Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► **Do not enter Social Security numbers on this form as it may be made public.**
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

For calendar year 2013, or tax year beginning , 2013, and ending ,

Name of foundation: The Peltier Foundation

Number and street (or P O box number if mail is not delivered to street address): 101 St. Louis St.

Room/suite:

City or town, state or province, country, and ZIP or foreign postal code: Thibodaux LA 70301

A Employer identification number: 72-1416778

B Telephone number (see the instructions): (985) 447-4033

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 18,106,811.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

	Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc, received (att sch)				
2	Ck ► ☒ if the foundn is not req to att Sch B				
3	Interest on savings and temporary cash investments	104,001.			
4	Dividends and interest from securities	173,919.			
5 a	Gross rents				
b	Net rental income or (loss)				
6 a	Net gain/(loss) from sale of assets not on line 10	167,686.	L-6a Stmt		
b	Gross sales price for all assets on line 6a 2,474,627.				
7	Capital gain net income (from Part IV, line 2)		167,686.		
8	Net short-term capital gain				
9	Income modifications				
10 a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit/(loss) (att sch)				
11	Other income (attach schedule) See Line 11 Stmt	1,552,861.	1,552,861.		
12	**Total.** Add lines 1 through 11	1,998,467.	1,720,547.		
13	Compensation of officers, directors, trustees, etc				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16 a	Legal fees (attach schedule) L-16a Stmt.	20,040.	10,020.		10,020.
b	Accounting fees (attach sch) L-16b Stmt.	5,240.			5,240.
c	Other prof fees (attach sch) L-16c Stmt.	56,693.	56,693.		
17	Interest				
18	Taxes (attach schedule)(see instrs) See Line 18 Stmt	167,479.	159,509.		
19	Depreciation (attach sch) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) Other	47.	23.		24.
24	**Total operating and administrative expenses.** Add lines 13 through 23	249,499.	226,245.		15,284.
25	Contributions, gifts, grants paid	886,500.			886,500.
26	**Total expenses and disbursements.** Add lines 24 and 25	1,135,999.	226,245.		901,784.
27	Subtract line 26 from line 12:				
a	**Excess of revenue over expenses and disbursements**	862,468.			
b	**Net investment income** (if negative, enter -0-)		1,494,302.		
c	**Adjusted net income** (if negative, enter -0-)				

REVENUE

OPERATING AND ADMINISTRATIVE EXPENSES

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing	1,840.	6,103.	6,103.
	2	Savings and temporary cash investments	10,953,409.	11,019,185.	11,113,970.
	3	Accounts receivable ►			
		Less: allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U.S. and state government obligations (attach schedule) L-10a. Stmt	49,910.	49,910.	50,321.
	b	Investments — corporate stock (attach schedule) L-10b. Stmt	4,222,736.	5,015,164.	5,903,488.
	c	Investments — corporate bonds (attach schedule)			
	11	Investments — land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	12	Investments — mortgage loans			
	13	Investments — other (attach schedule)			
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ► L-15 Stmt)	1,032,929.	1,032,929.	1,032,929.
	16	**Total assets** (to be completed by all filers — see the instructions. Also, see page 1, item I)	16,260,824.	17,123,291.	18,106,811.
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)			
	23	**Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here ► ☒ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	10,875,433.	11,737,900.	
	25	Temporarily restricted			
	26	Permanently restricted	5,385,391.	5,385,391.	
		Foundations that do not follow SFAS 117, check here ► ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	16,260,824.	17,123,291.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	16,260,824.	17,123,291.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,260,824.
2	Enter amount from Part I, line 27a	2	862,468.
3	Other increases not included in line 2 (itemize) ► rounding	3	
4	Add lines 1, 2, and 3	4	17,123,292.
5	Decreases not included in line 2 (itemize) ► rounding	5	1.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	17,123,291.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	publicly traded securities			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,474,627.		2,306,941.	167,686.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a	0.	0.	0.	167,686.
b	0.	0.		
c				
d	0.	0.		
e	0.	0.		

2	Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	167,686.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	860,409.	18,374,804.	0.046825
2011	720,348.	17,991,364.	0.040039
2010	649,697.	14,649,559.	0.044349
2009	419,211.	13,754,712.	0.030478
2008	412,538.	8,893,798.	0.046385

2	**Total** of line 1, column (d)	2	0.208076
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.041615
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	20,012,904.
5	Multiply line 4 by line 3	5	832,837.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	14,943.
7	Add lines 5 and 6	7	847,780.
8	Enter qualifying distributions from Part XII, line 4	8	901,784.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _______ **(attach copy of letter if necessary – see instrs)**				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☒ and enter 1% of Part I, line 27b			1	14,943.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	14,943.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	14,943.
6 Credits/Payments:				
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	12,900.		
b Exempt foreign organizations – tax withheld at source	6 b			
c Tax paid with application for extension of time to file (Form 8868)	6 c			
d Backup withholding erroneously withheld	6 d			
7 Total credits and payments. Add lines 6a through 6d			7	12,900.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	15.
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter amount owed ►			9	2,058.
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the amount **overpaid** ►			10	0.
11 Enter the amount of line 10 to be **Credited to 2014 estimated tax** ► 0. **Refunded** ►			11	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b		X
If the answer is 'Yes' to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1 c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ► $ ________ **(2)** On foundation managers ► $ ________			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ________			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ► LA - Louisiana			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► Stephen Peltier Telephone no. ► (985) 447-4033

Located at ► 101 St. Louis St. Thibodaux, 70301 LA ZIP + 4 ► 70301

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** — Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.			
1 a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement — see instructions.)	2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ .			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No			
b	If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3 b		X
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5 b**

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6 b** X

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7 b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Stephen Peltier 101 St Louis St Thibodaux LA 70301	Sec/Treas 5.00	0.	0.	0.
Sarah Naquin 101 St Louis St Thibodaux LA 70301	Vice-Pres 5.00	0.	0.	0.
Dr. James Peltier 101 St Louis St Thibodaux LA 70301	President 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None	None	
Total number of others receiving over $50,000 for professional services ▶		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 None	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 None	0.
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1 a	5,027,114.
b	Average of monthly cash balances	1 b	11,314,877.
c	Fair market value of all other assets (see instructions)	1 c	3,975,678.
d	**Total** (add lines 1a, b, and c)	1 d	20,317,669.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1 e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	20,317,669.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	304,765.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	20,012,904.
6	**Minimum investment return.** Enter 5% of line 5	6	1,000,645.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,000,645.
2 a	Tax on investment income for 2013 from Part VI, line 5	2 a	14,943.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2 b			
c	Add lines 2a and 2b			2 c	14,943.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	985,702.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	985,702.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	985,702.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1 a	901,784.
b	Program-related investments — total from Part IX-B	1 b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	901,784.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	14,943.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	886,841.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					985,702.
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only				885,098.	
b Total for prior years 20 __ , 20 __ , 20 __					
3 Excess distributions carryover, if any, to 2013					
a From 2008	0.				
b From 2009	1.				
c From 2010	0.				
d From 2011	0.				
e From 2012	0.				
f **Total** of lines 3a through e		1.			
4 Qualifying distributions for 2013 from Part XII, line 4 ► $ 901,784.					
a Applied to 2012, but not more than line 2a				885,098.	
b Applied to undistributed income of prior years (Election required — see instructions)					
c Treated as distributions out of corpus (Election required — see instructions)					
d Applied to 2013 distributable amount					16,686.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		1.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b. Taxable amount — see instructions			0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions				0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					969,016.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		1.			
10 Analysis of line 9.					
a Excess from 2009	1.				
b Excess from 2010	0.				
c Excess from 2011	0.				
d Excess from 2012	0.				
e Excess from 2013	0.				

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a 'Assets' alternative test – enter. (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

Peltier Foundation
101 St. Louis St.
Thibodaux LA 70301 (985) 447-4033

b The form in which applications should be submitted and information and materials they should include:

Letter of request

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

501 (c)(3) organizations

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
see schedule #12 LA 70301		501(c)(3)	schedule #12	886,500.
Total . . . . . . ► 3a				886,500.
b *Approved for future payment*				
Total . . . . . . ► 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	104,001.	
4 Dividends and interest from securities			14	173,919.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	167,686.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a Royalties			14	1,494,954.	
b Miscellaneous			14	300.	
c Lease Bonus			14	10,243.	
d Damage Settlement			14	47,364.	
e					
12 Subtotal. Add columns (b), (d), and (e)				1,998,467.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . 13 1,998,467.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
n/a	Not Applicable

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee

Date: 4-21-14

Title: President

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
Ann T. Boudreaux	Ann Boudreaux	4/17/14		P00841336

Firm's name ▶ Ann T. Boudreaux, CPA

Firm's EIN ▶ 72-1047611

Firm's address ▶ 901 Ridgefield Road
Thibodaux LA 70301

Phone no. (985) 446-0994

LPL Financial

LPL Financial
Member FINRA / SIPC
4707 Executive Drive
San Diego, CA 92121
Federal ID No 95-2834236

2013 IRS 1099 CONSOLIDATED REPORTING STATEMENT

Recipient's Tax Identification
Number: **72-1416778**

Account Number: **3976-1589**

Courtesy Form

Copy B For Recipient

Department of the Treasury - Internal Revenue Service
(keep for your records)

This statement is being provided for your information only and will not be furnished to the Internal Revenue Service However, if you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

AT 05 000147 54768 E 2 E**3DGT

THE PELTIER FOUNDATION
A NON-PROFIT ORGANIZATION
--ZACKS INVESTMENT MGMT--
C/O STEPHEN G PELTIER
101 ST LOUIS STREET
THIBODAUX LA 70301-3027

Schedule 2

Your Financial Advisor

JOHN DUPONT
(985) 853-2100
Rep ID: 8X2B

FORM 1099-DIV — **DIVIDENDS AND DISTRIBUTIONS TOTALS FOR TAX YEAR 2013** — **OMB #1545-0110**

IRS BOX		
1A	TOTAL ORDINARY DIVIDENDS[1]	$8,200.35
1B	QUALIFIED DIVIDENDS	$7,801.09
2A.	TOTAL CAPITAL GAIN DISTRIBUTIONS.	$46.67
2B	UNRECAP SEC 1250 GAIN	$0.00
2C	SECTION 1202 GAIN	$0.00
2D	COLLECTIBLES (28%) GAIN	$0.00
3	NONDIVIDEND DISTRIBUTIONS	$90.87
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5.	INVESTMENT EXPENSES	$0.00
6	FOREIGN TAX PAID.	$75.81
7	FOREIGN COUNTRY OR U S POSSESSION	VARIOUS
8	CASH LIQUIDATION DISTRIBUTIONS	$0.00
9	NONCASH LIQUIDATION DISTRIBUTIONS	$0.00
10	EXEMPT-INTEREST DIVIDENDS	$0.00
11	SPECIFIED PRIVATE ACTIVITY BOND INTEREST DIVIDENDS	$0.00
12	STATE.	N/A
13	STATE IDENTIFICATION NO.	N/A
14	STATE TAX WITHHELD.	$0.00

FORM 1099-INT — **INTEREST INCOME TOTALS FOR TAX YEAR 2013** — **OMB #1545-0112**

IRS BOX		
1.	INTEREST INCOME	$0.00
2.	EARLY WITHDRAWAL PENALTY	$0.00
3	INTEREST ON U S SAVINGS BONDS AND TREAS OBLIGATIONS	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5	INVESTMENT EXPENSES	$0.00
6	FOREIGN TAX PAID	$0.00
7	FOREIGN COUNTRY OR U S POSSESSION	N/A
8	TAX-EXEMPT INTEREST.	$0.00
9	SPECIFIED PRIVATE ACTIVITY BOND INTEREST.	$0.00
10	TAX-EXEMPT BOND CUSIP NO	N/A
11	STATE	N/A
12	STATE IDENTIFICATION NO	N/A
13	STATE TAX WITHHELD	$0.00

FORM 1099-MISC — **MISCELLANEOUS INCOME TOTALS FOR TAX YEAR 2013** — **OMB #1545-0115**

IRS BOX		
1	RENTS	$0.00
2.	ROYALTIES	$0.00
3.	OTHER INCOME	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
8	SUBSTITUTE PAYMENTS IN LIEU OF DIVIDENDS OR INTEREST	$0.00
11	FOREIGN TAX PAID.	$0.00
12	FOREIGN COUNTRY OR U S POSSESSION	N/A
16	STATE TAX WITHHELD	$0.00
17	STATE IDENTIFICATION NO	N/A

Federal or state tax withheld amounts represent backup withholding applied due to missing W-9 certification, an IRS "B" Notice or "C" Notice.

[1] Per IRS 1099-DIV instructions for Box 1A, includes net short-term capital gain distributions from mutual funds, Tax-exempt dividends are reported on **1099-DIV Box 10**.

Account Number: 3976-1589

2013 IRS 1099 CONSOLIDATED REPORTING STATEMENT

FORM 1099-B PROCEEDS FROM BROKER & BARTER EXCHANGES OMB #1545-0715
TOTALS FOR TAX YEAR 2013

IRS BOX		
2A.	GROSS PROCEEDS LESS COMMISSIONS AND OPTION PREMIUMS	$278 421 00
3.	COST OR OTHER BASIS	$241,264 33
4.	**FEDERAL INCOME TAX WITHHELD**	$0.00
5	WASH SALE LOSS DISALLOWED	$0 00
9.	PROFIT OR (LOSS) REALIZED IN 2013 ON CLOSED CONTRACTS	$0 00
10.	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS -- 12/31/2012	$0 00
11	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS -- 12/31/2013	$0 00
12	AGGREGATE PROFIT OR (LOSS) ON CONTRACTS	$0 00
13	STATE	N/A
14	STATE IDENTIFICATION NO	N/A
15	STATE TAX WITHHELD	$0 00

FORM 1099-OID ORIGINAL ISSUE DISCOUNT OMB #1545-0117
TOTALS FOR TAX YEAR 2013

IRS BOX		
1.	ORIGINAL ISSUE DISCOUNT FOR 2013*	$0 00
2.	OTHER PERIODIC INTEREST	$0 00
3.	EARLY WITHDRAWAL PENALTY	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	$0.00
5	FOREIGN TAX PAID	$0 00
6	FOREIGN COUNTRY OR U.S POSSESSION	N/A
8	ORIGINAL ISSUE DISCOUNT ON U S TREASURY OBLIGATIONS*	$0 00
9	INVESTMENT EXPENSES	$0.00
10.	STATE	N/A
11	STATE IDENTIFICATION NO	N/A
12	STATE TAX WITHHELD	$0 00

* This may or may not be the correct figure to report on your income tax return. See instructions on the last page

NON-FEDERALLY REPORTED ITEMS
TOTALS FOR TAX YEAR 2013

1.	MARGIN DEBIT INTEREST	$0.00
2.	LONG TERM OID ON EXEMPT MUNI BONDS	$0 00
3	BASIS ADJUSTMENTS	$0 00
4.	RETURN OF CAPITAL OR PRINCIPAL	$0.00
5.	LONG TERM OID ON EXEMPT MUNI SUBJECT TO ALT MIN. TAX	$0 00
6	MISC TAX, SHORTFALLS AND EXEMPT INCOME INVT EXPENSES	$0 00
7	MISC FEES AND EXPENSES INCL MANAGED ACCOUNT FEES	$7,351 87
8	PARTNERSHIP (MLP)	$0 00
9	ACCRUED INTEREST PURCHASED	$0 00
10	ACCRUED INTEREST PURCHASED EXEMPT	$0 00
11	PURCHASES	$269,845 74
12	OPTIONS	PLEASE SEE OPTIONS SECTION
13*	DIRECT BUSINESS MUTUAL FUND SALE TRADES	$0 00

IMPORTANT TAX INFORMATION

The 2013 1099 and 2013 Widely Held Fixed Investment Trust (WHFIT) Tax Guides, which contain illustrated examples and explanations of tax statements you may receive, will now be available on the Disclosures page of our corporate site at http://lplfinancial.lpl.com/disclosure.htm As LPL Financial does not provide tax advisory services, please share and consult with your tax professional before using the information contained in these guides for tax reporting purposes.

Additional REMIC and/or Widely Held Mortgage Trust (WHMT) Statement May Be Pending:

If there are securities listed below then our records indicate you held Mortgage Backed Securities such as Collateralized Mortgage Obligations (CMO), Collateralized Debt Obligations (CDO), Real Estate Mortgage Investment Conduits (REMIC) or Widely Held Mortgage Trusts (WHMT) in 2013 with LPL Financial Additional 1099s and related tax information will be mailed to you by March 17, 2014 in connection with these assets. As a reminder, if there are securities listed below, please do not complete your tax return until you have received this additional information from LPL Financial

CUSIP	Security Description	CUSIP	Security Description
-----	-----	-----	-----

1099-DIV

Account Number: **3976-1589**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

This section may contain federally reported income in boxes 10 and 11, which may be useful in helping you prepare your tax return. This income may be taxable at the state or local level. Please review this information with your tax advisor.

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
AT&T INC	00206R102	252.000	02/01/13	01/08/13	QUALIFIED DIVIDEND	113 40	1B				
AT&T INC	00206R102	252 000	05/01/13	04/08/13	QUALIFIED DIVIDEND	113 40	1B				
AT&T INC	00206R102	252 000	08/01/13	07/08/13	QUALIFIED DIVIDEND	113 40	1B				
AT&T INC	00206R102	252 000	11/01/13	10/08/13	QUALIFIED DIVIDEND	113 40	1B				
SUBTOTAL						**453.60**					
AETNA INC NEW	00817Y108	71 000	10/25/13	10/08/13	QUALIFIED DIVIDEND	14 20	1B				
ALLSTATE CORP	020002101	88 000	07/01/13	05/29/13	QUALIFIED DIVIDEND	22 00	1B				
ALLSTATE CORP	020002101	88 000	10/01/13	08/28/13	QUALIFIED DIVIDEND	22 00	1B				
SUBTOTAL						**44.00**					
AMERN EXPRESS CO	025816109	58 000	02/08/13	01/02/13	QUALIFIED DIVIDEND	11 60	1B				
AMERN EXPRESS CO	025816109	58 000	05/10/13	04/03/13	QUALIFIED DIVIDEND	11 60	1B				
AMERN EXPRESS CO	025816109	58 000	08/09/13	07/02/13	QUALIFIED DIVIDEND	13 34	1B				
AMERN EXPRESS CO	025816109	58 000	11/08/13	10/02/13	QUALIFIED DIVIDEND	13 34	1B				
SUBTOTAL						**49.88**					
AMERICAN STS WTR CO	029899101	103 000	03/01/13	02/13/13	QUALIFIED DIVIDEND	36 57	1B				
AMERICAN STS WTR CO	029899101	103.000	06/03/13	05/13/13	QUALIFIED DIVIDEND	36 57	1B				
AMERICAN STS WTR CO	029899101	103 000	09/03/13	08/13/13	QUALIFIED DIVIDEND	41.72	1B				
AMERICAN STS WTR CO	029899101	206.000	12/02/13	11/13/13	QUALIFIED DIVIDEND	41 72	1B				
SUBTOTAL						**156.58**					
AMGEN INC	031162100	68 000	03/07/13	02/11/13	QUALIFIED DIVIDEND	31.96	1B				
AMGEN INC	031162100	51 000	06/07/13	05/14/13	QUALIFIED DIVIDEND	23 97	1B				
AMGEN INC	031162100	51 000	09/06/13	08/14/13	QUALIFIED DIVIDEND	23.97	1B				
AMGEN INC	031162100	48 000	12/06/13	11/12/13	QUALIFIED DIVIDEND	22 56	1B				
SUBTOTAL						**102.46**					
APACHE CORP	037411105	36 000	02/22/13	01/22/13	QUALIFIED DIVIDEND	6 12	1B				
APPLIED INDUSTRIAL TECH	03820C105	64 000	02/28/13	02/13/13	QUALIFIED DIVIDEND	14 72	1B				
APPLIED INDUSTRIAL TECH	03820C105	64 000	05/31/13	05/13/13	QUALIFIED DIVIDEND	14 72	1B				
APPLIED INDUSTRIAL TECH	03820C105	64 000	08/30/13	08/13/13	QUALIFIED DIVIDEND	14 72	1B				

1099-DIV, continued

Account Number: **3976-1589**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
APPLIED INDUSTRIAL TECH	03820C105	50 000	11/29/13	11/13/13	QUALIFIED DIVIDEND	11 50	1B				
SUBTOTAL						**55.66**					
ARES CAPITAL CORP	04010L103	116,000	04/01/13		DIVIDEND	41 05	1A				
ARES CAPITAL CORP	04010L103	116 000	04/01/13	03/13/13	QUALIFIED DIVIDEND	3 03	1B				
ARES CAPITAL CORP	04010L103	116 000	06/28/13		DIVIDEND	41 05	1A				
ARES CAPITAL CORP	04010L103	116 000	06/28/13	06/12/13	QUALIFIED DIVIDEND	3 03	1B				
ARES CAPITAL CORP	04010L103	116 000	09/30/13		DIVIDEND	41,05	1A				
ARES CAPITAL CORP	04010L103	116 000	09/30/13	09/12/13	QUALIFIED DIVIDEND	3 03	1B				
ARES CAPITAL CORP	04010L103	236.000	12/31/13		DIVIDEND	94 50	1A				
ARES CAPITAL CORP	04010L103	236.000	12/31/13	12/12/13	QUALIFIED DIVIDEND	6 96	1B				
SUBTOTAL						**233.72**					
BANK AMERICA CORP	060505104	254 000	12/27/13	12/04/13	QUALIFIED DIVIDEND	2 54	1B				
BARRICK GOLD CORP	067901108	141.000	03/15/13	02/26/13	QUALIFIED DIVIDEND	28 20	1B			CA	
BLACKROCK INC	09247X101	40 000	03/25/13	03/05/13	QUALIFIED DIVIDEND	67.20	1B				
BLACKROCK INC	09247X101	40 000	06/24/13	06/06/13	QUALIFIED DIVIDEND	67 20	1B				
BLACKROCK INC	09247X101	32 000	09/23/13	08/29/13	QUALIFIED DIVIDEND	53 76	1B				
BLACKROCK INC	09247X101	32 000	12/23/13	11/29/13	QUALIFIED DIVIDEND	53 76	1B				
SUBTOTAL						**241.92**					
JPMORGAN PRIME MMKT SERV	09999775	3 145 000	01/31/13		DIVIDEND	0 04	1A				
JPMORGAN PRIME MMKT SERV	09999775	4,393.000	02/28/13		DIVIDEND	0 03	1A				
JPMORGAN PRIME MMKT SERV	09999775	6,077.000	03/28/13		DIVIDEND	0 04	1A				
JPMORGAN PRIME MMKT SERV	09999775	2,910 000	04/30/13		DIVIDEND	0 04	1A				
JPMORGAN PRIME MMKT SERV	09999775	0 000	05/21/13		DIVIDEND	0 01	1A				
JPMORGAN PRIME MMKT SERV	09999775	1 928 000	06/28/13		DIVIDEND	0 02	1A				
JPMORGAN PRIME MMKT SERV	09999775	2,648 000	07/31/13		DIVIDEND	0.02	1A				
JPMORGAN PRIME MMKT SERV	09999775	1,420 000	08/30/13		DIVIDEND	0 02	1A				
JPMORGAN PRIME MMKT SERV	09999775	2,562.000	09/30/13		DIVIDEND	0 02	1A				
JPMORGAN PRIME MMKT SERV	09999775	2,915 000	10/31/13		DIVIDEND	0 02	1A				
JPMORGAN PRIME MMKT SERV	09999775	2,558.000	11/29/13		DIVIDEND	0 04	1A				
JPMORGAN PRIME MMKT SERV	09999775	0 000	12/16/13		SHORT TERM CAP	0 04	1A				

1099-DIV, continued

Account Number: **3976-1589**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
JPMORGAN PRIME MMKT SERV	09999775	3,896.000	12/31/13		DIVIDEND	0.03	1A				
SUBTOTAL						**0.37**					
BRINKER INTL INC	109641100	86.000	06/27/13	06/12/13	QUALIFIED DIVIDEND	17.20	1B				
BRINKER INTL INC	109641100	86.000	09/26/13	09/04/13	QUALIFIED DIVIDEND	20.64	1B				
BRINKER INTL INC	109641100	86.000	12/26/13	12/04/13	QUALIFIED DIVIDEND	20.64	1B				
SUBTOTAL						**58.48**					
BROADCOM CORP CL A	111320107	88.000	03/04/13		RETURN OF CAP	9.68	3				
CALIFORNIA WTR SVC GRP	130788102	120.000	02/22/13	02/07/13	QUALIFIED DIVIDEND	19.20	1B				
CALIFORNIA WTR SVC GRP	130788102	120.000	05/17/13	05/02/13	QUALIFIED DIVIDEND	19.20	1B				
CALIFORNIA WTR SVC GRP	130788102	120.000	08/23/13	08/08/13	QUALIFIED DIVIDEND	19.20	1B				
CALIFORNIA WTR SVC GRP	130788102	112.000	11/25/13	11/07/13	QUALIFIED DIVIDEND	17.92	1B				
SUBTOTAL						**75.52**					
CHEVRON CORP	166764100	88.000	03/11/13	02/13/13	QUALIFIED DIVIDEND	79.20	1B				
CHEVRON CORP	166764100	88.000	06/10/13	05/15/13	QUALIFIED DIVIDEND	88.00	1B				
CHEVRON CORP	166764100	88.000	09/10/13	08/15/13	QUALIFIED DIVIDEND	88.00	1B				
CHEVRON CORP	166764100	88.000	12/10/13	11/14/13	QUALIFIED DIVIDEND	88.00	1B				
SUBTOTAL						**343.20**					
CISCO SYSTEMS INC	17275R102	203.000	04/24/13	04/04/13	QUALIFIED DIVIDEND	34.51	1B				
CISCO SYSTEMS INC	17275R102	292.000	07/24/13	07/01/13	QUALIFIED DIVIDEND	49.64	1B				
CISCO SYSTEMS INC	17275R102	292.000	10/23/13	10/01/13	QUALIFIED DIVIDEND	49.64	1B				
SUBTOTAL						**133.79**					
CINTAS CORP	172908105	65.000	12/11/13	11/06/13	QUALIFIED DIVIDEND	50.05	1B				
CITIGROUP INC NEW	172967424	100.000	02/22/13	01/31/13	QUALIFIED DIVIDEND	1.00	1B				
CITIGROUP INC NEW	172967424	100.000	05/24/13	05/02/13	QUALIFIED DIVIDEND	1.00	1B				
CITIGROUP INC NEW	172967424	124.000	08/23/13	08/01/13	QUALIFIED DIVIDEND	1.24	1B				
CITIGROUP INC NEW	172967424	124.000	11/22/13	10/31/13	QUALIFIED DIVIDEND	1.24	1B				
SUBTOTAL						**4.48**					

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Account Number: **3976-1589**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
COLGATEPALMOLIVE COMPANY	194162103	49 000	02/15/13	01/22/13	QUALIFIED DIVIDEND	30 38	1B				
COLGATEPALMOLIVE COMPANY	194162103	49 000	05/15/13	04/19/13	QUALIFIED DIVIDEND	33 32	1B				
COLGATEPALMOLIVE COMPANY	194162103	98 000	08/15/13	07/19/13	QUALIFIED DIVIDEND	33 32	1B				
COLGATEPALMOLIVE COMPANY	194162103	98 000	11/15/13	10/18/13	QUALIFIED DIVIDEND	33 32	1B				
SUBTOTAL						**130.34**					
CORNING INC	219350105	157 000	09/30/13	08/28/13	QUALIFIED DIVIDEND	15 70	1B				
CORNING INC	219350105	157.000	12/13/13	11/13/13	QUALIFIED DIVIDEND	15 70	1B				
SUBTOTAL						**31.40**					
DELTA AIRLINES INC NEW	247361702	327 000	09/10/13	08/07/13	QUALIFIED DIVIDEND	19 62	1B				
DELTA AIRLINES INC NEW	247361702	227.000	11/26/13	11/04/13	QUALIFIED DIVIDEND	13.62	1B				
SUBTOTAL						**33.24**					
DR PEPPER SNAPPLE GROUP	26138E109	109 000	01/04/13	12/13/12	QUALIFIED DIVIDEND	37 06	1B				
EMC CORP MASS	268648102	250 000	07/23/13	06/27/13	QUALIFIED DIVIDEND	25.00	1B				
EMC CORP MASS	268648102	359 000	10/23/13	09/27/13	QUALIFIED DIVIDEND	35 90	1B				
SUBTOTAL						**60.90**					
EAST WEST BANCORP INC	27579R104	212 000	02/22/13	02/06/13	QUALIFIED DIVIDEND	31.80	1B				
EAST WEST BANCORP INC	27579R104	212.000	05/13/13	04/24/13	QUALIFIED DIVIDEND	31 80	1B				
EAST WEST BANCORP INC	27579R104	212 000	08/15/13	07/29/13	QUALIFIED DIVIDEND	31 80	1B				
EAST WEST BANCORP INC	27579R104	212 000	11/15/13	10/29/13	QUALIFIED DIVIDEND	31 80	1B				
SUBTOTAL						**127.20**					
ENERGIZER HLDGS INC	29266R108	25 000	03/13/13	02/15/13	QUALIFIED DIVIDEND	10 00	1B				
ENERGIZER HLDGS INC	29266R108	35 000	06/11/13	05/17/13	QUALIFIED DIVIDEND	14.00	1B				
SUBTOTAL						**24.00**					
ETHAN ALLEN INTERIORS	297602104	118 000	04/25/13	04/08/13	QUALIFIED DIVIDEND	10 62	1B				
EXXON MOBIL CORP	30231G102	91 000	03/11/13	02/07/13	QUALIFIED DIVIDEND	51 87	1B				
EXXON MOBIL CORP	30231G102	91 000	06/10/13	05/09/13	QUALIFIED DIVIDEND	57 33	1B				
EXXON MOBIL CORP	30231G102	91 000	09/10/13	08/09/13	QUALIFIED DIVIDEND	57 33	1B				

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Account Number: **3976-1589**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
EXXON MOBIL CORP	30231G102	91 000	12/10/13	11/07/13	QUALIFIED DIVIDEND	57 33	1B				
SUBTOTAL						**223.86**					
FEI COMPANY	30241L109	15 000	01/22/13	01/07/13	QUALIFIED DIVIDEND	1 20	1B				
FEI COMPANY	30241L109	34 000	04/23/13	04/08/13	QUALIFIED DIVIDEND	2 72	1B				
FEI COMPANY	30241L109	34 000	07/23/13	07/08/13	QUALIFIED DIVIDEND	4 08	1B				
FEI COMPANY	30241L109	34 000	10/22/13	10/07/13	QUALIFIED DIVIDEND	4 08	1B				
SUBTOTAL						**12.08**					
FLOWSERVE CORP	34354P105	31.000	01/14/13	12/27/12	QUALIFIED DIVIDEND	11 16	1B				
FLOWSERVE CORP	34354P105	31.000	04/12/13	03/26/13	QUALIFIED DIVIDEND	13 02	1B				
FLOWSERVE CORP	34354P105	93 000	07/12/13	06/28/13	QUALIFIED DIVIDEND	13 02	1B				
FLOWSERVE CORP	34354P105	93 000	10/11/13	09/25/13	QUALIFIED DIVIDEND	13 02	1B				
SUBTOTAL						**50.22**					
GNC HOLDINGS INC CL A	36191G107	89 000	06/28/13	06/12/13	QUALIFIED DIVIDEND	13 35	1B				
GNC HOLDINGS INC CL A	36191G107	89 000	09/27/13	09/11/13	QUALIFIED DIVIDEND	13 35	1B				
GNC HOLDINGS INC CL A	36191G107	89.000	12/27/13	12/11/13	QUALIFIED DIVIDEND	13 35	1B				
SUBTOTAL						**40.05**					
GAP INC	364760108	123 000	05/01/13	04/08/13	QUALIFIED DIVIDEND	18 45	1B				
GAP INC	364760108	168 000	07/31/13	07/08/13	QUALIFIED DIVIDEND	25 20	1B				
GAP INC	364760108	119 000	10/30/13	10/11/13	QUALIFIED DIVIDEND	23 80	1B				
SUBTOTAL						**67.45**					
GENL MILLS INC	370334104	91 000	05/01/13	04/08/13	QUALIFIED DIVIDEND	30 03	1B				
GENL MILLS INC	370334104	123.000	08/01/13	07/08/13	QUALIFIED DIVIDEND	46.74	1B				
GENL MILLS INC	370334104	123 000	11/01/13	10/08/13	QUALIFIED DIVIDEND	46 74	1B				
SUBTOTAL						**123.51**					
GLOBAL PAYMENTS INC	37940X102	92 000	02/28/13	02/13/13	QUALIFIED DIVIDEND	1 84	1B				
GOLDMAN SACHS GROUP INC	38141G104	22.000	03/28/13	02/26/13	QUALIFIED DIVIDEND	11 00	1B				
GOLDMAN SACHS GROUP INC	38141G104	22 000	06/27/13	05/28/13	QUALIFIED DIVIDEND	11 00	1B				

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Account Number' 3976-1589

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC'
GOLDMAN SACHS GROUP INC	38141G104	22 000	09/27/13	08/28/13	QUALIFIED DIVIDEND	11 00	1B				
SUBTOTAL						**33.00**					
HANESBRANDS INC	410345102	84 000	06/03/13	05/16/13	QUALIFIED DIVIDEND	16 80	1B				
HANESBRANDS INC	410345102	84 000	09/03/13	08/09/13	QUALIFIED DIVIDEND	16.80	1B				
HANESBRANDS INC	410345102	84 000	12/03/13	11/06/13	QUALIFIED DIVIDEND	16 80	1B				
SUBTOTAL						**50.40**					
HEINZ HJ COMPANY	423074103	75 000	04/10/13	03/21/13	QUALIFIED DIVIDEND	38 63	1B				
HERSHEY COMPANY	427866108	84 000	03/15/13	02/21/13	QUALIFIED DIVIDEND	35.28	1B				
HERSHEY COMPANY	427866108	84 000	06/14/13	05/22/13	QUALIFIED DIVIDEND	35 28	1B				
HERSHEY COMPANY	427866108	68 000	09/13/13	08/21/13	QUALIFIED DIVIDEND	32.98	1B				
HERSHEY COMPANY	427866108	68 000	12/13/13	11/21/13	QUALIFIED DIVIDEND	32 98	1B				
SUBTOTAL						**136.52**					
HEWLETT-PACKARD COMPANY	428236103	96 000	07/03/13	06/10/13	QUALIFIED DIVIDEND	13.94	1B				
HOME DEPOT INC	437076102	115 000	03/28/13	03/12/13	QUALIFIED DIVIDEND	44 85	1B				
HOME DEPOT INC	437076102	125 000	06/20/13	06/04/13	QUALIFIED DIVIDEND	48 75	1B				
HOME DEPOT INC	437076102	125 000	09/19/13	09/03/13	QUALIFIED DIVIDEND	48.75	1B				
HOME DEPOT INC	437076102	125 000	12/19/13	12/03/13	QUALIFIED DIVIDEND	48 75	1B				
SUBTOTAL						**191.10**					
HONEYWELL INTL INC	438516106	29 000	09/10/13	08/16/13	QUALIFIED DIVIDEND	11 89	1B				
HONEYWELL INTL INC	438516106	76 000	12/10/13	11/19/13	QUALIFIED DIVIDEND	34.20	1B				
SUBTOTAL						**46.09**					
HOSPITALITY PPTYS TR SBI	44106M102	100 000	02/22/13		DIVIDEND	45.07	1A				
HOSPITALITY PPTYS TR SBI	44106M102	100 000	02/22/13	01/29/13	QUALIFIED DIVIDEND	0.54	1B				
HOSPITALITY PPTYS TR SBI	44106M102	100 000	02/22/13		RETURN OF CAP	1 39	3				
HOSPITALITY PPTYS TR SBI	44106M102	100 000	05/24/13		DIVIDEND	45.07	1A				
HOSPITALITY PPTYS TR SBI	44106M102	100 000	05/24/13	04/24/13	QUALIFIED DIVIDEND	0.54	1B				
HOSPITALITY PPTYS TR SBI	44106M102	100 000	05/24/13		RETURN OF CAP	1 39	3				
HOSPITALITY PPTYS TR SBI	44106M102	100 000	08/23/13		DIVIDEND	45.07	1A				

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Account Number. 3976-1589

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
HOSPITALITY PPTYS TR SBI	44106M102	100.000	08/23/13	07/24/13	QUALIFIED DIVIDEND	0.54	1B				
HOSPITALITY PPTYS TR SBI	44106M102	100.000	08/23/13		RETURN OF CAP	1.39	3				
HOSPITALITY PPTYS TR SBI	44106M102	100.000	11/22/13		DIVIDEND	46.03	1A				
HOSPITALITY PPTYS TR SBI	44106M102	100.000	11/22/13	10/23/13	QUALIFIED DIVIDEND	0.55	1B				
HOSPITALITY PPTYS TR SBI	44106M102	100.000	11/22/13		RETURN OF CAP	1.42	3				
SUBTOTAL						**189.00**					
HUBBELL INC CLASS B	443510201	24.000	03/15/13	02/27/13	QUALIFIED DIVIDEND	10.80	1B				
HUBBELL INC CLASS B	443510201	24.000	06/14/13	05/29/13	QUALIFIED DIVIDEND	10.80	1B				
HUBBELL INC CLASS B	443510201	24.000	09/13/13	08/28/13	QUALIFIED DIVIDEND	10.80	1B				
HUBBELL INC CLASS B	443510201	24.000	12/13/13	11/26/13	QUALIFIED DIVIDEND	12.00	1B				
SUBTOTAL						**44.40**					
IAC/INTERACTIVECRP $.001	44919P508	61.000	03/01/13	02/13/13	QUALIFIED DIVIDEND	14.64	1B				
IAC/INTERACTIVECRP $.001	44919P508	61.000	06/03/13	05/13/13	QUALIFIED DIVIDEND	14.64	1B				
IAC/INTERACTIVECRP $.001	44919P508	92.000	09/03/13	08/13/13	QUALIFIED DIVIDEND	22.08	1B				
SUBTOTAL						**51.36**					
INGREDION INC	457187102	83.000	01/25/13	12/27/12	QUALIFIED DIVIDEND	21.58	1B				
INTEL CORP	458140100	146.000	06/03/13	05/03/13	QUALIFIED DIVIDEND	32.85	1B				
INTEL CORP	458140100	182.000	09/03/13	08/05/13	QUALIFIED DIVIDEND	40.95	1B				
INTEL CORP	458140100	182.000	12/02/13	11/05/13	QUALIFIED DIVIDEND	40.95	1B				
SUBTOTAL						**114.75**					
INTL BUSINESS MACHS CORP	459200101	41.000	03/11/13	02/06/13	QUALIFIED DIVIDEND	34.85	1B				
INTL BUSINESS MACHS CORP	459200101	31.000	06/10/13	05/08/13	QUALIFIED DIVIDEND	29.45	1B				
INTL BUSINESS MACHS CORP	459200101	31.000	09/10/13	08/07/13	QUALIFIED DIVIDEND	29.45	1B				
SUBTOTAL						**93.75**					
JPMORGAN CHASE & COMPANY	46625H100	110.000	01/31/13	01/02/13	QUALIFIED DIVIDEND	33.00	1B				
JOHNSON & JOHNSON	478160104	84.000	06/11/13	05/23/13	QUALIFIED DIVIDEND	55.44	1B				
JOHNSON & JOHNSON	478160104	84.000	09/10/13	08/23/13	QUALIFIED DIVIDEND	55.44	1B				

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Account Number: **3976-1589**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
JOHNSON & JOHNSON	478160104	84.000	12/10/13	11/22/13	QUALIFIED DIVIDEND	55.44	1B				
SUBTOTAL						**166.32**					
JONES LANG LASALLE INC	48020Q107	39.000	06/14/13	05/14/13	QUALIFIED DIVIDEND	8.58	1B				
JONES LANG LASALLE INC	48020Q107	39.000	12/13/13	11/13/13	QUALIFIED DIVIDEND	8.58	1B				
SUBTOTAL						**17.16**					
KIMBERLY CLARK CORP	494368103	63.000	01/03/13	12/05/12	QUALIFIED DIVIDEND	46.62	1B				
KIMBERLY CLARK CORP	494368103	74.000	04/02/13	03/06/13	QUALIFIED DIVIDEND	59.94	1B				
KIMBERLY CLARK CORP	494368103	74.000	07/02/13	06/05/13	QUALIFIED DIVIDEND	59.94	1B				
KIMBERLY CLARK CORP	494368103	59.000	10/02/13	09/04/13	QUALIFIED DIVIDEND	47.79	1B				
SUBTOTAL						**214.29**					
KROGER COMPANY	501044101	266.000	03/01/13	02/13/13	QUALIFIED DIVIDEND	39.90	1B				
KROGER COMPANY	501044101	266.000	06/03/13	05/13/13	QUALIFIED DIVIDEND	39.90	1B				
KROGER COMPANY	501044101	266.000	09/03/13	08/13/13	QUALIFIED DIVIDEND	39.90	1B				
KROGER COMPANY	501044101	236.000	12/02/13	11/13/13	QUALIFIED DIVIDEND	38.94	1B				
SUBTOTAL						**158.64**					
LEAR CORP NEW	521865204	65.000	09/25/13	09/04/13	QUALIFIED DIVIDEND	11.05	1B				
LEAR CORP NEW	521865204	65.000	12/26/13	12/04/13	QUALIFIED DIVIDEND	11.05	1B				
SUBTOTAL						**22.10**					
MACYS INC	55616P104	131.000	01/02/13	12/12/12	QUALIFIED DIVIDEND	26.20	1B				
MACYS INC	55616P104	131.000	04/01/13	03/13/13	QUALIFIED DIVIDEND	26.20	1B				
MACYS INC	55616P104	131.000	07/01/13	06/12/13	QUALIFIED DIVIDEND	32.75	1B				
MACYS INC	55616P104	77.000	10/01/13	09/11/13	QUALIFIED DIVIDEND	19.25	1B				
SUBTOTAL						**104.40**					
MARATHON OIL CORP	565849106	212.000	03/11/13	02/15/13	QUALIFIED DIVIDEND	36.04	1B				
MARATHON OIL CORP	565849106	243.000	06/10/13	05/14/13	QUALIFIED DIVIDEND	41.31	1B				
MARATHON OIL CORP	565849106	243.000	09/10/13	08/19/13	QUALIFIED DIVIDEND	46.17	1B				
MARATHON OIL CORP	565849106	243.000	12/10/13	11/18/13	QUALIFIED DIVIDEND	46.17	1B				
SUBTOTAL						**169.69**					

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DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
MARSH & MCLENNAN COS INC	571748102	131.000	02/15/13	01/24/13	QUALIFIED DIVIDEND	30.13	1B				
MARSH & MCLENNAN COS INC	571748102	131.000	05/15/13	04/08/13	QUALIFIED DIVIDEND	30.13	1B				
MARSH & MCLENNAN COS INC	571748102	131.000	08/15/13	07/09/13	QUALIFIED DIVIDEND	32.75	1B				
MARSH & MCLENNAN COS INC	571748102	131.000	11/15/13	10/09/13	QUALIFIED DIVIDEND	32.75	1B				
SUBTOTAL						**125.76**					
MATTEL INC	577081102	86.000	03/08/13		RETURN OF CAP	30.96	3				
MATTEL INC	577081102	124.000	06/14/13		RETURN OF CAP	44.64	3				
SUBTOTAL						**75.60**					
MC CORMICK & COMPANY	579780206	57.000	01/14/13	12/27/12	QUALIFIED DIVIDEND	19.38	1B				
MC CORMICK & COMPANY	579780206	41.000	04/29/13	04/11/13	QUALIFIED DIVIDEND	13.94	1B				
MC CORMICK & COMPANY	579780206	41.000	07/22/13	07/03/13	QUALIFIED DIVIDEND	13.94	1B				
SUBTOTAL						**47.26**					
MCKESSON CORP	58155Q103	32.000	01/02/13	11/29/12	QUALIFIED DIVIDEND	6.40	1B				
MCKESSON CORP	58155Q103	32.000	04/01/13	02/27/13	QUALIFIED DIVIDEND	6.40	1B				
MCKESSON CORP	58155Q103	32.000	07/01/13	06/05/13	QUALIFIED DIVIDEND	6.40	1B				
MCKESSON CORP	58155Q103	38.000	10/01/13	08/29/13	QUALIFIED DIVIDEND	9.12	1B				
SUBTOTAL						**28.32**					
MERCK & COMPANY INC NEW	58933Y105	119.000	01/08/13	12/13/12	QUALIFIED DIVIDEND	51.17	1B				
MERCK & COMPANY INC NEW	58933Y105	119.000	04/05/13	03/13/13	QUALIFIED DIVIDEND	51.17	1B				
SUBTOTAL						**102.34**					
METLIFE INC	59156R108	121.000	03/13/13	02/04/13	QUALIFIED DIVIDEND	22.39	1B				
MONSANTO COMPANY NEW	61166W101	55.000	01/28/13	01/02/13	QUALIFIED DIVIDEND	20.63	1B				
MONSANTO COMPANY NEW	61166W101	55.000	04/26/13	04/03/13	QUALIFIED DIVIDEND	20.63	1B				
MONSANTO COMPANY NEW	61166W101	55.000	07/26/13	07/02/13	QUALIFIED DIVIDEND	20.63	1B				
MONSANTO COMPANY NEW	61166W101	55.000	10/25/13	10/02/13	QUALIFIED DIVIDEND	23.65	1B				
SUBTOTAL						**85.54**					
NICE SYSTEMS LTD ADR	653656108	36.000	09/09/13	08/20/13	QUALIFIED DIVIDEND	5.76	1B			IS	
NICE SYSTEMS LTD ADR	653656108	0.000	09/09/13		TAX AT SOURCE	(0.86)	6			IS	

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DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
NICE SYSTEMS LTD ADR	653656108	99.000	11/26/13	11/07/13	QUALIFIED DIVIDEND	15.84	1B			IS	
NICE SYSTEMS LTD ADR	653656108	0.000	11/26/13		TAX AT SOURCE	(2.38)	6			IS	
SUBTOTAL						**18.36**					
NISOURCE INC	65473P105	76.000	11/20/13	10/29/13	QUALIFIED DIVIDEND	19.00	1B				
NORTHEAST UTILITIES	664397106	52.000	03/28/13	02/27/13	QUALIFIED DIVIDEND	19.11	1B				
NORTHEAST UTILITIES	664397106	52.000	06/28/13	05/29/13	QUALIFIED DIVIDEND	19.11	1B				
NORTHEAST UTILITIES	664397106	52.000	09/30/13	09/12/13	QUALIFIED DIVIDEND	19.11	1B				
NORTHEAST UTILITIES	664397106	52.000	12/31/13	12/11/13	QUALIFIED DIVIDEND	19.11	1B				
SUBTOTAL						**76.44**					
NU SKIN ENTERPRISES INC	67018T105	30.000	09/11/13	08/21/13	QUALIFIED DIVIDEND	9.00	1B				
NU SKIN ENTERPRISES INC	67018T105	30.000	12/04/13	11/20/13	QUALIFIED DIVIDEND	9.00	1B				
SUBTOTAL						**18.00**					
PPG INDUSTRIES INC	693506107	26.000	03/12/13	02/20/13	QUALIFIED DIVIDEND	15.34	1B				
PPG INDUSTRIES INC	693506107	26.000	06/12/13	05/08/13	QUALIFIED DIVIDEND	15.86	1B				
PPG INDUSTRIES INC	693506107	26.000	09/12/13	08/08/13	QUALIFIED DIVIDEND	15.86	1B				
PPG INDUSTRIES INC	693506107	26.000	12/12/13	11/06/13	QUALIFIED DIVIDEND	15.86	1B				
SUBTOTAL						**62.92**					
PEPSICO INC	713448108	68.000	06/28/13	06/05/13	QUALIFIED DIVIDEND	38.59	1B				
PEPSICO INC	713448108	68.000	09/30/13	09/04/13	QUALIFIED DIVIDEND	38.59	1B				
SUBTOTAL						**77.18**					
PERRIGO COMPANY	714290103	34.000	03/19/13	02/27/13	QUALIFIED DIVIDEND	3.06	1B				
PERRIGO COMPANY	714290103	34.000	06/18/13	05/29/13	QUALIFIED DIVIDEND	3.06	1B				
PERRIGO COMPANY	714290103	34.000	09/17/13	08/28/13	QUALIFIED DIVIDEND	3.06	1B				
PERRIGO COMPANY	714290103	34.000	12/17/13	11/26/13	QUALIFIED DIVIDEND	3.06	1B				
SUBTOTAL						**12.24**					
PFIZER INC	717081103	200.000	12/03/13	11/06/13	QUALIFIED DIVIDEND	48.00	1B				
PINNACLE WEST CAP CORP	723484101	62.000	03/01/13	01/30/13	QUALIFIED DIVIDEND	33.79	1B				

1099-DIV, continued

Account Number: **3976-1589**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
PINNACLE WEST CAP CORP	723484101	62.000	06/03/13	04/29/13	QUALIFIED DIVIDEND	33.79	1B				
PINNACLE WEST CAP CORP	723484101	62.000	09/03/13	07/30/13	QUALIFIED DIVIDEND	33.79	1B				
SUBTOTAL						**101.37**					
POWER INTEGRATIONS INC	739276103	13.000	09/30/13	08/28/13	QUALIFIED DIVIDEND	1.04	1B				
POWER INTEGRATIONS INC	739276103	25.000	12/31/13	11/26/13	QUALIFIED DIVIDEND	2.00	1B				
SUBTOTAL						**3.04**					
PRUDENTIAL FINL INC	744320102	63.000	09/19/13	08/23/13	QUALIFIED DIVIDEND	25.20	1B				
PRUDENTIAL FINL INC	744320102	63.000	12/19/13	11/22/13	QUALIFIED DIVIDEND	33.39	1B				
SUBTOTAL						**58.59**					
QUALCOMM INC	747525103	63.000	03/27/13	03/06/13	QUALIFIED DIVIDEND	15.75	1B				
QUALCOMM INC	747525103	63.000	06/26/13	06/03/13	QUALIFIED DIVIDEND	22.05	1B				
QUALCOMM INC	747525103	63.000	09/25/13	08/30/13	QUALIFIED DIVIDEND	22.05	1B				
QUALCOMM INC	747525103	63.000	12/19/13	11/27/13	QUALIFIED DIVIDEND	22.05	1B				
SUBTOTAL						**81.90**					
RAYONIER INC	754907103	88.000	03/28/13	03/12/13	QUALIFIED DIVIDEND	23.73	1B				
RAYONIER INC	754907103	88.000	03/28/13		CAPITAL GAIN	14.99	2A				
RAYONIER INC	754907103	88.000	06/28/13	06/12/13	QUALIFIED DIVIDEND	23.73	1B				
RAYONIER INC	754907103	88.000	06/28/13		CAPITAL GAIN	14.99	2A				
RAYONIER INC	754907103	88.000	09/30/13	09/12/13	QUALIFIED DIVIDEND	26.43	1B				
RAYONIER INC	754907103	88.000	09/30/13		CAPITAL GAIN	16.69	2A				
SUBTOTAL						**120.56**					
RAYTHEON COMPANY NEW	755111507	30.000	10/31/13	09/30/13	QUALIFIED DIVIDEND	16.50	1B				
ROSS STORES INC	778296103	78.000	03/28/13	02/20/13	QUALIFIED DIVIDEND	13.26	1B				
ROYAL DUTCH SHELL ADR B	780259107	51.000	03/28/13	02/13/13	QUALIFIED DIVIDEND	43.86	1B			UK	
ROYAL DUTCH SHELL ADR B	780259107	71.000	06/27/13	05/15/13	QUALIFIED DIVIDEND	63.90	1B			UK	
ROYAL DUTCH SHELL ADR B	780259107	71.000	09/26/13	08/14/13	QUALIFIED DIVIDEND	63.90	1B			UK	

1099-DIV, continued

Account Number. **3976-1589**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
ROYAL DUTCH SHELL ADR B	780259107	57.000	12/23/13	11/13/13	QUALIFIED DIVIDEND	51.30	1B			UK	
SUBTOTAL						**222.98**					
SM ENERGY COMPANY	78454L100	44.000	11/06/13	10/23/13	QUALIFIED DIVIDEND	2.20	1B				
SPDR S&P REGL BNKG ETF	78464A698	106.000	03/25/13	03/15/13	QUALIFIED DIVIDEND	10.47	1B				
SANDISK CORP	80004C101	65.000	08/30/13	08/08/13	QUALIFIED DIVIDEND	14.63	1B				
SANDISK CORP	80004C101	65.000	11/25/13	10/31/13	QUALIFIED DIVIDEND	14.63	1B				
SUBTOTAL						**29.26**					
SANOFI SPON ADR	80105N105	108.000	07/26/13	05/06/13	QUALIFIED DIVIDEND	195.40	1B			FR	
SANOFI SPON ADR	80105N105	0.000	07/26/13		TAX AT SOURCE	(58.62)	6			FR	
SUBTOTAL						**136.78**					
SCHLUMBERGER LTD	806857108	51.000	01/11/13	11/29/12	QUALIFIED DIVIDEND	14.03	1B			NT	
SCHLUMBERGER LTD	806857108	51.000	04/12/13	02/15/13	QUALIFIED DIVIDEND	15.94	1B			NT	
SCHLUMBERGER LTD	806857108	51.000	07/12/13	06/03/13	QUALIFIED DIVIDEND	15.94	1B			NT	
SCHLUMBERGER LTD	806857108	51.000	10/11/13	08/30/13	QUALIFIED DIVIDEND	15.94	1B			NT	
SUBTOTAL						**61.85**					
SCHOLASTIC CORP	807066105	38.000	03/15/13	01/29/13	QUALIFIED DIVIDEND	4.75	1B				
SMITH AO	831865209	48.000	02/15/13	01/29/13	QUALIFIED DIVIDEND	9.60	1B				
SMITH AO	831865209	48.000	05/14/13	04/25/13	QUALIFIED DIVIDEND	11.52	1B				
SMITH AO	831865209	96.000	08/15/13	07/29/13	QUALIFIED DIVIDEND	11.52	1B				
SMITH AO	831865209	96.000	11/15/13	10/29/13	QUALIFIED DIVIDEND	11.52	1B				
SUBTOTAL						**44.16**					
SNAP ON INC	833034101	32.000	03/11/13	02/26/13	QUALIFIED DIVIDEND	12.16	1B				
SNAP ON INC	833034101	32.000	06/10/13	05/16/13	QUALIFIED DIVIDEND	12.16	1B				
SNAP ON INC	833034101	32.000	09/10/13	08/21/13	QUALIFIED DIVIDEND	12.16	1B				
SNAP ON INC	833034101	32.000	12/10/13	11/20/13	QUALIFIED DIVIDEND	14.08	1B				
SUBTOTAL						**50.56**					

1099-DIV, continued

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
TEVA PHARMACEUTICAL ADR	881624209	67 000	03/07/13	02/19/13	QUALIFIED DIVIDEND	21 04	1B			IS	
TEVA PHARMACEUTICAL ADR	881624209	0 000	03/07/13		TAX AT SOURCE	(3 16)	6			IS	
TEVA PHARMACEUTICAL ADR	881624209	67 000	06/03/13	05/16/13	QUALIFIED DIVIDEND	21 14	1B			IS	
TEVA PHARMACEUTICAL ADR	881624209	0 000	06/03/13		TAX AT SOURCE	(3 17)	6			IS	
TEVA PHARMACEUTICAL ADR	881624209	98 000	09/03/13	08/16/13	QUALIFIED DIVIDEND	31 55	1B			IS	
TEVA PHARMACEUTICAL ADR	881624209	0 000	09/03/13		TAX AT SOURCE	(4 73)	6			IS	
TEVA PHARMACEUTICAL ADR	881624209	59 000	12/03/13	11/18/13	QUALIFIED DIVIDEND	19 26	1B			IS	
TEVA PHARMACEUTICAL ADR	881624209	0 000	12/03/13		TAX AT SOURCE	(2 89)	6			IS	
SUBTOTAL						**79.04**					
TIME WARNER NEW INC	887317303	109 000	03/15/13	02/26/13	QUALIFIED DIVIDEND	31.34	1B				
TIME WARNER NEW INC	887317303	109 000	06/17/13	05/29/13	QUALIFIED DIVIDEND	31 34	1B				
TIME WARNER NEW INC	887317303	108 000	09/16/13	08/28/13	QUALIFIED DIVIDEND	31 05	1B				
TIME WARNER NEW INC	887317303	108 000	12/16/13	11/26/13	QUALIFIED DIVIDEND	31 05	1B				
SUBTOTAL						**124.78**					
TRAVELERS COMPANIES INC	89417E109	89 000	04/02/13	03/06/13	QUALIFIED DIVIDEND	40 94	1B				
TRAVELERS COMPANIES INC	89417E109	89 000	06/28/13	06/06/13	QUALIFIED DIVIDEND	44 50	1B				
TRAVELERS COMPANIES INC	89417E109	89 000	09/30/13	09/06/13	QUALIFIED DIVIDEND	44 50	1B				
TRAVELERS COMPANIES INC	89417E109	89.000	12/31/13	12/06/13	QUALIFIED DIVIDEND	44 50	1B				
SUBTOTAL						**174.44**					
TYSON FOODS INC CLASS A	902494103	179 000	03/15/13	02/27/13	QUALIFIED DIVIDEND	8 95	1B				
TYSON FOODS INC CLASS A	902494103	143 000	06/14/13	05/29/13	QUALIFIED DIVIDEND	7 15	1B				
TYSON FOODS INC CLASS A	902494103	191 000	09/13/13	08/28/13	QUALIFIED DIVIDEND	9.55	1B				
TYSON FOODS INC CLASS A	902494103	191 000	12/13/13	11/26/13	QUALIFIED DIVIDEND	14 33	1B				
SUBTOTAL						**39.98**					
U S BANCORP DE NEW	902973304	167 000	01/15/13	12/27/12	QUALIFIED DIVIDEND	32.57	1B				
U S BANCORP DE NEW	902973304	167 000	04/15/13	03/26/13	QUALIFIED DIVIDEND	32 57	1B				
U S BANCORP DE NEW	902973304	132 000	07/15/13	06/26/13	QUALIFIED DIVIDEND	30 36	1B				
U S BANCORP DE NEW	902973304	132 000	10/15/13	09/26/13	QUALIFIED DIVIDEND	30.36	1B				
SUBTOTAL						**125.86**					
UNITED PARCEL SVC INC B	911312106	63 000	03/12/13	02/21/13	QUALIFIED DIVIDEND	39 06	1B				

1099-DIV, continued

Account Number: **3976-1589**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
UNITED PARCEL SVC INC B	911312106	63.000	05/29/13	05/09/13	QUALIFIED DIVIDEND	39.06	1B				
UNITED PARCEL SVC INC B	911312106	63.000	09/04/13	08/15/13	QUALIFIED DIVIDEND	39.06	1B				
UNITED PARCEL SVC INC B	911312106	63.000	12/04/13	11/14/13	QUALIFIED DIVIDEND	39.06	1B				
SUBTOTAL						**156.24**					
VALERO ENERGY CORP	91913Y100	83.000	03/13/13	02/11/13	QUALIFIED DIVIDEND	16.60	1B				
VERIZON COMMS INC	92343V104	138.000	05/01/13	04/08/13	QUALIFIED DIVIDEND	71.07	1B				
VERIZON COMMS INC	92343V104	138.000	08/01/13	07/08/13	QUALIFIED DIVIDEND	71.07	1B				
VERIZON COMMS INC	92343V104	138.000	11/01/13	10/08/13	QUALIFIED DIVIDEND	73.14	1B				
SUBTOTAL						**215.28**					
VISA INC CLASS A	92826C839	12.000	12/03/13	11/13/13	QUALIFIED DIVIDEND	4.80	1B				
VODAFONE GRP PLC NEW ADR	92857W209	152.000	02/06/13	11/20/12	QUALIFIED DIVIDEND	77.22	1B			UK	
WABTEC	929740108	49.000	03/01/13	02/13/13	QUALIFIED DIVIDEND	2.45	1B				
WABTEC	929740108	49.000	05/31/13	05/15/13	QUALIFIED DIVIDEND	2.45	1B				
WABTEC	929740108	98.000	08/30/13	08/14/13	QUALIFIED DIVIDEND	3.92	1B				
WABTEC	929740108	98.000	11/29/13	11/13/13	QUALIFIED DIVIDEND	3.92	1B				
SUBTOTAL						**12.74**					
WAL-MART STORES INC	931142103	59.000	04/01/13	03/06/13	QUALIFIED DIVIDEND	27.73	1B				
WAL-MART STORES INC	931142103	59.000	06/03/13	05/08/13	QUALIFIED DIVIDEND	27.73	1B				
WAL-MART STORES INC	931142103	42.000	09/03/13	08/07/13	QUALIFIED DIVIDEND	19.74	1B				
SUBTOTAL						**75.20**					
WELLS FARGO & CO NEW	949746101	147.000	06/03/13	05/08/13	QUALIFIED DIVIDEND	44.10	1B				
WELLS FARGO & CO NEW	949746101	147.000	09/03/13	08/07/13	QUALIFIED DIVIDEND	44.10	1B				
WELLS FARGO & CO NEW	949746101	147.000	12/02/13	11/06/13	QUALIFIED DIVIDEND	44.10	1B				
SUBTOTAL						**132.30**					
WESTAR ENERGY INC	95709T100	132.000	01/02/13	12/05/12	QUALIFIED DIVIDEND	43.56	1B				
WESTAR ENERGY INC	95709T100	132.000	04/01/13	03/07/13	QUALIFIED DIVIDEND	44.88	1B				
WESTAR ENERGY INC	95709T100	132.000	07/01/13	06/05/13	QUALIFIED DIVIDEND	44.88	1B				

1099-DIV, continued

Account Number: 3976-1589

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
WESTAR ENERGY INC	95709T100	132 000	10/01/13	09/05/13	QUALIFIED DIVIDEND	44 88	1B				
SUBTOTAL						**178.20**					
XILINX INC	983919101	31 000	08/28/13	08/05/13	QUALIFIED DIVIDEND	7 75	1B				
XILINX INC	983919101	31 000	11/27/13	11/04/13	QUALIFIED DIVIDEND	7 75	1B				
SUBTOTAL						**15.50**					
ENSCO PLC CL A	G3157S106	72 000	03/22/13	03/07/13	QUALIFIED DIVIDEND	36.00	1B			UK	
ENSCO PLC CL A	G3157S106	72 000	06/21/13	06/06/13	QUALIFIED DIVIDEND	36 00	1B			UK	
ENSCO PLC CL A	G3157S106	72 000	09/20/13	09/05/13	QUALIFIED DIVIDEND	36.00	1B			UK	
SUBTOTAL						**108.00**					

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
NICE SYSTEMS LTD ADR	653656108	09/23/13	PURCHASE	23 000	41 29	949.61		11
SUBTOTAL						**3,903.72**		
NISOURCE INC	65473P105	10/28/13	PURCHASE	76 000	32 18	2,445 82		11
NU SKIN ENTERPRISES INC	67018T105	07/10/13	PURCHASE	15 000	79 27	1 189.10		11
NU SKIN ENTERPRISES INC	67018T105	07/11/13	PURCHASE	15 000	77 33	1 159 93		11
SUBTOTAL						**2,349.03**		
PEPSICO INC	713448108	05/02/13	PURCHASE	40 000	82 39	3 295 50		11
PEPSICO INC	713448108	05/06/13	PURCHASE	28.000	82.49	2,309.71		11
SUBTOTAL						**5,605.21**		
PFIZER INC	717081103	10/21/13	PURCHASE	120 000	30 48	3 657 78		11
PFIZER INC	717081103	10/22/13	PURCHASE	40 000	30 66	1,226.40		11
PFIZER INC	717081103	10/30/13	PURCHASE	40 000	30 92	1 236.79		11
PFIZER INC	717081103	11/14/13	PURCHASE	35 000	31 87	1,115.45		11
SUBTOTAL						**7,236.42**		
POPULAR INC NEW	733174700	03/20/13	PURCHASE	31.000	28 64	887 82		11
POPULAR INC NEW	733174700	03/22/13	PURCHASE	22 000	28 81	633.75		11
POPULAR INC NEW	733174700	04/10/13	PURCHASE	23 000	29 06	668.38		11
SUBTOTAL						**2,189.95**		
POWER INTEGRATIONS INC	739276103	08/23/13	PURCHASE	13.000	53 30	692 95		11
POWER INTEGRATIONS INC	739276103	08/30/13	PURCHASE	6 000	52 82	316 90		11
POWER INTEGRATIONS INC	739276103	09/05/13	PURCHASE	6 000	55 01	330 07		11
SUBTOTAL						**1,339.92**		
PROCTER & GAMBLE COMPANY	742718109	10/23/13	PURCHASE	37.000	80 82	2,990.23		11

[1] **Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.**

[2] **Option Premium amounts on this page represent interest payments from assets such as structured products that have reclassified a portion to nontaxable option premium to align with the tax treatment prescribed in the product's prospectus.**

Any basis adjustments reported on this page generally apply to WHFITs such as royalty trusts and HOLDRS trusts, where a portion of the distribution is reallocated as either a reduction or addition to cost basis, depending on whether the income distributed exceeds or is less than the reportable amount. Please note that the cost basis of your position on these specific assets have not been adjusted to reflect these amounts and you will need to consult with your tax professional to apply these reallocations accordingly.

NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number. 3976-1589

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
KIMBERLY CLARK CORP	494368103	01/25/13	PURCHASE	11.000	86 62	952 80		11
LAM RESEARCH CORPORATION	512807108	03/05/13	PURCHASE	75 000	43 41	3,255 44		11
LAM RESEARCH CORPORATION	512807108	05/01/13	PURCHASE	22 000	45 78	1,007 11		11
SUBTOTAL						**4,262.55**		
LEAR CORP NEW	521865204	08/08/13	PURCHASE	34 000	71 33	2,425 27		11
LEAR CORP NEW	521865204	08/26/13	PURCHASE	31 000	72 04	2,233 21		11
SUBTOTAL						**4,658.48**		
M D C HOLDINGS INC	552676108	02/12/13	PURCHASE	15 000	39 93	598 96		11
MACYS INC	55616P104	07/08/13	PURCHASE	16 000	50 20	803 20		11
MACYS INC	55616P104	11/13/13	PURCHASE	31.000	50 54	1 566 68		11
SUBTOTAL						**2,369.88**		
MARATHON OIL CORP	565849106	02/20/13	PURCHASE	31 000	35 24	1,092 57		11
MATTEL INC	577081102	04/25/13	PURCHASE	38 000	44 89	1,705 66		11
MCKESSON CORP	58155Q103	07/26/13	PURCHASE	6 000	124 69	748.16		11
MEDTRONIC INC	585055106	11/06/13	PURCHASE	43 000	57 66	2,479 45		11
MEDTRONIC INC	585055106	11/07/13	PURCHASE	22 000	57 50	1,264 90		11
MEDTRONIC INC	585055106	11/14/13	PURCHASE	21 000	58 53	1,229 16		11
SUBTOTAL						**4,973.51**		
NICE SYSTEMS LTD ADR	653656108	08/15/13	PURCHASE	19 000	37 94	720 91		11
NICE SYSTEMS LTD ADR	653656108	08/16/13	PURCHASE	17 000	38 50	654 50		11
NICE SYSTEMS LTD ADR	653656108	08/27/13	PURCHASE	17.000	37 20	632 43		11
NICE SYSTEMS LTD ADR	653656108	09/20/13	PURCHASE	23 000	41 14	946 27		11

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number 3976-1589

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
HANGER INC	41043F208	05/16/13	PURCHASE	23 000	32 32	743 27		11
HERSHEY COMPANY	427866108	02/04/13	PURCHASE	12.000	79.54	954 46		11
HERSHEY COMPANY	427866108	02/19/13	PURCHASE	14 000	81 70	1,143 75		11
SUBTOTAL						**2,098.21**		
HEWLETT-PACKARD COMPANY	428236103	03/25/13	PURCHASE	96.000	23 20	2,227 39		11
HOME DEPOT INC	437076102	03/04/13	PURCHASE	28 000	69 55	1,947.44		11
HOME DEPOT INC	437076102	05/21/13	PURCHASE	10.000	78 43	784.34		11
SUBTOTAL						**2,731.78**		
HONEYWELL INTL INC	438516106	06/03/13	PURCHASE	29 000	78 30	2,270 72		11
HONEYWELL INTL INC	438516106	09/06/13	PURCHASE	17 000	80 75	1,372 75		11
HONEYWELL INTL INC	438516106	10/04/13	PURCHASE	30.000	82 37	2 471 15		11
SUBTOTAL						**6,114.62**		
HUNTINGTON INGALLS INDS	446413106	12/16/13	PURCHASE	18 000	82.77	1,489 94		11
HUNTINGTON INGALLS INDS	446413106	12/18/13	PURCHASE	12 000	84 21	1 010 51		11
SUBTOTAL						**2,500.45**		
IAC/INTERACTIVECRP $.001	44919P508	05/21/13	PURCHASE	31 000	51 01	1,581 35		11
INTEL CORP	458140100	04/19/13	PURCHASE	146 000	22.40	3 270 40		11
INTEL CORP	458140100	06/04/13	PURCHASE	36 000	25 48	917 22		11
SUBTOTAL						**4,187.62**		
JOHNSON & JOHNSON	478160104	04/15/13	PURCHASE	46 000	82 64	3 801 31		11
JOHNSON & JOHNSON	478160104	04/19/13	PURCHASE	21 000	84 06	1,765 36		11
JOHNSON & JOHNSON	478160104	05/07/13	PURCHASE	17 000	85.38	1,451 45		11
SUBTOTAL						**7,018.12**		

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number: 3976-1589

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
GAP INC	364760108	05/13/13	PURCHASE	45.000	40.34	1,815.16		11
SUBTOTAL						**5,973.88**		
GENL MILLS INC	370334104	04/04/13	PURCHASE	91.000	48.90	4,449.75		11
GENL MILLS INC	370334104	04/19/13	PURCHASE	60.000	50.45	3,027.05		11
SUBTOTAL						**7,476.80**		
GENERAL MOTORS COMPANY	37045V100	09/30/13	PURCHASE	66.000	36.21	2,389.71		11
GENERAL MOTORS COMPANY	37045V100	09/30/13	PURCHASE	33.000	36.16	1,193.28		11
GENERAL MOTORS COMPANY	37045V100	10/01/13	PURCHASE	33.000	35.75	1,179.86		11
GENERAL MOTORS COMPANY	37045V100	10/10/13	PURCHASE	31.000	34.76	1,077.64		11
GENERAL MOTORS COMPANY	37045V100	10/11/13	PURCHASE	9.000	35.28	317.52		11
GENERAL MOTORS COMPANY	37045V100	11/07/13	PURCHASE	28.000	36.38	1,018.64		11
SUBTOTAL						**7,176.65**		
GILEAD SCIENCES INC	375558103	04/24/13	PURCHASE	43.000	51.76	2,225.76		11
GILEAD SCIENCES INC	375558103	04/25/13	PURCHASE	22.000	51.41	1,130.94		11
SUBTOTAL						**3,356.70**		
GLOBAL PAYMENTS INC	37940X102	01/14/13	PURCHASE	47.000	49.28	2,315.93		11
GLOBAL PAYMENTS INC	37940X102	01/15/13	PURCHASE	45.000	49.72	2,237.22		11
SUBTOTAL						**4,553.15**		
GOLDMAN SACHS GROUP INC	38141G104	01/17/13	PURCHASE	22.000	140.08	3,081.86		11
GOOGLE INC CL A	38259P508	04/16/13	PURCHASE	2.000	792.57	1,585.15		11
HANESBRANDS INC	410345102	01/14/13	PURCHASE	43.000	37.01	1,591.39		11
HANESBRANDS INC	410345102	01/15/13	PURCHASE	41.000	37.15	1,523.01		11
SUBTOTAL						**3,114.40**		

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DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)¹	NFR BOX
COGNIZANT TECH SLTNS A	192446102	11/12/13	PURCHASE	12 000	90 57	1,086 87		11
SUBTOTAL						**5,735.82**		
CORNING INC	219350105	06/06/13	PURCHASE	110 000	14 96	1,645 25		11
CORNING INC	219350105	06/07/13	PURCHASE	113.000	15 25	1,723 16		11
CORNING INC	219350105	06/14/13	PURCHASE	80.000	15 04	1,203 47		11
SUBTOTAL						**4,571.88**		
COVANCE INC	222816100	11/06/13	PURCHASE	17 000	88 72	1,499 66		11
DELTA AIRLINES INC NEW	247361702	02/07/13	PURCHASE	60 000	14 49	869.40		11
DISNEY WALT COMPANY	254687106	05/13/13	PURCHASE	21.000	67.26	1 412.55		11
EMC CORP MASS	268648102	07/24/13	PURCHASE	60 000	26 92	1 615 20		11
EMC CORP MASS	268648102	08/22/13	PURCHASE	49 000	25.87	1 267 63		11
SUBTOTAL						**2,882.83**		
ENERGIZER HLDGS INC	29266R108	03/08/13	PURCHASE	10 000	94 66	946 58		11
ETHAN ALLEN INTERIORS	297602104	01/22/13	PURCHASE	27 000	28.12	759 12		11
ETHAN ALLEN INTERIORS	297602104	01/23/13	PURCHASE	34.000	28 63	973 58		11
SUBTOTAL						**1,732.70**		
FEI COMPANY	30241L109	01/24/13	PURCHASE	19 000	62 23	1 182 28		11
GNC HOLDINGS INC CL A	36191G107	04/01/13	PURCHASE	58 000	38.99	2 261 66		11
GNC HOLDINGS INC CL A	36191G107	04/03/13	PURCHASE	31 000	40 10	1,243 12		11
SUBTOTAL						**3,504.78**		
GAP INC	364760108	02/15/13	PURCHASE	77 000	32 70	2,518 07		11
GAP INC	364760108	03/07/13	PURCHASE	46 000	35 67	1,640.65		11

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DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
BAKER HUGHES INC	057224107	11/18/13	PURCHASE	30 000	58 15	1,744 62		11
BAKER HUGHES INC	057224107	11/21/13	PURCHASE	22 000	57 47	1,264 27		11
SUBTOTAL						**3,008.89**		
BANK AMERICA CORP	060505104	09/16/13	PURCHASE	98 000	14 57	1 427 86		11
BANK AMERICA CORP	060505104	10/04/13	PURCHASE	156 000	14 07	2,194.92		11
SUBTOTAL						**3,622.78**		
BRINKER INTL INC	109641100	06/04/13	PURCHASE	40 000	40 04	1,601.51		11
BRINKER INTL INC	109641100	06/05/13	PURCHASE	46 000	39 42	1,813 28		11
SUBTOTAL						**3,414.79**		
CIENA CORP NEW	171779309	07/17/13	PURCHASE	107 000	22 65	2,423 27		11
CIENA CORP NEW	171779309	07/18/13	PURCHASE	24 000	22 64	543 29		11
SUBTOTAL						**2,966.56**		
CISCO SYSTEMS INC	17275R102	01/24/13	PURCHASE	131 000	21 00	2,751 00		11
CISCO SYSTEMS INC	17275R102	02/06/13	PURCHASE	72 000	21 20	1,526 40		11
CISCO SYSTEMS INC	17275R102	05/17/13	PURCHASE	89 000	24 08	2,142 76		11
SUBTOTAL						**6,420.16**		
CITIGROUP INC NEW	172967424	05/16/13	PURCHASE	24 000	51 12	1,226 88		11
CITRIX SYSTEMS INC	177376100	01/24/13	PURCHASE	13 000	69 51	903 61		11
CITRIX SYSTEMS INC	177376100	02/04/13	PURCHASE	26 000	72 64	1,888 62		11
SUBTOTAL						**2,792.23**		
COGNIZANT TECH SLTNS A	192446102	10/14/13	PURCHASE	22.000	88 20	1,940 42		11
COGNIZANT TECH SLTNS A	192446102	10/17/13	PURCHASE	12.000	65 00	1,020 01		11
COGNIZANT TECH SLTNS A	192446102	10/30/13	PURCHASE	11 000	88 09	969 05		11
COGNIZANT TECH SLTNS A	192446102	11/05/13	PURCHASE	8.000	89 93	719 47		11

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NON-FEDERALLY REPORTED ITEMS SECTION

Account Number: 3976-1589

DETAILS - NON-FEDERALLY REPORTED ITEMS

This section lists non-federally reported items, which may be useful when preparing your tax return. These items may or may not be taxable at the state or local level. Please review this information with your tax advisor.

If you have OID shortfall listed, this calculation is based on the period you held these assets with LPL Financial during tax year 2013. Please consult with your tax professional to determine if an adjustment may be required.

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
ADVISORY FEE	MNGT FEES	01/09/13	MGT ACCT FEES	0.000	0.00	1,674.30		7
ADVISORY FEE	MNGT FEES	04/09/13	MGT ACCT FEES	0.000	0.00	1,845.93		7
ADVISORY FEE	MNGT FEES	07/09/13	MGT ACCT FEES	0.000	0.00	1,866.26		7
ADVISORY FEE	MNGT FEES	10/08/13	MGT ACCT FEES	0.000	0.00	1,965.38		7
SUBTOTAL						**7,351.87**		
PURCHASES								
AETNA INC NEW	00817Y108	07/22/13	PURCHASE	71.000	65.48	4,649.24		11
ALLSTATE CORP	020002101	04/08/13	PURCHASE	88.000	49.92	4,393.23		11
AMER WATER WORKS COMPANY	030420103	12/27/13	PURCHASE	43.000	42.22	1,815.56		11
APPLE INC	037833100	11/25/13	PURCHASE	6.000	524.79	3,148.74		11
APPLE INC	037833100	12/03/13	PURCHASE	3.000	560.20	1,680.60		11
SUBTOTAL						**4,829.34**		
ARES CAPITAL CORP	04010L103	12/09/13	PURCHASE	65.000	18.35	1,192.66		11
ARES CAPITAL CORP	04010L103	12/10/13	PURCHASE	55.000	17.78	978.03		11
ARES CAPITAL CORP	04010L103	12/12/13	PURCHASE	61.000	17.38	1,060.25		11
SUBTOTAL						**3,230.94**		

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number: 3976-1589

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
NXP SEMICONDUCTORS N V	N6596X109	02/11/13	PURCHASE	43 000	32 21	1 384 88		11
SUBTOTAL						2,662.86		

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number: 3976-1589

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
PROCTER & GAMBLE COMPANY	742718109	10/28/13	PURCHASE	18.000	80.92	1,456.60		11
SUBTOTAL						**4,446.83**		
PRUDENTIAL FINL INC	744320102	06/10/13	PURCHASE	32.000	72.28	2,312.84		11
PRUDENTIAL FINL INC	744320102	06/20/13	PURCHASE	31.000	72.80	2,256.84		11
SUBTOTAL						**4,569.68**		
RADIAN GROUP INC	750236101	09/10/13	PURCHASE	92.000	14.14	1,300.53		11
RAYTHEON COMPANY NEW	755111507	09/03/13	PURCHASE	30.000	75.86	2,275.92		11
RAYTHEON COMPANY NEW	755111507	12/16/13	PURCHASE	26.000	86.61	2,251.84		11
SUBTOTAL						**4,527.76**		
ROCHE HLDNG LTD SPNS ADR	771195104	11/26/13	PURCHASE	18.000	69.84	1,257.12		11
ROYAL DUTCH SHELL ADR B	780259107	04/26/13	PURCHASE	20.000	68.53	1,370.66		11
SM ENERGY COMPANY	78454L100	09/24/13	PURCHASE	31.000	76.85	2,382.42		11
SM ENERGY COMPANY	78454L100	10/08/13	PURCHASE	13.000	80.28	1,043.63		11
SM ENERGY COMPANY	78454L100	10/30/13	PURCHASE	12.000	89.90	1,078.80		11
SUBTOTAL						**4,504.85**		
SVB FINL GRP	78486Q101	09/16/13	PURCHASE	4.000	84.41	337.64		11
SVB FINL GRP	78486Q101	09/17/13	PURCHASE	7.000	86.26	603.82		11
SVB FINL GRP	78486Q101	09/18/13	PURCHASE	8.000	85.47	683.72		11
SUBTOTAL						**1,625.18**		
SAINT JUDE MEDICAL INC	790849103	10/15/13	PURCHASE	26.000	55.75	1,449.50		11
SAINT JUDE MEDICAL INC	790849103	10/15/13	PURCHASE	17.000	55.71	947.13		11
SUBTOTAL						**2,396.63**		
SANDISK CORP	80004C101	05/06/13	PURCHASE	42.000	54.64	2,295.08		11

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number: 3976-1589

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
SANDISK CORP	80004C101	07/16/13	PURCHASE	5 000	59.75	298.75		11
SANDISK CORP	80004C101	07/17/13	PURCHASE	18 000	59.00	1,062 00		11
SUBTOTAL						**3,655.83**		
SANOFI SPON ADR	80105N105	03/12/13	PURCHASE	45.000	49.00	2,205.21		11
SANOFI SPON ADR	80105N105	03/15/13	PURCHASE	23 000	49 77	1,144.80		11
SANOFI SPON ADR	80105N105	03/20/13	PURCHASE	40 000	50 78	2,031 24		11
SUBTOTAL						**5,381.25**		
SCHLUMBERGER LTD	806857108	09/13/13	PURCHASE	18 000	86 65	1 559 78		11
SCHLUMBERGER LTD	806857108	09/19/13	PURCHASE	27 000	88 94	2,401 32		11
SUBTOTAL						**3,961.10**		
TEVA PHARMACEUTICAL ADR	881624209	08/01/13	PURCHASE	31 000	39 27	1,217 37		11
TIBCO SOFTWARE INC	88632Q103	10/03/13	PURCHASE	89 000	26 40	2 349 19		11
TIME WARNER NEW INC	887317303	02/15/13	PURCHASE	28.000	53 35	1,493 80		11
TIME WARNER NEW INC	887317303	07/17/13	PURCHASE	21 000	61 69	1,295 49		11
SUBTOTAL						**2,789.29**		
TYSON FOODS INC CLASS A	902494103	02/07/13	PURCHASE	136.000	23.69	3,221 70		11
TYSON FOODS INC CLASS A	902494103	02/14/13	PURCHASE	43 000	24 13	1 037 57		11
TYSON FOODS INC CLASS A	902494103	03/05/13	PURCHASE	52 000	23 55	1,224 39		11
TYSON FOODS INC CLASS A	902494103	06/12/13	PURCHASE	48 000	31 27	1,500 69		11
SUBTOTAL						**6,984.55**		
ULTIMATE SFTWARE GRP INC	90385D107	10/14/13	PURCHASE	10 000	144 01	1,440 14		11
ULTIMATE SFTWARE GRP INC	90385D107	10/17/13	PURCHASE	7 000	142.86	1 000 05		11
SUBTOTAL						**2,440.19**		

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DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
VALERO ENERGY CORP	91913Y100	01/29/13	PURCHASE	83 000	43 28	3,592 06		11
VERIZON COMMS INC	92343V104	02/25/13	PURCHASE	80 000	45 64	3,651.13		11
VERIZON COMMS INC	92343V104	03/11/13	PURCHASE	58 000	47 76	2,770.08		11
VERIZON COMMS INC	92343V104	11/14/13	PURCHASE	37 000	50.31	1 861 40		11
SUBTOTAL						**8,282.61**		
VISA INC CLASS A	92826C839	10/11/13	PURCHASE	12 000	191.85	2,302 20		11
VISA INC CLASS A	92826C839	12/11/13	PURCHASE	12 000	207.83	2,493 93		11
SUBTOTAL						**4,796.13**		
WAL-MART STORES INC	931142103	08/14/13	PURCHASE	20.000	76 60	1,532 00		11
WELLS FARGO & CO NEW	949746101	03/19/13	PURCHASE	87 000	37 62	3,272 77		11
WELLS FARGO & CO NEW	949746101	03/20/13	PURCHASE	60 000	37 49	2,249 13		11
SUBTOTAL						**5,521.90**		
XILINX INC	983919101	07/23/13	PURCHASE	5 000	46 00	229 98		11
XILINX INC	983919101	07/24/13	PURCHASE	26 000	45.97	1,195.24		11
SUBTOTAL						**1,425.22**		
ACCENTURE PLC IRELD CL A	G1151C101	12/20/13	PURCHASE	19 000	80.33	1,526 22		11
GENPACT LTD	G3922B107	03/04/13	PURCHASE	122 000	17 93	2,186.91		11
GENPACT LTD	G3922B107	03/08/13	PURCHASE	61 000	18.05	1,101.31		11
GENPACT LTD	G3922B107	04/08/13	PURCHASE	58 000	18 22	1,056 86		11
SUBTOTAL						**4,345.08**		
MICHAEL KORS HLDGS LTD	G60754101	03/25/13	PURCHASE	49 000	55 52	2,720 53		11
NXP SEMICONDUCTORS N V	N6596X109	02/08/13	PURCHASE	40 000	31.95	1 277 98		11

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LPL Financial

LPL Financial
Member FINRA / SIPC
4707 Executive Drive
San Diego, CA 92121
Federal ID No: 95-2834236

2013 IRS 1099 CONSOLIDATED REPORTING STATEMENT

Recipient's Tax Identification
Number **72-1416778**

Account Number **7136-3353**

Courtesy Form

Copy B For Recipient

Department of the Treasury - Internal Revenue Service
(keep for your records)

This statement is being provided for your information only and will not be furnished to the Internal Revenue Service However, if you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported

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THE PELTIER FOUNDATION
A NON-PROFIT ORGANIZATION
C/O STEPHEN G PELTIER
101 ST LOUIS STREET
THIBODAUX LA 70301-3027

Schedule "C

Your Financial Advisor
JOHN DUPONT
(985) 853-2100
Rep ID. 8X2B

FORM 1099-DIV — **DIVIDENDS AND DISTRIBUTIONS TOTALS FOR TAX YEAR 2013** — **OMB #1545-0110**

IRS BOX		
1A	TOTAL ORDINARY DIVIDENDS[1]	$38,879 17
1B	QUALIFIED DIVIDENDS	$19,142 24
2A	TOTAL CAPITAL GAIN DISTRIBUTIONS	$26,051 08
2B	UNRECAP. SEC. 1250 GAIN	$0.00
2C.	SECTION 1202 GAIN	$0 00
2D	COLLECTIBLES (28%) GAIN	$0.00
3.	NONDIVIDEND DISTRIBUTIONS	$5,951.38
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5	INVESTMENT EXPENSES	$0.00
6.	FOREIGN TAX PAID	$382.42
7	FOREIGN COUNTRY OR U S. POSSESSION	VARIOUS
8	CASH LIQUIDATION DISTRIBUTIONS	$0.00
9	NONCASH LIQUIDATION DISTRIBUTIONS	$0 00
10	EXEMPT-INTEREST DIVIDENDS	$1 694 21
11	SPECIFIED PRIVATE ACTIVITY BOND INTEREST DIVIDENDS	$172 65
12	STATE	N/A
13.	STATE IDENTIFICATION NO	N/A
14.	STATE TAX WITHHELD	$0 00

FORM 1099-INT — **INTEREST INCOME TOTALS FOR TAX YEAR 2013** — **OMB #1545-0112**

IRS BOX		
1	INTEREST INCOME	$0 00
2	EARLY WITHDRAWAL PENALTY	$0 00
3	INTEREST ON U S SAVINGS BONDS AND TREAS OBLIGATIONS	$0 00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5.	INVESTMENT EXPENSES	$0 00
6	FOREIGN TAX PAID	$0.00
7	FOREIGN COUNTRY OR U.S. POSSESSION	N/A
8	TAX-EXEMPT INTEREST	$0 00
9	SPECIFIED PRIVATE ACTIVITY BOND INTEREST	$0 00
10	TAX-EXEMPT BOND CUSIP NO	N/A
11	STATE	N/A
12	STATE IDENTIFICATION NO	N/A
13	STATE TAX WITHHELD	$0 00

FORM 1099-MISC — **MISCELLANEOUS INCOME TOTALS FOR TAX YEAR 2013** — **OMB #1545-0115**

IRS BOX		
1	RENTS	$0 00
2	ROYALTIES	$0 00
3	OTHER INCOME	$0 00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
8	SUBSTITUTE PAYMENTS IN LIEU OF DIVIDENDS OR INTEREST	$0.00
11	FOREIGN TAX PAID	$0.00
12	FOREIGN COUNTRY OR U.S POSSESSION	N/A
16	STATE TAX WITHHELD	$0 00
17	STATE IDENTIFICATION NO	N/A

Federal or state tax withheld amounts represent backup withholding applied due to missing W-9 certification, an IRS "B" Notice or "C" Notice

[1] Per IRS 1099-DIV Instructions for Box 1A, Includes net short-term capital gain distributions from mutual funds, Tax-exempt dividends are reported on **1099-DIV Box 10**

Account Number. 7136-3353

2013 IRS 1099 CONSOLIDATED REPORTING STATEMENT

FORM 1099-B PROCEEDS FROM BROKER & BARTER EXCHANGES OMB #1545-0715
TOTALS FOR TAX YEAR 2013

IRS BOX		
2A	GROSS PROCEEDS LESS COMMISSIONS AND OPTION PREMIUMS	$379,061 96
3	COST OR OTHER BASIS	$352,676 14
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5	WASH SALE LOSS DISALLOWED	$0 00
9	PROFIT OR (LOSS) REALIZED IN 2013 ON CLOSED CONTRACTS	$0 00
10	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS -- 12/31/2012	$0 00
11	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS -- 12/31/2013	$0.00
12	AGGREGATE PROFIT OR (LOSS) ON CONTRACTS	$0 00
13	STATE	N/A
14	STATE IDENTIFICATION NO	N/A
15	STATE TAX WITHHELD	$0 00

FORM 1099-OID ORIGINAL ISSUE DISCOUNT OMB #1545-0117
TOTALS FOR TAX YEAR 2013

IRS BOX		
1	ORIGINAL ISSUE DISCOUNT FOR 2013*	$0 00
2	OTHER PERIODIC INTEREST	$0 00
3.	EARLY WITHDRAWAL PENALTY	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5.	FOREIGN TAX PAID	$0 00
6	FOREIGN COUNTRY OR U S POSSESSION	N/A
8	ORIGINAL ISSUE DISCOUNT ON U S. TREASURY OBLIGATIONS*	$0 00
9	INVESTMENT EXPENSES	$0 00
10	STATE	N/A
11	STATE IDENTIFICATION NO	N/A
12	STATE TAX WITHHELD	$0 00

* This may or may not be the correct figure to report on your income tax return. See Instructions on the last page.

NON-FEDERALLY REPORTED ITEMS
TOTALS FOR TAX YEAR 2013

1	MARGIN DEBIT INTEREST	$0 00
2.	LONG TERM OID ON EXEMPT MUNI BONDS	$0.00
3	BASIS ADJUSTMENTS	$0 00
4	RETURN OF CAPITAL OR PRINCIPAL	$0 00
5	LONG TERM OID ON EXEMPT MUNI SUBJECT TO ALT MIN TAX	$0 00
6	MISC TAX, SHORTFALLS AND EXEMPT INCOME INVT EXPENSES	$0 00
7	MISC FEES AND EXPENSES INCL. MANAGED ACCOUNT FEES	$22,595 99
8	PARTNERSHIP (MLP)	$0.00
9	ACCRUED INTEREST PURCHASED	$0 00
10	ACCRUED INTEREST PURCHASED EXEMPT	$0 00
11	PURCHASES	$407,850 11
12	OPTIONS	PLEASE SEE OPTIONS SECTION
13	DIRECT BUSINESS MUTUAL FUND SALE TRADES	$0 00

IMPORTANT TAX INFORMATION

The 2013 1099 and 2013 Widely Held Fixed Investment Trust (WHFIT) Tax Guides, which contain illustrated examples and explanations of tax statements you may receive, will now be available on the Disclosures page of our corporate site at http://lplfinancial lpl com/disclosure htm As LPL Financial does not provide tax advisory services, please share and consult with your tax professional before using the information contained in these guides for tax reporting purposes

Additional REMIC and/or Widely Held Mortgage Trust (WHMT) Statement May Be Pending:
If there are securities listed below, then our records indicate you held Mortgage Backed Securities such as Collateralized Mortgage Obligations (CMO), Collateralized Debt Obligations (CDO), Real Estate Mortgage Investment Conduits (REMIC) or Widely Held Mortgage Trusts (WHMT) in 2013 with LPL Financial Additional 1099s and related tax information will be mailed to you by March 17, 2014 in connection with these assets. As a reminder, if there are securities listed below, please do not complete your tax return until you have received this additional information from LPL Financial.

CUSIP	Security Description	CUSIP	Security Description
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1099-DIV

Account Number: **7136-3353**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

This section may contain federally reported income in boxes 10 and 11, which may be useful in helping you prepare your tax return. This income may be taxable at the state or local level. Please review this information with your tax advisor.

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC'
ALLNZGI DIV VAL P	018922856	15 060 571	03/22/13	03/20/13	QUALIFIED DIVIDEND	1,381 05	1B				
ALLNZGI DIV VAL P	018922856	15,161 378	06/21/13	06/19/13	QUALIFIED DIVIDEND	1,311 16	1B				
ALLNZGI DIV VAL P	016922856	15,254 633	09/20/13	09/18/13	QUALIFIED DIVIDEND	1,337 37	1B				
ALLNZGI DIV VAL P	016922856	15 343 495	12/20/13	12/18/13	QUALIFIED DIVIDEND	1,489 55	1B				
SUBTOTAL						**5,519.13**					
BARON ASSET I	068278605	1,321 562	11/27/13		QUALIFIED DIVIDEND	114 45	1B				
BARON ASSET I	068278605	1,321 562	11/27/13		CAPITAL GAIN	7,287 23	2A				
SUBTOTAL						**7,401.68**					
JPMORGAN PRIME MMKT SERV	09999775	58,841.000	01/31/13		DIVIDEND	0 51	1A				
JPMORGAN PRIME MMKT SERV	09999775	62,694 000	02/28/13		DIVIDEND	0 45	1A				
JPMORGAN PRIME MMKT SERV	09999775	62,695 000	03/28/13		DIVIDEND	0.53	1A				
JPMORGAN PRIME MMKT SERV	09999775	57,109.000	04/30/13		DIVIDEND	0 48	1A				
JPMORGAN PRIME MMKT SERV	09999775	63,241 000	05/31/13		DIVIDEND	0 52	1A				
JPMORGAN PRIME MMKT SERV	09999775	146,519.000	06/28/13		DIVIDEND	0.63	1A				
JPMORGAN PRIME MMKT SERV	09999775	168,851.000	07/31/13		DIVIDEND	1 34	1A				
JPMORGAN PRIME MMKT SERV	09999775	168,852.000	08/30/13		DIVIDEND	1.44	1A				
JPMORGAN PRIME MMKT SERV	09999775	168,854 000	09/30/13		DIVIDEND	1 39	1A				
JPMORGAN PRIME MMKT SERV	09999775	162,673 000	10/31/13		DIVIDEND	1 40	1A				
JPMORGAN PRIME MMKT SERV	09999775	62,708.000	11/29/13		DIVIDEND	1 04	1A				
JPMORGAN PRIME MMKT SERV	09999775	0 000	12/16/13		SHORT TERM CAP	0.67	1A				
JPMORGAN PRIME MMKT SERV	09999775	62,709 000	12/31/13		DIVIDEND	0 53	1A				
SUBTOTAL						**10.93**					
DEL HI YLD OPP I	245908843	21,914.950	01/23/13		DIVIDEND	535 39	1A				
DEL HI YLD OPP I	245908843	22,038 883	02/25/13		DIVIDEND	538.92	1A				
DEL HI YLD OPP I	245908843	22,164 213	03/25/13		DIVIDEND	505 34	1A				
DEL HI YLD OPP I	245908843	22,260 651	04/23/13		DIVIDEND	541,68	1A				
DEL HI YLD OPP I	245908843	22,405 175	05/23/13		DIVIDEND	538 18	1A				
DEL HI YLD OPP I	245908843	22,527.489	06/24/13		DIVIDEND	554 33	1A				
DEL HI YLD OPP I	245908843	22,659 159	07/23/13		DIVIDEND	5/5 71	1A				
DEL HI YLD OPP I	245908843	22,792 734	08/23/13		DIVIDEND	540 31	1A				
DEL HI YLD OPP I	245908843	22,921 074	09/23/13		DIVIDEND	507 41	1A				
DEL HI YLD OPP I	245908843	23,039 905	10/23/13		DIVIDEND	480 92	1A				
DEL HI YLD OPP I	245908843	23,151 229	11/25/13		SHORT TERM CAP	522 27	1A				

1099-DIV, continued

Account Number: 7136-3353

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
PRIN PFD SECS P	74255L787	6,430 663	12/30/13		DIVIDEND	258 64	1A				
PRIN PFD SECS P	74255L787	6,430 663	12/30/13	12/26/13	QUALIFIED DIVIDEND	78 97	1B				
SUBTOTAL						**5,135.41**					
ROYCE DIV VAL INVT	780905188	20,744 356	03/08/13		DIVIDEND	108 29	1A				
ROYCE DIV VAL INVT	780905188	20,744 356	03/08/13	03/06/13	QUALIFIED DIVIDEND	410 32	1B				
ROYCE DIV VAL INVT	780905188	20,810 253	06/07/13		DIVIDEND	108 63	1A				
ROYCE DIV VAL INVT	780905188	20,810 253	06/07/13	06/05/13	QUALIFIED DIVIDEND	411 63	1B				
ROYCE DIV VAL INVT	780905188	20,873 932	09/06/13		DIVIDEND	87 17	1A				
ROYCE DIV VAL INVT	780905188	20,873 932	09/06/13	09/04/13	QUALIFIED DIVIDEND	330 31	1B				
ROYCE DIV VAL INVT	780905188	20,923.573	12/06/13		DIVIDEND	128 01	1A				
ROYCE DIV VAL INVT	780905188	20,923.573	12/06/13		SHORT TERM CAP	587 61	1A				
ROYCE DIV VAL INVT	780905188	20,923.573	12/06/13	12/04/13	QUALIFIED DIVIDEND	485 05	1B				
ROYCE DIV VAL INVT	780905188	20,923 573	12/06/13	12/04/13	QUALIFIED DIVIDEND	2,226 61	1B				
ROYCE DIV VAL INVT	780905188	20,923 573	12/06/13		CAPITAL GAIN	8 358 97	2A				
SUBTOTAL						**13,242.60**					
OPPEN STLPTH MLP SEL40 Y	858268204	6,476 029	02/07/13		RETURN OF CAP	1,143 02	3				
OPPEN STLPTH MLP SEL40 Y	858268204	6,577.541	05/09/13		RETURN OF CAP	1,160 94	3				
OPPEN STLPTH MLP SEL40 Y	858268204	10,257 073	08/08/13		RETURN OF CAP	1,810 37	3				
OPPEN STLPTH MLP SEL40 Y	858268204	10,408 189	11/07/13		RETURN OF CAP	1,837 05	3				
SUBTOTAL						**5,951.38**					
THORN INCM BLDR I	885215467	4,356 010	06/25/13		DIVIDEND	160 07	1A				
THORN INCM BLDR I	885215467	4,356 010	06/25/13	06/24/13	QUALIFIED DIVIDEND	449 02	1B				
THORN INCM BLDR I	885215467	4 356 010	06/25/13		TAX AT SOURCE	(37 73)	6				
THORN INCM BLDR I	885215467	4,703 045	09/25/13		DIVIDEND	345 76	1A				
THORN INCM BLDR I	885215467	4,703 045	09/25/13	09/24/13	QUALIFIED DIVIDEND	969 92	1B				
THORN INCM BLDR I	885215467	4,703 045	09/25/13		TAX AT SOURCE	(81 51)	6				
THORN INCM BLDR I	885215467	4,763 692	12/26/13		DIVIDEND	351 49	1A				
THORN INCM BLDR I	885215467	4,763 692	12/26/13	12/24/13	QUALIFIED DIVIDEND	985 99	1B				
THORN INCM BLDR I	885215467	4,763 692	12/26/13		TAX AT SOURCE	(78 94)	6				
SUBTOTAL						**3,064.07**					

NON-FEDERALLY REPORTED ITEMS SECTION

Account Number: **7136-3353**

DETAILS - NON-FEDERALLY REPORTED ITEMS

This section lists non-federally reported items, which may be useful when preparing your tax return. These items may or may not be taxable at the state or local level. Please review this information with your tax advisor.

If you have OID shortfall listed, this calculation is based on the period you hold these assets with LPL Financial during tax year 2013. Please consult with your tax professional to determine if an adjustment may be required.

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
ADVISORY FEE	MNGT FEES	01/09/13	MGT ACCT FEES	0 000	0 00	5,241 65		7
ADVISORY FEE	MNGT FEES	04/09/13	MGT ACCT FEES	0.000	0 00	5 586 25		7
ADVISORY FEE	MNGT FEES	07/09/13	MGT ACCT FEES	0 000	0 00	5,586 24		7
ADVISORY FEE	MNGT FEES	10/08/13	MGT ACCT FEES	0 000	0 00	6,181.85		7
SUBTOTAL						**22,595.99**		
PURCHASES								
BARON ASSET I	068278605	07/25/13	PURCHASE	1 321 562	59 88	79,135 15		11
FRWRD GLB INFRA I	34987A129	07/19/13	PURCHASE	325 180	21 41	6,962 10		11
LCOMIS GRW Y	543487110	11/19/13	PURCHASE	11,095 149	9 01	99,967 29		11
NUVEEN HI YLD MUN BD I	67065Q772	07/19/13	PURCHASE	117 828	15 74	1,854 62		11
OPPEN SR FLTG RT Y	68381K406	02/22/13	PURCHASE	8 669 489	8.33	72,216 84		11
OPPEN SR FLTG RT Y	68381K408	07/25/13	PURCHASE	623 314	8 37	5.217 14		11
SUBTOTAL						**77,433.98**		
PRIN PFD SECS P	74255L787	07/19/13	PURCHASE	152 500	10 39	1 584 47		11

[1] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

[2] Option Premium amounts on this page represent interest payments from assets such as structured products that have reclassified a portion to nontaxable option premium to align with the tax treatment prescribed in the product's prospectus.

Any basis adjustments reported on this page generally apply to WHFITs such as royalty trusts and HOLDRS trusts, where a portion of the distribution is reallocated as either a reduction or addition to cost basis, depending on whether the income distributed exceeds or is less than the reportable amount. Please note that the cost basis of your position on these specific assets have not been adjusted to reflect these amounts and you will need to consult with your tax professional to apply these reallocations accordingly.

NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number: 7136-3353

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
OPPEN STLPTH MLP SEL40 Y	658268204	05/08/13	PURCHASE	3 582 706	11 99	42,956 65		11
THORN INCM BLDR I	885215467	05/08/13	PURCHASE	4,356 010	21 02	91,563 34		11
THORN INCM BLDR I	885215467	07/19/13	PURCHASE	317 246	20 15	6,392 51		11
SUBTOTAL						**97,955.85**		

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[1] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

[2] Option Premium amounts on this page represent interest payments from assets such as structured products that have reclassified a portion to nontaxable option premium to align with the tax treatment prescribed in the product's prospectus.

Any basis adjustments reported on this page generally apply to WHFITs such as royalty trusts and HOLDRS trusts, where a portion of the distribution is reallocated as either a reduction or addition to cost basis, depending on whether the income distributed exceeds or is less than the reportable amount. Please note that the cost basis of your position on these specific assets have not been adjusted to reflect these amounts and you will need to consult with your tax professional to apply these reallocations accordingly.

2013 SUPPLEMENTAL STATE TAX INFORMATION

Account Number: 7136-3353

DETAILS - ADDITIONAL NON-FEDERALLY REPORTED ITEMS

This section lists the dollar amount of income derived from federal or municipal obligations, based on a percentage of income reported in the details of your 1099-Consolidated statement, received by the following funds on a state-by-state basis A portion of your dividend attributable to interest earned by a fund on securities issued by your state may be tax-exempt in your state based upon your state's tax laws Please review your state's requirements This section also includes:

- percentage of income from corporate dividends to calculate your dividend received deduction (DRD),
- percentage from repurchase agreements, percentage from foreign source income,
- percentage of capital gain from federal securities and
- percentage of five-year long-term gain

This information represents what was available at the time your 1099 was prepared, which may not be complete and can be subject to change Please contact your mutual fund directly if you need to verify this information. Not all Federal source income is state tax exempt You may need to consult with your tax advisor or state tax authority

Security Description: **Delaware Hi Yld Opptys Inst** **CUSIP: 245908843**

SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT
Other/Undesignated Direct Federal	$13 59	Total Direct Federal	$13 59	Foreign Source Income	$629.65

Security Description: **Forward Glbl Infrastructure Inst** **CUSIP: 34987A129**

SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT
Foreign Source Income	$768 54				

Security Description: **ING Global Real Estate W** **CUSIP: 44980Q179**

SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT
Foreign Source Income	$227 08				

2013 SUPPLEMENTAL STATE TAX INFORMATION, continued

Account Number. 7136-3353

DETAILS - ADDITIONAL NON-FEDERALLY REPORTED ITEMS

Security Description: **Loomis Sayles Grwth Y** **CUSIP: 543487110**

SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT
Repurchase Agreements	$0 01				

Security Description: **Pioneer Global Hi Yld Y** **CUSIP: 72369G405**

SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT
Ginnie Mae	$16 65	Total Indirect Federal	$16,65		

Security Description: **Principal Invs Preferred Secs P** **CUSIP: 74255L787**

SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT
Repurchase Agreements	$0 68				

Security Description: **Thornburg Income Builder I** **CUSIP: 885215467**

SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT
Foreign Source Income	$1,643 52				

1099-DIV, continued

Account Number. **7136-3353**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO (BOX 13)	CTRY	UNDIST INC*
DEL HI YLD OPP I	245908843	23,271 846	11/26/13		SHORT TERM CAP	116 36	1A				
DEL HI YLD OPP I	245908843	23 298 719	12/23/13		DIVIDEND	514 38	1A				
SUBTOTAL						**6,471.20**					
FRWRD GLB INFRA I	34987A129	3 325 644	06/26/13		DIVIDEND	184 24	1A				
FRWRD GLB INFRA I	34987A129	3 325 644	06/26/13	06/24/13	QUALIFIED DIVIDEND	742 38	1B				
FRWRD GLB INFRA I	34987A129	3,325 644	06/26/13		TAX AT SOURCE	(184.24)	6				
SUBTOTAL						**742.38**					
HARBOR CAP APRC I	411511504	4,690,945	12/18/13	12/16/13	QUALIFIED DIVIDEND	218 48	1B				
HARBOR CAP APRC I	411511504	4,840 438	12/18/13		CAPITAL GAIN	8,376 33	2A				
SUBTOTAL						**8,594.81**					
ING GLB RL EST W	44980Q179	4,760 377	04/02/13		DIVIDEND	284 36	1A				
ING GLB RL EST W	44980Q179	4,760 377	04/02/13	03/28/13	QUALIFIED DIVIDEND	143 12	1B				
SUBTOTAL						**427.48**					
LOOMIS GRW Y	543487110	11,095 149	12/19/13	12/17/13	QUALIFIED DIVIDEND	313 99	1B				
MAINSTY EPO GL EQ I	56063J864	7,758 285	04/01/13	03/27/13	QUALIFIED DIVIDEND	1,301 06	1B				
MAINSTY EPO GL EQ I	56063J864	7,833 059	06/28/13	06/26/13	QUALIFIED DIVIDEND	1,666 09	1B				
MAINSTY EPO GL EQ I	56063J864	7,928 482	09/30/13	09/26/13	QUALIFIED DIVIDEND	749 24	1B				
MAINSTY EPO GL EQ I	56063J864	7,968 894	12/10/13	12/06/13	QUALIFIED DIVIDEND	902 08	1B				
MAINSTY EPO GL EQ I	56063J864	7,968 894	12/10/13		CAPITAL GAIN	311 19	2A				
SUBTOTAL						**4,929.66**					
NUVEEN HI YLD MUN BD I	67065Q772	1,588 118	02/01/13		DIVIDEND	121 94	10				
NUVEEN HI YLD MUN BD I	67065Q772	1,588 118	02/01/13		DIVIDEND	13.84	11				
NUVEEN HI YLD MUN BD I	67065Q772	1,595 953	03/01/13		DIVIDEND	122 50	10				
NUVEEN HI YLD MUN BD I	67065Q772	1,595 953	03/01/13		DIVIDEND	13 90	11				
NUVEEN HI YLD MUN BD I	67065Q772	1,603 819	04/01/13		DIVIDEND	123 10	10				
NUVEEN HI YLD MUN BD I	67065Q772	1,603 819	04/01/13		DIVIDEND	13 97	11				
NUVEEN HI YLD MUN BD I	67065Q772	1,611 765	05/01/13		DIVIDEND	123.70	10				
NUVEEN HI YLD MUN BD I	67065Q772	1,611 765	05/01/13		DIVIDEND	14.04	11				
NUVEEN HI YLD MUN BD I	67065Q772	1,619 677	06/03/13		DIVIDEND	120 79	10				

1099-DIV, continued

Account Number: **7136-3353**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
NUVEEN HI YLD MUN BD I	67065Q772	1,619 677	06/03/13		DIVIDEND	13 71	11				
NUVEEN HI YLD MUN BD I	67065Q772	1,627 510	07/01/13		DIVIDEND	121 29	10				
NUVEEN HI YLD MUN BD I	67065Q772	1,627 510	07/01/13		DIVIDEND	13 76	11				
NUVEEN HI YLD MUN BD I	67065Q772	1,753 747	08/01/13		DIVIDEND	123 95	10				
NUVEEN HI YLD MUN BD I	67065Q772	1,753 747	08/01/13		DIVIDEND	14 06	11				
NUVEEN HI YLD MUN BD I	67065Q772	1,762 588	09/03/13		DIVIDEND	131 41	10				
NUVEEN HI YLD MUN BD I	67065Q772	1,762 588	09/03/13		DIVIDEND	14 91	11				
NUVEEN HI YLD MUN BD I	67065Q772	1,772 336	10/01/13		DIVIDEND	132 07	10				
NUVEEN HI YLD MUN BD I	67065Q772	1,772 336	10/01/13		DIVIDEND	14 98	11				
NUVEEN HI YLD MUN BD I	67065Q772	1,781.860	11/01/13		DIVIDEND	132 80	10				
NUVEEN HI YLD MUN BD I	67065Q772	1,781 860	11/01/13		DIVIDEND	15 07	11				
NUVEEN HI YLD MUN BD I	67065Q772	1,791 363	12/02/13		DIVIDEND	133 58	10				
NUVEEN HI YLD MUN BD I	67065Q772	1,791.363	12/02/13		DIVIDEND	15 16	11				
NUVEEN HI YLD MUN BD I	67065Q772	1,800 965	12/06/13		DIVIDEND	42 68	1A				
NUVEEN HI YLD MUN BD I	67065Q772	1,803.745	01/02/14		DIVIDEND	134 43	10				
NUVEEN HI YLD MUN BD I	67065Q772	1,803.745	01/02/14		DIVIDEND	15 25	11				
SUBTOTAL						**1,736.89**					
OPPEN RISING DIV Y	68380H406	6 997.470	03/22/13	03/20/13	QUALIFIED DIVIDEND	403 89	1B				
OPPEN SR FLTG RT Y	68381K408	8,669 489	03/01/13		DIVIDEND	11 07	1A				
OPPEN SR FLTG RT Y	68381K408	8,670 816	04/01/13		DIVIDEND	324 96	1A				
OPPEN SR FLTG RT Y	68381K408	8,709 594	05/01/13		DIVIDEND	325 76	1A				
OPPEN SR FLTG RT Y	68381K408	8,748 329	06/03/13		DIVIDEND	344 48	1A				
OPPEN SR FLTG RT Y	68381K408	8,789 387	07/01/13		DIVIDEND	261 34	1A				
OPPEN SR FLTG RT Y	68381K408	9,444 074	08/01/13		DIVIDEND	315.76	1A				
OPPEN SR FLTG RT Y	68381K408	9,481 799	09/03/13		DIVIDEND	357 15	1A				
OPPEN SR FLTG RT Y	68381K408	9,524 571	10/01/13		DIVIDEND	296 15	1A				
OPPEN SR FLTG RT Y	68381K408	9,560 081	11/01/13		DIVIDEND	336.25	1A				
OPPEN SR FLTG RT Y	68381K408	9,600.254	12/02/13		DIVIDEND	316 37	1A				
OPPEN SR FLTG RT Y	68381K408	9,637 962	12/31/13		DIVIDEND	314.26	1A				
SUBTOTAL						**3,203.55**					
PIONEER GLB HI YLD Y	72369G405	13 011.953	02/01/13		DIVIDEND	787 15	1A				
PIONEER GLB HI YLD Y	72369G405	5,652 981	03/01/13		DIVIDEND	747 13	1A				

1099-DIV, continued

Account Number: **7136-3353**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC'
PIONEER GLB HI YLD Y	72369G405	5,725.943	04/01/13		DIVIDEND	334.92	1A				
PIONEER GLB HI YLD Y	72369G405	5,758.687	05/01/13		DIVIDEND	336.07	1A				
PIONEER GLB HI YLD Y	72369G405	5,791.520	06/03/13		DIVIDEND	338.83	1A				
PIONEER GLB HI YLD Y	72369G405	5,824.869	07/01/13		DIVIDEND	334.32	1A				
PIONEER GLB HI YLD Y	72369G405	5,858.983	08/01/13		DIVIDEND	330.39	1A				
PIONEER GLB HI YLD Y	72369G405	5,892.423	09/03/13		DIVIDEND	332.96	1A				
PIONEER GLB HI YLD Y	72369G405	5,926.713	10/01/13		DIVIDEND	334.23	1A				
PIONEER GLB HI YLD Y	72369G405	5,960.853	11/01/13		DIVIDEND	330.19	1A				
PIONEER GLB HI YLD Y	72369G405	5,993.971	12/02/13		DIVIDEND	338.68	1A				
PIONEER GLB HI YLD Y	72369G405	6,028.181	01/02/14		DIVIDEND	498.65	1A				
SUBTOTAL						**5,044.37**					
PRIN PFD SECS P	74255L787	5,806.931	02/01/13		DIVIDEND	213.09	1A				
PRIN PFD SECS P	74255L787	5,806.931	02/01/13	01/30/13	QUALIFIED DIVIDEND	65.06	1B				
PRIN PFD SECS P	74255L787	5,833.296	03/01/13		DIVIDEND	204.23	1A				
PRIN PFD SECS P	74255L787	5,833.296	03/01/13	02/27/13	QUALIFIED DIVIDEND	62.35	1B				
PRIN PFD SECS P	74255L787	5,858.469	04/01/13		DIVIDEND	204.66	1A				
PRIN PFD SECS P	74255L787	5,858.469	04/01/13	03/27/13	QUALIFIED DIVIDEND	62.49	1B				
PRIN PFD SECS P	74255L787	5,883.601	05/01/13		DIVIDEND	191.56	1A				
PRIN PFD SECS P	74255L787	5,883.601	05/01/13	04/29/13	QUALIFIED DIVIDEND	58.49	1B				
PRIN PFD SECS P	74255L787	5,906.905	06/03/13		DIVIDEND	188.25	1A				
PRIN PFD SECS P	74255L787	5,906.905	06/03/13	05/30/13	QUALIFIED DIVIDEND	57.48	1B				
PRIN PFD SECS P	74255L787	5,929.935	07/01/13		DIVIDEND	187.63	1A				
PRIN PFD SECS P	74255L787	5,929.935	07/01/13	06/27/13	QUALIFIED DIVIDEND	57.28	1B				
PRIN PFD SECS P	74255L787	6,106.144	08/01/13		DIVIDEND	204.43	1A				
PRIN PFD SECS P	74255L787	6,106.144	08/01/13	07/30/13	QUALIFIED DIVIDEND	62.41	1B				
PRIN PFD SECS P	74255L787	6,132.001	09/03/13		DIVIDEND	213.75	1A				
PRIN PFD SECS P	74255L787	6,132.001	09/03/13	08/29/13	QUALIFIED DIVIDEND	65.26	1B				
PRIN PFD SECS P	74255L787	6,159.598	10/01/13		DIVIDEND	245.86	1A				
PRIN PFD SECS P	74255L787	6,159.598	10/01/13	09/27/13	QUALIFIED DIVIDEND	75.06	1B				
PRIN PFD SECS P	74255L787	6,191.435	11/01/13		DIVIDEND	252.34	1A				
PRIN PFD SECS P	74255L787	6,191.435	11/01/13	10/30/13	QUALIFIED DIVIDEND	77.04	1B				
PRIN PFD SECS P	74255L787	6,223.727	12/02/13		DIVIDEND	254.13	1A				
PRIN PFD SECS P	74255L787	6,223.727	12/02/13	11/27/13	QUALIFIED DIVIDEND	77.59	1B				
PRIN PFD SECS P	74255L787	6,256.312	12/19/13		CAPITAL GAIN	1,717.36	2A				

2013 SUPPLEMENTAL STATE TAX INFORMATION, continued

Account Number: 7136-3353

DETAILS - ADDITIONAL NON-FEDERALLY REPORTED ITEMS

Security Description: **Nuveen Hi Yld Muni Bd I**

CUSIP: 67065Q772

SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT
Alabama	$21 52	Maine	$2.71	Oklahoma	$21 69
Arizona	$63 02	Maryland	$23 04	Oregon	$4 40
California	$329 86	Massachusetts	$15 76	Pennsylvania	$47 95
Colorado	$105.04	Michigan	$54.05	Rhode Island	$7.29
Connecticut	$10 00	Minnesota	$14 91	South Carolina	$7.45
Delaware	$2 54	Mississippi	$0 34	South Dakota	$2.54
District of Columbia	$13.89	Missouri	$13 05	Tennessee	$17.45
Florida	$204.83	Montana	$1 69	Texas	$121 14
Georgia	$27.45	Nebraska	$4.40	Utah	$10.84
Hawaii	$3.56	Nevada	$17 45	Virginia	$23 04
Idaho	$2.03	New Hampshire	$0 17	Washington	$36.26
Illinois	$154.51	New Jersey	$35 58	West Virginia	$8.64
Indiana	$45 24	New Mexico	$3.56	Wisconsin	$33.21
Iowa	$20 50	New York	$51.67	Guam	$8 98
Kansas	$7 79	North Carolina	$7 12	Puerto Rico	$4 24
Kentucky	$3 73	North Dakota	$0 34	Virgin Islands	$1 52
Louisiana	$18.13	Ohio	$58 11	Other/Undesignated	$14.74

LPL Financial

LPL Financial
Member FINRA / SIPC
4707 Executive Drive
San Diego, CA 92121
Federal ID No. 95-2834236

2013 IRS 1099 CONSOLIDATED REPORTING STATEMENT

Recipient's Tax Identification Number: **72-1416778**

Account Number **2249-2633**

Courtesy Form

Copy B For Recipient

Department of the Treasury - Internal Revenue Service
(keep for your records)

This statement is being provided for your information only and will not be furnished to the Internal Revenue Service. However, if you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

AT 02 069083 54689H246 A**3DGT

THE PELTIER FOUNDATION
A NON-PROFIT ORGANIZATION
C/O STEPHEN G PELTIER
101 ST LOUIS STREET
THIBODAUX LA 70301-3027

Schedule 3

Your Financial Advisor

JOHN DUPONT
(985) 853-2100
Rep ID: 8X2B

FORM 1099-DIV	DIVIDENDS AND DISTRIBUTIONS TOTALS FOR TAX YEAR 2013	OMB #1545-0110
IRS BOX		
1A.	TOTAL ORDINARY DIVIDENDS[1]	$12 630 02
1B	QUALIFIED DIVIDENDS	$5,218 89
2A	TOTAL CAPITAL GAIN DISTRIBUTIONS	$0 00
2B	UNRECAP. SEC 1250 GAIN	$0 00
2C	SECTION 1202 GAIN	$0 00
2D.	COLLECTIBLES (28%) GAIN	$0 00
3	NONDIVIDEND DISTRIBUTIONS	$0 00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5	INVESTMENT EXPENSES	$0.00
6	FOREIGN TAX PAID	$72 97
7	FOREIGN COUNTRY OR U.S POSSESSION	VARIOUS
8	CASH LIQUIDATION DISTRIBUTIONS	$0.00
9	NONCASH LIQUIDATION DISTRIBUTIONS	$0.00
10.	EXEMPT-INTEREST DIVIDENDS	$0 00
11	SPECIFIED PRIVATE ACTIVITY BOND INTEREST DIVIDENDS	$0 00
12	STATE	N/A
13	STATE IDENTIFICATION NO	N/A
14	STATE TAX WITHHELD	$0.00

FORM 1099-INT	INTEREST INCOME TOTALS FOR TAX YEAR 2013	OMB #1545-0112
IRS BOX		
1	INTEREST INCOME	$0 00
2.	EARLY WITHDRAWAL PENALTY	$0 00
3	INTEREST ON U S SAVINGS BONDS AND TREAS. OBLIGATIONS	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5	INVESTMENT EXPENSES	$0 00
6.	FOREIGN TAX PAID	$0 00
7	FOREIGN COUNTRY OR U S POSSESSION	N/A
8	TAX-EXEMPT INTEREST	$0 00
9	SPECIFIED PRIVATE ACTIVITY BOND INTEREST	$0.00
10	TAX EXEMPT BOND CUSIP NO	N/A
11	STATE	N/A
12	STATE IDENTIFICATION NO	N/A
13	STATE TAX WITHHELD	$0 00

FORM 1099-MISC	MISCELLANEOUS INCOME TOTALS FOR TAX YEAR 2013	OMB #1545-0115
IRS BOX		
1	RENTS	$0 00
2	ROYALTIES	$0 00
3	OTHER INCOME	$0 00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
8	SUBSTITUTE PAYMENTS IN LIEU OF DIVIDENDS OR INTEREST	$0 00
11	FOREIGN TAX PAID	$0 00
12	FOREIGN COUNTRY OR U.S. POSSESSION	N/A
16	STATE TAX WITHHELD	$0 00
17	STATE IDENTIFICATION NO	N/A

Federal or state tax withheld amounts represent backup withholding applied due to missing W-9 certification, an IRS "B" Notice or "C" Notice

[1] Per IRS 1099-DIV Instructions for Box 1A, includes net short-term capital gain distributions from mutual funds, Tax-exempt dividends are reported on **1099-DIV Box 10**

069083 1/6

Account Number: **2249-2633**

2013 IRS 1099 CONSOLIDATED REPORTING STATEMENT

FORM 1099-B PROCEEDS FROM BROKER & BARTER EXCHANGES OMB #1545-0715
TOTALS FOR TAX YEAR 2013

IRS BOX		
2A	GROSS PROCEEDS LESS COMMISSIONS AND OPTION PREMIUMS	$935,056.42
3	COST OR OTHER BASIS	$939,116.40
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5	WASH SALE LOSS DISALLOWED	$0.00
9	PROFIT OR (LOSS) REALIZED IN 2013 ON CLOSED CONTRACTS	$0.00
10	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS -- 12/31/2012	$0.00
11	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS -- 12/31/2013	$0.00
12	AGGREGATE PROFIT OR (LOSS) ON CONTRACTS	$0.00
13	STATE	N/A
14	STATE IDENTIFICATION NO	N/A
15	STATE TAX WITHHELD	$0.00

FORM 1099-OID ORIGINAL ISSUE DISCOUNT OMB #1545-0117
TOTALS FOR TAX YEAR 2013

IRS BOX		
1	ORIGINAL ISSUE DISCOUNT FOR 2013*	$0.00
2	OTHER PERIODIC INTEREST	$0.00
3	EARLY WITHDRAWAL PENALTY	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5	FOREIGN TAX PAID	$0.00
6	FOREIGN COUNTRY OR U S POSSESSION	N/A
8	ORIGINAL ISSUE DISCOUNT ON U S TREASURY OBLIGATIONS*	$0.00
9	INVESTMENT EXPENSES	$0.00
10	STATE	N/A
11	STATE IDENTIFICATION NO	N/A
12	STATE TAX WITHHELD	$0.00

* This may or may not be the correct figure to report on your income tax return. See instructions on the last page

NON-FEDERALLY REPORTED ITEMS
TOTALS FOR TAX YEAR 2013

1	MARGIN DEBIT INTEREST	$0.00
2	LONG TERM OID ON EXEMPT MUNI BONDS	$0.00
3	BASIS ADJUSTMENTS	$0.00
4	RETURN OF CAPITAL OR PRINCIPAL	$0.00
5	LONG TERM OID ON EXEMPT MUNI SUBJECT TO ALT MIN TAX	$0.00
6	MISC TAX, SHORTFALLS AND EXEMPT INCOME INVT EXPENSES	$0.00
7	MISC FEES AND EXPENSES INCL MANAGED ACCOUNT FEES	$8,379.03
8	PARTNERSHIP (MLP)	$0.00
9	ACCRUED INTEREST PURCHASED	$0.00
10	ACCRUED INTEREST PURCHASED EXEMPT	$0.00
11	PURCHASES	$1,133,849.37
12	OPTIONS	PLEASE SEE OPTIONS SECTION
13	DIRECT BUSINESS MUTUAL FUND SALE TRADES	$0.00

IMPORTANT TAX INFORMATION

The 2013 1099 and 2013 Widely Held Fixed Investment Trust (WHFIT) Tax Guides, which contain illustrated examples and explanations of tax statements you may receive will now be available on the Disclosures page of our corporate site at http://lplfinancial.lpl.com/disclosure.htm. As LPL Financial does not provide tax advisory services, please share and consult with your tax professional before using the information contained in these guides for tax reporting purposes

Additional REMIC and/or Widely Held Mortgage Trust (WHMT) Statement May Be Pending:
If there are securities listed below, then our records indicate you held Mortgage Backed Securities such as Collateralized Mortgage Obligations (CMO), Collateralized Debt Obligations (CDO), Real Estate Mortgage Investment Conduits (REMIC) or Widely Held Mortgage Trusts (WHMT) in 2013 with LPL Financial. Additional 1099s and related tax information will be mailed to you by March 17, 2014 in connection with these assets. As a reminder, if there are securities listed below, please do not complete your tax return until you have received this additional information from LPL Financial

CUSIP	Security Description	CUSIP	Security Description
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1099-DIV

Account Number: **2249-2633**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

This section may contain federally reported income in boxes 10 and 11, which may be useful in helping you prepare your tax return. This income may be taxable at the state or local level. Please review this information with your tax advisor.

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
JPMORGAN PRIME MMKT SERV	09999775	11,338 000	01/31/13		DIVIDEND	0 11	1A				
JPMORGAN PRIME MMKT SERV	09999775	16,714 000	02/28/13		DIVIDEND	0 09	1A				
JPMORGAN PRIME MMKT SERV	09999775	16,439 000	03/28/13		DIVIDEND	0 21	1A				
JPMORGAN PRIME MMKT SERV	09999775	22,505 000	04/30/13		DIVIDEND	0 19	1A				
JPMORGAN PRIME MMKT SERV	09999775	21,677.000	05/31/13		DIVIDEND	0 25	1A				
JPMORGAN PRIME MMKT SERV	09999775	22,098 000	06/28/13		DIVIDEND	0 22	1A				
JPMORGAN PRIME MMKT SERV	09999775	27,707 000	07/31/13		DIVIDEND	0 20	1A				
JPMORGAN PRIME MMKT SERV	09999775	28,763.000	08/30/13		DIVIDEND	0 25	1A				
JPMORGAN PRIME MMKT SERV	09999775	25,381 000	09/30/13		DIVIDEND	0 23	1A				
JPMORGAN PRIME MMKT SERV	09999775	18,973 000	10/31/13		DIVIDEND	0 20	1A				
JPMORGAN PRIME MMKT SERV	09999775	20,407 000	11/29/13		DIVIDEND	0 17	1A				
JPMORGAN PRIME MMKT SERV	09999775	0 000	12/16/13		SHORT TERM CAP	0 18	1A				
JPMORGAN PRIME MMKT SERV	09999775	17 725 000	12/31/13		DIVIDEND	0 15	1A				
SUBTOTAL						**2.45**					
ISHS MSCI E/M ASIA ETF	464286426	643 000	07/05/13		DIVIDEND	110 46	1A				
ISHS MSCI E/M ASIA ETF	464286426	643 000	07/05/13	06/27/13	QUALIFIED DIVIDEND	229 77	1B				
ISHS MSCI E/M ASIA ETF	464286426	643 000	07/05/13		TAX AT SOURCE	(62.13)	6				
SUBTOTAL						**278.10**					
ISHS PAC EX JPN ETF	464286665	765 000	07/05/13		DIVIDEND	133 84	1A				
ISHS PAC EX JPN ETF	464286665	765 000	07/05/13	06/27/13	QUALIFIED DIVIDEND	595 64	1B				
ISHS PAC EX JPN ETF	464286665	765 000	07/05/13		TAX AT SOURCE	(8 94)	6				
SUBTOTAL						**720.54**					
ISHS MSCI MEXICO CPD ETF	464286822	522 000	12/30/13		DIVIDEND	15 16	1A				
ISHS MSCI MEXICO CPD ETF	464286822	522 000	12/30/13	12/18/13	QUALIFIED DIVIDEND	371 08	1B				
ISHS MSCI MEXICO CPD ETF	464286822	522 000	12/30/13		TAX AT SOURCE	(1 60)	6				
ISHS MSCI MEXICO CPD ETF	464286822	522 000	01/03/14		DIVIDEND	3 35	1A				
ISHS MSCI MEXICO CPD ETF	464286822	522 000	01/03/14	12/27/13	QUALIFIED DIVIDEND	68 03	1B				
ISHS MSCI MEXICO CPD ETF	464286822	522 000	01/03/14		TAX AT SOURCE	(0 30)	6				
SUBTOTAL						**455.72**					
ISHS INVSTMNT BD ETF	464287242	1,281.000	02/07/13		DIVIDEND	474 62	1A				
ISHS INVSTMNT BD ETF	464287242	653 000	03/07/13		DIVIDEND	244.37	1A				
ISHS INVSTMNT BD ETF	464287242	483 000	04/05/13		DIVIDEND	1/7 83	1A				

1099-DIV, continued

Account Number 2249-2633

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
ISHS INVSTMNT BD ETF	464287242	765 000	05/07/13		DIVIDEND	277 03	1A				
ISHS INVSTMNT BD ETF	464287242	567 000	06/07/13		DIVIDEND	204 69	1A				
ISHS INVSTMNT BD ETF	464287242	608 000	07/08/13		DIVIDEND	220 91	1A				
ISHS INVSTMNT BD ETF	464287242	608 000	08/07/13		DIVIDEND	212.70	1A				
ISHS INVSTMNT BD ETF	464287242	608 000	09/09/13		DIVIDEND	222 44	1A				
SUBTOTAL						**2,034.59**					
ISHS S&P 500 SMCP ETF	464287804	364 000	09/30/13		DIVIDEND	15 12	1A				
ISHS S&P 500 SMCP ETF	464287804	364 000	09/30/13	09/24/13	QUALIFIED DIVIDEND	74 73	1B				
ISHS S&P 500 SMCP ETF	464287804	1,397 000	12/30/13		DIVIDEND	82 31	1A				
ISHS S&P 500 SMCP ETF	464287804	1,397 000	12/30/13	12/23/13	QUALIFIED DIVIDEND	406.90	1B				
SUBTOTAL						**579.06**					
ISHS IBOX $ H/Y CORP ETF	464288513	263 000	02/07/13		DIVIDEND	141 31	1A				
ISHS IBOX $ H/Y CORP ETF	464288513	834 000	03/07/13		DIVIDEND	421 99	1A				
ISHS IBOX $ H/Y CORP ETF	464288513	919 000	04/05/13		DIVIDEND	448.84	1A				
ISHS IBOX $ H/Y CORP ETF	464288513	998.000	05/07/13		DIVIDEND	467 20	1A				
ISHS IBOX $ H/Y CORP ETF	464288513	712 000	06/07/13		DIVIDEND	341.11	1A				
ISHS IBOX $ H/Y CORP ETF	464288513	771 000	07/08/13		DIVIDEND	369 85	1A				
ISHS IBOX $ H/Y CORP ETF	464288513	771 000	08/07/13		DIVIDEND	349 32	1A				
ISHS IBOX $ H/Y CORP ETF	464288513	393 000	09/09/13		DIVIDEND	188 63	1A				
ISHS IBOX $ H/Y CORP ETF	464288513	393 000	10/07/13		DIVIDEND	174 80	1A				
ISHS IBOX $ H/Y CORP ETF	464288513	393.000	11/07/13		DIVIDEND	176 91	1A				
ISHS IBOX $ H/Y CORP ETF	464288513	393 000	12/06/13		DIVIDEND	176 86	1A				
SUBTOTAL						**3,256.82**					
ISHS MBS ETF	464288588	1,303 000	02/07/13		DIVIDEND	118 13	1A				
ISHS MBS ETF	464288588	964 000	03/07/13		DIVIDEND	102 88	1A				
ISHS MBS ETF	464288588	1 072,000	04/05/13		DIVIDEND	277.00	1A				
ISHS MBS ETF	464288588	1,435.000	05/07/13		DIVIDEND	113 84	1A				
ISHS MBS ETF	464288588	1 566 000	06/07/13		DIVIDEND	89 57	1A				
ISHS MBS ETF	464288588	1,678 000	07/08/13		DIVIDEND	112 20	1A				
ISHS MBS ETF	464288588	1,678.000	08/07/13		DIVIDEND	143 50	1A				
ISHS MBS ETF	464288588	1,678.000	09/09/13		DIVIDEND	129 23	1A				
ISHS MBS ETF	464288588	1 678 000	10/07/13		DIVIDEND	185 43	1A				

1099-DIV, continued

Account Number. **2249-2633**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
ISHS MBS ETF	464288588	1,040 000	11/07/13		DIVIDEND	126 28	1A				
ISHS MBS ETF	464288588	1,040 000	12/06/13		DIVIDEND	145 60	1A				
ISHS MBS ETF	464288588	779 000	01/02/14		DIVIDEND	105 46	1A				
SUBTOTAL						**1,649.14**					
ISHS 1-3Y CR BD ETF	464288646	345 000	10/07/13		DIVIDEND	33 25	1A				
ISHS 1-3Y CR BD ETF	464288646	345 000	11/07/13		DIVIDEND	32 16	1A				
ISHS 1-3Y CR BD ETF	464288646	345 000	12/06/13		DIVIDEND	31 06	1A				
SUBTOTAL						**96.51**					
SPDR S&P 500 ETF	78462F103	211 000	01/31/14		DIVIDEND	11.38	1A				
SPDR S&P 500 ETF	78462F103	211 000	01/31/14	12/20/13	QUALIFIED DIVIDEND	195 45	1B				
SUBTOTAL						**206.83**					
SPDR S&P MDCP 400 ETF	78467Y107	1,235.000	07/31/13	06/21/13	QUALIFIED DIVIDEND	908 96	1B				
SPDR S&P MDCP 400 ETF	78467Y107	1,659 000	10/31/13	09/20/13	QUALIFIED DIVIDEND	1,097 93	1B				
SPDR S&P MDCP 400 ETF	78467Y107	1,789 000	01/31/14	12/20/13	QUALIFIED DIVIDEND	1 270 40	1B				
SUBTOTAL						**3,277.29**					

LPL Financial

LPL Financial
Member FINRA / SIPC
4707 Executive Drive
San Diego, CA 92121
Federal ID No· 95-2834236

2013 IRS 1099 CONSOLIDATED REPORTING STATEMENT

Recipient's Tax Identification Number: **72-1416778**

Account Number. **4515-3811**

Courtesy Form

Copy B For Recipient

Department of the Treasury - Internal Revenue Service
(keep for your records)

This statement is being provided for your information only and will not be furnished to the Internal Revenue Service. However, if you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported

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THE PELTIER FOUNDATION
A NON-PROFIT ORGANIZATION
--ZACKS INVESTMENT MGMT--
C/O STEPHEN G PELTIER
101 ST LOUIS STREET
THIBODAUX LA 70301-3027

Sch A

Your Financial Advisor

JOHN DUPONT
(985) 853-2100
Rep ID: 8X2B

FORM 1099-DIV — **DIVIDENDS AND DISTRIBUTIONS TOTALS FOR TAX YEAR 2013** — **OMB #1545-0110**

IRS BOX		
1A	TOTAL ORDINARY DIVIDENDS[1]	$33,256 94
1B	QUALIFIED DIVIDENDS	$32,851 98
2A	TOTAL CAPITAL GAIN DISTRIBUTIONS	$132 69
2B	UNRECAP SEC 1250 GAIN	$132.24
2C	SECTION 1202 GAIN	$0 00
2D	COLLECTIBLES (28%) GAIN	$0 00
3	NONDIVIDEND DISTRIBUTIONS	$105 04
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5	INVESTMENT EXPENSES	$0.00
6	FOREIGN TAX PAID	$17 52
7	FOREIGN COUNTRY OR U S. POSSESSION	VARIOUS
8	CASH LIQUIDATION DISTRIBUTIONS	$0 00
9	NONCASH LIQUIDATION DISTRIBUTIONS	$0.00
10	EXEMPT-INTEREST DIVIDENDS	$0.00
11	SPECIFIED PRIVATE ACTIVITY BOND INTEREST DIVIDENDS	$0 00
12	STATE	N/A
13	STATE IDENTIFICATION NO	N/A
14	STATE TAX WITHHELD	$0 00

FORM 1099-INT — **INTEREST INCOME TOTALS FOR TAX YEAR 2013** — **OMB #1545-0112**

IRS BOX		
1	INTEREST INCOME	$0 00
2	EARLY WITHDRAWAL PENALTY	$0 00
3	INTEREST ON U S SAVINGS BONDS AND TREAS. OBLIGATIONS	$0 00
4	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5	INVESTMENT EXPENSES	$0 00
6	FOREIGN TAX PAID	$0 00
7	FOREIGN COUNTRY OR U S POSSESSION	N/A
8	TAX-EXEMPT INTEREST	$0.00
9.	SPECIFIED PRIVATE ACTIVITY BOND INTEREST	$0 00
10	TAX-EXEMPT BOND CUSIP NO	N/A
11	STATE	N/A
12	STATE IDENTIFICATION NO.	N/A
13	STATE TAX WITHHELD	$0.00

FORM 1099-MISC — **MISCELLANEOUS INCOME TOTALS FOR TAX YEAR 2013** — **OMB #1545-0115**

IRS BOX		
1	RENTS	$0.00
2	ROYALTIES	$0 00
3	OTHER INCOME	$0 00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
8	SUBSTITUTE PAYMENTS IN LIEU OF DIVIDENDS OR INTEREST	$0 00
11.	FOREIGN TAX PAID	$0 00
12	FOREIGN COUNTRY OR U S POSSESSION	N/A
15	STATE TAX WITHHELD	$0 00
17	STATE IDENTIFICATION NO	N/A

Federal or state tax withheld amounts represent backup withholding applied due to missing W-9 certification, an IRS "B" Notice or "C" Notice

[1] Per IRS 1099-DIV Instructions for Box 1A, Includes net short-term capital gain distributions from mutual funds, Tax-exempt dividends are reported on **1099-DIV Box 10.**

NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number: **2249-2633**

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
ISHS MSCI E/M ASIA ETF	464286426	05/02/13	PURCHASE	34 000	56.76	1,929 84		11
ISHS MSCI E/M ASIA ETF	464286426	06/04/13	PURCHASE	43 000	55 29	2,377 39		11
SUBTOTAL						**64,841.85**		
ISHS PAC EX JPN ETF	464286665	02/21/13	PURCHASE	524 000	48.95	25,649 80		11
ISHS PAC EX JPN ETF	464286665	03/08/13	PURCHASE	47 000	50 29	2,363 54		11
ISHS PAC EX JPN ETF	464286665	04/02/13	PURCHASE	56 000	50 00	2,800 00		11
ISHS PAC EX JPN ETF	464286665	05/02/13	PURCHASE	36 000	51 01	1,836 32		11
ISHS PAC EX JPN ETF	464286665	06/04/13	PURCHASE	102 000	46 59	4,752.08		11
SUBTOTAL						**37,401.74**		
ISHS MSCI MEXICO CPD ETF	464286822	08/14/13	PURCHASE	522 000	69 32	36,184 99		11
ISHS INVSTMNT BD ETF	464287242	03/08/13	PURCHASE	72 000	118.76	8,550.65		11
ISHS INVSTMNT BD ETF	464287242	04/02/13	PURCHASE	42 000	119 31	5,011.02		11
ISHS INVSTMNT BD ETF	464287242	04/24/13	PURCHASE	240.000	121 94	29,265 58		11
ISHS INVSTMNT BD ETF	464287242	05/02/13	PURCHASE	66 000	122 37	8,076 43		11
ISHS INVSTMNT BD ETF	464287242	06/04/13	PURCHASE	41.000	116 98	4,796 15		11
SUBTOTAL						**55,699.83**		
ISHS RUSS MDCP INDX ETF	464287499	02/21/13	PURCHASE	421 000	121 32	51,075 72		11
ISHS RUSS MDCP INDX ETF	464287499	03/08/13	PURCHASE	92 000	125.60	11,554 83		11
SUBTOTAL						**62,630.55**		
ISHS S&P 500 SMCP ETF	464287804	09/19/13	PURCHASE	364 000	99.83	36,338 12		11
ISHS S&P 500 SMCP ETF	464287804	10/23/13	PURCHASE	347 000	103.48	35,907 56		11
ISHS S&P 500 SMCP ETF	464287804	12/03/13	PURCHASE	686 000	106.86	73,305 96		11
SUBTOTAL						**145,551.64**		
ISHS IBOX $ H/Y CORP ETF	464288513	02/21/13	PURCHASE	551 000	93 38	51,452 32		11
ISHS IBOX $ H/Y CORP ETF	464288513	03/08/13	PURCHASE	85 000	94 04	7,993.32		11
ISHS IBOX $ H/Y CORP ETF	464288513	04/02/13	PURCHASE	79 000	94 03	7,428.32		11
ISHS IBOX $ H/Y CORP ETF	464288513	05/02/13	PURCHASE	64 000	95 69	6,124.15		11

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[1] **Collectibles Allocation Factor (CAF)· Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.**

'Option Premium amounts on this page represent interest payments from assets such as structured products that have reclassified a portion to nontaxable option premium to align with the tax treatment prescribed in the product's prospectus.

Any basis adjustments reported on this page generally apply to WHFITs such as royalty trusts and HOLDRS trusts, where a portion of the distribution is reallocated as either a reduction or addition to cost basis, depending on whether the income distributed exceeds or is less than the reportable amount. Please note that the cost basis of your position on these specific assets have not been adjusted to reflect these amounts and you will need to consult with your tax professional to apply these reallocations accordingly.

NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number. 2249-2633

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
ISHS IBOX $ H/Y CORP ETF	464288513	06/04/13	PURCHASE	59 000	92.28	5,444.51		11
SUBTOTAL						**78,442.62**		
ISHS MBS ETF	464288588	03/08/13	PURCHASE	108 000	107 47	11,606 76		11
ISHS MBS ETF	464288588	04/02/13	PURCHASE	91.000	107 82	9,811 47		11
ISHS MBS ETF	464288588	04/24/13	PURCHASE	272 000	108 22	29,435 84		11
ISHS MBS ETF	464288588	05/02/13	PURCHASE	131 000	108 16	14,168 95		11
ISHS MBS ETF	464288588	06/04/13	PURCHASE	112 000	106.12	11,885.36		11
SUBTOTAL						**78,908.38**		
ISHS 1-3Y CR BD ETF	464288646	09/19/13	PURCHASE	345 000	105 36	36,348 99		11
SPDR S&P 500 ETF	78462F103	03/20/13	PURCHASE	186 000	155 46	28,915 91		11
SPDR S&P 500 ETF	78462F103	04/02/13	PURCHASE	13 000	157 04	2,041 52		11
SPDR S&P 500 ETF	78462F103	10/23/13	PURCHASE	211 000	174.19	36,753 54		11
SUBTOTAL						**67,710.97**		
SPDR GOLD TRUST GOLD ETF	78463V107	03/08/13	PURCHASE	34 000	152 53	5,186 02		11
SPDR GOLD TRUST GOLD ETF	78463V107	04/02/13	PURCHASE	34 000	152 52	5,185 68		11
SPDR GOLD TRUST GOLD ETF	78463V107	05/02/13	PURCHASE	66 000	142 03	9,373 97		11
SPDR GOLD TRUST GOLD ETF	78463V107	06/04/13	PURCHASE	10.000	135 10	1,351 00		11
SUBTOTAL						**21,096.67**		
SPDR S&P MDCP 400 ETF	78467Y107	03/20/13	PURCHASE	892 000	207 70	185 267 94		11
SPDR S&P MDCP 400 ETF	78467Y107	04/02/13	PURCHASE	73 000	207 65	15 172 68		11
SPDR S&P MDCP 400 ETF	78467Y107	05/02/13	PURCHASE	51 000	209 39	10,678 70		11
SPDR S&P MDCP 400 ETF	78467Y107	05/16/13	PURCHASE	431 000	219.67	94,677.68		11
SPDR S&P MDCP 400 ETF	78467Y107	06/04/13	PURCHASE	92 000	213 13	19,607 83		11
SPDR S&P MDCP 400 ETF	78467Y107	07/17/13	PURCHASE	279.000	222 64	62,116 56		11
SPDR S&P MDCP 400 ETF	78467Y107	08/14/13	PURCHASE	145 000	224 76	32,592 91		11
SPDR S&P MDCP 400 ETF	78467Y107	12/03/13	PURCHASE	130 000	237.82	30,916 54		11
SUBTOTAL						**451,031.04**		

[1] **Collectibles Allocation Factor (CAF):** Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

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2013 SUPPLEMENTAL STATE TAX INFORMATION

Account Number: **2249-2633**

DETAILS - ADDITIONAL NON-FEDERALLY REPORTED ITEMS

This section lists the dollar amount of income derived from federal or municipal obligations, based on a percentage of income reported in the details of your 1099-Consolidated statement, received by the following funds on a state-by-state basis A portion of your dividend attributable to interest earned by a fund on securities issued by your state may be tax-exempt in your state based upon your state's tax laws. Please review your state's requirements This section also includes

- percentage of income from corporate dividends to calculate your dividend received deduction (DRD),
- percentage from repurchase agreements, percentage from foreign source income,
- percentage of capital gain from federal securities and
- percentage of five-year long-term gain

This information represents what was available at the time your 1099 was prepared, which may not be complete and can be subject to change Please contact your mutual fund directly if you need to verify this information. Not all Federal source income is state tax exempt. You may need to consult with your tax advisor or state tax authority

Security Description: **iShares MSCI Em Mkts Asia Id ETF** **CUSIP: 464286426**

SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT
Foreign Source Income	$310.29				

Security Description: **iShares MSCI Pacific Index** **CUSIP: 464286665**

SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT
Foreign Source Income	$729 48				

Security Description: **iShares MSCI Mexic Fr Idx Com** **CUSIP: 464286822**

SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT
Foreign Source Income	$457.62				

2013 SUPPLEMENTAL STATE TAX INFORMATION, continued

Account Number: 2249-2633

DETAILS - ADDITIONAL NON-FEDERALLY REPORTED ITEMS

Security Description: **iShares iBoxx Inv Gr Corp Bd** **CUSIP: 464287242**

SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT
U.S Treasury	$0.02	Student Loan Marketing Assn.	$10 58	Total Direct Federal	$10.62

Security Description: **iShares Barclay 1-3y Crdt Bd** **CUSIP: 464288646**

SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT
U S Treasury	$0.02	Student Loan Marketing Assn	$0.52	Total Direct Federal	$0.54

Security Description: **iShares iBoxx Hi Yld Corp Bd ETF** **CUSIP: 464288513**

SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT
Student Loan Marketing Assn.	$7.62	Total Direct Federal	$7.62		

Security Description: **iShares Barclay MBS Bond** **CUSIP: 464288588**

SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT	SUPPLEMENTAL SOURCE OF INCOME	SUPPLEMENTAL SOURCE AMOUNT
Ginnie Mae	$410.29	Freddie Mac	$448 58	Total Indirect Federal	$1,631.46
Fannie Mae	$772 57				

NON-FEDERALLY REPORTED ITEMS SECTION

Account Number: **2249-2633**

DETAILS - NON-FEDERALLY REPORTED ITEMS

This section lists non-federally reported items, which may be useful when preparing your tax return. These items may or may not be taxable at the state or local level. Please review this information with your tax advisor.

If you have OID shortfall listed, this calculation is based on the period you held these assets with LPL Financial during tax year 2013. Please consult with your tax professional to determine if an adjustment may be required.

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
SPDR GOLD TRUST GOLD ETF	78463V107	01/07/13	INV EXP-PROCEEDS	637 000	0 00	33 46		7
SPDR GOLD TRUST GOLD ETF	78463V107	02/21/13	INV EXP PROCEEDS	481 000	0 00	26 11		7
SPDR GOLD TRUST GOLD ETF	78463V107	03/12/13	INV EXP-PROCEEDS	343.000	0 00	18 09		7
SPDR GOLD TRUST GOLD ETF	78463V107	04/09/13	INV EXP-PROCEEDS	411,000	0 00	22 19		7
SPDR GOLD TRUST GOLD ETF	78463V107	05/10/13	INV EXP-PROCEEDS	477 000	0 00	26.58		7
SPDR GOLD TRUST GOLD ETF	78463V107	06/17/13	INV EXP-PROCEEDS	262 000	0 00	12 77		7
SPDR GOLD TRUST GOLD ETF	78463V107	07/10/13	INV EXP-PROCEEDS	262 000	0 00	12 57		7
SPDR GOLD TRUST GOLD ETF	78463V107	08/15/13	INV EXP-PROCEEDS	262 000	0 00	11 57		7
SUBTOTAL						**163.34**		
ADVISORY FEE	MNGT FEES	01/09/13	MGT ACCT FEES	0 000	0 00	1,627 92		7
ADVISORY FEE	MNGT FEES	04/09/13	MGT ACCT FEES	0 000	0 00	1,854 64		7
ADVISORY FEE	MNGT FEES	07/09/13	MGT ACCT FEES	0 000	0 00	2,481 02		7
ADVISORY FEE	MNGT FEES	10/08/13	MGT ACCT FEES	0 000	0 00	2,252 11		7
SUBTOTAL						**8,215.69**		
PURCHASES								
ISHS MSCI E/M ASIA ETF	464286426	01/16/13	PURCHASE	452 000	58 45	26,419 40		11
ISHS MSCI E/M ASIA ETF	464286426	03/08/13	PURCHASE	51 000	57 72	2,917 97		11
ISHS MSCI E/M ASIA ETF	464286426	04/24/13	PURCHASE	566 000	55 12	31,197 35		11

[1] **Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed Please refer to the 2013 WHFIT Guide online for details**

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Account Number: **4515-3811**

2013 IRS 1099 CONSOLIDATED REPORTING STATEMENT

FORM 1099-B PROCEEDS FROM BROKER & BARTER EXCHANGES OMB #1545-0715
TOTALS FOR TAX YEAR 2013

IRS BOX		
2A	GROSS PROCEEDS LESS COMMISSIONS AND OPTION PREMIUMS	$309,647 40
3	COST OR OTHER BASIS	$241,338 18
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5	WASH SALE LOSS DISALLOWED	$0 00
9	PROFIT OR (LOSS) REALIZED IN 2013 ON CLOSED CONTRACTS	$0 00
10	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS – 12/31/2012	$0 00
11	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS -- 12/31/2013	$0.00
12	AGGREGATE PROFIT OR (LOSS) ON CONTRACTS	$0 00
13	STATE	N/A
14	STATE IDENTIFICATION NO	N/A
15	STATE TAX WITHHELD	$0.00

FORM 1099-OID ORIGINAL ISSUE DISCOUNT OMB #1545-0117
TOTALS FOR TAX YEAR 2013

IRS BOX		
1.	ORIGINAL ISSUE DISCOUNT FOR 2013*	$0 00
2.	OTHER PERIODIC INTEREST	$0 00
3.	EARLY WITHDRAWAL PENALTY	$0 00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5	FOREIGN TAX PAID	$0.00
6	FOREIGN COUNTRY OR U S. POSSESSION	N/A
8.	ORIGINAL ISSUE DISCOUNT ON U S TREASURY OBLIGATIONS*	$0 00
9.	INVESTMENT EXPENSES	$0.00
10.	STATE	N/A
11	STATE IDENTIFICATION NO	N/A
12.	STATE TAX WITHHELD	$0 00

* This may or may not be the correct figure to report on your income tax return. See instructions on the last page.

NON-FEDERALLY REPORTED ITEMS
TOTALS FOR TAX YEAR 2013

1	MARGIN DEBIT INTEREST	$0 00
2	LONG TERM OID ON EXEMPT MUNI BONDS	$0 00
3	BASIS ADJUSTMENTS	$0 00
4	RETURN OF CAPITAL OR PRINCIPAL	$0 00
5	LONG TERM OID ON EXEMPT MUNI SUBJECT TO ALT MIN TAX	$0.00
6	MISC TAX, SHORTFALLS AND EXEMPT INCOME INVT EXPENSES	$0.00
7	MISC FEES AND EXPENSES INCL MANAGED ACCOUNT FEES	$18,529 63
8	PARTNERSHIP (MLP)	$0 00
9	ACCRUED INTEREST PURCHASED	$0 00
10	ACCRUED INTEREST PURCHASED EXEMPT	$0 00
11	PURCHASES	$572,064.07
12.	OPTIONS	PLEASE SEE OPTIONS SECTION
13.	DIRECT BUSINESS MUTUAL FUND SALE TRADES	$0 00

IMPORTANT TAX INFORMATION

The 2013 1099 and 2013 Widely Held Fixed Investment Trust (WHFIT) Tax Guides, which contain illustrated examples and explanations of tax statements you may receive, will now be available on the Disclosures page of our corporate site at http://lplfinancial lpl com/disclosure htm As LPL Financial does not provide tax advisory services, please share and consult with your tax professional before using the information contained in these guides for tax reporting purposes

Additional REMIC and/or Widely Held Mortgage Trust (WHMT) Statement May Be Pending:
If there are securities listed below, then our records indicate you held Mortgage Backed Securities such as Collateralized Mortgage Obligations (CMO), Collateralized Debt Obligations (CDO), Real Estate Mortgage Investment Conduits (REMIC) or Widely Held Mortgage Trusts (WHMT) in 2013 with LPL Financial Additional 1099s and related tax information will be mailed to you by March 17, 2014 in connection with these assets. As a reminder, if there are securities listed below, please do not complete your tax return until you have received this additional information from LPL Financial.

CUSIP	Security Description	CUSIP	Security Description
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1099-DIV

Account Number: **4515-3811**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

This section may contain federally reported income in boxes 10 and 11, which may be useful in helping you prepare your tax return. This income may be taxable at the state or local level. Please review this information with your tax advisor.

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
AFLAC INC	001055102	314 000	03/01/13	02/13/13	QUALIFIED DIVIDEND	109 90	1B				
AFLAC INC	001055102	314 000	06/03/13	05/20/13	QUALIFIED DIVIDEND	109 90	1B				
AFLAC INC	001055102	314 000	09/03/13	08/19/13	QUALIFIED DIVIDEND	109.90	1B				
AFLAC INC	001055102	355 000	12/02/13	11/18/13	QUALIFIED DIVIDEND	131 35	1B				
SUBTOTAL						**461.05**					
AT&T INC	00206R102	762 000	02/01/13	01/08/13	QUALIFIED DIVIDEND	342 90	1B				
AT&T INC	00206R102	762 000	05/01/13	04/08/13	QUALIFIED DIVIDEND	342 90	1B				
AT&T INC	00206R102	762.000	08/01/13	07/08/13	QUALIFIED DIVIDEND	342 90	1B				
AT&T INC	00206R102	834 000	11/01/13	10/08/13	QUALIFIED DIVIDEND	375 30	1B				
SUBTOTAL						**1,404.00**					
ABBOTT LABORATORIES	002824100	151 000	02/15/13	01/11/13	QUALIFIED DIVIDEND	21 14	1B				
ABBVIE INC	00287Y109	151 000	02/15/13	01/11/13	QUALIFIED DIVIDEND	60.40	1B				
ABBVIE INC	00287Y109	151 000	05/15/13	04/11/13	QUALIFIED DIVIDEND	60 40	1B				
ABBVIE INC	00287Y109	151 000	08/15/13	07/11/13	QUALIFIED DIVIDEND	60 40	1B				
ABBVIE INC	00287Y109	171 000	11/15/13	10/10/13	QUALIFIED DIVIDEND	68 40	1B				
SUBTOTAL						**249.60**					
ALTRIA GROUP INC	02209S103	383 000	01/10/13	12/21/12	QUALIFIED DIVIDEND	168 52	1B				
ALTRIA GROUP INC	02209S103	383 000	04/10/13	03/13/13	QUALIFIED DIVIDEND	168 52	1B				
ALTRIA GROUP INC	02209S103	383 000	07/10/13	06/12/13	QUALIFIED DIVIDEND	168 52	1B				
ALTRIA GROUP INC	02209S103	401 000	10/10/13	09/12/13	QUALIFIED DIVIDEND	192 48	1B				
SUBTOTAL						**698.04**					
AMER ELEC PWR CO INC	025537101	308 000	03/08/13	02/06/13	QUALIFIED DIVIDEND	144 76	1B				
AMER ELEC PWR CO INC	025537101	306 000	06/10/13	05/08/13	QUALIFIED DIVIDEND	150 92	1B				
AMER ELEC PWR CO INC	025537101	306 000	09/10/13	08/07/13	QUALIFIED DIVIDEND	150 92	1B				
AMER ELEC PWR CO INC	025537101	348 000	12/10/13	11/06/13	QUALIFIED DIVIDEND	174 00	1B				
SUBTOTAL						**620.60**					
AMERN EXPRESS CO	025816109	170 000	02/08/13	01/02/13	QUALIFIED DIVIDEND	34 00	1B				
AMERN EXPRESS CO	025816109	170 000	05/10/13	04/03/13	QUALIFIED DIVIDEND	34 00	1B				
SUBTOTAL						**68 00**					

1099-DIV, continued

Account Number: **4515-3811**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
AMERICAN FINL GRP INC OH	025932104	289.000	01/25/13	01/11/13	QUALIFIED DIVIDEND	56.36	1B				
AMERICAN FINL GRP INC OH	025932104	280.000	04/25/13	04/11/13	QUALIFIED DIVIDEND	56.36	1B				
AMERICAN FINL GRP INC OH	025932104	289.000	07/25/13	07/11/13	QUALIFIED DIVIDEND	56.36	1B				
AMERICAN FINL GRP INC OH	025932104	321.000	10/25/13	10/10/13	QUALIFIED DIVIDEND	70.62	1B				
AMERICAN FINL GRP INC OH	025932104	345.000	12/24/13	12/12/13	QUALIFIED DIVIDEND	345.00	1B				
SUBTOTAL						**584.70**					
AMERIPRISE FINL INC	03076C106	238.000	02/22/13	02/07/13	QUALIFIED DIVIDEND	107.10	1B				
AMERIPRISE FINL INC	03076C106	238.000	05/17/13	05/02/13	QUALIFIED DIVIDEND	123.76	1B				
AMERIPRISE FINL INC	03076C106	238.000	08/16/13	08/01/13	QUALIFIED DIVIDEND	123.76	1B				
AMERIPRISE FINL INC	03076C106	270.000	11/22/13	11/07/13	QUALIFIED DIVIDEND	140.40	1B				
SUBTOTAL						**495.02**					
APPLIED MATERIALS INC	038222105	1,276.000	03/13/13	02/15/13	QUALIFIED DIVIDEND	114.84	1B				
APPLIED MATERIALS INC	038222105	1,276.000	06/13/13	05/21/13	QUALIFIED DIVIDEND	127.60	1B				
APPLIED MATERIALS INC	038222105	1,276.000	09/12/13	08/20/13	QUALIFIED DIVIDEND	127.60	1B				
APPLIED MATERIALS INC	038222105	49.000	12/12/13	11/19/13	QUALIFIED DIVIDEND	4.90	1B				
SUBTOTAL						**374.94**					
ARCHER DANIELS MIDLAND C	039483102	318.000	06/06/13	05/14/13	QUALIFIED DIVIDEND	60.42	1B				
ARCHER DANIELS MIDLAND C	039483102	318.000	09/12/13	08/20/13	QUALIFIED DIVIDEND	60.42	1B				
ARCHER DANIELS MIDLAND C	039483102	540.000	12/05/13	11/12/13	QUALIFIED DIVIDEND	102.60	1B				
SUBTOTAL						**223.44**					
BB&T CORP	054937107	584.000	06/03/13	05/08/13	QUALIFIED DIVIDEND	134.32	1B				
BB&T CORP	054937107	584.000	09/03/13	08/07/13	QUALIFIED DIVIDEND	134.32	1B				
BB&T CORP	054937107	668.000	12/02/13	11/06/13	QUALIFIED DIVIDEND	153.64	1B				
SUBTOTAL						**422.28**					
BLACKROCK INC	09247X101	67.000	03/25/13	03/05/13	QUALIFIED DIVIDEND	112.56	1B				
BLACKROCK INC	09247X101	67.000	06/24/13	06/06/13	QUALIFIED DIVIDEND	112.56	1B				
BLACKROCK INC	09247X101	69.000	09/23/13	08/29/13	QUALIFIED DIVIDEND	115.92	1B				
BLACKROCK INC	09247X101	82.000	12/23/13	11/29/13	QUALIFIED DIVIDEND	137.76	1B				
SUBTOTAL						**478.80**					

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Account Number: **4515-3811**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
BOEING COMPANY	097023105	264 000	03/08/13	02/13/13	QUALIFIED DIVIDEND	128 04	1B				
BOEING COMPANY	097023105	264 000	06/07/13	05/08/13	QUALIFIED DIVIDEND	128,04	1B				
BOEING COMPANY	097023105	264 000	09/06/13	08/07/13	QUALIFIED DIVIDEND	128 04	1B				
BOEING COMPANY	097023105	299 000	12/06/13	11/06/13	QUALIFIED DIVIDEND	145,02	1B				
SUBTOTAL						**529.14**					
JPMORGAN PRIME MMKT SERV	09999775	12,471 000	01/31/13		DIVIDEND	0 11	1A				
JPMORGAN PRIME MMKT SERV	09999775	14,120 000	02/28/13		DIVIDEND	0 10	1A				
JPMORGAN PRIME MMKT SERV	09999775	17,453,000	03/28/13		DIVIDEND	0 14	1A				
JPMORGAN PRIME MMKT SERV	09999775	15,291 000	04/30/13		DIVIDEND	0 13	1A				
JPMORGAN PRIME MMKT SERV	09999775	17,723 000	05/31/13		DIVIDEND	0 14	1A				
JPMORGAN PRIME MMKT SERV	09999775	20,676,000	06/28/13		DIVIDEND	0 16	1A				
JPMORGAN PRIME MMKT SERV	09999775	19,453 000	07/31/13		DIVIDEND	0 16	1A				
JPMORGAN PRIME MMKT SERV	09999775	57,974 000	08/30/13		DIVIDEND	0 17	1A				
JPMORGAN PRIME MMKT SERV	09999775	12,047 000	09/30/13		DIVIDEND	0 24	1A				
JPMORGAN PRIME MMKT SERV	09999775	6,722 000	10/31/13		DIVIDEND	0 17	1A				
JPMORGAN PRIME MMKT SERV	09999775	9,556 000	11/29/13		DIVIDEND	0 11	1A				
JPMORGAN PRIME MMKT SERV	09999775	0 000	12/16/13		SHORT TERM CAP	0 14	1A				
JPMORGAN PRIME MMKT SERV	09999775	17,291 000	12/31/13		DIVIDEND	0 16	1A				
SUBTOTAL						**1.93**					
BRISTOL MYERS SQUIBB CO	110122108	296.000	02/01/13	01/02/13	QUALIFIED DIVIDEND	103 60	1B				
BRISTOL MYERS SQUIBB CO	110122108	296 000	05/01/13	04/03/13	QUALIFIED DIVIDEND	103 60	1B				
SUBTOTAL						**207.20**					
CARDINAL HEALTH INC	14149Y108	342 000	04/15/13	03/27/13	QUALIFIED DIVIDEND	94 05	1B				
CARDINAL HEALTH INC	14149Y108	342 000	07/15/13	06/27/13	QUALIFIED DIVIDEND	103 46	1B				
CARDINAL HEALTH INC	14149Y108	376 000	10/15/13	09/27/13	QUALIFIED DIVIDEND	113 74	1B				
SUBTOTAL						**311.25**					
CHEVRON CORP	166764100	251 000	03/11/13	02/13/13	QUALIFIED DIVIDEND	225.90	1B				
CHEVRON CORP	166764100	251 000	06/10/13	05/15/13	QUALIFIED DIVIDEND	251 00	1B				
CHEVRON CORP	166764100	251 000	09/10/13	08/15/13	QUALIFIED DIVIDEND	251,00	1B				

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Account Number: **4515-3811**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
CHEVRON CORP	166764100	284 000	12/10/13	11/14/13	QUALIFIED DIVIDEND	284 00	1B				
SUBTOTAL						**1,011.90**					
CHUBB CORP	171232101	118 000	10/08/13	09/18/13	QUALIFIED DIVIDEND	51 92	1B				
CISCO SYSTEMS INC	17275R102	728 000	04/24/13	04/04/13	QUALIFIED DIVIDEND	123 76	1B				
CISCO SYSTEMS INC	17275R102	950 000	07/24/13	07/01/13	QUALIFIED DIVIDEND	161.50	1B				
CISCO SYSTEMS INC	17275R102	1,039.000	10/23/13	10/01/13	QUALIFIED DIVIDEND	176.63	1B				
SUBTOTAL						**461.89**					
COCA-COLA ENTRPRS NEW	19122T109	303 000	03/21/13	03/06/13	QUALIFIED DIVIDEND	60 60	1B				
COMCAST CORP A NEW	20030N101	465 000	01/23/13	12/26/12	QUALIFIED DIVIDEND	75 56	1B				
COMCAST CORP A NEW	20030N101	465 000	04/24/13	04/01/13	QUALIFIED DIVIDEND	90 68	1B				
COMCAST CORP A NEW	20030N101	465 000	07/24/13	07/01/13	QUALIFIED DIVIDEND	90 68	1B				
COMCAST CORP A NEW	20030N101	513 000	10/23/13	09/30/13	QUALIFIED DIVIDEND	100 04	1B				
SUBTOTAL						**356.96**					
CONAGRA FOODS INC	205887102	496 000	03/05/13	01/29/13	QUALIFIED DIVIDEND	124.00	1B				
CONAGRA FOODS INC	205887102	496 000	05/31/13	04/26/13	QUALIFIED DIVIDEND	124 00	1B				
CONAGRA FOODS INC	205887102	496 000	08/30/13	07/29/13	QUALIFIED DIVIDEND	124 00	1B				
CONAGRA FOODS INC	205887102	576 000	12/03/13	10/29/13	QUALIFIED DIVIDEND	144.00	1B				
SUBTOTAL						**516.00**					
CONOCOPHILLIPS	20825C104	333 000	03/01/13	02/14/13	QUALIFIED DIVIDEND	219.78	1B				
CONOCOPHILLIPS	20825C104	333 000	06/03/13	05/22/13	QUALIFIED DIVIDEND	219 78	1B				
CONOCOPHILLIPS	20825C104	456.000	09/03/13	07/18/13	QUALIFIED DIVIDEND	314 64	1B				
CONOCOPHILLIPS	20825C104	499.000	12/02/13	10/10/13	QUALIFIED DIVIDEND	344 31	1B				
SUBTOTAL						**1,098.51**					
CORNING INC	219350105	1,222 000	03/28/13	02/26/13	QUALIFIED DIVIDEND	109 98	1B				
CORNING INC	219350105	1,222 000	06/28/13	05/29/13	QUALIFIED DIVIDEND	122.20	1B				
CORNING INC	219350105	1,233 000	09/30/13	08/28/13	QUALIFIED DIVIDEND	123 30	1B				
SUBTOTAL						**355.48**					

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Account Number: **4515-3811**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
CRANE COMPANY	224399105	211 000	03/08/13	02/26/13	QUALIFIED DIVIDEND	59.08	1B				
CRANE COMPANY	224399105	211 000	06/11/13	05/29/13	QUALIFIED DIVIDEND	59 08	1B				
CRANE COMPANY	224399105	214.000	09/10/13	08/28/13	QUALIFIED DIVIDEND	64 20	1B				
CRANE COMPANY	224399105	251 000	12/10/13	11/26/13	QUALIFIED DIVIDEND	75 30	1B				
SUBTOTAL						**257.66**					
DONNELLEY R R & SONS CO	257867101	711 000	12/02/13	11/12/13	QUALIFIED DIVIDEND	184 86	1B				
DOW CHEMICAL COMPANY	260543103	614 000	04/30/13	03/26/13	QUALIFIED DIVIDEND	196 48	1B				
DOW CHEMICAL COMPANY	260543103	614 000	07/30/13	06/26/13	QUALIFIED DIVIDEND	196 48	1B				
DOW CHEMICAL COMPANY	260543103	684 000	10/30/13	09/26/13	QUALIFIED DIVIDEND	218 88	1B				
SUBTOTAL						**611.84**					
EXELIS INC	30162A108	483 000	07/01/13	05/22/13	QUALIFIED DIVIDEND	49 89	1B				
EXELIS INC	30162A108	483 000	10/01/13	08/28/13	QUALIFIED DIVIDEND	49 89	1B				
SUBTOTAL						**99.78**					
EXXON MOBIL CORP	30231G102	327 000	03/11/13	02/07/13	QUALIFIED DIVIDEND	186 39	1B				
EXXON MOBIL CORP	30231G102	327 000	06/10/13	05/09/13	QUALIFIED DIVIDEND	206 01	1B				
EXXON MOBIL CORP	30231G102	327 000	09/10/13	08/09/13	QUALIFIED DIVIDEND	206 01	1B				
EXXON MOBIL CORP	30231G102	370 000	12/10/13	11/07/13	QUALIFIED DIVIDEND	233.10	1B				
SUBTOTAL						**831.51**					
FIDELITY NATL INFO SVCS	31620M106	277 000	04/02/13	03/13/13	QUALIFIED DIVIDEND	60.94	1B				
FIDELITY NATL INFO SVCS	31620M106	277 000	06/28/13	06/12/13	QUALIFIED DIVIDEND	60 94	1B				
FIDELITY NATL INFO SVCS	31620M106	295 000	09/30/13	09/12/13	QUALIFIED DIVIDEND	64.90	1B				
FIDELITY NATL INFO SVCS	31620M106	332 000	12/30/13	12/12/13	QUALIFIED DIVIDEND	73 04	1B				
SUBTOTAL						**259.82**					
ARTHUR J GALLAGHER	363576109	358 000	03/20/13	02/28/13	QUALIFIED DIVIDEND	125 30	1B				
ARTHUR J GALLAGHER	363576109	358 000	06/20/13	05/31/13	QUALIFIED DIVIDEND	125 30	1B				
ARTHUR J GALLAGHER	363576109	365 000	09/20/13	08/30/13	QUALIFIED DIVIDEND	127 75	1B				
ARTHUR J GALLAGHER	363576109	427 000	12/20/13	12/02/13	QUALIFIED DIVIDEND	149 45	1B				
SUBTOTAL						**527.80**					

1099-DIV, continued

Account Number: 4515-3811

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
GENERAL DYNAMICS CORP	369550108	201 000	05/10/13	04/10/13	QUALIFIED DIVIDEND	112 56	1B				
GENERAL DYNAMICS CORP	369550108	201.000	08/09/13	07/02/13	QUALIFIED DIVIDEND	112 56	1B				
GENERAL DYNAMICS CORP	369550108	219 000	11/15/13	10/09/13	QUALIFIED DIVIDEND	122 64	1B				
SUBTOTAL						**347.76**					
GENERAL ELECTRIC COMPANY	369604103	1 301 000	01/25/13	12/20/12	QUALIFIED DIVIDEND	247 19	1B				
GENERAL ELECTRIC COMPANY	369604103	1,301.000	04/25/13	02/21/13	QUALIFIED DIVIDEND	247 19	1B				
GENERAL ELECTRIC COMPANY	369604103	1,301 000	07/25/13	06/20/13	QUALIFIED DIVIDEND	247.19	1B				
GENERAL ELECTRIC COMPANY	369604103	1,429 000	10/25/13	09/19/13	QUALIFIED DIVIDEND	271 51	1B				
SUBTOTAL						**1,013.08**					
HARRIS CORP DEL	413875105	188.000	12/06/13	11/19/13	QUALIFIED DIVIDEND	78.96	1B				
HOME DEPOT INC	437076102	277 000	03/28/13	03/12/13	QUALIFIED DIVIDEND	108 03	1B				
HOME DEPOT INC	437076102	277 000	06/20/13	06/04/13	QUALIFIED DIVIDEND	108.03	1B				
HOME DEPOT INC	437076102	277 000	09/19/13	09/03/13	QUALIFIED DIVIDEND	108 03	1B				
HOME DEPOT INC	437076102	326 000	12/19/13	12/03/13	QUALIFIED DIVIDEND	127.14	1B				
SUBTOTAL						**451.23**					
INTL PAPER COMPANY	460146103	431 000	03/15/13	02/13/13	QUALIFIED DIVIDEND	129.30	1B				
INTL PAPER COMPANY	460146103	431 000	06/14/13	05/22/13	QUALIFIED DIVIDEND	129 30	1B				
INTL PAPER COMPANY	460146103	431 000	09/16/13	08/13/13	QUALIFIED DIVIDEND	129 30	1B				
INTL PAPER COMPANY	460146103	498 000	12/16/13	11/13/13	QUALIFIED DIVIDEND	174 30	1B				
SUBTOTAL						**582 20**					
JPMORGAN CHASE & COMPANY	46625H100	710 000	01/31/13	01/02/13	QUALIFIED DIVIDEND	213 00	1B				
JPMORGAN CHASE & COMPANY	46625H100	710 000	04/30/13	04/03/13	QUALIFIED DIVIDEND	213 00	1B				
JPMORGAN CHASE & COMPANY	46625H100	710 000	07/31/13	07/02/13	QUALIFIED DIVIDEND	269 80	1B				
JPMORGAN CHASE & COMPANY	46625H100	779 000	10/31/13	10/02/13	QUALIFIED DIVIDEND	296 02	1B				
SUBTOTAL						**991.82**					
JOHNSON & JOHNSON	478160104	263 000	12/10/13	11/22/13	QUALIFIED DIVIDEND	173 58	1B				
KLA-TENCOR CORP	482480100	210 000	09/03/13	08/14/13	QUALIFIED DIVIDEND	94 50	1B				

1099-DIV, continued

Account Number. **4515-3811**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
LIBERTY PROPERTY TRUST	531172104	277 000	01/15/13		DIVIDEND	99 11	1A				
LIBERTY PROPERTY TRUST	531172104	277 000	01/15/13		CAPITAL GAIN	0.11	2A				
LIBERTY PROPERTY TRUST	531172104	277 000	01/15/13		1250 GAIN	32 36	2B				
LIBERTY PROPERTY TRUST	531172104	277 000	04/15/13		DIVIDEND	99 11	1A				
LIBERTY PROPERTY TRUST	531172104	277 000	04/15/13		CAPITAL GAIN	0.11	2A				
LIBERTY PROPERTY TRUST	531172104	277 000	04/15/13		1250 GAIN	32.36	2B				
LIBERTY PROPERTY TRUST	531172104	277 000	07/15/13		DIVIDEND	99.11	1A				
LIBERTY PROPERTY TRUST	531172104	277 000	07/15/13		CAPITAL GAIN	0 11	2A				
LIBERTY PROPERTY TRUST	531172104	277 000	07/15/13		1250 GAIN	32 36	2B				
LIBERTY PROPERTY TRUST	531172104	301 000	10/15/13		DIVIDEND	107.70	1A				
LIBERTY PROPERTY TRUST	531172104	301 000	10/15/13		CAPITAL GAIN	0.12	2A				
LIBERTY PROPERTY TRUST	531172104	301 000	10/15/13		1250 GAIN	35 16	2B				
SUBTOTAL						**537.72**					
LILLY ELI & COMPANY	532457108	320 000	03/08/13	02/13/13	QUALIFIED DIVIDEND	156 80	1B				
LILLY ELI & COMPANY	532457108	320.000	06/10/13	05/14/13	QUALIFIED DIVIDEND	156 80	1B				
LILLY ELI & COMPANY	532457108	320 000	09/10/13	08/13/13	QUALIFIED DIVIDEND	156 80	1B				
LILLY ELI & COMPANY	532457108	362 000	12/10/13	11/13/13	QUALIFIED DIVIDEND	177.38	1B				
SUBTOTAL						**647.78**					
LOCKHEED MARTIN CORP	539830109	164 000	06/28/13	05/30/13	QUALIFIED DIVIDEND	188.60	1B				
LOCKHEED MARTIN CORP	539830109	164 000	09/27/13	08/29/13	QUALIFIED DIVIDEND	188 60	1B				
LOCKHEED MARTIN CORP	539830109	188 000	12/27/13	11/27/13	QUALIFIED DIVIDEND	250 04	1B				
SUBTOTAL						**627 24**					
MARSH & MCLENNAN COS INC	571748102	371 000	02/15/13	01/24/13	QUALIFIED DIVIDEND	85 33	1B				
MARSH & MCLENNAN COS INC	571748102	371 000	05/15/13	04/08/13	QUALIFIED DIVIDEND	85 33	1B				
MARSH & MCLENNAN COS INC	571748102	371 000	08/15/13	07/09/13	QUALIFIED DIVIDEND	92 75	1B				
MARSH & MCLENNAN COS INC	571748102	417 000	11/15/13	10/09/13	QUALIFIED DIVIDEND	104 25	1B				
SUBTOTAL						**367.66**					
MAXIM INTEGRATED PRODS	57772K101	396 000	03/06/13	02/15/13	QUALIFIED DIVIDEND	95.04	1B				
MAXIM INTEGRATED PRODS	57772K101	396 000	06/05/13	05/20/13	QUALIFIED DIVIDEND	95 04	1B				
MAXIM INTEGRATED PRODS	57772K101	396 000	09/05/13	08/20/13	QUALIFIED DIVIDEND	102 96	1B				

1099-DIV, continued

Account Number: **4515-3811**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
MAXIM INTEGRATED PRODS	57772K101	483 000	12/05/13	11/19/13	QUALIFIED DIVIDEND	125 58	1B				
SUBTOTAL						**418 62**					
MEADWESTVACO CORP	583334107	201 000	03/01/13	02/05/13	QUALIFIED DIVIDEND	50 25	1B				
MEADWESTVACO CORP	583334107	201 000	06/03/13	04/30/13	QUALIFIED DIVIDEND	50 25	1B				
SUBTOTAL						**100 50**					
MEDTRONIC INC	585055106	283 000	04/26/13	04/03/13	QUALIFIED DIVIDEND	73 58	1B				
MEDTRONIC INC	585055106	283 000	07/26/13	07/02/13	QUALIFIED DIVIDEND	79 24	1B				
MEDTRONIC INC	585055106	314 000	10/25/13	10/02/13	QUALIFIED DIVIDEND	87 92	1B				
SUBTOTAL						**240 74**					
MERCK & COMPANY INC NEW	58933Y105	509 000	01/08/13	12/13/12	QUALIFIED DIVIDEND	218 87	1B				
MERCK & COMPANY INC NEW	58933Y105	622 000	04/05/13	03/13/13	QUALIFIED DIVIDEND	267,46	1B				
MERCK & COMPANY INC NEW	58933Y105	622 000	07/08/13	06/13/13	QUALIFIED DIVIDEND	267 46	1B				
MERCK & COMPANY INC NEW	58933Y105	657 000	10/07/13	09/12/13	QUALIFIED DIVIDEND	282.51	1B				
SUBTOTAL						**1,036.30**					
MICROSOFT CORP	594918104	490 000	03/14/13	02/19/13	QUALIFIED DIVIDEND	112 70	1B				
MICROSOFT CORP	594918104	490 000	06/13/13	05/14/13	QUALIFIED DIVIDEND	112 70	1B				
MICROSOFT CORP	594918104	490 000	09/12/13	08/13/13	QUALIFIED DIVIDEND	112.70	1B				
MICROSOFT CORP	594918104	596 000	12/12/13	11/19/13	QUALIFIED DIVIDEND	166.88	1B				
SUBTOTAL						**504.98**					
NEWELL RUBBERMAID INC	651229106	436.000	03/15/13	02/26/13	QUALIFIED DIVIDEND	65 40	1B				
OCCIDENTAL PETRO CORP	674599105	170.000	04/15/13	03/06/13	QUALIFIED DIVIDEND	108.80	1B				
OCCIDENTAL PETRO CORP	674599105	248 000	07/15/13	06/06/13	QUALIFIED DIVIDEND	158 72	1B				
OCCIDENTAL PETRO CORP	674599105	263 000	10/15/13	09/06/13	QUALIFIED DIVIDEND	168.32	1B				
SUBTOTAL						**435.84**					
PNC FINL SVCS GROUP INC	693475105	171 000	11/05/13	10/10/13	QUALIFIED DIVIDEND	75 24	1B				
PACKAGING CORP OF AMER	695156109	321,000	04/15/13	03/13/13	QUALIFIED DIVIDEND	100.31	1B				
PACKAGING CORP OF AMER	695156109	321 000	07/15/13	06/12/13	QUALIFIED DIVIDEND	128 40	1B				

1099-DIV, continued

Account Number: **4515-3811**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
PACKAGING CORP OF AMER	695156109	348.000	10/15/13	09/11/13	QUALIFIED DIVIDEND	139.20	1B				
SUBTOTAL						**387.91**					
PFIZER INC	717081103	1,207.000	03/05/13	01/30/13	QUALIFIED DIVIDEND	289.68	1B				
PFIZER INC	717081103	1,207.000	06/04/13	05/08/13	QUALIFIED DIVIDEND	289.68	1B				
PFIZER INC	717081103	1,207.000	09/04/13	07/31/13	QUALIFIED DIVIDEND	289.68	1B				
PFIZER INC	717081103	1,386.000	12/03/13	11/06/13	QUALIFIED DIVIDEND	332.64	1B				
SUBTOTAL						**1,201.68**					
PHILIP MORRIS INTL INC	718172109	233.000	01/11/13	12/24/12	QUALIFIED DIVIDEND	198.05	1B				
PHILIP MORRIS INTL INC	718172109	233.000	04/12/13	03/26/13	QUALIFIED DIVIDEND	198.05	1B				
PHILIP MORRIS INTL INC	718172109	233.000	07/12/13	06/25/13	QUALIFIED DIVIDEND	198.05	1B				
PHILIP MORRIS INTL INC	718172109	253.000	10/11/13	09/24/13	QUALIFIED DIVIDEND	237.82	1B				
SUBTOTAL						**831.97**					
PROCTER & GAMBLE COMPANY	742718109	226.000	02/15/13	01/16/13	QUALIFIED DIVIDEND	127.01	1B				
PROCTER & GAMBLE COMPANY	742718109	226.000	05/15/13	04/24/13	QUALIFIED DIVIDEND	135.94	1B				
PROCTER & GAMBLE COMPANY	742718109	226.000	08/15/13	07/17/13	QUALIFIED DIVIDEND	135.94	1B				
PROCTER & GAMBLE COMPANY	742718109	328.000	11/15/13	10/16/13	QUALIFIED DIVIDEND	197.29	1B				
SUBTOTAL						**596.18**					
PRUDENTIAL FINL INC	744320102	226.000	03/21/13	02/22/13	QUALIFIED DIVIDEND	90.40	1B				
PRUDENTIAL FINL INC	744320102	226.000	06/20/13	05/24/13	QUALIFIED DIVIDEND	90.40	1B				
PRUDENTIAL FINL INC	744320102	227.000	09/19/13	08/23/13	QUALIFIED DIVIDEND	90.80	1B				
PRUDENTIAL FINL INC	744320102	264.000	12/19/13	11/22/13	QUALIFIED DIVIDEND	139.92	1B				
SUBTOTAL						**411.52**					
PUB SVC ENTERPRISE GROUP	744573106	497.000	04/02/13	03/06/13	QUALIFIED DIVIDEND	178.92	1B				
PUB SVC ENTERPRISE GROUP	744573106	497.000	06/28/13	06/05/13	QUALIFIED DIVIDEND	178.92	1B				
PUB SVC ENTERPRISE GROUP	744573106	532.000	09/30/13	09/04/13	QUALIFIED DIVIDEND	191.52	1B				
PUB SVC ENTERPRISE GROUP	744573106	596.000	12/31/13	12/04/13	QUALIFIED DIVIDEND	214.56	1B				
SUBTOTAL						**763.92**					
QUESTAR CORP	748356102	371.000	03/11/13	02/20/13	QUALIFIED DIVIDEND	63.07	1B				

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Account Number: **4515-3811**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
QUESTAR CORP	748356102	484 000	09/09/13	08/14/13	QUALIFIED DIVIDEND	87 12	1B				
SUBTOTAL						**150.19**					
RPM INTERNATIONAL INC	749685103	335.000	04/30/13	04/10/13	QUALIFIED DIVIDEND	75 38	1B				
RPM INTERNATIONAL INC	749685103	335 000	07/31/13	07/10/13	QUALIFIED DIVIDEND	75 38	1B				
RPM INTERNATIONAL INC	749685103	369 000	10/31/13	10/17/13	QUALIFIED DIVIDEND	88 56	1B				
SUBTOTAL						**239.32**					
REYNOLDS AMERICAN INC	761713106	223 000	01/02/13	12/06/12	QUALIFIED DIVIDEND	131 57	1B				
REYNOLDS AMERICAN INC	761713106	223 000	04/01/13	03/06/13	QUALIFIED DIVIDEND	131 57	1B				
REYNOLDS AMERICAN INC	761713106	223 000	07/01/13	06/06/13	QUALIFIED DIVIDEND	140 49	1B				
SUBTOTAL						**403.63**					
SOUTHERN COPPER CORP DEL	84265V105	287 000	02/26/13	02/11/13	QUALIFIED DIVIDEND	66 88	1B				
SPECTRA ENERGY CORP	847560109	528 000	03/11/13	02/13/13	QUALIFIED DIVIDEND	161 04	1B				
SPECTRA ENERGY CORP	847560109	528 000	06/10/13	05/08/13	QUALIFIED DIVIDEND	161 04	1B				
SPECTRA ENERGY CORP	847560109	528.000	09/09/13	08/07/13	QUALIFIED DIVIDEND	161 04	1B				
SPECTRA ENERGY CORP	847560109	604 000	12/09/13	11/06/13	QUALIFIED DIVIDEND	184 22	1B				
SUBTOTAL						**667.34**					
STATE STREET CORP	857477103	238 000	01/15/13	12/28/12	QUALIFIED DIVIDEND	57 12	1B				
STATE STREET CORP	857477103	238 000	04/12/13	03/27/13	QUALIFIED DIVIDEND	61 88	1B				
STATE STREET CORP	857477103	238 000	07/16/13	06/27/13	QUALIFIED DIVIDEND	61 88	1B				
STATE STREET CORP	857477103	266 000	10/15/13	09/27/13	QUALIFIED DIVIDEND	69 16	1B				
SUBTOTAL						**250 04**					
TRAVELERS COMPANIES INC	89417E109	145 000	04/02/13	03/06/13	QUALIFIED DIVIDEND	66 70	1B				
TRAVELERS COMPANIES INC	89417E109	145 000	06/28/13	06/06/13	QUALIFIED DIVIDEND	72 50	1B				
TRAVELERS COMPANIES INC	89417E109	151 000	09/30/13	09/06/13	QUALIFIED DIVIDEND	75 50	1B				
TRAVELERS COMPANIES INC	89417E109	169 000	12/31/13	12/06/13	QUALIFIED DIVIDEND	84 50	1B				
SUBTOTAL						**299.20**					
U S BANCORP DE NEW	902973304	503 000	01/15/13	12/27/12	QUALIFIED DIVIDEND	98 09	1B				
U S BANCORP DE NEW	902973304	518 000	04/15/13	03/26/13	QUALIFIED DIVIDEND	101 01	1B				

1099-DIV, continued

Account Number: **4515-3811**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
U S BANCORP DE NEW	902973304	516.000	07/15/13	06/26/13	QUALIFIED DIVIDEND	119 14	1B				
U S BANCORP DE NEW	902973304	574 000	10/15/13	09/26/13	QUALIFIED DIVIDEND	132 02	1B				
SUBTOTAL						**450.26**					
UNION PACIFIC CORP	907818108	88 000	01/02/13	11/28/12	QUALIFIED DIVIDEND	60 72	1B				
UNION PACIFIC CORP	907818108	88 000	04/01/13	02/26/13	QUALIFIED DIVIDEND	60 72	1B				
UNION PACIFIC CORP	907818108	88 000	07/01/13	05/29/13	QUALIFIED DIVIDEND	60 72	1B				
UNION PACIFIC CORP	907818108	88 000	10/01/13	08/28/13	QUALIFIED DIVIDEND	69 52	1B				
SUBTOTAL						**251.68**					
UNITED TECHNOLOGIES CORP	913017109	82 000	03/11/13	02/13/13	QUALIFIED DIVIDEND	43 87	1B				
UNITED TECHNOLOGIES CORP	913017109	82 000	06/10/13	05/15/13	QUALIFIED DIVIDEND	43 87	1B				
UNITED TECHNOLOGIES CORP	913017109	82 000	09/10/13	08/14/13	QUALIFIED DIVIDEND	43 87	1B				
UNITED TECHNOLOGIES CORP	913017109	92 000	12/10/13	11/13/13	QUALIFIED DIVIDEND	54 28	1B				
SUBTOTAL						**185.89**					
VALERO ENERGY CORP	91913Y100	409 000	03/13/13	02/11/13	QUALIFIED DIVIDEND	81 80	1B				
VERIZON COMMS INC	92343V104	502 000	02/01/13	01/08/13	QUALIFIED DIVIDEND	258 53	1B				
VERIZON COMMS INC	92343V104	502 000	05/01/13	04/08/13	QUALIFIED DIVIDEND	258 53	1B				
VERIZON COMMS INC	92343V104	502 000	08/01/13	07/08/13	QUALIFIED DIVIDEND	258 53	1B				
VERIZON COMMS INC	92343V104	554 000	11/01/13	10/08/13	QUALIFIED DIVIDEND	293 62	1B				
SUBTOTAL						**1,069.21**					
WALGREEN COMPANY	931422109	274 000	09/12/13	08/16/13	QUALIFIED DIVIDEND	86 31	1B				
WALGREEN COMPANY	931422109	313 000	12/12/13	11/14/13	QUALIFIED DIVIDEND	98 60	1B				
SUBTOTAL						**184.91**					
WELLS FARGO & CO NEW	949746101	788 000	03/01/13	01/30/13	QUALIFIED DIVIDEND	197.00	1B				
WELLS FARGO & CO NEW	949746101	788 000	06/03/13	05/08/13	QUALIFIED DIVIDEND	236.40	1B				
WELLS FARGO & CO NEW	949746101	788.000	09/03/13	08/07/13	QUALIFIED DIVIDEND	236 40	1B				
WELLS FARGO & CO NEW	949746101	903 000	12/02/13	11/06/13	QUALIFIED DIVIDEND	270 90	1B				
SUBTOTAL						**940.70**					

1099-DIV, continued

Account Number· 4515-3811

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
XEROX CORP	984121103	1,257 000	07/31/13	06/26/13	QUALIFIED DIVIDEND	72 28	1B				
EATON CORP PLC	G29183103	188.000	05/24/13	05/02/13	QUALIFIED DIVIDEND	45 43	1B			EI	
EATON CORP PLC	G29183103	188.000	05/24/13		RETURN OF CAP	33 53	3			EI	
EATON CORP PLC	G29183103	188.000	08/23/13	08/01/13	QUALIFIED DIVIDEND	45 43	1B			EI	
EATON CORP PLC	G29183103	188 000	08/23/13		RETURN OF CAP	33 53	3			EI	
EATON CORP PLC	G29183103	213.000	11/22/13	10/31/13	QUALIFIED DIVIDEND	51 48	1B			EI	
EATON CORP PLC	G29183103	213.000	11/22/13		RETURN OF CAP	37 98	3			EI	
SUBTOTAL						**247.38**					
INVESCO LTD SHS	G491BT108	521 000	03/08/13	02/19/13	QUALIFIED DIVIDEND	89 87	1B			BD	
INVESCO LTD SHS	G491BT108	521 000	06/07/13	05/15/13	QUALIFIED DIVIDEND	117 23	1B			BD	
INVESCO LTD SHS	G491BT108	521 000	09/06/13	08/19/13	QUALIFIED DIVIDEND	117 23	1B			BD	
INVESCO LTD SHS	G491BT108	597 000	12/09/13	11/15/13	QUALIFIED DIVIDEND	134 33	1B			BD	
SUBTOTAL						**458.66**					
MARVELL TECH GROUP INC	G5876H105	742 000	04/04/13	03/12/13	QUALIFIED DIVIDEND	44 52	1B			BD	
MARVELL TECH GROUP INC	G5876H105	742 000	07/03/13	06/11/13	QUALIFIED DIVIDEND	44 52	1B			BD	
SUBTOTAL						**89.04**					
PARTNERRE LIMITED	G6852T105	101 000	03/01/13	02/14/13	QUALIFIED DIVIDEND	64 64	1B			BD	
PARTNERRE LIMITED	G6852T105	101 000	05/31/13	05/16/13	QUALIFIED DIVIDEND	64 64	1B			BD	
PARTNERRE LIMITED	G6852T105	101 000	08/30/13	08/15/13	QUALIFIED DIVIDEND	64 64	1B			BD	
SUBTOTAL						**193.92**					
ACE LTD	H0023R105	189 000	04/12/13	03/26/13	QUALIFIED DIVIDEND	92 61	1B			SZ	
ACE LTD	H0023R105	189.000	08/13/13	07/19/13	QUALIFIED DIVIDEND	96.39	1B			SZ	
ACE LTD	H0023R105	205 000	10/21/13	09/26/13	QUALIFIED DIVIDEND	104 55	1B			SZ	
SUBTOTAL						**293.55**					
LYONDELLBASELL N V CL A	N53745100	292 000	03/18/13	02/21/13	QUALIFIED DIVIDEND	116 80	1B			NL	
LYONDELLBASELL N V CL A	N53745100	0 000	03/18/13		TAX AT SOURCE	(17 52)	6			NL	
SUBTOTAL						**99.28**					

NON-FEDERALLY REPORTED ITEMS SECTION

Account Number: 4515-3811

DETAILS - NON-FEDERALLY REPORTED ITEMS

This section lists non-federally reported items, which may be useful when preparing your tax return. These items may or may not be taxable at the state or local level. Please review this information with your tax advisor.

If you have OID shortfall listed, this calculation is based on the period you held these assets with LPL Financial during tax year 2013. Please consult with your tax professional to determine if an adjustment may be required.

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
ADVISORY FEE	MNGT FEES	01/09/13	MGT ACCT FEES	0.000	0.00	3,992.53		7
ADVISORY FEE	MNGT FEES	04/09/13	MGT ACCT FEES	0.000	0.00	4,470.09		7
ADVISORY FEE	MNGT FEES	07/09/13	MGT ACCT FEES	0.000	0.00	4,674.72		7
ADVISORY FEE	MNGT FEES	10/08/13	MGT ACCT FEES	0.000	0.00	5,392.29		7
SUBTOTAL						**18,529.63**		
PURCHASES								
AFLAC INC	001055102	08/20/13	PURCHASE	1.000	59.54	59.54		11
AFLAC INC	001055102	09/03/13	PURCHASE	13.000	58.05	754.65		11
AFLAC INC	001055102	09/18/13	PURCHASE	14.000	62.59	876.26		11
AFLAC INC	001055102	10/18/13	PURCHASE	13.000	65.76	854.88		11
AFLAC INC	001055102	11/18/13	PURCHASE	9.000	67.30	605.70		11
AFLAC INC	001055102	12/17/13	PURCHASE	17.000	65.99	1,121.81		11
SUBTOTAL						**4,272.84**		
AT&T INC	00206R102	08/20/13	PURCHASE	6.000	33.88	203.28		11
AT&T INC	00206R102	09/03/13	PURCHASE	33.000	33.55	1,107.32		11
AT&T INC	00206R102	09/18/13	PURCHASE	33.000	34.81	1,148.73		11
AT&T INC	00206R102	10/18/13	PURCHASE	33.000	34.64	1,143.12		11
AT&T INC	00206R102	11/18/13	PURCHASE	30.000	35.55	1,066.50		11
AT&T INC	00206R102	12/17/13	PURCHASE	30.000	33.79	1,013.70		11
SUBTOTAL						**5,682.65**		

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[1] **Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.**

*Option Premium amounts on this page represent interest payments from assets such as structured products that have reclassified a portion to nontaxable option premium to align with the tax treatment prescribed in the product's prospectus.

Any basis adjustments reported on this page generally apply to WHFITs such as royalty trusts and HOLDRS trusts, where a portion of the distribution is reallocated as either a reduction or addition to cost basis, depending on whether the income distributed exceeds or is less than the reportable amount. Please note that the cost basis of your position on these specific assets have not been adjusted to reflect these amounts and you will need to consult with your tax professional to apply these reallocations accordingly.

NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number **4515-3811**

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
ABBVIE INC	00287Y109	08/20/13	PURCHASE	6 000	43 15	258 89		11
ABBVIE INC	00287Y109	09/03/13	PURCHASE	7 000	42 54	297 78		11
ABBVIE INC	00287Y109	09/18/13	PURCHASE	7 000	47 88	335 16		11
ABBVIE INC	00287Y109	10/18/13	PURCHASE	7 000	48 41	338 87		11
ABBVIE INC	00287Y109	11/18/13	PURCHASE	163 000	49 73	8 105 98		11
ABBVIE INC	00287Y109	11/20/13	PURCHASE	136 000	48.31	6 570 02		11
ABBVIE INC	00287Y109	12/17/13	PURCHASE	13 000	53,34	693.42		11
SUBTOTAL						**16,600.12**		
ALTRIA GROUP INC	02209S103	09/03/13	PURCHASE	18.000	33 82	608 76		11
ALTRIA GROUP INC	02209S103	09/18/13	PURCHASE	16 000	35 70	571 20		11
ALTRIA GROUP INC	02209S103	10/18/13	PURCHASE	17,000	35 83	609 11		11
ALTRIA GROUP INC	02209S103	11/18/13	PURCHASE	18,000	37 97	683 46		11
ALTRIA GROUP INC	02209S103	12/17/13	PURCHASE	12 000	37 53	450 36		11
SUBTOTAL						**2,922.89**		
AMER ELEC PWR CO INC	025537101	09/03/13	PURCHASE	14 000	42 27	591 78		11
AMER ELEC PWR CO INC	025537101	09/18/13	PURCHASE	13 000	44 47	578.11		11
AMER ELEC PWR CO INC	025537101	10/18/13	PURCHASE	13 000	44,89	583 57		11
AMER ELEC PWR CO INC	025537101	11/18/13	PURCHASE	16 000	48 26	772 16		11
AMER ELEC PWR CO INC	025537101	12/17/13	PURCHASE	9 000	45 82	412 38		11
SUBTOTAL						**2,938.00**		
AMERICAN FINL GRP INC OH	025932104	08/20/13	PURCHASE	7 000	51 68	361 76		11
AMERICAN FINL GRP INC OH	025932104	09/03/13	PURCHASE	13 000	51,82	673 66		11
AMERICAN FINL GRP INC OH	025932104	09/18/13	PURCHASE	12 000	54,14	649 68		11
AMERICAN FINL GRP INC OH	025932104	10/18/13	PURCHASE	13 000	55 19	717 47		11
AMERICAN FINL GRP INC OH	025932104	11/18/13	PURCHASE	11 000	56,60	622 60		11
AMERICAN FINL GRP INC OH	025932104	12/17/13	PURCHASE	10 000	55.08	550 80		11
SUBTOTAL						**3,575.97**		
AMERIPRISE FINL INC	03076C106	08/20/13	PURCHASE	1 000	87 20	87 20		11
AMERIPRISE FINL INC	03076C106	09/03/13	PURCHASE	11 000	86,60	952 60		11

[1] **Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.**

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number: 4515-3811

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)¹	NFR BOX
AMERIPRISE FINL INC	03076C106	09/18/13	PURCHASE	10 000	93 86	938 60		11
AMERIPRISE FINL INC	03076C106	10/18/13	PURCHASE	10 000	99 32	993 20		11
AMERIPRISE FINL INC	03076C106	11/18/13	PURCHASE	6 000	105 93	635 58		11
AMERIPRISE FINL INC	03076C106	12/17/13	PURCHASE	14 000	108 74	1,522 36		11
SUBTOTAL						**5,129.54**		
APPLIED MATERIALS INC	038222105	08/20/13	PURCHASE	14 000	15 45	216 30		11
APPLIED MATERIALS INC	038222105	09/03/13	PURCHASE	57 000	15 16	864 12		11
APPLIED MATERIALS INC	038222105	09/18/13	PURCHASE	54 000	16 16	872 64		11
APPLIED MATERIALS INC	038222105	10/18/13	PURCHASE	56 000	18 05	1,010 80		11
APPLIED MATERIALS INC	038222105	11/18/13	PURCHASE	49 000	17 37	851 13		11
SUBTOTAL						**3,814.99**		
ARCHER DANIELS MIDLAND C	039483102	02/20/13	PURCHASE	318 000	32 70	10,397 42		11
ARCHER DANIELS MIDLAND C	039483102	08/20/13	PURCHASE	3 000	36 56	109 68		11
ARCHER DANIELS MIDLAND C	039483102	08/22/13	PURCHASE	154 000	36 43	5,610 64		11
ARCHER DANIELS MIDLAND C	039483102	09/03/13	PURCHASE	24 000	35 32	847 68		11
ARCHER DANIELS MIDLAND C	039483102	09/18/13	PURCHASE	21 000	37 09	778 89		11
ARCHER DANIELS MIDLAND C	039483102	10/18/13	PURCHASE	20 000	37 94	758 80		11
ARCHER DANIELS MIDLAND C	039483102	11/18/13	PURCHASE	25 000	41.16	1,029 00		11
ARCHER DANIELS MIDLAND C	039483102	12/17/13	PURCHASE	11,000	41 35	454 85		11
SUBTOTAL						**19,986.96**		
BB&T CORP	054937107	02/27/13	PURCHASE	584.000	30 15	17,610 40		11
BB&T CORP	054937107	08/20/13	PURCHASE	8 000	35 52	284 16		11
BB&T CORP	054937107	09/03/13	PURCHASE	25 000	33 74	843 50		11
BB&T CORP	054937107	09/18/13	PURCHASE	25 000	35 43	885.75		11
BB&T CORP	054937107	10/18/13	PURCHASE	26 000	33 62	874 12		11
BB&T CORP	054937107	11/18/13	PURCHASE	22 000	34 10	750 20		11
BB&T CORP	054937107	12/17/13	PURCHASE	25.000	35 50	887 50		11
SUBTOTAL						**22,135.63**		
BLACKROCK INC	09247X101	08/20/13	PURCHASE	2,000	266 48	532 96		11

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number. 4515-3811

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)¹	NFR BOX
BLACKROCK INC	09247X101	09/03/13	PURCHASE	3.000	262.54	787.62		11
BLACKROCK INC	09247X101	09/18/13	PURCHASE	3.000	279.25	837.75		11
BLACKROCK INC	09247X101	10/18/13	PURCHASE	3.000	301.96	905.88		11
BLACKROCK INC	09247X101	11/18/13	PURCHASE	4.000	305.14	1,220.56		11
SUBTOTAL						**4,284.77**		
BOEING COMPANY	097023105	09/03/13	PURCHASE	12.000	105.13	1,261.56		11
BOEING COMPANY	097023105	09/18/13	PURCHASE	11.000	119.04	1,309.44		11
BOEING COMPANY	097023105	10/18/13	PURCHASE	12.000	122.58	1,470.96		11
BOEING COMPANY	097023105	11/18/13	PURCHASE	8.000	138.64	1,109.12		11
BOEING COMPANY	097023105	11/20/13	PURCHASE	1.000	134.03	134.03		11
BOEING COMPANY	097023105	12/17/13	PURCHASE	13.000	135.70	1,764.10		11
SUBTOTAL						**7,049.21**		
CARDINAL HEALTH INC	14149Y108	01/16/13	PURCHASE	342.000	43.88	15,005.32		11
CARDINAL HEALTH INC	14149Y108	08/20/13	PURCHASE	4.000	51.10	204.40		11
CARDINAL HEALTH INC	14149Y108	09/03/13	PURCHASE	15.000	50.21	753.15		11
CARDINAL HEALTH INC	14149Y108	09/18/13	PURCHASE	15.000	53.17	797.55		11
CARDINAL HEALTH INC	14149Y108	10/18/13	PURCHASE	15.000	56.08	841.20		11
CARDINAL HEALTH INC	14149Y108	11/18/13	PURCHASE	11.000	64.72	711.92		11
CARDINAL HEALTH INC	14149Y108	12/17/13	PURCHASE	14.000	65.01	910.14		11
SUBTOTAL						**19,223.68**		
CHEVRON CORP	166764100	08/20/13	PURCHASE	1.000	118.90	118.90		11
CHEVRON CORP	166764100	09/03/13	PURCHASE	11.000	120.36	1,323.96		11
CHEVRON CORP	166764100	09/18/13	PURCHASE	10.000	125.90	1,259.00		11
CHEVRON CORP	166764100	10/18/13	PURCHASE	11.000	119.69	1,316.59		11
CHEVRON CORP	166764100	11/18/13	PURCHASE	11.000	120.10	1,321.10		11
CHEVRON CORP	166764100	12/17/13	PURCHASE	8.000	119.00	952.00		11
SUBTOTAL						**6,291.55**		
CHUBB CORP	171232101	08/14/13	PURCHASE	111.000	85.99	9,544.69		11
CHUBB CORP	171232101	08/20/13	PURCHASE	2.000	84.40	168.80		11

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number: **4515-3811**

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
CHUBB CORP	171232101	09/03/13	PURCHASE	5 000	83 75	418 75		11
CHUBB CORP	171232101	09/18/13	PURCHASE	5 000	89 04	445 20		11
CHUBB CORP	171232101	10/18/13	PURCHASE	5 000	92 61	463 05		11
CHUBB CORP	171232101	11/18/13	PURCHASE	4 000	94 90	379 60		11
CHUBB CORP	171232101	12/17/13	PURCHASE	7 000	92 61	648 27		11
SUBTOTAL						**12,068.36**		
CISCO SYSTEMS INC	17275R102	05/30/13	PURCHASE	222 000	24 54	5,447 86		11
CISCO SYSTEMS INC	17275R102	08/20/13	PURCHASE	7 000	24.46	171 22		11
CISCO SYSTEMS INC	17275R102	09/03/13	PURCHASE	41 000	23 56	965 96		11
CISCO SYSTEMS INC	17275R102	09/18/13	PURCHASE	41 000	24 69	1 012 29		11
CISCO SYSTEMS INC	17275R102	10/18/13	PURCHASE	42.000	23 08	969 36		11
CISCO SYSTEMS INC	17275R102	11/18/13	PURCHASE	36 000	21 66	779 76		11
CISCO SYSTEMS INC	17275R102	12/17/13	PURCHASE	35 000	21 04	736 40		11
SUBTOTAL						**10,082.85**		
COMCAST CORP A NEW	20030N101	08/20/13	PURCHASE	7.000	42 33	296 31		11
COMCAST CORP A NEW	20030N101	09/03/13	PURCHASE	21 000	42 67	896 07		11
COMCAST CORP A NEW	20030N101	09/18/13	PURCHASE	20 000	44 37	887 40		11
COMCAST CORP A NEW	20030N101	10/18/13	PURCHASE	20.000	47 08	941 60		11
COMCAST CORP A NEW	20030N101	11/18/13	PURCHASE	26 000	47 68	1 239 68		11
COMCAST CORP A NEW	20030N101	12/17/13	PURCHASE	9 000	49 04	441.36		11
SUBTOTAL						**4,702.42**		
CONAGRA FOODS INC	205887102	08/20/13	PURCHASE	14.000	35 16	492 24		11
CONAGRA FOODS INC	205887102	09/03/13	PURCHASE	22 000	33 86	744.92		11
CONAGRA FOODS INC	205887102	09/18/13	PURCHASE	22 000	31 92	702.24		11
CONAGRA FOODS INC	205887102	10/18/13	PURCHASE	22 000	31.14	685.08		11
CONAGRA FOODS INC	205887102	11/18/13	PURCHASE	20 000	32.61	652.20		11
CONAGRA FOODS INC	205887102	12/17/13	PURCHASE	19.000	31.36	596.22		11
SUBTOTAL						**3,872.90**		
CONOCOPHILLIPS	20825C104	05/30/13	PURCHASE	123.000	62.40	7,675.74		11

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number: 4515-3811

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
CONOCOPHILLIPS	20825C104	08/20/13	PURCHASE	3 000	65 84	197 54		11
CONOCOPHILLIPS	20825C104	09/03/13	PURCHASE	21 000	66 72	1 401 12		11
CONOCOPHILLIPS	20825C104	09/18/13	PURCHASE	19 000	70 60	1,341 40		11
CONOCOPHILLIPS	20825C104	10/18/13	PURCHASE	20 000	73 21	1,464 20		11
CONOCOPHILLIPS	20825C104	11/18/13	PURCHASE	14 000	72 81	1,019 34		11
CONOCOPHILLIPS	20825C104	12/17/13	PURCHASE	27 000	69.21	1 522 62		11
SUBTOTAL						**14,621.96**		
CORNING INC	219350105	02/05/13	PURCHASE	870 000	11 82	10,283 40		11
CORNING INC	219350105	02/20/13	PURCHASE	352.000	12 69	4,466 86		11
CORNING INC	219350105	08/20/13	PURCHASE	11 000	14 53	159 83		11
CORNING INC	219350105	09/03/13	PURCHASE	54 000	13.92	751 68		11
CORNING INC	219350105	09/18/13	PURCHASE	52 000	14 98	778 96		11
CORNING INC	219350105	10/18/13	PURCHASE	55 000	15 02	826 10		11
SUBTOTAL						**17,266.83**		
CRANE COMPANY	224399105	08/20/13	PURCHASE	3 000	59.29	177 87		11
CRANE COMPANY	224399105	09/03/13	PURCHASE	9 000	57 40	516 60		11
CRANE COMPANY	224399105	09/18/13	PURCHASE	9 000	61 40	552 60		11
CRANE COMPANY	224399105	10/18/13	PURCHASE	10.000	60 84	608.40		11
CRANE COMPANY	224399105	11/18/13	PURCHASE	9 000	63 94	575 46		11
CRANE COMPANY	224399105	12/17/13	PURCHASE	9 000	65 77	591 93		11
SUBTOTAL						**3,022.86**		
DONNELLEY R R & SONS CO	257867101	09/10/13	PURCHASE	658 000	16 68	10,975 63		11
DONNELLEY R R & SONS CO	257867101	09/18/13	PURCHASE	26.000	16.19	420 94		11
DONNELLEY R R & SONS CO	257867101	10/18/13	PURCHASE	27.000	16.70	450.90		11
DONNELLEY R R & SONS CO	257867101	11/18/13	PURCHASE	23 000	17 27	397.21		11
DONNELLEY R R & SONS CO	257867101	12/17/13	PURCHASE	24 000	18.37	440.88		11
SUBTOTAL						**12,685.56**		
DOW CHEMICAL COMPANY	260543103	08/20/13	PURCHASE	15 000	36 52	547 80		11
DOW CHEMICAL COMPANY	260543103	09/03/13	PURCHASE	28 000	37 45	1,048.60		11

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number: **4515-3811**

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
DOW CHEMICAL COMPANY	260543103	09/18/13	PURCHASE	27.000	40.59	1,096.93		11
DOW CHEMICAL COMPANY	260543103	10/18/13	PURCHASE	27.000	41.41	1,118.07		11
DOW CHEMICAL COMPANY	260543103	11/18/13	PURCHASE	23.000	40.26	925.98		11
DOW CHEMICAL COMPANY	260543103	12/17/13	PURCHASE	24.000	42.22	1,013.28		11
SUBTOTAL						**5,749.66**		
EXELIS INC	30162A108	04/10/13	PURCHASE	483.000	11.46	5,533.22		11
EXELIS INC	30162A108	09/03/13	PURCHASE	23.000	14.83	341.09		11
EXELIS INC	30162A108	09/18/13	PURCHASE	21.000	15.90	333.90		11
EXELIS INC	30162A108	10/18/13	PURCHASE	20.000	15.90	318.00		11
EXELIS INC	30162A108	11/18/13	PURCHASE	18.000	17.33	311.94		11
EXELIS INC	30162A108	12/17/13	PURCHASE	7.000	18.37	128.59		11
SUBTOTAL						**6,966.74**		
EXXON MOBIL CORP	30231G102	09/03/13	PURCHASE	15.000	87.26	1,308.90		11
EXXON MOBIL CORP	30231G102	09/18/13	PURCHASE	13.000	89.59	1,164.67		11
EXXON MOBIL CORP	30231G102	10/18/13	PURCHASE	15.000	87.46	1,311.90		11
EXXON MOBIL CORP	30231G102	11/18/13	PURCHASE	62.000	95.57	5,925.33		11
EXXON MOBIL CORP	30231G102	11/20/13	PURCHASE	1.000	95.37	95.37		11
EXXON MOBIL CORP	30231G102	12/17/13	PURCHASE	13.000	96.49	1,254.37		11
SUBTOTAL						**11,060.54**		
FIDELITY NATL INFO SVCS	31620M106	08/20/13	PURCHASE	6.000	45.79	274.73		11
FIDELITY NATL INFO SVCS	31620M106	09/03/13	PURCHASE	12.000	44.63	535.56		11
FIDELITY NATL INFO SVCS	31620M106	09/18/13	PURCHASE	12.000	46.98	563.76		11
FIDELITY NATL INFO SVCS	31620M106	10/18/13	PURCHASE	13.000	47.42	616.45		11
FIDELITY NATL INFO SVCS	31620M106	11/18/13	PURCHASE	13.000	50.75	659.74		11
FIDELITY NATL INFO SVCS	31620M106	12/17/13	PURCHASE	10.000	51.00	510.00		11
SUBTOTAL						**3,160.24**		
ARTHUR J GALLAGHER	363576109	08/20/13	PURCHASE	7.000	42.93	300.51		11
ARTHUR J GALLAGHER	363576109	09/03/13	PURCHASE	16.000	41.42	662.72		11
ARTHUR J GALLAGHER	363576109	09/18/13	PURCHASE	15.000	44.68	670.20		11

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number: **4515-3811**

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
ARTHUR J GALLAGHER	363576109	10/18/13	PURCHASE	16.000	46.84	749.44		11
ARTHUR J GALLAGHER	363576109	11/18/13	PURCHASE	15.000	47.85	717.75		11
ARTHUR J GALLAGHER	363576109	12/17/13	PURCHASE	15.000	45.68	685.20		11
SUBTOTAL						**3,785.82**		
GENERAL DYNAMICS CORP	369550108	09/03/13	PURCHASE	9.000	84.29	758.61		11
GENERAL DYNAMICS CORP	369550108	09/18/13	PURCHASE	9.000	89.45	805.05		11
GENERAL DYNAMICS CORP	369550108	10/18/13	PURCHASE	9.000	88.33	794.97		11
GENERAL DYNAMICS CORP	369550108	11/18/13	PURCHASE	10.000	89.59	895.90		11
GENERAL DYNAMICS CORP	369550108	11/20/13	PURCHASE	1.000	89.79	89.79		11
GENERAL DYNAMICS CORP	369550108	12/17/13	PURCHASE	4.000	91.19	364.76		11
SUBTOTAL						**3,709.08**		
GENERAL ELECTRIC COMPANY	369604103	08/20/13	PURCHASE	14.000	23.66	331.24		11
GENERAL ELECTRIC COMPANY	369604103	09/03/13	PURCHASE	58.000	23.06	1,337.48		11
GENERAL ELECTRIC COMPANY	369604103	09/18/13	PURCHASE	56.000	24.79	1,388.24		11
GENERAL ELECTRIC COMPANY	369604103	10/18/13	PURCHASE	57.000	25.61	1,459.77		11
GENERAL ELECTRIC COMPANY	369604103	11/18/13	PURCHASE	52.000	27.39	1,424.28		11
GENERAL ELECTRIC COMPANY	369604103	12/17/13	PURCHASE	48,000	27.00	1,296.00		11
SUBTOTAL						**7,237.01**		
HARRIS CORP DEL	413875105	10/23/13	PURCHASE	181,000	60.98	11,036.71		11
HARRIS CORP DEL	413875105	11/18/13	PURCHASE	7,000	64.75	453.25		11
HARRIS CORP DEL	413875105	12/17/13	PURCHASE	7.000	66.60	466.20		11
SUBTOTAL						**11,956.16**		
HOME DEPOT INC	437076102	09/03/13	PURCHASE	12,000	74.40	892.80		11
HOME DEPOT INC	437076102	09/18/13	PURCHASE	12.000	77.24	926.88		11
HOME DEPOT INC	437076102	10/18/13	PURCHASE	12.000	74.95	899.40		11
HOME DEPOT INC	437076102	11/18/13	PURCHASE	13.000	79.92	1,038.96		11
HOME DEPOT INC	437076102	12/17/13	PURCHASE	8.000	78.85	630.80		11
SUBTOTAL						**4,388.84**		

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number. 4515-3811

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
INTL PAPER COMPANY	460146103	08/20/13	PURCHASE	9.000	46.79	421.11		11
INTL PAPER COMPANY	460146103	09/03/13	PURCHASE	20.000	47.41	948.20		11
INTL PAPER COMPANY	460146103	09/18/13	PURCHASE	18.000	47.91	862.38		11
INTL PAPER COMPANY	460146103	10/18/13	PURCHASE	20.000	45.50	910.00		11
INTL PAPER COMPANY	460146103	11/18/13	PURCHASE	17.000	45.44	772.48		11
INTL PAPER COMPANY	460146103	12/17/13	PURCHASE	18.000	48.46	872.28		11
SUBTOTAL						**4,786.45**		
JPMORGAN CHASE & COMPANY	46625H100	08/20/13	PURCHASE	8.000	51.95	415.60		11
JPMORGAN CHASE & COMPANY	46625H100	09/03/13	PURCHASE	31.000	51.10	1,584.10		11
JPMORGAN CHASE & COMPANY	46625H100	09/18/13	PURCHASE	30.000	53.39	1,601.70		11
JPMORGAN CHASE & COMPANY	46625H100	10/18/13	PURCHASE	31.000	53.99	1,673.69		11
JPMORGAN CHASE & COMPANY	46625H100	11/18/13	PURCHASE	25.000	55.83	1,395.75		11
JPMORGAN CHASE & COMPANY	46625H100	12/17/13	PURCHASE	31.000	55.88	1,732.28		11
SUBTOTAL						**8,403.12**		
JOHNSON & JOHNSON	478160104	11/05/13	PURCHASE	255.000	92.85	23,675.58		11
JOHNSON & JOHNSON	478160104	11/18/13	PURCHASE	8.000	94.26	754.08		11
JOHNSON & JOHNSON	478160104	12/17/13	PURCHASE	10.000	90.61	906.10		11
SUBTOTAL						**25,335.76**		
KLA-TENCOR CORP	482480100	05/14/13	PURCHASE	210.000	54.08	11,356.77		11
KLA-TENCOR CORP	482480100	08/20/13	PURCHASE	4.000	56.22	224.88		11
SUBTOTAL						**11,581.65**		
LIBERTY PROPERTY TRUST	531172104	09/03/13	PURCHASE	12.000	33.92	407.04		11
LIBERTY PROPERTY TRUST	531172104	09/18/13	PURCHASE	12.000	36.87	442.44		11
LIBERTY PROPERTY TRUST	531172104	10/18/13	PURCHASE	12.000	37.46	449.52		11
LIBERTY PROPERTY TRUST	531172104	11/18/13	PURCHASE	13.000	34.73	451.49		11
LIBERTY PROPERTY TRUST	531172104	12/17/13	PURCHASE	7.000	34.11	238.77		11
SUBTOTAL						**1,989.26**		
LILLY ELI & COMPANY	532457108	09/03/13	PURCHASE	15.000	51.14	767.10		11

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number 4515-3811

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
LILLY ELI & COMPANY	532457108	09/18/13	PURCHASE	14.000	53.95	755.30		11
LILLY ELI & COMPANY	532457108	10/18/13	PURCHASE	13.000	49.55	644.15		11
LILLY ELI & COMPANY	532457108	11/18/13	PURCHASE	14.000	50.81	711.34		11
LILLY ELI & COMPANY	532457108	12/17/13	PURCHASE	10.000	49.00	490.00		11
SUBTOTAL						**3,387.89**		
LOCKHEED MARTIN CORP	539830109	05/06/13	PURCHASE	164.000	102.37	16,788.52		11
LOCKHEED MARTIN CORP	539830109	09/03/13	PURCHASE	7.000	123.76	866.32		11
LOCKHEED MARTIN CORP	539830109	09/18/13	PURCHASE	7.000	130.26	911.82		11
LOCKHEED MARTIN CORP	539830109	10/18/13	PURCHASE	7.000	128.94	902.58		11
LOCKHEED MARTIN CORP	539830109	11/18/13	PURCHASE	3.000	138.46	415.38		11
LOCKHEED MARTIN CORP	539830109	12/17/13	PURCHASE	7.000	140.69	984.83		11
SUBTOTAL						**20,869.45**		
MARSH & MCLENNAN COS INC	571748102	08/20/13	PURCHASE	13.000	41.91	544.83		11
MARSH & MCLENNAN COS INC	571748102	09/03/13	PURCHASE	17.000	41.45	704.65		11
MARSH & MCLENNAN COS INC	571748102	09/18/13	PURCHASE	16.000	43.73	699.68		11
MARSH & MCLENNAN COS INC	571748102	10/18/13	PURCHASE	17.000	45.49	773.33		11
MARSH & MCLENNAN COS INC	571748102	11/18/13	PURCHASE	18.000	47.39	853.02		11
MARSH & MCLENNAN COS INC	571748102	12/17/13	PURCHASE	12.000	47.42	569.04		11
SUBTOTAL						**4,144.55**		
MAXIM INTEGRATED PRODS	57772K101	08/20/13	PURCHASE	13.000	27.61	358.93		11
MAXIM INTEGRATED PRODS	57772K101	09/03/13	PURCHASE	18.000	28.36	510.48		11
MAXIM INTEGRATED PRODS	57772K101	09/18/13	PURCHASE	17.000	29.48	501.16		11
MAXIM INTEGRATED PRODS	57772K101	10/18/13	PURCHASE	18.000	29.97	539.46		11
MAXIM INTEGRATED PRODS	57772K101	11/18/13	PURCHASE	21.000	29.42	617.82		11
MAXIM INTEGRATED PRODS	57772K101	12/17/13	PURCHASE	11.000	27.97	307.67		11
SUBTOTAL						**2,835.52**		
MEDTRONIC INC	585055106	08/20/13	PURCHASE	7.000	52.68	368.76		11
MEDTRONIC INC	585055106	09/03/13	PURCHASE	12.000	51.98	623.76		11
MEDTRONIC INC	585055106	09/18/13	PURCHASE	12.000	53.99	647.88		11

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number: 4515-3811

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
MEDTRONIC INC	585055106	10/18/13	PURCHASE	13 000	56 42	733.46		11
MEDTRONIC INC	585055106	11/18/13	PURCHASE	12.000	58 50	702 00		11
MEDTRONIC INC	585055106	12/17/13	PURCHASE	12 000	56 49	677 86		11
SUBTOTAL						**3,753.74**		
MERCK & COMPANY INC NEW	58933Y105	02/20/13	PURCHASE	13 000	42 48	4,800 19		11
MERCK & COMPANY INC NEW	58933Y105	08/20/13	PURCHASE	7 000	48 13	336 91		11
MERCK & COMPANY INC NEW	58933Y105	09/03/13	PURCHASE	28 000	47 11	1 319 08		11
MERCK & COMPANY INC NEW	58933Y105	09/18/13	PURCHASE	26 000	48 41	1,258 66		11
MERCK & COMPANY INC NEW	58933Y105	10/18/13	PURCHASE	28.000	46.56	1,303 68		11
MERCK & COMPANY INC NEW	58933Y105	11/18/13	PURCHASE	168 000	47 85	8 039 55		11
MERCK & COMPANY INC NEW	58933Y105	11/20/13	PURCHASE	6 000	48.08	288 46		11
MERCK & COMPANY INC NEW	58933Y105	12/17/13	PURCHASE	34 000	47 97	1,630 98		11
SUBTOTAL						**18,977.53**		
MICROSOFT CORP	594918104	08/20/13	PURCHASE	13 000	31 73	412.49		11
MICROSOFT CORP	594918104	09/03/13	PURCHASE	23 000	31 35	721 05		11
MICROSOFT CORP	594918104	09/18/13	PURCHASE	21 000	33 15	696 15		11
MICROSOFT CORP	594918104	10/18/13	PURCHASE	22 000	34 88	767 36		11
MICROSOFT CORP	594918104	11/18/13	PURCHASE	27 000	37.29	1,006 83		11
MICROSOFT CORP	594918104	12/17/13	PURCHASE	11 000	36 41	400 51		11
SUBTOTAL						**4,004.39**		
NV ENERGY INC	67073Y106	03/13/13	PURCHASE	535 000	20 17	10,790 76		11
OCCIDENTAL PETRO CORP	674599105	05/14/13	PURCHASE	78 000	91 25	7,117 75		11
OCCIDENTAL PETRO CORP	674599105	08/20/13	PURCHASE	4 000	86 03	344 12		11
OCCIDENTAL PETRO CORP	674599105	09/03/13	PURCHASE	11 000	88 10	969.10		11
OCCIDENTAL PETRO CORP	674599105	09/18/13	PURCHASE	10 000	92 23	922 30		11
OCCIDENTAL PETRO CORP	674599105	10/18/13	PURCHASE	11 000	98 09	1,078 99		11
OCCIDENTAL PETRO CORP	674599105	11/18/13	PURCHASE	11 000	97 15	1,068 65		11

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number: **4515-3811**

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)¹	NFR BOX
OCCIDENTAL PETRO CORP	674599105	12/17/13	PURCHASE	8 000	90 43	723 44		11
SUBTOTAL						**12,224.35**		
PNC FINL SVCS GROUP INC	693475105	07/17/13	PURCHASE	160.000	73 79	11,805 95		11
PNC FINL SVCS GROUP INC	693475105	09/03/13	PURCHASE	7 000	72 33	506 31		11
PNC FINL SVCS GROUP INC	693475105	09/18/13	PURCHASE	7 000	75 33	527 31		11
PNC FINL SVCS GROUP INC	693475105	10/18/13	PURCHASE	7 000	74 95	524 65		11
PNC FINL SVCS GROUP INC	693475105	11/18/13	PURCHASE	4 000	75 92	303.68		11
PNC FINL SVCS GROUP INC	693475105	12/17/13	PURCHASE	9 000	74 79	673 11		11
SUBTOTAL						**14,341.01**		
PACKAGING CORP OF AMER	695156109	08/20/13	PURCHASE	13 000	53 07	689 91		11
PACKAGING CORP OF AMER	695156109	09/03/13	PURCHASE	14 000	52 95	741 30		11
PACKAGING CORP OF AMER	695156109	09/18/13	PURCHASE	14 000	60 37	845 18		11
PACKAGING CORP OF AMER	695156109	10/18/13	PURCHASE	15 000	60 75	911 25		11
PACKAGING CORP OF AMER	695156109	11/18/13	PURCHASE	12 000	61 31	735 72		11
SUBTOTAL						**3,923.36**		
PFIZER INC	717081103	08/20/13	PURCHASE	20,000	28 70	574 00		11
PFIZER INC	717081103	09/03/13	PURCHASE	54 000	27.97	1,510.38		11
PFIZER INC	717081103	09/18/13	PURCHASE	52 000	29 04	1 510 08		11
PFIZER INC	717081103	10/18/13	PURCHASE	53 000	30 46	1 614 38		11
PFIZER INC	717081103	11/18/13	PURCHASE	45 000	32.06	1,442.70		11
PFIZER INC	717081103	12/17/13	PURCHASE	46 000	30 19	1,388.74		11
SUBTOTAL						**8,040.28**		
PHILIP MORRIS INTL INC	718172109	09/03/13	PURCHASE	10.000	83 41	834 10		11
PHILIP MORRIS INTL INC	718172109	09/18/13	PURCHASE	10 000	89 55	895 50		11
PHILIP MORRIS INTL INC	718172109	10/18/13	PURCHASE	10 000	86 81	868 10		11
PHILIP MORRIS INTL INC	718172109	11/18/13	PURCHASE	7 000	91 66	641 62		11
PHILIP MORRIS INTL INC	718172109	12/17/13	PURCHASE	12,000	84.52	1,014 24		11
SUBTOTAL						**4,253.56**		

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number: 4515-3811

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
PHILLIPS 66	718546104	12/09/13	PURCHASE	326.000	72.34	23,562.67		11
PHILLIPS 66	718546104	12/17/13	PURCHASE	12.000	72.94	875.28		11
SUBTOTAL						**24,457.95**		
PROCTER & GAMBLE COMPANY	742718109	08/20/13	PURCHASE	1.000	79.88	79.88		11
PROCTER & GAMBLE COMPANY	742718109	08/22/13	PURCHASE	77.000	79.54	6,124.55		11
PROCTER & GAMBLE COMPANY	742718109	09/03/13	PURCHASE	11.000	77.59	853.49		11
PROCTER & GAMBLE COMPANY	742718109	09/18/13	PURCHASE	13.000	79.99	1,039.87		11
PROCTER & GAMBLE COMPANY	742718109	10/18/13	PURCHASE	13.000	79.48	1,033.24		11
PROCTER & GAMBLE COMPANY	742718109	11/18/13	PURCHASE	11.000	84.22	926.42		11
PROCTER & GAMBLE COMPANY	742718109	12/17/13	PURCHASE	13.000	80.96	1,052.48		11
SUBTOTAL						**11,109.93**		
PRUDENTIAL FINL INC	744320102	08/20/13	PURCHASE	1.000	77.64	77.64		11
PRUDENTIAL FINL INC	744320102	09/03/13	PURCHASE	10.000	75.83	758.30		11
PRUDENTIAL FINL INC	744320102	09/18/13	PURCHASE	9.000	81.16	730.44		11
PRUDENTIAL FINL INC	744320102	10/18/13	PURCHASE	10.000	82.38	823.80		11
PRUDENTIAL FINL INC	744320102	11/18/13	PURCHASE	8.000	89.59	716.72		11
PRUDENTIAL FINL INC	744320102	12/17/13	PURCHASE	9.000	88.04	792.36		11
SUBTOTAL						**3,899.26**		
PUB SVC ENTERPRISE GROUP	744573106	08/20/13	PURCHASE	13.000	32.60	423.80		11
PUB SVC ENTERPRISE GROUP	744573106	09/03/13	PURCHASE	22.000	32.02	704.44		11
PUB SVC ENTERPRISE GROUP	744573106	09/18/13	PURCHASE	22.000	33.61	739.42		11
PUB SVC ENTERPRISE GROUP	744573106	10/18/13	PURCHASE	22.000	33.93	746.46		11
PUB SVC ENTERPRISE GROUP	744573106	11/18/13	PURCHASE	20.000	33.92	678.40		11
PUB SVC ENTERPRISE GROUP	744573106	12/17/13	PURCHASE	19.000	31.92	606.48		11
SUBTOTAL						**3,899.00**		
QUESTAR CORP	748356102	08/01/13	PURCHASE	484.000	24.50	11,856.19		11
QUESTAR CORP	748356102	09/03/13	PURCHASE	22.000	21.66	476.52		11
SUBTOTAL						**12,332.71**		

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number: 4515-3811

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
RPM INTERNATIONAL INC	749685103	08/20/13	PURCHASE	5.000	34.78	173.90		11
RPM INTERNATIONAL INC	749685103	09/03/13	PURCHASE	15.000	34.08	511.20		11
RPM INTERNATIONAL INC	749685103	09/18/13	PURCHASE	14.000	36.23	507.22		11
RPM INTERNATIONAL INC	749685103	10/18/13	PURCHASE	15.000	37.49	562.35		11
RPM INTERNATIONAL INC	749685103	11/18/13	PURCHASE	11.000	39.73	437.03		11
RPM INTERNATIONAL INC	749685103	12/17/13	PURCHASE	17.000	39.80	676.60		11
SUBTOTAL						**2,868.30**		
SPECTRA ENERGY CORP	847560109	08/20/13	PURCHASE	7.000	33.60	235.20		11
SPECTRA ENERGY CORP	847560109	09/03/13	PURCHASE	23.000	32.97	758.31		11
SPECTRA ENERGY CORP	847560109	09/18/13	PURCHASE	23.000	34.15	785.45		11
SPECTRA ENERGY CORP	847560109	10/18/13	PURCHASE	23.000	35.27	811.21		11
SPECTRA ENERGY CORP	847560109	11/18/13	PURCHASE	17.000	34.10	579.70		11
SPECTRA ENERGY CORP	847560109	12/17/13	PURCHASE	25.000	33.08	827.00		11
SUBTOTAL						**3,996.87**		
STATE STREET CORP	857477103	08/20/13	PURCHASE	7.000	67.64	473.48		11
STATE STREET CORP	857477103	09/03/13	PURCHASE	11.000	67.58	743.38		11
STATE STREET CORP	857477103	09/18/13	PURCHASE	10.000	69.69	696.90		11
STATE STREET CORP	857477103	10/18/13	PURCHASE	11.000	69.70	766.70		11
STATE STREET CORP	857477103	11/18/13	PURCHASE	12.000	71.54	858.48		11
STATE STREET CORP	857477103	12/17/13	PURCHASE	6.000	69.74	418.44		11
SUBTOTAL						**3,957.38**		
STEEL DYNAMICS INC	858119100	04/10/13	PURCHASE	355.000	15.26	5,417.29		11
TRAVELERS COMPANIES INC	89417E109	09/03/13	PURCHASE	6.000	80.44	482.64		11
TRAVELERS COMPANIES INC	89417E109	09/18/13	PURCHASE	6.000	85.67	514.02		11
TRAVELERS COMPANIES INC	89417E109	10/18/13	PURCHASE	6.000	86.70	520.20		11
TRAVELERS COMPANIES INC	89417E109	11/18/13	PURCHASE	6.000	88.43	530.58		11
TRAVELERS COMPANIES INC	89417E109	12/17/13	PURCHASE	4.000	86.71	346.84		11
SUBTOTAL						**2,394.28**		

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•NON-FEDERALLY REPORTED ITEMS SECTION, continued

Account Number: **4515-3811**

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)¹	NFR BOX
U S BANCORP DE NEW	902973304	08/20/13	PURCHASE	11.000	36.78	404.58		11
U S BANCORP DE NEW	902973304	09/03/13	PURCHASE	23.000	36.02	828.46		11
U S BANCORP DE NEW	902973304	09/18/13	PURCHASE	22.000	38.01	836.22		11
U S BANCORP DE NEW	902973304	10/18/13	PURCHASE	23.000	37.94	872.62		11
U S BANCORP DE NEW	902973304	11/18/13	PURCHASE	18.000	38.23	688.14		11
U S BANCORP DE NEW	902973304	12/17/13	PURCHASE	22.000	39.19	862.18		11
SUBTOTAL						**4,492.20**		
UNION PACIFIC CORP	907818108	09/03/13	PURCHASE	4.000	153.14	612.56		11
UNION PACIFIC CORP	907818108	09/18/13	PURCHASE	4.000	159.24	636.96		11
UNION PACIFIC CORP	907818108	10/18/13	PURCHASE	4.000	152.49	609.96		11
UNION PACIFIC CORP	907818108	12/17/13	PURCHASE	8.000	161.13	1,289.04		11
SUBTOTAL						**3,148.52**		
UNITED TECHNOLOGIES CORP	913017109	09/03/13	PURCHASE	3.000	102.43	307.29		11
UNITED TECHNOLOGIES CORP	913017109	09/18/13	PURCHASE	4.000	110.42	441.68		11
UNITED TECHNOLOGIES CORP	913017109	10/18/13	PURCHASE	3.000	107.53	322.59		11
UNITED TECHNOLOGIES CORP	913017109	11/18/13	PURCHASE	2.000	109.58	219.16		11
UNITED TECHNOLOGIES CORP	913017109	12/17/13	PURCHASE	6.000	107.65	645.90		11
SUBTOTAL						**1,936.62**		
VERIZON COMMS INC	92343V104	08/20/13	PURCHASE	8.000	47.69	381.52		11
VERIZON COMMS INC	92343V104	09/03/13	PURCHASE	22.000	46.28	1,018.16		11
VERIZON COMMS INC	92343V104	09/18/13	PURCHASE	22.000	48.51	1,067.22		11
VERIZON COMMS INC	92343V104	10/18/13	PURCHASE	22.000	49.95	1,098.90		11
VERIZON COMMS INC	92343V104	11/18/13	PURCHASE	20.000	50.56	1,011.20		11
VERIZON COMMS INC	92343V104	12/17/13	PURCHASE	19.000	47.55	903.45		11
SUBTOTAL						**5,480.45**		
WALGREEN COMPANY	931422109	06/18/13	PURCHASE	274.000	50.62	13,869.35		11
WALGREEN COMPANY	931422109	08/20/13	PURCHASE	3.000	48.45	145.35		11
WALGREEN COMPANY	931422109	09/03/13	PURCHASE	12.000	48.63	583.56		11
WALGREEN COMPANY	931422109	09/18/13	PURCHASE	12.000	55.47	665.64		11

[1] **Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.**

***Option Premium amounts on this page represent interest payments from assets such as structured products that have reclassified a portion to nontaxable option premium to align with the tax treatment prescribed in the product's prospectus.**

Any basis adjustments reported on this page generally apply to WHFITs such as royalty trusts and HOLDRS trusts, where a portion of the distribution is reallocated as either a reduction or addition to cost basis, depending on whether the income distributed exceeds or is less than the reportable amount. Please note that the cost basis of your position on these specific assets have not been adjusted to reflect these amounts and you will need to consult with your tax professional to apply these reallocations accordingly.

NON-FEDERALLY REPORTED ITEMS SECTION, continued

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
WALGREEN COMPANY	931422109	10/18/13	PURCHASE	12.000	58.69	704.28		11
WALGREEN COMPANY	931422109	11/18/13	PURCHASE	13.000	60.07	780.91		11
WALGREEN COMPANY	931422109	12/17/13	PURCHASE	7.000	56.56	395.92		11
SUBTOTAL						17,145.01		
WELLS FARGO & CO NEW	949746101	08/20/13	PURCHASE	11.000	42.51	467.61		11
WELLS FARGO & CO NEW	949746101	09/03/13	PURCHASE	35.000	41.25	1,443.75		11
WELLS FARGO & CO NEW	949746101	09/18/13	PURCHASE	34.000	43.58	1,481.72		11
WELLS FARGO & CO NEW	949746101	10/18/13	PURCHASE	35.000	42.68	1,493.80		11
WELLS FARGO & CO NEW	949746101	11/18/13	PURCHASE	32.000	43.69	1,398.08		11
WELLS FARGO & CO NEW	949746101	12/17/13	PURCHASE	27.000	43.64	1,178.28		11
SUBTOTAL						7,463.24		
XEROX CORP	984121103	04/02/13	PURCHASE	1,257.000	8.75	10,999.00		11
EATON CORP PLC	G29183103	03/13/13	PURCHASE	188.000	63.24	11,889.11		11
EATON CORP PLC	G29183103	08/20/13	PURCHASE	1.000	64.35	64.35		11
EATON CORP PLC	G29183103	09/03/13	PURCHASE	8.000	63.44	507.52		11
EATON CORP PLC	G29183103	09/18/13	PURCHASE	8.000	69.30	554.40		11
EATON CORP PLC	G29183103	10/18/13	PURCHASE	8.000	68.63	549.04		11
EATON CORP PLC	G29183103	11/18/13	PURCHASE	7.000	72.36	506.52		11
EATON CORP PLC	G29183103	12/17/13	PURCHASE	10.000	73.45	734.50		11
SUBTOTAL						14,805.44		
INVESCO LTD SHS	G491BT108	08/20/13	PURCHASE	8.000	31.08	248.64		11
INVESCO LTD SHS	G491BT108	09/03/13	PURCHASE	23.000	30.46	700.58		11
INVESCO LTD SHS	G491BT108	09/18/13	PURCHASE	22.000	32.08	705.76		11
INVESCO LTD SHS	G491BT108	10/18/13	PURCHASE	23.000	32.88	756.24		11
INVESCO LTD SHS	G491BT108	11/18/13	PURCHASE	18.000	33.84	609.12		11
INVESCO LTD SHS	G491BT108	12/17/13	PURCHASE	22.000	34.51	759.22		11
SUBTOTAL						3,779.56		
PARTNERRE LIMITED	G6852T105	09/03/13	PURCHASE	4.000	87.90	351.60		11

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NON-FEDERALLY REPORTED ITEMS SECTION, continued

DETAILS - NON-FEDERALLY REPORTED ITEMS

DESCRIPTION	CUSIP	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	COLLECTIBLES ALLOCATION FACTOR (CAF)[1]	NFR BOX
PARTNERRE LIMITED	G6852T105	09/18/13	PURCHASE	4.000	91 30	365.20		11
PARTNERRE LIMITED	G6852T105	10/18/13	PURCHASE	5 000	94 83	474 15		11
SUBTOTAL						1,190.95		
ACE LTD	H0023R105	09/03/13	PURCHASE	8 000	88 24	705 92		11
ACE LTD	H0023R105	09/18/13	PURCHASE	8 000	93 99	751 92		11
ACE LTD	H0023R105	10/18/13	PURCHASE	8 000	96 83	774 64		11
ACE LTD	H0023R105	11/18/13	PURCHASE	7 000	98 96	692 72		11
ACE LTD	H0023R105	12/17/13	PURCHASE	5 000	99 13	495 65		11
SUBTOTAL						3,420.85		

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[1] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

[2] Option Premium amounts on this page represent interest payments from assets such as structured products that have reclassified a portion to nontaxable option premium to align with the tax treatment prescribed in the product's prospectus.

Any basis adjustments reported on this page generally apply to WHFITs such as royalty trusts and HOLDRS trusts, where a portion of the distribution is reallocated as either a reduction or addition to cost basis, depending on whether the income distributed exceeds or is less than the reportable amount. Please note that the cost basis of your position on these specific assets have not been adjusted to reflect these amounts and you will need to consult with your tax professional to apply these reallocations accordingly.

LPL Financial

LPL Financial
Member FINRA / SIPC
4707 Executive Drive
San Diego, CA 92121
Federal ID No: 95-2834236

2013 IRS 1099 CONSOLIDATED REPORTING STATEMENT

Recipient's Tax Identification Number: **72-1416778**

Account Number: **3486-4356**

Courtesy Form

Copy B For Recipient

Department of the Treasury - Internal Revenue Service
(keep for your records)

This statement is being provided for your information only and will not be furnished to the Internal Revenue Service. However, if you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

AT 02 069747 54748H247 C**3DGT

THE PELTIER FOUNDATION
A NON-PROFIT ORGANIZATION
C/O STEPHEN G PELTIER
101 ST LOUIS STREET
THIBODAUX LA 70301-3027

Sch 5

Your Financial Advisor
JOHN DUPONT
(985) 853-2100
Rep ID: 8X2B

FORM 1099-DIV — **DIVIDENDS AND DISTRIBUTIONS TOTALS FOR TAX YEAR 2013** — **OMB #1545-0110**

IRS BOX		
1A.	TOTAL ORDINARY DIVIDENDS[1]	$15,500.95
1B.	QUALIFIED DIVIDENDS	$10,791.61
2A.	TOTAL CAPITAL GAIN DISTRIBUTIONS	$93.93
2B.	UNRECAP. SEC 1250 GAIN	$29.73
2C	SECTION 1202 GAIN	$0.00
2D	COLLECTIBLES (28%) GAIN	$0.00
3	NONDIVIDEND DISTRIBUTIONS	$259.70
4.	**FEDERAL INCOME TAX WITHHELD**	$0.00
5	INVESTMENT EXPENSES	$0.00
6	FOREIGN TAX PAID	$50.14
7.	FOREIGN COUNTRY OR U.S. POSSESSION	VARIOUS
8	CASH LIQUIDATION DISTRIBUTIONS	$0.00
9	NONCASH LIQUIDATION DISTRIBUTIONS	$0.00
10	EXEMPT-INTEREST DIVIDENDS	$0.00
11	SPECIFIED PRIVATE ACTIVITY BOND INTEREST DIVIDENDS	$0.00
12	STATE	N/A
13	STATE IDENTIFICATION NO	N/A
14.	STATE TAX WITHHELD	$0.00

FORM 1099-INT — **INTEREST INCOME TOTALS FOR TAX YEAR 2013** — **OMB #1545-0112**

IRS BOX		
1	INTEREST INCOME	$0.00
2	EARLY WITHDRAWAL PENALTY	$0.00
3	INTEREST ON U.S SAVINGS BONDS AND TREAS OBLIGATIONS	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	$0.00
5	INVESTMENT EXPENSES	$0.00
6.	FOREIGN TAX PAID	$0.00
7	FOREIGN COUNTRY OR U S POSSESSION	N/A
8.	TAX-EXEMPT INTEREST	$2,150.00
9.	SPECIFIED PRIVATE ACTIVITY BOND INTEREST	$0.00
10	TAX-EXEMPT BOND CUSIP NO	VARIOUS
11	STATE	N/A
12	STATE IDENTIFICATION NO	N/A
13	STATE TAX WITHHELD	$0.00

FORM 1099-MISC — **MISCELLANEOUS INCOME TOTALS FOR TAX YEAR 2013** — **OMB #1545-0115**

IRS BOX		
1	RENTS	$0.00
2	ROYALTIES	$0.00
3	OTHER INCOME	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	$0.00
8.	SUBSTITUTE PAYMENTS IN LIEU OF DIVIDENDS OR INTEREST	$0.00
11	FOREIGN TAX PAID	$0.00
12	FOREIGN COUNTRY OR U S POSSESSION	N/A
16	STATE TAX WITHHELD	$0.00
17	STATE IDENTIFICATION NO	N/A

Federal or state tax withheld amounts represent backup withholding applied due to missing W-9 certification, an IRS "B" Notice or "C" Notice.

[1] Per IRS 1099-DIV Instructions for Box 1A, includes net short-term capital gain distributions from mutual funds; Tax-exempt dividends are reported on 1099-DIV Box 10.

Account Number: **3486-4356**

2013 IRS 1099 CONSOLIDATED REPORTING STATEMENT

FORM 1099-B PROCEEDS FROM BROKER & BARTER EXCHANGES OMB #1545-0715
TOTALS FOR TAX YEAR 2013

IRS BOX		
2A.	GROSS PROCEEDS LESS COMMISSIONS AND OPTION PREMIUMS	$572 441 39
3	COST OR OTHER BASIS	$479 100 94
4.	**FEDERAL INCOME TAX WITHHELD**	$0 00
5	WASH SALE LOSS DISALLOWED	$0 00
9	PROFIT OR (LOSS) REALIZED IN 2013 ON CLOSED CONTRACTS	$0 00
10.	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS -- 12/31/2012	$0 00
11	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS -- 12/31/2013	$0 00
12.	AGGREGATE PROFIT OR (LOSS) ON CONTRACTS	$0 00
13	STATE	N/A
14	STATE IDENTIFICATION NO	N/A
15.	STATE TAX WITHHELD	$0 00

FORM 1099-OID ORIGINAL ISSUE DISCOUNT OMB #1545-0117
TOTALS FOR TAX YEAR 2013

IRS BOX		
1	ORIGINAL ISSUE DISCOUNT FOR 2013*	$0 00
2	OTHER PERIODIC INTEREST	$0 00
3.	EARLY WITHDRAWAL PENALTY	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	$0.00
5	FOREIGN TAX PAID	$0.00
6	FOREIGN COUNTRY OR U S POSSESSION	N/A
8.	ORIGINAL ISSUE DISCOUNT ON U.S TREASURY OBLIGATIONS*	$0 00
9.	INVESTMENT EXPENSES	$0 00
10.	STATE	N/A
11	STATE IDENTIFICATION NO	N/A
12.	STATE TAX WITHHELD	$0.00

* This may or may not be the correct figure to report on your income tax return See Instructions on the last page

NON-FEDERALLY REPORTED ITEMS
TOTALS FOR TAX YEAR 2013

1	MARGIN DEBIT INTEREST	$0.00
2	LONG TERM OID ON EXEMPT MUNI BONDS	$0 00
3	BASIS ADJUSTMENTS	$0.00
4	RETURN OF CAPITAL OR PRINCIPAL	$0 00
5	LONG TERM OID ON EXEMPT MUNI SUBJECT TO ALT MIN TAX	$0.00
6	MISC TAX, SHORTFALLS AND EXEMPT INCOME INVT EXPENSES	$0 00
7	MISC FEES AND EXPENSES INCL. MANAGED ACCOUNT FEES	$0.00
8	PARTNERSHIP (MLP)	$0 00
9	ACCRUED INTEREST PURCHASED	$0 00
10	ACCRUED INTEREST PURCHASED EXEMPT	$0.00
11.	PURCHASES	$0.00
12.	OPTIONS	PLEASE SEE OPTIONS SECTION
13	DIRECT BUSINESS MUTUAL FUND SALE TRADES	$0.00

IMPORTANT TAX INFORMATION

The 2013 1099 and 2013 Widely Held Fixed Investment Trust (WHFIT) Tax Guides, which contain illustrated examples and explanations of tax statements you may receive, will now be available on the Disclosures page of our corporate site at http://lplfinancial lpl com/disclosure.htm As LPL Financial does not provide tax advisory services please share and consult with your tax professional before using the information contained in these guides for tax reporting purposes

Additional REMIC and/or Widely Held Mortgage Trust (WHMT) Statement May Be Pending:
If there are securities listed below, then our records indicate you held Mortgage Backed Securities such as Collateralized Mortgage Obligations (CMO), Collateralized Debt Obligations (CDO), Real Estate Mortgage Investment Conduits (REMIC) or Widely Held Mortgage Trusts (WHMT) in 2013 with LPL Financial Additional 1099s and related tax information will be mailed to you by March 17, 2014 in connection with these assets. As a reminder, if there are securities listed below, please do not complete your tax return until you have received this additional information from LPL Financial.

CUSIP	Security Description	CUSIP	Security Description
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1099-DIV

Account Number. **3486-4356**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

This section may contain federally reported income in boxes 10 and 11, which may be useful in helping you prepare your tax return. This income may be taxable at the state or local level. Please review this information with your tax advisor.

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
ALLBERN GRW INCM A	018597104	16,845 462	12/23/13	12/17/13	QUALIFIED DIVIDEND	737 83	1B				
AXA SA SPONSORED ADR	054536107	53 000	06/04/13	05/06/13	QUALIFIED DIVIDEND	47 79	1B			FR	
AXA SA SPONSORED ADR	054536107	0 000	06/04/13		TAX AT SOURCE	(14.34)	6			FR	
SUBTOTAL						**33.45**					
JPMORGAN PRIME MMKT SERV	09999775	30,658 000	01/31/13		DIVIDEND	0.26	1A				
JPMORGAN PRIME MMKT SERV	09999775	281 016 000	02/28/13		DIVIDEND	1 81	1A				
JPMORGAN PRIME MMKT SERV	09999775	232 093 000	03/28/13		DIVIDEND	2 05	1A				
JPMORGAN PRIME MMKT SERV	09999775	182,544 000	04/30/13		DIVIDEND	1 50	1A				
JPMORGAN PRIME MMKT SERV	09999775	134,401 000	05/31/13		DIVIDEND	1 13	1A				
JPMORGAN PRIME MMKT SERV	09999775	87,834 000	06/28/13		DIVIDEND	0 75	1A				
JPMORGAN PRIME MMKT SERV	09999775	613,741 000	07/31/13		DIVIDEND	0 89	1A				
JPMORGAN PRIME MMKT SERV	09999775	215,613 000	08/30/13		DIVIDEND	5.80	1A				
JPMORGAN PRIME MMKT SERV	09999775	166,852 000	09/30/13		DIVIDEND	1 58	1A				
JPMORGAN PRIME MMKT SERV	09999775	117,150 000	10/31/13		DIVIDEND	1 19	1A				
JPMORGAN PRIME MMKT SERV	09999775	69,022 000	11/29/13		DIVIDEND	0.75	1A				
JPMORGAN PRIME MMKT SERV	09999775	0 000	12/16/13		SHORT TERM CAP	0 74	1A				
JPMORGAN PRIME MMKT SERV	09999775	19,274.000	12/31/13		DIVIDEND	0 37	1A				
SUBTOTAL						**18.82**					
CAPITAL ONE FINL CORP	14040H105	5,992.000	02/22/13	02/07/13	QUALIFIED DIVIDEND	299.60	1B				
CAPITAL ONE FINL CORP	14040H105	5,992 000	05/23/13	05/09/13	QUALIFIED DIVIDEND	1,797 60	1B				
CAPITAL ONE FINL CORP	14040H105	5,992 000	08/15/13	08/01/13	QUALIFIED DIVIDEND	1,797 60	1B				
CAPITAL ONE FINL CORP	14040H105	5,992 000	11/21/13	11/06/13	QUALIFIED DIVIDEND	1,797 60	1B				
SUBTOTAL						**5,692.40**					
CITIGROUP INC NEW	172967424	70 000	02/22/13	01/31/13	QUALIFIED DIVIDEND	0.70	1B				
CITIGROUP INC NEW	172967424	70.000	05/24/13	05/02/13	QUALIFIED DIVIDEND	0 70	1B				
CITIGROUP INC NEW	172967424	70 000	08/23/13	08/01/13	QUALIFIED DIVIDEND	0.70	1B				
CITIGROUP INC NEW	172967424	70.000	11/22/13	10/31/13	QUALIFIED DIVIDEND	0 70	1B				
SUBTOTAL						**2.80**					
COLE REAL ESTATE INVTS	19329V105	52,810 000	07/11/13		DIVIDEND	775 92	1A				
COLE REAL ESTATE INVTS	19329V105	52 810.000	07/11/13		CAPITAL GAIN	64 20	2A				
COLE REAL ESTATE INVTS	19329V105	52,810 000	07/11/13		1250 GAIN	29 73	2B				

1099-DIV, continued

Account Number **3486-4356**

DETAILS - DIVIDENDS AND DISTRIBUTIONS

SECURITY DESCRIPTION	CUSIP	QUANTITY	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	STATE (BOX 12)	STATE IDENTIFICATION NO. (BOX 13)	CTRY	UNDIST INC*
COLE REAL ESTATE INVTS	19329V105	52,810.000	07/11/13		RETURN OF CAP	259.70	3				
SUBTOTAL						**1,129.55**					
FRK INCM A	353496300	44,530.235	01/07/13		DIVIDEND	325.07	1A				
FRK INCM A	353496300	44,530.235	01/07/13	01/03/13	QUALIFIED DIVIDEND	187.03	1B				
FRK INCM A	353496300	44,756.828	02/05/13		DIVIDEND	317.77	1A				
FRK INCM A	353496300	44,756.828	02/05/13	01/31/13	QUALIFIED DIVIDEND	179.03	1B				
FRK INCM A	353496300	44,972.828	03/05/13		DIVIDEND	319.31	1A				
FRK INCM A	353496300	44,972.828	03/05/13	03/01/13	QUALIFIED DIVIDEND	179.89	1B				
FRK INCM A	353496300	45,192.740	04/03/13		DIVIDEND	320.87	1A				
FRK INCM A	353496300	45,192.740	04/03/13	04/01/13	QUALIFIED DIVIDEND	180.77	1B				
FRK INCM A	353496300	45,409.900	05/03/13		DIVIDEND	322.41	1A				
FRK INCM A	353496300	45,409.900	05/03/13	05/01/13	QUALIFIED DIVIDEND	181.64	1B				
FRK INCM A	353496300	45,624.389	06/05/13		DIVIDEND	305.69	1A				
FRK INCM A	353496300	45,624.389	06/05/13	06/03/13	QUALIFIED DIVIDEND	173.37	1B				
FRK INCM A	353496300	45,829.115	07/03/13		DIVIDEND	307.06	1A				
FRK INCM A	353496300	45,829.115	07/03/13	06/28/13	QUALIFIED DIVIDEND	174.15	1B				
FRK INCM A	353496300	46,042.040	08/05/13		DIVIDEND	308.46	1A				
FRK INCM A	353496300	46,042.040	08/05/13	07/31/13	QUALIFIED DIVIDEND	174.96	1B				
FRK INCM A	353496300	46,248.638	09/05/13		DIVIDEND	309.87	1A				
FRK INCM A	353496300	46,248.638	09/05/13	08/30/13	QUALIFIED DIVIDEND	175.74	1B				
FRK INCM A	353496300	46,460.695	10/03/13		DIVIDEND	357.75	1A				
FRK INCM A	353496300	46,460.695	10/03/13	09/30/13	QUALIFIED DIVIDEND	130.09	1B				
FRK INCM A	353496300	46,670.971	11/05/13		DIVIDEND	359.37	1A				
FRK INCM A	353496300	46,670.971	11/05/13	10/31/13	QUALIFIED DIVIDEND	130.68	1B				
FRK INCM A	353496300	46,876.874	12/04/13		DIVIDEND	360.95	1A				
FRK INCM A	353496300	46,876.874	12/04/13	11/29/13	QUALIFIED DIVIDEND	131.26	1B				
SUBTOTAL						**5,913.21**					
GENERAL ELECTRIC COMPANY	369604103	900.000	01/25/13	12/20/12	QUALIFIED DIVIDEND	171.00	1B				
GENERAL ELECTRIC COMPANY	369604103	900.000	04/25/13	02/21/13	QUALIFIED DIVIDEND	171.00	1B				
GENERAL ELECTRIC COMPANY	369604103	900.000	07/25/13	06/20/13	QUALIFIED DIVIDEND	171.00	1B				
GENERAL ELECTRIC COMPANY	369604103	900.000	10/25/13	09/19/13	QUALIFIED DIVIDEND	171.00	1B				
SUBTOTAL						**684.00**					

2013 SUPPLEMENTAL STATE TAX INFORMATION

Account Number. **3486-4356**

DETAILS - ADDITIONAL NON-FEDERALLY REPORTED ITEMS

This section lists the dollar amount of income derived from federal or municipal obligations, based on a percentage of income reported in the details of your 1099-Consolidated statement, received by the following funds on a state-by-state basis. A portion of your dividend attributable to interest earned by a fund on securities issued by your state may be tax-exempt in your state based upon your state's tax laws Please review your state's requirements. This section also includes.

- percentage of income from corporate dividends to calculate your dividend received deduction (DRD),
- percentage from repurchase agreements, percentage from foreign source income,
- percentage of capital gain from federal securities and
- percentage of five-year long-term gain

This information represents what was available at the time your 1099 was prepared, which may not be complete and can be subject to change Please contact your mutual fund directly if you need to verify this information Not all Federal source income is state tax exempt You may need to consult with your tax advisor or state tax authority.

Form 990-PF
Part II, Line 10b
Schedule #6

Peltier Foundation, Inc. 721416778
Stocks on Hand

	12/31/2013
Capital One Inv #4R6-501560	107 22
4 76% membership interest West Fork Land Co LLC	13,086.46
3-74/100 shares Lafourche Sugar Cane Co. common	26 18
1-6/10 shares Lafourche Sugar Cane Co preferred	80 00
40 shares Terra Firma Trust	-
1 share Dixie Lafourche Club	19,200.00
25-60/100 units of Lafourche Sugars, LLC	8,960 00
94810% membership Peltier Farms, LLC	18,092 22
	59,552 08

The Peltier Foundation, Inc
72-1416778

Form 990-PF
Part II, Line 10b
Schedule 7

EQUITIES AND OPTIONS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost¹	Unrealized Gain or Loss	Estimated Annual Income²	Estimated 30-Day Yield³
N/A	AXA SA SPONSORED ADR C AXAHY	53	$27 89	$1,478 17	N/A	N/A 1759.87-	N/A	$49	3 34%
N/A	CAPITAL ONE C FINANCIAL CORP COF	5,992	76.61	459,047 12	N/A	N/A 403501.28	N/A	7,190	1 57%
N/A	CITIGROUP INC NEW C C	70	52 11	3,647.70	N/A	N/A 32004.00-	N/A	2	0 08%
N/A	GENERAL ELECTRIC COMPANY C GE	900	28 03	25,227 00	N/A	N/A 34254.00-	N/A	792	3 14%
N/A	JPMORGAN CHASE & C COMPANY JPM	191	58 48	11,169 68	N/A	N/A 8337.15-	N/A	290	2.60%
N/A	NISSAN MTR LTD NEW C SPONSORED ADR NSANY	1,000	16 80	16,800 00	N/A	N/A 20710.00-	N/A	551	3 28%
N/A	REGIONS FINANCIAL C CORP NEW RF	195	9 89	1,928 55	N/A	N/A 5672.55-	N/A	23	1 21%
N/A	TRAVELERS COMPANIES INC C TRV	39	90 54	3,531 06	N/A	N/A 1907.00	N/A	78	2.21%
N/A	WAL-MART STORES INC C WMT	550	78 69	43,279 50	N/A	N/A 25036.00	N/A	1,034	2 39%
TOTAL EQUITIES AND OPTIONS				$566,108.78		533181.85 0.00 —	—	$10,009	

C Dividends and/or capital gains distributed by this security will be distributed as cash.

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost¹	Unrealized Gain or Loss	Estimated Annual Income²	Estimated 30-Day Yield³
N/A	ALLIANCEBERNSTEIN R GROWTH & INCOME CL A CABDX	14,457 288	$5.34	$77,201.77	N/A	N/A —	N/A	$476	0 62%
12/26/07* Purchases		2,529.25		13 506.34	3 85	~~9,731 52~~ —	3,774 82	80	
Total		16,986.538		90,708.11	N/A	~~9,731.52~~ 67309.15-	3,774 82	556	
N/A	FRANKLIN R INCOME	29,102 834	2.42	70,428 57	N/A	N/A —	N/A	3,753	5.33%
02/05/07* Purchases	FRANKLIN (continued)	17,980 851		43,513 94	2 17	~~38,950 20~~ 109056.36	4,563 74	2,280	
Total		47,083.685		113,942.51	N/A	~~38,950 20~~ —	4,563 74	6,033	
TOTAL MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS				$204,650.62		$48,681.72 176365.51	$8,338.56	$6,589	

* Date of Earliest Acquisition

R Dividends and/or capital gains distributed by this security will be reinvested

770159.40

709547.36

3

EQUITIES AND OPTIONS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/18/12	A O SMITH ^{C} AOS	34	$53 94	$1,833.96	$29 6897	$1,009 45 1,009.45	$824 51	$16	0.89%
10/31/12		32		1,726 08	30.1072	963.43 963 43	762 65	15	
12/20/12		30		1,618 20	31 5447	946 34 946.34	671 86	14	
Total		96		5,178.24	30.4085	2,919.22 2,919.22	2,259.02	45	
12/20/13	ACCENTURE PLC IRELAND ^{C} CLASS A NEW ACN	19	82 22	1,562 18	80 3274	1,526 22 1,526 22	35.96	35	2 26%
10/01/13	ACTAVIS PLC ^{C} ACT	41	168.00	6,888 00	144.0000	5,904.00 5,904.00	984.00	—	—
04/08/13	ALLSTATE CORP ^{C} ALL	88	54.54	4,799 52	49.9231	4,393.23 4,393 23	406 29	88	1.83%
06/07/10	AMERICAN EXPRESS COMPANY ^{C} AXP	23	90.73	2,086.79	38.4774	884 98 884 98	1,201 81	21	1.01%

EQUITIES AND OPTIONS continue on page 4

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[2]
07/22/10	AMERICAN EXPRESS COMPANY (continued)	13		1,179.49	43 2738	562.56 562.56	616.93	11	
02/14/12		4		362.92	52.0600	208.24 208 24	154.68	3	
03/16/12		5		453 65	57.1500	285.75 285.75	167.90	4	
04/11/12		4		362.92	57.0100	228.04 228.04	134.88	3	
05/14/12		5		453.65	58.9000	294.50 294.50	159.15	4	
06/12/12		4		362.92	56.1600	224.64 224.64	138 28	3	
Total		58		5,262.34	46.3571	2,688.71 2,688.71	2,573.63	49	
11/16/11	AMERICAN STS WATER C COMPANY AWR	11	28.73	316.03	17 7600	195.36 195.36	120.67	8	2 82%
11/17/11		10		287.30	17.9170	179.17 179.17	108.13	8	
11/18/11		16		459.68	18.1150	289.84 289.84	169.84	12	

EQUITIES AND OPTIONS continue on page 5

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[1] Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.

[2] Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[3]	Estimated 30-Day Yield[3]
01/17/12	AMERICAN STS WATER (continued)	20		574.60	17 4825	349.65 349.65	224 95	16	
01/18/12		22		632 06	17 4418	383.72 383 72	248 34	17	
02/14/12		16		459.68	18.3400	293.44 293.44	166 24	12	
03/16/12		16		459.68	18.5150	296.24 296.24	163 44	12	
04/11/12		16		459.68	17.6350	282.16 282.16	177 52	12	
05/14/12		18		517.14	18.5000	333 00 333 00	184 14	14	
06/12/12		16		459.68	18.9250	302.80 302 80	156 88	12	
Total		161		4,625.53	18.0458	2,905.38 2,905.38	1,720.15	123	
12/27/13	AMERICAN WATER WORKS C COMPANY INC NEW AWK	43	42.26	1,817.18	42.2223	1,815 56 1,815 56	1.62	48	2.65%
02/17/12	AMGEN INC C AMGN	2	114.08	228.16	68.1800	136 36 136 36	91.80	4	2.14%

EQUITIES AND OPTIONS continue on page 6

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[1] *Purchase Cost equals Cost Basis of Equibes and Mutual Funds less any reinvested dividends and interest.*

[3] *Refer to the statement message titled ESTIMATED ANNUAL INCOME*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
03/16/12	AMGEN INC (continued)	5		570.40	68 3200	341 60 341.60	228 80	12	
03/26/12		19		2,167 52	67 5037	1,282.57 1,282.57	884.95	46	
04/11/12		7		798.56	66 8300	467.81 467.81	330.75	17	
05/14/12		8		912.64	70.5800	564 64 564 64	348.00	19	
06/12/12		7		798 56	68.4300	479 01 479.01	319 55	17	
Total		48		5,475.84	68.1665	3,271.99 3,271.99	2,203.85	115	
11/25/13	APPLE INC [C] AAPL	6	561 02	3,366.12	524 7900	3,148.74 3,148.74	217.38	73	2.17%
12/03/13		3		1,683.06	560.2000	1,680 60 1,680.60	2.46	36	
Total		9		5,049.18	536.5933	4,829.34 4,829.34	219 84	109	
08/21/12	APPLIED INDUSTRIAL TECH [C] INC AIT	1	49.09	49.09	41.8700	41.87 41 87	7.22	—	1.87%

EQUITIES AND OPTIONS continue on page 7

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[2]
08/24/12	APPLIED INDUSTRIAL TECH (continued)	10		490.90	40.4990	404 99 404.99	85 91	9	
09/11/12		12		589 08	43 1792	518.15 518 15	70 93	11	
10/01/12		12		589.08	41 8283	501.94 501.94	87.14	11	
10/11/12		15		736.35	41.0420	615.63 615 63	120.72	13	
Total		50		2,454.50	41.6516	2,082.58 2,082.58	371.92	44	
10/24/11	ARES CAPITAL CORP C ARCC	35	17 77	621 95	15.2509	533 78 533.78	88 17	53	8.55%
10/25/11		36		639.72	15.1494	545.38 545.38	94.34	54	
02/14/12		9		159.93	16 3800	147.42 147 42	12.51	13	
03/16/12		9		159.93	16.1500	145.35 145.35	14.58	13	
04/11/12		8		142.16	15.9300	127 44 127 44	14.72	12	

EQUITIES AND OPTIONS continue on page 8

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[2] *Refer to the statement message titled ESTIMATED ANNUAL*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/14/12	ARES CAPITAL CORP (continued)	10		177.70	15.5700	155.70 155.70	22.00	15	
06/12/12		9		159.93	15 5000	139.50 139.50	20.43	13	
12/09/13		65		1,155 05	18.3486	1,192.66 1,192.66	-37.61	98	
12/10/13		55		977.35	17.7824	978.03 978.03	-0.68	83	
12/12/13		61		1,083.97	17.3811	1,060 25 1,060.25	23.72	92	
Total		297		5,277.69	16.9209	5,025.51 5,025.51	252.18	446	
04/27/09	AT&T INC C T	56	35.16	1,968.96	25.3175	1,417.78 1,417.78	551.18	103	5 23%
03/09/10		40		1,406 40	25 5900	1,023.60 1,023.60	382.80	73	
02/14/12		10		351.60	30.0800	300.80 300.80	50.80	18	
03/16/12		13		457.08	31.6100	410.93 410.93	46.15	23	

EQUITIES AND OPTIONS continue on page 9

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
03/27/12	AT&T INC (continued)	29		1,019.64	31.8300	923.07 923.07	96 57	53	
04/11/12		15		527.40	30.3900	455.85 455.85	71.55	27	
05/14/12		17		597 72	33 5000	569.50 569 50	28 22	31	
06/12/12		16		562 56	34.8300	557 28 557.28	5.28	29	
07/23/12		50		1,758.00	35 2200	1,761.00 1,761.00	-3.00	92	
Total		246		8,649.36	30.1618	7,419.81 7,419.81	1,229.55	449	
11/18/13	BAKER HUGHES INC C BHI	30	55.26	1,657.80	58.1540	1,744.62 1,744.62	-86.82	18	1.09%
11/21/13		22		1,215 72	57.4668	1,264.27 1,264.27	-48 55	13	
Total		52		2,873.52	57.8633	3,008.89 3,008.89	-135.37	31	
09/16/13	BANK AMERICA CORP C BAC	98	15 57	1,525.86	14 5700	1,427.86 1,427.86	98.00	3	0.26%

EQUITIES AND OPTIONS continue on page 10

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[b]
10/04/13	BANK AMERICA CORP (continued)	156		2,428.92	14.0700	2,194.92 2,194.92	234.00	6	
Total		254		3,954.78	14.2629	3,622.78 3,622.78	332.00	9	
07/25/11	BLACKROCK INC C BLK	7	316 47	2,215 29	187.2729	1,310.91 1,310 91	904.38	47	2.12%
02/14/12		2		632.94	192 5500	385.10 385.10	247.84	13	
03/16/12		2		632.94	204 6200	409 24 409.24	223.70	13	
04/11/12		2		632.94	198 4600	396.92 396.92	236.02	13	
05/14/12		2		632.94	177 8200	355.64 355.64	277.30	13	
06/12/12		2		632.94	171.9600	343.92 343.92	289.02	13	
07/10/12		8		2,531 76	172 6050	1,380 84 1,380.84	1,150.92	53	

EQUITIES AND OPTIONS continue on page 11

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[3]
10/17/12	BLACKROCK INC (continued)	7		2,215.29	189.1929	1,324.35 1,324.35	890.94	47	
Total		32		10,127.04	184 5913	5,906.92 5,906.92	4,220.12	212	
06/04/13	BRINKER INTL INC C EAT	40	46 34	1,853.60	40 0378	1,601.51 1,601.51	252 09	38	2.07%
06/05/13		46		2,131.64	39 4191	1,813.28 1,813.28	318.36	44	
Total		86		3,985.24	39.7069	3,414.79 3,414.79	570.45	82	
04/27/09	CALIFORNIA WTR SVC GRP C CWT	66	23.07	1,522.62	19.3600	1,277.76 1,277.76	244 86	42	2 77%
02/14/12		9		207 63	18.3300	164.97 164.97	42.66	5	
03/16/12		9		207.63	18 2400	164 16 164.16	43 47	5	
04/11/12		8		184 56	17.6200	140 96 140 96	43 60	5	
05/14/12		10		230.70	17.6600	176.60 176.60	54.10	6	

EQUITIES AND OPTIONS continue on page 12

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[a]
06/12/12	CALIFORNIA WTR SVC GRP (continued)	10		230.70	17.6600	176.60 176.60	54.10	6	
Total		112		2,583.84	18.7594	2,101.05 2,101.05	482.79	69	
04/27/09	CHEVRON CORP [C] CVX	45	124.91	5,620.95	65.4193	2,943.87 2,943.87	2,677.08	180	3.20%
02/14/12		6		749.46	105.8100	634.86 634.86	114.60	24	
03/16/12		5		624 55	110 7100	553.55 553 55	71.00	20	
04/11/12		6		749 46	101.1300	606.78 606.78	142 68	24	
04/23/12		12		1,498.92	102.0967	1,225.16 1,225.16	273.76	48	
05/14/12		7		874.37	102.1900	715.33 715.33	159.04	28	
06/12/12		7		874 37	100 3700	702.59 702.59	171.78	28	
Total		88		10,992.08	83.8880	7,382.14 7,382.14	3,609.94	352	
07/17/13	CIENA CORP NEW [C] CIEN	94	23.93	2,249.42	22.6473	2,128.85 2,128.85	120.57	—	—

EQUITIES AND OPTIONS continue on page 13

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[3]
07/18/13	CIENA CORP NEW (continued)	24		574.32	22 6371	543.29 543.29	31.03	—	
Total		118		2,823 74	22.6453	2,672.14 2,672.14	151.60	—	
12/21/11	CINTAS CORP C CTAS	26	59.59	1,549.34	33 8446	879 96 879.96	669 38	20	1.29%
02/14/12		3		178 77	38 1700	114 51 114 51	64 26	2	
03/16/12		4		238.36	40.2200	160 88 160.88	77.48	3	
03/20/12		16		953 44	40.5675	649.08 649 08	304.36	12	
04/11/12		5		297.95	38.4100	192.05 192.05	105.90	3	
05/14/12		5		297 95	38 6300	193.15 193.15	104.80	3	
06/12/12		6		357.54	36.5100	219.06 219 06	138.48	4	
Total		65		3,873.35	37.0568	2,408.69 2,408.69	1,464.66	47	
04/23/12	CITIGROUP INC NEW C C	25	52.11	1,302.75	33 1740	829 35 829.35	473 40	1	0.08%

EQUITIES AND OPTIONS continue on page 14

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[3]	Estimated 30-Day Yield[3]
05/14/12	CITIGROUP INC NEW (continued)	9		468.99	28.4900	256.41 256.41	212.58	—	
06/12/12		9		468.99	27.3400	246.06 246 06	222 93	—	
07/16/12		57		2,970.27	26.9400	1,535.58 1,535.58	1,434.69	2	
05/16/13		24		1,250.64	51.1200	1,226.88 1,226.88	23 76	—	
Total		124		6,461.64	33.0184	4,094.28 4,094.28	2,367.36	3	
03/11/11	CLEAN ENERGY FUELS CORP C CLNE	39	12.88	502.32	14.5459	567.29 567.29	-64.97	—	—
02/14/12		23		296.24	17.7800	408 94 408.94	-112 70	—	
03/16/12		7		90.16	19.8900	139.23 139.23	-49.07	—	
04/11/12		7		90.16	19.4700	136.29 136 29	-46 13	—	
05/14/12		7		90.16	15.7100	109.97 109.97	-19.81	—	

EQUITIES AND OPTIONS continue on page 15

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[3] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[3]
06/12/12	CLEAN ENERGY FUELS CORP (continued)	7		90.16	13 4300	94.01 94 01	-3 85	—	
Total		90		1,159.20	16.1748	1,455.73 1,455.73	-296.53	—	
10/14/13	COGNIZANT TECHNOLOGY C SOLUTIONS CORP CL A CTSH	22	100.98	2,221.56	88.2009	1,940.42 1,940.42	281 14	—	—
10/17/13		12		1,211.76	85.0008	1,020 01 1,020.01	191.75	—	
10/30/13		11		1,110.78	88.0955	969.05 969 05	141.73	—	
11/05/13		8		807.84	89 9338	719 47 719 47	88 37	—	
11/12/13		12		1,211 76	90.5725	1,086 87 1,086.87	124.89	—	
Total		65		6,563.70	88.2434	5,735.82 5,735.82	827 88	—	
04/11/11	COLGATE-PALMOLIVE C COMPANY CL	34	65.21	2,217.14	40.7956	1,387.05 1,387.05	830.09	46	2.09%
05/06/11		26		1,695 46	42 4785	1,104.44 1,104.44	591 02	35	

EQUITIES AND OPTIONS continue on page 16

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[1] Purchase Cost equals Cost Basis of Equities and Mutual Funds less any [illegible]

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[2]
02/14/12	COLGATE-PALMOLIVE (continued)	8		521.68	45.8300	366.64 366.64	155.04	10	
03/16/12		6		391.26	47.4600	284.76 284.76	106.50	8	
04/11/12		8		521 68	48 5200	388.16 388 16	133 52	10	
05/14/12		8		521 68	49.6550	397.24 397.24	124 44	10	
06/12/12		8		521.68	49.9500	399.60 399 60	122.08	10	
Total		98		6,390.58	44.1621	4,327.89 4,327.89	2,062.69	129	
06/07/13	CORNING INC C GLW	77	17.82	1,372 14	15.2491	1,174.18 1,174.18	197 96	30	2 24%
06/14/13		80		1,425.60	15.0434	1,203.47 1,203.47	222.13	32	
Total		157		2,797.74	15.1443	2,377.65 2,377.65	420.09	62	
11/06/13	COVANCE INC C CVD	17	88.06	1,497.02	88.2153	1,499.66 1,499.66	-2.64	—	—
12/13/12	DELTA AIRLINES INC NEW C DAL	80	27.47	2,197 60	11.2000	896.00 896.00	1,301 60	19	0 87%

EQUITIES AND OPTIONS continue on page 17

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[2] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[b]
12/17/12	DELTA AIRLINES INC NEW (continued)	87		2,389.89	11.2497	978 72 978 72	1,411.17	20	
02/07/13		60		1,648 20	14 4900	869.40 869.40	778 80	14	
Total		227		6,235.69	12.0886	2,744.12 2,744.12	3,491 57	53	
04/27/09	DISNEY WALT COMPANY C DIS	50	76 40	3,820.00	19 7600	988 00 988.00	2,832.00	43	1.13%
02/14/12		7		534.80	41.4800	290.36 290.36	244.44	6	
03/16/12		6		458 40	43.4100	260.46 260.46	197 94	5	
04/11/12		6		458.40	41.5900	249.54 249 54	208.86	5	
05/14/12		7		534.80	45 4400	318.08 318.08	216.72	6	
06/12/12		6		458.40	46 1700	277.02 277.02	181.38	5	

EQUITIES AND OPTIONS continue on page 18

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[1] Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/13/13	DISNEY WALT COMPANY (continued)	21		1,604.40	67.2643	1,412.55 1,412.55	191.85	18	
Total		103		7,869.20	36.8545	3,796.01 3,796.01	4,073.19	88	
02/14/12	E M C CORP MASS C EMC	11	25.15	276.65	26 1700	287.87 287.87	-11 22	4	1 59%
03/16/12		24		603.60	29 0000	696.00 696.00	-92.40	9	
04/11/12		21		528.15	28.4400	597.24 597.24	-69.09	8	
05/14/12		26		653.90	26.3000	683.80 683.80	-29.90	10	
06/12/12		20		503.00	24.3800	487.60 487 60	15 40	8	
07/24/13		60		1,509.00	26.9200	1,615.20 1,615.20	-106.20	24	
08/22/13		49		1,232.35	25.8700	1,267.63 1,267.63	-35.28	19	
Total		211		5,306.65	26.7078	5,635.34 5,635.34	-328.69	82	
05/23/12	EAST WEST BANCORP INC C EWBC	78	34.97	2,727.66	21.8033	1,700.66 1,700 66	1,027.00	46	1.72%

EQUITIES AND OPTIONS continue on page 19

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[4]	Estimated 30-Day Yield[5]
05/24/12	EAST WEST BANCORP INC (continued)	76		2,657.72	22.4972	1,709.79 1,709.79	947.93	45	
06/12/12		13		454 61	21.1500	274 95 274.95	179.66	7	
06/13/12		45		1,573.65	21 4293	964 32 964 32	609 33	27	
Total		212		7,413.64	21.9326	4,649.72 4,649.72	2,763.92	125	
10/22/10	EBAY INC C EBAY	9	54 865	493.78	28.0100	252 09 252.09	241.69	—	—
11/01/10		31		1,700.82	29 3300	909.23 909 23	791 59	—	
01/03/12		30		1,645 95	31 4470	943 41 943 41	702.54	—	
02/14/12		13		713.24	33.0000	429.00 429.00	284.24	—	
03/16/12		12		658.38	37.6200	451 44 451.44	206 94	—	
04/11/12		12		658 38	35.9900	431 88 431.88	226 50	—	

EQUITIES AND OPTIONS continue on page 20

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/14/12	EBAY INC (continued)	13		713.25	40.3600	524.68 524.68	188.57	—	
06/12/12		13		713.24	40.9100	531.83 531.83	181.41	—	
Total		133		7,297.04	33.6358	4,473.56 4,473.56	2,823.48	—	
04/27/09	EXXON MOBIL CORP C XOM	15	101.20	1,518.00	66.1500	992.25 992.25	525.75	37	2.49%
06/22/09		12		1,214.40	69.1958	830.35 830.35	384 05	30	
11/08/10		11		1,113.20	69.9591	769 55 769.55	343 65	27	
02/14/12		6		607.20	84.2500	505.50 505.50	101.70	15	
03/16/12		6		607.20	86.7900	520 74 520.74	86.46	15	
04/11/12		6		607.20	82.5800	495.48 495.48	111.72	15	
04/23/12		20		2,024.00	85.8295	1,716.59 1,716.59	307 41	50	

EQUITIES AND OPTIONS continue on page 21

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[3]
05/14/12	EXXON MOBIL CORP (continued)	8		809.60	82 4000	659 20 659 20	150 40	20	
06/12/12		7		708.40	80 9200	566 44 566 44	141.96	17	
Total		91		9,209.20	77.5396	7,056.10 7,056.10	2,153.10	226	
11/05/12	FEI COMPANY[C] FEIC	15	89.36	1,340 40	53.3400	800 10 800 10	540 30	7	0 54%
01/24/13		19		1,697.84	62.2253	1,182.28 1,182.28	515.56	9	
Total		34		3,038.24	58.3053	1,982.38 1,982.38	1,055.86	16	
07/27/12	FLOWSERVE CORP[C] FLS	59	78.83	4,650 97	38 7363	2,285 44 2,285 44	2,365.53	33	0.71%
08/20/12		33		2,601.39	42.7042	1,409 24 1,409.24	1,192.15	18	
Total		92		7,252.36	40.1596	3,694.68 3,694.68	3,557 68	51	
09/30/13	GENERAL MOTORS COMPANY[C] GM	66	40.87	2,697.42	36.2077	2,389 71 2,389.71	307.71	—	—
09/30/13		33		1,348.71	36.1600	1,193.28 1,193.28	155.43	—	

EQUITIES AND OPTIONS continue on page 22

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/01/13	GENERAL MOTORS COMPANY (continued)	33		1,348 71	35.7533	1,179.86 1,179.86	168.85	—	
10/10/13		31		1,266.97	34 7626	1,077.64 1,077.64	189.33	—	
10/11/13		9		367.83	35.2800	317.52 317.52	50.31	—	
11/07/13		28		1,144 36	36 3800	1,018 64 1,018.64	125 72	—	
Total		200		8,174.00	35.8833	7,176.65 7,176.65	997.35	—	
03/04/13	GENPACT LIMITED C G	122	18.37	2,241.14	17.9255	2,186.91 2,186.91	54.23	—	—
03/08/13		61		1,120.57	18.0543	1,101.31 1,101.31	19.26	—	
04/08/13		58		1,065.46	18 2217	1,056 86 1,056.86	8.60	—	
Total		241		4,427.17	18.0294	4,345.08 4,345.08	82.09	—	
04/24/13	GILEAD SCIENCES INC C GILD	43	75 10	3,229.30	51.7619	2,225.76 2,225.76	1,003 54	—	—

EQUITIES AND OPTIONS continue on page 23

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY)*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[3]
04/25/13	GILEAD SCIENCES INC (continued)	22		1,652.20	51.4064	1,130.94 1,130.94	521 26	—	
Total		65		4,881.50	51.6415	3,356.70 3,356.70	1,524.80	—	
04/01/13	GNC HOLDINGS INC CL A [C] GNC	58	58.45	3,390.10	38 9941	2,261.66 2,261.66	1,128.44	34	1.03%
04/03/13		31		1,811 95	40.1006	1,243.12 1,243 12	568 83	18	
Total		89		5,202.05	39.3796	3,504.78 3,504.78	1,697.27	52	
01/24/11	GOOGLE INC CL A [C] GOOG	1	1,120.71	1,120 71	607.9100	607 91 607.91	512 80	—	—
02/03/12		2		2,241.42	595.9650	1,191.93 1,191 93	1,049.49	—	
02/14/12		1		1,120.71	609.5900	609.59 609.59	511 12	—	
04/11/12		1		1,120.71	633.9000	633.90 633.90	486.81	—	
06/12/12		1		1,120.71	561.1900	561.19 561.19	559 52	—	
11/06/12		3		3,362.13	685.0000	2,055.00 2,055 00	1,307.13	—	

EQUITIES AND OPTIONS continue on page 24

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[3]
04/16/13	GOOGLE INC CL A (continued)	2		2,241.42	792.5750	1,585.15 1,585.15	656.27	—	
Total		11		12,327.81	658.6064	7,244.67 7,244.67	5,083.14	—	
04/27/09	HAIN CELESTIAL GROUP INC C HAIN	37	90.78	3,358.86	16.0886	595.28 595.28	2,763.58	—	—
02/14/12		6		544.68	39 5600	237.36 237.36	307.32	—	
03/16/12		9		817.02	42.8700	385.83 385.83	431 19	—	
04/11/12		9		817.02	43 3900	390.51 390.51	426.51	—	
05/14/12		11		998.58	54.2400	596.64 596.64	401.94	—	
06/12/12		7		635 46	53.7500	376.25 376.25	259 21	—	
Total		79		7,171.62	32.6819	2,581.87 2,581.87	4,589.75	—	
01/14/13	HANESBRANDS INC C HBI	43	70.27	3,021.61	37.0091	1,591.39 1,591.39	1,430.22	34	1.14%

EQUITIES AND OPTIONS continue on page 25

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[2] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[3]
01/15/13	HANESBRANDS INC (continued)	41		2,881 07	37 1466	1,523.01 1,523 01	1,358.06	32	
Total		84		5,902.68	37.0762	3,114.40 3,114.40	2,788.28	66	
05/29/12	HANGER INC C HGR	16	39 34	629.44	22.1694	354.71 354.71	274 73	—	—
05/30/12		23		904.82	21.7743	500 81 500.81	404.01	—	
05/31/12		17		668.78	21.5694	366.68 366 68	302.10	—	
06/01/12		23		904 82	21 6909	498.89 498.89	405 93	—	
06/04/12		15		590 10	22.1167	331.75 331.75	258 35	—	
06/05/12		19		747.46	22.1774	421.37 421.37	326.09	—	
06/12/12		9		354 06	22.7400	204.66 204 66	149.40	—	

EQUITIES AND OPTIONS continue on page 26

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[1] *Purchase Cost equals Cost Basis of Equities and*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/16/13	HANGER INC (continued)	23		904.82	32.3161	743 27 743 27	161.55	—	
Total		145		5,704.30	23.6010	3,422.14 3,422.14	2,282.16	—	
02/16/12	HERSHEY COMPANY C HSY	10	97 23	972 30	60 6790	606 79 606.79	365.51	19	2.00%
03/16/12		3		291.69	59.9900	179 97 179.97	111.72	5	
04/11/12		3		291.69	60 5500	181 65 181.65	110 04	5	
04/24/12		17		1,652 91	66 4688	1,129.97 1,129.97	522.94	32	
05/14/12		5		486.15	69.2200	346.10 346.10	140.05	9	
06/12/12		4		388.92	67.3800	269 52 269.52	119.40	7	
02/04/13		12		1,166.76	79.5383	954.46 954.46	212.30	23	

EQUITIES AND OPTIONS continue on page 27

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[3]	Estimated 30-Day Yield[3]
02/19/13	HERSHEY COMPANY (continued)	14		1,361.22	81 6964	1,143.75 1,143.75	217.47	27	
Total		68		6,611.64	70.7678	4,812.21 4,812.21	1,799.43	127	
08/08/12	HOME DEPOT INC C HD	53	82.34	4,364.02	52.5711	2,786.27 2,786.27	1,577.75	82	1.89%
08/17/12		17		1,399.78	57.0876	970 49 970.49	429.29	26	
12/03/12		17		1,399.78	65.1053	1,106.79 1,106.79	292 99	26	
03/04/13		28		2,305.52	69 5514	1,947.44 1,947.44	358 08	43	
05/21/13		10		823.40	78.4340	784 34 784.34	39.06	15	
Total		125		10,292.50	60.7626	7,595.33 7,595.33	2,697.17	192	
06/03/13	HONEYWELL INTL INC C HON	29	91 37	2,649.73	78 3007	2,270.72 2,270.72	379 01	52	1.97%
09/06/13		17		1,553.29	80.7500	1,372.75 1,372.75	180.54	30	

EQUITIES AND OPTIONS continue on page 28

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[1] Purchase Cost equals Cost Basis of Equities and Mutual Funds

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[a]
10/04/13	HONEYWELL INTL INC (continued)	30		2,741.10	82 3717	2,471.15 2,471.15	269.95	54	
Total		76		6,944.12	80.4555	6,114.62 6,114.62	829.50	136	
08/10/11	HOSPITALITY PPTYS TR C SBI HPT	41	27 03	1,108.23	21 3124	873.81 873.81	234.42	78	7.10%
02/14/12		12		324.36	24 8700	298.44 298.44	25.92	23	
03/16/12		11		297.33	25.6000	281.60 281.60	15 73	21	
04/11/12		11		297.33	26.1500	287.65 287.65	9.68	21	
05/14/12		13		351.39	25.3100	329.03 329.03	22.36	24	
06/12/12		12		324.36	23.2300	278.76 278.76	45.60	23	
Total		100		2,703.00	23.4929	2,349.29 2,349.29	353.71	190	
07/30/12	HUBBELL INC CLASS B C HUB/B	16	108.90	1,742.40	83.2913	1,332.66 1,332.66	409 74	32	1.84%

EQUITIES AND OPTIONS continue on page 29

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[3]
07/31/12	HUBBELL INC CLASS B (continued)	8		871.20	82 4450	659 56 659 56	211 64	16	
Total		24		2,613 60	83.0092	1,992.22 1,992.22	621.38	48	
12/16/13	HUNTINGTON INGALLS INDS [C] INC HII	18	90 01	1,620 18	82.7744	1,489 94 1,489.94	130.24	14	0.89%
12/18/13		12		1,080.12	84.2092	1,010 51 1,010 51	69.61	9	
Total		30		2,700.30	83.3483	2,500.45 2,500.45	199.85	23	
04/19/13	INTEL CORP [C] INTC	146	25 955	3,789.43	22 4000	3,270.40 3,270 40	519.03	131	3.47%
06/04/13		36		934.38	25.4783	917.22 917.22	17.16	32	
Total		182		4,723.81	23.0089	4,187.62 4,187.62	536.19	163	
04/15/13	JOHNSON & JOHNSON [C] JNJ	46	91.59	4,213.14	82.6372	3,801.31 3,801.31	411.83	121	2.88%
04/19/13		21		1,923.39	84.0648	1,765.36 1,765.36	158.03	55	

EQUITIES AND OPTIONS continue on page 30

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds* [illegible]

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[2]
05/07/13	JOHNSON & JOHNSON (continued)	17		1,557.03	85.3794	1,451.45 1,451.45	105.58	44	
Total		84		7,693.56	83.5490	7,018.12 7,018.12	675.44	220	
02/14/12	JONES LANG LASALLE INC C JLL	2	102 39	204.78	81 4900	162.98 162.98	41.80	—	0.43%
03/16/12		3		307.17	87.3800	262.14 262.14	45 03	1	
04/11/12		2		204.78	78 9000	157.80 157.80	46.98	—	
05/14/12		3		307.17	75.7800	227.34 227.34	79.83	1	
06/12/12		2		204.78	69 9500	139 90 139 90	64 88	—	
06/27/12		10		1,023.90	68 0190	680.19 680.19	343.71	4	
10/11/12		17		1,740.63	75.7312	1,287.43 1,287.43	453.20	7	
Total		39		3,993.21	74.8149	2,917.78 2,917.78	1,075.43	13	
08/08/11	KIMBERLY CLARK CORP C KMB	1	104.46	104.46	63.4900	63.49 63.49	40.97	3	3.10%

EQUITIES AND OPTIONS continue on page 31

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[2] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[B]
02/14/12	KIMBERLY CLARK CORP (continued)	5		522.30	71 8600	359.30 359 30	163.00	16	
03/16/12		5		522 30	72 7600	363.80 363 80	158 50	16	
04/11/12		5		522.30	73 7700	368 85 368.85	153 45	16	
05/14/12		5		522.30	79 6600	398.30 398.30	124 00	16	
06/12/12		5		522 30	81 3000	406 50 406.50	115.80	16	
01/25/13		11		1,149 06	86.6182	952 80 952.80	196 26	35	
Total		37		3,865.02	78.7308	2,913.04 2,913.04	951.98	118	
07/01/10	KROGER COMPANY C KR	30	39.53	1,185.90	20.0273	600.82 600.82	585 08	19	1.67%
08/16/10		25		988.25	21 9500	548.75 548 75	439 50	16	
02/14/12		14		553.42	23.6100	330.54 330.54	222 88	9	

EQUITIES AND OPTIONS continue on page 32

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[•]	Estimated 30-Day Yield[•]
03/01/12	KROGER COMPANY (continued)	17		672.01	24.3476	413.91 413.91	258 10	11	
03/16/12		16		632.48	24.2600	388 16 388.16	244.32	10	
04/11/12		15		592.95	23.4400	351.60 351.60	241.35	9	
05/14/12		18		711.54	22.6500	407.70 407.70	303.84	11	
06/12/12		16		632.48	21.4800	343.68 343.68	288.80	10	
07/19/12		59		2,332.27	21 6149	1,275.28 1,275.28	1,056.99	38	
Total		210		8,301.30	22.1926	4,660.44 4,660.44	3,640.86	133	
03/05/13	LAM RESEARCH CORP C LRCX	75	54.45	4,083.75	43 4059	3,255 44 3,255 44	828.31	—	—
05/01/13		22		1,197.90	45 7777	1,007.11 1,007.11	190.79	—	
Total		97		5,281.65	43.9438	4,262.55 4,262.55	1,019.10	—	
08/08/13	LEAR CORP NEW C LEA	34	80.97	2,752.98	71.3315	2,425.27 2,425.27	327.71	23	0.84%

EQUITIES AND OPTIONS continue on page 33

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[•] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[D]
08/26/13	LEAR CORP NEW (continued)	31		2,510 07	72 0390	2,233.21 2,233 21	276 86	21	
Total		65		5,263.05	71.6689	4,658.48 4,658.48	604.57	44	
03/16/12	MACYS INC C M	3	53.40	160.20	39.7600	119 28 119.28	40 92	3	1.87%
04/11/12		10		534 00	39 4400	394.40 394.40	139.60	10	
05/14/12		13		694.20	37.2600	484.38 484.38	209 82	13	
06/12/12		12		640.80	36.8100	441.72 441 72	199 08	12	
07/08/13		16		854.40	50.2000	803.20 803.20	51.20	16	
11/13/13		31		1,655.40	50.5381	1,566.68 1,566.68	88 72	31	
Total		85		4,539.00	44.8195	3,809.66 3,809.66	729.34	85	
04/27/09	MARATHON OIL CORP C MRO	27	35 30	953.10	17.6804	477.37 477.37	475.73	20	2.15%
02/28/11		19		670.70	29.3458	557.57 557 57	113 13	14	

EQUITIES AND OPTIONS continue on page 34

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
06/22/11	MARATHON OIL CORP (continued)	14		494 20	31.7786	444.90 444.90	49.30	10	
11/28/11		17		600 10	26 2288	445.89 445.89	154 21	12	
02/14/12		10		353 00	32.7900	327.90 327.90	25.10	7	
03/16/12		10		353.00	34 4100	344.10 344.10	8 90	7	
04/11/12		9		317.70	29.4200	264.78 264.78	52.92	6	
05/14/12		54		1,906.20	25.5700	1,380.78 1,380.78	525.42	41	
06/12/12		52		1,835 60	24.5954	1,278.96 1,278 96	556.64	39	
02/20/13		31		1,094.30	35 2442	1,092.57 1,092.57	1 73	23	
Total		243		8,577.90	27.2215	6,614.82 6,614.82	1,963.08	179	
04/15/11	MARSH & MCLENNAN COS INC C MMC	15	48.36	725.40	29.5113	442.67 442.67	282.73	15	2.07%

EQUITIES AND OPTIONS continue on page 35

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[1] Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.

[a] Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[3]
04/18/11	MARSH & MCLENNAN COS INC (continued)	31		1,499.16	29.0497	900.54 900.54	598 62	31	
04/18/11		5		241 80	29.1000	145.50 145 50	96.30	5	
08/08/11		26		1,257.36	26.5577	690.50 690.50	566.86	26	
02/14/12		10		483 60	31 7600	317 60 317.60	166 00	10	
03/16/12		10		483 60	33.0300	330.30 330.30	153.30	10	
04/11/12		9		435 24	31.7900	286.11 286 11	149 13	9	
05/14/12		11		531.96	32 7400	360.14 360.14	171.82	11	
06/12/12		10		483 60	32 0100	320.10 320.10	163 50	10	
Total		127		6,141.72	29.8698	3,793.46 3,793.46	2,348.26	127	
09/15/10	MCKESSON CORP C MCK	6	161.40	968.40	62.7883	376 73 376.73	591 67	5	0 59%

EQUITIES AND OPTIONS continue on page 36

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[a]
07/29/11	MCKESSON CORP (continued)	8		1,291.20	80.8713	646 97 646.97	644.23	7	
02/14/12		3		484.20	82.1200	246.36 246 36	237.84	2	
03/16/12		4		645.60	87.3400	349.36 349.36	296.24	3	
04/11/12		3		484 20	87.8600	263 58 263.58	220 62	2	
05/14/12		4		645 60	89.8900	359.56 359 56	286.04	3	
06/12/12		4		645 60	88.8200	355 28 355.28	290.32	3	
07/26/13		6		968.40	124.6933	748.16 748.16	220 24	5	
Total		**38**		**6,133.20**	**88.0526**	**3,346.00** 3,346.00	**2,787.20**	**30**	
11/06/13	MEDTRONIC INC C MDT	43	57.39	2,467 77	57.6616	2,479.45 2,479.45	-11.68	48	1 95%
11/07/13		22		1,262.58	57.4955	1,264.90 1,264 90	-2.32	24	

EQUITIES AND OPTIONS continue on page 37

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[3]	Estimated 30-Day Yield[3]
11/14/13	MEDTRONIC INC (continued)	21		1,205 19	58 5314	1,229.16 1,229.16	-23.97	23	
Total		86		4,935.54	57.8315	4,973.51 4,973.51	-37.97	95	
03/25/13	MICHAEL KORS HLDGS LTD C KORS	49	81.19	3,978.31	55 5210	2,720.53 2,720.53	1,257 78	—	—
04/27/09	MIDDLEBY CORP C MIDD	14	239 724	3,356.14	43.9300	615.02 615.02	2,741.12	—	—
02/14/12		1		239 72	96.4700	96.47 96 47	143 25	—	
03/16/12		2		479 45	102 7000	205.40 205 40	274 05	—	
04/11/12		2		479.45	96.7600	193.52 193.52	285 93	—	
05/14/12		2		479.45	97 3100	194.62 194.62	284 83	—	
06/12/12		3		719.17	97.4400	292.32 292.32	426.85	—	
11/14/12		6		1,438.34	125.5000	753.00 753.00	685.34	—	
Total		30		7,191.72	78.3450	2,350.35 2,350.35	4,841 37	—	

EQUITIES AND OPTIONS continue on page 38

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[1] Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[3]
10/05/11	MONSANTO COMPANY NEW C MON	18	116.55	2,097.90	65.0578	1,171.04 1,171.04	926.86	30	1.48%
02/14/12		2		233.10	77.2800	154.56 154.56	78.54	3	
03/16/12		2		233.10	79.5000	159.00 159.00	74.10	3	
04/11/12		3		349.65	75.0700	225.21 225.21	124 44	5	
05/14/12		2		233.10	71.7400	143.48 143.48	89.62	3	
06/12/12		2		233 10	79.3300	158.66 158.66	74.44	3	
07/16/12		14		1,631 70	85 6279	1,198.79 1,198.79	432 91	24	
07/17/12		12		1,398.60	86 6200	1,039.44 1,039.44	359.16	20	
Total		55		6,410.25	77.2760	4,250.18 4,250.18	2,160.07	91	
08/15/13	NICE SYSTEMS LTD C SPONS ADR NICE	19	40.96	778.24	37.9426	720.91 720.91	57.33	12	1.56%

EQUITIES AND OPTIONS continue on page 39

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[3]	Estimated 30-Day Yield[3]
08/16/13	NICE SYSTEMS LTD (continued)	17		696 32	38.5000	654.50 654.50	41.82	10	
08/27/13		17		696 32	37 2018	632.43 632.43	63.89	10	
09/20/13		23		942 08	41.1422	946 27 946.27	-4 19	14	
09/23/13		23		942 08	41 2874	949.61 949.61	-7.53	14	
Total		99		4,055.04	39.4315	3,903.72 3,903.72	151.32	60	
10/28/13	NISOURCE INC C NI	76	32 88	2,498 88	32 1818	2,445.82 2,445 82	53 06	76	3.04%
08/16/10	NORTHEAST UTILITIES C NU	32	42.39	1,356 48	28 8875	924.40 924.40	432 08	47	3.47%
02/14/12		4		169.56	34.7900	139.16 139.16	30.40	5	
03/16/12		4		169 56	36.4400	145.76 145.76	23 80	5	
04/11/12		3		127 17	35 7000	107.10 107.10	20.07	4	

EQUITIES AND OPTIONS continue on page 40

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[4]	*Estimated 30-Day Yield*[3]
05/14/12	NORTHEAST UTILITIES (continued)	5		211.95	36.3500	181.75 181.75	30.20	7	
06/12/12		4		169.56	37.3800	149.52 149.52	20.04	5	
Total		52		2,204.28	31.6863	1,647.69 1,647.69	556.59	73	
07/10/13	NU SKIN ENTERPRISES INC C NUS	15	138 22	2,073.30	79 2733	1,189.10 1,189.10	884.20	18	0 87%
07/11/13		15		2,073.30	77.3287	1,159.93 1,159.93	913.37	18	
Total		30		4,146.60	78.3010	2,349.03 2,349.03	1,797.57	36	
05/02/13	PEPSICO INC C PEP	40	82.94	3,317 60	82.3875	3,295.50 3,295.50	22.10	90	2.74%
05/06/13		28		2,322.32	82.4896	2,309.71 2,309.71	12.61	63	
Total		68		5,639.92	82.4296	5,605.21 5,605.21	34.71	153	
N/A	PERRIGO COMPANY PLC C PRGO	34	153.46	5,217.64	N/A	N/A —	N/A	—	—
10/21/13	PFIZER INC C PFE	120	30.63	3,675 60	30.4815	3,657.78 3,657.78	17.82	124	3.40%

EQUITIES AND OPTIONS continue on page 41

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[3]
10/22/13	PFIZER INC (continued)	40		1,225.20	30.6600	1,226 40 1,226.40	-1 20	41	
10/30/13		40		1,225 20	30 9198	1,236.79 1,236 79	-11.59	41	
11/14/13		35		1,072.05	31 8700	1,115.45 1,115.45	-43.40	36	
Total		235		7,198.05	30.7933	7,236.42 7,236.42	-38.37	242	
08/23/13	POWER INTEGRATIONS INC ^{C} POWI	13	55 82	725 66	53.3038	692 95 692 95	32.71	4	0.57%
08/30/13		6		334 92	52.8167	316 90 316 90	18 02	1	
09/05/13		6		334 92	55.0117	330.07 330.07	4.85	1	
Total		25		1,395.50	53.5968	1,339.92 1,339.92	55.58	6	
01/04/10	PPG INDUSTRIES INC ^{C} PPG	16	189 66	3,034.56	60.5031	968.05 968.05	2,066.51	39	1 29%
02/14/12		2		379.32	90.7200	181.44 181.44	197.88	4	
03/16/12		2		379 32	93 5900	187 18 187.18	192.14	4	

EQUITIES AND OPTIONS continue on page 47

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[3]
04/11/12	PPG INDUSTRIES INC (continued)	2		379.32	93 8200	187.64 187.64	191 68	4	
05/14/12		2		379.32	103 9300	207.86 207 86	171.46	4	
06/12/12		2		379.32	103.8300	207.66 207.66	171.66	4	
Total		26		4,931.16	74.6088	1,939.83 1,939.83	2,991.33	59	
10/23/13	PROCTER & GAMBLE COMPANY [C] PG	37	81.41	3,012.17	80.8170	2,990.23 2,990.23	21.94	89	2.96%
10/28/13		18		1,465.38	80.9222	1,456 60 1,456 60	8 78	43	
Total		55		4,477.55	80.8515	4,446.83 4,446.83	30.72	132	
06/10/13	PRUDENTIAL FINANCIAL INC [C] PRU	32	92.22	2,951.04	72.2763	2,312.84 2,312.84	638.20	67	2 30%
06/20/13		31		2,858.82	72 8013	2,256.84 2,256.84	601.98	65	
Total		63		5,809.86	72.5346	4,569.68 4,569.68	1,240.18	132	
11/09/12	QUALCOMM INC [C] QCOM	63	74 25	4,677.75	62.0668	3,910.21 3,910.21	767.54	88	1.89%

EQUITIES AND OPTIONS continue on page 43

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[3]
12/16/13	RAYTHEON COMPANY NEW [C] RTN	26	90 70	2,358.20	86 6092	2,251 84 2,251.84	106.36	57	2.43%
11/26/13	ROCHE HOLDING LIMITED [C] SPONSORED ADR RHHBY	18	70 20	1,263 60	69 8400	1,257.12 1,257 12	6.48	34	2.76%
08/09/10	ROYAL DUTCH SHELL PLC [C] SPONSORED ADR REPSTG B SHARES RDS/B	3	75 11	225 33	56 2067	168.62 168.62	56 71	10	4.79%
02/28/11		15		1,126 65	72 0047	1,080.07 1,080 07	46.58	54	
02/14/12		3		225 33	73.6800	221.04 221.04	4 29	10	
03/16/12		4		300.44	72.6400	290.56 290.56	9.88	14	
04/11/12		4		300.44	69.2700	277.08 277.08	23 36	14	
05/14/12		4		300.44	67.3400	269.36 269 36	31 08	14	
06/12/12		4		300.44	66.5900	266.36 266.36	34.08	14	

EQUITIES AND OPTIONS continue on page 44

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[A]	Estimated 30-Day Yield[B]
04/26/13	ROYAL DUTCH SHELL PLC (continued)	20		1,502.20	68.5330	1,370.66 1,370.66	131.54	72	
Total		57		4,281.27	69.1886	3,943.75 3,943.75	337.52	202	
10/15/13	SAINT JUDE MEDICAL INC [C] STJ	26	61.95	1,610.70	55.7500	1,449.50 1,449 50	161.20	26	1.61%
10/15/13		17		1,053.15	55.7135	947.13 947.13	106.02	17	
Total		43		2,663.85	55.7356	2,396.63 2,396.63	267.22	43	
05/06/13	SANDISK CORP [C] SNDK	42	70.54	2,962.68	54 6448	2,295.08 2,295.08	667.60	37	1 28%
07/16/13		5		352.70	59 7500	298.75 298.75	53.95	4	
07/17/13		18		1,269.72	59 0000	1,062 00 1,062.00	207.72	16	
Total		65		4,585.10	56.2435	3,655.83 3,655.83	929.27	57	
03/15/13	SANOFI SPON ADR [C] SNY	10	53.63	536.30	49.7730	497.73 497.73	38.57	17	3 34%

EQUITIES AND OPTIONS continue on page 45

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[A]	Estimated 30-Day Yield[D]
03/20/13	SANOFI SPON ADR (continued)	40		2,145.20	50.7810	2,031.24 2,031.24	113.96	71	
Total		50		2,681.50	50.5794	2,528.97 2,528.97	152.53	88	
04/27/09	SCHLUMBERGER LTD [C] SLB	15	90 11	1,351.65	48.5900	728.85 728 85	622 80	18	1 39%
11/30/10		7		630 77	77.1214	539.85 539.85	90 92	8	
09/14/11		10		901 10	71.2850	712.85 712.85	188 25	12	
02/14/12		3		270.33	77.4100	232.23 232.23	38.10	3	
03/16/12		4		360.44	76.1000	304.40 304.40	56.04	5	
04/11/12		4		360.44	68.3700	273.48 273.48	86.96	5	
05/14/12		4		360 44	67 6300	270.52 270.52	89 92	5	
06/12/12		4		360 44	64 6400	258 56 258.56	101 88	5	

EQUITIES AND OPTIONS continue on page 46

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[a]
10/22/12	SPLUNK INC (continued)	38		2,609 46	31 0703	1,180.67 1,180.67	1,428.79	—	
Total		64		4,394.88	31 5772	2,020.94 2,020.94	2,373.94	—	
09/16/13	SVB FINANCIAL GROUP C SIVB	4	104.86	419.44	84.4100	337.64 337.64	81.80	—	—
09/17/13		7		734 02	86.2600	603.82 603.82	130.20	—	
09/18/13		8		838.88	85 4650	683 72 683.72	155 16	—	
Total		19		1,992.34	85.5358	1,625.18 1,625.18	367.16	—	
10/03/11	TIME WARNER INC NEW C TWX	28	69 72	1,952 16	29.3714	822 40 822 40	1,129.76	32	1.65%
02/14/12		6		418.32	37.7700	226 62 226.62	191.70	6	
03/16/12		6		418.32	35.8900	215 34 215 34	202 98	6	
04/11/12		6		418.32	35.8200	214 92 214.92	203.40	6	
05/14/12		7		488 04	35.8200	250 74 250.74	237.30	8	

EQUITIES AND OPTIONS continue on page 48

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[3]
09/13/13	SCHLUMBERGER LTD (continued)	18		1,621.98	86.6544	1,559.78 1,559.78	62 20	22	
09/19/13		27		2,432.97	88.9378	2,401.32 2,401.32	31.65	33	
Total		96		8,650.56	75.8525	7,281.84 7,281.84	1,368.72	116	
02/16/11	SNAP ON INC C SNA	19	109.52	2,080 88	58 8979	1,119.06 1,119.06	961.82	33	1.61%
02/14/12		3		328.56	61 6300	184.89 184 89	143.67	5	
03/16/12		2		219.04	61.8300	123.66 123 66	95.38	3	
04/11/12		3		328.56	58 5000	175 50 175.50	153.06	5	
05/14/12		2		219.04	60.8700	121 74 121 74	97.30	3	
06/12/12		3		328.56	62.8300	188 49 188.49	140.07	5	
Total		32		3,504.64	59.7919	1,913.34 1,913.34	1,591.30	54	
10/09/12	SPLUNK INC C SPLK	26	68.67	1,785.42	32.3181	840.27 840.27	945.15	—	—

EQUITIES AND OPTIONS continue on page 47

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[2]
06/12/12	TIME WARNER INC NEW (continued)	6		418.32	35.1500	210.90 210 90	207.42	6	
02/15/13		28		1,952.16	53.3500	1,493.80 1,493.80	458.36	32	
07/17/13		21		1,464.12	61.6900	1,295.49 1,295.49	168 63	24	
Total		108		7,529.76	43.7982	4,730.21 4,730.21	2,799.55	120	
08/10/11	TRAVELERS COMPANIES INC C TRV	40	90 54	3,621.60	49.7020	1,988.08 1,988.08	1,633.52	80	2.21%
02/14/12		5		452.70	58 7300	293.65 293 65	159 05	10	
03/16/12		5		452.70	59.1700	295.85 295.85	156 85	10	
04/11/12		5		452.70	58.2100	291.05 291.05	161.65	10	
05/14/12		6		543.24	64.9100	389.46 389.46	153.78	12	
06/04/12		21		1,901.34	60 2700	1,265.67 1,265.67	635.67	42	

EQUITIES AND OPTIONS continue on page 49

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[1] Purchase Cost equals Cost Basis of Equities and

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[D]
06/12/12	TRAVELERS COMPANIES INC (continued)	7		633 78	61.7100	431.97 431.97	201 81	14	
Total		89		8,058.06	55.6824	4,955.73 4,955.73	3,102.33	178	
02/07/13	TYSON FOODS INC CLASS A [C] TSN	48	33.46	1,606.08	23 6890	1,137.07 1,137.07	469.01	14	0 90%
02/14/13		43		1,438.78	24.1295	1,037 57 1,037 57	401.21	12	
03/05/13		52		1,739.92	23.5460	1,224.39 1,224.39	515.53	15	
08/12/13		48		1,606.08	31.2685	1,500.89 1,500.89	105.19	14	
Total		191		6,390.86	25.6540	4,899.92 4,899.92	1,490.94	55	
08/12/11	U S BANCORP DE NEW [C] USB	10	40 40	404.00	22.2220	222 22 222.22	181.78	9	2.28%
11/28/11		57		2,302.80	24.5954	1,401.94 1,401 94	900.86	52	
02/14/12		13		525 20	28.8700	375.31 375 31	149.89	11	
03/16/12		13		525 20	31.6500	411.45 411.45	113 75	11	

EQUITIES AND OPTIONS continue on page 50

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[b]
04/11/12	U S BANCORP DE NEW (continued)	12		484.80	30 9100	370 92 370.92	113.88	11	
05/14/12		14		565.60	31 7900	445.06 445.06	120.54	12	
06/12/12		13		525.20	30 5100	396 63 396.63	128.57	11	
Total		132		5,332.80	27.4510	3,623.53 3,623.53	1,709.27	117	
10/14/13	ULTIMATE SOFTWARE GROUP C INC ULTI	10	153.22	1,532.20	144.0140	1,440.14 1,440.14	92.06	—	—
10/17/13		7		1,072.54	142.8643	1,000 05 1,000.05	72.49	—	
Total		17		2,604.74	143.5406	2,440.19 2,440.19	164.55	—	
01/08/10	UNITED PARCEL SERVICE C INC CL B UPS	7	105 08	735.56	60.1243	420.87 420 87	314.69	17	2.36%
02/14/12		4		420.32	76.8400	307.36 307.36	112.96	9	
03/16/12		3		315.24	78.5700	235.71 235.71	79.53	7	

EQUITIES AND OPTIONS continue on page 51

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[1] Purchase Cost equals Cost Basis of Equities and Mutual

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[3]
03/19/12	UNITED PARCEL SERVICE (continued)	15		1,576.20	81.0993	1,216.49 1,216.49	359.71	37	
03/26/12		15		1,576 20	80.4240	1,206.36 1,206.36	369.84	37	
04/11/12		6		630 48	79 0800	474 48 474 48	156 00	14	
05/14/12		7		735 56	76.7200	537 04 537 04	198.52	17	
06/12/12		6		630.48	76.0400	456.24 456.24	174.24	14	
Total		63		6,620.04	77.0563	4,854.55 4,854.55	1,765.49	152	
02/25/13	VERIZON COMMUNICATIONS C INC VZ	80	49.14	3,931.20	45.6391	3,651.13 3,651.13	280.07	169	4.31%
03/11/13		58		2,850.12	47 7600	2,770.08 2,770.08	80.04	122	
11/14/13		37		1,818.18	50 3081	1,861 40 1,861.40	-43 22	78	
Total		175		8,599.50	47.3292	8,282.61 8,282.61	316.89	369	

EQUITIES AND OPTIONS continue on page 52

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/11/13	VISA INC CLASS A C V	12	222.68	2,672.16	191.8500	2,302.20 2,302.20	369.96	19	0 72%
12/11/13		12		2,672 16	207.8275	2,493 93 2,493.93	178.23	19	
Total		24		5,344.32	199.8388	4,796.13 4,796.13	548.19	38	
03/19/12	WABTEC C WAB	24	74.27	1,782.48	39.2233	941.36 941 36	841.12	3	0.22%
04/11/12		2		148.54	35.7300	71.46 71.46	77.08	—	
05/08/12		18		1,336 86	37.6311	677 36 677.36	659.50	2	
05/14/12		4		297.08	36 6900	146.76 146 76	150.32	—	
06/12/12		4		297.08	35.3600	141 44 141.44	155.64	—	
07/31/12		22		1,633 94	39.7086	873.59 873.59	760.35	3	
08/22/12		24		1,782.48	40.3629	968.71 968.71	813.77	3	
Total		98		7,278.46	38.9865	3,820.68 3,820.68	3,457.78	11	

EQUITIES AND OPTIONS continue on page 53

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[1] Purchase Cost equals Cost Basis of Equities and Mutual

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[3]	Estimated 30-Day Yield[D]
04/27/09	WAL-MART STORES INC [C] WMT	19	78.69	1,495.11	48.5800	923.02 923.02	572 09	35	2.39%
02/14/12		5		393.45	61.9900	309.95 309.95	83.50	9	
03/16/12		4		314 76	61.0800	244.32 244.32	70 44	7	
04/11/12		5		393 45	59.6700	298 35 298 35	95.10	9	
05/14/12		5		393.45	59.3900	296.95 296.95	96.50	9	
06/12/12		4		314 76	67 5300	270.12 270.12	44.64	7	
08/14/13		20		1,573 80	76 6000	1,532 00 1,532.00	41.80	37	
Total		62		4,878.78	62.4953	3,874.71 3,874.71	1,004.07	113	
03/19/13	WELLS FARGO & CO NEW [C] WFC	87	45.40	3,949.80	37.6180	3,272.77 3,272 77	677.03	104	2.64%
03/20/13		60		2,724.00	37.4855	2,249.13 2,249.13	474.87	72	
Total		147		6,673.80	37.5639	5,521.90 5,521.90	1,151.90	176	

EQUITIES AND OPTIONS continue on page 54

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
06/28/10	WESTAR ENERGY INC C WR	31	32.17	997 27	22.2394	689.42 689 42	307 85	42	4 23%
06/29/10		32		1,029.44	21.8694	699.82 699.82	329.62	43	
06/30/10		18		579 06	21.9722	395 50 395 50	183.56	24	
02/14/12		10		321.70	28.1300	281.30 281 30	40.40	13	
03/16/12		10		321.70	27.7000	277.00 277.00	44.70	13	
04/11/12		9		289.53	26.9500	242.55 242.55	46.98	12	
05/14/12		12		386.04	28 4000	340.80 340 80	45.24	16	
06/12/12		10		321 70	29 4400	294.40 294.40	27.30	13	
Total		132		4,246.44	24.3999	3,220.79 3,220.79	1,025.65	176	
10/31/12	3D SYSTEMS CORP DEL NEW C DDD	34	92.93	3,159.62	28.6574	974.35 974.35	2,185.27	—	—

EQUITIES AND OPTIONS continue on page 55

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[2]
11/14/12	3D SYSTEMS CORP DEL NEW (continued)	21		1,951.53	28 0848	589 78 589 78	1,361 75	—	
Total		55		5,111.15	28.4387	1,564.13 1,564.13	3,547.02	—	
TOTAL EQUITIES AND OPTIONS				**$516,727.46**		**$376,263.72** $376,263.72	**$135,246.10**	**$8,533**	

C Dividends and/or capital gains distributed by this security will be distributed as cash

The Peltier Foundation
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Form 990-PF
Part 11, Line 10b
Schedule 6

Account Holdings as of December 31, 2013

CASH AND CASH EQUIVALENTS

Description	Current Balance
Cash	$299.06
Money Market Funds	17,292.12
TOTAL CASH AND CASH EQUIVALENTS	**$17,591.18**

EQUITIES AND OPTIONS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[4]	Estimated 30-Day Yield[2]
01/10/12	ABBVIE INC [C] ABBV	93	$52 81	$4,911 33	$29 1742	$2,713.20 2,713 20	$2,198.13	$148	3 03%
02/14/12		11		580 91	28 4882	313 37 313.37	267 54	17	
03/13/12		10		528.10	30 6370	306 37 306.37	221 73	16	
04/11/12		13		686.53	31 1523	404.98 404 98	281.55	20	
05/14/12		12		633 72	32 2033	386.44 386.44	247.28	19	
06/18/12		12		633 72	32 6500	391 80 391.80	241.92	19	
08/20/13		6		316.86	43.1483	258.89 258.89	57.97	9	

EQUITIES AND OPTIONS continue on page 4

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Form 990-PF
Part 11, Line 10b
Schedule

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[3]
09/03/13	ABBVIE INC (continued)	7		369.67	42.5400	297.78 297.78	71.89	11	
09/18/13		7		369 67	47 8800	335 16 335.16	34.51	11	
10/18/13		7		369 67	48 4100	338.87 338.87	30.80	11	
11/18/13		163		8,608 03	49.7299	8,105.98 8,105.98	502.05	260	
11/20/13		136		7,182.16	48.3090	6,570.02 6,570 02	612.14	217	
12/17/13		13		686.53	53.3400	693.42 693 42	-6.89	20	
Total		490		25,876.90	43.0944	21,116.28 21,116.28	4,760.62	778	
03/20/12	ACE LTD C ACE	144	103.53	14,908 32	73 3924	10,568.50 10,568.50	4,339.82	362	2.43%
04/11/12		14		1,449 42	71.9000	1,006.60 1,006.60	442.82	35	
05/14/12		16		1,656 48	75.7200	1,211.52 1,211.52	444.96	40	

EQUITIES AND OPTIONS continue on page 5

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Form 990-PF
Part 11, Line 10b
Schedule 9

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[2]
06/18/12	ACE LTD (continued)	15		1,552 95	72.2600	1,083 90 1,083.90	469 05	37	
09/03/13		8		828.24	88.2400	705.92 705.92	122 32	20	
09/18/13		8		828 24	93.9900	751 92 751 92	76 32	20	
10/18/13		8		828.24	96 8300	774.64 774 64	53 60	20	
11/18/13		7		724.71	98 9600	692.72 692.72	31.99	17	
12/17/13		5		517.65	99 1300	495 65 495.65	22.00	12	
Total		225		23,294.25	76.8505	17,291.37 17,291.37	6,002.88	563	
09/12/12	AFLAC INC C AFL	314	66.80	20,975.20	48.6098	15,263.48 15,263.48	5,711.72	464	2.22%
08/20/13		1		66.80	59.5400	59.54 59.54	7.26	1	
09/03/13		13		868 40	58.0500	754.65 754.65	113 75	19	

EQUITIES AND OPTIONS continue on page 6

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[3]
09/18/13	AFLAC INC (continued)	14		935.20	62.5900	876.26 876 26	58 94	20	
10/18/13		13		868.40	65.7600	854.88 854 88	13 52	19	
11/18/13		9		601.20	67 3000	605.70 605.70	-4.50	13	
12/17/13		17		1,135.60	65.9888	1,121.81 1,121.81	13.79	25	
Total		381		25,450.80	51.2764	19,536.32 19,536.32	5,914.48	561	
05/05/09	ALTRIA GROUP INC [c] MO	210	38.39	8,061 90	16 4600	3,456.59 3,456.59	4,605.31	403	5.00%
05/07/09		5		191.95	17 1000	85.50 85.50	106 45	9	
04/06/10		11		422.29	21 0300	231.33 231.33	190.96	21	
02/14/12		38		1,458.82	29.1400	1,107.32 1,107.32	351.50	72	
03/13/12		26		998 14	30.0800	782.08 782.08	216.06	49	

EQUITIES AND OPTIONS continue on page 7

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Form 990-PF
Part 11, Line 10b
Schedule 9

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[3]	Estimated 30-Day Yield[2]
04/11/12	ALTRIA GROUP INC (continued)	31		1,190.09	31.1900	966.89 966.89	223.20	59	
05/14/12		33		1,266.87	31 8600	1,051.38 1,051.38	215.49	63	
06/18/12		29		1,113.31	33.8900	982.81 982 81	130.50	55	
09/03/13		18		691 02	33 8200	608.76 608.76	82.26	34	
09/18/13		16		614.24	35 7000	571.20 571.20	43 04	30	
10/18/13		17		652.63	35 8300	609.11 609.11	43.52	32	
11/18/13		18		691.02	37.9700	683.46 683.46	7 56	34	
12/17/13		12		460.68	37 5300	450.36 450.36	10.32	23	
Total		**464**		**17,812.96**	**24.9715**	**11,586.79** 11,586.79	**6,226.17**	**884**	
01/10/12	AMERICAN ELECTRIC POWER C COMPANY INC AEP	188	46.74	8,787.12	41.3673	7,777.05 7,777.05	1,010 07	376	4 28%

EQUITIES AND OPTIONS continue on page 8

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[3]	Estimated 30-Day Yield[3]
12/17/13	AMERICAN ELECTRIC POWER (continued)	9		420.66	45 8200	412.38 412.38	8 28	18	
Total		373		17,434.02	41.2162	15,373.63 15,373.63	2,060.39	746	
07/07/09	AMERICAN FINANCIAL GRP C INC OHIO AFG	119	57 72	6,868 68	21.1796	2,520 37 2,520 37	4,348.31	104	1.52%
12/14/10		57		3,290.04	32 6396	1,860.46 1,860.46	1,429.58	50	
02/14/12		23		1,327.56	37.1000	853.30 853.30	474.26	20	
03/13/12		19		1,096.68	38 4900	731.31 731.31	365.37	16	
04/11/12		24		1,385 28	37.5300	900 72 900.72	484.56	21	
05/14/12		25		1,443.00	39.4400	986.00 986 00	457.00	22	
06/18/12		22		1,269 84	39.2800	864.16 864.16	405.68	19	
08/20/13		7		404.04	51.6800	361.76 361 76	42 28	6	

EQUITIES AND OPTIONS continue on page 10

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[3]
02/14/12	AMERICAN ELECTRIC POWER (continued)	24		1,121.76	39 6100	950.64 950.64	171.12	48	
03/13/12		21		981.54	39 0700	820.47 820 47	161.07	42	
04/11/12		27		1,261.98	37.2900	1,006.83 1,006.83	255.15	54	
05/14/12		24		1,121.76	38.3100	919 44 919.44	202.32	48	
06/18/12		24		1,121 76	40.0500	961.20 961.20	160.56	48	
09/03/13		14		654.36	42 2700	591.78 591.78	62.58	28	
09/18/13		13		607.62	44 4700	578.11 578.11	29.51	26	
10/18/13		13		607.62	44.8900	583.57 583.57	24.05	26	
11/18/13		16		747.84	48 2600	772.16 772.16	-24.32	32	

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EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[3]	Estimated 30-Day Yield[2]
09/03/13	AMERICAN FINANCIAL GRP (continued)	13		750.36	51.8200	673.66 673 66	76.70	11	
09/18/13		12		692.64	54 1400	649 68 649.68	42 96	10	
10/18/13		13		750.36	55.1900	717.47 717.47	32.89	11	
11/18/13		11		634.92	56 6000	622.60 622.60	12.32	9	
12/17/13		10		577.20	55.0800	550.80 550.80	26.40	8	
Total		**355**		**20,490.60**	**34.6262**	**12,292.29** 12,292.29	**8,198.31**	**307**	
11/05/12	AMERIPRISE FINANCIAL INC C AMP	238	115.05	27,381.90	60 0980	14,303.32 14,303.32	13,078.58	495	1.81%
08/20/13		1		115.05	87.2000	87.20 87.20	27 85	2	
09/03/13		11		1,265.55	86 6000	952.60 952.60	312 95	22	
09/18/13		10		1,150.50	93.8600	938.60 938.60	211 90	20	

EQUITIES AND OPTIONS continue on page 11

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[3]	Estimated 30-Day Yield[D]
10/18/13	AMERIPRISE FINANCIAL INC (continued)	10		1,150.50	99.3200	993.20 993.20	157.30	20	
11/18/13		6		690.30	105 9300	635.58 635.58	54.72	12	
12/17/13		14		1,610.70	108 7400	1,522 36 1,522.36	88.34	29	
Total		290		33,364.50	67.0099	19,432.86 19,432.86	13,931.64	600	
02/20/13	ARCHER DANIELS MIDLAND C COMPANY ADM	318	43 40	13,801.20	32.6963	10,397.42 10,397.42	3,403 78	305	2.21%
08/20/13		3		130.20	36 5600	109.68 109.68	20.52	2	
08/22/13		154		6,683.60	36.4327	5,610.64 5,610 64	1,072.96	147	
09/03/13		24		1,041.60	35 3200	847.68 847.68	193 92	23	
09/18/13		21		911 40	37 0900	778 89 778.89	132 51	20	
10/18/13		20		868 00	37.9400	758.80 758 80	109.20	19	

EQUITIES AND OPTIONS continue on page 12

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[3]
11/18/13	ARCHER DANIELS MIDLAND (continued)	25		1,085 00	41 1600	1,029.00 1,029.00	56.00	24	
12/17/13		11		477 40	41 3500	454 85 454 85	22 55	10	
Total		576		24,998.40	34.6996	19,986.96 19,986.96	5,011.44	550	
08/23/11	ARTHUR J GALLAGHER & C COMPANY AJG	219	46.93	10,277.67	26.3885	5,779.08 5,779.08	4,498.59	306	2.98%
02/14/12		28		1,314 04	35.1100	983.08 983.08	330.96	39	
03/13/12		24		1,126.32	35.7800	858.72 858 72	267 60	33	
04/11/12		34		1,595.62	34.8100	1,183.54 1,183.54	412.08	47	
05/14/12		25		1,173.25	35 6600	891.50 891.50	281.75	35	
06/18/12		28		1,314 04	34 4000	963.20 963.20	350.84	39	
08/20/13		7		328.51	42.9300	300.51 300.51	28.00	9	

EQUITIES AND OPTIONS continue on page 13

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EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[3]	Estimated 30-Day Yield[3]
09/03/13	ARTHUR J GALLAGHER & (continued)	16		750.88	41 4200	662 72 662.72	88 16	22	
09/18/13		15		703.95	44.6800	670.20 670.20	33.75	21	
10/18/13		16		750.88	46 8400	749 44 749.44	1 44	22	
11/18/13		15		703.95	47.8500	717 75 717.75	-13.80	21	
12/17/13		15		703.95	45.6800	685.20 685.20	18.75	21	
Total		442		20,743.06	32.6809	14,444.94 14,444.94	6,298.12	615	
05/05/09	AT&T INC [C] T	329	35.16	11,567.64	26.5175	8,724.27 8,724 27	2,843.37	605	5.23%
11/20/09		49		1,722.84	26 0876	1,278.29 1,278.29	444.55	90	
01/08/10		42		1,476.72	26.9276	1,130 96 1,130.96	345.76	77	
11/18/10		16		562.56	28 4500	455 20 455.20	107 36	29	

EQUITIES AND OPTIONS continue on page 14

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[2]
04/01/11	AT&T INC (continued)	8		281.28	30 6400	245 12 245.12	36.16	14	
02/14/12		68		2,390.88	29.9100	2,033 88 2,033.88	357.00	125	
03/13/12		54		1,898.64	31.6100	1,706.94 1,706.94	191 70	99	
04/11/12		72		2,531.52	30 3900	2,188 08 2,188.08	343 44	132	
05/14/12		62		2,179.92	33 4900	2,076 38 2,076.38	103.54	114	
06/18/12		62		2,179.92	35.8300	2,221.46 2,221 46	-41.54	114	
08/20/13		6		210.96	33 8800	203 28 203.28	7.68	11	
09/03/13		33		1,160.28	33.5552	1,107.32 1,107.32	52.96	60	
09/18/13		33		1,160.28	34 8100	1,148.73 1,148.73	11.55	60	
10/18/13		33		1,160.28	34 6400	1,143.12 1,143.12	17.16	60	

EQUITIES AND OPTIONS continue on page 15

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[3]
11/18/13	AT&T INC (continued)	30		1,054.80	35 5500	1,066 50 1,066.50	-11 70	55	
12/17/13		30		1,054 80	33 7900	1,013 70 1,013.70	41.10	55	
Total		927		32,593.32	29.9280	27,743.23 27,743.23	4,850.09	1,700	
02/27/13	BB&T CORP C BBT	584	37.32	21,794.88	30.1548	17,610.40 17,610.40	4,184.48	537	2.47%
08/20/13		8		298.56	35.5200	284.16 284.16	14.40	7	
09/03/13		25		933.00	33.7400	843 50 843 50	89.50	23	
09/18/13		25		933.00	35.4300	885.75 885 75	47 25	23	
10/18/13		26		970 32	33.6200	874.12 874.12	96 20	23	
11/18/13		22		821 04	34 1000	750.20 750.20	70.84	20	

EQUITIES AND OPTIONS continue on page 16

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[5]
12/17/13	BB&T CORP (continued)	25		933.00	35.5000	887.50 887.50	45.50	23	
Total		**715**		**26,683.80**	**30.9589**	**22,135.63** **22,135.63**	**4,548.17**	**656**	
03/09/11	**BLACKROCK INC C** **BLK**	**34**	**316.47**	**10,759.98**	**197.6800**	**6,721.12** **6,721.12**	**4,038.86**	**228**	**2.12%**
02/14/12		6		1,898.82	190 2700	1,141.62 1,141.62	757.20	40	
03/13/12		4		1,265 88	201.9700	807.88 807 88	458.00	26	
04/11/12		4		1,265 88	198 4800	793.92 793.92	471.96	26	
05/14/12		4		1,265.88	177.6500	710.60 710.60	555.28	26	
06/18/12		5		1,582.35	174.1300	870.65 870.65	711.70	33	
12/27/12		10		3,164.70	205.9600	2,059.60 2,059.60	1,105.10	67	
08/20/13		2		632 94	266.4800	532.96 532.96	99.98	13	

EQUITIES AND OPTIONS continue on page 17

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[b]
09/03/13	BLACKROCK INC (continued)	3		949.41	262 5400	787 62 787.62	161.79	20	
09/18/13		3		949 41	279 2500	837.75 837.75	111.66	20	
10/18/13		3		949 41	301 9600	905 88 905.88	43.53	20	
11/18/13		4		1,265 88	305.1400	1,220.56 1,220.56	45.32	26	
Total		82		25,950.54	212.0751	17,390.16 17,390.16	8,560.38	545	
11/12/12	BOEING COMPANY C BA	264	136.49	36,033.36	73.9448	19,521.43 19,521.43	16,511.93	770	2.14%
09/03/13		12		1,637.88	105 1300	1,261.56 1,261.56	376.32	35	
09/18/13		11		1,501.39	119 0400	1,309.44 1,309.44	191 95	32	
10/18/13		12		1,637.88	122.5800	1,470 96 1,470 96	166 92	35	
11/18/13		8		1,091 92	138.6400	1,109 12 1,109.12	-17 20	23	

EQUITIES AND OPTIONS continue on page 18

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[1] Purchase Cost equals Cost Basis of Equities and Mutual Funds

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Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[a]
11/20/13	BOEING COMPANY (continued)	1		136 49	134 0300	134 03 134.03	2.46	2	
12/17/13		13		1,774 37	135.7000	1,764.10 1,764.10	10 27	37	
Total		321		43,813.29	82.7746	26,570.64 26,570.64	17,242.65	934	
01/16/13	CARDINAL HEALTH INC C CAH	342	66.81	22,849.02	43.8752	15,005.32 15,005.32	7,843.70	413	1.81%
08/20/13		4		267.24	51.1000	204 40 204 40	62.84	4	
09/03/13		15		1,002 15	50.2100	753 15 753 15	249.00	18	
09/18/13		15		1,002 15	53 1700	797 55 797 55	204.60	18	
10/18/13		15		1,002.15	56.0800	841.20 841.20	160 95	18	
11/18/13		11		734 91	64.7200	711.92 711.92	22.99	13	

EQUITIES AND OPTIONS continue on page 19

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[5]
12/17/13	CARDINAL HEALTH INC (continued)	14		935.34	65 0100	910 14 910.14	25.20	16	
Total		416		27,792.96	46.2108	19,223.68 19,223.68	8,569.28	500	
05/05/09	CHEVRON CORP C CVX	145	124 91	18,111.95	65 4476	9,489.90 9,489.90	8,622 05	580	3.20%
05/07/09		2		249.82	68.5500	137.10 137.10	112 72	8	
01/08/10		4		499.64	79.1800	316.72 316.72	182 92	16	
04/01/11		1		124 91	108.3700	108.37 108.37	16 54	4	
02/14/12		21		2,623.11	105.7700	2,221.17 2,221.17	401.94	84	
03/13/12		17		2,123 47	111.2200	1,890 74 1,890 74	232.73	68	
04/11/12		23		2,872.93	101.1900	2,327.37 2,327.37	545.56	92	
05/14/12		19		2,373 29	102.1600	1,941 04 1,941.04	432.25	76	

EQUITIES AND OPTIONS continue on page 20

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[3]
06/18/12	CHEVRON CORP (continued)	19		2,373 29	103 5900	1,968.21 1,968 21	405.08	76	
08/20/13		1		124 91	118 9000	118.90 118.90	6.01	4	
09/03/13		11		1,374 01	120 3600	1,323.96 1,323.96	50.05	44	
09/18/13		10		1,249.10	125.9000	1,259.00 1,259.00	-9.90	40	
10/18/13		11		1,374 01	119.6900	1,316 59 1,316.59	57.42	44	
11/18/13		11		1,374 01	120.1000	1,321.10 1,321.10	52 91	44	
12/17/13		8		999.28	119 0000	952.00 952.00	47.28	32	
Total		303		37,847.73	88.0930	26,692.17 26,692.17	11,155.56	1,212	
08/14/13	CHUBB CORP C CB	111	96.63	10,725.93	85.9882	9,544.69 9,544.69	1,181.24	195	1.82%
08/20/13		2		193.26	84 4000	168.80 168 80	24 46	3	

EQUITIES AND OPTIONS continue on page 21

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[2] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[A]	*Estimated 30-Day Yield*[B]
09/03/13	CHUBB CORP (continued)	5		483.15	83 7500	418.75 418 75	64 40	8	
09/18/13		5		483.15	89 0400	445.20 445 20	37.95	8	
10/18/13		5		483 15	92.6100	463 05 463 05	20.10	8	
11/18/13		4		386.52	94.9000	379.60 379 60	6 92	7	
12/17/13		7		676.41	92.6100	648.27 648.27	28 14	12	
Total		139		13,431.57	86.8227	12,068.36 12,068.36	1,363.21	241	
10/08/12	CISCO SYSTEMS INC C CSCO	728	22 43	16,329 04	18.9800	13,817.44 13,817.44	2,511.60	495	3.03%
05/30/13		222		4,979.46	24.5399	5,447 86 5,447 86	-468 40	150	
08/20/13		7		157 01	24.4600	171.22 171.22	-14.21	4	
09/03/13		41		919.63	23.5600	965.96 965 96	-46 33	27	

EQUITIES AND OPTIONS continue on page 22

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[1] Purchase Cost equals Cost Basis of Equities and Mutual [illegible]

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
09/18/13	CISCO SYSTEMS INC (continued)	41		919 63	24.6900	1,012.29 1,012.29	-92.66	27	
10/18/13		42		942 06	23.0800	969.36 969 36	-27.30	28	
11/18/13		36		807.48	21 6600	779.76 779.76	27.72	24	
12/17/13		35		785.05	21 0400	736.40 736.40	48.65	23	
Total		1,152		25,839.36	20.7468	23,900.29 23,900.29	1,939.07	778	
07/14/11	COMCAST CORP CL A NEW[C] CMCSA	283	51.965	14,706.10	24.6380	6,972.55 6,972.55	7,733.55	220	1.50%
02/14/12		37		1,922.70	26.9800	998.26 998.26	924.44	28	
03/13/12		32		1,662.88	30.0000	960.00 960.00	702.88	24	
04/11/12		44		2,286 46	28 9900	1,275.56 1,275.56	1,010.90	34	
05/14/12		33		1,714.85	29.1600	962.28 962.28	752.57	25	

EQUITIES AND OPTIONS continue on page 23

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[2]
06/18/12	COMCAST CORP CL A NEW (continued)	36		1,870.74	31.1300	1,120.68 1,120.68	750.06	28	
08/20/13		7		363.75	42.3300	296.31 296.31	67.44	5	
09/03/13		21		1,091.27	42.6700	896.07 896.07	195.20	16	
09/18/13		20		1,039 30	44.3700	887 40 887 40	151.90	15	
10/18/13		20		1,039.30	47.0800	941.60 941 60	97 70	15	
11/18/13		26		1,351.09	47 6800	1,239 68 1,239 68	111 41	20	
12/17/13		9		467.68	49.0400	441.36 441.36	26.32	7	
Total		568		29,516.12	29.9151	16,991.75 16,991.75	12,524.37	437	
06/02/11	CONAGRA FOODS INC C CAG	305	33.70	10,278.50	25.0580	7,642.69 7,642.69	2,635.81	305	2.97%
02/14/12		37		1,246.90	26 7100	988.27 988 27	258.63	37	

EQUITIES AND OPTIONS continue on page 24

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[1] Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[3]
03/13/12	CONAGRA FOODS INC (continued)	33		1,112.10	26.3600	869.88 869.88	242.22	33	
04/11/12		41		1,381.70	25 7900	1,057.39 1,057.39	324.31	41	
05/14/12		42		1,415.40	25 7300	1,080.66 1,080.66	334.74	42	
06/18/12		38		1,280.60	24 8900	945.82 945.82	334.78	38	
08/20/13		14		471.80	35.1600	492.24 492 24	-20.44	14	
09/03/13		22		741.40	33.8600	744 92 744.92	-3.52	22	
09/18/13		22		741.40	31.9200	702.24 702.24	39 16	22	
10/18/13		22		741.40	31.1400	685.08 685.08	56.32	22	
11/18/13		20		674.00	32 6100	652.20 652.20	21.80	20	

EQUITIES AND OPTIONS continue on page 25

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[2] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[3]
12/17/13	CONAGRA FOODS INC (continued)	19		640.30	31.3800	596 22 596 22	44.08	19	
Total		615		20,725.50	26.7603	16,457.61 16,457 61	4,267.89	615	
05/05/09	CONOCOPHILLIPS C COP	169	70 65	11,939.85	33 1792	5,607 28 5,607 28	6,332 57	466	3 91%
05/07/09		25		1,766.25	35.0452	876.13 876.13	890 12	69	
01/08/10		1		70.65	40.8200	40.82 40.82	29.83	2	
04/06/10		5		353 25	41.1740	205 87 205.87	147.38	13	
04/01/11		3		211 95	61.4200	184.26 184 26	27 69	8	
02/14/12		26		1,836 90	56.4608	1,467 98 1,467 98	368 92	71	
03/13/12		23		1,624.95	59.9530	1,378.92 1,378 92	246 03	63	
04/11/12		30		2,119 50	56 9620	1,708 86 1,708.86	410 64	82	

EQUITIES AND OPTIONS continue on page 26

The Peltier Foundation
72-1416778

Form 990-PF
Part 11, Line 10b
Schedule 9

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/14/12	CONOCOPHILLIPS (continued)	25		1,766 25	53.1100	1,327.75 1,327.75	438 50	69	
06/18/12		26		1,836.90	54.9600	1,428.96 1,428.96	407.94	71	
05/30/13		123		8,689.95	62.4044	7,675 74 7,675 74	1,014.21	339	
08/20/13		3		211.95	65.8467	197.54 197.54	14.41	8	
09/03/13		21		1,483.65	66.7200	1,401.12 1,401.12	82.53	57	
09/18/13		19		1,342.35	70.6000	1,341.40 1,341.40	0.95	52	
10/18/13		20		1,413.00	73.2100	1,464 20 1,464.20	-51 20	55	
11/18/13		14		989 10	72 8100	1,019.34 1,019 34	-30 24	38	
12/17/13		22		1,554.30	69 2100	1,522.62 1,522.62	31 68	60	
Total		555		39,210.75	51.9798	28,848.79 28,848.79	10,361.96	1,523	

EQUITIES AND OPTIONS continue on page 27

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[a]
07/07/09	CRANE COMPANY C CR	74	67.25	4,976.50	20.9678	1,551.62 1,551.62	3,424.88	88	1.78%
04/06/10		8		538 00	36 2400	289.92 289 92	248 08	9	
02/14/12		9		605 25	49.2000	442 80 442.80	162 45	10	
03/13/12		9		605.25	48.3800	435 42 435 42	169 83	10	
04/11/12		13		874.25	46 2300	600.99 600.99	273 26	15	
05/14/12		9		605.25	40 4000	363 60 363 60	241 65	10	
06/18/12		10		672.50	36 5500	365.50 365 50	307.00	12	
12/27/12		79		5,312.75	45.6092	3,603 13 3,603.13	1,709.62	94	
08/20/13		3		201 75	59 2900	177.87 177 87	23 88	3	
09/03/13		9		605.25	57.4000	516 60 516 60	88.65	10	

EQUITIES AND OPTIONS continue on page 28

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[2]
09/18/13	CRANE COMPANY (continued)	9		605.25	61 4000	552.60 552.60	52.65	10	
10/18/13		10		672 50	60 8400	608 40 608.40	64.10	12	
11/18/13		9		605 25	63.9400	575 46 575.46	29 79	10	
12/17/13		9		605.25	65.7700	591.93 591.93	13.32	10	
Total		**260**		**17,485.00**	**41.0609**	**10,675.84** 10,675.84	**6,809.16**	**303**	
09/10/13	DONNELLEY R R & SONS C COMPANY RRD	658	20.28	13,344.24	16 6803	10,975.63 10,975.63	2,368.61	684	5.13%
09/18/13		26		527.28	16.1900	420.94 420.94	106.34	27	
10/18/13		27		547.56	16.7000	450.90 450.90	96.66	28	
11/18/13		23		466 44	17 2700	397 21 397.21	69.23	23	

EQUITIES AND OPTIONS continue on page 29

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[2] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[3]
12/17/13	DONNELLEY R R & SONS (continued)	24		486 72	18 3700	440 88 440.88	45.84	24	
Total		758		15,372.24	16.7356	12,685.56 12,685.56	2,686 68	786	
10/06/11	DOW CHEMICAL COMPANY C DOW	255	44 40	11,322.00	25.0180	6,379.59 6,379 59	4,942.41	326	2.88%
02/14/12		35		1,554.00	33 3100	1,165.85 1,165 85	388.15	44	
03/13/12		28		1,243.20	34.5700	967.96 967 96	275.24	35	
04/11/12		41		1,820.40	31 7700	1,302 57 1,302 57	517.83	52	
05/14/12		29		1,287.60	31.9000	925.10 925.10	362.50	37	
06/18/12		33		1,465.20	32.8200	1,083.06 1,083.06	382.14	42	
10/24/12		193		8,569.20	30.5582	5,897 73 5,897.73	2,671.47	247	
08/20/13		15		666.00	36.5200	547.80 547.80	118.20	19	

EQUITIES AND OPTIONS continue on page 30

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[1] Purchase Cost equals Cost Basis of Equities and Mutual Funds

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[3]
09/03/13	DOW CHEMICAL COMPANY (continued)	28		1,243.20	37.4500	1,048.60 1,048 60	194.60	35	
09/18/13		27		1,198.80	40.5900	1,095.93 1,095.93	102.87	34	
10/18/13		27		1,198.80	41.4100	1,118 07 1,118.07	80 73	34	
11/18/13		23		1,021 20	40.2600	925 98 925.98	95 22	29	
12/17/13		24		1,065.60	42.2200	1,013.28 1,013.28	52.32	30	
Total		758		33,655.20	30.9651	23,471.52 23,471.52	10,183.68	964	
03/13/13	EATON CORP PLC [C] ETN	188	76.12	14,310.56	63.2399	11,889 11 11,889.11	2,421.45	315	2 21%
08/20/13		1		76.12	64.3500	64.35 64 35	11.77	1	
09/03/13		8		608.96	63.4400	507.52 507.52	101.44	13	
09/18/13		8		608.96	69.3000	554.40 554.40	54.56	13	

EQUITIES AND OPTIONS continue on page 31

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[3] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[3]
10/18/13	EATON CORP PLC (continued)	8		608 96	68 6300	549 04 549 04	59 92	13	
11/18/13		7		532 84	72 3600	506.52 506.52	26 32	11	
12/17/13		10		761 20	73.4500	734.50 734 50	26.70	16	
Total		**230**		**17,507.60**	**64.3715**	**14,805.44** 14,805.44	**2,702.16**	**382**	
04/10/13	EXELIS INC C XLS	483	19 06	9,205 98	11 4559	5,533 22 5,533.22	3,672 76	199	2 17%
09/03/13		23		438.38	14 8300	341.09 341 09	97.29	9	
09/18/13		21		400.26	15.9000	333 90 333.90	66 36	8	
10/18/13		20		381 20	15.9000	318.00 318.00	63.20	8	
11/18/13		18		343.08	17.3300	311.94 311 94	31.14	7	

EQUITIES AND OPTIONS continue on page 32

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[1] Purchase Cost equals Cost Basis of Equities and Mutual

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[3]
12/17/13	EXELIS INC (continued)	7		133.42	18 3700	128.59 128 59	4.83	2	
Total		572		10,902.32	12.1796	6,966.74 6,966.74	3,935.58	233	
05/05/09	EXXON MOBIL CORP C XOM	161	101 20	16,293.20	67.1999	10,819 18 10,819.18	5,474.02	405	2.49%
05/07/09		1		101.20	68 6400	68.64 68.64	32.56	2	
11/18/10		3		303.60	70.2500	210.75 210.75	92.85	7	
04/01/11		1		101.20	84 7100	84.71 84 71	16.49	2	
02/14/12		24		2,428.80	84 0700	2,017.68 2,017.68	411 12	60	
03/13/12		19		1,922.80	86 8600	1,650 34 1,650 34	272.46	47	
04/11/12		26		2,631.20	82.5500	2,146.30 2,146.30	484 90	65	
05/14/12		20		2,024.00	82.3900	1,647.80 1,647 80	376.20	50	

EQUITIES AND OPTIONS continue on page 33

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[2] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[3]
06/18/12	EXXON MOBIL CORP (continued)	22		2,226 40	82 8800	1,823.36 1,823 36	403 04	55	
07/09/12		50		5,060.00	83.6800	4,184.00 4,184.00	876.00	126	
09/03/13		15		1,518.00	87 2600	1,308 90 1,308.90	209.10	37	
09/18/13		13		1,315.60	89.5900	1,164.67 1,164.67	150.93	32	
10/18/13		15		1,518 00	87.4600	1,311 90 1,311 90	206 10	37	
11/18/13		62		6,274 40	95 5698	5,925.33 5,925 33	349.07	156	
11/20/13		1		101 20	95.3700	95.37 95.37	5 83	2	
12/17/13		13		1,315.60	96.4900	1,254 37 1,254.37	61.23	32	
Total		446		45,135.20	80.0747	35,713.30 35,713.30	9,421.90	1,115	
03/20/12	FIDELITY NATIONAL C INFORMATION SERVICES INC FIS	106	53 68	5,690.08	32.6877	3,464.90 3,464 90	2,225.18	93	1 64%

EQUITIES AND OPTIONS continue on page 34

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/04/12	FIDELITY NATIONAL (continued)	105		5,636.40	32 8471	3,448.95 3,448.95	2,187.45	92	
04/11/12		18		966 24	32 7000	588 60 588.60	377.64	15	
05/14/12		25		1,342.00	32.5500	813.75 813.75	528 25	22	
06/18/12		22		1,180 96	33 1100	728.42 728.42	452.54	19	
08/20/13		6		322.08	45.7883	274.73 274.73	47.35	5	
09/03/13		12		644 16	44.6300	535.56 535.56	108.60	10	
09/18/13		12		644.16	46 9800	563 76 563.76	80 40	10	
10/18/13		13		697.84	47 4192	616 45 616 45	81.39	11	
11/18/13		13		697.84	50.7492	659 74 659.74	38.10	11	

EQUITIES AND OPTIONS continue on page 35

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[2]
12/17/13	FIDELITY NATIONAL (continued)	10		536 80	51 0000	510.00 510.00	26 80	8	
Total		342		18,358.56	35.6867	12,204.86 12,204.86	6,153.70	296	
05/05/09	GENERAL DYNAMICS C CORP COMMON GD	57	95.55	5,446.35	53.3000	3,038.10 3,038.10	2,408.25	127	2.34%
11/18/10		3		286 65	66.9900	200 97 200.97	85 68	6	
04/01/11		1		95.55	77 5500	77 55 77 55	18 00	2	
02/14/12		8		764.40	69 6700	557.36 557.36	207 04	17	
03/13/12		7		668.85	73.0700	511 49 511 49	157 36	15	
03/20/12		80		7,644 00	72 2950	5,783.60 5,783.60	1,860.40	179	
04/11/12		14		1,337.70	69.6400	974 96 974.96	362 74	31	
05/14/12		15		1,433.25	66.6200	999.30 999.30	433.95	33	

EQUITIES AND OPTIONS continue on page 36

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds* [illegible]

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
06/18/12	GENERAL DYNAMICS (continued)	16		1,528.80	64.6600	1,034 56 1,034.56	494.24	35	
09/03/13		9		859.95	84.2900	758.61 758 61	101.34	20	
09/18/13		9		859.95	89.4500	805.05 805.05	54.90	20	
10/18/13		9		859.95	88.3300	794.97 794.97	64.98	20	
11/18/13		10		955.50	89.5900	895.90 895 90	59.60	22	
11/20/13		1		95.55	89.7900	89.79 89.79	5.76	2	
12/17/13		4		382.20	91.1900	364.76 364.76	17.44	8	
Total		243		23,218.65	69.4937	16,886.97 16,886.97	6,331.68	537	
05/05/09	GENERAL ELECTRIC COMPANY C GE	516	28.03	14,463.48	13.1188	6,769.30 6,769.30	7,694.18	454	3.14%
05/07/09		57		1,597.71	14 2500	812 25 812.25	785.46	50	

EQUITIES AND OPTIONS continue on page 37

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[3]
11/20/09	GENERAL ELECTRIC COMPANY (continued)	161		4,512 83	15.4891	2,493.75 2,493.75	2,019 08	141	
01/08/10		5		140.15	16.5500	82.75 82 75	57.40	4	
04/06/10		30		840.90	18.6200	558 60 558.60	282 30	26	
04/01/11		15		420.45	20 3500	305.25 305 25	115 20	13	
02/14/12		112		3,139 36	18.8700	2,113 44 2,113.44	1,025.92	98	
03/13/12		87		2,438.61	19 6299	1,707 80 1,707.80	730 81	76	
04/11/12		125		3,503.75	19.0700	2,383 75 2,383.75	1,120 00	110	
05/14/12		91		2,550.73	18 7800	1,708.98 1,708.98	841.75	80	
06/18/12		102		2,859 06	19 8190	2,021.54 2,021.54	837.52	89	
08/20/13		14		392 42	23.6600	331.24 331.24	61 18	12	

EQUITIES AND OPTIONS continue on page 38

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[a]
09/03/13	GENERAL ELECTRIC COMPANY (continued)	58		1,625.74	23.0600	1,337 48 1,337 48	288 26	51	
09/18/13		56		1,569.68	24 7900	1,388.24 1,388 24	181.44	49	
10/18/13		57		1,597.71	25 6100	1,459.77 1,459.77	137.94	50	
11/18/13		52		1,457 56	27.3900	1,424.28 1,424.28	33 28	45	
12/17/13		48		1,345.44	27 0000	1,296.00 1,296.00	49 44	42	
Total		1,586		44,455.58	17.7771	28,194.42 28,194.42	16,261.16	1,390	
10/23/13	HARRIS CORP DEL [C] HRS	181	69.81	12,635.61	60 9763	11,036.71 11,036.71	1,598.90	304	2.41%
11/18/13		7		488.67	64.7500	453.25 453.25	35.42	11	
12/17/13		7		488 67	66.6000	466.20 466.20	22.47	11	
Total		195		13,612.95	61.3136	11,956.16 11,956.16	1,656.79	326	
05/05/09	HOME DEPOT INC [C] HD	122	82.34	10,045.48	25.9649	3,167.72 3,167.72	6,877.76	190	1.89%

EQUITIES AND OPTIONS continue on page 39

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[3]
05/07/09	HOME DEPOT INC (continued)	32		2,634 88	26 1300	836 16 836 16	1,798.72	49	
04/06/10		7		576.38	32.5700	227 99 227 99	348 39	10	
04/01/11		3		247 02	37.5800	112 74 112.74	134.28	4	
02/14/12		27		2,223 18	45.7900	1,236 33 1,236 33	986 85	42	
03/13/12		18		1,482.12	49.1200	884 16 884.16	597 96	28	
04/11/12		27		2,223.18	49.7300	1,342.71 1,342 71	880 47	42	
05/14/12		19		1,564 46	50 2000	953.80 953 80	610.66	29	
06/18/12		22		1,811 48	52 2200	1,148 84 1,148.84	662.64	34	
09/03/13		12		988.08	74.4000	892.80 892.80	95.28	18	
09/18/13		12		988.08	77.2400	926.88 926.88	61.20	18	

EQUITIES AND OPTIONS continue on page 40

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[2]
10/18/13	HOME DEPOT INC (continued)	12		988 08	74 9500	899 40 899 40	88.68	18	
11/18/13		13		1,070.42	79.9200	1,038.96 1,038 96	31.46	20	
12/17/13		8		658 72	78.8500	630.80 630.80	27.92	12	
Total		334		27,501.56	42.8122	14,299.29 14,299.29	13,202.27	514	
07/09/12	INTERNATIONAL PAPER C COMPANY IP	316	49.03	15,493.48	28.8799	9,126 05 9,126.05	6,367.43	442	2.86%
07/18/12		115		5,638 45	32.2182	3,705.09 3,705.09	1,933.36	161	
08/20/13		9		441.27	46 7900	421.11 421.11	20.16	12	
09/03/13		20		980.60	47.4100	948.20 948.20	32.40	28	
09/18/13		18		882.54	47 9100	862.38 862.38	20.16	25	
10/18/13		20		980.60	45 5000	910.00 910.00	70.60	28	

EQUITIES AND OPTIONS continue on page 41

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[3]
11/18/13	INTERNATIONAL PAPER (continued)	17		833.51	45.4400	772 48 772.48	61 03	23	
12/17/13		18		882 54	48 4600	872.28 872.28	10.26	25	
Total		533		26,132.99	33.0536	17,617.59 17,617.59	8,515.40	744	
02/07/12	INVESCO LTD SHARES C IVZ	168	36 40	6,115.20	23.6599	3,974.86 3,974.86	2,140.34	336	5.49%
02/14/12		22		800 80	23.8300	524.26 524.26	276 54	44	
03/13/12		19		691 60	25 9800	493.62 493 62	197.98	38	
04/11/12		28		1,019.20	24.8300	695.24 695 24	323 96	56	
05/14/12		18		655.20	22 7800	410.04 410.04	245 16	36	
06/18/12		22		800.80	21.6900	477 18 477.18	323 62	44	
10/24/12		118		4,295.20	24.2984	2,867.21 2,867 21	1,427.99	236	

EQUITIES AND OPTIONS continue on page 42

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/19/12	INVESCO LTD SHARES (continued)	126		4,586.40	26.3190	3,316.19 3,316 19	1,270.21	252	
08/20/13		8		291 20	31 0800	248 64 248 64	42 56	16	
09/03/13		23		837 20	30.4600	700 58 700 58	136.62	46	
09/18/13		22		800 80	32.0800	705 76 705.76	95 04	44	
10/18/13		23		837 20	32.8800	756.24 756.24	80.96	46	
11/18/13		18		655.20	33.8400	609 12 609.12	46.08	36	
12/17/13		22		800.80	34.5100	759.22 759.22	41.58	44	
Total		637		23,186.80	25.9626	16,538.16 16,538.16	6,648.64	1,274	
11/05/13	JOHNSON & JOHNSON C JNJ	255	91.59	23,355.45	92.8454	23,675.58 23,675.58	-320.13	673	2.88%
11/18/13		8		732 72	94.2600	754 08 754.08	-21.36	21	

EQUITIES AND OPTIONS continue on page 43

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[2]
12/17/13	JOHNSON & JOHNSON (continued)	10		915.90	90.6100	906.10 906.10	9.80	26	
Total		273		25,004.07	92.8050	25,335.76 25,335.76	-331.69	720	
05/05/09	JPMORGAN CHASE & C COMPANY JPM	208	58.48	12,163.84	35 0400	7,288 32 7,288.32	4,875.52	316	2.60%
05/07/09		7		409.36	38 5000	269 50 269.50	139.86	10	
04/06/10		11		643.28	45.9200	505 12 505.12	138.16	16	
04/06/11		79		4,619 92	47 1689	3,726 34 3,726 34	893 58	120	
09/20/11		75		4,386.00	32 6895	2,451.71 2,451.71	1,934.29	114	
01/05/12		54		3,157 92	34.9991	1,889 95 1,889.95	1,267.97	82	
02/14/12		55		3,216 40	37.5300	2,064 15 2,064.15	1,152.25	83	
03/13/12		48		2,807.04	43.3800	2,082.24 2,082.24	724.80	72	

EQUITIES AND OPTIONS continue on page 44

The Peltier Foundation
72-1416778

Form 990-PF
Part 11, Line 10b
Schedule 9

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/11/12	JPMORGAN CHASE & (continued)	66		3,859.68	43.8800	2,896.08 2,896.08	963.60	100	
05/14/12		52		3,040.96	36.1800	1,881.36 1,881 36	1,159.60	79	
06/18/12		55		3,216.40	34.7900	1,913.45 1,913.45	1,302.95	83	
08/20/13		8		467.84	51.9500	415.60 415.60	52.24	12	
09/03/13		31		1,812.88	51 1000	1,584.10 1,584.10	228.78	47	
09/18/13		30		1,754.40	53.3900	1,601.70 1,601.70	152.70	45	
10/18/13		31		1,812.88	53.9900	1,673.69 1,673.69	139.19	47	
11/18/13		25		1,462.00	55 8300	1,395 75 1,395.75	66 25	38	
12/17/13		31		1,812.88	55 8800	1,732.28 1,732.28	80.60	47	
Total		866		50,643.68	40.8445	35,371.34 35,371.34	15,272.34	1,311	

EQUITIES AND OPTIONS continue on page 45

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[2]
08/17/10	LIBERTY PROPERTY TR C LRY	105	33.87	3,556 35	30 6718	3,170 01 3,170.01	386.34	199	5.61%
09/01/10		50		1,693 50	30 9298	1,522.41 1,522 41	171 09	95	
02/14/12		35		1,185 45	33 2400	1,153.44 1,153 44	32.01	66	
03/13/12		19		643 53	34 8600	656.92 656 92	-13 39	36	
04/11/12		25		846 75	34 9300	868.51 868.51	-21 76	47	
05/14/12		21		711 27	36 3700	759.79 759.79	-48 52	39	
06/18/12		22		745.14	35.7200	781.60 781.60	-36 46	41	
09/03/13		12		406 44	33.9200	407.04 407.04	-0 60	22	
09/18/13		12		406.44	36 8700	442.44 442.44	-36 00	22	
10/18/13		12		406 44	37 4600	449 52 449.52	-43 08	22	

EQUITIES AND OPTIONS continue on page 46

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[2]
11/18/13	LIBERTY PROPERTY TR (continued)	13		440.31	34.7300	451.49 451.49	-11.18	24	
12/17/13		7		237.09	34.1100	238 77 238 77	-1 68	13	
Total		333		11,278.71	32.7386	10,901.94 10,901.94	376.77	626	
05/05/09	LILLY ELI & COMPANY C LLY	165	51.00	8,415.00	34.2795	5,656.12 5,656.12	2,758.88	323	3.84%
05/07/09		9		459.00	34.7500	312.75 312.75	146.25	17	
01/08/10		7		357.00	35.0100	245.07 245.07	111.93	13	
04/01/11		7		357.00	34.9800	244 86 244.86	112.14	13	
02/14/12		33		1,683.00	38.3500	1,265.55 1,265 55	417.45	64	
03/13/12		21		1,071.00	40 2000	844 20 844.20	226.80	41	
04/11/12		26		1,326.00	39.4500	1,025.70 1,025.70	300.30	50	

EQUITIES AND OPTIONS continue on page 47

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[2] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[3]
05/14/12	LILLY ELI & COMPANY (continued)	27		1,377.00	41.1300	1,110.51 1,110.51	266 49	52	
06/18/12		25		1,275.00	41.9700	1,049 25 1,049 25	225 75	49	
09/03/13		15		765 00	51 1400	767.10 767.10	-2 10	29	
09/18/13		14		714 00	53 9500	755 30 755 30	-41 30	27	
10/18/13		13		663.00	49.5500	644.15 644 15	18.85	25	
11/18/13		14		714.00	50.8100	711.34 711.34	2.66	27	
12/17/13		10		510.00	49.0000	490.00 490.00	20.00	19	
Total		386		19,686.00	39.1759	15,121.90 15,121.90	4,564.10	749	
05/06/13	LOCKHEED MARTIN CORP C LMT	164	148.66	24,380.24	102.3690	16,788 52 16,788 52	7,591.72	872	3 58%
09/03/13		7		1,040 62	123.7600	866.32 866.32	174 30	37	

EQUITIES AND OPTIONS continue on page 48

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[2]
09/18/13	LOCKHEED MARTIN CORP (continued)	7		1,040 62	130.2600	911.82 911.82	128.80	37	
10/18/13		7		1,040.62	128.9400	902.58 902.58	138.04	37	
11/18/13		3		445.98	138.4600	415.38 415.38	30.60	15	
12/17/13		7		1,040.62	140.6900	984.83 984.83	55.79	37	
Total		195		28,988.70	107.0228	20,869.45 20,869.45	8,119.25	1,035	
08/04/10	MARSH & MCLENNAN COS INC C MMC	225	48.36	10,881.00	23 7485	5,343.41 5,343.41	5,537.59	225	2.07%
04/01/11		2		96.72	30.0000	60.00 60.00	36.72	2	
02/14/12		28		1,354.08	31 8300	891.24 891 24	462.84	28	
03/13/12		25		1,209.00	32.6900	817 25 817.25	391.75	25	
04/11/12		32		1,547 52	31 7900	1,017.28 1,017 28	530.24	32	

EQUITIES AND OPTIONS continue on page 49

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[2] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[3]
05/14/12	MARSH & MCLENNAN COS INC (continued)	30		1,450 80	32.7200	981.60 981.60	469.20	30	
06/18/12		29		1,402.44	31 3300	908.57 908.57	493.87	29	
08/20/13		13		628.68	41.9100	544 83 544.83	83.85	13	
09/03/13		17		822 12	41.4500	704.65 704.65	117.47	17	
09/18/13		16		773.76	43 7300	699.68 699.68	74.08	16	
10/18/13		17		822.12	45.4900	773.33 773.33	48.79	17	
11/18/13		18		870.48	47 3900	853 02 853 02	17.46	18	
12/17/13		12		580.32	47.4200	569.04 569.04	11.28	12	
Total		464		22,439.04	30.5256	14,163.90 14,163.90	8,275.14	464	
06/26/12	MAXIM INTEGRATED C PRODUCTS INC MXIM	396	27 90	11,048.40	24 6298	9,753 41 9,753 41	1,294 99	411	3.73%

EQUITIES AND OPTIONS continue on page 50

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[1] Purchase Cost equals Cost Basis of

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[a]
08/20/13	MAXIM INTEGRATED (continued)	13		362.70	27 6100	358 93 358.93	3.77	13	
09/03/13		18		502.20	28.3600	510 48 510.48	-8.28	18	
09/18/13		17		474.30	29 4800	501.16 501.16	-26.86	17	
10/18/13		18		502.20	29.9700	539.46 539.46	-37.26	18	
11/18/13		21		585 90	29.4200	617 82 617 82	-31 92	21	
12/17/13		11		306.90	27.9700	307.67 307.67	-0 77	11	
Total		494		13,782.60	25.4837	12,588.93 12,588.93	1,193.67	509	
07/18/12	MEDTRONIC INC C MDT	283	57.39	16,241.37	38.8300	10,988.89 10,988.89	5,252.48	316	1.95%
08/20/13		7		401.73	52.6800	368.76 368.76	32.97	7	
09/03/13		12		688.68	51.9800	623.76 623 76	64.92	13	

EQUITIES AND OPTIONS continue on page 51

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

[a] *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[b]
09/18/13	MEDTRONIC INC (continued)	12		688 68	53 9900	647.88 647.88	40 80	13	
10/18/13		13		746 07	56 4200	733 46 733 46	12.61	14	
11/18/13		12		688.68	58 5000	702.00 702 00	-13 32	13	
12/17/13		12		688.68	56 4900	677 88 677.88	10 80	13	
Total		351		20,143.89	42.0018	14,742.63 14,742.63	5,401.26	389	
05/05/09	MERCK & COMPANY INC NEW C MRK	67	50 05	3,353.35	24.6594	1,652.18 1,652.18	1,701.17	117	3.52%
05/07/09		6		300.30	24.5900	147.54 147 54	152.76	10	
01/08/10		117		5,855.85	37 7395	4,415 52 4,415 52	1,440.33	205	
10/11/10		112		5,605.60	36 7495	4,115 94 4,115.94	1,489 66	197	
02/14/12		49		2,452.45	38 0200	1,862.98 1,862.98	589.47	86	

EQUITIES AND OPTIONS continue on page 52

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1 Purchase Cost equals Cost Basis of Equities and Mutual Funds

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[3]	Estimated 30-Day Yield[3]
03/13/12	MERCK & COMPANY INC NEW (continued)	34		1,701.70	38 2600	1,300.84 1,300.84	400.86	59	
04/11/12		41		2,052.05	38 5200	1,579.32 1,579.32	472.73	72	
05/14/12		43		2,152.15	38 2800	1,646.04 1,646.04	506.11	75	
06/18/12		40		2,002 00	38 8600	1,554.40 1,554.40	447 60	70	
02/20/13		113		5,655 65	42.4796	4,800.19 4,800.19	855.46	198	
08/20/13		7		350.35	48 1300	336.91 336.91	13.44	12	
09/03/13		28		1,401.40	47.1100	1,319 08 1,319 08	82.32	49	
09/18/13		26		1,301.30	48 4100	1,258 66 1,258 66	42.64	45	
10/18/13		28		1,401.40	46.5600	1,303.68 1,303.68	97.72	49	
11/18/13		168		8,408.40	47.8545	8,039.55 8,039 55	368.85	295	

EQUITIES AND OPTIONS continue on page 53

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[4]	*Estimated 30-Day Yield*[5]
11/20/13	MERCK & COMPANY INC NEW (continued)	6		300 30	48 0800	288.48 288.48	11.82	10	
12/17/13		34		1,701.70	47 9700	1,630 98 1,630.98	70.72	59	
Total		919		45,995.95	40.5357	37,252.29 37,252.29	8,743.66	1,608	
11/18/10	MICROSOFT CORP[C] MSFT	291	37.41	10,886.31	25.9781	7,559 64 7,559.64	3,326.67	325	2.99%
04/01/11		6		224.46	25.3900	152 34 152.34	72 12	6	
02/14/12		41		1,533.81	30 1000	1,234 10 1,234.10	299 71	45	
03/13/12		33		1,234.53	32 6500	1,077.45 1,077 45	157 08	36	
04/11/12		45		1,683.45	30.3500	1,365.75 1,365 75	317.70	50	
05/14/12		36		1,346.76	30.9300	1,113 48 1,113.48	233 28	40	
06/18/12		38		1,421.58	29.8100	1,132.78 1,132.78	288.80	42	

EQUITIES AND OPTIONS continue on page 54

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[3]
08/20/13	MICROSOFT CORP (continued)	13		486.33	31 7300	412.49 412 49	73.84	14	
09/03/13		23		860.43	31 3500	721.05 721.05	139.38	25	
09/18/13		21		785.61	33.1500	696.15 696 15	89.46	23	
10/18/13		22		823.02	34.8800	767 36 767.36	55.66	24	
11/18/13		27		1,010.07	37 2900	1,006.83 1,006.83	3.24	30	
12/17/13		11		411.51	36.4100	400.51 400 51	11 00	12	
Total		607		22,707.87	29.0608	17,639.93 17,639.93	5,067.94	672	
12/17/09	OCCIDENTAL PETROLEUM C CORP OXY	50	95 10	4,755.00	78.3374	3,916.87 3,916.87	838.13	128	2.69%
01/08/10		2		190.20	82.7700	165 54 165.54	24 66	5	
06/17/10		50		4,755.00	86 3776	4,318.88 4,318.88	436.12	128	

EQUITIES AND OPTIONS continue on page 55

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[3]
02/14/12	OCCIDENTAL PETROLEUM (continued)	15		1,426.50	103 6200	1,554 30 1,554 30	-127 80	38	
03/13/12		11		1,046.10	99 9100	1,099 01 1,099.01	-52.91	28	
04/11/12		16		1,521.60	90.0000	1,440.00 1,440 00	81.60	40	
05/14/12		12		1,141.20	82.2200	986.64 986.64	154.56	30	
06/18/12		14		1,331.40	83 2900	1,166 06 1,166.06	165.34	35	
05/14/13		78		7,417 80	91 2532	7,117 75 7,117.75	300.05	199	
08/20/13		4		380.40	86 0300	344 12 344.12	36.28	10	
09/03/13		11		1,046.10	88 1000	969.10 969.10	77.00	28	
09/18/13		10		951.00	92.2300	922 30 922.30	28.70	25	
10/18/13		11		1,046.10	98.0900	1,078 99 1,078.99	-32.89	28	

EQUITIES AND OPTIONS continue on page 56

The Peltier Foundation
72-1416778

Form 990-PF
Part 11, Line 10b
Schedule 9

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[3]
02/14/12	PFIZER INC (continued)	99		3,032.37	21.2351	2,102.27 2,102.27	930 10	102	
03/13/12		81		2,481 03	22.0100	1,782.81 1,782.81	698 22	84	
04/11/12		94		2,879 22	22.0500	2,072.70 2,072 70	806.52	97	
05/14/12		107		3,277 41	22 6194	2,420 28 2,420.28	857.13	111	
06/18/12		94		2,879 22	22.6900	2,132.86 2,132.86	746 36	97	
08/20/13		20		612 60	28.7000	574 00 574.00	38 60	20	
09/03/13		54		1,654 02	27.9700	1,510.38 1,510.38	143.64	56	
09/18/13		52		1,592 76	29.0400	1,510.08 1,510.08	82.68	54	
10/18/13		53		1,623.39	30 4600	1,614 38 1,614 38	9 01	55	
11/18/13		45		1,378.35	32.0600	1,442 70 1,442 70	-64 35	46	

EQUITIES AND OPTIONS continue on page 58

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[3]
11/18/13	OCCIDENTAL PETROLEUM (continued)	11		1,046.10	97.1500	1,068.65 1,068.65	-22.55	28	
12/17/13		8		760.80	90.4300	723.44 723.44	37.36	20	
Total		303		28,815.30	88 6853	26,871.65 26,871.65	1,943.65	770	
05/05/09	PFIZER INC C PFE	389	30.63	11,915 07	14 3500	5,582.15 5,582.15	6,332.92	404	3.40%
05/07/09		56		1,715.28	13.9400	780.64 780.64	934 64	58	
10/16/09		119		3,644 97	17.6875	2,104.81 2,104.81	1,540 16	123	
01/08/10		11		336.93	18.6700	205.37 205.37	131.56	11	
09/01/10		120		3,675.60	16 3399	1,960.79 1,960.79	1,714.81	124	
11/18/10		27		827.01	16 8900	456.03 456 03	370.98	28	
04/01/11		10		306.30	20 3700	203.70 203.70	102.60	10	

EQUITIES AND OPTIONS continue on page 57

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[3]
12/17/13	PFIZER INC (continued)	46		1,408.98	30.1900	1,388.74 1,388.74	20.24	47	
Total		1,477		45,240.51	20.2063	29,844.69 29,844.69	15,395.82	1,527	
10/11/10	PHILIP MORRIS INTL INC C PM	142	87.13	12,372.46	56.7300	8,055.66 8,055.66	4,316.80	533	4.32%
02/14/12		18		1,568.34	81.2500	1,462.50 1,462.50	105.84	67	
03/13/12		16		1,394 08	85.7300	1,371.68 1,371.68	22 40	60	
04/11/12		20		1,742.60	87.5100	1,750.20 1,750.20	-7.60	75	
05/14/12		18		1,568 34	85 5800	1,540.44 1,540.44	27.90	67	
06/18/12		19		1,655.47	88.3900	1,679.41 1,679.41	-23.94	71	
09/03/13		10		871.30	83.4100	834.10 834 10	37.20	37	
09/18/13		10		871.30	89.5500	895.50 895.50	-24.20	37	

EQUITIES AND OPTIONS continue on page 59

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/18/13	PHILIP MORRIS INTL INC (continued)	10		871.30	86.8100	868 10 868.10	3.20	37	
11/18/13		7		609.91	91.6600	641 62 641 62	-31.71	26	
12/17/13		12		1,045 56	84.5200	1,014.24 1,014.24	31 32	45	
Total		282		24,570.66	71.3243	20,113.45 20,113.45	4,457.21	1,055	
12/09/13	PHILLIPS 66 C PSX	326	77.13	25,144.38	72 3395	23,582 67 23,582.67	1,561.71	508	2 02%
12/17/13		12		925.56	72 9400	875.28 875.28	50.28	18	
Total		338		26,069.94	72.3608	24,457.95 24,457.95	1,611.99	526	
07/17/13	PNC FINANCIAL SERVICES C GROUP INC PNC	157	77.58	12,180.06	73 7871	11,584.58 11,584 58	595 48	276	2 27%
09/03/13		7		543 06	72 3300	506.31 506.31	36 75	12	
09/18/13		7		543.06	75 3300	527.31 527.31	15.75	12	

EQUITIES AND OPTIONS continue on page 60

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[4]	Estimated 30-Day Yield[3]
10/18/13	PNC FINANCIAL SERVICES (continued)	7		543.06	74 9500	524 65 524.65	18.41	12	
11/18/13		4		310.32	75 9200	303 68 303 68	6.64	7	
12/17/13		9		698.22	74.7900	673.11 673.11	25.11	15	
Total		191		14,817.78	73.9248	14,119.64 14,119.64	698.14	334	
05/05/09	PROCTER & GAMBLE COMPANY C PG	31	81.41	2,523.71	49.9200	1,547.52 1,547.52	976.19	74	2.96%
06/03/09		52		4,233 32	53.6288	2,788.70 2,788.70	1,444 62	125	
01/08/10		2		162 82	60.1200	120 24 120.24	42 58	4	
05/20/11		50		4,070.50	67.4190	3,370.95 3,370 95	699.55	120	
02/14/12		20		1,628.20	64.2100	1,284.20 1,284.20	344.00	48	
03/13/12		15		1,221 15	67 8800	1,018.20 1,018.20	202.95	36	

EQUITIES AND OPTIONS continue on page 61

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[3]
04/11/12	PROCTER & GAMBLE COMPANY (continued)	18		1,465 38	66 5400	1,197 72 1,197 72	267 66	43	
05/14/12		20		1,628 20	63 7300	1,274 60 1,274 60	353 60	48	
06/18/12		17		1,383.97	61 8900	1,052.13 1,052 13	331 84	40	
08/20/13		1		81 41	79.8800	79 88 79 88	1 53	2	
08/22/13		77		6,268.57	79 5396	6,124.55 6,124.55	144 02	185	
09/03/13		11		895 51	77 5900	853 49 853 49	42 02	26	
09/18/13		13		1,058.33	79.9900	1,039.87 1,039.87	18 46	31	
10/18/13		13		1,058 33	79 4800	1,033.24 1,033 24	25.09	31	
11/18/13		11		895 51	84 2200	926 42 926.42	-30.91	26	

EQUITIES AND OPTIONS continue on page 62

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[3]
12/17/13	PROCTER & GAMBLE COMPANY (continued)	13		1,058.33	80 9600	1,052.48 1,052 48	5.85	31	
Total		364		29,633.24	68.0335	24,764.19 24,764.19	4,869.05	870	
06/03/09	PRUDENTIAL FINANCIAL INC C PRU	49	92.22	4,518.78	40.6890	1,993.76 1,993.76	2,525.02	103	2.30%
07/29/09		43		3,965.46	42.0388	1,807.67 1,807.67	2,157 79	91	
04/06/10		7		645.54	64.1100	448.77 448.77	196.77	14	
12/14/10		32		2,951.04	56.5800	1,810.56 1,810.56	1,140.48	67	
04/01/11		6		553 32	62.4700	374.82 374.82	178.50	12	
02/14/12		19		1,752.18	58.8000	1,117.20 1,117.20	634.98	40	
03/13/12		15		1,383.30	63.0100	945.15 945.15	438.15	31	
04/11/12		23		2,121.06	60.3700	1,388.51 1,388.51	732.55	48	

EQUITIES AND OPTIONS continue on page 63

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[a]
05/14/12	PRUDENTIAL FINANCIAL INC (continued)	15		1,383.30	50 4000	756 00 756.00	627.30	31	
06/18/12		17		1,567 74	47.3600	805.12 805 12	762.62	36	
08/20/13		1		92 22	77.6400	77 64 77 64	14.58	2	
09/03/13		10		922.20	75 8300	758 30 758.30	163 90	21	
09/18/13		9		829.98	81.1600	730.44 730.44	99.54	19	
10/18/13		10		922.20	82.3800	823 80 823.80	98.40	21	
11/18/13		8		737 76	89.5900	716 72 716.72	21.04	16	
12/17/13		9		829.98	88 0400	792 36 792 36	37.62	19	
Total		273		25,176.06	56.2155	15,346 82 15,346.82	9,829.24	571	
08/10/11	PUBUC SERVICE C ENTERPRISE GROUP INC PEG	311	32.04	9,964.44	29.4203	9,149.71 9,149 71	814.73	447	4.49%

EQUITIES AND OPTIONS continue on page 64

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[3]
02/14/12	PUBLIC SERVICE (continued)	31		993.24	30 5300	946.43 946 43	46 81	44	
03/13/12		33		1,057.32	30.4900	1,006.17 1,006.17	51.15	47	
04/11/12		44		1,409 76	29.3600	1,291.84 1,291.84	117.92	63	
05/14/12		39		1,249 56	31.8700	1,242 93 1,242.93	6.63	56	
06/18/12		39		1,249.56	32 1000	1,251 90 1,251 90	-2 34	56	
08/20/13		13		416 52	32.6000	423 80 423 80	-7.28	18	
09/03/13		22		704 88	32.0200	704 44 704 44	0 44	31	
09/18/13		22		704.88	33.6100	739 42 739.42	-34 54	31	
10/18/13		22		704.88	33.9300	746.46 746 46	-41.58	31	
11/18/13		20		640.80	33 9200	678 40 678 40	-37.60	28	

EQUITIES AND OPTIONS continue on page 65

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*	*Estimated 30-Day Yield*
12/17/13	PUBLIC SERVICE (continued)	19		608 76	31 9200	606.48 606.48	2 28	27	
Total		615		19,704.60	30 5496	18,787.98 18,787.98	916 62	879	
09/05/12	RPM INTERNATIONAL INC ᶜ RPM	169	41 51	7,015 19	27.7031	4,681.83 4,681.83	2,333 36	162	2 31%
09/25/12		166		6,890 66	29.0472	4,821.84 4,821 84	2,068 82	159	
08/20/13		5		207.55	34.7800	173.90 173.90	33.65	4	
09/03/13		15		622 65	34.0800	511.20 511.20	111 45	14	
09/18/13		14		581.14	36 2300	507.22 507.22	73 92	13	
10/18/13		15		622 65	37 4900	562.35 562.35	60 30	14	
11/18/13		11		456.61	39.7300	437 03 437 03	19.58	10	

EQUITIES AND OPTIONS continue on page 66

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[3]
12/17/13	RPM INTERNATIONAL INC (continued)	17		705 67	39 8000	676.60 676 60	29.07	16	
Total		412		17,102.12	30.0291	12,371.97 12,371.97	4,730.15	392	
05/30/12	SPECTRA ENERGY CORP C SE	487	35.62	17,346.94	28 7999	14,025.55 14,025.55	3,321 39	594	3.43%
06/18/12		41		1,460.42	27.8300	1,141.03 1,141 03	319.39	50	
08/20/13		7		249 34	33 6000	235.20 235 20	14.14	8	
09/03/13		23		819.26	32 9700	758.31 758.31	60 95	28	
09/18/13		23		819.26	34 1500	785.45 785.45	33 81	28	
10/18/13		23		819.26	35.2700	811.21 811.21	8 05	28	
11/18/13		17		605.54	34.1000	579.70 579.70	25 84	20	

EQUITIES AND OPTIONS continue on page 67

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[1] *Purchase Cost equals Cost Basis of Equities and Mutual Funds less*

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/17/13	SPECTRA ENERGY CORP (continued)	25		890 50	33 0800	827 00 827.00	63 50	30	
Total		646		23,010.52	29.6648	19,163.45 19,163.45	3,847.07	786	
10/24/12	STATE STREET CORP C STT	238	73 39	17,466.82	44.3592	10,557.48 10,557.48	6,909 34	247	1.42%
08/20/13		7		513 73	67 6400	473 48 473.48	40.25	7	
09/03/13		11		807.29	67.5800	743 38 743.38	63.91	11	
09/18/13		10		733 90	69.6900	696.90 696 90	37 00	10	
10/18/13		11		807 29	69 7000	766.70 766 70	40.59	11	
11/18/13		12		880 68	71.5400	858 48 858 48	22 20	12	
12/17/13		6		440.34	69.7400	418.44 418 44	21.90	6	
Total		295		21,650.05	49.2029	14,514 86 14,514.86	7,135 19	304	
05/05/09	TRAVELERS COMPANIES INC C TRV	88	90.54	7,967.52	41 1584	3,621 94 3,621 94	4,345.58	176	2.21%

EQUITIES AND OPTIONS continue on page 68

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[3]
12/17/13	TRAVELERS COMPANIES INC (continued)	4		362 16	86 7100	346 84 346 84	15 32	8	
Total		173		15,663.42	54.8092	9,482.00 9,482.00	6,181.42	346	
05/11/11	U S BANCORP DE NEW C USB	287	40 40	11,594 80	25.3582	7,277.80 7,277.80	4,317.00	264	2.28%
02/14/12		59		2,383.60	28 7851	1,698 32 1,698 32	685 28	54	
03/13/12		34		1,373.60	30.9300	1,051.62 1,051 62	321 98	31	
04/11/12		47		1,898 80	30.8900	1,451.83 1,451.83	446.97	43	
05/14/12		37		1,494 80	31.7800	1,175 86 1,175 86	318 94	34	
06/18/12		39		1,575.60	31.5600	1,230.84 1,230 84	344.76	35	
12/27/12		15		606.00	31 7200	475 80 475.80	130.20	13	
08/20/13		11		444 40	36 7800	404 58 404 58	39 82	10	

EQUITIES AND OPTIONS continue on page 70

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[3]
02/14/12	TRAVELERS COMPANIES INC (continued)	12		1,086.48	58.8300	705.96 705.96	380.52	24	
03/13/12		9		814.86	58 3800	525.42 525.42	289 44	18	
04/11/12		12		1,086.48	58.1800	698.16 698.16	388.32	24	
05/14/12		12		1,086 48	64.8300	777 96 777.96	308.52	24	
06/18/12		12		1,086.48	63 1900	758 28 758.28	328.20	24	
09/03/13		6		543.24	80.4400	482.64 482.64	60.60	12	
09/18/13		6		543 24	85.6700	514 02 514.02	29 22	12	
10/18/13		6		543.24	86 7000	520 20 520 20	23 04	12	
11/18/13		6		543.24	88.4300	530 58 530.58	12.66	12	

EQUITIES AND OPTIONS continue on page 69

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[1] Purchase Cost equals Cost Basis of

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[9]
12/17/13	TRAVELERS COMPANIES INC (continued)	4		362.16	86 7100	346 84 346 84	15 32	8	
Total		173		15,663.42	54.8092	9,482.00 9,482.00	6,181.42	346	
05/11/11	U S BANCORP DE NEW C USB	287	40 40	11,594 80	25.3582	7,277.80 7,277.80	4,317 00	264	2 28%
02/14/12		59		2,383.60	28.7851	1,698 32 1,698 32	685 28	54	
03/13/12		34		1,373.60	30 9300	1,051.62 1,051 62	321.98	31	
04/11/12		47		1,898 80	30.8900	1,451 83 1,451 83	446 97	43	
05/14/12		37		1,494 80	31.7800	1,175 86 1,175 86	318 94	34	
06/18/12		39		1,575.60	31.5600	1,230 84 1,230 84	344.76	35	
12/27/12		15		606.00	31.7200	475.80 475 80	130 20	13	
08/20/13		11		444 40	36.7800	404.58 404 58	39 82	10	

EQUITIES AND OPTIONS continue on page 70

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[4]	Estimated 30-Day Yield[5]
09/03/13	U S BANCORP DE NEW (continued)	23		929 20	36 0200	828 46 828 46	100.74	21	
09/18/13		22		888 80	38 0100	836.22 836.22	52 58	20	
10/18/13		23		929 20	37.9400	872.62 872.62	56.58	21	
11/18/13		18		727.20	38.2300	688.14 688 14	39.06	16	
12/17/13		22		888.80	39.1900	862.18 862.18	26.62	20	
Total		637		25,734.80	29.5985	18,854.27 18,854.27	6,880.53	582	
02/07/12	UNION PACIFIC CORP C UNP	43	168.00	7,224 00	112.7781	4,849.46 4,849 46	2,374.54	135	1.88%
02/14/12		12		2,016 00	112.3600	1,348 32 1,348.32	667 68	37	
03/13/12		10		1,680 00	110 3600	1,103 60 1,103.60	576.40	31	
04/11/12		9		1,512.00	106.0000	954.00 954.00	558.00	28	

EQUITIES AND OPTIONS continue on page 71

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[A]	*Estimated 30-Day Yield*[B]
05/14/12	UNION PACIFIC CORP (continued)	7		1,176.00	111.5700	780.99 780 99	395 01	22	
06/18/12		7		1,176 00	116 6900	816 83 816.83	359.17	22	
09/03/13		4		672 00	153 1400	612 56 612 56	59 44	12	
09/18/13		4		672 00	159.2400	636 96 636 96	35.04	12	
10/18/13		4		672 00	152.4900	609 96 609 96	62 04	12	
12/17/13		8		1,344.00	161 1300	1,289.04 1,289.04	54.96	25	
Total		108		18,144.00	120.3863	13,001.72 13,001.72	5,142.28	336	
05/05/09	UNITED TECHNOLOGIES CORP C UTX	50	113.80	5,690.00	51.2500	2,562 50 2,562.50	3,127.50	118	2.07%
01/08/10		1		113 80	70 3800	70.38 70 38	43.42	2	
02/14/12		5		569 00	84 1900	420 95 420 95	148.05	11	

EQUITIES AND OPTIONS continue on page 72

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[2]
03/13/12	UNITED TECHNOLOGIES CORP (continued)	6		682.80	86.5100	519 06 519.06	163.74	14	
04/11/12		7		796.60	79.5900	557.13 557.13	239.47	16	
05/14/12		6		682.80	76.4200	458.52 458.52	224.28	14	
06/18/12		7		796 60	74.8500	523.95 523.95	272.65	16	
09/03/13		3		341.40	102.4300	307 29 307.29	34.11	7	
09/18/13		4		455 20	110.4200	441.68 441 68	13 52	9	
10/18/13		3		341.40	107.5300	322 59 322.59	18.81	7	
11/18/13		2		227.60	109 5800	219.16 219.16	8 44	4	
12/17/13		6		682 80	107 6500	645.90 645.90	36.90	14	
Total		100		11,380.00	70.4911	7,049.11 7,049.11	4,330.89	232	

EQUITIES AND OPTIONS continue on page 73

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[3]	Estimated 30-Day Yield[3]
05/05/09	VERIZON COMMUNICATIONS C INC VZ	251	49 14	12,334.14	28.8802	7,248.92 7,248.92	5,085.22	532	4.31%
01/08/10		23		1,130 22	29 6030	680.87 680.87	449 35	48	
11/18/10		10		491.40	32 7100	327 10 327.10	164.30	21	
02/14/12		49		2,407 86	37 8100	1,852.69 1,852 69	555 17	103	
03/13/12		33		1,621.62	39 4900	1,303 17 1,303.17	318.45	69	
04/11/12		43		2,113 02	37 4100	1,608.63 1,608 63	504.39	91	
05/14/12		37		1,818 18	40 9800	1,516.26 1,516.26	301.92	78	
06/18/12		38		1,867 32	43 9600	1,670 48 1,670 48	196.84	80	
12/27/12		18		884.52	43.0789	775.42 775.42	109.10	38	
08/20/13		8		393.12	47 6900	381.52 381 52	11.60	16	

EQUITIES AND OPTIONS continue on page 74

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[3]
09/03/13	VERIZON COMMUNICATIONS (continued)	22		1,081.08	46 2800	1,018.16 1,018.16	62.92	46	
09/18/13		22		1,081.08	48 5100	1,067.22 1,067.22	13.86	46	
10/18/13		22		1,081.08	49 9500	1,098.90 1,098.90	-17.82	46	
11/18/13		20		982 80	50 5600	1,011.20 1,011.20	-28.40	42	
12/17/13		19		933.66	47.5500	903 45 903 45	30.21	40	
Total		615		30,221.10	36.5268	22,463.99 22,463.99	7,757.11	1,296	
06/18/13	WALGREEN COMPANY C WAG	274	57.44	15,738.56	50.6181	13,869.35 13,869.35	1,869.21	345	2.19%
08/20/13		3		172.32	48.4500	145.35 145 35	26.97	3	
09/03/13		12		689 28	48.6300	583 56 583 56	105.72	15	
09/18/13		12		689.28	55.4700	665.64 665.64	23.64	15	

EQUITIES AND OPTIONS continue on page 75

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[a]
10/18/13	WALGREEN COMPANY (continued)	12		689.28	58 6900	704.28 704.28	-15.00	15	
11/18/13		13		746.72	60 0700	780 91 780.91	-34.19	16	
12/17/13		7		402 08	56 5600	395 92 395.92	6 16	8	
Total		333		19,127.52	51.4865	17,145 01 17,145.01	1,982.51	417	
05/05/09	WELLS FARGO & CO NEW[c] WFC	265	45 40	12,031.00	22.9075	6,070.50 6,070.50	5,960.50	318	2.64%
05/07/09		44		1,997.60	27.6600	1,217 04 1,217 04	780.56	52	
04/06/10		14		635.60	32 1793	450 51 450 51	185 09	16	
04/01/11		6		272 40	32 0700	192.42 192.42	79.98	7	
02/14/12		35		1,589.00	30.1700	1,055 95 1,055 95	533 05	42	
03/13/12		35		1,589.00	33.3800	1,168.30 1,168 30	420.70	42	

EQUITIES AND OPTIONS continue on page 76

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[2]
04/11/12	WELLS FARGO & CO NEW (continued)	46		2,088.40	33.8500	1,557 10 1,557.10	531.30	55	
05/08/12		136		6,174 40	33 2199	4,517.91 4,517.91	1,656 49	163	
05/14/12		56		2,542.40	32 6800	1,830.08 1,830.08	712.32	67	
06/18/12		54		2,451.60	32.4700	1,753.38 1,753.38	698.22	64	
12/27/12		97		4,403 80	33.9099	3,289.26 3,289.26	1,114 54	116	
08/20/13		11		499.40	42.5100	467.61 467.61	31 79	13	
09/03/13		35		1,589.00	41.2500	1,443.75 1,443 75	145.25	42	
09/18/13		34		1,543.60	43.5800	1,481.72 1,481.72	61.88	40	
10/18/13		35		1,589.00	42.6800	1,493.80 1,493.80	95 20	42	
11/18/13		32		1,452 80	43.6900	1,398 08 1,398.08	54 72	38	

EQUITIES AND OPTIONS continue on page 77

The Peltier Foundation
72-1416778

Form 990-PF
Part 11, Line 10b
Schedule 9

Account Holdings as of December 31, 2013

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[3]	*Estimated 30-Day Yield*[3]
12/17/13	WELLS FARGO & CO NEW (continued)	27		1,225.80	43.6400	1,178.28 1,178.28	47 52	32	
Total		962		43,674.80	31.7731	30,565.69 30,565.69	13,109.11	1,149	
TOTAL EQUITIES AND OPTIONS				**$1,549,802.01**		**$1,154,006.11** **$1,154,006.11**	**$395,795.90**	**$44,064**	

The Peltier Foundation, Inc.
72-1416778

Form 990-PF
Part II, Line 10b
Schedule 10

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[2]	Estimated 30-Day Yield[R]
09/24/09* Purchases	ALLIANZGI NFJ [R] DIVIDEND VALUE CL P ADJPX	15,438 796	$15 99	$246,866.34	$11 54	$178,110 55 162,866 46	$68 755 79	$5,869	2 38%
07/25/13* Purchases	BARON [R] ASSET INSTL CL BARIX	1,443 28	63 04	90,984.37	59 96	86,536 83 79,135 15	4,447 54	—	—
07/22/11* Purchases	DELAWARE [R] HIGH YIELD OPPORTUNITIES INSTL CL DHOIX	23,417 24	4 35	101,864 99	4 19	98,182.44 80,958 53	3,682 55	6,191	6 09%
07/22/11* Purchases	HARBOR [R] CAP APRC INSTL CL HACAX	5,001.943	56 69	283,560 14	41 94	209,796.31 200,327 60	73,763 83	842	0.30%
11/19/13* Purchases	LOOMIS SAYLES [R] GROWTH CL Y LSGRX	11,129 057	9.50	105,726 04	9 01	100,281 28 99,967.29	5,444 76	811	0 77%
09/18/12* Purchases	MAINSTAY EPOCH [R] GLOBAL EQUITY YIELD CL I EPSYX	8,032.582	19.50	156,635.34	16.55	132,925.61 126,383 25	23,709 73	4,606	2.94%
06/05/12* Purchases	NUVEEN [R] HIGH YIELD MUN BOND CL I NHMRX	1,803.745	15 36	27,705 52	16 41	29,594 78 27,099 07	-1,889 26	1,801	6 55%
02/22/13* Purchases	OPPENHEIMER [R] SENIOR FLOATING RATE CL Y OOSYX	9,675.329	8 41	81,369 51	8 33	80,637 53 77,433 98	731 98	4,075	5 02%
06/11/10* Purchases	PIONEER [R] GLOBAL HIGH YIELD CL Y GHYYX	6,028.181	9.85	59,377 58	9.54	57,515.97 38,746.21	1,861.61	4,241	7 17%
04/04/11* Purchases	PRINCIPAL [R] PREFERRED SECURITIES CL P PPSPX	6,465.183	9.81	63,423.44	10,10	65,298 91 52,124 96	-1,875 47	3,635	5 76%
07/22/11* Purchases	ROYCE [R] DIVIDEND VALUE INVESTMENT CL RDVIX	22,298 865	8 90	198,459 89	7 14	159,286 74 142,596 60	39,173.15	2,755	1.39%
05/16/12* Purchases	STEELPATH OPPENHEIMER [R] MLP SELECT 40 CL Y MLPTX	10,560 515	12.28	129,683 12	10.86	114,718.32 106,554 53	14,964 80	7,451	5 75%
05/08/13* Purchases	THORNBURG [R] INCOME BUILDER CL I TIBIX	4,824.025	21.04	101,497 48	20.94	101,019 92 97,955.85	477 56	5,541	5 46%
TOTAL MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS				$1,647,153.76		$1,413,905 19	$233,248.57	$47,818	

The Peltier Foundation, Inc.
72-1416778

Form 990-PF
Part II, Line 10b
Schedule 11

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[2]	*Estimated 30-Day Yield*[2]
06/05/12* *Purchases*	ISHARES MBS ETF MBB	779	$104.57	$81,460.03	$107.76	$83,946.91 83,946 91	-$2,486 88	$1,032	1.27%
09/19/13* *Purchases*	ISHARES CORE S&P SMALL CAP ETF IJR	1,397	109.13	152,454.61	104.19	145,551.64 145,551 64	6,902.97	1,290	0.85%
08/14/13 *Purchases*	ISHARES MSCI MEXICO CAPPED ETF EWW	522	68.00	35,496.00	69 32	36,184.99 36,184.99	-688 99	694	1.96%
03/20/13* *Purchases*	SPDR S&P MID CAP 400 ETF MDY	1,789	244.20	436,873.80	216.81	387,879.57 387,879.57	48,994.23	4,655	1.07%
10/23/13 *Purchases*	SPDR S&P 500 ETF SPY	211	184.69	38,969.59	174.19	36,753.54 36,753.54	2,216.05	707	1 81%
TOTAL MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS				**$745,254.03**		**$690,316.65** **$690,316.65**	**$54,937.38**	**$8,378**	

* *Date of Earliest Acquisition*

The Peltier Foundation
72-1416778

From 990-PF
Part XV page 11
Schedule 12

THE PELTIER FOUNDATION
DONATIONS AS OF 12/31/2013

YEAR	TOTAL TO BE DONATED	PLEDGED AMOUNT	DATE PAID
2013	$ 885,098.00		
1)	**St. Joseph Seminary College** 75376 River Road Saint Benedict, LA 70457 **for renovations to house seminarians**	$ 10,000.00	2/04/2013
2)	**Nicholls Foundation** P.O. Box 2074 Thibodaux, LA 70310 **for advertising Nicholls Online Degree Program**	$ 27,000.00	5/20/2013
3)	**Nicholls Alumni Federation** P.O. Box 2158 Thibodaux, LA 70310 **for general fund**	$ 10,000.00	5/20/2013
4)	**Nicholls State Athletics** P.O. Box 2068 Thibodaux, LA 70310 **for basketball center project**	$ 25,000.00	5/20/2013
5)	**LSU Foundation** 3838 West Lakeshore Drive Baton Rouge, LA 70808 **for general fund**	$ 50,000.00	5/20/2013
6)	**Edward Douglas White Catholic High School** 555 Cardinal Drive Thibodaux, LA 70301 **for general fund**	$ 50,000.00	5/20/2013
7)	**Good Samaritan Food Bank** 100 Birch Street Thibodaux, LA 70301 **for general fund**	$ 25,000.00	5/20/2013

8)	**CASA of Lafourche** P.O. Box 1343 Thibodaux, LA 70302 **for general fund**	$	10,000.00	5/20/2013
9)	**Diocese of Houma-Thibodaux** P.O. Box 505 Schriever, LA 70395 **for seminarian's burse in the name of The Peltier Foundation**	$	15,000.00	5/20/2013
10)	**St. Joseph Catholic Elementary School** 501 Cardinal Drive Thibodaux, LA 70301 **for annual fund**	$	25,000.00	5/20/2013
11)	**St. Genevieve School** 807 Barbier Avenue Thibodaux, LA 70301 **for general fund**	$	25,000.00	5/20/2013
12)	**Wounded Warrior Project** P.O. Box 758516 Topeka, Kansas 66675-8516 **for general fund**	$	25,000.00	5/20/2013
13)	**Thibodaux Volunteer Fire Dept.** P.O. Box 1421 Thibodaux, LA 70302 **for general fund**	$	25,000.00	5/20/2013
14)	**Brothers of the Sacred Heart Foundation** 4600 Elysian Fields Avenue New Orleans, LA 70122 **for general fund**	$	10,000.00	5/20/2013
15)	**Sisters of Mount Carmel** P.O. Box 476 Lacombe, LA 70445 **for general fund**	$	10,000.00	5/20/2013

16)	**St. Genevieve Catholic Church** 815 Barbier Avenue Thibodaux, LA 70301 **for general fund**	$	10,000.00	5/20/2013
17)	**St. John the Evangelist Catholic Church** 2085 St. Mary Street Thibodaux, LA 70301 **for general fund**	$	10,000.00	5/20/2013
18)	**St. Charles Borromeo Catholic Church** 1027 Highway 308 Thibodaux, LA 70301 **for general fund**	$	10,000.00	5/20/2013
19)	**Christ the Redeemer Catholic Church** 720 Talbot Avenue Thibodaux, LA 70301 **for general fund**	$	10,000.00	5/20/2013
20)	**Our Lady of the Isle Church** P.O. Box 885 Grand Isle, LA 70358 **for general fund**	$	10,000.00	5/20/2013
21)	**Blue Star Mothers of Louisiana #2** P.O. Box 223 Schriever, LA 70395 **for general fund**	$	5,000.00	5/20/2013
22)	**HOPE for Animals** P.O. Box 1341 Thibodaux, LA 70302 **for general fund**	$	5,000.00	5/20/2013
23)	**St. Thomas Acquinas Church** 204 NSU-Madewood Drive Thibodaux, LA 70301 **for general fund**	$	10,000.00	5/20/2013

24)	**Thibodaux Senior Citizens Center, Inc.** 1229 Canal Boulevard Thibodaux, LA 70301 **for holiday luncheon**	$	5,000.00	5/20/2013
25)	**American Red Cross** **Southeast Louisiana Chapter** 2640 Canal Street New Orleans, LA 70119 **for general fund**	$	10,000.00	5/20/2013
26)	**Boy Scouts of America** **Southeast Louisiana Council** 4200 S. I-10 Service Rd. W. Suite 101 Metairie, LA 70001 **for general fund**	$	5,000.00	5/20/2013
27)	**Bayou Country Children's Museum** P.O. Box 1093 Thibodaux, LA 70302 **for children's museum**	$	25,000.00	5/20/2013
28)	**Crossroads Pregnancy Resource Center** 105 St. Louis Street Thibodaux, LA 70301 **for general fund**	$	15,000.00	5/20/2013
29)	**Works of St. Vincent** **St. Joseph Catholic Church** 721 Canal Boulevard Thibodaux, LA 70301 **for general fund**	$	5,000.00	5/20/2013
30)	**Louisiana Pediatric Cardiology Foundation** 7777 Hennessy Blvd. Suite 1004-125 Baton Rouge, LA 70808 **for general fund**	$	10,000.00	5/20/2013

31)	**Public Affairs Research Council of Louisiana, Inc.** P.O. Box 14776 Baton Rouge, LA 70898-4776 **for general fund**	$	15,000.00	5/20/2013
32)	**STARC** of Louisiana, Inc. 1541 St. Ann Place Slidell, LA 70460 **for general fund**	$	5,000.00	5/20/2013
33)	**Nicholls Foundation** c/o Brenda Haskins, Co-Chairman Louisiana Swamp Stomp Festival P.O. Box 2975 Thibodaux, LA 70310 **for 2014 Swamp Stomp Festival**	$	2,000.00	6/18/2013
34)	**City of Thibodaux** c/o Mr. Neal Swanner Norman Swanner "Big Boy" Fund, Inc. 212 East Bayou Road - Hwy. 308 Thibodaux, LA 70301 **for Norman Swanner Dog Park**	$	10,000.00	6/18/2013
35)	**Friends of Grand Isle, Inc.** c/o Mr. Bob Stewart P.O. Box 575 Grand Isle, LA 70358 **for Grand Isle Ambulance Fund**	$	15,000.00	6/18/2013
36)	**Our Lady of Prompt Succor Church** 529 Highway 20 Thibodaux, LA 70301 **for gutter and fascia work**	$	25,000.00	7/10/2013
37)	**Diocese of Houma-Thibodaux** c/o Father Mark Toups Director of Seminarians 513 Forrest Blvd. Houma, LA 70360 **for Vocations Office medical expenses**	$	3,000.00	7/10/2013

38)	**St. Joseph Catholic School** 501 Cardinal Drive Thibodaux, LA 70301 **for I-Pad initiative**	$	25,000.00	7/30/2013
39)	**Nicholls Alumni Federation** P.O. Box 2158 Thibodaux, LA 70310 **for golf tournament sponsorship**	$	2,000.00	8/27/2013
40)	**St. Vincent de Paul TriParish Community Pharmacy** 7385 Main Street Houma, LA 70360 **for general fund**	$	10,000.00	8/29/2013
41)	**Friends of Lafourche Drug Court Foundation** P.O. Box 982 Thibodaux, LA 70302 **for general fund**	$	5,000.00	9/10/2013
42)	**St. John's Historic Cemetery Association, Inc.** 718 Jackson Street Thibodaux, LA 70301 **for general fund**	$	10,000.00	12/4/2013
43)	**St. Genevieve Catholic Church** 815 Barbier Avenue Thibodaux, LA 70301 **for parking lot**	$	25,000.00	12/4/2013
44)	**Nicholls Foundation** P.O. Box 2074 Thibodaux, LA 70310 **for Ridley Gros Scholarship Fund**	$	5,000.00	12/4/2013
45)	**Lafourche Crossing 308 Volunteer Fire Department** 691 Highway 308 Thibodaux, LA 70301 **for general fund**	$	2,500.00	12/4/2013

46)	**Make-A-Wish Foundation of the Texas Gulf Coast & La., Inc.** 1604 Bissonnet Houston, Texas 77005 **for general fund**	$	10,000.00	12/4/2013
47)	**The USO** P.O. Box 96860 Washngton, DC 20077-7677 **for general fund**	$	5,000.00	12/4/2013
48)	**Thibodaux Area Christmas Toy Drive** P. O. Box 1014 Thibodaux, LA 70302 **for general fund**	$	10,000.00	12/4/2013
49)	**Nicholls Foundation** P.O. Box 2099 Thibodaux, LA 70310 **for Chef John Folse Culinary Institute (naming rights)**	$	125,000.00	12/4/2013
50)	**Diocese of Houma-Thibodaux** P.O. Box 505 Schriever, Louisiana 70395 **for Typhoon Haiyan Relief**	$	25,000.00	12/4/2013
51)	**Bayou Community Foundation** P.O. Box 582 Houma, Louisiana 70361 **1/2 for Restore or Retreat** **1/2 to be used in Lafourche Parish**	$	50,000.00	12/4/2013
52)	**UNICEF** 125 Maiden Lane New York, NY 10038 **for Philippines**	$	15,000.00	12/4/2013

Total Committed for year 2013 ------------------------$ 886,500.00
Total Uncommitted for year 2013----------------------$ 0.00