



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Open to Public Inspection

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation Alpha Phi/Lucy Finnerty Educational Fund		A Employer identification number 73-1440348
Number and street (or P O box number if mail is not delivered to street address) 3604 Bob White Ave.	Room/suite	B Telephone number (see instructions) (405)360-2485
City or town, state or province, country, and ZIP or foreign postal code Norman, OK 73072		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	1,138	1,138		
4 Dividends and interest from securities	17,423	17,423		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	23,733			
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)		23,733		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	218		218	
12 Total. Add lines 1 through 11	42,512	42,294	218	
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	1,350	1,350		
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions)	15	15		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	165	165		
24 Total operating and administrative expenses. Add lines 13 through 23	1,530	1,530		
25 Contributions, gifts, grants paid				
26 Total expenses and disbursements. Add lines 24 and 25	1,530	1,530		
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	40,982			
b Net investment income (if negative, enter -0-)		40,764		
c Adjusted net income (if negative, enter -0-)			218	

g14

18

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	8,762	7,544	7,544
	2 Savings and temporary cash investments	199,490	356,070	356,070
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	459,839	341,106	437,068
	c Investments—corporate bonds (attach schedule)		29,683	7,104
	11 Investments—land, buildings, and equipment: basis ▶			
Less: accumulated depreciation (attach schedule) ▶				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)				
14 Land, buildings, and equipment, basis ▶				
Less: accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	668,091	734,403	807,785	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	303,820	377,110	
	25 Temporarily restricted	338,869	331,891	
	26 Permanently restricted	25,402	25,402	
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	668,091	734,403	
	31 Total liabilities and net assets/fund balances (see instructions)	668,091	734,403	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	668,091
2 Enter amount from Part I, line 27a	2	40,982
3 Other increases not included in line 2 (itemize) ▶ <u>Correction to Market Value of Bonds</u>	3	25,330
4 Add lines 1, 2, and 3	4	
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	734,403

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Public Traded Securities					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 155,004		130,468	24,536		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	23,733	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	11,000	668,091	.016465
2011	0	660,404	.000000
2010	7,000	638,081	.010970
2009	7,400	590,944	.012012
2008	9,000	604,708	.014883
2 Total of line 1, column (d)			2 .05433
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .010866
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 734,403
5 Multiply line 4 by line 3			5 8,671
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 408
7 Add lines 5 and 6			7 9,079
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 0

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		815
3	Add lines 1 and 2	3		
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		815
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a		269
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		269
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		546
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ Oklahoma		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	
Website address ▶				
14	The books are in care of ▶ Anne Pfenning	Telephone no. ▶	(405)360-2485	
	Located at ▶ 3604 Bob White Ave. Norman, OK	ZIP+4 ▶	73072	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here. ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	
	Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	✓
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Anne Pfenning				
3604 Bob White Ave. Norman, OK 73072	President 1 hr/wk	0		
Janis Kelly				
3604 Bob White Ave Norman, OK 73072	Secretary 1 hr/wk	0		
Johnniemargaret McConnel				
Michele Tabor	Directors 1 hr/wk each	0		
Karen Tipton				
Diana Hartley and Kim Claxton	Directors 1 hr/wk each	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000 ☐ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see instructions)	1c	734,403
d	Total (add lines 1a, b, and c)	1d	734,403
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	734,403
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	11,016
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	723,387
6	Minimum investment return. Enter 5% of line 5	6	36,170

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	36,170
2a	Tax on investment income for 2013 from Part VI, line 5	2a	815
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	815
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	35,355
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	35,355

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	0
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	0

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				35,355
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			21,706	
b Total for prior years: 20 <u>09</u> , 20 <u>10</u> , 20 <u>11</u>		81,287		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ <u>0</u>				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		81,287		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions		81,287		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions			21,706	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				35,355
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test—enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
Total			▶ 3a	None
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

Line No.

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|----------|--|--------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | ✓ |
| | (2) Other assets | 1a(2) | ✓ |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | ✓ |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | ✓ |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | ✓ |
| | (4) Reimbursement arrangements | 1b(4) | ✓ |
| | (5) Loans or loan guarantees | 1b(5) | ✓ |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | ✓ |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | ✓ |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ **Yes** ☐ **No**

**Paid
Preparer
Use Only**

Print/Type preparer's name _____

William Osborn

Preparer's signature

parer's signature
William Ischen

Date	
------	--

Date
11/14/14

Check ☐ if self-employed

PTIN

P01248183

Firm's name ► **Wm Osborn & Associates, PC**

Firm's EIN ▶ 73-1464075

Firm's address ► 101 E. Gray, Ste A Norman, OK 73069

Phone no (405)364-0001

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

**Open to Public
Inspection**

Name of the organization

Alpha Phi/Lucy Finnerty Educational Fund

Employer identification number

73-1440348

Part I, Line 11 Other Income: Royalties - Western Oil & Gas Development Corp. \$218

Part I, Line 16b Accounting Fees: Tax Return Preparation Fees - Wm Osborn & Assoc. PC \$1,350

Part I, Line 18 Taxes: Royalties - Severance Tax \$15

Part I, Line 23 Other Expenses: Bank Service Charges \$95, Postage \$70

Part II, Line 10b Investments - corporate stock: Morgan Stanley - various stocks \$341,106 (Attachment 1)

Part II, Line 10c Investments - corporate bonds: Morgan Stanley - various bonds \$29,683 (Attachment 1)

Part III, Line 3 Other Increases - Correction to corporate bonds for prior understatement, Fund determination on write down of

General Motors bonds not complete

Part IV, Line 1a Public Traded Securities (Attachment 2)

Account Detail

Basic Securities Account
073-107565-050
ALPHA PHI LUCY FINNERTY
EDUCATIONAL FUND

STOCKS

COMMON STOCKS

Morgan Stanley & Co. LLC (Morgan Stanley) and Standard & Poor's equity research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the applicable research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. For ease of comparison, Morgan Stanley and Standard & Poor's equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell). Please refer to the quarter month-end statement for your first statement, if you have not yet received a statement at the quarter-end for a summary guide describing Morgan Stanley and Standard & Poor's ratings. Morgan Stanley Smith Barney LLC does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of research prepared for Standard & Poor's.

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ACCO BRANDS CORP (ACCO)	1/10/96	65,000	\$8.937	\$580.93	\$436.80	\$(144.13) LT	—	—
Share Price: \$6.720								
ALCOA INC (AA)	2/23/05	100,000	31.741	3,174.12	1,063.00	(2,111.12) LT	—	—
	7/15/05	100,000	28.209	2,820.91	1,063.00	(1,757.91) LT	—	—
Total		200,000		5,995.03	2,126.00	(3,869.03) LT	24.00	1.12
Share Price: \$10.630; Rating: Morgan Stanley: 2, S&P: 2; Next Dividend Payable 02/2014								
ALTRIA GROUP INC (MO)	8/25/04	100,000	11.535	1,153.51	3,839.00	2,685.49 LT	—	—
	4/22/09	100,000	17.869	1,786.86	3,839.00	2,052.14 LT	—	—
	10/8/09	200,000	18.388	3,677.68	7,678.00	4,000.32 LT	—	—
Total		400,000		6,618.05	15,356.00	8,737.95 LT	768.00	5.00
Share Price: \$38.390; Rating: Morgan Stanley: 2, S&P: 1; Next Dividend Payable 01/10/14								
AMEREN CORP (HLDG CO) (AEE)	2/8/99	200,000	38.543	7,708.54	7,232.00	(476.54) LT	—	—
	5/4/99	300,000	39.765	11,929.42	10,848.00	(1,081.42) LT	—	—
	10/20/04	100,000	47.059	4,705.88	3,616.00	(1,089.88) LT	—	—
Total		600,000		24,343.84	21,696.00	(2,647.84) LT	960.00	4.42
Share Price: \$36.160; Rating: Morgan Stanley: 1, S&P: 2; Next Dividend Payable 03/2014								
AMERICAN CAPITAL LTD (ACAS)	7/15/04	200,000	29.951	5,990.19	3,128.00	(2,862.19) LT	—	—
	5/4/06	100,000	34.090	3,408.95	1,564.00	(1,844.95) LT	—	—
	6/22/09	137,000	3.220	441.14	2,142.68	1,701.54 LT	—	—
Total		437,000		9,840.28	6,834.68	(3,005.60) LT	—	—
Share Price: \$15.640								
AT&T INC (T)	7/24/09	200,000	26.145	5,229.02	7,032.00	1,802.98 LT	—	—
	8/13/09	100,000	26.033	2,603.34	3,516.00	912.66 LT	—	—
	1/29/10	200,000	26.346	5,269.23	7,032.00	1,762.77 LT	—	—
Total		500,000		13,101.59	17,580.00	4,478.41 LT	920.00	5.23
Share Price: \$35.160; Rating: Morgan Stanley: 2, S&P: 2; Next Dividend Payable 02/2014								
BANK OF AMERICA CORP (BAC)	12/13/07	100,000	44.087	4,408.71	1,557.00	(2,851.71) LT	—	—
	12/11/08	100,000	16.493	1,649.31	1,557.00	(92.31) LT	—	—
	1/7/09	200,000	14.535	2,907.00	3,114.00	207.00 LT	—	—
Total		400,000		8,965.02	6,228.00	(2,737.02) LT	16.00	0.25
Share Price: \$15.570; Rating: Morgan Stanley: 1, S&P: 2; Next Dividend Payable 03/2014								

Basic Securities Account
073-107565-050

ALPHA PHI LUCY FINNERTY
EDUCATIONAL FUND

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
CONOCOPHILLIPS (COP)								
	10/24/06	100,000	47.086	4,708.56	7,065.00	2,356.44 LT		
	11/16/06	200,000	50.466	10,093.28	14,130.00	4,036.72 LT		
	9/10/10	200,000	43.180	8,635.94	14,130.00	5,494.06 LT		
Total		500,000		23,437.78	35,325.00	11,887.22 LT	1,380.00	3.90
Share Price: \$70.650; Rating: Morgan Stanley: 3, S&P: 1; Next Dividend Payable 03/2014								
CONS EDISON INC (HLDG CO) (ED)								
	8/16/07	100,000	45.469	4,546.93	5,528.00	981.07 LT		
	8/5/08	100,000	41.541	4,154.12	5,528.00	1,373.88 LT		
Total		200,000		8,701.05	11,056.00	2,354.95 LT	492.00	4.45
Share Price: \$55.280; Rating: Morgan Stanley: 3, S&P: 1; Next Dividend Payable 03/2014								
COVIDIEN PLC (COV)								
	3/21/96	23,250	48.659	1,131.33	1,583.32	451.99 LT		
	9/22/03	26,750	25.852	691.55	1,821.67	1,130.12 LT		
Total		50,000		1,822.88	3,405.00	1,582.11 LT	64.00	1.87
Share Price: \$68.100; Rating: Morgan Stanley: 1, S&P: 1; Next Dividend Payable 02/2014								
DOMINION RES INC (NEW) (D)								
	8/8/97	400,000	18.572	7,428.70	25,876.00	18,447.30 LT	900.00	3.47
Share Price: \$64.690; Rating: Morgan Stanley: 2, S&P: 2; Next Dividend Payable 03/2014								
DOW CHEMICAL CO (DOW)								
	10/30/08	100,000	26.198	2,619.78	4,440.00	1,820.22 LT	128.00	2.88
Share Price: \$44.400; Rating: Morgan Stanley: 2, S&P: 2; Next Dividend Payable 01/30/14								
DU PONT EI DE NEMOURS & CO (DD)								
	2/10/09	100,000	25.650	2,565.00	6,497.00	3,932.00 LT	180.00	2.77
Share Price: \$64.970; Rating: Morgan Stanley: 2, S&P: 2; Next Dividend Payable 03/2014								
ENBRIDGE ENERGY PTNRS LP (EEP)								
	1/18/06	200,000	23.534	4,706.88	5,974.00	1,267.12 LT		
	11/16/06	200,000	25.485	5,096.93	5,974.00	877.07 LT		
	4/27/11	400,000	34.770	13,907.81	11,948.00	(1,959.81) LT		
Total		800,000		23,711.62	23,896.00	184.38 LT	1,739.20	7.27
Share Price: \$29.870; Rating: Morgan Stanley: 3, S&P: 2; Next Dividend Payable 02/2014								
GENERAL ELECTRIC CO (GE)								
	7/28/04	200,000	34.090	6,818.00	5,606.00	(1,212.00) LT		
	3/28/07	200,000	36.322	7,264.48	5,606.00	(1,658.48) LT		
Total		400,000		14,082.48	11,212.00	(2,870.48) LT	352.00	3.13
Share Price: \$28.030; Rating: Morgan Stanley: 2, S&P: 1; Next Dividend Payable 01/27/14								
GENERAL MOTORS CO WTS 071016 (GM ^{TA})	12/23/13	5,000	—	Pending Corp. Action	155.70	N/A	—	—
Share Price: \$31.140								
GENERAL MOTORS CO WTS 071019 (GM ^{TB})	12/23/13	5,000	—	Pending Corp. Action	115.60	N/A	—	—
Share Price: \$23.120								
GENERAL MTRS CO (GM)	12/23/13	5,000	—	Pending Corp. Action	204.35	N/A	—	—
Share Price: \$40.870; Rating: Morgan Stanley: 1, S&P: 2								

CLIENT STATEMENT | For the Period December 1-31, 2013

Basic Securities Account
073-107565-050ALPHA PHI LUCY FINNERTY
EDUCATIONAL FUND

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
INTERNATIONAL PAPER CO (IP)								
	1/9/96	100,000	39.426	3,942.60	4,903.00	960.40 LT		
	6/14/05	100,000	34.464	3,446.37	4,903.00	1,456.63 LT		
	7/15/05	100,000	31.539	3,153.87	4,903.00	1,749.13 LT		
Total		300,000		10,542.84	14,709.00	4,166.16 LT	420.00	2.85
Share Price: \$49.030; Rating: S&P: 1, Next Dividend Payable 03/2014								
JPMORGAN CHASE & CO (JPM)								
	6/14/05	200,000	36.286	7,257.25	11,696.00	4,438.75 LT	304.00	2.59
Share Price: \$58.480; Rating: Morgan Stanley: 1, S&P: 1; Next Dividend Payable 01/2014								
KKR & CO LP (KKR)								
	11/27/13	200,000	24.628	4,925.67	4,868.00	(57.67) ST	324.00	6.65
Share Price: \$24.340; Rating: Morgan Stanley: 1, S&P: 2; Next Dividend Payable 02/2014								
KRAFT FOODS GROUP INC COM (KRFT)								
	8/25/04	23,000	18.009	414.21	1,239.93	825.72 LT	48.30	3.89
Share Price: \$53.910; Rating: Morgan Stanley: 1, S&P: 2, Next Dividend Payable 01/17/14								
LORILLARD INC (LO)								
	4/3/13	300,000	41.457	12,437.17	15,204.00	2,766.83 ST	660.00	4.34
Share Price: \$50.680; Rating: Morgan Stanley: 2, S&P: 1, Next Dividend Payable 03/2014								
MALLINCKRODT PUBLIC LTD CO (MNK)								
	3/21/96	2,790	35.505	99.06	145.81	46.75 LT		
	9/22/03	3,210	20.639	66.25	167.75	101.50 LT		
Total		6,000		165.31	313.56	148.25 LT	—	—
Share Price: \$52.260								
MERCK & CO INC NEW COM (MRK)								
	7/24/09	100,000	31.597	3,159.74	5,005.00	1,845.26 LT		
	8/13/09	200,000	30.884	6,176.74	10,010.00	3,833.26 LT		
Total		300,000		9,336.48	15,015.00	5,678.52 LT	528.00	3.51
Share Price: \$50.050; Rating: Morgan Stanley: 3, S&P: 1; Next Dividend Payable 01/08/14								
MONDELEZ INTL INC COM (MDLZ)								
	8/25/04	69,000	11.104	766.20	2,435.70	1,669.50 LT	38.64	1.58
Share Price: \$35.300; Rating: Morgan Stanley: 1, S&P: 3, Next Dividend Payable 01/14/14								
MOTORS LIQ CO GUC TR UBI (MTLQU)								
	6/12/12	10,000	0.000	0.00	321.50	321.50 LT 2		
	6/12/12	17,000	0.000	0.00	546.55	546.55 LT 2		
Total		27,000		0.00	868.05	868.05 LT	—	—
Share Price: \$32.150								
OGE ENERGY CORPORATION (OGE)								
	8/16/07	200,000	15.491	3,098.18	6,780.00	3,681.82 LT		
	12/29/10	400,000	23.325	9,329.90	13,560.00	4,230.10 LT		
Total		600,000		12,428.08	20,340.00	7,911.92 LT	540.00	2.65
Share Price: \$33.900, Next Dividend Payable 01/2014								
PFIZER INC (PFE)								
	8/5/08	200,000	20.213	4,042.50	6,126.00	2,083.50 LT	208.00	3.39
Share Price: \$30.630; Rating: Morgan Stanley: 1, S&P: 1; Next Dividend Payable 03/2014								
PHILIP MORRIS INTL INC (PM)								
	8/25/04	100,000	26.285	2,628.50	8,713.00	6,084.50 LT		
	11/12/09	200,000	50.845	10,168.93	17,426.00	7,257.07 LT		



Morgan Stanley

Account Detail

Basic Securities Account
073-107565-050

ALPHA PHI LUCY FINNERTY
EDUCATIONAL FUND

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Share Price: \$87.130; Rating: Morgan Stanley: 1, S&P: 1; Next Dividend Payable 01/10/14								
PHILLIPS 66 COM (PSX)	10/24/06	50,000	27.986	1,399.32	3,856.50	2,457.18 LT		
	11/16/06	100,000	29.996	2,999.57	7,713.00	4,713.43 LT		
	9/10/10	100,000	25.665	2,566.47	7,713.00	5,146.53 LT		
Total		250,000		6,965.36	19,282.50	12,317.14 LT	390.00	2.02
Share Price: \$77.130; Rating: Morgan Stanley: 1, S&P: 1; Next Dividend Payable 03/2014								
PUBLIC SERVICE ENTERPRISE GP (PEG)	8/8/97	400,000	12.458	4,983.19	12,816.00	7,832.81 LT		
	2/23/05	200,000	26.669	5,333.88	6,408.00	1,074.12 LT		
Total		600,000		10,317.07	19,224.00	8,906.93 LT	864.00	4.49
Share Price: \$32.040; Rating: Morgan Stanley: 3, S&P: 2; Next Dividend Payable 03/2014								
SPECTRA ENERGY CORP COM (SE)	11/21/05	156,000	22.534	3,515.31	5,556.72	2,041.41 LT	190.32	3.42
Share Price: \$35.620; Rating: Morgan Stanley: 1, S&P: 1; Next Dividend Payable 03/2014								
SUBN PROPANE PTNRS LP (SPH)	2/28/12	200,000	45.382	9,076.46	9,380.00	303.54 LT	700.00	7.46
Share Price: \$46.900; Rating: S&P: 2; Next Dividend Payable 02/2014								
SYSCO CORP (SYN)	8/22/11	200,000	27.688	5,537.57	7,220.00	1,682.43 LT	232.00	3.21
Share Price: \$36.100; Rating: S&P: 1; Next Dividend Payable 01/24/14								
TE CONNECTIVITY LTD NEW (TEL)	3/21/96	23,250	49.089	1,141.31	1,281.31	140.00 LT		
	9/22/03	26,750	26.080	697.65	1,474.19	776.54 LT		
Total		50,000		1,838.96	2,755.50	916.54 LT	50.00	1.81
Share Price: \$55.110; Rating: S&P: 2; Next Dividend Payable 03/2014								
THE ADT CORPORATION COM (ADT)	3/21/96	11,625	42.105	489.47	470.46	(19.01) LT R		
	9/22/03	13,375	22.135	296.06	541.29	245.23 LT R		
Total		25,000		785.53	1,011.75	226.22 LT	12.50	1.23
Share Price: \$40.470; Rating: Morgan Stanley: 2, S&P: 2; Next Dividend Payable 02/2014								
THORNBURG MORTGAGE ASSET CORP (THMZZ)	1/28/05	40,000	283.531	11,341.23	N/A	N/A LT		
	1/17/08	20,000	86.678	1,733.56	N/A	N/A LT		
Total		60,000		13,074.79	N/A			
Share Price: N/A								
TYCO INTERNATIONAL LTD NEW (TYC)	3/21/96	23,250	32.575	757.36	954.18	196.82 LT		
	9/22/03	26,750	17.307	462.95	1,097.82	634.87 LT		
Total		50,000		1,220.31	2,052.00	831.69 LT	32.00	1.55
Share Price: \$41.040; Rating: Morgan Stanley: 1, S&P: 3; Next Dividend Payable 02/2014								
WELLS FARGO & CO NEW (WFC)	12/13/07	19,000	206.772	3,928.67	862.60	(3,066.07) LT	22.80	2.64
Share Price: \$45.400; Rating: Morgan Stanley: 2, S&P: 1; Next Dividend Payable 03/2014								

Morgan Stanley

Basic Securities Account
073-107565-050

ALPHA PHI LUCY FINNERTY
EDUCATIONAL FUND

Account Detail

STOCKS	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
	47.3%	\$285,187.20	\$378,739.44	\$103,442.21 LT \$2,709.16 ST	\$14,615.76 \$0.00	3.86%

EXCHANGE-TRADED & CLOSED-END FUNDS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
BLACKROCK MUNIHLNGS QLT II (MUE)	3/9/11	800.000	\$12.208	\$9,766.49	\$9,672.00	\$(94.49) LT	\$676.80	6.99
Share Price: \$12.090; Next Dividend Payable 01/2014								
NUVEEN EQTY PRE ADV FD (JLA)	7/20/10	300.000	11.241	3,372.31	3,791.99	419.68 LT R		
	10/18/10	1,000.000	12.021	12,021.09	12,639.99	618.90 LT R		
	10/18/10	946.000	12.035	11,385.57	11,957.43	571.86 LT R		
Purchases		2,246.000		26,778.97	28,389.41	1,610.44 LT		
Long Term Reinvestments		487.582		5,598.51	6,163.03	564.52 LT		
Short Term Reinvestments		261.981		3,215.67	3,311.43	95.76 ST		
Total		2,995.563		35,593.15	37,863.91	2,174.96 LT 95.76 ST	3,402.96	8.98

Share Price: \$12.640; Next Dividend Payable 03/2014

NUVEEN EQTY PREMIUM INCM FD (JPZ)	2/28/12	500.000	11.788	5,893.77	6,274.99	381.22 LT R		
Purchases		500.000		5,893.77	6,274.99	381.22 LT		
Long Term Reinvestments		33.938		401.50	425.92	24.42 LT		
Short Term Reinvestments		48.297		598.24	606.12	7.88 ST		
Total		582.235		6,893.51	7,307.04	405.64 LT 7.88 ST	631.14	8.63

Share Price: \$12.550; Next Dividend Payable 03/2014

ZWEIG TTL RTN FD INC (ZTR)	12/29/10	250.000	14.662	3,665.48	3,485.00	(180.48) LT	267.00	7.56
Share Price: \$13.940; Next Dividend Payable 01/09/14								

EXCHANGE-TRADED & CLOSED-END FUNDS

Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
7.3%	\$55,918.63	\$58,327.95	\$2,305.63 LT 103.64 ST	\$4,977.90 \$0.00	8.53%

CLIENT STATEMENT | For the Period December 1-31, 2013

Page 11 of 22

Morgan Stanley

Basic Securities Account
073-107565-050
ALPHA PHI LUCY FINNERTY
EDUCATIONAL FUND

Account Detail

CORPORATE FIXED INCOME
FIXED-RATE CAPITAL SECURITIES

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
MORGAN STANLEY IV 6.250 EXTENDABLE TO 4/01/2052 (MWG)	6/23/10	200,000	\$21.941	\$4,388.16				
			\$21.941	\$4,388.16	\$4,736.00	\$347.84 LT		
	10/5/11	100,000	20.523	2,052.25				
			20.523	2,052.25	2,368.00	315.75 LT		
Total		300,000		6,440.41			468.75	6.59
				6,440.41	7,104.00	663.59 LT	—	
Unit Price: \$23.680; Coupon Rate 6.250%, Matures 04/01/2033; Interest Paid Quarterly Jan 02; Callable \$25.00 on 01/31/14, Moody BAA1 S&P BB+								
GENERAL MOTORS CORP ESCROW	—	700,000	—	Please Provide	N/A	N/A	—	—
			—	Please Provide			—	—
Unit Price: N/A; Coupon Rate 7.375%; Matures 05/15/2048; Interest Paid at Maturity								
GENERAL MOTORS CORP ESCROW	—	400,000	—	Please Provide	N/A	N/A	—	—
			—	Please Provide			—	—
Unit Price: N/A; Coupon Rate 7.250%; Matures 02/15/2052; Interest Paid at Maturity								
		Face Value	Percentage of Assets %	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
CORPORATE FIXED INCOME		—	0.9%	\$6,440.41	\$7,104.00	\$663.59 LT	\$468.75	6.60%
				\$6,440.41			\$0.00	
TOTAL MARKET VALUE		Percentage of Assets %		Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
		100.0%		\$347,546.24	\$800,241.52	\$106,411.43 LT	\$20,097.86	2.51%
						\$2,812.80 ST	\$0.00	
TOTAL VALUE (includes accrued interest)					\$800,241.52			

2 - You, or a third party, have provided the transaction details for this position.

R - The cost basis for this tax lot was adjusted due to a reclassification of income.

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

Alpha Phi/Lucy Finnerly Educational Fund
 EIN#: 73-1440348

2013 Form 990-PF Attachment 2

2013 Morgan Stanley Acct 604-20249-16 017

Sales	Units	Sales date	Cost Date	Sales Amt	Cost Amt	Short Term		Long Term		Net
						Gain	Loss	Gain	Loss	
Columbia Real Estate EQZ	8 217	4/13/2013	3/20/2012	126.14	116.53			9.61		9.61
General Motors Co		12/20/2013	6/30/2006	39.30	0 00			39.30		39.30
American Elec Power	500	5/15/2013	11/6/2002	24,336.40	19,099.49			5,236.91		5,236.91
Bristol Myers Squibb Co	500	4/3/2013	8/5/2008	20,111.52	11,001.14			9,110.38		9,110.38
Columbia Real Estate EQZ	230.873	4/3/2013	3/23/2012	3,543.89	4,286.77				(742.88)	(742.88)
Exelon Corp	400	10/17/2013	12/3/1997	11,262.74	5,072.89			6,189.85		6,189.85
General Motors Co	96	11/27/2013	6/30/2006	2,680.33	7,405.43				(4,725.10)	(4,725.10)
General Motors Co	96	11/27/2013	6/30/2006	1,907.54	5,745.22				(3,837.68)	(3,837.68)
General Motors Co	107	11/27/2013	6/30/2006	4,001.56	11,121.50				(7,119.94)	(7,119.94)
Intel Corp	400	5/14/2013	1/8/1996	9,358.55	5,847.93			3,510.62		3,510.62
Mallinckrodt Public Ltd Co	0 25	6/28/2013	3/21/1996	10 51	9 32			1.19		1.19
Meadwestvaco Corp	200	7/17/2013	1/10/1996	7,053.65	4,849.47			2,204.18		2,204.18
NV Energy Inc Com	200	12/20/2013	5/8/1998	4,750.00	4,819.47				(69.47)	(69.47)
Pentair Ltd	11	7/17/2013	9/22/2003	588.47	388.08			200.39		200.39
Plum Creek Timber REI	137	4/3/2013	3/21/1996	6,833.15	1,962.43			4,870.72		4,870.72
Wells Fargo CAP 5625	1000	2/4/2013	6/23/2010	25,000.00	23,388.36			1,611.64		1,611.64
Wells Fargo VII	500	2/4/2013	8/5/2008	12,500.00	10,900.61			1,599.39		1,599.39
Whirlpool Corp	200	1/28/2013	9/10/2010	20,900.53	15,256.61			5,643.92		5,643.92
				155,004.28	131,271.25	0 00	0.00	40,228.10	(16,495.07)	23,733.03