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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

2013

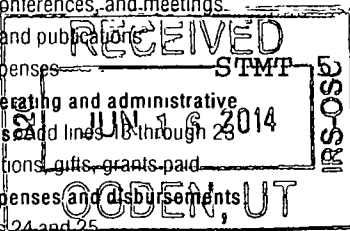
Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation CAHN FAMILY FOUNDATION C/O MR. JAMES CAHN		A Employer identification number 72-6020106
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 52005	Room/suite	B Telephone number 504 525 6101
City or town, state or province, country, and ZIP or foreign postal code NEW ORLEANS, LA 70152		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 6,212,589.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	205,188.		N/A	
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	141,733.	141,733.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	448,588.			
	b Gross sales price for all assets on line 6a	3,694,859.			
	7 Capital gain net income (from Part IV, line 2)		448,588.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	795,509.	590,321.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 2	5,980.	5,980.	0.
	c Other professional fees	STMT 3	46,263.	46,263.	0.
	17 Interest				
	18 Taxes	STMT 4	9,627.	9,627.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses		<5,370.>	0.	0.
	24 Total operating and administrative expenses. Add lines 13 through 23		56,500.	61,870.	0.
	25 Contributions, gifts, grants paid		253,000.		253,000.
26 Total expenses and disbursements. Add lines 24 and 25		309,500.	61,870.	253,000.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	486,009.				
b Net investment income (if negative, enter -0-)		528,451.			
c Adjusted net income (if negative, enter -0-)			N/A		

SCANNED JUN 17 2014



CAHN FAMILY FOUNDATION
C/O MR. JAMES CAHN

Form 990-PF (2013)

72-6020106 Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		10,006.	4,862.	4,862.
	2	Savings and temporary cash investments		615,364.	533,093.	533,093.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		7,960.	7,080.	7,080.
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 6	2,582,136.	3,838,232.	4,791,707.
	c	Investments - corporate bonds	STMT 7	1,081,270.	866,007.	875,847.
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other	STMT 8	466,529.	0.	0.	
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		4,763,265.	5,249,274.	6,212,589.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds		719,628.	719,628.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund		0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds		4,043,637.	4,529,646.		
30	Total net assets or fund balances		4,763,265.	5,249,274.		
31	Total liabilities and net assets/fund balances		4,763,265.	5,249,274.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,763,265.
2	Enter amount from Part I, line 27a	2	486,009.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	5,249,274.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,249,274.

CAHN FAMILY FOUNDATION

Form 990-PF (2013)

C/O MR. JAMES CAHN

72-6020106

Page 3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 3,694,859.		3,246,271.	448,588.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			448,588.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 } 2 448,588.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	229,000.	4,735,094.	.048362
2011	220,000.	4,579,301.	.048042
2010	212,650.	4,260,226.	.049915
2009	242,851.	3,997,517.	.060750
2008	239,121.	4,903,652.	.048764

2 Total of line 1, column (d)	2 .255833
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3 .051167
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4 5,569,140.
5 Multiply line 4 by line 3	5 284,956.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 5,285.
7 Add lines 5 and 6	7 290,241.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8 253,000.

CAHN FAMILY FOUNDATION

Form 990-PF (2013)

C/O MR. JAMES CAHN

72-6020106

Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	10,569.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	10,569.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	10,569.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	7,080.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	6,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	13,080.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,511.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ 2,511. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ LA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

N/A

STMT 9

Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>JAMES CAHN</u> Telephone no ► <u>504-525-2028</u> Located at ► <u>901 TCHOUPITOULAS ST, NEW ORLEANS, LA</u> ZIP+4 ► <u>70130</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception - Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐ N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? ☐ Yes ☒ No	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) ☐ Yes ☒ No	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) ☐ Yes ☒ No	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ADELE CAHN	DIRECTOR			
901 TCHOUPITOULAS STREET				
NEW ORLEANS, LA 70130	0.00	0.	0.	0.
JAMES L. CAHN	DIRECTOR			
901 TCHOUPITOULAS STREET				
NEW ORLEANS, LA 70130	0.00	0.	0.	0.
RICHARD M. CAHN	DIRECTOR			
901 TCHOUPITOULAS STREET				
NEW ORLEANS, LA 70130	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2013)

CAHN FAMILY FOUNDATION

Form 990-PF (2013)

C/O MR. JAMES CAHN

72-6020106

Page 7

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	

All other program-related investments. See instructions.

3		

Total. Add lines 1 through 3

0.

Form 990-PF (2013)

CAHN FAMILY FOUNDATION
C/O MR. JAMES CAHN

Form 990-PF (2013)

72-6020106 Page 8

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	4,975,365.
b Average of monthly cash balances	1b	678,584.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	5,653,949.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	5,653,949.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	84,809.
5 Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,569,140.
6 Minimum investment return Enter 5% of line 5	6	278,457.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	278,457.
2a Tax on investment income for 2013 from Part VI, line 5	2a	10,569.
b Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	10,569.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	267,888.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	267,888.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	267,888.

Part XII

Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	253,000.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	253,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions Subtract line 5 from line 4	6	253,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

CAHN FAMILY FOUNDATION
C/O MR. JAMES CAHN

Form 990-PF (2013)

72-6020106 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				267,888.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			67,416.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 253,000.				
a Applied to 2012, but not more than line 2a			67,416.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				185,584.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				82,304.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

N/A

- ▶

☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income

[illegible]

2013.03040 CAHN FAMILY FOUNDATION C/O 20302 1

CAHN FAMILY FOUNDATION

Form 990-PF (2013)

C/O MR. JAMES CAHN

72-6020106 Page 11

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AGENDA FOR CHILDREN			2013 FUND DRIVE	3,000.
ANTI DEFAMATION LEAGUE			2013 FUND DRIVE	5,000.
AVODAH			2013 FUND DRIVE	3,000.
CAMERA			2013 FUND DRIVE	2,000.
CASA NEW ORLEANS			2013 FUND DRIVE	3,000.
Total	SEE CONTINUATION SHEET(S)			253,000.
b Approved for future payment				
NONE				
Total				0.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

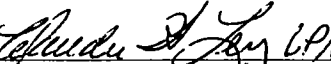
[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No		
	Signature 		Date <u>6/10/14</u>	Title DIRECTOR			
Paid Preparer Use Only	Print/Type preparer's name CLAUDIA H. LEVY, CPA		Preparer's signature 		Date <u>06/05/14</u>	Check ☐ if self-employed	PTIN P00244065
	Firm's name ▶ CARRIGEE MOORE LEVY & FLYNN LLP					Firm's EIN ▶ 72-1404739	
	Firm's address ▶ 3300 WEST ESPLANADE AVE, SUITE 213 METAIRIE, LA 70002-7406					Phone no. (504)833-3106	

Form **990-PF** (2013)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NB 541-18483	P	VARIOUS	VARIOUS
b	NB 541-18483	P	VARIOUS	VARIOUS
c	NB 541-27337	P	VARIOUS	VARIOUS
d	NB 541-27337	P	VARIOUS	VARIOUS
e	NB 541-28365	P	VARIOUS	VARIOUS
f	NB 541-28365	P	VARIOUS	VARIOUS
g	NB 541-29413	P	VARIOUS	VARIOUS
h	NB 541-21122	P	VARIOUS	VARIOUS
i	NB 541-21122	P	VARIOUS	VARIOUS
j	CHARLES SCHWAB	P	VARIOUS	VARIOUS
k	CAPITAL GAINS DIVIDENDS			
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	73,931.	75,038.	<1,107.>
b	335,187.	328,447.	6,740.
c	290,918.	278,034.	12,884.
d	677,173.	455,420.	221,753.
e	519,852.	486,904.	32,948.
f	213,482.	166,970.	46,512.
g	458,420.	447,674.	10,746.
h	294,339.	307,879.	<13,540.>
i	373,810.	264,726.	109,084.
j	456,758.	435,179.	21,579.
k	989.		989.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,107.>
b			6,740.
c			12,884.
d			221,753.
e			32,948.
f			46,512.
g			10,746.
h			<13,540.>
i			109,084.
j			21,579.
k			989.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	448,588.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

CAHN FAMILY FOUNDATION
C/O MR. JAMES CAHN

72-6020106

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHABAD-LUBAVITCH OF LOUISIANA			2013 FUND DRIVE	2,000.
FRIENDS OF VIEW CARRE COMMISSION			2013 FUND DRIVE	1,000.
GOLDRING-WOLDENBERG INST. OF SOUTHERN JEWISH LIFE			2013 FUND DRIVE	3,000.
HENRY S. JACOBS CAMP			2013 FUND DRIVE	3,000.
HILLEL FOUNDATION OF NEW ORLEANS			2013 FUND DRIVE	5,000.
HOLY CROSS HIGH SCHOOL			2013 FUND DRIVE	5,000.
JEWISH CHILDREN'S REGIONAL SERVICE			2013 FUND DRIVE	25,000.
JEWISH FAMILY SERVICE			2013 FUND DRIVE	25,000.
JEWISH FEDERATION OF GREATER NEW ORLEANS			2013 FUND DRIVE	80,000.
JEWISH INSTITUTE FOR NATIONAL SECURITY			2013 FUND DRIVE	2,000.
Total from continuation sheets				237,000.

CAHN FAMILY FOUNDATION
C/O MR. JAMES CAHN

72-6020106

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KIDSMART			2013 FUND DRIVE	3,000.
LA ENDOWMENT FOR THE HUMANITIES			2013 FUND DRIVE	1,000.
LIGHTHOUSE FOR THE BLIND			2013 FUND DRIVE	3,000.
LOUISIANA SPCA			2013 FUND DRIVE	7,000.
NATIONAL COUNCIL OF JEWISH WOMEN			2013 FUND DRIVE	5,000.
NEW ORLEANS CENTER FOR CREATIVE ARTS			2013 FUND DRIVE	2,000.
NEW ORLEANS MUSICIANS CLINIC (LSU HEALTH SCIENCES CENTER)			2013 FUND DRIVE	5,000.
NEW ORLEANS MUSUEM OF ART			2013 FUND DRIVE	1,000.
PLANNED PARENTHOOD			2013 FUND DRIVE	5,000.
PRESERVATION RESOURCE CENTER			2013 FUND DRIVE	1,000.
Total from continuation sheets				

CAHN FAMILY FOUNDATION
C/O MR. JAMES CAHN

72-6020106

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TULANE SHAKESPEARE			2013 FUND DRIVE	10,000.
ST. GEORGE'S EPISCOPAL SCHOOL			2013 FUND DRIVE	5,000.
TEACHING RESPONSIBLE EARTH EDUCATION			2013 FUND DRIVE	2,000.
THE HISTORIC NEW ORLEANS COLLECTION			2013 FUND DRIVE	1,000.
TULANE JEWISH STUDIES			2013 FUND DRIVE	2,000.
UNITED WAY OF NEW ORLEANS			2013 FUND DRIVE	15,000.
WWOZ			2013 FUND DRIVE	3,000.
JEWISH COMMUNITY DAY SCHOOL			2013 FUND DRIVE	5,000.
PROSPECT 3 NEW ORLEANS			2013 FUND DRIVE	10,000.
Total from continuation sheets				

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BROOKFIELD INFRASTRUCUTE PARTNERS DIVIDENDS	447.	0.	447.	447.	
BROOKFIELD INFRASTRUCUTE PARTNERS INTEREST	131.	0.	131.	131.	
BROOKFIELD PROPERTY PARTNERS DIVIDENDS	8.	0.	8.	8.	
BROOKFIELD PROPERTY PARTNERS INTEREST	31.	0.	31.	31.	
BROOKFIELD RENEWABLE ENERGY PARTNERS DIVIDENDS	236.	0.	236.	236.	
BROOKFIELD RENEWABLE ENERGY PARTNERS INTEREST	41.	0.	41.	41.	
NEUBERGER BERMAN DIVIDENDS	77,830.	989.	76,841.	76,841.	
NEUBERGER BERMAN INTEREST	63,998.	0.	63,998.	63,998.	
TO PART I, LINE 4	142,722.	989.	141,733.	141,733.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL AND PROFESSIONAL	5,980.	5,980.		0.
TO FORM 990-PF, PG 1, LN 16B	5,980.	5,980.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT FEE	46,263.	46,263.			0.
TO FORM 990-PF, PG 1, LN 16C	46,263.	46,263.			0.

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX	2,582.	2,582.			0.
EXCISE TAX	7,045.	7,045.			0.
TO FORM 990-PF, PG 1, LN 18	9,627.	9,627.			0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
NON-INVESTMENT EXPENSES	<5,370.>	0.			0.
TO FORM 990-PF, PG 1, LN 23	<5,370.>	0.			0.

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
PREFERRED STOCK			37,850.	39,585.
CORPORATE STOCK			3,800,382.	4,752,122.
TOTAL TO FORM 990-PF, PART II, LINE 10B			3,838,232.	4,791,707.

FORM 990-PF	CORPORATE BONDS	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	866,007.	875,847.
CONVERTIBLE BONDS	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10C	866,007.	875,847.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS	FMV	0.	0.
PUBLICLY TRADED PARTNERSHIP	FMV	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		0.	0.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT	9
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NAME OF CONTRIBUTOR

ADDRESS

DIXIE MILL

901 TCHOUPITOULAS ST
NEW ORLEANS, LA 70130

NEUBERGER BERMAN LLC
OH4-RM00
P O BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY

Account No 541-29413
Account Name CAHN FAMILY FOUNDATION
Taxpayer Identification Number 72-6020106
Account Executive No 142

ORIGINAL: 12/31/13

NEUBERGER BERMAN

2013 Supplemental Gain or Loss Information

Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gain or Loss	Capital Gain or Loss	Realized Gain or Loss
06/19/13	H	12/17/12	**NEUBERGER & BERMAN EQUITY FD REAL ESTATE FD INSTL CL	NBRX 641224795	1 97400	26.98	26.02
06/19/13	H	03/25/13	**NEUBERGER & BERMAN EQUITY FD REAL ESTATE FD INSTL CL	NBRX 641224795	77 15900	1,054.76	1,080.23
06/19/13	H	12/17/12	**NEUBERGER & BERMAN EQUITY FD REAL ESTATE FD INSTL CL	NBRX 641224795	109 55200	1,497.58	1,443.90
06/19/13	H	12/17/12	**NEUBERGER & BERMAN EQUITY FD REAL ESTATE FD INSTL CL	NBRX 641224795	298 55500	4,081.25	3,934.96
06/19/13	H	10/05/12	**NEUBERGER & BERMAN EQUITY FD REAL ESTATE FD INSTL CL	NBRX 641224795	16,260 16300	222,276.43	220,000.00
05/15/13	H	12/21/12	**NEUBERGER BERMAN ALTERNATIVE ABSLTE RET MULTI MANAGER INSTL	NABX 64128R855	1 50400	15.82	15.19
05/15/13	H	12/21/12	**NEUBERGER BERMAN ALTERNATIVE ABSLTE RET MULTI MANAGER INSTL	NABX 64128R855	38 66600	406.77	390.53
05/15/13	H	12/21/12	**NEUBERGER BERMAN ALTERNATIVE ABSLTE RET MULTI MANAGER INSTL	NABX 64128R855	77 54800	815.80	783.23
05/15/13	H	10/05/12	**NEUBERGER BERMAN ALTERNATIVE ABSLTE RET MULTI MANAGER INSTL	NABX 64128R855	21,696 25200	228,244.57	220,000.00
9 ITEMS - Total Short Term Not Reported Transactions Gain or Loss						458,419.96	447,674.06

10,745.90

FOOTNOTES

A - Position carried at Average Cost
E - Adjusted for option exercise or assignment

DISPOSAL METHODS

Blank - FIFO (First In First Out), L - LIFO (Last In First Out), S - Specific Match (Versus Purchase Method), H - High Cost Method, C - Low Cost Method, X - High Cost Long Term
If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

NEUBERGER BERMAN LLC OH4-RM00 P O BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY		Account No 541-28365	Account Name CAHN FAMILY FOUNDATION	NEUBERGER BERMAN
		Taxpayer Identification Number 72-6020106		
		Account Executive No Z37		
		ORIGINAL	12/31/13	

2013 Supplemental Gain or Loss Information

Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds	Less: Cost or Other Basis	Realized Gain (Loss)
08/05/13	G	05/20/13	ARES CAPITAL CORPORATION	ARCC	50 00000	900 98	907 22 (6 24)
			04010L103				
08/05/13	G	05/21/13	ARES CAPITAL CORPORATION	ARCC	400 00000	7 207 87	7 268 00 (60 13)
			04010L103				
07/30/13	G	05/28/13	AMERICAN WTR WKS CO INC	AWK	200 00000	8 536 55	8 328 88 207 67
			030420103				
06/03/13	G	01/18/13	BROOKFIELD INFRASTRUCTURE PARTNERS LP UNITS	BIP	100 00000	3 583 94	3 601 88 (17.94)
			G16252101				
06/03/13	G	05/21/13	BROOKFIELD INFRASTRUCTURE PARTNERS LP UNITS	BIP	400 00000	14 335 75	15 729.16 (1,393.41)
			G16252101				
06/03/13	G	11/14/12	BROOKFIELD INFRASTRUCTURE PARTNERS LP UNITS	BIP	600 00000	21 503 62	19 891.96 1,611.66
			G16252101				
06/03/13	G	03/05/13	BROOKFIELD RENEWABLE ENERGY PARTNERS LP PARTNERSHIP UNITS	BEP	575 00000	16 277 97	17 256.74 (978.77)
			G16258108				
06/03/13	G	05/28/13	BROOKFIELD RENEWABLE ENERGY PARTNERS LP PARTNERSHIP UNITS	BEP	600 00000	16 985 70	18 030.28 (1,044.58)
			G16258108				
06/14/13	G	05/28/13	BROOKFIELD PROPERTY PARTNERS LP	BPY	325 00000	6 793 19	7 409.71 (616.52)
			G16249107				
06/14/13	G	05/09/13	BROOKFIELD PROPERTY PARTNERS LP	BPY	600 00000	12 541 28	13 579.29 (1,038.01)
			G16249107				
04/04/13	G	08/17/12	BRISTOL MYERS SQUIBB CO	BMV	50 00000	2 042 25	1 585 48 456 77
			110122108				
04/25/13	G	03/05/13	CME GROUP INC	CME	30 00000	1 825 57	1 847 45 (21 88)
			12572Q105				
06/17/13	G	05/30/13	DIAGEO PLC-SPONSORED ADR	DEO	125 00000	14 905 29	15 016 35 (111 06)
			REPSTG 4 ORD SHS	25243Q205			

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NEUBERGER BERMAN LLC
OH4-RM00
P O BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY

Account No 541-28365
Account Name CAHN FAMILY FOUNDATION
Taxpayer Identification Number 72-6020106

Account Executive No Z37

ORIGINAL: 12/31/13

NEUBERGER BERMAN

2013 Supplemental Gain or Loss Information (continued)

Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Cost or Other Basis	Realized Gain (Loss)
06/17/13 G	10/10/12	**DIAGEO PLC-SPONSORED ADR REPSTG 4 ORD SHS	DEO 25243Q205	175 00000	20,867 40	19,741 59
05/03/13 G	03/06/13	DUNKIN BRANDS GROUP INC	DNKN 265504100	5 00000	203 75	192 86
05/06/13 G	03/06/13	DUNKIN BRANDS GROUP INC	DNKN 265504100	30 00000	1,226 19	1,157 17
06/19/13 G	05/31/13	DUNKIN BRANDS GROUP INC	DNKN 265504100	100 00000	4,326 92	3,979 61
06/25/13 G	05/31/13	DUNKIN BRANDS GROUP INC	DNKN 265504100	150 00000	6,349 68	5,969 42
10/21/13 G	05/31/13	DUNKIN BRANDS GROUP INC	DNKN 265504100	100 00000	4,797 25	3,979 61
06/19/13 S	05/21/13	ENBRIDGE ENERGY MANAGEMENT LLC SHS UNITS REPSTG LTD LIABILITY COMPANY INTERESTS	EEQ 29250X103	100 00000	2,998 94	3,109 00
11/14/13 G	10/31/13	**ESSENT GROUP LTD COM	ESNT G3198U102	700 00000	15,053 79	11,900 00
08/02/13 G	05/21/13	**ENBRIDGE INC	ENB 29250N105	150 00000	6,670 79	7,117 50
05/09/13 G	02/25/13	GENERAL GROWTH PROPERTIES INC	GGP 370023103	1,100 00000	25,079 43	21,337 84
04/04/13 G	09/07/12	INTERNATIONAL PAPER CO	IP 460146103	50 00000	2,331 26	1,818 24
06/19/13 G	09/07/12	INTERNATIONAL PAPER CO	IP 460146103	550 00000	25,481 05	20,000 64

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

NEUBERGER BERMAN

Account No 541-28365
Account Name CAHN FAMILY FOUNDATION
Taxpayer Identification Number 72-6020106
Account Executive No Z37

ORIGINAL 12/31/13

NEUBERGER BERMAN LLC
OH4-RM00
P O BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY

2013 Supplemental Gain or Loss Information (continued)
Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Cost	Realized Gain/Loss
06/19/13	G	JPMORGAN CHASE & CO	JPM	100 00000	5,374.76	5,519.51 (144.75)
06/17/13	G	ESTEE LAUDER COMPANIES INC CLA	EL	200 00000	14,052.59	13,701.88 350.71
02/25/13	G	LORILLARD INC	LO	100 00000	4,144.00	4,169.52 (25.52)
06/25/13	G	LORILLARD INC	LO	150 00000	6,680.88	6,628.05 52.83
07/24/13	G	LORILLARD INC	LO	75 00000	3,331.55	3,314.03 17.52
07/24/13	G	LORILLARD INC	LO	225 00000	9,994.66	9,887.45 107.21
08/01/13	G	LORILLARD INC	LO	50 00000	2,156.50	2,197.21 (40.71)
08/02/13	G	LORILLARD INC	LO	25 00000	1,082.27	1,042.38 39.89
08/02/13	G	LORILLARD INC	LO	50 00000	2,164.53	2,197.21 (32.68)
08/06/13	G	LORILLARD INC	LO	125 00000	5,364.55	5,211.89 152.66
08/07/13	G	LORILLARD INC	LO	275 00000	11,825.37	11,466.17 359.20
04/04/13	G	MCDONALDS CORP	MCD	20 00000	2,008.26	1,842.63 165.63
06/17/13	G	MCDONALDS CORP	MCD	100 00000	9,905.31	9,754.76 150.55

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NEUBERGER BERMAN LLC
OH4-RM00
P O BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY

Account No 541-28365
Account Name CAHN FAMILY FOUNDATION
Taxpayer Identification Number 72-6020106
Account Executive No Z37

NEUBERGER BERMAN

ORIGINAL 12/31/13

2013 Supplemental Gain or Loss Information (continued)
Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Cost Basis	Realized Gain (Loss)
06/19/13	G	05/30/13 MCDONALDS CORP	MCD	50 00000	4,986 91	4,877 38
			580135101			109 53
04/04/13	G	03/06/13 NEWELL RUBBERMAID INC	NWL	75 00000	1,895 09	1,784 08
			651229106			111 01
06/17/13	G	03/06/13 NEWELL RUBBERMAID INC	NWL	325 00000	8,794 80	7,731 00
			651229106			1,063 80
06/17/13	G	01/18/13 NEWELL RUBBERMAID INC	NWL	725 00000	19,619 17	16,683 99
			651229106			2,935 18
11/07/13	G	06/18/13 **NIELSEN HOLDINGS N V US LISTED	NLSN	200 00000	7,826 92	7,008 22
			N63218106			818 70
06/25/13	G	05/29/13 PHILIP MORRIS INTERNATIONAL INC	PM	75 00000	6,490 38	6,936 59
			718172109			(446 21)
06/14/13	G	05/23/13 PLY GEM HOLDINGS INC COM	PGEM	100 00000	2,240 34	2,100 00
			72941W100			140 34
06/25/13	G	06/17/13 PETSMART INC	PETM	100 00000	6,784 26	6,884 76
			716768106			(100 50)
06/17/13	G	05/28/13 PFIZER INC	PFE	300 00000	8,714 24	8,735 61
			717081103			(21 37)
06/19/13	G	05/28/13 PFIZER INC	PFE	200 00000	5,911 89	5,823 74
			717081103			88 15
06/25/13	G	06/18/13 PROCTER & GAMBLE CO	PG	100 00000	7,675 43	7,914 00
			742718109			(238 57)
05/02/13	G	09/18/12 REGAL ENTERTAINMENT GROUP CLA	RGC	125 00000	2,307 73	1,680 53
			758766109			627 20
06/14/13	G	09/18/12 REGAL ENTERTAINMENT GROUP CLA	RGC	875 00000	15,621 97	11,763 74
			758766109			3,858 23

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Account Name
CAHN FAMILY FOUNDATION

Taxpayer Identification Number 72-6020106

Account Executive No. Z37

ORIGINAL
12/31/132013 Supplemental Gain or Loss Information (continued)
Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Cost Basis	Current Fair Market Value	Unrealized Gain/(Loss)	
03/04/13	G	03/14/12	***ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD	RHHBY 771195104	50 00000	2,864.43	2,160.00	704.43
03/04/13	G	03/13/12	***ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD	RHHBY 771195104	100 00000	5,728.87	4,308.10	1,420.77
06/17/13	G	05/30/13	***TEEKAY CORPORATION MARSHALL ISLAND	TK Y8564W103	275 00000	11,027.38	10,548.37	479.01
04/02/13	G	03/05/13	TARGET CORP	TGT 87612E106	50 00000	3,420.33	3,313.30	107.03
06/17/13	G	05/31/13	TARGET CORP	TGT 87612E106	150 00000	10,454.23	10,468.74	(14.51)
06/25/13	G	09/07/12	TARGET CORP	TGT 87612E106	75 00000	5,184.65	4,796.89	387.76
03/04/13	G	09/10/12	UNITED PARCEL SVC INC CL B	UPS 911312106	100 00000	8,283.81	7,315.51	968.30
04/04/13	G	09/10/12	UNITED PARCEL SVC INC CL B	UPS 911312106	25 00000	2,089.05	1,828.88	260.17
06/17/13	G	03/05/13	VERIZON COMMUNICATIONS	VZ 92343V104	150 00000	7,624.53	7,098.00	526.53
06/17/13	G	05/30/13	VERIZON COMMUNICATIONS	VZ 92343V104	300 00000	15,249.07	14,740.53	508.54
06/19/13	G	03/05/13	VERIZON COMMUNICATIONS	VZ 92343V104	50 00000	2,551.95	2,366.00	185.95
11/22/13	G	11/22/13	VINCE HOLDING CORP COM	VNCE 92719W108	600 00000	17,331.11	12,000.00	5,331.11

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NEUBERGER BERMAN		NEUBERGER		BERMAN	
Account No		541-28365		CAHN FAMILY FOUNDATION	
Account Name		72-6020106		Taxpayer Identification Number	
Account Executive No		Z37		12/31/13	
ORIGINAL					
NEUBERGER BERMAN LLC					
OH4-RM00					
P O BOX 183211					
COLUMBUS, OH 43218					
FOR UNDELIVERABLE MAIL ONLY					

2013 Supplemental Gain or Loss Information (continued)

Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commissions and Expenses	Cost or Other Basis	REALIZED GAIN/(LOSS)
06/19/13	G	05/28/13	WISCONSIN ENERGY CORP	WEC	200 00000	8,261 85	(85 47)
				976657106		8,347 32	
64 ITEMS - Total Short Term Not Reported Transactions Gain or Loss					619,852.03	486,903.85	32,948.18

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Taxpayer Identification Number 72-6020106
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NEUBERGER BERMAN

ORIGINAL 12/31/13

2013 Supplemental Gain or Loss Information

Long Term Gain or Loss from Transactions not Reported to IRS

Exchange	Date of Sale or Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commissions and Other Fees	Cost Basis	Realized Gain (Loss)
04/04/13 G	10/27/11	BLACKROCK INC	BLK 09247X101	10 00000	2,497 76	1,631 05	866 71
06/14/13 G	10/27/11	BLACKROCK INC	BLK 09247X101	35 00000	9,467 12	5,708 66	3,758 46
06/17/13 G	10/06/11	JOHNSON & JOHNSON	JNJ 478160104	250.00000	21,413 17	15,632 15	5,781 02
06/14/13 G	06/04/12	KINDER MORGAN INC	KMI 49456B101	450.00000	17,306 69	14,733 36	2,573 33
06/19/13 G	10/27/11	***NOVARTIS AG AMERICAN DEPOSITORY SHARES	NVS 66987V109	100 00000	7,305 87	5,843 00	1,462 87
06/17/13 G	02/06/12	NISOURCE INC COM	NI 65473P105	500 00000	14,551 20	11,434 11	3,117 09
06/17/13 G	10/18/11	NISOURCE INC COM	NI 65473P105	750 00000	21,826 79	16,875 23	4,951 56
03/04/13 G	12/23/11	PLUM CREEK TIMBER CO INC COM	PCL 729251108	300 00000	14,741 66	11,037 57	3,704 09
03/04/13 G	02/13/12	RAYONIER INC REIT	RYN 754907103	100 00000	5,670 87	4,525 01	1,145 86
03/04/13 G	10/06/11	RAYONIER INC REIT	RYN 754907103	300 00000	17,012 62	11,245 35	5,767 27
03/04/13 G	11/07/11	***SANOFI SPONSORED ADR	SNY 80105N105	300 00000	14,277 13	10,136 49	4,140 64
03/04/13 G	10/05/11	***SANOFI SPONSORED ADR	SNY 80105N105	350 00000	16,656 65	11,438 00	5,218 65
03/04/13 G	10/05/11	***UNILEVER N V YORK SHS ADR	UN 904784709	50 00000	1,970 59	1,563 00	407 59

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ORIGINAL 12/31/13

NEUBERGER BERMAN LLC
OH4-RM00
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2013 Supplemental Gain or Loss Information (continued)
Long Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Cost Basis	Realized Gain or Loss
03/04/13 G	11/07/11	***UNILEVER N V YORK SHS ADR	UN 904784709	500 00000	19,705 91	2,742 66
06/17/13 S	05/14/12	TRINITY INDS INC SUB NT CONV	TRN36 896522AF6	25,000 00000	29,078 13	874 20
15 ITEMS - Total Long Term Not Reported Transactions Gain or Loss						46,512.00

FOOTNOTES

A - Position carried at Average Cost
T - Cost Basis information is based on information you, your advisor or third parties have supplied and it has been included on your statement for informational purposes only. JPMCC has not attempted to validate this information and disclaims all warranties of any kind related to this information, either express or implied, including, without limitation, any implied warranties of accuracy, completeness and fitness for a particular purpose
E - Adjusted for option exercise or assignment

DISPOSAL METHODS

Blank - FIFO (First In First Out), L - LIFO (Last In First Out), S - Specific Match (Versus Purchase Method), H - High Cost Method, C - Low Cost Method, X - High Cost Long Term, G - MLMG (Max Loss Min Gain)

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NEUBERGER BERMAN LLC OH4-RM00 P.O. BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY		Account No 541-27337	Account Name CAHN FAMILY FOUNDATION(BOLTON)	NEUBERGER	BERMAN
Taxpayer Identification Number 72-6020106					
Account Executive No N13					
ORIGINAL		12/31/13			

2013 Supplemental Gain or Loss Information
Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Cost Basis	Commission	Other	Realized Gain (Loss)
05/15/13 H	04/18/13	***ANHEUSER-BUSCH INBEV SA SPONSORED ADR	BUD 03524A108	75 00000	7,318 14		7,306 85	11 29
06/19/13 H	04/18/13	***ANHEUSER-BUSCH INBEV SA SPONSORED ADR	BUD 03524A108	100 00000	9,338 83		9,742 46	(403 63)
09/19/13 H	04/18/13	***ANHEUSER-BUSCH INBEV SA SPONSORED ADR	BUD 03524A108	125 00000	12,841 17		12,178 07	663 10
10/22/13 H	04/30/13	***ANHEUSER-BUSCH INBEV SA SPONSORED ADR	BUD 03524A108	25 00000	2,596 03		2,363 28	232 75
10/22/13 H	04/18/13	***ANHEUSER-BUSCH INBEV SA SPONSORED ADR	BUD 03524A108	50 00000	5,192 07		4,871 23	320 84
06/19/13 H	12/24/12	ARIAD PHARMACEUTICALS INC-DEL	ARIA 04033A100	50 00000	893 98		1,016 84	(122 86)
06/19/13 H	02/19/13	ARIAD PHARMACEUTICALS INC-DEL	ARIA 04033A100	300 00000	5,363 91		6,160 61	(796 70)
10/09/13 H	12/19/12	ARIAD PHARMACEUTICALS INC-DEL	ARIA 04033A100	25 00000	134 03		502 50	(368 47)
10/09/13 H	12/21/12	ARIAD PHARMACEUTICALS INC-DEL	ARIA 04033A100	125 00000	670 14		2,537 41	(1,867 27)
10/09/13 H	12/24/12	ARIAD PHARMACEUTICALS INC-DEL	ARIA 04033A100	150 00000	804 17		3,050 52	(2,246 35)
10/09/13 H	12/18/12	ARIAD PHARMACEUTICALS INC-DEL	ARIA 04033A100	350 00000	1,876 39		7,007 60	(5,131 21)
10/09/13 H	12/17/12	ARIAD PHARMACEUTICALS INC-DEL	ARIA 04033A100	775 00000	4,154 84		15,477 37	(11,322 53)
05/15/13 H	09/25/12	BRISTOL MYERS SQUIBB CO	BMJ 110122108	100 00000	4,429 90		3,413 87	1,016 03

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Taxpayer Identification Number 72-6020106

Account Executive No N13

ORIGINAL 12/31/13

NEUBERGER BERMAN

2013 Supplemental Gain or Loss Information (continued)
Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Cost or Other Basis	Capital Gain or Loss	Realized Gain or Loss
06/19/13 H	09/25/12	BRISTOL MYERS SQUIBB CO	BMJ	150.00000	6,989.87	5,120.81	1,869.06
		110122108					
06/19/13 H	01/28/13	**CENOVUS ENERGY INC	CVE	75.00000	2,225.21	2,510.18	(284.97)
		15135U109					
06/19/13 H	01/29/13	**CENOVUS ENERGY INC	CVE	175.00000	5,192.16	5,909.92	(717.76)
		15135U109					
12/19/13 H	02/14/13	**CENOVUS ENERGY INC	CVE	75.00000	2,108.03	2,377.56	(269.53)
		15135U109					
12/19/13 H	08/12/13	**CENOVUS ENERGY INC	CVE	75.00000	2,108.03	2,133.44	(25.41)
		15135U109					
12/19/13 H	01/28/13	**CENOVUS ENERGY INC	CVE	225.00000	6,324.10	7,530.53	(1,206.43)
		15135U109					
05/15/13 H	11/16/12	CHURCH & DWIGHT CO INC	CHD	20.00000	1,291.80	1,026.48	265.32
		171340102					
05/15/13 H	08/13/12	CHURCH & DWIGHT CO INC	CHD	30.00000	1,937.72	1,550.45	387.27
		171340102					
05/15/13 H	11/02/12	CHURCH & DWIGHT CO INC	CHD	50.00000	3,229.53	2,574.78	654.75
		171340102					
06/19/13 H	11/16/12	CHURCH & DWIGHT CO INC	CHD	30.00000	1,853.07	1,539.71	313.36
		171340102					
06/19/13 H	11/15/12	CHURCH & DWIGHT CO INC	CHD	70.00000	4,323.82	3,552.44	771.38
		171340102					
01/14/13 H	08/16/12	DUNKIN BRANDS GROUP INC	DNKN	100.00000	3,414.70	3,101.97	312.73
		265504100					
06/04/13 H	08/16/12	DUNKIN BRANDS GROUP INC	DNKN	50.00000	2,051.31	1,550.98	500.33
		265504100					

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ORIGINAL

2013 Supplemental Gain or Loss Information (continued)
Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Symbol	Description	Quantity	Gross Proceeds Less Commissions and Other Charges	Cost or Other Basis	Realized Gain (Loss)
04/29/13 H	DIS	WALT DISNEY CO	700 00000	44,096 97	33,018 83	11,078 14
03/25/13 H	DOV	DOVER CORP	70 00000	5,063 79	4,426 95	636 84
06/19/13 H	EOG	EOG RES INC	50 00000	6,848 38	6,273 19	575 19
06/19/13 H	ESRX	EXPRESS SCRIPTS HOLDING COMPANY	75 00000	4,716 67	4,123 61	593 06
07/11/13 H	FTI	FMC TECHNOLOGIES INC	50 00000	2,889 53	2,101 53	788 00
06/19/13 H	INTU	INTUIT INC	100 00000	5,889 89	5,860 01	29 88
06/19/13 H	EL	ESTEE LAUDER COMPANIES INC	25 00000	1,743 72	1,480 88	262 84
06/19/13 H	EL	ESTEE LAUDER COMPANIES INC	75 00000	5,231 15	4,474 96	756 19
06/04/13 H	LB	L BRANDS INC	350 00000	18,173 08	17,601 52	571 56
06/19/13 H	LB	L BRANDS INC	150 00000	7,930 36	6,693 42	1,236 94
01/14/13 H	MCO	MOODYS CORP	50 00000	2,667 38	2,035 89	631 49
06/19/13 H	NVS	NOVARTIS AG AMERICAN DEPOSITARY SHARES	100 00000	7,287 87	5,726 14	1,561 73
06/21/13 H	NVS	NOVARTIS AG AMERICAN DEPOSITARY SHARES	25 00000	1,744 27	1,431 53	312 74

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ORIGINAL

12/31/13

NEUBERGER BERMAN

2013 Supplemental Gain or Loss Information (continued)
Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Transaction Costs	Cost or Other Basis	Realized Gain/Loss
06/19/13 H	10/01/12	PRAXAIR INC	PX 74005P104	50 00000	5,982.39	5,214.54	767.85
06/19/13 H	02/25/13	RANGE RESOURCES CORP	RRC 75281A109	100 00000	7,978.86	7,185.86	793.00
06/19/13 H	01/08/13	RANGE RESOURCES CORP	RRC 75281A109	100 00000	7,978.86	6,398.79	1,580.07
11/11/13 H	09/19/13	SIRONA DENTAL SYSTEMS INC	SIRO 82966C103	50 00000	3,558.54	3,338.17	220.37
11/12/13 H	09/20/13	SIRONA DENTAL SYSTEMS INC	SIRO 82966C103	25 00000	1,770.00	1,654.92	115.08
06/19/13 H	12/14/12	**SCHLUMBERGER LTD	SLB 806857108	225 00000	16,667.71	15,434.52	1,233.19
06/19/13 H	10/05/12	SOTHEBYS	BID 835898107	50 00000	1,972.47	1,611.13	361.34
06/19/13 H	10/08/12	SOTHEBYS	BID 835898107	50 00000	1,972.47	1,597.04	375.43
09/16/13 H	10/04/12	SOTHEBYS	BID 835898107	25 00000	1,195.24	796.46	398.78
09/17/13 H	10/04/12	SOTHEBYS	BID 835898107	50 00000	2,383.72	1,592.92	790.80
09/24/13 H	10/04/12	SOTHEBYS	BID 835898107	50 00000	2,415.59	1,592.91	822.68
11/01/13 H	11/12/12	SOTHEBYS	BID 835898107	50 00000	2,600.66	1,443.33	1,157.33
06/19/13 H	10/02/12	**TYCO INTERNATIONAL LTD	TYC H89128104	200 00000	6,739.88	5,778.47	961.41

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2013 Supplemental Gain or Loss Information (continued)
 Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Government and Other Expenses	Cost or Other Basis	Realized Gain/Loss
07/11/13 H	10/02/12	***TYCO INTERNATIONAL LTD	TYC H89128104	75 00000	2,647 65	2,166 93 T	480 72
06/19/13 H	01/15/13	TOLL BROTHERS INC	TOL 889478103	50 00000	1,697 47	1,730 28	(32 81)
06/19/13 H	02/21/13	TOLL BROTHERS INC	TOL 889478103	150 00000	5,092 41	5,096 03	(3 62)
06/19/13 H	07/26/12	VARIAN MEDICAL SYSTEMS INC	VAR 92220P105	50 00000	3,496 43	2,697 04	799 39
07/26/13 H	07/26/12	VARIAN MEDICAL SYSTEMS INC	VAR 92220P105	25 00000	1,821 28	1,348 52	472 76
67 ITEMS - Total Short Term Not Reported Transactions Gain or Loss					290,917.64	278,034.18	12,883.46

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NEUBERGER BERMAN

2013 Supplemental Gain or Loss Information
Long Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Cost or Other Basis	Proceeds	Capital Gain or Loss
05/15/13 H	10/25/10	AMERICAN EXPRESS COMPANY	AXP	200 00000	14,557 67	7,872 62	6,685 05
			025816109				
06/19/13 H	10/07/10	AMERICAN EXPRESS COMPANY	AXP	25 00000	1,866 22	948 48	917 74
			025816109				
06/19/13 H	10/25/10	AMERICAN EXPRESS COMPANY	AXP	75 00000	5,598 65	2,952 23	2,646 42
			025816109				
05/15/13 H	05/21/10	AMGEN INC	AMGN	275 00000	29,512 33	14,494 23	15,018 10
			031162100				
06/19/13 S	10/24/11	APPLE INC	AAPL	14 00000	5,979 72	5,659 66 T	320 06
			037833100				
06/19/13 S	10/26/11	APPLE INC	AAPL	25 00000	10,678 06	9,924 50 T	753 56
			037833100				
06/19/13 S	09/28/11	APPLE INC	AAPL	25 00000	10,678 06	10,018 51 T	659 55
			037833100				
08/05/13 S	08/22/11	APPLE INC	AAPL	10 00000	4,668 55	3,586 66 T	1,081 89
			037833100				
08/05/13 S	05/24/10	APPLE INC	AAPL	14 00000	6,535 97	3,499 32 T	3,036 65
			037833100				
08/05/13 S	07/13/10	APPLE INC	AAPL	37 00000	17,273 64	9,360 27 T	7,913 37
			037833100				
05/15/13 H	12/16/10	CHEVRON CORPORATION	CVX	225 00000	27,664 26	19,877 81	7,786 45
			166764100				
06/19/13 H	05/03/11	CABOT OIL & GAS CORP	COG	100 00000	7,245 87	2,720 18	4,525 69
			127097103				
12/19/13 H	05/17/12	CENOVUS ENERGY INC	CVE	50 00000	1,405 35	1,559 80	(154 45)
			15135U109				

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NEUBERGER Berman LLC OH4-RM00 P O BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY		Account No 541-27337	Account Name CAHN FAMILY FOUNDATION(BOLTON)	NEUBERGER	BERMAN
		Taxpayer Identification Number 074-RM00	72-6020106		
		Account Executive No N13			
		ORIGINAL	12/31/13		

2013 Supplemental Gain or Loss Information (continued)
Long Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Cost or Other Basis	Commission and Other Fees	Realized Gain (Loss)
12/19/13 H	05/24/10	***CENOVUS ENERGY INC	CVE 15135U109	175 00000	4,918 75	4,418 65	500 10
12/23/13 H	05/24/10	***CENOVUS ENERGY INC	CVE 15135U109	150 00000	4,212 96	3,787 41	425 55
12/24/13 H	05/24/10	***CENOVUS ENERGY INC	CVE 15135U109	275 00000	7,738 91	6,943 58	795 33
12/26/13 H	05/24/10	***CENOVUS ENERGY INC	CVE 15135U109	100 00000	2,807 79	2,524 94	282 85
12/27/13 H	05/24/10	***CENOVUS ENERGY INC	CVE 15135U109	100 00000	2,806 12	2,524 94	281 18
06/04/13 H	03/30/12	DUNKIN BRANDS GROUP INC	DNKN 265504100	200 00000	8,205 24	5,900 00	2,305 24
06/07/13 H	03/30/12	DUNKIN BRANDS GROUP INC	DNKN 265504100	50 00000	2,058 76	1,475 00	583 76
06/19/13 H	03/30/12	DUNKIN BRANDS GROUP INC	DNKN 265504100	150 00000	6,491 88	4,425 00	2,066 88
10/28/13 H	03/30/12	DUNKIN BRANDS GROUP INC	DNKN 265504100	50 00000	2,389 44	1,475 00	914 44
10/29/13 H	03/30/12	DUNKIN BRANDS GROUP INC	DNKN 265504100	50 00000	2,389 20	1,475 00	914 20
06/19/13 H	05/24/10	DANAHER CORP	DHR 235851102	100 00000	6,419 88	3,971 47	2,448 41
04/29/13 H	06/18/10	WALT DISNEY CO	DIS 254687106	75 00000	4,724 68	2,636 85	2,087 83
05/15/13 H	06/18/10	WALT DISNEY CO	DIS 254687106	100 00000	6,757 22	3,515 80	3,241 42

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Account No 541-27337
Account Name CAHN FAMILY FOUNDATION(BOLTON)
Taxpayer Identification Number 72-6020106
Account Executive No N13

ORIGINAL

12/31/13

NEUBERGER BERMAN

2013 Supplemental Gain or Loss Information (continued)
Long Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Cost Basis	Realized Gain/Loss
06/19/13 H	06/18/10	WALT DISNEY CO	DIS	100 00000	6,527.88	3,012.08
		254687106				
06/19/13 H	03/23/12	DOVER CORP	DOV	45 00000	3,610.74	2,798.84
		260003108				811.90
06/19/13 H	03/26/12	DOVER CORP	DOV	55 00000	4,413.12	3,478.31
		260003108				934.81
09/09/13 S	03/23/12	DOVER CORP	DOV	75 00000	6,592.63	4,664.73
		260003108				1,927.90
01/14/13 H	10/26/11	EOG RES INC	EOG	100 00000	12,468.59	8,740.89
		26875P101				3,727.70
06/19/13 H	05/17/12	EMC CORP-MASS	EMC	350 00000	8,858.34	8,968.05
		268648102				(109.71)
03/22/13 H	02/03/12	EDWARDS LIFESCIENCES CORP	EW	25 00000	2,055.41	1,804.88
		28176E108				250.53
03/22/13 H	11/11/11	EDWARDS LIFESCIENCES CORP	EW	200 00000	16,443.27	14,154.11
		28176E108				2,289.16
06/19/13 H	02/07/11	EXPRESS SCRIPTS HOLDING COMPANY	ESRX	25 00000	1,572.22	1,430.20
		30219G108				142.02
06/19/13 H	04/25/12	FMC TECHNOLOGIES INC	FTI	100 00000	5,740.90	4,635.76
		30249U101				1,105.14
07/11/13 H	04/25/12	FMC TECHNOLOGIES INC	FTI	25 00000	1,444.76	1,158.94
		30249U101				285.82
06/19/13 H	09/28/11	FISERV INC	FISV	100 00000	8,903.84	5,103.51
		337738108				3,800.33
05/15/13 H	07/25/11	GENERAL ELECTRIC CO	GE	125 00000	2,885.03	2,373.13
		369604103				511.90

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NEUBERGER BERMAN

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ORIGINAL: 12/31/13

NEUBERGER BERMAN LLC
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COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY

2013 Supplemental Gain or Loss Information (continued)
Long Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Cost or Other Basis	Realized Gain (Loss)
05/15/13 H	05/24/10	GENERAL ELECTRIC CO	GE	175 00000	4,039 05	2,824 68 T 1,214 37
			369604103			
06/19/13 H	05/24/10	GENERAL ELECTRIC CO	GE	300 00000	7,262 87	4,842 30 T 2,420 57
			369604103			
07/08/13 S	05/24/10	GENERAL ELECTRIC CO	GE	200 00000	4,682 73	3,228 20 T 1,454 53
			369604103			
07/08/13 S	05/24/10	GENERAL ELECTRIC CO	GE	375 00000	8,780 13	6,052 87 T 2,727 26
			369604103			
07/08/13 S	09/28/11	GENERAL ELECTRIC CO	GE	625 00000	14,633 56	9,728 00 T 4,905 56
			369604103			
05/15/13 H	10/22/09	INTERNATIONAL BUSINESS MACHINES CORP	IBM	100 00000	20,301 16	12,234 26 8,066 90
			459200101			
06/19/13 H	10/22/09	INTERNATIONAL BUSINESS MACHINES CORP	IBM	10 00000	2,043 91	1,223 43 820 48
			459200101			
06/19/13 H	10/22/09	INTERNATIONAL BUSINESS MACHINES CORP	IBM	90 00000	18,395 23	11,010 84 7,384 39
			459200101			
07/18/13 S	10/22/09	INTERNATIONAL BUSINESS MACHINES CORP	IBM	150 00000	29,760 71	18,351 39 11,409 32
			459200101			
08/05/13 H	06/18/12	L BRANDS INC	LB	50 00000	2,886 76	2,135 74 751 02
			501797104			
08/05/13 H	01/06/12	L BRANDS INC	LB	50 00000	2,886 76	1,947 19 939 57
			501797104			
05/02/13 H	12/17/10	LUXOTTICA GROUP SPA SPONSORED ADR	LUX	175 00000	8,951 76	5,065 47 3,886 29
			55088R202			
06/19/13 H	12/17/10	LUXOTTICA GROUP SPA SPONSORED ADR	LUX	150 00000	7,994 86	4,341 83 3,653 03
			55088R202			

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Taxpayer Identification Number 72-6020106
Account Executive No N13
ORIGINAL 12/31/13

NEUBERGER BERMAN

2013 Supplemental Gain or Loss Information (continued)
Long Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol (GLSP)	Quantity	Gross Proceeds (GLSP)	Cost or Other Basis (GLSP)	Realized Gain (Loss)
01/14/13 H	10/25/11	MOODYS CORP	MCO 615369105	125 0000	6,668 45	4,042 64	2,625 81
01/15/13 H	10/07/10	MOODYS CORP	MCO 615369105	25 00000	1,332 60	659 71	672 89
01/15/13 H	10/25/11	MOODYS CORP	MCO 615369105	150 0000	7,995 58	4,851 16	3,144 42
02/05/13 H	10/07/10	MOODYS CORP	MCO 615369105	650 0000	30,984 90	17,152 34	13,832 56
06/19/13 H	01/14/11	MCDONALDS CORP	MCD 580135101	50 0000	4,987 41	3,694 37	1,293 04
07/29/13 S	01/14/11	MCDONALDS CORP	MCD 580135101	25 0000	2,442 90	1,847 18	595 72
07/29/13 S	02/07/11	MCDONALDS CORP	MCD 580135101	75 0000	7,328 72	5,524 28	1,804 44
07/29/13 S	08/02/10	MCDONALDS CORP	MCD 580135101	225 0000	21,986 16	15,784 40	6,201 76
06/19/13 H	05/24/10	***NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	NSRGY 641089406	100 0000	6,785 88	4,447 94	2,337 94
06/19/13 H	02/12/10	OCCIDENTAL PETE CORP	OXY 674599105	50 0000	4,849 91	3,970 68	679 23
06/19/13 H	05/24/10	OMNICOM GROUP INC	OMC 681919106	100 0000	6,401 88	3,812 50	2,589 38
07/18/13 H	05/24/10	OMNICOM GROUP INC	OMC 681919106	250 0000	16,447 74	9,531 25	6,916 49
07/19/13 H	05/24/10	OMNICOM GROUP INC	OMC 681919106	150 0000	9,681 12	5,718 75	3,962 37

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ORIGINAL

12/31/13

2013 Supplemental Gain or Loss Information (continued)

Date of Sale or Exchange	Date of Acquisition	Description	Symbol or CUSIP	Quantity	Gross Proceeds Less Commission	Gross Proceeds Less Broker's Fee	Realized Gain (Loss)
01/03/13	H	ORACLE CORPORATION	ORCL 68389X105	25 00000	861 17	563 73	297 44
06/19/13	H	ORACLE CORPORATION	ORCL 68389X105	150 00000	5,174 90	3,382 40	1,792 50
04/18/13	H	PEPSICO INC	PEP 713448108	275 00000	22,606 99	17,803 09	4,803.90
06/19/13	H	PRECISION CASTPARTS CORP	PCP 740189105	50 00000	10,992 30	7,196 53	3,795 77
05/15/13	H	ROPER INDUSTRIES INC NEW	ROP 776696106	75 00000	9,155 42	5,350 67	3,804 75
06/19/13	H	ROPER INDUSTRIES INC NEW	ROP 776696106	50 00000	6,254 89	3,567 12	2,687 77
06/19/13	H	SIRONA DENTAL SYSTEMS INC	SIRO 82966C103	150 00000	9,761 83	7,338 57	2,423 26
11/12/13	H	SIRONA DENTAL SYSTEMS INC	SIRO 82966C103	25 00000	1,769 99	1,223.10	546 89
11/13/13	H	SIRONA DENTAL SYSTEMS INC	SIRO 82966C103	50 00000	3,555.93	2,446.19	1,109 74
06/19/13	H	***SCHLUMBERGER LTD	SLB 806857108	25 00000	1,851.97	1,736 97	115 00
06/19/13	H	***SCHLUMBERGER LTD	SLB 806857108	50 00000	3,703 93	3,359 08	344 85
07/22/13	H	***SCHLUMBERGER LTD	SLB 806857108	5 00000	421 28	291 63	129 65
07/22/13	H	***SCHLUMBERGER LTD	SLB 806857108	25 00000	2,106 40	1,679 54	426 86

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2013 Supplemental Gain or Loss Information (continued)
Long Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds (from sale or disposition)	Cost or Other Basis	Realized Gain (Loss)
06/19/13	H	05/14/12 SOTHEBYS	BID	100 00000	3,944 92	3,191 52	753 40
			835898107				
08/07/13	H	05/14/12 SOTHEBYS	BID	275 00000	11,985 01	8,776 67	3,208 34
			835898107				
09/16/13	H	05/14/12 SOTHEBYS	BID	50 00000	2,390 49	1,595 76	794 73
			835898107				
10/28/13	H	05/15/12 SOTHEBYS	BID	50 00000	2,634 73	1,587 69	1,047 04
			835898107				
10/29/13	H	05/15/12 SOTHEBYS	BID	100 00000	5,297 11	3,175 38	2,121 73
			835898107				
10/30/13	H	05/15/12 SOTHEBYS	BID	50 00000	2,654 58	1,587 69	1,066 89
			835898107				
11/01/13	H	05/15/12 SOTHEBYS	BID	50 00000	2,600 67	1,587 69	1,012 98
			835898107				
07/26/13	H	05/24/10 VARIAN MEDICAL SYSTEMS INC	VAR	275 00000	20,034 11	13,580 85	6,453 26
			92220P105				
86 ITEMS - Total Long Term Not Reported Transactions Gain or Loss					677,173.27	455,420.33	221,752.94

FOOTNOTES

A - Position carried at Average Cost
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E - Adjusted for option exercise or assignment

DISPOSAL METHODS

Blank - FIFO (First In First Out), L - LIFO (Last In First Out), S - Specific Match (Versus Purchase Method), H - High Cost Method, C - Low Cost Method, X - High Cost Long Term

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NEUBERGER BERMAN

NEUBERGER BERMAN LLC
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Account No
541-18483

Account Name
CAHN FAMILY FOUNDATION(KAM)

Taxpayer Identification Number
72-6020106

Account Executive No
N47

ORIGINAL

12/31/13

2013 Supplemental Gain or Loss Information

Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Cost or Other Basis	Realized Gain/Loss
06/19/13 S	11/07/12	CELANESE US HOLDINGS LLC SENIOR NOTES	15089QAD6	10,000 00000	10,100 00	100 00
06/19/13 S	11/08/12	CELANESE US HOLDINGS LLC SENIOR NOTES	15089QAD6	10,000 00000	10,175 00	(75 00)
05/15/13 S	01/14/13	ANHEUSER BUSCH INBEV FIN SR UNSECURED	03524ZAC0	30,000 00000	29,828 10	238 20
06/19/13 S	10/03/12	DIGITAL RLTY TR LP	25389JAK2	25,000 00000	25,034 75	(1,370 00)
4 ITEMS - Total Short Term Not Reported Transactions Gain or Loss				73,931.05	75,037.85	(1,106.80)

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2013 Supplemental Gain or Loss Information
Long Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Cost or Other Basis	Realized Gain/Loss
06/19/13 S	03/09/12	CMS ENERGY CORP SR NT	12589BG4	15,000 00000	16,852 20	15,784 65 T 1,067 55
09/23/13 S	10/20/10	REGENCY ENERGY PARTNERS LP / REGENCY ENERGY FIN CORP SR NT	75886AAE8	10,000 00000	10,752 00	10,275 00 T 477 00
09/23/13 S	09/20/11	IRON MOUNTAIN INC SR SUBORDINATED NOTES	48284PAN4	15,000 00000	16,537 50	15,225 00 T 1,312 50
04/04/13 S	03/16/10	EQUINIX INC SENIOR NOTES	29444UAJ5	50,000 00000	55,395 00	52,000 00 T 3,395 00
09/23/13 S	06/19/12	VORNADO REALTY TRUST	929043AF4	10,000 00000	10,389 90	10,503 80 T (113 90)
06/19/13 S	01/15/10	TEEKAY CORP SR NT	87900VAA1	20,000 00000	21,850 00	19,836 20 T 2,013 80
05/15/13 S	10/18/11	DISCOVERY COMMUNICATIONS LLC SR NT	25470DAB5	25,000 00000	26,444 25	26,383 75 T 60 50
06/07/13 S	10/19/11	HEINZ H J CO	423074AL7	25,000 00000	25,250 00	25,117 00 T 133 00
03/22/13 S	01/04/12	GENERAL ELEC CAP CORP SR UNSECURED	36962G5M2	5,000 00000	5,130 90	4,994 80 T 136 10
05/15/13 S	01/04/12	GENERAL ELEC CAP CORP SR UNSECURED	36962G5M2	20,000 00000	20,499 20	19,979 20 T 520 00
03/21/13 S	04/11/07	AMERICAN EXPRESS CO	025816AQ2	25,000 00000	25,298 75	24,492 50 T 806 25
05/15/13 S	04/11/07	AMERICAN EXPRESS CO	025816AQ2	25,000 00000	25,154 75	24,492 50 T 662 25

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NEUBERGER BERMAN

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Account No

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Account Name

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NEUBERGER BERMAN LLC

OH4-RM00

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COLUMBUS, OH 43218

FOR UNDELIVERABLE MAIL ONLY

2013 Supplemental Gain or Loss Information (continued)

Long Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Symbol	Description	Quantity	Gross Proceeds Less Commissions (Including Premium)	Cost Basis	Realized Gain/(Loss)
05/15/13 S	78442FBG2	SLM CORP MEDIUM TERM NOTES	25,000.00000	25,343.00	25,737.50	(394.50)
06/19/13 S	97381WAC8	WINDSTREAM CORP	50,000.00000	50,290.00	53,625.00	(3,335.00)
14 ITEMS - Total Long Term Not Reported Transactions Gain or Loss					328,446.90	6,740.55

FOOTNOTES

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E - Adjusted for option exercise or assignment

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NEUBERGER BERMAN

Account No 541-21122
Account Name CAHN FAMILY FOUNDATION (KSE)
Taxpayer Identification Number 72-6020106
Account Executive No 887
ORIGINAL 12/31/13

NEUBERGER BERMAN LLC
OH4-RM00
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FOR UNDELIVERABLE MAIL ONLY

2013 Supplemental Gain or Loss Information
Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Cost Basis	Capital Gain or Loss	Realized Gain or Loss
08/01/13 H	01/14/13	***AGRIUM INC	AGU	100 00000	8,345 95	10,684 14	(2,338 19)
			008916108				
08/01/13 H	01/03/13	***AGRIUM INC	AGU	115 00000	9,597 85	11,895 36	(2,297 51)
			008916108				
08/01/13 H	01/02/13	***AGRIUM INC	AGU	200 00000	16,691 91	20,513 98	(3,822 07)
			008916108				
02/04/13 H	07/12/12	***BUNGE LTD	BG	10 00000	790 11	614 88	175 23
			G16962105				
02/04/13 H	09/07/12	***BUNGE LTD	BG	20 00000	1,580 24	1,300 48	279 76
			G16962105				
02/04/13 H	12/28/12	***BUNGE LTD	BG	70 00000	5,530 83	5,071 79	459 04
			G16962105				
12/13/13 H	08/02/13	CONAGRA FOODS INC	CAG	880 00000	27,926 31	32,381 80	(4,455 49)
			205887102				
02/12/13 H	12/28/12	EL PASO ELECTRIC CO NEW	EE	160 00000	5,380 23	5,009 92	370 31
			283677854				
02/12/13 H	09/07/12	EL PASO ELECTRIC CO NEW	EE	180 00000	6,052 76	6,075 49	(22 73)
			283677854				
02/12/13 H	06/27/12	EL PASO ELECTRIC CO NEW	EE	720 00000	24,211 04	23,786 99	424 05
			283677854				
11/12/13 H	12/28/12	GOOGLE INC CL A	GOOG	6 00000	6,057 56	4,221 03	1,836 53
			38259P508				
02/06/13 H	06/26/12	GANNETT CO INC	GCI	240 00000	4,610 37	3,324 96	1,285 41
			364730101				
02/06/13 H	09/07/12	GANNETT CO INC	GCI	450 00000	8,644 44	7,194 15	1,450 29
			364730101				

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ORIGINAL 12/31/13

NEUBERGER BERMAN

2013 Supplemental Gain or Loss Information (continued) Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Cost Basis	Realized Gain (Loss)
06/13/13 H	06/26/12	GANNETT CO INC	GCI	364730101	640 00000	8,866 56
04/15/13 H	12/28/12	ISHARES GOLD TRUST	IAU	464285105	230 00000	3,123 17
04/15/13 H	09/07/12	ISHARES GOLD TRUST	IAU	464285105	300 00000	5,076 93
04/15/13 H	09/04/12	ISHARES GOLD TRUST	IAU	464285105	1,530 00000	25,252 96
11/08/13 H	12/28/12	HOME DEPOT INC	HD	437076102	40 00000	2,416 38
02/04/13 H	12/28/12	MONSANTO CO	MON	61166W101	40 00000	3,726 89
02/21/13 H	12/28/12	MEDTRONIC INC	MDT	585055106	130 00000	5,317 64
01/14/13 H	09/21/12	MICROSOFT CORP	MSFT	594918104	150 00000	4,699 35
04/15/13 H	09/07/12	NEWMONT MINING CORP HOLDING CO	NEM	651639106	130 00000	6,738 88
04/15/13 H	12/28/12	NEWMONT MINING CORP HOLDING CO	NEM	651639106	160 00000	7,287 60
04/15/13 H	05/24/12	NEWMONT MINING CORP HOLDING CO	NEM	651639106	560 00000	27,584 82
11/22/13 H	12/28/12	PFIZER INC	PFE	717081103	100 00000	2,507 98
06/21/13 H	09/17/12	PINNACLE WEST CAPITAL CORP	PNW	723484101	70 00000	3,717 11

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

NEUBERGER Berman LLC OH4-RM00 P O BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY		Account No 541-21122	Account Name CAHN FAMILY FOUNDATION (KSE)	NEUBERGER	BERMAN
		Taxpayer Identification Number 72-6020106			
		Account Executive No 887			
		ORIGINAL	12/31/13		

2013 Supplemental Gain or Loss Information (continued)
Short Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Symbol	Description	Quantity	Cost Basis	Realized Gain/Loss
06/21/13 H	PNW 723484101	PINNACLE WEST CAPITAL CORP	70 00000	3,667.45	3,549.52
06/21/13 H	PNW 723484101	PINNACLE WEST CAPITAL CORP	200 00000	10,478.44	10,654.30
06/21/13 H	PNW 723484101	PINNACLE WEST CAPITAL CORP	220 00000	11,526.28	10,835.99
06/21/13 H	PNW 723484101	PINNACLE WEST CAPITAL CORP	300 00000	15,717.66	16,040.49
10/21/13 H	PBI 724479100	PITNEY BOWES INC	395 00000	7,880.94	6,363.21
06/25/13 H	POT 73755L107	POTASH CORP OF SASKATCHEWAN INC CANADIAN LISTED	120 00000	4,577.98	4,800.34
06/25/13 H	POT 73755L107	POTASH CORP OF SASKATCHEWAN INC CANADIAN LISTED	160 00000	6,103.97	6,881.84
04/22/13 H	SNDK 80004C101	SANDISK CORP	10 00000	512.21	364.15
04/22/13 H	SNDK 80004C101	SANDISK CORP	70 00000	3,585.47	3,003.30
03/20/13 H	WAG 931422109	WALGREEN CO	70 00000	3,194.09	2,571.37
03/20/13 H	WAG 931422109	WALGREEN CO	110 00000	5,019.28	3,837.00
37 ITEMS - Total Short Term Not Reported Transactions Gain or Loss					294,338.83
					(13,640.10)

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NEUBERGER BERMAN

Account No
Account Name
Taxpayer Identification Number
Account Executive No
ORIGINAL
12/31/13

541-21122
CAHN FAMILY FOUNDATION (KSE)
72-6020106
887

NEUBERGER BERMAN LLC
OH4-RM00
P O BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY

2013 Supplemental Gain or Loss Information
Long Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	First Purchase Price	Cost or Other Basis	Realized Gain / (Loss)
03/06/13	H	CABOT OIL & GAS CORP	COG 127097103	160 0000	10,029 85	5,204 05	4,825 80
03/06/13	H	***CANADIAN PACIFIC RAILWAY LTD	CP 13645T100	45 0000	5,682 63	3,084 85	2,597 78
03/12/13	H	***CANADIAN PACIFIC RAILWAY LTD	CP 13645T100	90 0000	11,377 00	6,169 71	5,207 29
10/21/13	H	***CANADIAN PACIFIC RAILWAY LTD	CP 13645T100	50 0000	6,502 29	3,427 61	3,074 68
11/08/13	H	***CANADIAN PACIFIC RAILWAY LTD	CP 13645T100	135 0000	19,628 73	9,254 56	10,374 17
04/17/13	H	EOG RES INC	EOG 26875P101	100 0000	11,406 22	9,435 69	1,970 53
04/17/13	H	EOG RES INC	EOG 26875P101	160 0000	18,249 96	17,706 83	543 13
10/21/13	H	GOOGLE INC CL A	GOOG 38259P508	22 0000	22,098 18	16,141 74	5,956 44
11/12/13	H	GOOGLE INC CL A	GOOG 38259P508	12 0000	12,115 12	8,804 59	3,310 53
11/08/13	H	GANNETT CO INC	GCI 364730101	860 0000	23,545 53	11,914 44	11,631 09
11/08/13	H	HOME DEPOT INC	HD 437076102	330 0000	24,856 35	20,517 62	4,338 73
02/04/13	H	MONSANTO CO	MON 61166W101	30 0000	3,034 17	1,786 70	1,247 47
09/30/13	H	MONSANTO CO	MON 61166W101	50 0000	5,223 34	2,977 82	2,245 52

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

NEUBERGER Berman LLC OH4-RM00 P O BOX 183211 COLUMBUS, OH 43218 FOR UNDELIVERABLE MAIL ONLY		Account No 541-21122	Account Name CAHN FAMILY FOUNDATION (KSE)	NEUBERGER Berman
		Taxpayer Identification Number 72-6020106		
		Account Executive No 887		
		ORIGINAL	12/31/13	

2013 Supplemental Gain or Loss Information (continued)
Long Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Selling Expenses and Option Premium	Cost or Other Basis	Realized Gain (Loss)
09/30/13 H	11/02/10	MONSANTO CO	MON 61166W101	70 00000	7,312 68	4,132 40	3,180 28
10/21/13 H	11/02/10	MONSANTO CO	MON 61166W101	50 00000	5,284 69	2,951 72	2,332 97
02/21/13 H	06/01/11	MEDTRONIC INC	MDT 585055106	180 00000	8,034 20	7,291 70	742 50
10/21/13 H	06/01/11	MEDTRONIC INC	MDT 585055106	130 00000	7,331 66	5,266 23	2,065 43
01/14/13 H	04/26/10	MICROSOFT CORP	MSFT 594918104	250 00000	6,730 66	7,804 77	(1,074 11)
04/26/13 H	04/26/10	MICROSOFT CORP	MSFT 594918104	230 00000	7,300 15	7,180 39	119 76
11/22/13 H	05/10/12	PFIZER INC	PFE 717081103	70 00000	2,234 50	1,592 38	642 12
11/22/13 H	09/07/12	PFIZER INC	PFE 717081103	200 00000	6,384 26	4,835 40	1,548 86
06/25/13 H	07/11/11	**POTASH CORP OF SASKATCHEWAN INC CANADIAN LISTED	POT 73755L107	180 00000	6,866 97	10,396 35	(3,529 38)
06/25/13 H	03/31/11	**POTASH CORP OF SASKATCHEWAN INC CANADIAN LISTED	POT 73755L107	500 00000	19,074 92	29,565 03	(10,490 11)
04/22/13 H	04/20/12	SANDISK CORP	SNDK 80004C101	80 00000	4,097 68	2,822 03	1,275 65
05/06/13 H	04/20/12	SANDISK CORP	SNDK 80004C101	130 00000	7,029 04	4,585 80	2,443 24
06/20/13 H	04/20/12	SANDISK CORP	SNDK 80004C101	120 00000	7,187 80	4,233 05	2,954 75

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

NEUBERGER BERMAN LLC
OH4-RM00
P O BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY

Account No 541-21122
Account Name CAHN FAMILY FOUNDATION (KSE)
Taxpayer Identification Number 72-6020106

Account Executive No 887

ORIGINAL: 12/31/13

NEUBERGER BERMAN

2013 Supplemental Gain or Loss Information (continued)
Long Term Gain or Loss from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
10/21/13 H	04/20/12	SANDISK CORP	SNDK	105 00000	7,289 24	3,703 92	3,585 32
		80004C101					
05/01/13 H	06/07/10	SEMPRA ENERGY	SRE	85 00000	7,013 90	3,851 43	3,162 47
		816851109					
09/30/13 H	06/07/10	SEMPRA ENERGY	SRE	95 00000	8,116 18	4,304 53	3,811 65
		816851109					
10/21/13 H	06/07/10	SEMPRA ENERGY	SRE	85 00000	7,638 73	3,851 43	3,787 30
		816851109					
11/08/13 H	06/07/10	SEMPRA ENERGY	SRE	195 00000	17,328 35	8,835 62	8,492 73
		816851109					
09/12/13 H	09/07/12	WALGREEN CO	WAG	210 00000	11,169 02	7,325 18	3,863 84
		931422109					
09/12/13 H	06/25/12	WALGREEN CO	WAG	220 00000	11,721 84	6,377 34	5,344 50
		931422109					
10/21/13 H	06/25/12	WALGREEN CO	WAG	295 00000	17,228 38	8,551 43	8,676 95
		931422109					
12/04/13 H	06/25/12	WALGREEN CO	WAG	305 00000	17,665 84	8,841 31	8,824 53
		931422109					
35 ITEMS - Total Long Term Not Reported Transactions Gain or Loss						373,810.06	264,725.65
							109,084.41

FOOTNOTES

A - Position carried at Average Cost
E - Adjusted for option exercise or assignment

DISPOSAL METHODS

Blank - FIFO (First in First Out), L - LIFO (Last in First Out), S - Specific Match (Versus Purchase Method), H - High Cost Method, C - Low Cost Method, X - High Cost Long Term

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

- **File a separate application for each return.**
► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3 month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6 month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions	Enter filer's identifying number
	CAHN FAMILY FOUNDATION C/O MR. JAMES CAHN	Employer identification number (EIN) or 72-6020106
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P O box, see instructions	Social security number (SSN)
	PO BOX 52005	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW ORLEANS, LA 70152	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

JAMES CAHN

- The books are in the care of ► **901 TCHOUPITOUS ST - NEW ORLEANS, LA 70130**

Telephone No ► **504-525-2028**

Fax No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☒ calendar year **2013** or
► ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	13,080.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	7,080.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	6,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.