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Form 990-PF Department of the Treasury

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.
 Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For c	alen	dar year 2013 or tax year beginning			, and e	nding		•	
Nam	ne of	foundation					A	Employer identification	number
I	RE	NE M AND JULIAN J ROTHI	BAU	M FOUNDATI	ON			73-1463214	
		nd street (or P O box number if mail is not delivered to stree				Room/suite	В	Telephone number	
P	.0	. BOX 21468						918-491-42	50
City	or t	own, state or province, country, and ZIP or foreign	postal	code			C	If exemption application is p	ending, check here
		SA, OK 74121-1468							
G CI	neck	all that apply: Initial return		Initial return of a fo	rmer public o	harity	D	1. Foreign organizations	s, check here
		Final return		Amended return				•	
		Address change		Name change				Foreign organizations me check here and attach co	eting the 85% test, emputation
H CI	heck	type of organization: X Section 501(c)(3) e	xemp	t private foundation			F	If private foundation sta	tus was terminated
] Se	ction 4947(a)(1) nonexempt charitable trust	Othe	r taxable private founda	ition			under section 507(b)(1)	
I Fai	r ma	rket value of all assets at end of year J Account	ing m	ethod: X Cash	Accr	ual	F	If the foundation is in a	60-month termination
(fro	om I			specify)			ľ	under section 507(b)(1)	
		213 , 272 . (Part I, coli	JMN (d) must be on cash t	oasis)				
Pa	rt I	Analysis of Revenue and Expenses		(a) Revenue and	(b) Net in	vestment	Τ	(c) Adjusted net	(d) Disbursements
		(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)	<u>l</u>	expenses per books	inco	me		income	for charitable purposes (cash basis only)
	1	Contributions, gifts, grants, etc., received					Γ	N/A 👌	
	2	Check X if the foundation is not required to attach Sch. B		,			L	, 1	
ŀ	3	Interest on savings and temporary cash investments		4.		4.			STATEMENT 1
ŀ	4	Dividends and interest from securities		4,506.		<u>4,506.</u>	L		STATEMENT 2
	5a	Gross rents	L						
ŀ	b	Net rental income or (loss)	<u> </u>			(, 1 6/ ,	1.		- 430 (4)
ام	6a	Net gain or (loss) from sale of assets not on line 10		827.		7. · · · · · · · · · · · · · · · · · · ·	Ľ	re repe	The state of the s
2	b	Gross sales price for all assets on line 6a 11,098.	L	, <u>, , , , , , , , , , , , , , , , , , </u>		<u>, , , , , , , , , , , , , , , , , , , </u>			4 7,5 7
Revenu	7	Capital gain net income (from Part IV, line 2)	,			827.	╧	u f	* * **
~	8	Net short-term capital gain					┸		-
	9	Income modifications Gross sales less returns DICCEIVED	口			, ,	┖		
	10a	and allowances RECEIVED				35 -	\perp	1 140, 1 1	
		Less Cost of goods sold	ည္တု				,	1	3-40
İ	C	Gross profit or (loss) Other program MAY 1 6 2014	101			5 1 .	\perp		*
	11	Other Income MAY 1 6 2014	န္တ				╀		*
\rightarrow	12	Total. Add lines 1 through 11	压	5,337.		<u>5,337.</u>	4		
	13	Compensation of officers directors frustees atc. Other employee salaries and wages	<u> </u>	0.		0.	+		0
	14		-	<u>, </u>			\perp		
s		Pension plans, employee benefits	-				\perp		
Expenses		Legal fees		4 000			╀		4 000
ē		Accounting fees STMT 3		1,000.		0.	+		1,000
		Other professional fees STMT 4		1,542.		<u>1,542.</u>			0
Ęi		Interest		52.		52.	_		0
≕⊟		Taxes STMT 5		113.		113.	╀		0
ij		Depreciation and depletion					╀		
, 튐	20	Occupancy					╁		
§	21	Travel, conferences, and meetings	_				╀	· · · · · · · · · · · · · · · · · · ·	··· ·-
- CO I	22	Printing and publications	<u> </u>	4.5		A F	+	 .	
erating	23	Other expenses STMT 6	\vdash	45.		45.	╀		0
era	24	Total operating and administrative		2 752		1 750			1 000
ĕ	0.5	expenses. Add lines 13 through 23	\vdash	2,752. 9,000.		1,752.	+		1,000 9,000
		Contributions, gifts, grants paid	\vdash	9,000.			+		9,000
	۷b	Total expenses and disbursements.		11 750		1,752.			10,000
	07	Add lines 24 and 25	\vdash	11,752.		1,104.	╁		10,000
		Subtract line 26 from line 12:	1	-6,415.					
J		Excess of revenue over expenses and disbursements	-	-0,413.		3,585.	+		
		Net investment income (if negative, enter -0-) Adjusted net income (if negative, enter -0-)				<u>, , , , , , , , , , , , , , , , , , , </u>	+	N/A	
1	Ü	nujuated liet incollie (a negative, enter -0-)	1					14 / 12	Ī

LHA For Paperwork Reduction Act Notice, see instructions.

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Form **990-PF** (2013)

For	m 99	00-PF (2013) IRENE M AND JULIAN J RO			1463214 Page 2
P	art	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of	
느		column should be lot end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing	21,426.	15,048.	15,048.
	2	Savings and temporary cash investments			
	3	Accounts receivable ►			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less¹ allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons			
	7	Other notes and loans receivable			
	<u> </u>	Less: allowance for doubtful accounts			
s	R	Inventories for sale or use			
Assets		Prepaid expenses and deferred charges			·
As		Investments - U.S and state government obligations			-
			165,718.	165,757.	100 150
	-		103,710.	103,737.	198,150.
	J	Investments - corporate bonds			 -
	11	Investments - land, buildings, and equipment basis			
		Less accumulated depreciation			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment basis ▶			t
		Less accumulated depreciation			
	15	Other assets (describe ► ACCRUED DIVIDENDS)	150.	74.	74.
	16	Total assets (to be completed by all filers - see the			
	l	instructions. Also, see page 1, item 1)	187,294.	180,879.	213,272.
	17	Accounts payable and accrued expenses			
	18	Grants payable			į
s	19	Deferred revenue			,
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons			4
Ē	21	Mortgages and other notes payable	·		•
Ë	I	Other liabilities (describe)			· ·
	~~	Outer Habilities (describe			1
	23	Total liabilities (add lines 17 through 22)	0.	0.	1
_		Foundations that follow SFAS 117, check here			
		and complete lines 24 through 26 and lines 30 and 31.			
S	24	Unrestricted			!
Š	ı				
ala		Temporarily restricted			
8	26	Permanently restricted			
٦		Foundations that do not follow SFAS 117, check here			
5		and complete lines 27 through 31.	405 004	100 000	1
ş	27	Capital stock, trust principal, or current funds	187,294.	180,879.	
SSe	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
Net Assets or Fund Balances	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
ž	30	Total net assets or fund balances	187,294.	180,879.	
_	31	Total liabilities and net assets/fund balances	187,294.	180,879.	
P	art	Analysis of Changes in Net Assets or Fund Ba	alances		
1	Total	net assets or fund balances at beginning of year - Part II, column (a), line	30		
		st agree with end-of-year figure reported on prior year's return)		11	187,294.
2		r amount from Part I, line 27a		2	-6,415.
	_	r increases not included in line 2 (itemize)		3	0.
		lines 1, 2, and 3	·	4	180,879.
	_	eases not included in line 2 (itemize)		5	0.
		net assets or fund halances at end of year (line 4 minus line 5) - Part II. co	lumn (h) line 30	- J	180 879.

Form **990-PF** (2013)

Form 990-PF (2013) IRE Part IV Capital Gains	NE M AND JULIAN and Losses for Tax on In	J ROTH	BAUM FOU t Income	JND	ATION	7	3-146	3214	Page 3
	ribe the kind(s) of property sold (e.g. arehouse, or common stock, 200 shs], b	low acquired - Purchase - Donation	(c) Date (mo., d	acquired ay, yr.)	(d) Dat (mo., d	
1a									
b SEE ATTACHED	STATEMENT								
С									
đ									
<u>e</u>	40.5			┸┯					
(e) Gross sales price	(f) Depreciation allowed (or allowable)		st or other basis expense of sale				ain or (loss) (f) minus (
_a									
<u>b</u>				-+					
				\dashv					
e 11,098.			10,27	71.					827.
\	ng gain in column (h) and owned by	the foundation			a	Gains (C	ol. (h) gaın	minus	<u> </u>
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69		cess of col. (1)			(k), but r	ot less than (from col. (I	-0-) or	
a									
b	=								
С									
<u>d</u>									
e				_					827.
2 Capital gain net income or (net ca	upital loss) $ \begin{cases} \text{If gain, also enter} \\ \text{If (loss), enter -0-} \end{cases} $	ın Part I, line ın Part I, line	7 7	}	2				827.
3 Net short-term capital gain or (los		d (6)							
If gain, also enter in Part I, line 8, If (loss), enter -0- in Part I, line 8	column (c).			•			N/A		
Part V Qualification U	nder Section 4940(e) for	Reduced	Tax on Net	Inve	estment Inc	ome	и/и		
(For optional use by domestic private							····		
					•,				
If section 4940(d)(2) applies, leave the	his part blank.								
Was the foundation liable for the sec	tion 4942 tax on the distributable am	ount of any ye	ear in the base per	rıod?				Yes	X No
If "Yes," the foundation does not qual									
	each column for each year; see the in	structions be	fore making any e	ntries					
(a) Base period years	(b)		N-AI4	(c)			Distrib	(d) ution ratio	
Calendar year (or tax year beginni	* /		Net value of no	ncnar	table-use assets	use assets (col. (b) divided by col		` ''	
2012		<u>5,959.</u>	•		187,582	•			31767
2011		0,970.			184,624	+			59418
2010 2009		6,071. 1,300.			172,521 160,003				<u>35190</u> 08125
2008		$\frac{1,300.}{2,350.}$			183,855				$\frac{12782}{12782}$
2000	, ·	2,3300			100,000	1		• • •	12/02
2 Total of line 1, column (d)						2		.1	47282
3 Average distribution ratio for the	5-year base period - divide the total of	on line 2 by 5,	or by the number	of yea	ırs				
the foundation has been in exister	nce if less than 5 years	• •	-	_		3		.0	29456
4 Enter the net value of noncharitab	le-use assets for 2013 from Part X, I	ine 5				4		203	,626.
5 Multiply line 4 by line 3						5		5	<u>,998.</u>
6 Enter 1% of net investment incon	ne (1% of Part I, line 27b)					6			36.
7 Add lines 5 and 6						7		6	,034.
8 Enter qualifying distributions from	n Part XII, line 4					8		10	,000.
	line 7, check the box in Part VI, line	1b, and comp	lete that part usin	g a 19	6 tax rate.				
See the Part VI instructions.					<u>.</u> .				

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$\overline{}$	1990-PF (2013) IRENE M AND JULIAN J ROTHBAUM FOUNDATIO			14632			Page 4
	ert VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 494	940(e), or 4	940 -	see in	Stru	CHO	ns)
1a	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1.	. 1					
	Date of ruling or determination letter: (attach copy of letter if necessary-see instructio	ns)					~ ~
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here X and enter 1%	P	1				<u>36.</u>
	of Part I, line 27b						
	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col.	(b). J					_
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2				0.
3	Add lines 1 and 2		3				36.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4				0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5				36.
6	Credits/Payments:						
a	2013 estimated tax payments and 2012 overpayment credited to 2013 6a 6a	63.					
b	Exempt foreign organizations - tax withheld at source 6b						
C	Tax paid with application for extension of time to file (Form 8868) 6c						
d	Backup withholding erroneously withheld 6d						
7	Total credits and payments. Add lines 6a through 6d		7				<u>63.</u>
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached		8				
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	>	9				
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	>	_10				27.
		Refunded 📂	11				0.
Pa	rt VII-A Statements Regarding Activities						
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participates	ate or intervene	IN	L		Yes	+
	any political campaign?			L	1a		X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for	or the definition)?	L	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any mat	erials publishe	ed or	1			
	distributed by the foundation in connection with the activities						_
C	Did the foundation file Form 1120-POL for this year?				1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:						
	(1) On the foundation. ▶ \$ (2) On foundation managers. ▶ \$	0.					ļ
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on fo	oundation		•			
	managers. ► \$0 .						
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?			L	2		X
	If "Yes," attach a detailed description of the activities						
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of	incorporation, o	r				
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			L	3		X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?				4a		X
b	If "Yes," has it filed a tax return on Form 990-T for this year?		N,	/A _	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?				5		X
	If "Yes," attach the statement required by General Instruction T					-	
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:						
	By language in the governing instrument, or			ŀ			l
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict	ct with the state	law	ŀ			'
	remain in the governing instrument?				6	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	,		7	X	
				Γ			
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)						
	OK						
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or de-	signate)					
	of each state as required by General Instruction G? If "No," attach explanation	-		- 1	8ь_	X	L
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 49-	42(j)(5) for cale	ndar	Γ			
	year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV			- 1	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and	addresses			10		Х
				Form	990	PF.	(2013)

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	990-PF (2013) IRBNE M AND JULIAN J ROTHBAUM FOUNDATION 73-146	3214		Page 5
ч—	rt VII-A Statements Regarding Activities (continued)	-,		
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?	ŀ		
	If "Yes," attach statement (see instructions)	_12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Х	
	Website address ► N/A			
14	The books are in care of \blacktriangleright ANGELA SWIFT Telephone no. \blacktriangleright 918-4	91-4	250	
	Located at ▶6733 SOUTH YALE AVENUE, SUITE 202, TULSA, OK ZIP+4 ▶7			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here		>	$\cdot\Box$
	and enter the amount of tax-exempt interest received or accrued during the year	N	/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank,		Yes	No
	securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign			
	country			,
Pa	rt VII-B Statements Regarding Activities for Which Form 4720 May Be Required			L
		7	Yes	No
4-	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		103	140
ıa	During the year did the foundation (either directly or indirectly):		1	
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			,
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			,
	a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available			j
	for the benefit or use of a disqualified person)?			'
	(6) Agree to pay money or property to a government official? (Exception. Check "No"			;
	if the foundation agreed to make a grant to or to employ the official for a period after			
	termination of government service, if terminating within 90 days.)			'
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations			
	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		<u> </u>
	Organizations relying on a current notice regarding disaster assistance check here			,
C	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected			1
	before the first day of the tax year beginning in 2013?	1c		Х
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation			
	defined in section 4942(j)(3) or 4942(j)(5)):			
а	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning			
	before 2013?			
	If "Yes," list the years ▶,,,,,			
ь	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect			
-	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach			
	statement - see instructions.) N/A	2b		
	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			
·	in the provisions of section 4342(a)(2) are being applied to any of the years listed in 2a, fist the years here.	Ì		
20	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time			•
Ja	during the year?			
0	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after			
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose			
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,	<u>-</u> .		
	Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b		 ,,
	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a_	-	<u> X</u>
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that			
	had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		<u> </u>
	F F	orm 99 6	J-PF	(2013)

Form 990-PF (2013) IRENE M AND JULIAN J ROT Part VII-B Statements Regarding Activities for Which	HBAUM FOUNDAT	PION Required (control	73-14632	214 Page 6
5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section) (2) Influence the outcome of any specific public election (see section 4955);	n 4945(e))?	Y	es X No	
any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes			es X No es X No	
 (4) Provide a grant to an organization other than a charitable, etc., organization 509(a)(1), (2), or (3), or section 4940(d)(2)? (5) Provide for any purpose other than religious, charitable, scientific, literary 			es X No	
the prevention of cruelty to children or animals? b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify un	, , ,	Y	es X No	
section 53.4945 or in a current notice regarding disaster assistance (see instru Organizations relying on a current notice regarding disaster assistance check h	•		N/A ▶	5b
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption f expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53 494	rom the tax because it mainta N	. —	es No	
 6a Did the foundation, during the year, receive any funds, directly or indirectly, to a personal benefit contract? b Did the foundation, during the year, pay premiums, directly or indirectly, on a judge. 	pay premiums on	Y	es X No	6b X
If "Yes" to 6b, file Form 8870			. [6b X
7a At any time during the tax year, was the foundation a party to a prohibited tax s b if "Yes," did the foundation receive any proceeds or have any net income attrib		Y ₀	es X No L	7b
Part VIII Information About Officers, Directors, Trust Paid Employees, and Contractors		nagers, Highl		·-
List all officers, directors, trustees, foundation managers and their	compensation.			
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOEL JANKOWSKY	TRUSTEE			
P.O. BOX 21468 TULSA, OK 74121-1468	0.50	0.	0.	0.
2 Compensation of five highest-paid employees (other than those inc	 :luded on line 1). If none,	enter "NONE."	<u> </u>	L
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000			Form	990-PF (2013)

FORM 990-PF (2013) TRENK M AND JULIAN J ROTHBAUM FOL		<u> 463214 Page7</u>
Part VIII Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)	ion Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter '	"NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
	7	
	7	
	1	
	1	
	7	
Total number of others receiving over \$50,000 for professional services		▶ 0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistic		Expenses
number of organizations and other beneficiaries served, conferences convened, research papers produ	ced, etc.	Expenses
1 N/A		

2		
3		
4		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year on lir	nes 1 and 2	Amount
1 N/A	ico i una z.	Amount
Ι		
2		
All other program-related investments. See instructions.	· · -	
3		
Total Add lines 1 through 3		0

Form **990-PF** (2013)

	m 990-PF (2013) IRENE M AND JULIAN J ROTHBAUM FOUNDATION	<u>73-1</u>	.463214 Page 8
Р	Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations, se	e instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
а	Average monthly fair market value of securities	1a	184,061.
b	Average of monthly cash balances	1b	22,592.
C	Fair market value of all other assets	1c	74.
d	Total (add lines 1a, b, and c)	1d	206,727.
e	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	206,727.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,101.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	203,626.
6	Minimum investment return. Enter 5% of line 5	6	10,181.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and foreign organizations check here ☐ and do not complete this part.)	d certain	
1	Minimum investment return from Part X, line 6	1	10,181.
2 a	Tax on investment income for 2013 from Part VI, line 5		
b	Income tax for 2013. (This does not include the tax from Part VI.)	_	
C	Add lines 2a and 2b	2c	36.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	10,145.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	10,145.
6	Deduction from distributable amount (see instructions)	6	0.
7_	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	10,145.
Р	Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	-	
a	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	10,000.
b	Program-related investments - total from Part IX-B	16	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the.	,	
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	10,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income. Enter 1% of Part I, line 27b	5	36.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	9,964.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation of	malifies for th	e section

4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				10,145.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			8,304.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012	1			
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from				
Part XII, line $4 \triangleright \$ 10,000$.			-	
a Applied to 2012, but not more than line 2a			8,304.	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus	u			
(Election required - see instructions)	0.			
d Applied to 2013 distributable amount				1,696.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.	a		0.
6 Enter the net total of each column as indicated below:				
& Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable				
amount - see instructions		0.	ļ	
e Undistributed income for 2012. Subtract line				
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2014				8,449.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008				
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014.				
Subtract lines 7 and 8 from line 6a	0.			
O Analysis of line 9	·			
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				
				Farm 000 DE (2012)

Form 990-PF (2013) IRENE M Part XIV Private Operating F	I AND JULIAN				463214 Page 10
<u> </u>			I-A, question 9)	N/A	
1 a If the foundation has received a ruling o					
foundation, and the ruling is effective fo	•	-		4042(1)(2) or	1042(4)(5)
b Check box to indicate whether the found		ng toundation described		4942(j)(3) or 4	1942(j)(5)
2 a Enter the lesser of the adjusted net	Tax year (a) 2013	(b) 2012	Prior 3 years	(d) 2010	(a) Total
income from Part I or the minimum	(a) 2013	(b) 2012	(c) 2011	(0) 2010	(e) Total
investment return from Part X for			İ		
each year listed					·····
b 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4 for each year listed					
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)	i				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from			· · · · · · · · · · · · · · · · · · ·		
an exempt organization					
(4) Gross investment income				· · · · · · · · · · · · · · · · · · ·	
Part XV Supplementary Info	rmation (Comple	te this part only	if the foundation	n had \$5 000 or m	ore in assets
at any time during t			ii tiio iodiidatio		ore in assets
					_
 Information Regarding Foundation List any managers of the foundation wh 	•	than 20% of the total cont	ributions received by t	ha faundation hafara tha cl	nee of any tay
year (but only if they have contributed n			illuddolls received by t	ne toundation before the cit	JSC OI ally lax
NONE					
b List any managers of the foundation whother entity) of which the foundation has			(or an equally large poi	tion of the ownership of a	partnership or
NONE					
2 Information Regarding Contributi	ion Grant Gift Loan	Scholarshin etc. Pr	ourame.		
Check here X if the foundation of the foundation makes gifts, grants, etc.	only makes contributions t	to preselected charitable	organizations and does	s not accept unsolicited req	uests for funds. If
a The name, address, and telephone num					
a The name, address, and telephone num	DEL OF E-MAII 4001622 OF E	ne person to whom appi	ICATIONS SHOULD DE AUDI	essea.	
b The form in which applications should b	e submitted and informat	tion and materials they sl	nould include	-	
c Any submission deadlines;					-
d Any restrictions or limitations on awards	s, such as by geographica	il areas, charitable fields,	kınds of institutions, o	r other factors.	· · · · · · · · · · · · · · · · · · ·

Form 990-PF (2013) IRENE M AND J Part XV Supplementary Information	ULIAN J ROTHBA	UM FOUNDAT	TION 73-146	3214 Page 11
3 Grants and Contributions Paid During the Ye	·	Payment		
Recipient	It recipient is an individual		T	
Name and address (home or business)	show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year	Or Substantial Contributor	Teopen		
a raid doming the year				
CENTER FOR INDIVIDUALS WITH PHYSICAL	NONE	PUBLIC	PHYSICAL	
CHALLENGES			REHABILITATION	
815 S UTICA AVENUE				4 500
TULSA, OK 74104	-			4,500.
GIRL SCOUTS OF EASTERN OKLAHOMA	NONE	PUBLIC	YOUTH EDUCATION	
2432 E. 51ST STREET				
TULSA, OK 74105				4,500.
Total	ī	,	<u>▶ 3a</u>	9,000.
b Approved for future payment				
NONE				
		ļ		
				<u> </u>
<u></u>				
Total			<u>▶ 3b</u>	000 DE (2012)

Part XVI-A	Analysis of	Income-Producing	Activities

Enter gross amounts unless otherwise indicated.	Unrelate	ed business income		ded by section 512, 513, or 514	(e)
1 Program service revenue:	(a) Business code	(b) Amount	Exclu- sion code	(d) Amount	Related or exempt function income
	Cone				
a b	<u> </u>		\vdash		
· · · · · · · · · · · · · · · · · · ·			\vdash		· · · · · · · · · · · · · · · · · · ·
C					
d	-				
e					
f			\vdash		
g Fees and contracts from government agencies	-		\vdash		
2 Membership dues and assessments			\vdash		
3 Interest on savings and temporary cash			ا ، ، ا		
Investments			14	4.	
4 Dividends and interest from securities			14	4,506.	
5 Net rental income or (loss) from real estate:	<u> </u>				
a Debt-financed property					
b Not debt-financed property			ļ		
6 Net rental income or (loss) from personal					
property					
7 Other investment income					
8 Gain or (loss) from sales of assets other					
than inventory			18	827.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b			L		
c					
d					·
e					
12 Subtotal. Add columns (b), (d), and (e)		0.	L I	5,337.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	5,337.
(See worksheet in line 13 instructions to verify calculations.)					
Part XVI-B Relationship of Activities to	the Acco	omplishment of Ex	emp	t Purposes	
Line No. Explain below how each activity for which incom			contrib	uted importantly to the accom	plishment of
▼ the foundation's exempt purposes (other than t	by providing fu	nds for such purposes).			
		 			
					
					

Form 990-PF (Information Re	egarding Tran		ROTHBAUM and Transaction			73-146 Ships With Nonch		
	Exempt Organ								
1 Did the o	rganization directly or indi	rectly engage in any	of the following	ng with any other orga	inization	described in sec	tion 501(c) of		Yes No
the Code	(other than section 501(c))(3) organizations) o	r in section 52	27, relating to political	organiz	ations?		1	'.
a Transfers	from the reporting found	ation to a noncharita	ble exempt or	ganization of:					'
(1) Cast	1							1a(1)	<u>X</u> _
(2) Othe	r assets							1a(2)	<u> X</u>
b Other tra	nsactions								,\
(1) Sale:	s of assets to a noncharita	ible exempt organiza	tion					1b(1)	X
(2) Purc	hases of assets from a no	ncharitable exempt o	organization					1b(2)	X
(3) Rent	al of facilities, equipment,	or other assets						1b(3)	X
(4) Rein	nbursement arrangements	į						1b(4)	Х
(5) Loan	s or loan guarantees							1b(5)	Х
(6) Perfe	ormance of services or me	embership or fundrai	sing solicitatio	ons				1b(6)	Х
c Sharing o	of facilities, equipment, ma	uling lists, other asse	ets, or paid em	ployees				1c	X
-	wer to any of the above is	- '			uld alwa	ivs show the fair	market value of the goods	other ass	
	es given by the reporting to								
	d) the value of the goods,						, J J ,		
(a) Line no	(b) Amount involved			e exempt organization		(d) Descriptio	n of transfers, transactions, and	d sharing arr	angements
`	.,	(-/	N/A			, , , , , , , , , , , , , , , , , , ,			
			-11/11						
-		1							
	•								
									
									
						 			
		 							
							 		
		-				<u> </u>			
-						-			
		 		 		 			
							 		
									
		ļ	 						
	 	<u> </u>							
ın sectior	ndation directly or indirect i 501(c) of the Code (other omplete the following sch	r than section 501(c	•	•	organıza	tions described	[Yes	X No
<u> </u>	(a) Name of org			(b) Type of organiza	ation		(c) Description of relation	ship	
-	N/A			(0) 1),70 01 01 00			(0)		
	IV/A								
			<u> </u>						
									
									
Sign Here	r penalties of perjury, I declare elief, it is true, correct and con	Months Declaration of pr				tion of which prepare	r has any knowledge re	ay the IRS d turn with the nown below X Yes	preparer
Sig	nature of officer of trustee		, 1 -	Date		Title			
	Print/Type printparer's na	ame	Preparer's s	ignature	10	Date	Check if PTIN		
				11	l	,, ,	self- employed		
Paid	JAMES A. K	EEHN	man a	2. Kuka CP	A /	129/2014	PO	0451	320
Preparer	Firm's name ► HOG	ANTAYLOR	I/LP	1 -		, .,.	Firm's EIN ► 73-1	4139	77
Use Only			/						
	Firm's address ▶ 11	600 BROAL	WAY EX	TENSION,	SUIT	E 300			
		LAHOMA CI		73114	_		Phone no. (405)	848	-2020
									PF (2013)

IRENE M AND JULIAN J ROTHBAUM FOUNDATION

Part IV | Capital Gains and Losses for Tax on Investment Income 73-1463214 PAGE 1 OF (b) How acquired (c) Date acquired (d) Date sold (a) List and describe the kind(s) of property sold, e.g., real estate.

2-story bi	P - Purchase D - Donation	(mo., day, yr.)	(mo., day, yr.)			
1a 1115.835 SHS BLACKROCK HI YIELD BD PTF INST				VARIOUS	03/01/13	
b 10 SHS ISHARES MSCI EAFE FUND				05/08/12		
c 4 SHS ISHARES RUSSELL MIDCAP INDX FD				05/08/12	03/01/13	
d 5 SHS ISHARES RUSSELL 2000 INDEX FD				05/08/12		
e 18.411 SHS TEM	PLETON GLOBAL BD	FD ADV	P	VARIOUS		
1 CAPITAL GAINS	DIVIDENDS					
9				ļ		
h						
1						
_1						
k				ļ		
1						
<u>m</u>						
<u>n</u>						
0	(A) D			<u> </u>		
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale) Gain or (loss) olus (f) minus (g)		
a 9,116.		8,691.			425.	
b 577.		516.			61.	
<u>c</u> 487.		426.			61.	
d 449.		393.			<u>56.</u>	
e 247.		245.			2.	
<u>f</u> 222.					222.	
g						
h					 	
1						
						
<u>k</u>				·		
<u> </u>				_		
m n		-				
0						
	ng gain in column (h) and owned by t	the foundation on 12/31/69	(1) 05	sses (from col. (h))		
	(J) Adjusted basis	(k) Excess of col. (i)	Gains (excess of col. (h) gain over col. (k),			
(i) F M.V. as of 12/31/69	as of 12/31/69	over col. (j), if any	but not less than "-0-")			
a					425.	
b					61.	
С		_			61.	
d					56.	
e					2.	
f					222.	
g						
h						
1						
!	 -					
k	· · · · · · · · · · · · · · · · · · ·		 			
					 	
m -						
<u>n</u>						
0		· · · · · · · · · · · · · · · · · · ·			_	
2 Capital gain net income or (net ca	pital loss) { If gain, also enter If (loss), enter -0	ın Part I, line 7 ⊦"ın Part I, line 7	2		827.	
3 Net short-term capital gain or (los	ss) as defined in sections 1222(5) an	, I				
If gain, also enter in Part I, line 8,		}				
If (loss), enter "-0-" in Part I, line 8	J		3	<u> N/A</u>		

FORM 990-PF INTERES	T ON SAVIN	NGS AND TEM	PORARY	CASH IN	VESTMENTS	STATEMENT 1
SOURCE		(A REVE PER B	NUE		(B) VESTMENT ICOME	(C) ADJUSTED NET INCOME
MORGANSTANLEY SMITHBARNEY/CITIGROUMKTS	JP GLOBAL		4.		4.	
TOTAL TO PART I, LIN	IE 3		4.		4.	
FORM 990-PF	DIVIDENDS	S AND INTER	EST FRO	OM SECUR	ITIES	STATEMENT 2
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDEND	RI	(A) EVENUE R BOOKS	(B) NET INVES MENT INCO	
MORGANSTANLEY SMITHBARNEY HOLDINGS LLC MORGANSTANLEY	4,506.		0.	4,506.	4,50	6.
SMITHBARNEY/CITIGR UP GLOBAL MKTS	222.	. 22	2.	0.		0.
TO PART I, LINE 4	4,728.	22	2. ====================================	4,506.	4,50	6.
FORM 990-PF		ACCOUNTI	NG FEE	5		STATEMENT 3
DESCRIPTION		(A) EXPENSES PER BOOKS	NET II	3) NVEST- INCOME	(C) ADJUSTED NET INCOM	
ACCOUNTING FEES	_	1,000.		0.		1,000.
TO FORM 990-PF, PG 1	., LN 16B	1,000.		0.	· · · · · · ·	1,000.

FORM 990-PF (THER PROFES	SIONAL FEES		STATEMENT 4		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOM	CHARITABLE		
INVESTMENT ADVISOR FEES	1,542.	1,542	•	0.		
TO FORM 990-PF, PG 1, LN 16C	1,542.	1,542	•	0.		
FORM 990-PF	TAX	ES		STATEMENT 5		
DESCRIPTION			ADJUSTED	(D) CHARITABLE E PURPOSES		
OKLAHOMA REGISTRATION FEES FOREIGN TAXES	15. 98.			0.		
TO FORM 990-PF, PG 1, LN 18	113.	113	•	0.		
FORM 990-PF	OTHER E	XPENSES		STATEMENT 6		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME				
SUPPLIES	45.	45	•	0.		
TO FORM 990-PF, PG 1, LN 23	45.	45	•	0.		
FORM 990-PF	CORPORAT	E STOCK		STATEMENT 7		
DESCRIPTION		В	OOK VALUE	FAIR MARKET VALUE		
372 SHS ISHARES MSCI EAFE FUN 441 SHS ISHARES RUSSELL 1000 120 SHS ISHARES RUSSELL 2000 88 SHS ISHARES RUSSELL MIDCAR 247 SHS VANGUARD MSCI EMERGIN 2,317.417 SHS ALLIANZ NFJ DIV 1,099.469 SHS BLACKROCK HI YI	GR INDEX INDEX FD INDEX FD IG MARKETS IDEND VALUE		19,191. 28,332. 9,432. 9,380. 10,144. 27,994.	24,959. 37,904. 13,843. 13,198. 10,162. 37,056.		

IRENE M AND JULIAN J ROTHBAUM FOUNDATION		73-1463214
2,198.831 SHS METROPOLITAN WEST TOT RET BD 1,104.959 SHS TEMPLETON GLOBAL BD FD ADV 2,183.744 SHS VANGUARD SH TM INVT GR INV	23,432. 14,323. 23,529.	23,198. 14,464. 23,366.
TOTAL TO FORM 990-PF, PART II, LINE 10B	165,757.	198,150.