292,635.	292,635.		0.	

FORM 990-PF	TAXES			STATEMENT	9
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PAYROLL TAXES	22,405.	5,525.		16,880.	
INCOME TAXES	25.	25.		0.	
TO FORM 990-PF, PG 1, LN 18	22,430.	5,550.		16,880.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	10
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OFFICE EXPENSES	18,930.	2,556.		16,374.	
INSURANCE	10,902.	1,472.		9,430.	
REPAIRS AND MAINTENANCE- 624 SOUTH BOSTON	112,374.	112,374.		0.	
OFFICE EXPENSES- 624 SOUTH BOSTON	88.	88.		0.	
INSURANCE- 624 SOUTH BOSTON	20,927.	20,927.		0.	
DUES	4,500.	0.		4,500.	
ALARM SERVICE- 624 SOUTH BOSTON	2,483.	2,483.		0.	
JANITORIAL- 624 SOUTH BOSTON	27,569.	27,569.		0.	
SECURITY- 624 SOUTH BOSTON	1,080.	1,080.		0.	
TRASH SERVICE- 624 SOUTH BOSTON	2,680.	2,680.		0.	
PARTNERSHIP NONDEDUCTIBLE EXPENSES	6,665.	0.		0.	

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REPAIRS AND MAINTENANCE	378.	51.	327.
TO FORM 990-PF, PG 1, LN 23	208,576.	171,280.	30,631.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 11

DESCRIPTION	AMOUNT
ACCUMULATED DEPRECIATION ADJUSTMENT	853.
PRIOR YEAR ACCUMULATED DEPRECIATION ADJUSTMENT NOT BOOKED	1,798.
REFUND OF PRIOR YEAR GRAND PAID TO OU FOUNDATION	59,097.
TOTAL TO FORM 990-PF, PART III, LINE 3	61,748.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 12

DESCRIPTION	AMOUNT
ADJUSTMENT FOR K-1 INVESTMENT CAPITAL ACCOUNT CORRECTION	97,697.
PRIOR YEAR CAPITAL ACCOUNT CORRECTION FOR K-1	30,448.
TOTAL TO FORM 990-PF, PART III, LINE 5	128,145.

FORM 990-PF CORPORATE STOCK STATEMENT 13

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITIES	28,767,871.	40,211,505.
SHORT POSITIONS	-418,200.	-822,455.
TOTAL TO FORM 990-PF, PART II, LINE 10B	28,349,671.	39,389,050.

FORM 990-PF DEPRECIATION OF ASSETS HELD FOR INVESTMENT STATEMENT 14

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LAND- 624 S BOSTON	65,000.	0.	65,000.
BLDG- 624 S BOSTON	2,948,806.	380,887.	2,567,919.
CONCEPTUAL DESIGN- BLDG- 624 S BOSTON	22,500.	2,768.	19,732.
ARCH DESIGN- BLDG- 624 S BOSTON	5,000.	604.	4,396.
ARCH DESIGN- BLDG- 624 S BOSTON	9,610.	1,120.	8,490.
PORTABLE AIR CONDITIONERS	1,785.	1,148.	637.
CARPET- 624 S BOSTON	3,823.	2,321.	1,502.
TOTAL TO FM 990-PF, PART II, LN 11	3,056,524.	388,848.	2,667,676.

FORM 990-PF OTHER INVESTMENTS STATEMENT 15

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER INVESTMENTS	COST	8,870,971.	10,802,034.
TOTAL TO FORM 990-PF, PART II, LINE 13		8,870,971.	10,802,034.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 16

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
FURNITURE	4,260.	4,260.	0.
SBC OFFICE SETUP	629.	629.	0.
PHONE SYSTEM	3,440.	3,440.	0.
OFC EQT	800.	800.	0.
1/2 COMPUTER- SHIRLEY	882.	882.	0.
1/2 COMPUTER- MAGGIE	1,332.	1,332.	0.
PRINTER	2,508.	2,301.	207.
OFFICE FURNITURE	5,250.	3,250.	2,000.
REFRIGERATOR	401.	242.	159.
COMPUTER	2,150.	1,469.	681.
COMPUTER	2,171.	2,171.	0.
COMPUTER	3,474.	3,474.	0.
PRINTER, SHREDDER	607.	607.	0.
COMPUTER SETUP	285.	285.	0.

HILLE FAMILY CHARITABLE FOUNDATION

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COMPUTER	2,164.	2,164.	0.
COMPUTER	6,420.	6,420.	0.
COMPUTER SETUP	956.	956.	0.
COMPUTER - LESLIE AND SHEILA	3,722.	3,722.	0.
GRANT MGMT SOFTWARE	24,800.	3,307.	21,493.
TOTAL TO FM 990-PF, PART II, LN 14	66,251.	41,711.	24,540.

FORM 990-PF OTHER LIABILITIES STATEMENT 17

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
ACCRUED SEP CONTRIBUTION	41,413.	49,919.
SECURITY DEPOSITS	4,470.	4,470.
ACCRUED PAYROLL	1,062.	1,624.
LINE OF CREDIT	0.	163,470.
TOTAL TO FORM 990-PF, PART II, LINE 22	46,945.	219,483.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 18

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARY ANN HILLE 3915 S. LEWIS PLACE TULSA, OK 74105	CO-TRUSTEE 1.50	6,087.	913.	0.
LESLIE HAMRICK 3523 WEST LAKE DRIVE MARTINEZ, GA 30907	GRANT REPORT OFFICER 7.00	35,175.	5,276.	0.
SHEILA LEQUERICA 867 GRANADA ROAD SEALY, TX 77474	GRANT REPORT OFFICER 7.00	35,175.	5,276.	0.
MARGARET YAR 2651 E. 66TH ST. TULSA, OK 74136	EXEC. DIRECTOR, CO-TRUSTEE 40.00	92,571.	13,885.	0.
SHIRLEY MARTIN 4611 E. 55TH TULSA, OK 74135	GRANTS MANAGER 40.00	82,254.	12,338.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		251,262.	37,688.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 19

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

SHIRLEY MARTIN
624 S. BOSTON SUITE 710
TULSA, OK 74119

TELEPHONE NUMBER

918-592-0079

FORM AND CONTENT OF APPLICATIONS

BRIEF PROPOSAL WITH DETAILED DESCRIPTION OF PROGRAM AND A LIST OF DONATIONS
ALREADY SECURED; COPY OF THE ORGANIZATION'S CURRENT YEAR'S AND LAST YEAR'S
BUDGET; COPY OF ORGANIZATION'S MOST RECENT AUDIT; COPY OF MOST RECENT 990
AND STATUS DETERMINATION LETTER FROM IRS.

ANY SUBMISSION DEADLINES

MAY 1, SEPTEMBER 1, DECEMBER 1

RESTRICTIONS AND LIMITATIONS ON AWARDS

ONLY 501(C)(3) ORGANIZATIONS; PREDOMINANTLY IN THE TULSA, OK AREA

AGENCY	GRANT AMOUNT	DATE PAID	TYPE OF SUPPORT	TYPE OF AGENCY	TYPE OF GRANT
Faith Academy Bellville	685.00	1/4/2013	sheila disc\$ - general op	education	program
OK Thoroughbred Retirement Program	500.00	1/9/2013	shirley disc\$ - gen op	animal	general op
American Red Cross	5,000.00	1/9/2013	2013 north tula swim program	social service	program
Blaze's Tribute Equine Rescue	500.00	1/9/2013	shirley disc\$ - general op	animal	general op
Brookwood Community	10,000.00	1/9/2013	2013 tuition for chrissy	social service	scholarship
Greater Cornerstone	300,000.00	1/14/2013	capital campaign - MYG	social service	capital
Alzheimer's Association OK & AR Chapter	10,000.00	1/15/2013	younger onset program	social service	program
Greenwood Cultural Center	5,000.00	1/25/2013	event - out of africa	education	event
Booker T. Washington	1,500.00	2/7/2013	maggie disc\$ - event, gospel, grits, gershwin	education	event
Crosstown Learning Center	1,000.00	2/7/2013	maggie disc\$ - event, just a little valentine	education	event
Tulsa Girls Art School	22,500.00	2/7/2013	money for dev. Director's salary	arts	general op
Tulsa Police Sertoma	300.00	2/7/2013	maggie disc\$ - event, police heroes banquet	social service	event
John Hope Franklin	1,000.00	2/14/2013	mary ann disc\$ - event, reconciliation symposium	social service	event
OSU Bursar	4,849.82	2/14/2013	b. kershaw spring 2013 tuition - MYG	education	scholarship
Phi Gamma Delta	150.18	2/14/2013	b. kershaw fiji house food bill spring 2013 - MYG	education	scholarship
Tulsa CARES	3,000.00	2/14/2013	mary ann & maggie disc\$ - event red ribbon gala	social service	event
Iron Gate	15,000.00	2/20/2013	third & final myg payment	basic need	general op
Clarehouse	10,000.00	2/21/2013	general operating	medical	general op
Project Transformation	5,000.00	2/21/2013	tulsa summer 2013 literacy program	education	program
Tulsa Dream Center	5,000.00	2/21/2013	2013 summer literacy program	social service	program
OK Center for Poets & Writers	15,000.00	3/4/2013	2013-14 program	arts	general op
Tulsa Community Foundation	25,000.00	3/5/2013	general operating for 501 TechNet - MYG	social service	general op
Crosstown Learning Center	100,000.00	3/12/2013	general operating - MYG	education	general op
First Tee of Tulsa	14,000.00	3/12/2013	golf school program	social service	program
Girl Scouts of Eastern OK	20,000.00	3/12/2013	girl scouts beyond bars - MYG	social service	program
Tulsa Lighthouse Charter School	20,000.00	3/14/2013	general operating	education	general op
Palmer Continuum of Care	25,000.00	3/20/2013	general operating	social service	program
Thoroughbred Retirement Foundation	500.00	3/26/2013	shirley disc\$ - for hay	animal	general op
YMCA	10,000.00	3/26/2013	2013 summer learning institute	social service	program
RSU Foundation	5,000.00	3/27/2013	event - boots, bbq, boogie (bit by bit)	disabilities	event
Happy Hands	100.00	4/1/2013	in honor of Donne Pitman	disabilities	event
OK Orphaned Poodle Services	250.00	4/9/2013	shirley disc\$ - gen op	animal	general op
Solid Foundation Preparatory Academy	7,996.00	4/18/2013	pc tables, student desks & chairs	education	capital
Tulsa Community Foundation	3,000.00	4/18/2013	for 501 TechNet to do IT services for Solid Foundation	social service	program
Tulsa Community College Bursar	2,197.03	4/25/2013	a. crandall spring 2013 tuition - MYG	education	scholarship
Metropolitan Tulsa Urban League	3,500.00	4/29/2013	freedom school 2013	social service	program
JDRF	100,000.00	4/30/2013	5th and final myg payment for artificial pancreas	medical	medical research

EODDS	5/2/2013	15,000.00	1st of 3 myg payment - general operating	medical	general op
Neighbor for Neighbor	5/8/2013	10,000.00	capital campaign - renovations	social service	capital
Little Light House	5/15/2013	10,000.00	therapy service hours	disabilities	program
Rebuilding Together Tulsa	5/16/2013	100,000.00	construction costs for 20 homes	basic need	program
Holy Highway Evangelistic Center	5/22/2013	500.00	shirley disc\$ - food pantry program	religious	program
Tulsa Community Foundation	5/22/2013	50,000.00	teacher leader effective initiative fund	education	general op
Global Gardens	5/28/2013	20,000.00	gen op for 2013/2014 school yr	education	general op
Saint Simeon's	5/31/2013	10,000.00	event - western days	medical	event
Starlight Concerts	5/31/2013	500.00	2013 concert series	arts	program
TAPC/Laura Dester Center (ck went to TCF)	5/31/2013	100,000.00	5th & final myg payment for shelter	social service	capital
Tulsa Girls Art School	5/31/2013	2,500.00	2013 annual art show	arts	event
Counseling & Recovery Services of OK	6/20/2013	2,500.00	transition services program	social service	program
DVIS	6/20/2013	10,000.00	legal & court advocacy program	social service	program
Emergency Infant Services	6/20/2013	10,000.00	general operating	social service	general op
Tulsa Community College Bursar	7/12/2013	1,483.93	a. crandall summer 2013 tuition - MYG	education	scholarship
Tulsa Community Foundation	8/1/2013	500.00	shirley disc\$ - global western horse found.	animal	event
Tulsa Community Foundation	8/1/2013	1,500.00	mary ann & maggie disc\$ - explore downtown tulsa	education	program
Arts & Humanities Council of Tulsa	8/22/2013	100,000.00	3rd of 5 myg payment	arts	capital
Salvation Army Women's Auxiliary	8/23/2013	500.00	boys & girls clubs	basic need	event
Crosstown Learning Center	8/26/2013	10,000.00	event - old bags luncheon	education	event
TCC Foundation	8/26/2013	1,000.00	mary ann disc\$ - educ. Leadership dinner	education	event
Augusta Christian Schools	8/29/2013	1,500.00	leslie disc\$ - classroom supplies	education	program
Helping Hands	9/4/2013	25,000.00	general operating	basic need	general op
OSU Bursar	9/10/2013	3,645.36	b. kershaw fall 2013 tuition - MYG	education	scholarship
Street School	9/10/2013	20,000.00	general operating	education	general op
Tulsa Area United Way	9/10/2013	35,000.00	women's leadership challenge	social service	program
Tulsa Area United Way	9/10/2013	25,000.00	2013 campaign	social service	general op
RSU Foundation	9/16/2013	1,500.00	shirley disc\$ - pumpkin patch & christmas items	disabilities	program
Tulsa Community College Bursar	9/16/2013	1,759.50	a. crandall fall 2013 tuition - MYG	education	scholarship
University of Oklahoma Bursar	9/19/2013	3,229.65	c. meeker fall 2013 tuition - MYG	education	scholarship
Association of Small Foundations	9/25/2013	1,000.00	general operating	social service	general op
OSU Bursar	9/25/2013	1,095.84	b. kershaw fall 2013 books, meal ticket - MYG	education	scholarship
Lakeside High School	10/7/2013	2,500.00	leslie disc\$ - PAWS prog & misc. senior expenses	education	program
Harold Hamm Diabetes Center	10/8/2013	2,500.00	event - connect & cure	medical	event
Iron Gate	10/13/2013	20,000.00	1st of 3 myg payment - general operating	basic need	general op
A New Leaf	10/15/2013	10,000.00	autism program	disabilities	program
Community Food Bank of Eastern OK	10/16/2013	10,000.00	senior feeding program	social service	program
OK 4-H Foundation	10/16/2013	5,000.00	enhancement grants program	education	program

Tulsa Air & Space Museum	5,000.00	10/16/2013	educ. & school field trip scholarship prog.	education	program
OK Aquarium	5,000.00	10/22/2013	fish friends scholarship fund	education	program
San Miguel School	10,000.00	10/22/2013	literacy program	education	program
Town & Country School	10,000.00	10/22/2013	financial aid program	education	scholarship
RSU Bursar	5,000.00	10/27/2013	e. moore fall 2013 tuition, room & board	education	scholarship
KIPP Tulsa Preparatory College	65,000.00	11/5/2013	\$60K for general operating	education	general op
RSU Foundation	25,000.00	11/13/2013	bit by bit's general operating	disabilities	general op
Tulsa Community Foundation	2,700.00	11/20/2013	it support @ Solid Foundation for 1 year	education	general op
AggieLand Humane Society	1,000.00	11/20/2013	sheila disc\$ - general op	animal	general op
Neighbor for Neighbor	500.00	11/20/2013	shirley disc\$ - emergency client needs	social service	general op
OK Thoroughbred Retirement Program	500.00	11/20/2013	shirley disc\$ - gen op	animal	general op
TCC Foundation	25,000.00	11/20/2013	second chance scholarship program	education	scholarship
OSU Foundation	25,000.00	11/21/2013	cntr for family resilience & agency assessment	education	program
Owasso Community Resources	10,000.00	11/26/2013	general operating	basic need	general op
Transitional Living Center (Laura Dester)	30,000.00	11/26/2013	building renovations	basic need	capital
Mental Health Association	2,000.00	11/27/2013	event - carnivale	medical	event
Pathways for Little Feet	1,000.00	12/2/2013	general operating	social service	general op
Tulsa Girls Art School	250.00	12/3/2013	shirley disc\$ - staff bonuses	arts	general op
Faith Academy Bellville	3,000.00	12/9/2013	sheila disc\$ - little giant steps program	education	program
First Baptist Church Tulsa	500.00	12/11/2013	renee' disc\$ - caring center	religious	program
Jenks East Elementary	500.00	12/11/2013	renee' disc\$ - niece's classroom	education	program
Jenks East Elementary	500.00	12/11/2013	renee' disc\$ - nephew's classroom	education	program
Piedmont High School	500.00	12/11/2013	renee' disc\$ - nephew's band program	education	program
Stone Ridge Elementary School	500.00	12/11/2013	renee' disc\$ - niece's classroom	education	program
Bernsen Foundation	50,000.00	12/17/2013	reimbursement for GCCP	social service	general op
Planned Parenthood of the Heartland	25,000.00	12/18/2013	general operating	medical	general op
Teach for America	125,000.00	12/20/2013	general operating	education	general op

TOTAL GRANTS PAID 2013 **1,805,692.31**

Main Account

24-Hour Assistance: (800) MERRILL
Access Code: 91-659-04286

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS	Total		Estimated		Estimated		Estimated		Est. Annual	
Description	Quantity	Cost Basis	Market Price	Market Value	Annual Income	Annual Income	Yield%	Yield%	Yield%	Yield%
CASH	6,707.21	6,707.21		6,707.21						
+PREFERRED DEPOSIT	674,675.00	674,675.00	1.0000	674,675.00	1,012	1,012				.15
+FDIC INSURED NOT SIPC COVERED										
TOTAL		681,382.21		681,382.21	1,012	1,012				.15

EQUITIES	Unit		Total		Estimated		Estimated		Unrealized		Estimated Current	
Description	Quantity	Cost Basis	Cost Basis	Market Price	Market Value	Market Value	Gain/(Loss)	Annual Income	Annual Income	Yield%	Yield%	Yield%
ADT CORP SHS	112	26,2312	2,937.90	40.4700	4,532.64	1,594.74	1,594.74	56	56	1.23	1.23	1.23
	513	26,2414	13,461.88	40.4700	20,761.11	7,299.23	7,299.23	257	257	1.23	1.23	1.23
Subtotal	625		16,399.78		25,293.75	8,893.97	8,893.97	313	313	1.23	1.23	1.23
EXXON MOBIL CORP COM	1,500	64.4100	96,615.00	101.2000	151,800.00	55,185.00	55,185.00	3,780	3,780	2.49	2.49	2.49

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Main Account

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
GENERAL ELECTRIC	GE	12/09/02	6,300	25.7500	162,225.00	28.0300	176,589.00	14,364.00	5,545	3.13
		12/09/02	1,400	25.7100	35,994.00	28.0300	39,242.00	3,248.00	1,232	3.13
<i>Subtotal</i>			7,700		198,219.00		215,831.00	17,612.00	6,777	3.13
HALLIBURTON COMPANY	HAL	08/13/07	400	34.1100	13,644.00	50.7500	20,300.00	6,656.00	241	1.18
		08/13/07	920	34.1200	31,390.40	50.7500	46,690.00	15,299.60	553	1.18
<i>Subtotal</i>			1,320		45,034.40		66,990.00	21,955.60	794	1.18
PENTAIR LTD LTD. NAM	PNR	03/14/06	53	30.3222	1,607.08	77.6700	4,116.51	2,509.43	53	1.28
		03/14/06	246	30.3338	7,462.12	77.6700	19,106.82	11,644.70	246	1.28
<i>Subtotal</i>			299		9,069.20		23,223.33	14,154.13	299	1.28
TE CONNECTIVITY LTD REG.SHS	TEL	03/14/06	225	30.6637	6,899.35	55.1100	12,399.75	5,500.40	226	1.81
		03/14/06	1,025	30.6754	31,442.37	55.1100	56,487.75	25,045.38	1,026	1.81
<i>Subtotal</i>			1,250		38,341.72		68,887.50	30,545.78	1,252	1.81
TYCO INTL LTD NAMEN-AKT	TYC	03/14/06	225	20.3413	4,576.81	41.0400	9,234.00	4,657.19	144	1.55
		03/14/06	1,025	20.3492	20,857.96	41.0400	42,066.00	21,208.04	657	1.55
<i>Subtotal</i>			1,250		25,434.77		51,300.00	25,865.23	801	1.55
TOTAL					429,113.87		603,325.58	174,211.71	14,016	2.32

RESEARCH RATINGS

Security	Symbol	BoFA/ML Research	Morningstar	S&P
EXXON MOBIL CORP	COM	Buy (A17)	Hold	Buy
GENERAL ELECTRIC	GE	Buy (B17)	Hold	Buy
HALLIBURTON COMPANY	HAL	Buy (C17)	Buy	Hold
TYCO INTL LTD NAMEN-AKT	TYC	N/A	Hold	Sell
TE CONNECTIVITY LTD	TEL	Neutral (C27)	Hold	Hold
PENTAIR LTD	PNR	Buy (C17)	Hold	Hold

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO BoFA/ML AND THIRD PARTY RESEARCH RATINGS.

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Main Account

24-Hour Assistance: (800) MERRILL
Access Code: 91-659-04286

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT			Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%
Description											
AMEX TECHNOLGY SELECT SPDR SYMBOL: XLK Equity 100%	Initial Purchase: 05/31/11	20,000	524,800.00	35.7400	714,800.00	190,000.00	524,800	190,000	12,161	1.70	
ISHARES S&P 100 SYMBOL: OEF Equity 100%	Initial Purchase: 08/22/07	5,000	334,762.00	82.3500	411,750.00	76,988.00	334,762	76,988	8,060	1.95	
IVY ASSET STRATEGY FUND CL I SYMBOL: IVAEX Alternative Investments 100%	Initial Purchase: 06/16/09	95,451	2,000,035.23	32.2800	3,081,158.28	1,081,123.05	2,000,035	1,081,123	18,487	.60	
LORD ABBETT SHORT DURATION INCOME FD CL F SYMBOL: LDLFX Fixed Income 100%	Initial Purchase: 11/11/09	219,561	999,002.55	4.5500	999,002.55		999,002		37,983	3.80	
PERMANENT PORTFOLIO FUND SYMBOL: PRPFX Alternative Investments 100%	Initial Purchase: 05/03/10	27,879	1,156,973.83	43.0600	1,200,469.74	43,495.91	1,000,038	200,431	6,970	.58	
.4070 Fractional Share			18.24	43.0600	17.53	(0.71)			1	.58	
POWERSHARES ETF DYNAMIC BIOTECH AND GENO SYMBOL: PBE Equity 100%	Initial Purchase: 02/07/06	10,000	183,386.00	37.0500	370,500.00	187,114.00	183,386	187,114			
POWERSHARES WATER RESOURCES PORTFOLIO		12,000	200,760.00	26.2200	314,640.00	113,880.00	200,760	113,880	1,525	.48	

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Main Account

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
SYMBOL: PHO Equity 100%	Initial Purchase: 02/07/06							
Subtotal (Fixed Income)				999,002.55				
Subtotal (Equities)				1,811,690.00				
Subtotal (Alternative Investments)				4,281,645.55				
TOTAL		5,399,737.85		7,092,338.10	1,692,600.25		1,849,536	85,187 1.20

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

ALTERNATIVE INVESTMENTS (NOT HELD IN THE NAME OF MLPF&S☆)

Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Current Income Yield%
OZOFII ACCESS LTD (.7310 FRACTIONAL SHARE) CLASS I (H) EST MKT PRICE AS OF 11/29/13	04/01/11 04/01/11	911,161	1.0975 1.0943	999,999.20 0.80	1.3084 1.3084	1,192,163.05 .96	192,163.85 .16	
Subtotal		911,161.7310		1,000,000.00		1,192,164.01	192,164.01	
YORK ACCESS LTD CLASS C EST MKT PRICE AS OF 11/29/13	03/01/03	568,243	0.9239	524,999.71	2.9552	1,679,271.71	1,154,272.00	
YORK ACCESS LTD CLASS I EST MKT PRICE AS OF 11/29/13	04/01/07	469,561	2.1296	999,999.76	3.3747	1,584,627.51	584,627.75	
TOTAL				2,524,999.47		4,456,063.23	1,931,063.76	

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Main Account

24-Hour Assistance: (800) MERRILL
Access Code: 91-659-04286

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	9,035,233.40	12,833,109.12	3,797,875.72		100,215	.78

Notes

☆ These alternative investments are provided for informational purposes only, and are not registered in the name of nor held by MLPF&S or its nominees.

YOUR EMA TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS		Quantity	Description	Reinvestment	Income	Income Year To Date
Date	Transaction Type					
12/20	Bank Interest		BANK DEPOSIT INT 12/20		2.03	
12/20	Interest Credit		ISA GE CAPITAL BANK		.28	
12/31	Bank Interest		BANK DEPOSIT INTEREST		.47	
	Income Total		PREFERRED DEPOSIT		99.00	
12/02	* Dividend		LORD ABBETT SHORT		101.78	1,516.61
	Subtotal (Taxable Interest)		DURATION INCOME FD CL F		3,075.58	
			PAY DATE 11/29/2013			
12/10	* Dividend		EXXON MOBIL CORP COM		945.00	
			HOLDING 1500.0000			
12/13	* Rpt Fgn Div		PAY DATE 12/10/2013		312.50	
			TE CONNECTIVITY LTD			
			REG.SHS			
			HOLDING 1250.0000			
12/13	* Dividend		PAY DATE 12/13/2013			
			IVY ASSET STRATEGY			
			FUND CL I			
12/19	* Lg Tm Cap Gain		PAY DATE 12/12/2013			
			» LORD ABBETT SHORT		18,517.49	
			DURATION INCOME FD CL F			
			PAY DATE 12/18/2013			
					1,229.54	

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YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
ALLIED WORLD ASSURANCE CO HOLDINGS	AWH	10/11/13 10/14/13 12/19/13	230 87 63	102.6003 102.5703 109.6444	23,598.09 8,923.62 6,907.60	112.8100 112.8100 112.8100	25,946.30 9,814.47 7,107.03	2,348.21 890.85 199.43	460 1.77 174 1.77 126 1.77
Subtotal			380		39,429.31		42,867.80	3,438.49	760 1.77
ALLSCRIPTS HEALTHCARE SOLUTIONS INC	MDRX	08/26/13	498	15.4934	7,715.76	15.4600	7,699.08	(16.68)	
AMERICAN AIRLS GROUP INC	AAL	10/09/13 10/21/13	1,012 263	20.1243 21.1920	20,365.89 5,573.50	25.2500 25.2500	25,553.00 6,640.75	5,187.11 1,067.25	
Subtotal			1,275		25,939.39		32,193.75	6,254.36	
ANALOGIC CORP NEW	ALOG	01/11/13 01/14/13 01/28/13 02/04/13 03/04/13 04/24/13 06/19/13 10/09/13 10/10/13 12/19/13	16 66 61 40 50 58 39 94 19 27	76.2650 76.0175 79.1800 75.5540 74.6424 80.7446 75.4733 86.0798 86.9794 89.4640	1,220.24 5,017.16 4,829.98 3,022.16 3,732.12 4,683.19 2,943.46 8,091.51 1,652.61 2,415.53	88.5600 88.5600 88.5600 88.5600 88.5600 88.5600 88.5600 88.5600 88.5600 88.5600	1,416.96 5,844.96 5,402.16 3,542.40 4,428.00 5,136.48 3,453.84 8,324.64 1,682.64 2,391.12	196.72 827.80 572.18 520.24 695.88 453.29 510.38 233.13 30.03 (24.41)	7 .45 27 .45 25 .45 16 .45 20 .45 24 .45 16 .45 38 .45 8 .45 11 .45
Subtotal			470		37,607.96		41,623.20	4,015.24	192 .45
ANDERSONS INC (THE)	ANDE	07/02/13 07/23/13 09/18/13	53 89 268	53.6701 58.4568 69.7113	2,844.52 5,202.66 18,682.63	89.1700 89.1700 89.1700	4,726.01 7,936.13 23,897.56	1,881.49 2,733.47 5,214.93	35 .74 59 .74 177 .74
Subtotal			410		26,729.81		36,559.70	9,829.89	271 .74
ANIXTER INTL INC	AXE	01/26/11 01/28/13	95 143	63.5693 67.8920	6,039.09 9,708.56	89.8400 89.8400	8,534.80 12,847.12	2,495.71 3,138.56	
Subtotal			238		15,747.65		21,381.92	5,634.27	

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LA SM VAL

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
ANN INC SHS	ANN	06/25/13	191	31.7887	6,071.66	36.5600	6,982.96	911.30	
		07/09/13	296	34.3483	10,167.10	36.5600	10,821.76	654.66	
		08/23/13	232	33.7596	7,832.23	36.5600	8,481.92	649.69	
Subtotal			719		24,070.99		26,286.64	2,215.65	
APPLIED INDUSTRIAL TECH	AIT	05/24/11	51	35.5115	1,811.09	49.0900	2,503.59	692.50	47 1.87
		01/28/13	166	43.9451	7,294.90	49.0900	8,148.94	854.04	153 1.87
		06/18/13	14	48.9721	685.61	49.0900	687.26	1.65	13 1.87
		06/19/13	25	49.0364	1,225.91	49.0900	1,227.25	1.34	23 1.87
		10/09/13	80	49.2402	3,939.22	49.0900	3,927.20	(12.02)	74 1.87
Subtotal			336		14,956.73		16,494.24	1,537.51	310 1.87
APTARGROUP INC	ATR	04/08/11	18	50.5922	910.66	67.8100	1,220.58	309.92	18 1.47
		08/09/11	88	46.5737	4,098.49	67.8100	5,967.28	1,868.79	88 1.47
		06/05/12	28	50.1528	1,404.28	67.8100	1,898.68	494.40	28 1.47
		01/28/13	136	51.0103	6,937.41	67.8100	9,222.16	2,284.75	136 1.47
		10/21/13	37	63.3575	2,344.23	67.8100	2,508.97	164.74	37 1.47
Subtotal			307		15,695.07		20,817.67	5,122.60	307 1.47
ARGO GROUP INTERNATIONAL HOLDINGS LTD	AGII	12/11/13	289	47.5880	13,752.96	46.4900	13,435.61	(317.35)	174 1.29
ASTRONICS CORP	ATRO	11/14/13	109	49.3706	5,381.40	51.0000	5,559.00	177.60	
BARNES GROUP INC DE \$.01	B	07/18/13	275	33.0252	9,081.93	38.3100	10,535.25	1,453.32	121 1.14
		07/19/13	87	33.0496	2,875.32	38.3100	3,332.97	457.65	39 1.14
		08/02/13	425	33.1584	14,092.36	38.3100	16,281.75	2,189.39	187 1.14
		08/15/13	258	32.3022	8,333.99	38.3100	9,883.98	1,549.99	114 1.14
		08/19/13	91	32.1402	2,924.76	38.3100	3,486.21	561.45	41 1.14
		10/09/13	77	34.9683	2,692.56	38.3100	2,949.87	257.31	34 1.14
		12/19/13	81	37.0834	3,003.76	38.3100	3,103.11	99.35	36 1.14
Subtotal			1,294		43,004.68		49,573.14	6,568.46	572 1.14

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November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
BBCN BANCORP INC	BBCN	09/25/12	100	12.7859	1,278.59	16.5900	1,659.00	380.41	30 1.80
		11/05/12	210	11.6478	2,446.04	16.5900	3,483.90	1,037.86	63 1.80
		11/06/12	21	11.7085	245.88	16.5900	348.39	102.51	7 1.80
		11/19/12	77	10.8812	837.86	16.5900	1,277.43	439.57	24 1.80
		01/14/13	101	12.3454	1,246.89	16.5900	1,675.59	428.70	31 1.80
		01/28/13	646	12.2400	7,907.04	16.5900	10,717.14	2,810.10	194 1.80
		06/19/13	193	12.6648	2,444.31	16.5900	3,201.87	757.56	58 1.80
		07/10/13	437	15.5551	6,797.58	16.5900	7,249.83	452.25	132 1.80
Subtotal			1,785		23,204.19		29,613.15	6,408.96	539 1.80
BERRY PLASTICS GROUP INC COMMON STOCK	BERY	07/23/13	277	23.1896	6,423.52	23.7900	6,589.83	166.31	
		09/18/13	317	22.4386	7,113.04	23.7900	7,541.43	428.39	
		10/21/13	122	18.9578	2,312.86	23.7900	2,902.38	589.52	
Subtotal			716		15,849.42		17,033.64	1,184.22	
BOB EVANS FARMS INC	BOBE	08/26/13	161	51.4611	8,285.24	50.5900	8,144.99	(140.25)	200 2.45
		08/27/13	87	50.8155	4,420.95	50.5900	4,401.33	(19.62)	108 2.45
Subtotal			248		12,706.19		12,546.32	(159.87)	308 2.45
BOISE CASCADE CO DEL	BCC	07/18/13	239	28.2322	6,747.50	29.4800	7,045.72	298.22	
		07/25/13	728	26.6460	19,398.29	29.4800	21,461.44	2,063.15	
		12/19/13	24	28.7741	690.58	29.4800	707.52	16.94	
Subtotal			991		26,836.37		29,214.68	2,378.31	
BOSTON P FINL HLDGS MASS	BPFH	08/22/12	152	9.5169	1,446.57	12.6200	1,918.24	471.67	43 2.21
		11/19/12	160	8.7555	1,400.88	12.6200	2,019.20	618.32	45 2.21
		11/20/12	9	8.8244	79.42	12.6200	113.58	34.16	3 2.21
		01/28/13	634	9.4770	6,008.48	12.6200	8,001.08	1,992.60	178 2.21
		04/16/13	612	9.4950	5,810.94	12.6200	7,723.44	1,912.50	172 2.21
		07/03/13	53	10.9800	581.94	12.6200	668.86	86.92	15 2.21
		11/06/13	125	11.3291	1,416.14	12.6200	1,577.50	161.36	35 2.21
Subtotal			1,745		16,744.37		22,021.90	5,277.53	491 2.21

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November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
BRANDYWNE RLTY T SBI NEW REIT	BDN	01/28/13	503	12.9864	6,532.16	14.0900	7,087.27	555.11	302 4.25
BRISTOW GROUP INC	BRS	05/08/08	13	54.6976	711.07	75.0600	975.78	264.71	13 1.33
		08/14/08	128	38.3396	4,907.48	75.0600	9,607.68	4,700.20	128 1.33
		01/28/13	106	56.4623	5,985.01	75.0600	7,956.36	1,971.35	106 1.33
		02/06/13	35	58.9122	2,061.93	75.0600	2,627.10	565.17	35 1.33
		06/18/13	14	64.9550	909.37	75.0600	1,050.84	141.47	14 1.33
		06/19/13	12	65.0316	780.38	75.0600	900.72	120.34	12 1.33
Subtotal			308		15,355.24		23,118.48	7,763.24	308 1.33
CASEYS GEN STORES INC	CASY	01/08/13	59	54.3003	3,203.72	70.2500	4,144.75	941.03	43 1.02
		01/28/13	44	54.8800	2,414.72	70.2500	3,091.00	676.28	32 1.02
		05/20/13	20	62.0365	1,240.73	70.2500	1,405.00	164.27	15 1.02
		06/03/13	61	60.5280	3,692.21	70.2500	4,285.25	593.04	44 1.02
		08/19/13	39	67.6397	2,637.95	70.2500	2,739.75	101.80	29 1.02
		10/09/13	40	72.4722	2,898.89	70.2500	2,810.00	(88.89)	29 1.02
Subtotal			263		16,088.22		18,475.75	2,387.53	192 1.02
CHEESECAKE FACTORY INC	CAKE	03/30/11	70	29.4480	2,061.36	48.2700	3,378.90	1,317.54	40 1.16
		08/12/11	82	27.3106	2,239.47	48.2700	3,958.14	1,718.67	46 1.16
		10/27/11	98	28.3968	2,782.89	48.2700	4,730.46	1,947.57	55 1.16
		01/28/13	229	33.1903	7,600.58	48.2700	11,053.83	3,453.25	129 1.16
Subtotal			479		14,684.30		23,121.33	8,437.03	270 1.16
CHEMED CORP	CHE	06/28/13	111	73.3816	8,145.36	76.6200	8,504.82	359.46	89 1.04
		07/10/13	68	73.5233	4,999.59	76.6200	5,210.16	210.57	55 1.04
		07/23/13	43	70.5553	3,033.88	76.6200	3,294.66	260.78	35 1.04
		10/09/13	29	70.2468	2,037.16	76.6200	2,221.98	184.82	24 1.04
Subtotal			251		18,215.99		19,231.62	1,015.63	203 1.04

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November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
CHICOS FAS INC COM	CHS	06/19/13	290	17.1597	4,976.34	18.8400	5,463.60	487.26	87 1.59
		07/01/13	264	17.1737	4,533.86	18.8400	4,973.76	439.90	80 1.59
		12/19/13	494	18.7712	9,273.02	18.8400	9,306.96	33.94	149 1.59
Subtotal			1,048		18,783.22		19,744.32	961.10	316 1.59
CINEMARK HLDGS INC	CNK	12/28/11	80	18.8620	1,508.96	33.3300	2,666.40	1,157.44	80 3.00
		01/06/12	196	18.2898	3,584.82	33.3300	6,532.68	2,947.86	196 3.00
		02/27/12	67	21.0050	1,407.34	33.3300	2,233.11	825.77	67 3.00
		01/28/13	349	27.7351	9,679.58	33.3300	11,632.17	1,952.59	349 3.00
		08/09/13	131	31.4112	4,114.88	33.3300	4,366.23	251.35	131 3.00
		08/29/13	141	29.9483	4,222.72	33.3300	4,699.53	476.81	141 3.00
		11/06/13	26	32.6842	849.79	33.3300	866.58	16.79	26 3.00
		12/19/13	75	32.5060	2,437.95	33.3300	2,499.75	61.80	75 3.00
Subtotal			1,065		27,806.04		35,496.45	7,690.41	1,065 3.00
CITY NATIONAL CORP	CYN	04/13/09	20	37.8910	757.82	79.2200	1,584.40	826.58	20 1.26
		07/01/09	76	36.3772	2,764.67	79.2200	6,020.72	3,256.05	76 1.26
		08/08/11	34	46.0000	1,564.00	79.2200	2,693.48	1,129.48	34 1.26
		10/11/11	58	40.7453	2,363.23	79.2200	4,594.76	2,231.53	58 1.26
		01/28/13	188	52.8800	9,941.44	79.2200	14,893.36	4,951.92	188 1.26
		07/09/13	42	66.6440	2,799.05	79.2200	3,327.24	528.19	42 1.26
Subtotal			418		20,190.21		33,113.96	12,923.75	418 1.26
CLECO CORP NEW LOUISIANA	CNL	06/21/13	224	44.1766	9,895.56	46.6200	10,442.88	547.32	325 3.11
		07/01/13	31	46.0600	1,427.86	46.6200	1,445.22	17.36	45 3.11
Subtotal			255		11,323.42		11,888.10	564.68	370 3.11
COGNEX CORP	CGNX	05/12/11	118	17.9931	2,123.19	38.1800	4,505.24	2,382.05	26 .57
		01/28/13	328	19.9920	6,557.38	38.1800	12,523.04	5,965.66	73 .57
		05/20/13	78	22.9157	1,787.43	38.1800	2,978.04	1,190.61	18 .57
Subtotal			524		10,468.00		20,006.32	9,538.32	117 .57

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November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
COHERENT INC CAL	COHR	12/03/10	12	43.9925	527.91	74.3900	892.68	364.77		
		01/24/11	110	47.5158	5,226.74	74.3900	8,182.90	2,956.16		
		01/26/11	118	47.4829	5,602.99	74.3900	8,778.02	3,175.03		
		02/27/12	24	57.1233	1,370.96	74.3900	1,785.36	414.40		
		01/28/13	201	56.3623	11,328.84	74.3900	14,952.39	3,623.55		
		10/16/13	36	64.6666	2,328.00	74.3900	2,678.04	350.04		
		10/17/13	61	65.3554	3,986.68	74.3900	4,537.79	551.11		
		11/25/13	76	67.3244	5,116.66	74.3900	5,653.64	536.98		
Subtotal			638		35,488.78		47,460.82	11,972.04		
COLUMBIA BKG SYS INC	COLB	08/23/10	59	16.9244	998.54	27.4900	1,621.91	623.37	26	1.60
		12/21/12	52	17.7951	925.35	27.4900	1,429.48	504.13	23	1.60
		01/28/13	395	20.7000	8,176.50	27.4900	10,858.55	2,682.05	174	1.60
		01/29/13	172	20.7087	3,561.91	27.4900	4,728.28	1,166.37	76	1.60
		06/18/13	226	22.2734	5,033.81	27.4900	6,212.74	1,178.93	100	1.60
		09/03/13	167	23.5589	3,934.35	27.4900	4,590.83	656.48	74	1.60
Subtotal			1,071		22,630.46		29,441.79	6,811.33	473	1.60
COMMERCIAL METALS CO COM	CMC	01/28/13	712	16.2972	11,603.61	20.3300	14,474.96	2,871.35	342	2.36
		03/04/13	156	16.1107	2,513.27	20.3300	3,171.48	658.21	75	2.36
		08/27/13	186	15.5659	2,895.26	20.3300	3,781.38	886.12	90	2.36
Subtotal			1,054		17,012.14		21,427.82	4,415.68	507	2.36
CVB FINCL CORP COM	CVBF	01/28/13	537	11.0454	5,931.43	17.0700	9,166.59	3,235.16	215	2.34
		05/20/13	138	11.6063	1,601.68	17.0700	2,355.66	753.98	56	2.34
Subtotal			675		7,533.11		11,522.25	3,989.14	271	2.34
DARLING INTERNATIONAL INC	DAR	10/10/13	974	21.6559	21,092.94	20.8800	20,337.12	(755.82)		
		10/21/13	237	22.4499	5,320.63	20.8800	4,948.56	(372.07)		
		11/25/13	147	20.3706	2,994.49	20.8800	3,069.36	74.87		
		12/19/13	602	19.9092	11,985.34	20.8800	12,569.76	584.42		
Subtotal			1,960		41,393.40		40,924.80	(468.60)		

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EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
DIAMOND FOODS INC	DMND	10/09/13	295	20.9232	6,172.37	25.8400	7,622.80	1,450.43		
		10/10/13	70	21.1361	1,479.53	25.8400	1,808.80	329.27		
		11/06/13	118	24.8925	2,937.32	25.8400	3,049.12	111.80		
		11/07/13	173	24.4373	4,227.67	25.8400	4,470.32	242.65		
Subtotal			656		14,816.89		16,951.04	2,134.15		
ELECTRONICS FOR IMAGING	EFII	11/12/13	356	38.0044	13,529.57	38.7300	13,787.88	258.31		
		12/19/13	120	38.0868	4,570.42	38.7300	4,647.60	77.18		
Subtotal			476		18,099.99		18,435.48	335.49		
EMCOR GROUP INC	EME	07/18/11	132	28.6937	3,787.57	42.4400	5,602.08	1,814.51	32	.56
		09/10/12	33	29.3045	967.05	42.4400	1,400.52	433.47	8	.56
		01/28/13	369	36.3437	13,410.83	42.4400	15,660.36	2,249.53	89	.56
Subtotal			534		18,165.45		22,662.96	4,497.51	129	.56
ENCORE WIRE CORP	WIRE	08/08/13	135	41.8454	5,649.13	54.2000	7,317.00	1,667.87	11	.14
		08/09/13	174	41.4463	7,211.66	54.2000	9,430.80	2,219.14	14	.14
Subtotal			309		12,860.79		16,747.80	3,887.01	25	.14
EXLSERVICE HLDGS INC	EXLS	06/03/13	290	29.9892	8,696.89	27.6200	8,009.80	(687.09)		
		06/04/13	57	30.1643	1,719.37	27.6200	1,574.34	(145.03)		
		07/23/13	76	29.9369	2,275.21	27.6200	2,099.12	(176.09)		
Subtotal			423		12,691.47		11,683.26	(1,008.21)		
FIRST FINL HOLDINGS INC	SCBT	07/23/13	94	54.7609	5,147.53	66.5100	6,251.94	1,104.41	72	1.14
		08/07/13	65	54.6164	3,550.07	66.5100	4,323.15	773.08	50	1.14
		08/08/13	76	54.7286	4,159.38	66.5100	5,054.76	895.38	58	1.14
		10/15/13	180	55.9657	10,073.83	66.5100	11,971.80	1,897.97	137	1.14
		10/16/13	194	55.9125	10,847.03	66.5100	12,902.94	2,055.91	148	1.14
		11/12/13	115	61.7557	7,101.91	66.5100	7,648.65	546.74	88	1.14
Subtotal			724		40,879.75		48,153.24	7,273.49	553	1.14

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EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
FIRST INDL REALTY TR INC REIT	FR	02/06/13	344	15.9392	5,483.09	17.4500	6,002.80	519.71	117 1.94
		02/14/13	178	16.4612	2,930.11	17.4500	3,106.10	175.99	61 1.94
		04/12/13	482	16.9882	8,188.36	17.4500	8,410.90	222.54	164 1.94
		07/09/13	469	16.2395	7,616.33	17.4500	8,184.05	567.72	160 1.94
Subtotal			1,473		24,217.89		25,703.85	1,485.96	502 1.94
FORUM ENERGY TECHNOLOGS INC COMMON STOCK	FET	01/28/13	14	25.4364	356.11	28.2600	395.64	39.53	
		02/01/13	110	25.7245	2,829.70	28.2600	3,108.60	278.90	
		04/12/13	76	27.0702	2,057.34	28.2600	2,147.76	90.42	
		06/18/13	31	31.7764	985.07	28.2600	876.06	(109.01)	
Subtotal			231		6,228.22		6,528.06	299.84	
FRAC FIRST HORIZON NATL CORP		01/12/10	33,610	0.0001	4.03	0.0001	3.36	(0.67)	
		04/01/10	489	0.0001	0.06	0.0001	.05	(0.01)	
		04/29/10	17,299	0.0000	1.72	0.0001	1.73	.01	
		07/01/10	662	0.0001	0.07	0.0001	.07		
		10/04/10	862	0.0000	0.08	0.0001	.09	.01	
		01/03/11	959	0.0000	0.09	0.0001	.10	.01	
Subtotal			53,881		6.05		5.40	(0.65)	
GENESCO INC	GCO	12/03/12	15	54.3946	815.92	73.0600	1,095.90	279.98	
		01/28/13	110	62.8557	6,914.13	73.0600	8,036.60	1,122.47	
		02/04/13	42	62.1838	2,611.72	73.0600	3,068.52	456.80	
		02/06/13	40	62.7200	2,508.80	73.0600	2,922.40	413.60	
		04/12/13	39	61.4130	2,395.11	73.0600	2,849.34	454.23	
		07/12/13	19	75.1721	1,428.27	73.0600	1,388.14	(40.13)	
		07/23/13	58	71.9727	4,174.42	73.0600	4,237.48	63.06	
Subtotal			323		20,848.37		23,598.38	2,750.01	
GENTHERM INC	THRM	11/06/13	335	24.1382	8,086.33	26.8100	8,981.35	895.02	

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EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
GREATBATCH INC	GB	07/23/13	113	36.2306	4,094.06	44.2400	4,999.12	905.06		
		07/24/13	268	36.0779	9,668.88	44.2400	11,856.32	2,187.44		
		07/25/13	177	36.0666	6,383.79	44.2400	7,830.48	1,446.69		
Subtotal			558		20,146.73		24,685.92	4,539.19		
GROUP 1 AUTOMOTIVE	GPI	01/28/13	61	67.4000	4,111.40	71.0200	4,332.22	220.82	42	.95
		03/04/13	43	56.5351	2,431.01	71.0200	3,053.86	622.85	30	.95
		04/12/13	50	61.0312	3,051.56	71.0200	3,551.00	499.44	34	.95
		06/03/13	35	63.0794	2,207.78	71.0200	2,485.70	277.92	24	.95
Subtotal			189		11,801.75		13,422.78	1,621.03	130	.95
GULFMARK OFSHRE INC CLA	GLF	06/10/11	111	40.0775	4,448.61	47.1300	5,231.43	782.82	111	2.12
		01/28/13	145	35.4999	5,147.49	47.1300	6,833.85	1,686.36	145	2.12
Subtotal			256		9,596.10		12,065.28	2,469.18	256	2.12
HANGER INC	HGR	01/28/13	85	29.3983	2,498.86	39.3400	3,343.90	845.04		
		02/04/13	195	29.1008	5,674.66	39.3400	7,671.30	1,996.64		
		02/06/13	87	28.7329	2,499.77	39.3400	3,422.58	922.81		
		03/04/13	80	29.9527	2,396.22	39.3400	3,147.20	750.98		
		04/30/13	136	30.6327	4,166.05	39.3400	5,350.24	1,184.19		
		07/11/13	91	36.9215	3,359.86	39.3400	3,579.94	220.08		
		08/08/13	340	33.1367	11,266.48	39.3400	13,375.60	2,109.12		
Subtotal			1,014		31,861.90		39,890.76	8,028.86		
HEALTHSOUTH CORP	HLS	10/01/12	29	23.9868	695.62	33.3200	966.28	270.66	21	2.16
		10/22/12	154	22.1981	3,418.51	33.3200	5,131.28	1,712.77	111	2.16
		01/28/13	310	23.7500	7,362.50	33.3200	10,329.20	2,966.70	224	2.16
		03/04/13	73	24.2694	1,771.67	33.3200	2,432.36	660.69	53	2.16
		05/20/13	42	30.5550	1,283.31	33.3200	1,399.44	116.13	31	2.16
Subtotal			608		14,531.61		20,258.56	5,726.95	440	2.16

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
Description					Cost Basis							
HERCULES OFFSHORE INC		HERO	12/26/12	542	6.1855		3,352.59	6.5210	3,534.38	181.79		
			01/28/13	824	6.7959		5,599.90	6.5210	5,373.30	(226.60)		
			08/27/13	186	7.0623		1,313.59	6.5210	1,212.91	(100.68)		
<i>Subtotal</i>				1,552			10,266.08		10,120.59	(145.49)		
HEXCEL CORP NEW COM		HXL	11/04/11	46	24.6189		1,132.47	44.6900	2,055.74	923.27		
			11/22/11	217	23.4245		5,083.12	44.6900	9,697.73	4,614.61		
			03/16/12	35	24.9065		871.73	44.6900	1,564.15	692.42		
			01/28/13	262	28.3203		7,419.92	44.6900	11,708.78	4,288.86		
<i>Subtotal</i>				560			14,507.24		25,026.40	10,519.16		
HILLTOP HLDGS INC		HTH	10/01/13	287	18.4412		5,292.65	23.1300	6,638.31	1,345.66		
			10/02/13	212	18.3617		3,892.70	23.1300	4,903.56	1,010.86		
<i>Subtotal</i>				499			9,185.35		11,541.87	2,356.52		
HITTITE MICROWAVE CORP		HITT	10/27/11	16	52.7275		843.64	61.7300	987.68	144.04		
			12/27/11	71	50.5890		3,591.82	61.7300	4,382.83	791.01		
			02/27/12	12	58.2958		699.55	61.7300	740.76	41.21		
			01/28/13	152	62.9651		9,570.71	61.7300	9,382.96	(187.75)		
			05/20/13	94	56.2851		5,290.80	61.7300	5,802.62	511.82		
<i>Subtotal</i>				345			19,996.52		21,296.85	1,300.33		
HORNBECK OFFSHORE SVCS INC NEW		HOS	08/14/12	9	41.5766		374.19	49.2300	443.07	68.88		
			09/10/12	51	38.8317		1,980.42	49.2300	2,510.73	530.31		
			01/28/13	151	38.1466		5,760.15	49.2300	7,433.73	1,673.58		
			06/18/13	32	55.0259		1,760.83	49.2300	1,575.36	(185.47)		
<i>Subtotal</i>				243			9,875.59		11,962.89	2,087.30		
IBERIABANK CORP COM		IBKC	08/02/13	67	57.8789		3,877.89	62.8500	4,210.95	333.06	92	2.16
			08/05/13	222	58.1048		12,899.27	62.8500	13,952.70	1,053.43	302	2.16
			08/19/13	49	55.6355		2,726.14	62.8500	3,079.65	353.51	67	2.16
			10/09/13	67	53.4346		3,580.12	62.8500	4,210.95	630.83	92	2.16
			12/19/13	85	62.4621		5,309.28	62.8500	5,342.25	32.97	116	2.16
<i>Subtotal</i>				490			28,392.70		30,796.50	2,403.80	669	2.16

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YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Current Yield%
IDACORP INC COM	IDA	10/23/12	70	44.4534	3,111.74	51.8400	3,628.80	517.06	121	3.31
		10/24/12	64	44.5331	2,850.12	51.8400	3,317.76	467.64	111	3.31
		11/19/12	37	41.2789	1,527.32	51.8400	1,918.08	390.76	64	3.31
		01/28/13	128	45.8377	5,867.23	51.8400	6,635.52	768.29	221	3.31
		04/12/13	73	48.6016	3,547.92	51.8400	3,784.32	236.40	126	3.31
		06/18/13	31	48.8145	1,513.25	51.8400	1,607.04	93.79	54	3.31
		06/19/13	6	48.7100	292.26	51.8400	311.04	18.78	11	3.31
		10/09/13	116	48.3693	5,610.84	51.8400	6,013.44	402.60	200	3.31
		11/06/13	114	50.8970	5,802.26	51.8400	5,909.76	107.50	197	3.31
Subtotal			639		30,122.94		33,125.76	3,002.82	1,105	3.31
JACK HENRY & ASSOC INC	JKHY	07/29/11	164	29.0829	4,769.61	59.2100	9,710.44	4,940.83	132	1.35
		05/07/12	24	32.5558	781.34	59.2100	1,421.04	639.70	20	1.35
		01/28/13	299	41.6398	12,450.33	59.2100	17,703.79	5,253.46	240	1.35
Subtotal			487		18,001.28		28,835.27	10,833.99	392	1.35
JACK IN THE BOX INC	JACK	02/21/13	232	31.5542	7,320.58	50.0200	11,604.64	4,284.06		
		03/22/13	106	34.1413	3,618.98	50.0200	5,302.12	1,683.14		
Subtotal			338		10,939.56		16,906.76	5,967.20		
KAPSTONE PAPER AND PCKG CORP	KS	08/02/13	261	44.9875	11,741.76	55.8600	14,579.46	2,837.70		
		08/06/13	169	45.1939	7,637.77	55.8600	9,440.34	1,802.57		
		11/25/13	96	52.6919	5,058.43	55.8600	5,362.56	304.13		
Subtotal			526		24,437.96		29,382.36	4,944.40		
KENNEDY-WILSON HLDGS INC	KW	10/05/12	85	14.0663	1,195.64	22.2500	1,891.25	695.61	24	1.25
		10/08/12	64	13.8876	888.81	22.2500	1,424.00	535.19	18	1.25
		10/09/12	83	13.8706	1,151.26	22.2500	1,846.75	695.49	24	1.25
		10/10/12	113	13.9487	1,576.21	22.2500	2,514.25	938.04	32	1.25
		12/03/12	62	13.0754	810.68	22.2500	1,379.50	568.82	18	1.25
		12/04/12	7	13.0614	91.43	22.2500	155.75	64.32	2	1.25
		01/28/13	315	14.7950	4,660.43	22.2500	7,008.75	2,348.32	89	1.25
		03/18/13	109	15.6403	1,704.80	22.2500	2,425.25	720.45	31	1.25
		04/12/13	159	15.7084	2,497.64	22.2500	3,537.75	1,040.11	45	1.25

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YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
KENNEDY-WILSON HLDGS INC	KW	06/03/13	107	17.1923	1,839.58	22.2500	2,380.75	541.17	30	1.25
		08/19/13	266	18.2886	4,864.79	22.2500	5,918.50	1,053.71	75	1.25
		10/09/13	227	18.2515	4,143.11	22.2500	5,050.75	907.64	64	1.25
Subtotal			1,597		25,424.38		35,533.25	10,108.87	452	1.25
KIRBY CORP COM	KEX	02/17/09	127	24.1100	3,061.97	99.2500	12,604.75	9,542.78		
		01/28/13	118	67.7279	7,991.90	99.2500	11,711.50	3,719.60		
Subtotal			245		11,053.87		24,316.25	13,262.38		
LA-Z-BOY INC MICHIGAN	LZB	08/13/13	566	22.2498	12,593.44	31.0000	17,546.00	4,952.56	136	.77
LACLEDE GROUP INC	LG	08/06/12	132	42.1300	5,561.16	45.5400	6,011.28	450.12	233	3.86
		08/07/12	7	42.1914	295.34	45.5400	318.78	23.44	13	3.86
		10/03/12	54	43.5535	2,351.89	45.5400	2,459.16	107.27	96	3.86
		01/28/13	143	40.0767	5,730.97	45.5400	6,512.22	781.25	252	3.86
		04/12/13	73	44.4487	3,244.76	45.5400	3,324.42	79.66	129	3.86
Subtotal			409		17,184.12		18,625.86	1,441.74	723	3.86
LAREDO PETE HLDINGS INC	LPI	07/09/13	368	20.9177	7,697.75	27.6900	10,189.92	2,492.17		
		09/03/13	829	26.4940	21,963.53	27.6900	22,955.01	991.48		
Subtotal			1,197		29,661.28		33,144.93	3,483.65		
LASALLE HOTEL PPTYS BN I REIT	LHO	07/24/13	481	26.7962	12,889.02	30.8600	14,843.66	1,954.64	539	3.62
		08/01/13	238	27.1789	6,468.58	30.8600	7,344.68	876.10	267	3.62
		10/09/13	328	27.9589	9,170.52	30.8600	10,122.08	951.56	368	3.62
Subtotal			1,047		28,528.12		32,310.42	3,782.30	1,174	3.62
LITTELFUSE INC DEL COM	LFUS	07/21/10	39	32.8874	1,282.61	92.9300	3,624.27	2,341.66	35	.94
		09/12/11	56	42.2119	2,363.87	92.9300	5,204.08	2,840.21	50	.94
		05/07/12	21	60.4180	1,268.78	92.9300	1,951.53	682.75	19	.94
		01/28/13	219	64.9352	14,220.81	92.9300	20,351.67	6,130.86	193	.94
Subtotal			335		19,136.07		31,131.55	11,995.48	297	.94

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November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
MEDICINES CO DEL COM	MDCO	08/06/12	81	24.9093	2,017.66	38.6200	3,128.22	1,110.56		
		10/01/12	94	25.6360	2,409.79	38.6200	3,630.28	1,220.49		
		10/22/12	39	24.2038	943.95	38.6200	1,506.18	562.23		
		01/28/13	281	30.6470	8,611.81	38.6200	10,852.22	2,240.41		
		12/19/13	70	37.0901	2,596.31	38.6200	2,703.40	107.09		
Subtotal			565		16,579.52		21,820.30	5,240.78		
MEDNAX INC	MD	09/29/11	14	32.0992	449.39	53.3800	747.32	297.93		
		10/12/11	100	32.7785	3,277.85	53.3800	5,338.00	2,060.15		
		11/03/11	90	34.3300	3,089.70	53.3800	4,804.20	1,714.50		
		12/09/11	88	33.2803	2,928.67	53.3800	4,697.44	1,768.77		
		06/04/12	34	29.9376	1,017.88	53.3800	1,814.92	797.04		
		01/28/13	248	43.6866	10,834.30	53.3800	13,238.24	2,403.94		
Subtotal			574		21,597.79		30,640.12	9,042.33		
MENTOR GRAPHICS CORP	MENT	03/07/13	700	17.0488	11,934.16	24.0700	16,849.00	4,914.84	126	.74
		03/28/13	330	17.9837	5,934.65	24.0700	7,943.10	2,008.45	60	.74
		05/21/13	369	18.0811	6,671.96	24.0700	8,881.83	2,209.87	67	.74
		07/01/13	47	19.7351	927.55	24.0700	1,131.29	203.74	9	.74
		07/23/13	307	20.6717	6,346.24	24.0700	7,389.49	1,043.25	56	.74
		09/04/13	559	22.4589	12,554.53	24.0700	13,455.13	900.60	101	.74
Subtotal			2,312		44,369.09		55,649.84	11,280.75	419	.74
MINERALS TECHNOLOGIES	MTX	10/21/13	247	54.9070	13,562.05	60.0700	14,837.29	1,275.24	50	.33
		12/19/13	272	58.9319	16,029.48	60.0700	16,339.04	309.56	55	.33
Subtotal			519		29,591.53		31,176.33	1,584.80	105	.33
MOBILE MINI INC	MINI	11/25/13	173	39.9279	6,907.53	41.1800	7,124.14	216.61	118	1.65
NEW JERSEY RESOURCE CORP	NJR	05/07/12	27	42.8566	1,157.13	46.2400	1,248.48	91.35	46	3.63
		06/04/12	16	41.7481	667.97	46.2400	739.84	71.87	27	3.63
		01/28/13	160	42.1766	6,748.27	46.2400	7,398.40	650.13	269	3.63
		02/20/13	57	44.2580	2,522.71	46.2400	2,635.68	112.97	96	3.63
		04/12/13	90	46.1081	4,149.73	46.2400	4,161.60	11.87	152	3.63

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November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
NEW JERSEY RESOURCE CORP	NJR	06/18/13	38	44.4313	1,688.39	46.2400	1,757.12	68.73	64	3.63
		06/28/13	119	41.7795	4,971.77	46.2400	5,502.56	530.79	200	3.63
		07/23/13	90	45.8785	4,129.07	46.2400	4,161.60	32.53	152	3.63
Subtotal			597		26,035.04		27,605.28	1,570.24	1,006	3.63
OSHKOSH CORPORATION	OSK	01/29/13	31	39.9277	1,237.76	50.3800	1,561.78	324.02	19	1.19
		02/04/13	35	39.2217	1,372.76	50.3800	1,763.30	390.54	21	1.19
		04/02/13	85	41.4176	3,520.50	50.3800	4,282.30	761.80	51	1.19
		07/15/13	70	40.0008	2,800.06	50.3800	3,526.60	726.54	42	1.19
		07/23/13	313	41.7669	13,073.07	50.3800	15,768.94	2,695.87	188	1.19
		07/24/13	43	41.9237	1,802.72	50.3800	2,166.34	363.62	26	1.19
		08/01/13	349	46.1473	16,105.44	50.3800	17,582.62	1,477.18	210	1.19
		08/06/13	90	46.3200	4,168.80	50.3800	4,534.20	365.40	54	1.19
		10/09/13	92	48.4950	4,461.54	50.3800	4,634.96	173.42	56	1.19
Subtotal			1,108		48,542.65		55,821.04	7,278.39	667	1.19
PACWEST BANCORP	PACW	10/23/09	28	18.4610	516.91	42.2200	1,182.16	665.25	28	2.36
		10/26/09	206	18.1937	3,747.92	42.2200	8,697.32	4,949.40	206	2.36
		09/29/11	234	14.4811	3,388.60	42.2200	9,879.48	6,490.88	234	2.36
		12/03/12	41	24.8195	1,017.60	42.2200	1,731.02	713.42	41	2.36
		01/28/13	388	27.5998	10,708.76	42.2200	16,381.36	5,672.60	388	2.36
		06/18/13	31	28.4790	882.85	42.2200	1,308.82	425.97	31	2.36
		06/19/13	125	28.4782	3,559.78	42.2200	5,277.50	1,717.72	125	2.36
		06/28/13	47	30.9023	1,452.41	42.2200	1,984.34	531.93	47	2.36
		08/19/13	134	34.2105	4,584.22	42.2200	5,657.48	1,073.26	134	2.36
		08/20/13	20	34.3160	686.32	42.2200	844.40	158.08	20	2.36
		12/19/13	62	42.2946	2,622.27	42.2200	2,617.64	(4.63)	62	2.36
Subtotal			1,316		33,167.64		55,561.52	22,393.88	1,316	2.36

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November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
PEBBLEBROOK HOTEL TRUST	PEB	04/18/11	268	20.9706	5,620.13	30.7600	8,243.68	2,623.55	172	2.08
		08/04/11	243	18.1845	4,418.84	30.7600	7,474.68	3,055.84	156	2.08
		12/19/12	48	22.5995	1,084.78	30.7600	1,476.48	391.70	31	2.08
		01/28/13	471	25.4377	11,981.16	30.7600	14,487.96	2,506.80	302	2.08
		11/25/13	127	29.8639	3,792.72	30.7600	3,906.52	113.80	82	2.08
Subtotal			1,157		26,897.63		35,589.32	8,691.69	743	2.08
PENSKE AUTO GROUP INC	PAG	03/17/11	50	19.1072	955.36	47.1600	2,358.00	1,402.64	34	1.44
INC		10/18/11	160	18.7541	3,000.67	47.1600	7,545.60	4,544.93	109	1.44
		01/28/13	365	32.6898	11,931.81	47.1600	17,213.40	5,281.59	249	1.44
Subtotal			575		15,887.84		27,117.00	11,229.16	392	1.44
PIEDMONT NATRL GAS N C	PNY	06/21/13	299	32.7830	9,802.12	33.1600	9,914.84	112.72	371	3.73
		07/23/13	71	35.1853	2,498.16	33.1600	2,354.36	(143.80)	89	3.73
Subtotal			370		12,300.28		12,269.20	(31.08)	460	3.73
PIER 1 IMPORTS INC DEL	PIR	06/19/13	126	25.6454	3,231.33	23.0800	2,908.08	(323.25)	31	1.03
		07/03/13	242	23.3309	5,646.08	23.0800	5,585.36	(60.72)	59	1.03
		07/30/13	328	23.1908	7,606.61	23.0800	7,570.24	(36.37)	79	1.03
Subtotal			696		16,484.02		16,063.68	(420.34)	169	1.03
PRIMORIS SERVICES CORP	PRIM	07/30/13	188	20.4314	3,841.12	31.1300	5,852.44	2,011.32	27	.44
		09/26/13	221	25.5672	5,650.37	31.1300	6,879.73	1,229.36	31	.44
Subtotal			409		9,491.49		12,732.17	3,240.68	58	.44
PROASSURANCE CORP	PRA	06/19/13	190	52.3920	9,954.48	48.4800	9,211.20	(743.28)	228	2.47
		07/01/13	112	52.9008	5,924.90	48.4800	5,429.76	(495.14)	135	2.47
		07/09/13	176	53.4868	9,413.68	48.4800	8,532.48	(881.20)	212	2.47
		07/23/13	37	55.1124	2,039.16	48.4800	1,793.76	(245.40)	45	2.47
Subtotal			515		27,332.22		24,967.20	(2,365.02)	620	2.47
PROSPERITY BANCSHARES	PB	07/09/13	332	57.7687	19,179.21	63.3900	21,045.48	1,866.27	319	1.51
		07/23/13	191	59.1441	11,296.54	63.3900	12,107.49	810.95	184	1.51
Subtotal			523		30,475.75		33,152.97	2,677.22	503	1.51

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LA SM VAL

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
RELIANCE STL & ALUM CO	RS	02/17/09 01/28/13 06/28/13 12/19/13	167 168 31 70 436	22.4582 63.8767 64.9732 74.2835	3,750.52 10,731.29 2,014.17 5,199.85 21,695.83	75.8400 75.8400 75.8400 75.8400	12,665.28 12,741.12 2,351.04 5,308.80 33,066.24	8,914.76 2,009.83 336.87 108.95 11,370.41	221 222 41 93 577	1.74 1.74 1.74 1.74 1.74
Subtotal										
REX ENERGY CORP COM	REXX	08/09/13 08/19/13 10/09/13	611 588 265 1,464	21.5557 21.0104 21.3187	13,170.59 12,354.17 5,649.46 31,174.22	19.7100 19.7100 19.7100	12,042.81 11,589.48 5,223.15 28,855.44	(1,127.78) (764.69) (426.31) (2,318.78)		
Subtotal										
ROGERS CORP	ROG	07/30/13	122	52.6968	6,429.02	61.5000	7,503.00	1,073.98		
RTI INTL METALS INC OHIO	RTI	01/26/11 11/14/11 05/07/12 01/23/13 01/28/13 04/12/13 06/03/13	75 220 42 67 318 58 78 858	27.6170 26.5519 25.3845 28.9262 28.6956 29.8781 28.8391	2,071.28 5,841.42 1,066.15 1,938.06 9,125.23 1,732.93 2,249.45 24,024.52	34.2100 34.2100 34.2100 34.2100 34.2100 34.2100 34.2100	2,565.75 7,526.20 1,436.82 2,292.07 10,878.78 1,984.18 2,668.38 29,352.18	494.47 1,684.78 370.67 354.01 1,753.55 251.25 418.93 5,327.66		
Subtotal										
RYDER SYSTEM INC	R	05/07/12 01/28/13	4 278 282	47.2825 55.4098	189.13 15,403.95 15,593.08	73.7800 73.7800	295.12 20,510.84 20,805.96	105.99 5,106.89 5,212.88	6 379 385	1.84 1.84 1.84
Subtotal										
SANCHEZ ENERGY CORP COM	SN	12/19/11 01/28/13 05/20/13 07/01/13 10/09/13	371 268 74 130 448 1,291	18.1543 20.4951 21.7981 23.3277 27.7389	6,735.25 5,492.71 1,613.06 3,032.61 12,427.07 29,300.70	24.5100 24.5100 24.5100 24.5100 24.5100	9,093.21 6,568.68 1,813.74 3,186.30 10,980.48 31,642.41	2,357.96 1,075.97 200.68 153.69 (1,446.59) 2,341.71		
Subtotal										

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LA SM VAL

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
SELECT COMFORT CORP	SCSS	05/01/13	397	20.8589	8,281.02	21.0900	8,372.73	91.71		
		05/20/13	233	22.2623	5,187.12	21.0900	4,913.97	(273.15)		
		06/03/13	192	21.8666	4,198.39	21.0900	4,049.28	(149.11)		
		07/16/13	25	27.4008	685.02	21.0900	527.25	(157.77)		
		09/03/13	97	24.8192	2,407.47	21.0900	2,045.73	(361.74)		
Subtotal			944		20,759.02		19,908.96	(850.06)		
SIGNATURE BANK	SBNY	05/17/10	140	40.3951	5,655.32	107.4200	15,038.80	9,383.48		
		01/28/13	155	74.6305	11,567.73	107.4200	16,650.10	5,082.37		
Subtotal			295		17,223.05		31,688.90	14,465.85		
SILGAN HLDGS INC COM	SLGN	08/08/11	83	34.3383	2,850.08	48.0200	3,985.66	1,135.58	47	1.16
		01/28/13	181	42.2728	7,651.38	48.0200	8,691.62	1,040.24	102	1.16
Subtotal			264		10,501.46		12,677.28	2,175.82	149	1.16
SOUTH JERSEY IND	SJI	06/12/12	118	50.1933	5,922.81	55.9600	6,603.28	680.47	224	3.37
		07/24/12	18	51.7666	931.80	55.9600	1,007.28	75.48	35	3.37
		10/22/12	28	51.0682	1,429.91	55.9600	1,566.88	136.97	53	3.37
		12/19/12	17	51.3694	873.28	55.9600	951.32	78.04	33	3.37
		01/28/13	134	54.2999	7,276.19	55.9600	7,498.64	222.45	254	3.37
		04/15/13	31	57.9277	1,795.76	55.9600	1,734.76	(61.00)	59	3.37
		07/23/13	54	62.0611	3,351.30	55.9600	3,021.84	(329.46)	103	3.37
		11/06/13	51	59.9260	3,056.23	55.9600	2,853.96	(202.27)	97	3.37
Subtotal			451		24,637.28		25,237.96	600.68	858	3.37
STEELCASE INC 'A'	SCS	09/25/13	804	16.3908	13,178.28	15.8600	12,751.44	(426.84)	322	2.52
		10/09/13	212	15.0825	3,197.49	15.8600	3,362.32	164.83	85	2.52
Subtotal			1,016		16,375.77		16,113.76	(262.01)	407	2.52

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LA SM VAL

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
STIFEL FINANCIAL CORP	SF	07/15/11 08/12/11 01/28/13 04/12/13 08/06/13 08/27/13 09/04/13	35 161 148 204 61 186 183 978	37.0328 28.9847 36.2952 33.1453 38.2450 39.7046 40.9156	1,296.15 4,666.54 5,371.69 6,761.66 2,332.95 7,385.06 7,487.57 35,301.62	47.9200 47.9200 47.9200 47.9200 47.9200 47.9200 47.9200	1,677.20 7,715.12 7,092.16 9,775.68 2,923.12 8,913.12 8,769.36 46,865.76	381.05 3,048.58 1,720.47 3,014.02 590.17 1,528.06 1,281.79 11,564.14		
Subtotal										
SUPERIOR ENERGY SVCS INC	SPN	01/28/13 10/21/13 11/06/13	299 208 179 686	24.9752 26.7415 27.5354	7,467.59 5,562.25 4,928.84 17,958.68	26.6100 26.6100 26.6100	7,956.39 5,534.88 4,763.19 18,254.46	488.80 (27.37) (165.65) 295.78	96 67 58 221	1.20 1.20 1.20 1.20
Subtotal										
SVB FINL GROUP	SVB	10/18/11 01/28/13 03/04/13	65 133 29 227	41.5538 64.2472 66.3937	2,701.00 8,544.89 1,925.42 13,171.31	104.8600 104.8600 104.8600	6,815.90 13,946.38 3,040.94 23,803.22	4,114.90 5,401.49 1,115.52 10,631.91		
Subtotal										
SYNAPTICS INC	SYNA	11/06/13 11/25/13	394 55 449	44.5160 49.2460	17,539.34 2,708.53 20,247.87	51.8100 51.8100	20,413.14 2,849.55 23,262.69	2,873.80 141.02 3,014.82		
Subtotal										
TAL INTL GROUP INC	TAL	01/28/13	302	40.6030	12,262.11	57.3500	17,319.70	5,057.59	846	4.88
TEAM HEALTH HOLDINGS INC	TMH	12/19/12 01/28/13 02/12/13 02/13/13	167 269 246 59 741	28.9588 33.7900 33.1219 33.3079	4,836.13 9,089.51 8,148.01 1,965.17 24,038.82	45.5500 45.5500 45.5500 45.5500	7,606.85 12,252.95 11,205.30 2,687.45 33,752.55	2,770.72 3,163.44 3,057.29 722.28 9,713.73		
Subtotal										

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LA SM VAL

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
TELEDYNE TECH INC	TDY	07/23/13	64	81.7839	5,234.17	91.8600	5,879.04	644.87		
		08/01/13	102	82.3213	8,396.78	91.8600	9,369.72	972.94		
		08/02/13	151	82.6798	12,484.65	91.8600	13,870.86	1,386.21		
Subtotal			317		26,115.60		29,119.62	3,004.02		
TENNECO INC DEL	TEN	09/04/13	206	47.6085	9,807.37	56.5700	11,653.42	1,846.05		
		10/09/13	101	49.8924	5,039.14	56.5700	5,713.57	674.43		
Subtotal			307		14,846.51		17,366.99	2,520.48		
TERADYNE INC	TER	11/19/10	189	11.8598	2,241.52	17.6200	3,330.18	1,088.66		
		01/26/11	481	14.4646	6,957.52	17.6200	8,475.22	1,517.70		
		10/27/11	148	14.5910	2,159.48	17.6200	2,607.76	448.28		
		01/28/13	619	16.3654	10,130.24	17.6200	10,906.78	776.54		
		10/09/13	143	16.1292	2,306.48	17.6200	2,519.66	213.18		
Subtotal			1,580		23,795.24		27,839.60	4,044.36		
TEXTAINER GROUP HOLDINGS LTD	TGH	02/12/13	144	41.3512	5,954.58	40.2200	5,791.68	(162.90)	271	4.67
		04/02/13	156	40.4869	6,315.96	40.2200	6,274.32	(41.64)	294	4.67
Subtotal			300		12,270.54		12,066.00	(204.54)	565	4.67
TRIMAS CORP	TRS	04/26/11	319	24.5778	7,840.35	39.8900	12,724.91	4,884.56		
		01/28/13	343	30.7557	10,549.21	39.8900	13,682.27	3,133.06		
Subtotal			662		18,389.56		26,407.18	8,017.62		
UMB FINANCIAL CORP	UMBF	07/12/13	235	58.3731	13,717.68	64.2800	15,105.80	1,388.12	212	1.40
		10/15/13	224	57.8211	12,951.93	64.2800	14,398.72	1,446.79	202	1.40
		12/19/13	61	64.0660	3,908.03	64.2800	3,921.08	13.05	55	1.40
Subtotal			520		30,577.64		33,425.60	2,847.96	469	1.40
UMPQUA HLDGS CORP ORE	UMPQ	09/13/13	783	16.4140	12,852.24	19.1400	14,986.62	2,134.38	470	3.13
		10/09/13	787	16.2911	12,821.10	19.1400	15,063.18	2,242.08	473	3.13
		10/21/13	379	16.7445	6,346.20	19.1400	7,254.06	907.86	228	3.13
Subtotal			1,949		32,019.54		37,303.86	5,284.32	1,171	3.13

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LA SM VAL

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
Description					Cost Basis							
URS CORP NEW	COM	URS	12/19/12	51	40.2015		2,050.28	52.9900	2,702.49	652.21	43	1.58
			01/28/13	243	41.8434		10,167.95	52.9900	12,876.57	2,708.62	205	1.58
			04/12/13	35	46.1231		1,614.31	52.9900	1,854.65	240.34	30	1.58
Subtotal				329			13,832.54		17,433.71	3,601.17	278	1.58
VIEWPOINT FINL GROUP		VPFG	12/21/12	240	20.7195		4,972.68	27.4500	6,588.00	1,615.32	116	1.74
			01/28/13	256	21.2432		5,438.26	27.4500	7,027.20	1,588.94	123	1.74
			02/27/13	235	21.0194		4,939.58	27.4500	6,450.75	1,511.17	113	1.74
Subtotal				731			15,350.52		20,065.95	4,715.43	352	1.74
WEINGARTEN RLTY INVS SBI		WRI	01/28/13	167	28.5358		4,765.49	27.4200	4,579.14	(186.35)	204	4.44
REIT			07/09/13	80	31.8665		2,549.32	27.4200	2,193.60	(355.72)	98	4.44
Subtotal				247			7,314.81		6,772.74	(542.07)	302	4.44
WESCO INTERNATIONAL INC		WCC	03/15/11	34	59.6026		2,026.49	91.0700	3,096.38	1,069.89	62	.81
			01/28/13	137	73.4902		10,068.17	91.0700	12,476.59	2,408.42	89	.81
Subtotal				171			12,094.66		15,572.97	3,478.31	23	.81
WEST PHARMACTL SVCS INC		WST	10/24/12	155	26.6741		4,134.49	49.0600	7,604.30	3,469.81	62	.81
			01/28/13	222	29.6849		6,590.06	49.0600	10,891.32	4,301.26	89	.81
			04/12/13	56	31.6387		1,771.77	49.0600	2,747.36	975.59	23	.81
Subtotal				433			12,496.32		21,242.98	8,746.66	174	.81
WESTERN ALLIANCE		WAL	02/11/13	524	12.9170		6,768.51	23.8600	12,502.64	5,734.13		
BANCORP			02/12/13	392	13.0755		5,125.60	23.8600	9,353.12	4,227.52		
			04/04/13	212	13.6116		2,885.68	23.8600	5,058.32	2,172.64		
			04/18/13	249	13.5173		3,365.83	23.8600	5,941.14	2,575.31		
			07/01/13	68	16.2095		1,102.25	23.8600	1,622.48	520.23		
			07/23/13	251	18.1784		4,562.78	23.8600	5,988.86	1,426.08		
Subtotal				1,696			23,810.65		40,466.56	16,655.91		
TOTAL							2,182,291.24		2,680,844.49	498,553.25	29,049	1.08

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LA SM VAL

YOUR EMA ASSETS

November 30, 2013 - December 31, 2013

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	2,342,134.20	2,840,687.45	498,553.25		29,160	1.03

Notes

♦Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

YOUR EMA TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS				Income		Income
Date	Transaction Type	Quantity	Description	Income	Year To Date	Income
12/31	Bank Interest		BANK DEPOSIT INTEREST	.41		
	Income Total		ML BANK DEPOSIT PROGRAM	10.00		103.67
12/02	* Dividend		IDACORP INC COM HOLDING 525.0000	10.41		
			PAY DATE 12/02/2013	225.75		
12/02	* Dividend		OSHKOSH CORPORATION HOLDING 1426.0000			
			PAY DATE 12/02/2013	213.90		
12/02	* Dividend		PENSKE AUTO GROUP INC INC	121.55		
			HOLDING 715.0000			
12/05	* Dividend		PAY DATE 12/02/2013	73.70		
			LITTELFUSE INC DEL COM			
12/09	* Dividend		HOLDING 335.0000			
			PAY DATE 12/05/2013	94.40		
12/10	* Dividend		CHEMED CORP HOLDING 472.0000			
			PAY DATE 12/09/2013	133.43		
			BARNES GROUP INC DE \$.01			

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Hedged Equity

YOUR WCMA ASSETS

November 30, 2013 - December 31, 2013

A change in tier assignment will automatically convert the class of BBIF money fund shares held in your account. The WCMA Agreement and Program Description... and the BBIF Fund Prospectus contain more details.

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
ABBOTT LABS	ABT	02/21/12	500	26.9620	13,481.00	38,3300	19,165.00	5,684.00	441	2.29
		04/18/12	2,000	29.0004	58,000.84	38,3300	76,660.00	18,659.16	1,761	2.29
		02/06/13	3,500	34.0577	119,201.95	38,3300	134,155.00	14,953.05	3,081	2.29
		07/09/13	4,000	35.1380	140,552.00	38,3300	153,320.00	12,768.00	3,521	2.29
Subtotal			10,000		331,235.79		383,300.00	52,064.21	8,804	2.29
ABBVIE INC SHS	ABBV	02/21/12	500	29.2379	14,618.99	52.8100	26,405.00	11,786.01	800	3.02
		04/18/12	2,000	31.4484	62,896.95	52.8100	105,620.00	42,723.05	3,200	3.02
		07/09/13	2,500	43.7865	109,466.25	52.8100	132,025.00	22,558.75	4,000	3.02
Subtotal			5,000		186,982.19		264,050.00	77,067.81	8,000	3.02

Hedged Equity

24-Hour Assistance: (866) 4MLBUSINESS

YOUR WCMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income	Yield%
AUTOMATIC DATA PROC	ADP	02/14/11 02/16/11 07/09/13	5,000 1,000 4,000 10,000	49.7089 49.2577 71.1196	248,544.50 49,257.70 284,478.72 582,280.92	80.7990 80.7990 80.7990	403,995.00 80,799.00 323,196.00 807,990.00	155,450.50 31,541.30 38,717.28 225,709.08	9,601 1,921 7,681 19,203	2.37 2.37 2.37 2.37
Subtotal										
BAXTER INTERNTL INC	BAX	02/06/13 05/21/13 07/09/13	1,400 5,600 3,000 10,000	68.7275 73.5413 71.1197	96,218.59 411,831.50 213,359.36 721,409.45	69.5500 69.5500 69.5500	97,370.00 389,480.00 208,650.00 695,500.00	1,151.41 (22,351.50) (4,709.36) (25,909.45)	2,744 10,976 5,880 19,600	2.81 2.81 2.81 2.81
Subtotal										
COCA COLA COM	KO	11/29/10 11/29/10 11/29/10 11/29/10 11/29/10 11/29/10 07/09/13	2,400 1,000 1,000 1,000 1,200 3,400 5,000 15,000	31.7700 31.7750 31.7800 31.7850 31.7900 31.7950 40.9676	76,248.00 31,775.00 31,780.00 31,785.00 38,148.00 108,103.00 204,838.20 522,677.20	41.3100 41.3100 41.3100 41.3100 41.3100 41.3100 41.3100	99,144.00 41,310.00 41,310.00 41,310.00 49,572.00 140,454.00 206,550.00 619,650.00	22,896.00 9,535.00 9,530.00 9,525.00 11,424.00 32,351.00 1,711.80 96,972.80	2,688 1,120 1,120 1,120 1,344 3,808 5,600 16,800	2.71 2.71 2.71 2.71 2.71 2.71 2.71
Subtotal										
CONOCOPHILLIPS	COP	08/21/09	2,100	33.8418	71,067.97	70.6500	148,365.00	77,297.03	5,797	3.90
DOVER CORP	DOV	11/29/10 11/29/10 04/18/12	400 300 4,000 4,700	54.6600 54.6900 62.6230	21,864.00 16,407.00 250,492.00 288,763.00	96.5400 96.5400 96.5400	38,616.00 28,962.00 386,160.00 453,738.00	16,752.00 12,555.00 135,668.00 164,975.00	601 451 6,001 7,053	1.55 1.55 1.55 1.55
Subtotal										
GENERAL MILLS	GIS	04/18/05 04/18/05 11/12/09 02/16/11	3,000 1,000 2,000 1,400 7,400	24.3350 24.2500 33.8142 35.8078	73,005.01 24,250.00 67,628.50 50,130.92 215,014.43	49.9100 49.9100 49.9100 49.9100	149,730.00 49,910.00 99,820.00 69,874.00 369,334.00	76,724.99 25,660.00 32,191.50 19,743.08 154,319.57	4,561 1,521 3,041 2,129 11,252	3.04 3.04 3.04 3.04 3.04
Subtotal										

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Hedged Equity

YOUR WCMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
GENL DYNAMICS CORP COM	GD	11/12/09	600	66.9400	40,164.00	95.5500	57,330.00	17,166.00	1,344	2.34
		11/12/09	200	66.9500	13,390.00	95.5500	19,110.00	5,720.00	448	2.34
		11/12/09	300	66.9600	20,088.00	95.5500	28,665.00	8,577.00	672	2.34
		11/12/09	1,400	66.9700	93,758.00	95.5500	133,770.00	40,012.00	3,136	2.34
		02/16/11	300	76.7740	23,032.20	95.5500	28,665.00	5,632.80	672	2.34
		02/16/11	300	76.7750	23,032.50	95.5500	28,665.00	5,632.50	672	2.34
		04/18/12	200	69.7500	13,950.00	95.5500	19,110.00	5,160.00	448	2.34
		04/18/12	100	69.7600	6,976.00	95.5500	9,555.00	2,579.00	224	2.34
		04/18/12	1,600	69.7603	111,616.48	95.5500	152,880.00	41,263.52	3,584	2.34
Subtotal			5,000		346,007.18		477,750.00	131,742.82	11,200	2.34
JPMORGAN CHASE & CO	JPM	04/18/05	200	34.4600	6,892.00	58.4800	11,696.00	4,804.00	304	2.59
		04/03/12	7,200	45.2900	326,088.00	58.4800	421,056.00	94,968.00	10,945	2.59
		04/03/12	2,700	45.3000	122,310.00	58.4800	157,896.00	35,586.00	4,105	2.59
Subtotal			10,100		455,290.00		590,648.00	135,358.00	15,354	2.59
MICROSOFT CORP	MSFT	11/28/11	800	24.8100	19,848.00	37.4100	29,928.00	10,080.00	897	2.99
		04/18/12	8,200	31.1285	255,253.70	37.4100	306,762.00	51,508.30	9,185	2.99
		07/09/13	6,000	34.3899	206,339.40	37.4100	224,460.00	18,120.60	6,721	2.99
Subtotal			15,000		481,441.10		561,150.00	79,708.90	16,803	2.99
OCCIDENTAL PETE CORP CAL	OXY	01/03/83	16,000	0.1200	1,921.20	95.1000	1,521,600.00	1,519,678.80	40,960	2.69
ONEOK INC (OKLAHOMA)	OKE	12/06/13	3,000	59.1088	177,326.40	62.1800	186,540.00	9,213.60	4,560	2.44
PFIZER INC	PFE	04/18/12	6,500	22.4300	145,795.00	30.6300	199,095.00	53,300.00	6,761	3.39
		07/09/13	3,500	28.3399	99,189.93	30.6300	107,205.00	8,015.07	3,641	3.39
Subtotal			10,000		244,984.93		306,300.00	61,315.07	10,402	3.39
PHILLIPS 66 SHS	PSX	07/09/13	2,900	58.7984	170,515.37	77.1300	223,677.00	53,161.63	4,525	2.02
PROCTER & GAMBLE CO	PG	08/03/09	1,900	55.6791	105,790.29	81.4100	154,679.00	48,888.71	4,572	2.95
		02/16/11	1,000	63.7658	63,765.80	81.4100	81,410.00	17,644.20	2,406	2.95
		02/06/13	1,100	75.4152	82,956.72	81.4100	89,551.00	6,594.28	2,647	2.95
Subtotal			4,000		252,512.81		325,640.00	73,127.19	9,625	2.95

Hedged Equity

24-Hour Assistance: (866) 4MLBUSINESS

YOUR WCMA ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
UNITED TECHS CORP COM	UTX	05/16/13	5,000	95.4907	477,453.91	113.8000	569,000.00	91,546.09	11,800 2.07
3M COMPANY	MMM	11/12/09 07/09/13	2,500 2,500	77.4264 113.1039	193,566.00 282,759.93	140.2500 140.2500	350,625.00 350,625.00	157,059.00 67,865.07	8,550 2.43 8,550 2.43
Subtotal			5,000		476,325.93		701,250.00	224,924.07	17,100 2.43
TOTAL					6,003,209.78		9,205,482.00	3,202,272.22	238,838 2.59

RESEARCH RATINGS

Security	Symbol	BofAML Research	Morningstar	S&P
ABBOTT LABS	ABT	Neutral (A27)	Hold	Buy
ABBVIE INC SHS	ABBV	Buy (B17)	Hold	Buy
AUTOMATIC DATA PROC	ADP	Buy (B17)	Hold	Hold
BAXTER INTERNTL INC	BAX	Buy (B17)	Buy	Buy
CONOCOPHILLIPS	COP	Underperform (B37)	Hold	Buy
COCA COLA COM	KO	Buy (A17)	Buy	Buy
DOVER CORP	DOV	Buy (B17)	Hold	Buy
GENL DYNAMICS CORP COM	GD	Buy (B17)	Hold	Hold
GENERAL MILLS	GIS	Neutral (B27)	Hold	Hold
JPMORGAN CHASE & CO	JPM	Buy (B17)	Hold	Buy
MICROSOFT CORP	MSFT	Underperform (B37)	Hold	Hold
OCCIDENTAL PETE CORP CAL	OXY	Buy (B17)	Hold	Buy
PHILLIPS 66 SHS	PSX	Neutral (C27)	Hold	Buy
PFIZER INC	PFE	Buy (A17)	Hold	Buy
PROCTER & GAMBLE CO	PG	Buy (A17)	Buy	Buy
3M COMPANY	MMM	Buy (B17)	Hold	Hold
UNITED TECHS CORP COM	UTX	Buy (B17)	Hold	Buy

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO BofAML AND THIRD PARTY RESEARCH RATINGS.

Hedged Equity

YOUR WCMA ASSETS

November 30, 2013 - December 31, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT		Description		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
ISHARES TR RUSSELL 2000		SYMBOL: IWM		5,000	471,769.40	115.3600	576,800.00	105,030.60	471,769	105,030	7,070	1.22
		Initial Purchase: 02/06/13										
SPDR S&P 500 ETF TRUST		SYMBOL: SPY		103,500	13,451,150.61	184.6900	19,115,415.00	5,664,264.39	13,451,150	5,664,264	346,831	1.81
		Initial Purchase: 12/20/10										
VANGUARD GROWTH ETF		SYMBOL: VUIG		10,000	753,198.46	93.0500	930,500.00	177,301.54	753,198	177,301	11,100	1.19
		Initial Purchase: 02/12/13										
Subtotal (Equities)					14,676,118.47		20,622,715.00	5,946,596.53		5,946,595	365,001	1.77
TOTAL												

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

OPTIONS		Description		Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Current Income	Yield%
PUT SPY		00141.000		09/04/13	100	2.3000	23,000.00	0.1700	1,700.00	(21,300.00)		
		SPDR S&P 500 ETF TRUST EXP 03-22-2014										
PUT SPY		00141.000		09/04/13	100	2.3000	23,000.00	0.1700	1,700.00	(21,300.00)		
PUT SPY		00141.000		10/04/13	100	1.5700	15,700.00	0.1700	1,700.00	(14,000.00)		

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Hedged Equity

24-Hour Assistance: (866) 4MLBUSINESS

YOUR WCMA ASSETS

November 30, 2013 - December 31, 2013

OPTIONS (continued)											
Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Yield%		
PUT SPY 00141.000	10/04/13	100	1.5700	15,700.00	0.1700	1,700.00	(14,000.00)				
Subtotal		400		77,400.00		6,800.00	(70,600.00)				
TOTAL				77,400.00		6,800.00	(70,600.00)				
LONG PORTFOLIO											
			Adjusted/Total Cost Basis	Estimated Market Value	Estimated Market Price	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income	Estimated Current Yield%		
TOTAL			21,984,033.02	31,062,301.77	9,078,268.75			605,065	1.95		

YOUR WCMA LIABILITIES

SHORTS											
Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Yield%		
CALL PG 00082.500	11/12/13	20	2.1199	4,239.93	0.4500	900.00	3,339.93				
PROCTER & GAMBLE CO EXP 01-18-2014											
CALL SPY 00180.000	12/04/13	100	3.5499	35,499.38	6.3900	63,900.00	(28,400.62)				
SPDR S&P 500 ETF TRUST EXP 02-22-2014											
CALL SPY 00180.000	12/04/13	100	3.2399	32,399.44	6.3900	63,900.00	(31,500.56)				
CALL SPY 00180.000	12/05/13	100	3.6099	36,099.37	6.3900	63,900.00	(27,800.63)				
Subtotal		300		103,998.19		191,700.00	(87,701.81)				
CALL PSX 00065.000	11/11/13	29	2.7499	7,974.86	12.2000	35,380.00	(27,405.14)				
PHILLIPS 66 SHS EXP 01-18-2014											
CALL IWM 00109.000	11/12/13	25	2.8699	7,174.88	6.7400	16,850.00	(9,675.12)				
ISHARES TR RUSSELL 2000 EXP 01-18-2014											
CALL KO 00040.000	11/12/13	75	0.7099	5,324.91	1.4000	10,500.00	(5,175.09)				
COCA COLA COM EXP 01-18-2014											
CALL BAX 00067.500	11/15/13	50	1.5899	7,949.86	2.3400	11,700.00	(3,750.14)				
BAXTER INTERNL INC EXP 01-18-2014											

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Hedged Equity

YOUR WCMA LIABILITIES

November 30, 2013 - December 31, 2013

SHORTS (continued) Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CALL MMM 00125.000 3M COMPANY EXP 01-18-2014	11/12/13	50	4.2699	21,349.63	15.4000	77,000.00	(55,650.37)		
CALL MSFT 00037.000 MICROSOFT CORP EXP 01-18-2014	11/12/13	25	1.3699	3,424.94	0.8200	2,050.00	1,374.94		
CALL MSFT 00037.000 Subtotal	11/12/13	75 100	1.3699	10,274.82 13,699.76	0.8200	6,150.00 8,200.00	4,124.82 5,499.76		
CALL UTX 00105.000 UNITED TECHS CORP COM EXP 01-18-2014	11/11/13	17	4.7299	8,040.86	8.6500	14,705.00	(6,664.14)		
CALL UTX 00105.000 Subtotal	11/11/13	19 36	4.7199	8,967.84 17,008.70	8.6500	16,435.00 31,140.00	(7,467.16) (14,131.30)		
CALL OXY 00097.500 OCCIDENTAL PETE CORP CAL EXP 01-18-2014	11/12/13	50	2.3299	11,649.80	0.8400	4,200.00	7,449.80		
CALL GD 00087.500 GENL DYNAMICS CORP COM EXP 01-18-2014	11/12/13	1	1.9899	198.99	8.1000	810.00	(611.01)		
CALL GD 00087.500 Subtotal	11/12/13	49 50	1.9799	9,701.83 9,900.82	8.1000	39,690.00 40,500.00	(29,988.17) (30,599.18)		
CALL JPM 00055.000 JPMORGAN CHASE & CO EXP 01-18-2014	11/12/13	50	1.1199	5,599.90	3.3800	16,900.00	(11,300.10)		
CALL COP 00072.500 CONOCOPHILLIPS EXP 01-18-2014	11/12/13	20	2.1699	4,339.92	0.2600	520.00	3,819.92		
CALL PFE 00031.000 PFIZER INC EXP 01-18-2014	11/04/13	50	0.8499	4,249.93	0.1800	900.00	3,349.93		
CALL ABT 00037.000 ABBOTT LABS EXP 01-18-2014	11/12/13	50	1.2799	6,399.89	1.6300	8,150.00	(1,750.11)		
CALL ABT 00037.000 Subtotal	11/12/13	25 75	1.2699	3,174.94 9,574.83	1.6300	4,075.00 12,225.00	(900.06) (2,650.17)		
CALL ABBV 00047.500 ABBVIE INC SHS EXP 01-18-2014	11/12/13	20	1.6599	3,319.94	5.4200	10,840.00	(7,520.06)		

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Hedged Equity

24-Hour Assistance: (866) 4MLBUSINESS

YOUR WCMA LIABILITIES

November 30, 2013 - December 31, 2013

SHORTS (continued) Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CALL ABBV 00047.500	11/12/13	1	1.6499	164.99	5.4200	542.00	(377.01)		
CALL ABBV 00047.500	11/12/13	4	1.6399	655.99	5.4200	2,168.00	(1,512.01)		
Subtotal		25		4,140.92		13,550.00	(9,409.08)		
CALL DOV 00090.000	11/22/13	47	5.3599	25,191.56	8.2000	38,540.00	(13,348.44)		
DOVER CORP EXP 03-22-2014									
CALL VUG 00090.000	12/06/13	50	1.5499	7,749.87	3.2500	16,250.00	(8,500.13)		
VANGUARD GROWTH ETF EXP 01-18-2014									
CALL ADP 00075.000	11/12/13	17	1.9299	3,280.94	6.1000	10,370.00	(7,089.06)		
AUTOMATIC DATA PROC EXP 01-18-2014									
CALL ADP 00075.000	11/12/13	33	1.9099	6,302.89	6.1000	20,130.00	(13,827.11)		
Subtotal		50		9,583.83		30,500.00	(20,916.17)		
CALL SPY 00180.000	11/15/13	100	2.9999	29,999.48	5.3000	53,000.00	(23,000.52)		
SPDR S&P 500 ETF TRUST EXP 01-18-2014									
CALL SPY 00180.000	11/15/13	100	3.0199	30,199.47	5.3000	53,000.00	(22,800.53)		
CALL SPY 00180.000	11/15/13	100	3.0199	30,199.47	5.3000	53,000.00	(22,800.53)		
CALL SPY 00180.000	12/03/13	100	2.3599	23,599.59	5.3000	53,000.00	(29,400.41)		
CALL SPY 00180.000	12/03/13	100	2.3499	23,499.59	5.3000	53,000.00	(29,500.41)		
Subtotal		500		137,497.60		265,000.00	(127,502.40)		
TOTAL				418,199.70		822,455.00	(404,255.30)		

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