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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

For calendar year 2013, or tax year beginning , 2013, and ending

THE LUPIN FOUNDATION
234 METAIRIE ROAD
METAIRIE, LA 70005A Employer identification number
72-0940770B Telephone number (see the instructions)
504 849 0518

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization. ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 17,094,016.
 J Accounting method ☐ Cash ☒ Accrual
 (Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (att sch)				
2 Cl ☒ if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	671,841.	671,841.	671,841.	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	763,674.			
b Gross sales price for all assets on line 6a	8,636,737.			
7 Capital gain net income (from Part IV, line 2)		763,674.		
8 Net short-term capital gain			168,935.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (attach sch)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	1,438,995.	1,438,995.	840,776.	
13 Compensation of officers, directors, trustees, etc	213,233.	117,233.		96,000.
14 Other employee salaries and wages	25,343.	4,383.		20,860.
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) See St 2	6,994.	699.		6,295.
b Accounting fees (attach sch) See St 3	86,500.	21,625.		64,875.
c Other prof fees (attach sch) See St 4	41,605.	41,605.		
17 Interest				
18 Taxes (attach schedule)(see instrs) See Stm 5	18,094.	11,751.		6,343.
19 Depreciation (attach sch) and depletion See Stmt 6	16,373.			
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) See Statement 7	92,591.	38,049.		54,542.
24 Total operating and administrative expenses. Add lines 13 through 23	500,733.	235,345.		248,915.
25 Contributions, gifts, grants paid Part XV	597,000.			597,000.
26 Total expenses and disbursements. Add lines 24 and 25	1,097,733.	235,345.	0.	845,915.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	341,262.			
b Net investment income (if negative, enter -0)		1,203,650.		
c Adjusted net income (if negative, enter -0)			840,776.	

8

SCANNED AUG 22 2014

ADMINISTRATIVE AND OPERATING EXPENSES

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AUG 13 2014

See Statement 1

Total. Add lines 1 through 11

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash — non-interest-bearing		1,151,363.	978,919.	978,919.	
	2	Savings and temporary cash investments					
	3	Accounts receivable	198,425.				
		Less: allowance for doubtful accounts		169,425.	198,425.	198,425.	
	4	Pledges receivable					
		Less: allowance for doubtful accounts					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach sch)					
		Less: allowance for doubtful accounts					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments — U.S. and state government obligations (attach schedule)					
	b	Investments — corporate stock (attach schedule)	17,208,379.	20,466,180.	14,375,576.		
	c	Investments — corporate bonds (attach schedule)					
	LIABILITIES	11	Investments — land, buildings, and equipment: basis				
		Less: accumulated depreciation (attach schedule)					
12		Investments — mortgage loans					
13		Investments — other (attach schedule)	1,282,039.	1,541,096.	1,541,096.		
14		Land, buildings, and equipment: basis	595,919.				
		Less: accumulated depreciation (attach schedule) See Stmt 8	297,367.	316,101.	298,552.		
15		Other assets (describe)					
16		Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	20,127,307.	23,483,172.	17,094,016.		
17		Accounts payable and accrued expenses					
18		Grants payable					
NET ASSETS OR FUND BALANCES	19	Deferred revenue					
	20	Loans from officers, directors, trustees, & other disqualified persons	172,936.	204,342.			
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe)					
	23	Total liabilities (add lines 17 through 22)	172,936.	204,342.			
	24	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.					
	25	Unrestricted					
	26	Temporarily restricted					
		Permanently restricted					
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	X				
27	Capital stock, trust principal, or current funds						
28	Paid-in or capital surplus, or land, building, and equipment fund						
29	Retained earnings, accumulated income, endowment, or other funds	19,954,371.	23,278,830.				
30	Total net assets or fund balances (see instructions)	19,954,371.	23,278,830.				
31	Total liabilities and net assets/fund balances (see instructions)	20,127,307.	23,483,172.				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	19,954,371.
2	Enter amount from Part I, line 27a	2	341,262.
3	Other increases not included in line 2 (itemize) See Statement 9	3	2,983,197.
4	Add lines 1, 2, and 3	4	23,278,830.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	23,278,830.

Part VII Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE SCHEDULE ATTACHED		P	Various	Various
b SEE SCHEDULE ATTACHED		P	Various	Various
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,673,209.		4,504,274.	168,935.
b 3,963,528.		3,368,789.	594,739.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(j) Fair Market Value as of 12/31/69	(i) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			168,935.
b			594,739.
c			
d			
e			

2 Capital gain net income or (net capital loss).	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	763,674.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	168,935.

Part VIII Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	954,907.	18,925,429.	0.050456
2011	910,076.	17,973,499.	0.050634
2010	870,273.	19,395,192.	0.044871
2009	923,272.	18,201,347.	0.050725
2008	1,254,678.	17,668,727.	0.071011

2 Total of line 1, column (d)	2	0.267697
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.053539
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	21,120,682.
5 Multiply line 4 by line 3	5	1,130,780.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	12,037.
7 Add lines 5 and 6	7	1,142,817.
8 Enter qualifying distributions from Part XII, line 4	8	845,915.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ☐ and enter 1% of Part I, line 27b		1	24,073.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	24,073.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	24,073.
6 Credits/Payments:			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	9,997.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	9,997.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	14,076.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be. Credited to 2014 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>LA</u>		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses.		X

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Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>THE LUPIN FOUNDATION</u> Telephone no. <u>504 849 0518</u> Located at <u>234 METAIRIE ROAD</u> ZIP + 4 <u>70005</u>			
15	Section 4947(a)(1), nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u></u>	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u></u>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If 'Yes,' list the years <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

N/A

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

6 b X

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 10		213,233.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DONNA DONOVAN	OFFICE	21,150.	0.	0.

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
STERN ASSOCIATES 2550 VICTORY BLVD STATEN ISLAND, NY 10314	ACCOUNTING	86,500.
WHITNEY NATIONAL BANK P.O. BOX 61260 NEW ORLEANS, LA 70161	INVEST CONSULTANT	5,722.
WATERS PARKERSON	INVEST CONSULTANT	6,109.
FIRST WILSHIRE	INVEST CONSULTANT	13,787.
ISI INC. 535 MADISON AVENUE 30 FL NEW YORK, NY 10022	INVEST CONSULTANT	17,387.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Form 990-PF (2013)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	18,837,280.
b Average of monthly cash balances	1 b	1,065,141.
c Fair market value of all other assets (see instructions)	1 c	1,539,896.
d Total (add lines 1a, b, and c)	1 d	21,442,317.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	21,442,317.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	321,635.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	21,120,682.
6 Minimum investment return. Enter 5% of line 5	6	1,056,034.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	1,056,034.
2a Tax on investment income for 2013 from Part VI, line 5	2 a	24,073.
b Income tax for 2013. (This does not include the tax from Part VI)	2 b	
c Add lines 2a and 2b	2 c	24,073.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	1,031,961.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	1,031,961.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,031,961.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	845,915.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	845,915.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	845,915.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,031,961.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			575,265.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 845,915.				
a Applied to 2012, but not more than line 2a			575,265.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2013 distributable amount				270,650.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions.		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a. Taxable amount — see instructions.			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				761,311.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i> See attached schedule			See attached schedule	597,000.
Total			3 a	597,000.
<i>b Approved for future payment</i>				
Total			3 b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	671,841.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income			18	3,480.	
8	Gain or (loss) from sales of assets other than inventory			18	763,674.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				1,438,995.	
13	Total. Add line 12, columns (b), (d), and (e)					1,438,995.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form **8868**

(Rev. January 2014)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**► **File a separate application for each return.**► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box. ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	THE LUPIN FOUNDATION	72-0940770
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	234 METAIRIE ROAD	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	METAIRIE, LA 70005	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► THE LUPIN FOUNDATION

Telephone No ► 504 849 0518 Fax No ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for

- ☒ calendar year 2013 or
- ☐ tax year beginning _____, 20____, and ending _____, 20____.

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	24,073.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	9,997.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	14,076.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.Form **8868** (Rev. 1-2014)

THE LUPIN FOUNDATION

72-0940770

Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Other Investment Income			
Total	\$ 3,480.	\$ 3,480.	\$ 0.

Statement 2
Form 990-PF, Part I, Line 16a
Legal Fees

	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Kings Kreb				
Total	\$ 6,994.	\$ 699.	\$ 0.	\$ 6,295.

Statement 3
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Stern Associates				
Total	\$ 86,500.	\$ 21,625.	\$ 0.	\$ 64,875.

Statement 4
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Brokers				
Total	\$ 41,605.	\$ 41,605.	\$ 0.	\$ 0.

THE LUPIN FOUNDATION

72-0940770

Statement 5
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign Tax	\$ 6,472.	\$ 5,825.		\$ 647.
Payroll Taxes	11,622.	5,926.		5,696.
Total	\$ 18,094.	\$ 11,751.	\$ 0.	\$ 6,343.

Statement 6
Form 990-PF, Part I, Line 19
Allocated Depreciation

Date Acquired	Cost Basis	Prior Yr Depr	Method	Rate	Life	Current Yr Depr	Net Invest Income	Adjusted Net Income
Building 9/10/04	420,216	126,689	S/L	0.0364		15,279	0	0
Improvements 11/01/04	21,032	6,216	S/L	0.0364		765	0	0
Improvements 6/14/05	9,062	2,482	S/L	0.0364		329	0	0

Statement 7
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Bank Charges	\$ 33,733.	\$ 16,867.		\$ 16,866.
Insurance	27,252.	13,626.		13,626.
Office Expenses	14,442.	3,190.		11,252.
Repairs & Maintenance	11,337.	2,504.		8,833.
Telephone	4,651.	1,627.		3,024.
Travel	1,176.	235.		941.
Total	\$ 92,591.	\$ 38,049.	\$ 0.	\$ 54,542.

Statement 8
Form 990-PF, Part II, Line 14
Land, Buildings, and Equipment

Category	Basis	Accum. Deprec.	Book Value	Fair Market Value
Furniture and Fixtures	\$ 101,993.	\$ 101,993.	\$ 0.	\$ 0.
Machinery and Equipment	43,614.	43,614.	0.	0.
Buildings	420,217.	141,968.	278,249.	0.
Improvements	30,095.	9,792.	20,303.	0.
Total	\$ 595,919.	\$ 297,367.	\$ 298,552.	\$ 0.

THE LUPIN FOUNDATION

72-0940770

Statement 9
Form 990-PF, Part III, Line 3
Other Increases

Net Unrealized Gains or Losses on Investments

Total \$ 2,983,197.
\$ 2,983,197.

Statement 10
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title and Average Hours Per Week Devoted	Compen- sation	Contri- bution to EBP & DC	Expense Account/ Other
ARNOLD M. LUPIN 350 VINCENT AVENUE METAIRIE, LA 70005	Pres./Director 40.00	\$ 129,233.	\$ 0.	\$ 0.
SAMUEL LUPIN 6 LYNHAVEN COURT MONSEY, NY 10952	V.P./ Director 2.00	15,000.	0.	0.
JAY LUPIN 12 CHURCH LANE SOUTH SCARSDALE, NY 10583	Director 1.00	9,000.	0.	0.
E. RALPH LUPIN 625 ST CHARLES AVENUE APT 8A NEW ORLEANS, LA 70130	Sec'y Treas/Dir 2.00	15,000.	0.	0.
SUZANNE STOKAR 1627 BUCKINGHAM ROAD TEANECK, NJ 07666	Director 1.00	9,000.	0.	0.
LOUIS J. LUPIN 560 SEA OAK DRIVE VERO BEACH, FL 32963	Director 1.00	9,000.	0.	0.
LISA R. LUPIN 6025 ANNUNCIATION STREET NEW ORLEANS, LA 70118	Director 1.00	9,000.	0.	0.
TIMOTHY LUPIN 535 ARABELLA STREET NEW ORLEANS, LA 70115	Director 1.00	9,000.	0.	0.
MICHAEL LUPIN 9 HASTINGS ROAD MONSEY, NY 10952	Director 1.00	9,000.	0.	0.
Total		<u>\$ 213,233.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

THE LUPIN FOUNDATION

72-0940770

Statement 11
Form 990-PF, Part XV, Line 2a-d
Application Submission Information

Name of Grant Program:	LUPIN FOUNDATION
Name:	
Care Of:	DR. ARNOLD M. LUPIN
Street Address:	234 METAIRIE ROAD
City, State, Zip Code:	METAIRIE, LA 70005
Telephone:	504-849-0518
E-Mail Address:	
Form and Content:	AN OUTLINE OF THE PROPOSED USE OF GRANTS
Submission Deadlines:	NONE
Restrictions on Awards:	NONE

Capital Gains - Last year

1/1/2013 through 12/31/2013

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
SHORT TERM							
CHARLES SCHWAB	CALIFORNIA WATER SERV...	100.000	3/7/2012	1/3/2013	1,824.27	1,844.54	-20.27
CHARLES SCHWAB	CALIFORNIA WATER SERV...	200.000	3/7/2012	1/3/2013	3,648.34	3,689.08	-40.74
CHARLES SCHWAB	CALIFORNIA WATER SERV...	100.000	3/7/2012	1/3/2013	1,824.27	1,844.54	-20.27
CHARLES SCHWAB	CALIFORNIA WATER SERV...	100.000	3/7/2012	1/3/2013	1,824.17	1,844.54	-20.37
CHARLES SCHWAB	ISHARES TR BARCLAYS B...	200.000	9/19/2012	1/3/2013	24,582.50	23,810.75	771.75
CHARLES SCHWAB	RANGE RESOURCES CORP	100.000	6/1/2012	1/7/2013	6,154.41	5,535.95	618.46
CHARLES SCHWAB	SEARS HOLDINGS CORP	24.000	12/27/2012	1/7/2013	991.67	978.47	13.20
CHARLES SCHWAB	SEARS HOLDINGS CORP	76.000	12/27/2012	1/7/2013	3,140.29	3,098.48	41.81
CHARLES SCHWAB	FRESH MARKET INC	250.000	9/5/2012	1/16/2013	11,373.30	14,321.45	-2,948.15
CHARLES SCHWAB	3M CO	100.000	12/19/2012	1/16/2013	9,614.83	9,257.75	357.08
CHARLES SCHWAB	AMERICAN EXPRESS	100.000	4/3/2012	1/28/2013	5,896.92	5,763.95	132.97
CHARLES SCHWAB	VERIZON COMMUNICATIONS	100.000	9/19/2012	1/28/2013	4,262.05	4,467.45	-205.40
CHARLES SCHWAB	BILGARI HOLDINGS INC	100.000	12/24/2012	2/4/2013	36,643.23	35,490.95	1,152.28
CHARLES SCHWAB	INTUITIVE SURGICAL INC	10.000	1/16/2013	2/4/2013	5,729.42	5,055.45	673.97
CHARLES SCHWAB	AGRIUM INC	100.000	1/16/2013	2/25/2013	10,840.91	10,575.95	264.96
CHARLES SCHWAB	BB&T	300.000	6/11/2012	2/25/2013	9,045.73	8,630.95	414.78
CHARLES SCHWAB	BB&T	200.000	1/28/2013	2/25/2013	6,030.48	6,165.95	-135.47
CHARLES SCHWAB	UNITED INSURANCE HOLD...	100.000	1/9/2013	3/22/2013	559.20	596.45	-37.25
CHARLES SCHWAB	UNITED INSURANCE HOLD...	300.000	1/9/2013	3/22/2013	1,674.59	1,789.34	-114.75
CHARLES SCHWAB	UNITED INSURANCE HOLD...	100.000	1/9/2013	3/22/2013	558.20	596.45	-38.25
CHARLES SCHWAB	WAL MART STORES INC	300.000	9/10/2012	4/2/2013	22,463.55	22,067.65	395.90
CHARLES SCHWAB	VIACOM INC CL A	200.000	1/28/2013	4/8/2013	12,630.77	12,356.75	274.02
CHARLES SCHWAB	AMERN REALTY CAP PPTYS	500.000	3/8/2013	4/10/2013	7,316.39	7,103.95	212.44
CHARLES SCHWAB	ELI LILLY & CO	400.000	12/19/2012	5/1/2013	21,706.56	19,208.55	2,498.01
CHARLES SCHWAB	PFIZER INC	250.000	2/4/2013	5/1/2013	7,291.14	6,923.70	367.44
CHARLES SCHWAB	DIGITALGLOBE INC	100.000	1/28/2013	5/3/2013	2,914.46	2,885.14	29.32
CHARLES SCHWAB	DIGITALGLOBE INC	100.000	1/28/2013	5/3/2013	2,914.46	2,885.47	28.99
CHARLES SCHWAB	POST HOLDINGS	100.000	2/4/2013	5/15/2013	4,441.93	3,805.97	635.96
CHARLES SCHWAB	POST HOLDINGS	50.000	2/4/2013	5/15/2013	2,220.02	1,902.98	317.04
CHARLES SCHWAB	SILVER WHEATON CORP	300.000	6/21/2012	5/15/2013	6,779.90	8,231.37	-1,451.47
CHARLES SCHWAB	SAIC INC	22.000	4/2/2013	5/20/2013	324.14	300.45	23.69
CHARLES SCHWAB	BLACKROCK ENHANCED D...	3,000.000	1/22/2013	6/5/2013	22,973.65	22,388.95	584.70
CHARLES SCHWAB	MEREDITH CORP	50.000	4/10/2013	6/5/2013	1,979.23	1,919.49	59.74
CHARLES SCHWAB	MEREDITH CORP	200.000	4/10/2013	6/5/2013	7,916.90	7,679.16	237.74
CHARLES SCHWAB	HILLSHIRE BRANDS CO	100.000	1/28/2013	6/12/2013	3,361.25	3,040.79	320.46
CHARLES SCHWAB	HILLSHIRE BRANDS CO	400.000	1/28/2013	6/12/2013	13,445.01	12,162.76	1,282.25

Capital Gains - Last year

1/1/2013 through 12/31/2013

Page 2

6/18/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L...
CHARLES SCHWAB	CABELA'S INC	350.000	5/17/2013	7/1/2013	22,558.66	25,100.10	-2,541.44
CHARLES SCHWAB	SODASTREAM INTERNATI...	150.000	3/22/2013	7/22/2013	8,788.37	7,504.45	1,283.92
CHARLES SCHWAB	SODASTREAM INTERNATI...	50.000	5/22/2013	7/22/2013	2,929.46	3,182.98	-253.52
CHARLES SCHWAB	SODASTREAM INTERNATI...	100.000	5/22/2013	7/22/2013	5,858.93	6,365.97	-507.04
CHARLES SCHWAB	MAIN MAIN STR CAP	300.000	5/15/2013	7/29/2013	9,299.89	9,293.95	5.94
CHARLES SCHWAB	SOUTHERN CO	200.000	1/3/2013	8/5/2013	8,972.86	8,522.95	449.91
CHARLES SCHWAB	SOUTHERN CO	250.000	2/4/2013	8/5/2013	11,216.08	11,133.95	82.13
CHARLES SCHWAB	SOUTHERN CO	150.000	3/8/2013	8/5/2013	6,729.65	6,777.56	-47.91
CHARLES SCHWAB	PNC FINL SERVICES GP INC	200.000	12/28/2012	8/26/2013	14,815.51	11,672.95	3,142.56
CHARLES SCHWAB	PNC FINL SERVICES GP INC	200.000	1/3/2013	8/26/2013	14,815.51	11,558.95	3,256.56
CHARLES SCHWAB	PNC FINL SERVICES GP INC	400.000	8/26/2013	8/26/2013	29,631.01	29,680.95	-49.94
CHARLES SCHWAB	ORACLE CORP	500.000	3/22/2013	10/2/2013	16,710.76	16,043.45	667.31
CHARLES SCHWAB	SANOFI-AVENTIS	250.000	1/24/2013	10/2/2013	12,596.08	11,983.70	612.38
CHARLES SCHWAB	ACETO CORP	500.000	7/1/2013	10/10/2013	6,613.95	6,613.95	0.00
CHARLES SCHWAB	AFLAC INC	200.000	2/11/2013	10/10/2013	10,298.55	10,298.55	0.00
CHARLES SCHWAB	ANHEUSER BUSCH COS INC	50.000	4/10/2013	10/10/2013	4,904.95	4,904.95	0.00
CHARLES SCHWAB	BANK OF NOVA SCOTIA	250.000	3/20/2013	10/10/2013	14,476.45	14,476.45	0.00
CHARLES SCHWAB	CARRIZO OIL & GAS INC	100.000	10/2/2013	10/10/2013	3,811.69	3,811.69	0.00
CHARLES SCHWAB	CARRIZO OIL & GAS INC	100.000	10/2/2013	10/10/2013	3,808.79	3,808.79	0.00
CHARLES SCHWAB	CARRIZO OIL & GAS INC	300.000	10/2/2013	10/10/2013	11,435.37	11,435.37	0.00
CHARLES SCHWAB	CDN IMPERIAL BK	200.000	7/3/2013	10/10/2013	14,290.95	14,290.95	0.00
CHARLES SCHWAB	CITIGROUP INC	500.000	6/5/2013	10/10/2013	25,008.95	25,008.95	0.00
CHARLES SCHWAB	COCA COLA CO	500.000	8/26/2013	10/10/2013	19,258.95	19,258.95	0.00
CHARLES SCHWAB	CUMULUS MEDIA INC	1,500.000	6/7/2013	10/10/2013	5,444.21	5,444.21	0.00
CHARLES SCHWAB	CUMULUS MEDIA INC	500.000	6/7/2013	10/10/2013	1,822.24	1,822.24	0.00
CHARLES SCHWAB	DEERE & CO	100.000	5/15/2013	10/10/2013	8,933.95	8,933.95	0.00
CHARLES SCHWAB	GENERAL ELECTRIC	200.000	7/1/2013	10/10/2013	4,635.38	4,635.38	0.00
CHARLES SCHWAB	GENERAL ELECTRIC	300.000	7/1/2013	10/10/2013	6,953.37	6,953.37	0.00
CHARLES SCHWAB	HAIN CELESTIAL GROUP	100.000	8/21/2013	10/10/2013	7,298.95	7,298.95	0.00
CHARLES SCHWAB	IBM	100.000	4/24/2013	10/10/2013	19,209.85	19,209.85	0.00
CHARLES SCHWAB	IBM	100.000	7/3/2013	10/10/2013	19,044.95	19,044.95	0.00
CHARLES SCHWAB	ION GEOPHYSICAL CORP	3,000.000	8/21/2013	10/10/2013	14,705.95	14,705.95	0.00
CHARLES SCHWAB	KELLOGG CO	100.000	4/10/2013	10/10/2013	6,377.95	6,377.95	0.00
CHARLES SCHWAB	LYONDELL BASEL INC	200.000	7/22/2013	10/10/2013	13,710.95	13,710.95	0.00
CHARLES SCHWAB	MCGRAW-HILL COS INC	250.000	3/13/2013	10/10/2013	12,148.95	12,148.95	0.00
CHARLES SCHWAB	NIKE INC CL B	200.000	1/3/2013	10/10/2013	10,250.75	10,250.75	0.00
CHARLES SCHWAB	PFIZER INC	300.000	7/1/2013	10/10/2013	8,396.05	8,396.05	0.00
CHARLES SCHWAB	REALTY INCOME CORP	250.000	7/22/2013	10/10/2013	11,371.45	11,371.45	0.00

Capital Gains - Last year

1/1/2013 through 12/31/2013

Page 3

6/18/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
CHARLES SCHWAB	REPUBLIC SERVICES INC	300.000	5/10/2013	10/10/2013	10,352.65	10,352.65	0.00
CHARLES SCHWAB	SCRIPPS NETWORKS INTE...	250.000	3/6/2013	10/10/2013	16,216.45	16,216.45	0.00
CHARLES SCHWAB	SOUTHERN CO	50.000	3/8/2013	10/10/2013	2,259.19	2,259.19	0.00
CHARLES SCHWAB	SOUTHERN CO	200.000	5/15/2013	10/10/2013	9,340.75	9,340.75	0.00
CHARLES SCHWAB	TARGA RES CORP	200.000	1/9/2013	10/10/2013	11,120.95	11,120.95	0.00
CHARLES SCHWAB	TOYOTA MOTOR CORP SP...	50.000	6/14/2013	10/10/2013	5,858.90	5,858.90	0.00
CHARLES SCHWAB	TOYOTA MOTOR CORP SP...	100.000	7/29/2013	10/10/2013	12,919.85	12,919.85	0.00
CHARLES SCHWAB	TRANSCANADA CORP	250.000	3/20/2013	10/10/2013	12,181.45	12,181.45	0.00
CHARLES SCHWAB	UNITED INSURANCE HOLD...	300.000	1/9/2013	10/10/2013	1,789.34	1,789.34	0.00
CHARLES SCHWAB	UNITED INSURANCE HOLD...	1,200.000	1/9/2013	10/10/2013	7,157.37	7,157.37	0.00
E TRADE	KELLOGG CO	100.000	4/10/2013	11/6/2013	6,341.90	6,377.95	-36.05
E TRADE	REALTY INCOME CORP	250.000	7/22/2013	11/8/2013	9,992.58	11,371.45	-1,378.87
FIRST WILSHIRE CUSTO...	NV5 HOLDINGS INC-WARR...	2,400.000	9/30/2013	10/4/2013	2,772.00	2,772.00	0.00
FIRST WILSHIRE CUSTO...	NV5 HOLDINGS INC-WARR...	2,400.000	10/11/2013	10/11/2013	3,120.00	3,120.00	0.00
ISI, Inc	ABBOTT LABORATORIES	330.000	11/6/2012	1/7/2013	21,825.04	21,825.04	0.00
ISI, Inc	MCDONALD'S CORP	200.000	6/22/2012	1/10/2013	18,038.13	18,116.00	-77.87
ISI, Inc	MICROSOFT CORP	800.000	6/22/2012	1/15/2013	20,956.29	24,855.65	-3,899.36
ISI, Inc	MICROSOFT CORP	350.000	8/2/2012	1/15/2013	9,168.38	10,430.14	-1,261.76
ISI, Inc	INTEL CORP	1,100.000	8/22/2012	4/12/2013	23,724.76	29,203.04	-5,478.28
ISI, Inc	METLIFE INC	500.000	6/22/2012	4/30/2013	19,403.87	15,520.67	3,883.20
ISI, Inc	EBAY INC	100.000	5/29/2012	5/2/2013	5,230.88	3,955.53	1,275.35
ISI, Inc	MCKESSON CORP	50.000	9/14/2012	5/2/2013	5,188.44	4,404.50	783.94
ISI, Inc	DELTA AIRLINES INC	200.000	12/18/2012	5/2/2013	3,331.62	2,229.00	1,102.62
ISI, Inc	ROCHE HOLDINGS LTD SP...	50.000	10/23/2012	5/2/2013	3,084.98	2,545.17	539.81
ISI, Inc	ENSCO PLC	700.000	1/29/2013	6/17/2013	40,120.64	43,966.49	-3,845.85
ISI, Inc	SPDR GOLD TRUST	75.000	6/18/2012	6/17/2013	10,024.33	6,151.89	3,872.44
ISI, Inc	SPDR GOLD TRUST	60.000	8/22/2012	6/17/2013	8,019.47	9,431.14	-1,411.67
ISI, Inc	IBM	100.000	8/2/2012	8/1/2013	19,559.29	19,691.60	-132.31
ISI, Inc	FMC CORP	110.000	8/22/2012	8/6/2013	7,292.04	6,090.92	1,201.12
WATERS PARKERSON	COACH INC	100.000	8/20/2012	8/19/2013	5,315.87	5,479.79	-163.92
WATERS PARKERSON	COACH INC	100.000	8/20/2012	8/19/2013	5,316.06	5,479.79	-163.73
WATERS PARKERSON	COACH INC	200.000	8/20/2012	8/19/2013	10,632.12	10,959.58	-327.46
WATERS PARKERSON	COACH INC	100.000	8/20/2012	8/19/2013	5,315.87	5,479.59	-163.72
WATERS PARKERSON	COACH INC	71.000	11/15/2012	8/19/2013	3,774.24	3,863.96	-89.72
WATERS PARKERSON	COACH INC	71.000	11/15/2012	8/19/2013	3,774.26	3,863.96	-89.70
WATERS PARKERSON	COACH INC	29.000	11/15/2012	8/19/2013	1,541.59	1,578.24	-36.65
WATERS PARKERSON	COACH INC	29.000	11/15/2012	8/19/2013	1,541.59	1,578.24	-36.65
WATERS PARKERSON	MICROSOFT CORP	350.000	9/10/2012	8/29/2013	12,030.71	10,634.25	1,396.46

Capital Gains - Last year

1/1/2013 through 12/31/2013

Page 4

6/18/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L..
WHITNEY II	BEAM INC	250.000	7/25/2012	1/2/2013	15,414.01	15,455.04	-41.03
WHITNEY II	BEAM INC	250.000	12/21/2012	1/2/2013	15,414.01	15,465.49	-51.48
WHITNEY II	HUNTINGTON BANCSHARE	1,000.000	10/29/2012	1/7/2013	6,505.26	6,377.89	127.37
WHITNEY II	PACER INTERNATIONAL	1,009.000	12/10/2012	1/7/2013	3,904.74	3,767.60	137.14
WHITNEY II	PACER INTERNATIONAL	200.000	12/10/2012	1/7/2013	768.99	746.80	22.19
WHITNEY II	PACER INTERNATIONAL	791.000	12/10/2012	1/7/2013	3,069.01	2,953.59	115.42
WHITNEY II	TRONOX	500.000	12/28/2012	1/7/2013	9,492.49	9,257.99	234.50
WHITNEY II	GENERAL ELECTRIC	2,500.000	11/26/2012	1/9/2013	51,554.53	51,554.53	0.00
WHITNEY II	BP AMOCO PLC	0.561	11/7/2012	1/10/2013	23.46	23.85	-0.39
WHITNEY II	BROWN FORMAN CORP	48.000	12/28/2012	1/14/2013	2,997.22	3,027.19	-29.97
WHITNEY II	TECHNOLOGY SELECT SE...	300.000	11/5/2012	1/14/2013	21,825.88	21,472.84	353.04
WHITNEY II	FLOW INTL CORP	1,499.000	10/15/2012	1/16/2013	5,643.11	5,494.32	148.79
WHITNEY II	FLOW INTL CORP	1.000	10/15/2012	1/16/2013	3.77	3.67	0.10
WHITNEY II	ARCH COAL INC	1,500.000	6/26/2012	1/22/2013	10,313.27	9,457.99	855.28
WHITNEY II	HEALTH MANAGEMENT AS...	1,000.000	1/9/2013	1/24/2013	9,712.79	9,507.99	204.80
WHITNEY II	AT&T INC	500.000	2/3/2012	1/24/2013	16,582.20	14,737.99	1,844.21
WHITNEY II	AT&T INC	200.000	8/22/2012	1/24/2013	6,632.88	7,441.71	-808.83
WHITNEY II	WATSON PHARMACEUTIC...	100.000	4/9/2012	1/25/2013	6,677.99	6,677.99	0.00
WHITNEY II	WATSON PHARMACEUTIC...	250.000	5/14/2012	1/25/2013	17,882.99	17,882.99	0.00
WHITNEY II	CAMPBELL SOUP CO	500.000	12/17/2012	1/28/2013	18,262.35	18,051.29	211.06
WHITNEY II	STARZ LIBERTY CAP COM	200.000	1/18/2013	2/4/2013	3,196.13	2,212.35	983.78
WHITNEY II	ACTAVIS INC	350.000	5/14/2012	2/4/2013	29,920.99	24,560.98	5,360.01
WHITNEY II	BIGLARI	50.000	12/24/2012	2/4/2013	18,353.09	17,747.49	605.60
WHITNEY II	BANK OF NEW YORK CO INC	250.000	11/13/2012	2/13/2013	6,970.06	6,070.49	899.57
WHITNEY II	SHIRE PLC ADR	100.000	4/4/2012	2/13/2013	9,987.11	9,688.98	298.13
WHITNEY II	SHIRE PLC ADR	100.000	4/4/2012	2/13/2013	9,987.11	9,514.91	472.20
WHITNEY II	HJ HEINZ CO	150.000	12/12/2012	2/21/2013	10,830.99	8,744.89	2,086.10
WHITNEY II	WAL MART STORES INC	100.000	9/12/2012	2/21/2013	6,900.15	7,432.99	-532.84
WHITNEY II	KEYCORP	600.000	2/6/2013	2/25/2013	5,695.42	5,668.79	26.63
WHITNEY II	KEYCORP	400.000	2/6/2013	2/25/2013	3,799.91	3,779.20	20.71
WHITNEY II	BJS RESTAURANTS INC	100.000	10/24/2012	2/25/2013	2,998.49	3,792.00	-793.51
WHITNEY II	BJS RESTAURANTS INC	100.000	10/24/2012	2/25/2013	2,998.49	3,794.38	-795.89
WHITNEY II	BJS RESTAURANTS INC	300.000	10/24/2012	2/25/2013	8,995.48	11,392.99	-2,397.51
WHITNEY II	GENESEE & WYOMING INC ..	250.000	1/24/2013	2/27/2013	21,669.57	20,862.99	806.58
WHITNEY II	DIGITALGLOBE INC	200.000	1/28/2013	2/27/2013	5,233.91	5,633.28	-399.37
WHITNEY II	SEADRILL LIMITED	250.000	3/6/2012	2/27/2013	9,152.13	10,160.32	-1,008.19
WHITNEY II	COMMUNITY BK SYS	400.000	1/30/2013	2/27/2013	11,522.41	11,407.99	114.42
WHITNEY II	COMMUNITY BK SYS	200.000	1/30/2013	2/27/2013	5,761.21	5,697.99	63.22

Capital Gains - Last year

1/1/2013 through 12/31/2013

6/18/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
WHITNEY II	AFLAC INC	300.000	12/10/2012	2/27/2013	14,938.18	16,060.79	-1,122.61
WHITNEY II	AIR LEASE CORP	350.000	2/7/2013	2/27/2013	9,294.26	8,582.99	711.27
WHITNEY II	AIR LEASE CORP	150.000	2/13/2013	2/27/2013	3,983.25	3,889.99	93.26
WHITNEY II	STATOIL ASA SPON ADR	500.000	6/4/2012	2/27/2013	12,587.52	11,382.99	1,204.53
WHITNEY II	ENERGY TRANSFER PART...	500.000	7/30/2012	2/27/2013	23,617.28	20,897.99	2,719.29
WHITNEY II	HCA INC	50.000	2/11/2013	3/13/2013	1,868.92	1,865.42	3.50
WHITNEY II	HCA INC	200.000	2/11/2013	3/13/2013	7,475.66	7,469.49	6.17
WHITNEY II	HCA INC	100.000	2/13/2013	3/13/2013	3,737.83	3,729.99	7.84
WHITNEY II	INTER PARFUMS INC	300.000	2/7/2013	3/18/2013	7,135.80	6,502.99	632.81
WHITNEY II	ACELRX PHARMACEUTICA...	1,500.000	12/24/2012	3/25/2013	6,876.85	5,977.99	898.86
WHITNEY II	UNITEDHEALTH GROUP INC	250.000	9/19/2012	3/25/2013	13,863.84	13,502.24	361.60
WHITNEY II	UNITEDHEALTH GROUP INC	100.000	10/3/2012	3/25/2013	5,545.53	5,550.75	-5.22
WHITNEY II	DOMINOS ON	161.000	3/13/2013	4/2/2013	8,181.35	8,029.06	152.29
WHITNEY II	DOMINOS ON	39.000	3/13/2013	4/2/2013	1,974.72	1,944.93	29.79
WHITNEY II	ROCHE HOLDINGS LTD SP...	100.000	2/11/2013	4/2/2013	5,737.88	5,545.99	191.89
WHITNEY II	DELL COMPUTER CORP	2,000.000	3/11/2013	4/2/2013	28,739.76	28,685.99	53.77
WHITNEY II	LIBERTY MEDIA CORP	150.000	12/10/2012	4/2/2013	16,494.83	15,720.49	774.34
WHITNEY II	LIBERTY MEDIA CORP	50.000	12/24/2012	4/2/2013	5,498.28	5,732.99	-234.71
WHITNEY II	HUMANA INC	200.000	2/4/2013	4/8/2013	15,891.51	14,903.61	987.90
WHITNEY II	HUMANA INC	100.000	2/13/2013	4/8/2013	7,945.76	8,180.71	-234.95
WHITNEY II	CONAGRA FOODS INC	500.000	8/13/2012	4/8/2013	17,677.61	12,383.40	5,294.21
WHITNEY II	NABORS INDUSTRIES LTD	1,000.000	5/11/2012	4/10/2013	15,594.16	15,466.49	127.67
WHITNEY II	ZOETIS	100.000	2/25/2013	4/12/2013	3,355.08	3,321.60	33.48
WHITNEY II	ZOETIS	400.000	2/25/2013	4/12/2013	13,411.70	13,286.39	125.31
WHITNEY II	TIBCO SOFTWARE INC	1,000.000	12/10/2012	4/12/2013	19,601.57	19,507.99	93.58
WHITNEY II	CHECK POINT SOFTWARE ...	250.000	12/10/2012	4/15/2013	11,964.77	11,335.12	629.65
WHITNEY II	CHECK POINT SOFTWARE ...	100.000	3/11/2013	4/15/2013	4,785.91	5,229.79	-443.88
WHITNEY II	CHICAGO BRIDGE & IRON-	250.000	2/25/2013	4/17/2013	13,994.22	13,545.49	448.73
WHITNEY II	HORMEL FOODS CORP	200.000	3/18/2013	4/17/2013	8,151.84	7,707.99	443.85
WHITNEY II	ABBVIE	1,350.000	1/7/2013	4/22/2013	56,516.86	34,735.91	21,780.95
WHITNEY II	PHARMACYCLICS INC	250.000	4/10/2013	4/29/2013	20,189.80	19,007.99	1,181.81
WHITNEY II	DIGITALGLOBE INC	800.000	1/28/2013	4/29/2013	22,593.10	22,533.11	59.99
WHITNEY II	DIGITALGLOBE INC	200.000	1/30/2013	4/29/2013	5,648.27	5,737.99	-89.72
WHITNEY II	CONAGRA FOODS INC	500.000	8/13/2012	5/1/2013	17,710.14	12,383.40	5,326.74
WHITNEY II	CONAGRA FOODS INC	200.000	12/5/2012	5/1/2013	7,084.06	5,983.31	1,100.75
WHITNEY II	INGREDION INC	300.000	2/25/2013	5/1/2013	21,679.51	19,966.99	1,712.52
WHITNEY II	ADT CORP	250.000	3/28/2013	5/6/2013	10,278.27	12,220.49	-1,942.22
WHITNEY II	ANSYS INC	100.000	3/25/2013	5/6/2013	8,059.78	7,907.99	151.79

Capital Gains - Last year

1/1/2013 through 12/31/2013

6/18/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
WHITNEY II	OPKO HEALTH INC	1,000,000	4/24/2013	5/6/2013	6,414.26	7,067.99	-653.73
WHITNEY II	RANGE RESOURCES CORP	250,000	3/6/2013	5/6/2013	17,989.10	19,019.99	-1,030.89
WHITNEY II	GENERAL ELECTRIC	250,000	3/13/2013	5/6/2013	5,561.67	5,942.49	-380.82
WHITNEY II	GENERAL ELECTRIC	150,000	4/22/2013	5/6/2013	3,337.00	3,423.04	-86.04
WHITNEY II	ABBVIE	1,000,000	1/7/2013	5/8/2013	44,206.01	25,730.31	18,475.70
WHITNEY II	GOLDMAN SACHS GROUP ...	100,000	10/17/2012	5/8/2013	14,658.87	12,007.99	2,650.88
WHITNEY II	ELI LILLY & CO	500,000	1/24/2013	5/8/2013	27,427.59	26,507.99	919.60
WHITNEY II	PATTERSON COMPANIES I...	250,000	3/28/2013	5/8/2013	9,627.67	9,445.49	182.18
WHITNEY II	WEATHERFORD INTERNAT...	1,000,000	8/15/2012	5/8/2013	13,573.60	12,807.99	765.61
WHITNEY II	HIBBETT SPORTS INC	250,000	3/25/2013	5/8/2013	13,754.08	13,632.99	121.09
WHITNEY II	TESLA MOTORS	200,000	5/8/2013	5/13/2013	11,362.55	10,985.19	377.36
WHITNEY II	CAREFUSION CORP	400,000	1/14/2013	5/15/2013	13,380.75	11,747.99	1,632.76
WHITNEY II	PFIZER INC	500,000	4/2/2013	5/20/2013	14,662.93	14,311.74	351.19
WHITNEY II	ENERGEN CORP	100,000	4/8/2013	5/20/2013	5,103.11	5,039.99	63.12
WHITNEY II	ENERGEN CORP	150,000	4/8/2013	5/20/2013	7,654.66	7,549.50	105.16
WHITNEY II	CST BRANDS INC	0.222	5/7/2013	5/20/2013	7.74	8.30	-0.56
WHITNEY II	SL GREEN REALTY CORP	100,000	5/13/2013	5/23/2013	9,201.80	9,081.98	119.82
WHITNEY II	CALIFORNIA WATER SERV...	500,000	3/25/2013	5/23/2013	10,166.78	10,257.99	-91.21
WHITNEY II	AMERICAN EAGLE OUTFIT...	500,000	2/25/2013	5/28/2013	10,507.57	10,379.00	128.57
WHITNEY II	AMERICAN EAGLE OUTFIT...	500,000	2/25/2013	5/28/2013	10,516.61	10,379.00	137.61
WHITNEY II	BROOKFIELD ASSET MGM...	0.700	4/4/2013	5/28/2013	16.03	25.56	-9.53
WHITNEY II	ADVANCED ENERGY INDU...	500,000	5/28/2013	5/30/2013	8,936.85	9,537.99	-601.14
WHITNEY II	NORDEA BANK	90,000	12/28/2012	6/3/2013	1,099.40	862.09	237.31
WHITNEY II	NORDEA BANK	5,000	4/2/2013	6/3/2013	61.08	64.49	-3.41
WHITNEY II	CARMAX INC	500,000	4/17/2013	6/3/2013	23,348.10	21,997.99	1,350.11
WHITNEY II	BAIDU.COM	200,000	5/16/2013	6/3/2013	19,239.47	19,007.99	231.48
WHITNEY II	DILLARDS INC	200,000	5/22/2013	6/3/2013	18,542.38	18,226.79	315.59
WHITNEY II	CIGNA CORPORATION	100,000	5/28/2013	6/3/2013	6,837.72	6,807.99	29.73
WHITNEY II	SEARS HOLDINGS CORP	500,000	12/24/2012	6/5/2013	24,741.57	22,157.99	2,583.58
WHITNEY II	UNIFIRST CORP	200,000	3/13/2013	6/10/2013	18,886.52	16,997.99	1,888.53
WHITNEY II	ABB LTD	250,000	2/25/2013	6/10/2013	5,392.41	5,814.94	-422.53
WHITNEY II	BLACKSTONE GROUP LP	500,000	4/2/2013	6/10/2013	10,385.19	10,007.99	377.20
WHITNEY II	BLACKSTONE GROUP LP	250,000	5/28/2013	6/10/2013	5,192.59	5,880.12	-687.53
WHITNEY II	CHINA MOBILE LTD SPON	500,000	12/19/2012	6/10/2013	25,684.56	28,482.99	-2,798.43
WHITNEY II	KRAFT FOODS INC CL A	250,000	3/28/2013	6/10/2013	13,355.06	12,827.99	527.07
WHITNEY II	KRAFT FOODS INC CL A	100,000	5/6/2013	6/10/2013	5,342.02	5,102.98	239.04
WHITNEY II	KELLOGG CO	500,000	6/14/2012	6/10/2013	31,124.46	24,307.44	6,817.02
WHITNEY II	HILLSHIRE BRANDS CO	200,000	3/11/2013	6/10/2013	6,906.72	6,635.99	270.73

Capital Gains - Last year

1/1/2013 through 12/31/2013

Page 7

6/18/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
WHITNEY II	ENI SPA SPONSORED ADR	500.000	5/6/2013	6/10/2013	22,604.61	23,882.99	-1,278.38
WHITNEY II	PLAINS ALL AMERICAN PIP...	200.000	6/26/2012	6/17/2013	10,964.93	7,847.99	3,116.94
WHITNEY II	PLAINS ALL AMERICAN PIP...	200.000	2/6/2013	6/17/2013	10,964.93	10,541.99	422.94
WHITNEY II	PLAINS ALL AMERICAN PIP...	100.000	2/25/2013	6/17/2013	5,482.47	5,381.60	100.87
WHITNEY II	ROSS STORES INC	250.000	4/22/2013	6/17/2013	15,902.53	15,757.99	144.54
WHITNEY II	DISCOVER FINL	250.000	5/13/2013	6/19/2013	11,836.93	11,457.99	378.94
WHITNEY II	DISCOVER FINL	150.000	6/5/2013	6/19/2013	7,102.16	7,215.04	-112.88
WHITNEY II	LINN ENERGY	1,000.000	11/27/2012	6/21/2013	29,522.89	38,907.99	-9,385.10
WHITNEY II	BP AMOCO PLC	0.251	11/7/2012	6/24/2013	10.97	10.67	0.30
WHITNEY II	MELCO PBL ENTERTAINME...	500.000	5/13/2013	6/27/2013	10,491.82	12,352.19	-1,860.37
WHITNEY II	MONSANTO CO	500.000	2/25/2013	7/1/2013	50,338.63	49,312.24	1,026.39
WHITNEY II	MICHAEL KORS	250.000	6/25/2013	7/25/2013	15,557.79	15,007.99	549.80
WHITNEY II	MICHAEL KORS	100.000	6/26/2013	7/25/2013	6,223.12	5,869.71	353.41
WHITNEY II	MICHAEL KORS	100.000	7/18/2013	7/25/2013	6,223.12	6,248.84	-25.72
WHITNEY II	EATON CORP	250.000	4/4/2013	7/25/2013	17,101.49	15,320.49	1,781.00
WHITNEY II	EATON CORP	100.000	4/10/2013	7/25/2013	6,840.60	5,883.73	956.87
WHITNEY II	VODAFONE GROUP PLC S...	500.000	7/27/2012	7/25/2013	14,806.75	14,142.99	663.76
WHITNEY II	BUCKEYE PARTNERS LP	500.000	7/22/2013	7/25/2013	35,851.38	35,657.99	193.39
WHITNEY II	FIRST TRUST NYSE ARCA ...	500.000	7/22/2013	7/25/2013	32,381.44	32,207.99	173.45
WHITNEY II	MARATHON PETROLEUM C...	250.000	12/17/2012	7/25/2013	17,416.70	15,386.79	2,029.91
WHITNEY II	COHEN & STEERS INC	500.000	7/15/2013	7/25/2013	18,291.69	17,257.99	1,033.70
WHITNEY II	BP AMOCO PLC	499.188	11/7/2012	7/25/2013	21,584.27	21,223.47	360.80
WHITNEY II	BP AMOCO PLC	6.561	12/21/2012	7/25/2013	283.69	500.00	-216.31
WHITNEY II	BP AMOCO PLC	250.000	5/13/2013	7/25/2013	10,809.69	10,895.49	-85.80
WHITNEY II	BP AMOCO PLC	6.251	6/24/2013	7/25/2013	270.29	273.24	-2.95
WHITNEY II	ALEXION PHARMACEUTIC...	200.000	7/18/2013	7/29/2013	21,994.22	21,917.99	76.23
WHITNEY II	PENNEY JC INC	500.000	9/12/2012	7/29/2013	7,938.12	13,857.99	-5,919.87
WHITNEY II	BROOKFIELD ASSET MGM...	499.300	4/4/2013	7/29/2013	18,872.47	18,232.43	640.04
WHITNEY II	BROOKFIELD ASSET MGM...	0.700	4/18/2013	7/29/2013	26.46	15.30	11.16
WHITNEY II	SODASTREAM INTERNATI...	500.000	3/27/2013	7/31/2013	28,511.79	25,130.24	3,381.55
WHITNEY II	SODASTREAM INTERNATI...	200.000	7/8/2013	7/31/2013	11,404.72	14,007.99	-2,603.27
WHITNEY II	LULULEMON ATHLETICA INC	100.000	6/14/2013	7/31/2013	6,912.21	6,808.99	103.22
WHITNEY II	LULULEMON ATHLETICA INC	100.000	6/14/2013	7/31/2013	6,912.21	6,800.50	111.71
WHITNEY II	LULULEMON ATHLETICA INC	100.000	6/14/2013	7/31/2013	6,912.21	6,800.84	111.37
WHITNEY II	PNC FINL SERVICES GP INC	200.000	7/15/2013	7/31/2013	15,103.46	14,857.99	245.47
WHITNEY II	FREEMPORT MCMORAN CO...	500.000	5/6/2013	7/31/2013	14,207.01	15,007.99	-800.98
WHITNEY II	KELLOGG CO	100.000	10/17/2012	8/7/2013	6,431.49	5,177.74	1,253.75
WHITNEY II	KELLOGG CO	100.000	12/19/2012	8/7/2013	6,431.49	5,684.65	746.84

Capital Gains - Last year

1/1/2013 through 12/31/2013

Page 8

6/18/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L...
WHITNEY II	KELLOGG CO	100.000	3/4/2013	8/7/2013	6,431.49	6,021.64	409.85
WHITNEY II	KELLOGG CO	100.000	3/18/2013	8/7/2013	6,431.49	6,165.99	265.50
WHITNEY II	KELLOGG CO	100.000	4/22/2013	8/7/2013	6,431.49	6,449.42	-17.93
WHITNEY II	OMEGA HEALTHCARE INV..	1,000.000	11/19/2012	8/7/2013	30,056.28	21,707.99	8,348.29
WHITNEY II	OMEGA HEALTHCARE INV..	250.000	5/1/2013	8/7/2013	7,514.07	8,037.99	-523.92
WHITNEY II	WEYERHAUSER CO	500.000	5/6/2013	8/7/2013	14,115.33	15,107.99	-992.66
WHITNEY II	WEYERHAUSER CO	250.000	5/20/2013	8/7/2013	7,057.66	8,015.49	-957.83
WHITNEY II	FORTUNE BRANDS HOME ..	250.000	5/20/2013	8/19/2013	9,262.83	10,698.99	-1,436.16
WHITNEY II	FORTUNE BRANDS HOME ..	100.000	6/3/2013	8/19/2013	3,705.13	4,202.99	-497.86
WHITNEY II	OTTER TAIL CORP	63.000	7/1/2013	8/19/2013	1,811.40	1,743.21	68.19
WHITNEY II	OTTER TAIL CORP	187.000	7/1/2013	8/19/2013	5,376.68	5,184.15	192.53
WHITNEY II	ITC HLDGS CORP	500.000	9/19/2012	8/19/2013	44,075.07	36,916.99	7,158.08
WHITNEY II	ITC HLDGS CORP	100.000	11/15/2012	8/19/2013	8,815.01	7,607.99	1,207.02
WHITNEY II	AQUA AMERICA INC	1,000.000	4/29/2013	8/19/2013	31,904.65	32,066.29	-161.64
WHITNEY II	BROOKFIELD ASSET MGM...	28.000	4/18/2013	8/21/2013	559.00	612.02	-53.02
WHITNEY II	ALLETE INC	500.000	12/3/2012	8/21/2013	24,264.13	19,147.99	5,116.14
WHITNEY II	AETNA INC	250.000	5/28/2013	8/26/2013	15,697.48	15,152.12	545.36
WHITNEY II	PAREXEL INTL CORP	200.000	11/27/2012	8/26/2013	9,557.52	6,261.98	3,295.54
WHITNEY II	HARTFORD FINANCIAL SV...	400.000	5/13/2013	8/26/2013	12,126.11	11,843.36	282.75
WHITNEY II	HARTFORD FINANCIAL SV...	100.000	5/13/2013	8/26/2013	3,031.53	2,968.98	62.55
WHITNEY II	TJX COS INC	250.000	3/18/2013	8/26/2013	13,525.02	11,179.00	2,346.02
WHITNEY II	CLOROX CO	100.000	3/18/2013	9/3/2013	8,189.59	8,457.99	-268.40
WHITNEY II	CLOROX CO	100.000	4/8/2013	9/3/2013	8,189.59	8,757.99	-568.40
WHITNEY II	DYCON INDUSTRIES INC	40.000	7/1/2013	9/3/2013	984.08	905.59	78.49
WHITNEY II	DYCON INDUSTRIES INC	160.000	8/7/2013	9/3/2013	3,936.33	4,337.59	-401.26
WHITNEY II	DYCON INDUSTRIES INC	200.000	8/19/2013	9/3/2013	4,920.42	5,307.99	-387.57
WHITNEY II	NORTHWEST BANCSHARE...	1,000.000	3/28/2013	9/9/2013	13,423.47	12,557.99	865.48
WHITNEY II	WISDOMTREE JAP S/C DV...	500.000	9/3/2013	9/9/2013	9,001.49	8,875.00	126.49
WHITNEY II	WISDOMTREE JAP S/C DV...	500.000	9/3/2013	9/9/2013	9,001.54	8,875.00	126.54
WHITNEY II	PETSMART	500.000	3/15/2013	9/9/2013	34,912.25	31,657.99	3,254.26
WHITNEY II	PANDORA	500.000	8/26/2013	9/9/2013	9,104.83	10,601.74	-1,496.91
WHITNEY II	PANDORA	200.000	8/28/2013	9/9/2013	3,641.93	3,807.99	-166.06
WHITNEY II	SOUTHERN CO	200.000	5/20/2013	9/16/2013	8,202.28	9,279.99	-1,077.71
WHITNEY II	LIMITED BRANDS INC	500.000	8/5/2013	9/18/2013	28,866.50	27,582.99	1,283.51
WHITNEY II	EASTMAN CHEMICAL CO	250.000	7/31/2013	9/30/2013	19,145.42	18,507.99	637.43
WHITNEY II	CLOROX CO	100.000	4/22/2013	9/30/2013	8,316.74	8,818.94	-502.20
WHITNEY II	CLOROX CO	100.000	4/29/2013	9/30/2013	8,316.74	8,757.14	-440.40
WHITNEY II	CLOROX CO	250.000	5/13/2013	9/30/2013	20,791.84	21,445.49	-653.65

Capital Gains - Last year

1/1/2013 through 12/31/2013

6/18/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
WHITNEY II	RYDER SYSTEM INC	100.000	5/28/2013	10/7/2013	6,023.43	6,402.99	-379.56
WHITNEY II	RYDER SYSTEM INC	100.000	5/28/2013	10/7/2013	6,023.43	6,307.99	-284.56
WHITNEY II	RYDER SYSTEM INC	100.000	6/10/2013	10/7/2013	6,023.43	6,007.99	15.44
WHITNEY II	FRANKLIN RESOURCES INC	250.000	8/8/2013	10/7/2013	12,647.38	12,507.99	139.39
WHITNEY II	DR PEPPER SNAPPLE INC	167.000	4/22/2013	10/7/2013	7,318.85	7,834.64	-515.79
WHITNEY II	DR PEPPER SNAPPLE INC	83.000	4/22/2013	10/7/2013	3,637.51	3,902.35	-264.84
WHITNEY II	DR PEPPER SNAPPLE INC	250.000	5/1/2013	10/7/2013	10,956.36	12,007.99	-1,051.63
WHITNEY II	CHURCH & DWIGHT INC	250.000	7/29/2013	10/7/2013	15,030.54	15,882.99	-852.45
WHITNEY II	UNITED PARCEL SERVICE ...	100.000	5/13/2013	10/7/2013	9,089.13	8,935.49	153.64
WHITNEY II	STATE STREET CORP	250.000	4/29/2013	10/15/2013	16,186.17	14,517.99	1,668.18
WHITNEY II	STATE STREET CORP	250.000	5/6/2013	10/15/2013	16,186.17	14,561.97	1,624.20
WHITNEY II	COTY INC	500.000	6/19/2013	10/15/2013	7,772.87	8,732.99	-960.12
WHITNEY II	LOEWS CORP	100.000	11/13/2012	10/15/2013	4,618.66	4,132.99	485.67
WHITNEY II	LOEWS CORP	250.000	2/25/2013	10/15/2013	11,546.65	10,862.79	683.86
WHITNEY II	KKR FINANCIAL HOLDINGS...	1,000.000	7/22/2013	10/15/2013	10,353.22	10,997.99	-644.77
WHITNEY II	SPX ON	250.000	4/4/2013	10/15/2013	20,338.03	19,757.99	580.04
WHITNEY II	INVESTORS BANCORP INC	500.000	8/8/2013	10/15/2013	10,803.17	11,132.99	-329.82
WHITNEY II	LVMH MOET NEW ADR	500.000	9/5/2013	10/15/2013	19,366.92	17,507.99	1,858.93
WHITNEY II	POST HOLDINGS	350.000	1/30/2013	10/21/2013	14,123.20	13,010.49	1,112.71
WHITNEY II	POST HOLDINGS	200.000	2/25/2013	10/21/2013	8,070.40	7,725.39	345.01
WHITNEY II	POST HOLDINGS	100.000	2/27/2013	10/21/2013	4,035.20	3,819.99	215.21
WHITNEY II	POST HOLDINGS	100.000	4/22/2013	10/21/2013	4,035.20	4,289.57	-254.37
WHITNEY II	POST HOLDINGS	200.000	5/6/2013	10/21/2013	8,070.40	8,607.99	-537.59
WHITNEY II	POST HOLDINGS	100.000	8/7/2013	10/21/2013	4,035.20	4,657.99	-622.79
WHITNEY II	SARERTA THERAPEUTICS	500.000	8/8/2013	10/21/2013	23,162.80	19,132.99	4,029.81
WHITNEY II	HAIN CELESTIAL GROUP	200.000	8/19/2013	10/21/2013	15,641.90	15,291.99	349.91
WHITNEY II	HAIN CELESTIAL GROUP	100.000	9/25/2013	10/21/2013	7,820.95	7,887.24	-66.29
WHITNEY II	AGRIUM INC	200.000	8/26/2013	10/23/2013	17,379.47	17,169.97	209.50
WHITNEY II	AGRIUM INC	100.000	9/3/2013	10/23/2013	8,689.73	8,707.99	-18.26
WHITNEY II	CHICO'S FAS INC	500.000	10/2/2013	10/30/2013	8,366.86	8,347.99	18.87
WHITNEY II	INTERACTIVE CORP	500.000	7/22/2013	11/4/2013	25,117.32	25,703.40	-586.08
WHITNEY II	AMTRUST FINANCIAL SER...	200.000	10/7/2013	11/4/2013	7,762.11	7,587.99	174.12
WHITNEY II	FIREEYE INC	300.000	10/15/2013	11/4/2013	11,847.05	11,737.99	109.06
WHITNEY II	METLIFE INC	250.000	7/9/2013	11/4/2013	12,291.07	11,545.47	745.60
WHITNEY II	METLIFE INC	200.000	9/23/2013	11/4/2013	9,832.86	9,687.99	144.87
WHITNEY II	THE KROGER CO	500.000	10/28/2013	11/4/2013	21,572.53	21,407.99	164.54
WHITNEY II	PAREXEL INTL CORP	100.000	11/27/2012	11/4/2013	4,682.91	3,130.00	1,552.91
WHITNEY II	PAREXEL INTL CORP	200.000	11/27/2012	11/4/2013	9,361.84	6,260.00	3,101.84

Capital Gains - Last year

1/1/2013 through 12/31/2013

6/18/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L...
WHITNEY II	PAREXEL INTL CORP	200.000	11/27/2012	11/4/2013	9,342.43	6,259.00	3,083.43
WHITNEY II	SERVICENOW	100.000	10/28/2013	11/6/2013	5,391.91	5,282.99	108.92
WHITNEY II	RAYONIER INC	100.000	1/22/2013	11/6/2013	4,649.01	5,368.90	-719.89
WHITNEY II	CON-WAY INC	400.000	5/22/2013	11/6/2013	16,446.22	14,698.08	1,748.14
WHITNEY II	CON-WAY INC	100.000	5/22/2013	11/6/2013	4,111.56	3,682.99	428.57
WHITNEY II	CON-WAY INC	200.000	8/7/2013	11/6/2013	8,223.11	9,207.55	-984.44
WHITNEY II	CST BRANDS INC	22.000	5/7/2013	11/6/2013	714.64	822.21	-107.57
WHITNEY II	CST BRANDS INC	250.000	6/14/2013	11/6/2013	8,120.86	7,947.19	173.67
WHITNEY II	CST BRANDS INC	228.000	6/19/2013	11/6/2013	7,406.22	7,395.19	11.03
WHITNEY II	PENN NATIONAL GAMING I...	250.000	10/15/2013	11/7/2013	13,820.49	13,820.49	0.00
WHITNEY II	PENN NATIONAL GAMING I...	150.000	10/28/2013	11/7/2013	8,610.49	8,610.49	0.00
WHITNEY II	STEINER LEISURE LTD	250.000	7/9/2013	11/12/2013	13,933.33	13,497.99	435.34
WHITNEY II	STEINER LEISURE LTD	44.000	8/28/2013	11/12/2013	2,452.27	2,603.99	-151.72
WHITNEY II	STEINER LEISURE LTD	109.000	9/3/2013	11/12/2013	6,074.93	6,155.59	-80.66
WHITNEY II	STEINER LEISURE LTD	106.000	9/5/2013	11/12/2013	5,907.73	6,039.39	-131.66
WHITNEY II	CALLIDUS	500.000	10/9/2013	11/12/2013	5,441.11	4,497.29	943.82
WHITNEY II	ASTRAZENECA GROUP PL...	250.000	5/30/2013	11/12/2013	13,290.02	13,007.77	282.25
WHITNEY II	RUCKUS WIRELESS INC	1,000.000	9/16/2013	11/12/2013	13,297.37	16,847.99	-3,550.62
WHITNEY II	PAREXEL INTL CORP	100.000	11/27/2012	11/12/2013	4,439.93	3,129.50	1,310.43
WHITNEY II	PAREXEL INTL CORP	100.000	11/27/2012	11/12/2013	4,447.62	3,129.50	1,318.12
WHITNEY II	PAREXEL INTL CORP	100.000	11/27/2012	11/12/2013	4,447.62	3,136.49	1,311.13
WHITNEY II	PAREXEL INTL CORP	100.000	6/17/2013	11/12/2013	4,447.02	4,644.00	-196.98
WHITNEY II	PAREXEL INTL CORP	100.000	6/17/2013	11/12/2013	4,447.02	4,644.00	-196.98
WHITNEY II	CORNING INC	1,000.000	5/20/2013	11/14/2013	16,564.72	15,685.49	879.23
WHITNEY II	TESLA MOTORS	200.000	7/19/2013	11/14/2013	27,444.29	21,608.99	5,835.30
WHITNEY II	SS&C TECHNOLOGIES HLDG	250.000	11/4/2013	11/14/2013	10,139.33	9,857.99	281.34
WHITNEY II	CONAGRA FOODS INC	700.000	9/16/2013	11/14/2013	22,547.36	21,978.54	568.82
WHITNEY II	UNDER ARMOUR INC	141.000	9/25/2013	11/14/2013	11,008.88	10,931.12	77.76
WHITNEY II	UNDER ARMOUR INC	59.000	9/25/2013	11/14/2013	4,606.55	4,571.32	35.23
WHITNEY II	GAMING AND LEISURE PR...	292.000	11/7/2013	11/14/2013	13,079.22	12,648.28	430.94
WHITNEY II	GAMING AND LEISURE PR...	108.000	11/7/2013	11/14/2013	4,839.39	4,678.13	161.26
WHITNEY II	GNC HOLDINGS	200.000	7/9/2013	11/14/2013	11,513.47	9,146.71	2,366.76
WHITNEY II	GNC HOLDINGS	100.000	10/7/2013	11/14/2013	5,756.73	5,487.99	268.74
WHITNEY II	INTERACTIVE CORP	500.000	7/22/2013	11/14/2013	27,343.43	25,703.40	1,640.03
WHITNEY II	WHOLE FOODS MARKETS ...	400.000	2/21/2013	11/14/2013	23,156.51	17,579.57	5,576.94
WHITNEY II	WHOLE FOODS MARKETS ..	200.000	2/27/2013	11/14/2013	11,578.25	8,537.99	3,040.26
WHITNEY II	CAESARSTONE	250.000	11/4/2013	11/14/2013	11,066.41	10,570.49	495.92
WHITNEY II	RPX CORP	500.000	8/5/2013	11/14/2013	8,430.46	9,007.99	-577.53

Capital Gains - Last year

1/1/2013 through 12/31/2013

6/18/2014

Page 11

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L...
WHITNEY II	OASIS PETE INC	250.000	10/7/2013	11/14/2013	12,833.28	12,670.49	162.79
WHITNEY II	OASIS PETE INC	250.000	10/28/2013	11/14/2013	12,833.28	12,981.19	-147.91
WHITNEY II	G-III APPAREL	200.000	10/9/2013	11/18/2013	11,548.80	11,008.91	539.89
WHITNEY II	ATHLON ENERGY	200.000	10/28/2013	11/18/2013	6,521.89	6,497.99	23.90
WHITNEY II	GRAN TIERRA ENERGY INC	1,000.000	10/7/2013	11/18/2013	7,291.88	7,197.99	93.89
WHITNEY II	CREE INC	500.000	9/23/2013	11/20/2013	28,414.51	29,952.85	-1,538.34
WHITNEY II	AMERICAN PACIFIC CORP	4.000	7/9/2013	11/20/2013	162.99	119.79	43.20
WHITNEY II	AMERICAN PACIFIC CORP	400.000	7/29/2013	11/20/2013	16,298.52	14,187.99	2,110.53
WHITNEY II	AMERICAN PACIFIC CORP	96.000	8/28/2013	11/20/2013	3,911.64	4,669.44	-757.80
WHITNEY II	CREE INC	500.000	9/23/2013	11/25/2013	26,693.54	29,952.85	-3,259.31
WHITNEY II	MARVELL TECHNOLOGY G...	500.000	11/21/2013	11/25/2013	6,667.39	6,952.94	-285.55
WHITNEY II	PENN NATIONAL GAMING I...	400.000	10/13/2013	11/25/2013	5,917.34	5,104.57	812.77
WHITNEY II	RENTRAK CORP	200.000	10/23/2013	11/27/2013	7,234.55	7,227.99	6.56
WHITNEY II	RENTRAK CORP	100.000	10/28/2013	11/27/2013	3,617.27	3,547.99	69.28
WHITNEY II	VALERO ENERGY CORP	200.000	3/11/2013	12/4/2013	9,208.44	9,593.99	-385.55
WHITNEY II	BIAUTO HLDGS	200.000	10/28/2013	12/4/2013	6,195.90	5,057.99	1,137.91
WHITNEY II	EVOLUTION	300.000	10/15/2013	12/5/2013	3,653.68	3,350.99	302.69
WHITNEY II	EVOLUTION	100.000	10/15/2013	12/9/2013	1,208.98	1,117.00	91.98
WHITNEY II	LUXOTTICA GROUP SPA A...	400.000	12/11/2012	12/9/2013	19,474.31	16,302.60	3,171.71
WHITNEY II	RANGE RESOURCES CORP	200.000	9/16/2013	12/11/2013	15,979.53	15,887.49	92.04
WHITNEY II	ADR 360 TECHNOLOGY	200.000	9/3/2013	12/18/2013	16,299.86	14,707.99	1,591.87
WHITNEY II	ADR 360 TECHNOLOGY	100.000	9/18/2013	12/18/2013	8,149.93	8,782.99	-633.06
WHITNEY II	ADR 360 TECHNOLOGY	100.000	10/2/2013	12/18/2013	8,149.93	8,507.99	-358.06
WHITNEY II	EAGLE BANCORP INC	500.000	7/31/2013	12/18/2013	15,450.69	12,882.99	2,567.70
WHITNEY II	ITC HLDGS CORP	200.000	11/18/2013	12/18/2013	18,729.48	18,807.99	-78.51
WHITNEY II	ANADARKO PETROLEUM C...	200.000	11/6/2013	12/18/2013	15,288.24	18,757.99	-3,469.75
WHITNEY II	CHICAGO BRIDGE & IRON...	200.000	7/22/2013	12/23/2013	15,638.73	12,373.20	3,265.53
WHITNEY II	MOVE ON	500.000	8/26/2013	12/23/2013	7,392.63	7,207.99	184.64
WHITNEY II	MOVE ON	500.000	9/5/2013	12/23/2013	7,392.63	7,132.99	259.64
WHITNEY II	HANESBRANDS INC	100.000	8/19/2013	12/23/2013	6,743.94	6,157.99	585.95
WHITNEY II	HANESBRANDS INC	100.000	9/16/2013	12/23/2013	6,743.94	6,137.99	605.95
WHITNEY II	HANESBRANDS INC	100.000	9/23/2013	12/23/2013	6,743.94	6,307.99	435.95
WHITNEY II	ICHAN ENTERPRISES LP	200.000	10/28/2013	12/23/2013	23,095.03	18,967.99	4,127.04
WHITNEY II	ICHAN ENTERPRISES LP	150.000	12/13/2013	12/23/2013	17,321.27	20,161.99	-2,840.72
WHITNEY II	ACETO CORP	250.000	8/5/2013	12/23/2013	5,555.79	3,945.49	1,610.30
WHITNEY II	ANSYS INC	250.000	5/7/2013	12/23/2013	21,337.51	18,687.99	2,649.52
WHITNEY II	HERTZ GLOBAL HOLDINGS...	300.000	5/16/2013	12/23/2013	7,548.87	7,459.79	89.08
WHITNEY II	HERTZ GLOBAL HOLDINGS	200.000	5/16/2013	12/23/2013	5,035.93	4,973.20	62.73

Capital Gains - Last year

1/1/2013 through 12/31/2013

6/18/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
WHITNEY II	HERTZ GLOBAL HOLDINGS ..	250.000	6/10/2013	12/23/2013	6,294.92	6,102.52	192.40
WHITNEY II	UNIT CORP	200.000	12/13/2013	12/26/2013	10,143.53	10,126.43	17.10
WHITNEY II	AETNA INC	100.000	9/23/2013	12/26/2013	6,694.18	6,651.74	42.44
TOTAL SHORT TERM					4,673,208.73	4,504,273.74	168,934.99
LONG TERM							
CHARLES SCHWAB	ST JUDE MEDICAL INC	50.000	1/6/2011	1/3/2013	1,793.17	2,036.79	-243.62
CHARLES SCHWAB	ST JUDE MEDICAL INC	200.000	1/6/2011	1/3/2013	7,172.88	8,147.16	-974.28
CHARLES SCHWAB	MCDONALD'S CORP	200.000	8/10/2011	1/16/2013	18,227.63	16,808.95	1,418.68
CHARLES SCHWAB	MCDONALD'S CORP	100.000	8/18/2011	1/16/2013	9,113.81	8,608.95	504.86
CHARLES SCHWAB	IBM	100.000	4/22/2009	2/4/2013	20,397.06	10,013.95	10,383.11
CHARLES SCHWAB	IBM	50.000	4/24/2009	2/4/2013	10,198.53	5,107.95	5,090.58
CHARLES SCHWAB	IBM	100.000	5/11/2009	2/4/2013	20,397.06	10,455.85	9,941.21
CHARLES SCHWAB	GOLDMAN SACHS GROUP ..	100.000	9/24/2010	5/10/2013	14,821.72	14,735.95	85.77
CHARLES SCHWAB	SPDR GOLD TRUST	100.000	6/8/2010	5/15/2013	13,498.33	12,185.85	1,312.48
CHARLES SCHWAB	SPDR GOLD TRUST	50.000	7/1/2010	5/15/2013	6,749.16	6,123.19	625.97
CHARLES SCHWAB	SPDR GOLD TRUST	50.000	7/12/2010	5/15/2013	6,749.16	5,884.88	864.28
CHARLES SCHWAB	CANADIAN OIL SANDS LTD	500.000	4/7/2011	6/5/2013	9,620.88	17,053.95	-7,433.07
CHARLES SCHWAB	CATERPILLAR INC	100.000	5/12/2011	7/29/2013	8,396.90	10,769.85	-2,372.95
CHARLES SCHWAB	APPLE COMPUTER	50.000	7/21/2009	10/10/2013	7,337.61	7,337.61	0.00
CHARLES SCHWAB	APPLE COMPUTER	50.000	9/16/2009	10/10/2013	8,622.45	8,622.45	0.00
CHARLES SCHWAB	APPLE COMPUTER	100.000	8/10/2011	10/10/2013	36,508.95	36,508.95	0.00
CHARLES SCHWAB	APPLE COMPUTER	50.000	11/11/2011	10/10/2013	19,122.90	19,122.90	0.00
CHARLES SCHWAB	APPLE COMPUTER	20.000	1/25/2012	10/10/2013	8,428.95	8,428.95	0.00
CHARLES SCHWAB	APPLE COMPUTER	30.000	2/22/2012	10/10/2013	14,744.95	14,744.95	0.00
CHARLES SCHWAB	APPLE COMPUTER	20.000	6/1/2012	10/10/2013	11,360.75	11,360.75	0.00
CHARLES SCHWAB	BANK OF AMERICA CORP	100.000	17/2/2011	10/10/2013	1,448.79	1,448.79	0.00
CHARLES SCHWAB	BANK OF AMERICA CORP	450.000	17/2/2011	10/10/2013	6,518.28	6,518.28	0.00
CHARLES SCHWAB	BANK OF AMERICA CORP	200.000	17/2/2011	10/10/2013	2,897.79	2,897.79	0.00
CHARLES SCHWAB	BANK OF AMERICA CORP	200.000	17/2/2011	10/10/2013	2,897.59	2,897.59	0.00
CHARLES SCHWAB	BANK OF AMERICA CORP	50.000	17/2/2011	10/10/2013	724.27	724.27	0.00
CHARLES SCHWAB	CELGENE CORP	200.000	8/14/2012	10/10/2013	14,308.95	14,308.95	0.00
CHARLES SCHWAB	CENOVUS ENERGY INC	500.000	8/11/2011	10/10/2013	16,625.90	16,625.90	0.00
CHARLES SCHWAB	CENOVUS ENERGY INC	200.000	8/18/2011	10/10/2013	6,658.95	6,658.95	0.00
CHARLES SCHWAB	CLOROX CO	100.000	8/1/2012	10/10/2013	7,263.16	7,263.16	0.00
CHARLES SCHWAB	CLOROX CO	100.000	8/1/2012	10/10/2013	7,263.47	7,263.47	0.00
CHARLES SCHWAB	COCA COLA CO	200.000	27/2/2012	10/10/2013	6,795.95	6,795.95	0.00
CHARLES SCHWAB	COCA COLA CO	400.000	6/1/2012	10/10/2013	14,704.95	14,704.95	0.00

Capital Gains - Last year

1/1/2013 through 12/31/2013

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
CHARLES SCHWAB	EMERSON ELECTRIC CO	100.000	5/12/2011	10/10/2013	5,445.14	5,445.14	0.00
CHARLES SCHWAB	EMERSON ELECTRIC CO	73.000	5/12/2011	10/10/2013	3,974.84	3,974.84	0.00
CHARLES SCHWAB	EMERSON ELECTRIC CO	200.000	5/12/2011	10/10/2013	10,890.28	10,890.28	0.00
CHARLES SCHWAB	EMERSON ELECTRIC CO	27.000	5/12/2011	10/10/2013	1,470.14	1,470.14	0.00
CHARLES SCHWAB	FAIRHOLME FUND	464.822	9/11/2008	10/10/2013	12,114.02	12,114.02	0.00
CHARLES SCHWAB	FAIRHOLME FUND	1,044.543	10/20/2008	10/10/2013	27,222.49	27,222.49	0.00
CHARLES SCHWAB	GILEAD SCIENCES INC	2,000.000	10/14/2010	10/10/2013	36,948.95	36,948.95	0.00
CHARLES SCHWAB	GOOGLE INC CL A	20.000	8/16/2011	10/10/2013	10,753.33	10,753.33	0.00
CHARLES SCHWAB	IMMUNOMEDICS INC	3,000.000	5/20/2011	10/10/2013	11,798.95	11,798.95	0.00
CHARLES SCHWAB	ION GEOPHYSICAL CORP	1,000.000	5/12/2011	10/10/2013	9,838.95	9,838.95	0.00
CHARLES SCHWAB	ION GEOPHYSICAL CORP	1,500.000	5/20/2011	10/10/2013	14,363.95	14,363.95	0.00
CHARLES SCHWAB	ION GEOPHYSICAL CORP	250.000	3/27/2012	10/10/2013	1,553.95	1,553.95	0.00
CHARLES SCHWAB	JOHNSON & JOHNSON	100.000	1/16/2009	10/10/2013	5,898.85	5,898.85	0.00
CHARLES SCHWAB	JOHNSON & JOHNSON	150.000	6/4/2009	10/10/2013	8,311.30	8,311.30	0.00
CHARLES SCHWAB	JOHNSON & JOHNSON	100.000	7/15/2009	10/10/2013	5,708.95	5,708.95	0.00
CHARLES SCHWAB	JOHNSON & JOHNSON	100.000	10/1/2009	10/10/2013	6,156.85	6,156.85	0.00
CHARLES SCHWAB	JOHNSON & JOHNSON	250.000	8/25/2011	10/10/2013	16,126.45	16,126.45	0.00
CHARLES SCHWAB	KANSAS CITY SOUTHERN	100.000	8/16/2011	10/10/2013	5,396.95	5,396.95	0.00
CHARLES SCHWAB	KIMBERLY CLARK CORP	100.000	1/5/2012	10/10/2013	7,360.95	7,360.95	0.00
CHARLES SCHWAB	MORGAN STANLEY	500.000	8/12/2011	10/10/2013	8,808.95	8,808.95	0.00
CHARLES SCHWAB	MORGAN STANLEY	500.000	8/16/2011	10/10/2013	8,508.95	8,508.95	0.00
CHARLES SCHWAB	NEWMONT MINING CORP	100.000	1/5/2012	10/10/2013	6,002.95	6,002.95	0.00
CHARLES SCHWAB	NIKE INC CL B	400.000	7/5/2012	10/10/2013	17,372.95	17,372.95	0.00
CHARLES SCHWAB	PHILIP MORRIS	150.000	1/7/2011	10/10/2013	8,468.95	8,468.95	0.00
CHARLES SCHWAB	PHILIP MORRIS	250.000	6/10/2011	10/10/2013	16,883.95	16,883.95	0.00
CHARLES SCHWAB	PILOT GOLD INC	250.000	4/13/2011	10/10/2013	0.00	0.00	0.00
CHARLES SCHWAB	SILVER WHEATON CORP	200.000	6/21/2012	10/10/2013	5,487.58	5,487.58	0.00
CHARLES SCHWAB	STARBUCKS CORP	200.000	6/1/2012	10/10/2013	10,550.75	10,550.75	0.00
CHARLES SCHWAB	TOYOTA MOTOR CORP SP	150.000	6/1/2012	10/10/2013	11,254.45	11,254.45	0.00
CHARLES SCHWAB	URANIUM ENERGY CORP	2,000.000	9/12/2012	10/10/2013	5,408.95	5,408.95	0.00
E TRADE	ADOBE SYSTEMS INC	150.000	11/17/2009	1/4/2013	5,659.33	5,541.42	117.91
E TRADE	EMERSON ELECTRIC CO	100.000	5/12/2011	11/6/2013	6,725.09	5,445.14	1,279.95
E TRADE	EMERSON ELECTRIC CO	73.000	5/12/2011	11/6/2013	4,915.08	3,974.84	940.24
E TRADE	EMERSON ELECTRIC CO	27.000	5/12/2011	11/6/2013	1,817.90	1,470.19	347.71
E TRADE	EMERSON ELECTRIC CO	173.000	5/12/2011	11/6/2013	11,647.88	9,420.09	2,227.79
E TRADE	EMERSON ELECTRIC CO	27.000	5/12/2011	11/6/2013	1,817.88	1,470.14	347.74
E TRADE	PILOT GOLD INC	250.000	4/13/2011	11/6/2013	199.25	0.00	199.25
FIRST WILSHIRE CUSTO..	CHINACAST EDUCATION C..	2,000.000	10/1/2008	1/22/2013	121.59	5,206.55	-5,084.96

Capital Gains - Last year

1/1/2013 through 12/31/2013

6/18/2014

Page 14

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L...
FIRST WILSHIRE CUSTO...	ZHONGPIN INC	700.000	5/14/2009	2/14/2013	8,849.36	7,280.76	1,568.60
FIRST WILSHIRE CUSTO...	ZHONGPIN INC	200.000	7/17/2009	2/14/2013	2,528.39	1,945.53	582.86
FIRST WILSHIRE CUSTO...	ZHONGPIN INC	300.000	7/17/2009	2/26/2013	3,779.97	2,918.30	861.67
FIRST WILSHIRE CUSTO...	ZHONGPIN INC	500.000	9/8/2009	2/26/2013	6,299.96	5,551.31	748.65
FIRST WILSHIRE CUSTO...	FIRST TRUST SPECIALTY F...	3,000.000	12/31/2008	2/27/2013	25,016.70	11,517.61	13,499.09
FIRST WILSHIRE CUSTO...	MANITEX INTERNATIONAL ...	1,100.000	11/25/2009	3/11/2013	11,455.34	1,980.48	9,474.86
FIRST WILSHIRE CUSTO...	CCA INDUSTRIES INC	1,600.000	1/8/2008	3/14/2013	6,616.42	15,422.31	-8,805.89
FIRST WILSHIRE CUSTO...	AMERICAN GREETING CO...	150.000	8/2/2010	4/4/2013	2,677.66	3,141.19	-463.53
FIRST WILSHIRE CUSTO...	AMERICAN GREETING CO...	400.000	2/25/2011	4/4/2013	7,140.44	8,808.90	-1,668.46
FIRST WILSHIRE CUSTO...	AMERICAN GREETING CO...	700.000	2/28/2011	4/4/2013	12,495.76	14,989.39	-2,493.63
FIRST WILSHIRE CUSTO...	MANITEX INTERNATIONAL ...	300.000	11/25/2009	5/9/2013	3,331.45	540.13	2,791.32
FIRST WILSHIRE CUSTO...	JOHN B SANFILIPPO & SON	500.000	11/12/2010	5/9/2013	9,855.39	6,521.08	3,334.31
FIRST WILSHIRE CUSTO...	JOHN B SANFILIPPO & SON	994.000	11/12/2010	5/31/2013	19,696.90	12,963.92	6,732.98
FIRST WILSHIRE CUSTO...	JOHN B SANFILIPPO & SON	406.000	11/12/2010	7/23/2013	8,372.93	5,295.12	3,077.81
FIRST WILSHIRE CUSTO...	BANK OF AMERICA CORP	500.000	1/2/2009	9/18/2013	7,148.33	11,117.07	-3,968.74
FIRST WILSHIRE CUSTO...	IMAX CORP	350.000	12/11/2008	9/18/2013	9,969.99	974.52	8,995.47
FIRST WILSHIRE CUSTO...	WINDSTREAM CORP	1,800.000	5/13/2010	12/12/2013	14,260.42	19,487.39	-5,226.97
FIRST WILSHIRE CUSTO...	IMAX CORP	1,500.000	12/11/2008	12/16/2013	42,433.96	4,176.53	38,257.43
FIRST WILSHIRE CUSTO...	MOBILE MINI INC	400.000	6/13/2011	12/17/2013	14,983.03	8,404.53	6,578.50
FIRST WILSHIRE CUSTO...	MOBILE MINI INC	200.000	6/13/2011	12/19/2013	7,613.31	4,202.26	3,411.05
ISI, Inc	ABBOTT LABORATORIES	300.000	9/29/2010	1/7/2013	15,608.40	15,608.40	0.00
ISI, Inc	ABBOTT LABORATORIES	250.000	6/20/2011	1/7/2013	12,943.33	12,943.33	0.00
ISI, Inc	MCDONALD'S CORP	125.000	6/14/2011	1/10/2013	11,273.83	10,209.04	1,064.79
ISI, Inc	MCDONALD'S CORP	240.000	6/28/2011	1/10/2013	21,645.76	19,689.55	1,956.21
ISI, Inc	MICROSOFT CORP	170.000	9/22/2011	1/15/2013	4,453.21	4,565.89	-112.68
ISI, Inc	MICROSOFT CORP	10.000	10/13/2011	1/15/2013	261.95	263.20	-1.25
ISI, Inc	MICROSOFT CORP	400.000	10/13/2011	1/15/2013	10,478.14	10,633.92	-155.78
ISI, Inc	GOOGLE INC CL A	40.000	11/23/2011	2/1/2013	30,066.17	23,858.69	6,207.48
ISI, Inc	APPLE COMPUTER	100.000	7/29/2010	2/7/2013	44,672.39	25,881.90	18,790.49
ISI, Inc	GOOGLE INC CL A	30.000	11/23/2011	2/27/2013	23,911.81	17,894.01	6,017.80
ISI, Inc	INTEL CORP	1,700.000	8/29/2011	4/12/2013	36,665.54	33,609.00	3,056.54
ISI, Inc	AMERICAN TOWER CORP	400.000	1/13/2012	4/12/2013	31,352.37	24,546.60	6,805.77
ISI, Inc	METLIFE INC	200.000	10/18/2010	4/30/2013	7,761.55	8,003.40	-241.85
ISI, Inc	METLIFE INC	400.000	12/17/2010	4/30/2013	15,523.10	17,646.20	-2,123.10
ISI, Inc	METLIFE INC	180.000	10/28/2011	4/30/2013	6,985.39	6,108.29	877.10
ISI, Inc	COCA COLA CO	100.000	10/3/2011	5/2/2013	4,165.20	3,448.00	717.20
ISI, Inc	JOHNSON & JOHNSON	300.000	8/15/2011	5/6/2013	25,253.73	18,127.43	7,126.30
ISI, Inc	SPDR GOLD TRUST	150.000	9/6/2011	6/17/2013	20,048.66	26,828.22	-6,779.56

Capital Gains - Last year

1/1/2013 through 12/31/2013

6/18/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
ISI, Inc	IBM	90.000	3/16/2011	8/1/2013	17,603.36	14,560.61	3,042.75
ISI, Inc	IBM	100.000	6/14/2011	8/1/2013	19,559.29	16,588.46	2,970.83
ISI, Inc	IBM	30.000	6/28/2011	8/1/2013	5,867.79	4,966.80	900.99
ISI, Inc	FMC CORP	320.000	5/26/2009	8/6/2013	21,213.21	8,432.01	12,781.20
ISI, Inc	FMC CORP	200.000	3/16/2011	8/6/2013	13,258.26	7,567.11	5,691.15
ISI, Inc	FMC CORP	300.000	8/2/2012	8/6/2013	19,887.38	16,650.64	3,236.74
ISI, Inc	STARBUCKS CORP	300.000	9/29/2010	8/12/2013	21,611.83	7,829.70	13,782.13
ISI, Inc	COCA COLA CO	1,000.000	10/3/2011	8/27/2013	38,215.67	34,514.00	3,701.67
ISI, Inc	COCA COLA CO	400.000	8/2/2012	8/27/2013	15,286.27	16,269.10	-982.83
ISI, Inc	COCA COLA CO	400.000	8/22/2012	8/27/2013	15,286.27	15,945.60	-659.33
ISI, Inc	EMC CORP	1,100.000	5/20/2011	10/25/2013	26,370.28	30,249.89	-3,879.61
ISI, Inc	EMC CORP	800.000	6/22/2012	10/25/2013	19,178.38	20,332.83	-1,154.45
ISI, Inc	CHEVRON CORP	100.000	8/31/2009	10/28/2013	11,980.68	7,111.70	4,868.98
ISI, Inc	CHEVRON CORP	200.000	3/16/2011	10/28/2013	23,961.36	20,008.60	3,952.76
ISI, Inc	CHEVRON CORP	230.000	8/2/2012	10/28/2013	27,555.56	25,306.28	2,249.28
WATERS PARKERSON	MICROSOFT CORP	550.000	8/14/2012	8/29/2013	18,905.40	16,771.19	2,134.21
WATERS PARKERSON	MICROSOFT CORP	100.000	8/14/2012	8/29/2013	3,437.35	3,049.31	388.04
WHITNEY II	GMX RESOURCES INC	300.000	3/7/2011	1/7/2013	1,561.99	1,561.99	0.00
WHITNEY II	GMX RESOURCES INC	700.000	3/7/2011	1/7/2013	3,632.96	3,632.96	0.00
WHITNEY II	GMX RESOURCES INC	0.923	3/7/2011	1/10/2013	5.15	62.33	-57.18
WHITNEY II	ROYAL DUTCH SHELL PLC ...	0.241	2/8/2011	1/11/2013	15.85	16.99	-1.14
WHITNEY II	HANCOCK HOLDING CO	150.000	3/16/2011	1/14/2013	4,932.87	4,787.40	145.47
WHITNEY II	HANCOCK HOLDING CO	250.000	3/28/2011	1/14/2013	8,221.45	8,120.49	100.96
WHITNEY II	HANCOCK HOLDING CO	100.000	4/12/2011	1/14/2013	3,288.58	3,388.10	-99.52
WHITNEY II	CARRIZO OIL & GAS INC	200.000	7/14/2011	1/14/2013	4,018.91	8,053.99	-4,035.08
WHITNEY II	CARRIZO OIL & GAS INC	300.000	7/14/2011	1/14/2013	6,028.37	12,068.97	-6,040.60
WHITNEY II	AT&T INC	500.000	5/10/2011	1/24/2013	16,582.20	15,796.84	785.36
WHITNEY II	SOUTHWESTERN ENERGY...	500.000	10/6/2003	1/28/2013	17,082.37	1,141.25	15,941.12
WHITNEY II	SOUTHWESTERN ENERGY...	150.000	10/6/2003	1/28/2013	5,126.96	342.38	4,784.58
WHITNEY II	SOUTHWESTERN ENERGY...	300.000	11/24/2008	1/28/2013	10,253.92	9,292.99	960.93
WHITNEY II	SOUTHWESTERN ENERGY...	300.000	11/25/2008	1/28/2013	10,253.92	8,005.03	2,248.89
WHITNEY II	SOUTHWESTERN ENERGY...	250.000	12/4/2008	1/28/2013	8,544.93	7,632.99	911.94
WHITNEY II	BANK OF NEW YORK CO INC	250.000	5/3/2011	2/13/2013	6,970.06	7,132.99	-162.93
WHITNEY II	BANK OF NEW YORK CO INC	250.000	6/2/2011	2/13/2013	6,970.06	6,899.87	70.19
WHITNEY II	SHIRE PLC ADR	100.000	1/25/2012	2/13/2013	9,987.11	9,827.99	159.12
WHITNEY II	HJ HEINZ CO	400.000	1/14/2009	2/21/2013	28,882.63	14,566.39	14,316.24
WHITNEY II	HJ HEINZ CO	250.000	2/18/2009	2/21/2013	18,051.64	8,610.49	9,441.15
WHITNEY II	HJ HEINZ CO	250.000	3/16/2009	2/21/2013	18,051.64	7,882.99	10,168.65

Capital Gains - Last year

1/1/2013 through 12/31/2013

6/18/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
WHITNEY II	HJ HEINZ CO	100.000	4/20/2010	2/21/2013	7,220.66	4,596.99	2,623.67
WHITNEY II	HJ HEINZ CO	250.000	6/9/2010	2/21/2013	18,051.64	11,007.99	7,043.65
WHITNEY II	HJ HEINZ CO	250.000	9/28/2010	2/21/2013	18,051.64	11,757.99	6,293.65
WHITNEY II	HJ HEINZ CO	250.000	1/25/2012	2/21/2013	18,051.64	13,007.99	5,043.65
WHITNEY II	BARRICK GOLD CORP	50.000	7/8/2011	2/25/2013	1,538.24	2,224.27	-686.03
WHITNEY II	BARRICK GOLD CORP	100.000	9/28/2011	2/25/2013	3,076.49	4,722.99	-1,646.50
WHITNEY II	BARRICK GOLD CORP	150.000	12/19/2011	2/25/2013	4,614.73	6,754.79	-2,140.06
WHITNEY II	LAS VEGAS SANDS CORP	200.000	11/17/2010	2/25/2013	10,134.30	9,803.20	331.10
WHITNEY II	LAS VEGAS SANDS CORP	50.000	11/17/2010	2/25/2013	2,535.58	2,450.80	84.78
WHITNEY II	LAS VEGAS SANDS CORP	100.000	11/29/2010	2/25/2013	5,071.17	4,907.99	163.18
WHITNEY II	LAS VEGAS SANDS CORP	200.000	12/9/2010	2/25/2013	10,142.33	9,811.29	331.04
WHITNEY II	LAS VEGAS SANDS CORP	100.000	12/10/2010	2/25/2013	5,071.17	4,797.64	273.53
WHITNEY II	LAS VEGAS SANDS CORP	100.000	1/28/2011	2/25/2013	5,071.17	4,500.64	570.53
WHITNEY II	LAS VEGAS SANDS CORP	250.000	2/9/2011	2/25/2013	12,677.92	11,507.99	1,169.93
WHITNEY II	GOLDCORP INC	500.000	7/28/2008	2/25/2013	16,467.44	20,437.99	-3,970.55
WHITNEY II	MCDONALD'S CORP	450.000	1/30/2007	2/25/2013	42,368.14	19,582.19	22,785.95
WHITNEY II	MCDONALD'S CORP	250.000	12/11/2007	2/25/2013	23,537.85	14,917.37	8,620.48
WHITNEY II	MCDONALD'S CORP	200.000	1/14/2008	2/25/2013	18,830.28	11,331.13	7,499.15
WHITNEY II	IMMUNOMEDICS INC	5,000.000	12/31/2000	2/27/2013	11,641.74	77,988.23	-66,346.49
WHITNEY II	MARKET VECTORS GOLD ...	400.000	9/8/2010	2/27/2013	15,164.55	21,203.20	-6,038.65
WHITNEY II	SEADRILL LIMITED	500.000	12/9/2010	2/27/2013	18,304.26	17,097.99	1,206.27
WHITNEY II	NORFOLK SOUTHERN CORP	100.000	7/19/2011	3/8/2013	7,495.84	7,487.99	7.85
WHITNEY II	NORFOLK SOUTHERN CORP	100.000	8/3/2011	3/8/2013	7,495.84	7,522.99	-27.15
WHITNEY II	GOLD FIELDS LTD SPON A..	250.000	4/16/2010	3/11/2013	1,484.97	3,231.67	-1,746.70
WHITNEY II	FORD MOTOR CO	500.000	4/6/2010	3/11/2013	6,456.61	6,257.99	198.62
WHITNEY II	FORD MOTOR CO	500.000	5/19/2010	3/11/2013	6,456.61	6,004.00	452.61
WHITNEY II	NOVARTIS AG ADR	500.000	9/5/2002	3/18/2013	34,456.48	19,384.98	15,071.50
WHITNEY II	NOVARTIS AG ADR	400.000	9/21/2004	3/18/2013	27,565.18	18,735.96	8,829.22
WHITNEY II	NOVARTIS AG ADR	100.000	9/21/2004	3/18/2013	6,891.30	4,684.99	2,206.31
WHITNEY II	BB&T	500.000	10/28/2011	3/20/2013	15,373.26	11,542.99	3,830.27
WHITNEY II	TEVA PHARMACEUTICAL A...	600.000	7/28/2010	3/25/2013	24,091.38	30,004.79	-5,913.41
WHITNEY II	TEVA PHARMACEUTICAL A...	100.000	7/28/2010	3/25/2013	4,007.07	5,000.80	-993.73
WHITNEY II	SILVER WHEATON CORP	1,000.000	3/20/2009	3/25/2013	30,142.83	6,333.90	23,808.93
WHITNEY II	ISHARES SILVER TRUST	500.000	7/9/2008	3/25/2013	13,888.19	8,967.00	4,921.19
WHITNEY II	UNITEDHEALTH GROUP INC	500.000	3/20/2012	3/25/2013	27,727.67	27,882.99	-155.32
WHITNEY II	UNITEDHEALTH GROUP INC	200.000	3/23/2012	3/25/2013	11,091.07	10,963.69	127.38
WHITNEY II	HALLIBURTON CO	1,000.000	2/7/2012	4/2/2013	40,185.30	36,786.79	3,398.51
WHITNEY II	KIMBERLY CLARK CORP	200.000	3/12/2010	4/2/2013	19,320.17	12,007.99	7,312.18

Capital Gains - Last year

1/1/2013 through 12/31/2013

6/18/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L..
WHITNEY II	KIMBERLY CLARK CORP	100.000	3/15/2010	4/2/2013	9,663.88	5,945.94	3,717.94
WHITNEY II	KIMBERLY CLARK CORP	100.000	5/28/2010	4/2/2013	9,663.88	6,047.99	3,615.89
WHITNEY II	KIMBERLY CLARK CORP	100.000	7/26/2010	4/2/2013	9,663.88	6,263.96	3,399.92
WHITNEY II	RIO TINTO PLC SPON ADR	500.000	2/7/2012	4/2/2013	23,537.23	31,407.99	-7,870.76
WHITNEY II	ROYAL DUTCH SHELL PLC ...	0.439	2/8/2011	4/2/2013	28.53	30.96	-2.43
WHITNEY II	SILVER WHEATON CORP	1,000.000	8/3/2009	4/17/2013	26,324.42	8,507.99	17,816.43
WHITNEY II	DOW CHEMICAL	1,000.000	9/8/2011	4/17/2013	31,202.71	27,026.99	4,175.72
WHITNEY II	GOLDCORP INC	500.000	3/20/2009	4/17/2013	14,817.87	14,257.99	559.88
WHITNEY II	BARRICK GOLD CORP	100.000	12/19/2011	4/17/2013	2,309.50	4,503.20	-2,193.70
WHITNEY II	BARRICK GOLD CORP	250.000	2/15/2012	4/17/2013	5,773.75	11,945.49	-6,171.74
WHITNEY II	BARRICK GOLD CORP	150.000	3/7/2012	4/17/2013	3,464.25	7,127.40	-3,663.15
WHITNEY II	CATERPILLAR INC	200.000	7/28/2010	4/24/2013	16,074.98	13,672.30	2,402.68
WHITNEY II	CATERPILLAR INC	100.000	12/9/2010	4/24/2013	8,037.49	8,930.63	-893.14
WHITNEY II	CATERPILLAR INC	100.000	3/15/2011	4/24/2013	8,037.49	9,957.99	-1,920.50
WHITNEY II	CATERPILLAR INC	100.000	3/15/2011	4/24/2013	8,037.49	9,857.99	-1,820.50
WHITNEY II	COVIDIEN LTD	200.000	10/25/2011	5/1/2013	12,389.75	8,793.20	3,596.55
WHITNEY II	CLOROX CO	500.000	8/23/2011	5/6/2013	42,854.79	32,007.99	10,846.80
WHITNEY II	GENERAL ELECTRIC	1,100.000	3/23/2007	5/6/2013	24,471.34	38,066.16	-13,594.82
WHITNEY II	GOLDMAN SACHS GROUP	137.000	10/1/2010	5/8/2013	20,082.65	20,177.56	-94.91
WHITNEY II	GOLDMAN SACHS GROUP	100.000	10/14/2010	5/8/2013	14,658.87	15,211.93	-553.06
WHITNEY II	GOLDMAN SACHS GROUP	50.000	1/24/2010	5/8/2013	7,329.44	8,305.49	-976.05
WHITNEY II	GOLDMAN SACHS GROUP	100.000	4/25/2011	5/8/2013	14,658.87	15,209.74	-550.87
WHITNEY II	GOLDMAN SACHS GROUP	13.000	5/18/2011	5/8/2013	1,905.65	1,827.99	77.66
WHITNEY II	CLOROX CO	200.000	10/3/2011	5/8/2013	17,299.72	13,407.99	3,891.73
WHITNEY II	CLOROX CO	100.000	10/6/2011	5/8/2013	8,649.86	6,477.89	2,171.97
WHITNEY II	TORONTO DOMINION BANK	300.000	5/27/2009	5/16/2013	24,736.75	12,877.99	11,858.76
WHITNEY II	TORONTO DOMINION BANK	150.000	8/3/2009	5/16/2013	12,368.38	8,450.29	3,918.09
WHITNEY II	TORONTO DOMINION BANK	100.000	8/19/2009	5/16/2013	8,245.58	5,732.99	2,512.59
WHITNEY II	TORONTO DOMINION BANK	100.000	8/25/2010	5/16/2013	8,245.58	6,657.96	1,587.62
WHITNEY II	FRANCO NEVADA CORP	500.000	2/15/2011	5/23/2013	19,230.57	14,747.10	4,483.47
WHITNEY II	FRANCO NEVADA CORP	406.000	12/13/2011	5/23/2013	15,615.22	16,653.99	-1,038.77
WHITNEY II	FRANCO NEVADA CORP	94.000	12/13/2011	5/23/2013	3,615.35	3,850.24	-234.89
WHITNEY II	CHINA CONSTRUCTION BA...	3,000.000	10/1/2007	5/23/2013	2,481.95	2,887.99	-406.04
WHITNEY II	KIMBERLY CLARK CORP	250.000	7/26/2010	5/23/2013	26,026.92	15,659.91	10,367.01
WHITNEY II	KIMBERLY CLARK CORP	150.000	8/23/2010	5/23/2013	15,616.15	9,793.57	5,822.58
WHITNEY II	SILVER WHEATON CORP	500.000	12/8/2009	5/23/2013	10,770.76	8,572.74	2,198.02
WHITNEY II	SILVER WHEATON CORP	500.000	12/8/2009	5/23/2013	10,770.76	8,427.74	2,343.02
WHITNEY II	KIMBERLY CLARK CORP	100.000	8/23/2010	6/3/2013	9,951.04	6,529.05	3,421.99

Capital Gains - Last year

1/1/2013 through 12/31/2013

Page 18

6/18/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L...
WHITNEY II	KIMBERLY CLARK CORP	150.000	8/24/2010	6/3/2013	14,926.56	9,677.40	5,249.16
WHITNEY II	PEMBINA PIPELINE INCOM...	500.000	8/11/2009	6/3/2013	16,388.27	6,996.65	9,391.62
WHITNEY II	GENMARK DIAGNOSTICS I...	500.000	6/17/2010	6/17/2013	5,908.15	2,472.66	3,435.49
WHITNEY II	PEMBINA PIPELINE INCOM...	0.052	8/11/2009	6/18/2013	1.61	0.73	0.88
WHITNEY II	GENMARK DIAGNOSTICS I...	600.000	6/17/2010	6/19/2013	7,208.75	2,967.20	4,241.55
WHITNEY II	GENMARK DIAGNOSTICS I...	400.000	6/17/2010	6/19/2013	4,795.92	1,978.13	2,817.79
WHITNEY II	SPREADTRUM COMMUNIC...	500.000	11/21/2011	6/26/2013	13,048.03	14,937.64	-1,889.61
WHITNEY II	COEUR D ALENE MINES C...	500.000	11/15/2011	7/1/2013	5,836.80	14,182.99	-8,346.19
WHITNEY II	COEUR D ALENE MINES C...	250.000	11/21/2011	7/1/2013	2,918.40	7,192.99	-4,274.59
WHITNEY II	COEUR D ALENE MINES C...	250.000	12/13/2011	7/1/2013	2,918.40	7,161.66	-4,243.26
WHITNEY II	KINROSS GOLD CORP	250.000	11/20/2007	7/1/2013	1,138.38	4,230.87	-3,092.49
WHITNEY II	KINROSS GOLD CORP	250.000	1/2/2008	7/1/2013	1,138.38	4,537.74	-3,399.36
WHITNEY II	KINROSS GOLD CORP	500.000	1/17/2008	7/1/2013	2,276.77	11,607.99	-9,331.22
WHITNEY II	ISHARES SILVER TRUST	1,000.000	7/9/2008	7/1/2013	17,951.79	17,934.00	17.79
WHITNEY II	SPDR GOLD TRUST	1.000	12/24/2008	7/1/2013	119.19	82.26	36.93
WHITNEY II	SPDR GOLD TRUST	100.000	12/30/2008	7/1/2013	11,918.86	8,307.99	3,610.87
WHITNEY II	SPDR GOLD TRUST	100.000	1/5/2009	7/1/2013	11,918.86	8,567.99	3,350.87
WHITNEY II	SPDR GOLD TRUST	100.000	3/20/2009	7/1/2013	11,918.86	9,007.99	2,910.87
WHITNEY II	SPDR GOLD TRUST	100.000	6/18/2009	7/1/2013	11,918.86	9,125.96	2,792.90
WHITNEY II	SPDR GOLD TRUST	100.000	8/16/2011	7/1/2013	11,918.86	17,007.99	-5,089.13
WHITNEY II	SPDR GOLD TRUST	100.000	9/28/2011	7/1/2013	11,918.86	16,247.99	-4,329.13
WHITNEY II	NOVO NORDISK	200.000	5/30/2012	7/1/2013	30,980.23	27,927.99	3,052.24
WHITNEY II	PEMBINA PIPELINE INCOM	0.972	8/11/2009	7/17/2013	3.76	13.60	-9.84
WHITNEY II	ROYAL DUTCH SHELL PLC ...	0.886	2/8/2011	7/18/2013	60.12	62.48	-2.36
WHITNEY II	ROYAL DUTCH SHELL PLC ..	0.180	2/8/2011	7/18/2013	12.22	12.69	-0.47
WHITNEY II	TOTAL SA SPON ADR	500.000	3/24/2010	7/25/2013	26,396.55	28,432.99	-2,036.44
WHITNEY II	FREEMPORT MCMORAN CO...	200.000	6/9/2010	7/25/2013	5,828.12	6,301.60	-473.48
WHITNEY II	FREEMPORT MCMORAN CO..	700.000	7/29/2010	7/25/2013	20,398.43	24,927.34	-4,528.91
WHITNEY II	ROYAL DUTCH SHELL PLC ...	347.494	2/8/2011	7/25/2013	24,763.41	24,503.91	259.50
WHITNEY II	ROYAL DUTCH SHELL PLC ..	152.506	2/9/2011	7/25/2013	10,867.97	10,599.10	268.87
WHITNEY II	FREEMPORT MCMORAN CO.	300.000	7/29/2010	7/31/2013	8,524.20	10,683.15	-2,158.95
WHITNEY II	FREEMPORT MCMORAN CO..	100.000	7/27/2011	7/31/2013	2,841.40	5,507.99	-2,666.59
WHITNEY II	FREEMPORT MCMORAN CO...	100.000	11/3/2011	7/31/2013	2,841.40	4,093.87	-1,252.47
WHITNEY II	SAKS	500.000	2/7/2012	8/2/2013	7,972.87	5,137.74	2,835.13
WHITNEY II	HANCOCK HOLDING CO	150.000	4/12/2011	8/5/2013	4,948.71	5,082.14	-133.43
WHITNEY II	HANCOCK HOLDING CO	250.000	1/13/2012	8/5/2013	8,247.86	8,257.99	-10.13
WHITNEY II	HANCOCK HOLDING CO	100.000	2/15/2012	8/5/2013	3,299.14	3,357.99	-58.85
WHITNEY II	HANCOCK HOLDING CO	200.000	5/2/2012	8/5/2013	6,598.29	6,591.99	6.30

Capital Gains - Last year

1/1/2013 through 12/31/2013

6/18/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L...
WHITNEY II	HANCOCK HOLDING CO	300.000	5/2/2012	8/5/2013	9,897.43	9,879.00	18.43
WHITNEY II	MCDONALD'S CORP	100.000	1/14/2008	8/19/2013	9,568.69	5,665.56	3,903.13
WHITNEY II	MCDONALD'S CORP	100.000	11/17/2008	8/19/2013	9,568.69	5,407.99	4,160.70
WHITNEY II	MCDONALD'S CORP	250.000	1/20/2009	8/19/2013	23,921.73	14,332.99	9,588.74
WHITNEY II	MCDONALD'S CORP	100.000	2/3/2009	8/19/2013	9,568.69	5,882.99	3,685.70
WHITNEY II	MCDONALD'S CORP	150.000	2/9/2009	8/19/2013	14,353.04	8,926.34	5,426.70
WHITNEY II	MCDONALD'S CORP	100.000	2/9/2009	8/19/2013	9,570.83	5,950.90	3,619.93
WHITNEY II	MCDONALD'S CORP	200.000	3/10/2009	8/19/2013	19,141.67	10,386.19	8,755.48
WHITNEY II	MCDONALD'S CORP	100.000	7/28/2006	8/21/2013	5,918.40	3,006.83	2,911.57
WHITNEY II	COLGATE PALMOLIVE CO	100.000	7/31/2006	8/21/2013	5,925.89	2,977.00	2,948.89
WHITNEY II	ABBOTT LABORATORIES	50.000	6/1/2010	8/21/2013	1,736.98	2,372.00	-635.02
WHITNEY II	ABBOTT LABORATORIES	300.000	7/23/2010	8/21/2013	10,421.86	14,176.46	-3,754.60
WHITNEY II	3M CO	250.000	12/4/2008	8/21/2013	28,966.79	16,252.00	12,714.79
WHITNEY II	3M CO	100.000	4/21/2010	8/21/2013	11,586.72	8,339.99	3,246.73
WHITNEY II	3M CO	34.000	5/10/2010	8/21/2013	3,939.48	2,947.12	992.36
WHITNEY II	COVIDIEN LTD	300.000	10/25/2011	8/26/2013	18,127.46	13,189.79	4,937.67
WHITNEY II	COVIDIEN LTD	100.000	12/13/2011	8/26/2013	6,042.49	4,371.99	1,670.50
WHITNEY II	COVIDIEN LTD	100.000	12/19/2011	8/26/2013	6,042.49	4,178.20	1,864.29
WHITNEY II	CLOROX CO	100.000	1/25/2012	9/3/2013	8,189.59	6,957.99	1,231.60
WHITNEY II	CLOROX CO	100.000	2/1/2012	9/3/2013	8,189.59	6,856.91	1,332.68
WHITNEY II	CLOROX CO	100.000	2/15/2012	9/3/2013	8,189.59	6,777.99	1,411.60
WHITNEY II	SOUTHERN CO	50.000	8/22/2007	9/16/2013	2,048.47	1,785.80	262.67
WHITNEY II	SOUTHERN CO	150.000	2/5/2009	9/16/2013	6,145.40	4,981.38	1,164.02
WHITNEY II	SOUTHERN CO	250.000	2/5/2009	9/16/2013	10,252.85	8,302.29	1,950.56
WHITNEY II	SOUTHERN CO	250.000	2/18/2009	9/16/2013	10,252.85	7,882.54	2,370.31
WHITNEY II	SOUTHERN CO	200.000	7/17/2009	9/16/2013	8,202.28	6,149.93	2,052.35
WHITNEY II	SOUTHERN CO	150.000	8/30/2010	9/16/2013	6,151.71	5,407.99	743.72
WHITNEY II	SOUTHERN CO	250.000	2/9/2011	9/16/2013	10,252.85	9,320.49	932.36
WHITNEY II	SOUTHERN CO	200.000	3/16/2011	9/16/2013	8,202.28	7,653.79	548.49
WHITNEY II	SOUTHERN CO	100.000	6/5/2012	9/16/2013	4,101.14	4,610.84	-509.70
WHITNEY II	MCDONALD'S CORP	50.000	3/10/2009	9/30/2013	4,881.31	2,596.55	2,284.76
WHITNEY II	MCDONALD'S CORP	100.000	3/18/2009	9/30/2013	9,762.61	5,227.89	4,534.72
WHITNEY II	MCDONALD'S CORP	300.000	3/27/2009	9/30/2013	29,287.84	16,072.69	13,215.15
WHITNEY II	MCDONALD'S CORP	50.000	4/2/2009	9/30/2013	4,881.31	2,677.10	2,204.21
WHITNEY II	DEERE & CO	100.000	3/29/2010	10/7/2013	8,204.82	6,082.99	2,121.83
WHITNEY II	DEERE & CO	150.000	4/6/2010	10/7/2013	12,307.23	9,007.99	3,299.24
WHITNEY II	DEERE & CO	100.000	6/23/2010	10/7/2013	8,204.82	5,907.99	2,296.83
WHITNEY II	DEERE & CO	200.000	10/28/2010	10/7/2013	16,409.64	15,545.99	863.65

Capital Gains - Last year

1/1/2013 through 12/31/2013

Page 20

6/18/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
WHITNEY II	DEERE & CO	150.000	10/28/2010	10/7/2013	12,307.23	11,596.99	710.24
WHITNEY II	DEERE & CO	100.000	11/1/2010	10/7/2013	8,204.82	7,457.99	746.83
WHITNEY II	DEERE & CO	200.000	8/20/2012	10/7/2013	16,409.64	14,807.99	1,601.65
WHITNEY II	EXELIS INC	500.000	11/1/2011	10/7/2013	7,977.72	6,464.07	1,513.65
WHITNEY II	BRISTOL MYERS SQUIBB CO	300.000	8/30/2011	10/7/2013	13,960.81	8,537.40	5,423.41
WHITNEY II	ATMOS ENERGY CORP	500.000	9/12/2007	10/7/2013	20,928.53	13,814.00	7,114.53
WHITNEY II	ATMOS ENERGY CORP	500.000	9/12/2007	10/7/2013	20,917.09	13,814.00	7,103.09
WHITNEY II	ROYAL DUTCH SHELL PLC ...	0.632	2/9/2011	10/8/2013	40.37	43.92	-3.55
WHITNEY II	LOEWS CORP	900.000	7/23/2012	10/15/2013	41,567.94	36,906.11	4,661.83
WHITNEY II	IBM	100.000	12/19/2007	10/23/2013	17,440.70	10,507.99	6,932.71
WHITNEY II	IBM	250.000	10/29/2008	10/23/2013	43,601.75	20,257.99	23,343.76
WHITNEY II	IBM	100.000	3/18/2009	10/23/2013	17,440.70	8,974.89	8,465.81
WHITNEY II	IBM	50.000	3/23/2009	10/23/2013	8,720.35	4,550.95	4,169.40
WHITNEY II	LEGEND GOLD CORP	0.350	6/21/2011	10/23/2013	0.66	0.66	0.00
WHITNEY II	ROYAL DUTCH SHELL PLC ...	96.862	2/9/2011	10/28/2013	6,556.26	6,731.91	-175.65
WHITNEY II	ROYAL DUTCH SHELL PLC ...	27.138	3/4/2011	10/28/2013	1,836.85	1,919.98	-83.13
WHITNEY II	CHEVRONTXACO CORP	200.000	4/7/2005	10/30/2013	24,207.21	11,403.98	12,803.23
WHITNEY II	CHEVRONTXACO CORP	50.000	4/7/2005	10/30/2013	6,051.80	2,851.00	3,200.80
WHITNEY II	BAXTER INTERNATIONAL I...	250.000	6/26/2012	11/4/2013	16,508.22	12,882.99	3,625.23
WHITNEY II	METLIFE INC	500.000	3/10/2011	11/4/2013	24,582.14	22,632.99	1,949.15
WHITNEY II	METLIFE INC	250.000	1/31/2012	11/4/2013	12,291.07	8,520.29	3,770.78
WHITNEY II	RAYONIER INC	500.000	1/10/2005	11/6/2013	23,245.03	15,213.30	8,031.73
WHITNEY II	EMERSON ELECTRIC CO	500.000	6/14/2012	11/12/2013	33,331.42	23,357.99	9,973.43
WHITNEY II	MCDONALD'S CORP	200.000	4/2/2009	12/3/2013	19,447.24	10,708.39	8,738.85
WHITNEY II	MCDONALD'S CORP	300.000	5/6/2009	12/3/2013	29,170.87	15,605.99	13,564.88
WHITNEY II	BRISTOL MYERS SQUIBB CO	200.000	8/30/2011	12/23/2013	10,291.08	5,691.60	4,599.48
WHITNEY II	BRISTOL MYERS SQUIBB CO	300.000	1/24/2012	12/23/2013	15,436.63	9,874.79	5,561.84
TOTAL LONG TERM					3,963,527.66	3,368,789.10	594,738.56
OVERALL TOTAL					8,636,736.39	7,873,062.84	763,673.55

THE LUPIN FOUNDATION
GRANTS CONTRIBUTION PAID
DECEMBER 31, 2013

<u>Name</u>	<u>Purpose</u>	<u>Status</u>	<u>Amount Paid</u>
Funny Bones Improv	To Help Support Programming to Reach Over 500 Sick Children in Hospitals	Non Profit	5,000.00
New Orleans Museum of Art	To Help Support Art in Bloom 2013	Non Profit	3,000.00
Friends of Yashar Lachayal	To Help Fund Winter Wear for Combat Soldiers	Non Profit	10,000.00
New Orleans Opera Associates	To Provide For The Cultural Enrichment of People of all ages	Non Profit	20,000.00
Rabbinical Academy Mesitva Yeshiva Chaim Berlin	Tuition Scholarship Fund	Non Profit	10,000 00
American Friends of Chochmat Shlomo	To Help Support Chochmat Shlomo Yeshiva in Isreal.	Non Profit	7,500.00
American Friends of Meshi	To Help Purchase Two Vision Computers	Non Profit	9,000 00
Audubon Nature Institute , Inc.	Will Help Underwrite the Renewal and Relocation of Discovery Walk to Its New Home Near Audubon Zoo's Front Gate	Non Profit	5,000 00
Brooklyn Academy of Music	To Be Home For Adventurous Artists	Non Profit	15,000.00
Chabad Lubavitch of Utah, Inc	Project HEART Focuses on Character Building and teaches needed Life skills To Teens currently in Juvenile Facilities	Non Profit	10,000.00
Torah Ore Seminary	To Provide The Seminary with Funding for 5 Days worth of Meals	Non Profit	40,000.00
The NOCCA Institute	To Support the General Operations Of The Nocca Institute.	Non Profit	40,000.00
Autism Services of Southwest Louisiana, Inc	Funds Will Be Used By ASSL To Continue to Provide Affordable Housing For Adults With Autism	Non Profit	2,500.00
Career Gear, Inc	Professional Instills Skills That Result In Economic Self-Sufficiency and Improve Quality of Life	Non Profit	5,000 00
The University of Georgia Foundation	To Help Purchase A Building In Washington DC	Non Profit	250,000 00

STERN ASSOCIATES

CERTIFIED PUBLIC ACCOUNTANTS

THE LUPIN FOUNDATION
GRANTS CONTRIBUTION PAID
DECEMBER 31, 2013

Tulane University	To Help Fund Fifth Annual Fundraiser in New York	Non Profit	10,000 00
New Orleans Ballet Associates	To Support year Round After School Education Programs	Non Profit	10,000.00
Shine a Light	Support For Curricular Development For Public Schools and Grass Roots Organizations	Non Profit	10,000.00
American Friends of Yeshivat Harel Yehuda	To Help Maintain and Expand Teen and Adult Participation in the Anti Smoking Program	Non Profit	1,800 00
Bridge House	Grace House Would Complete Renovations to the Camp Street Location To Create A Women's Health Clinic	Non Profit	10,000.00
St. Augustine High School	To Help The Students That Qualified To Complete and the National Leadership Conference in CA	Non Profit	1,000 00
Beth Medrosh of Monsey	In Order to Raise a generation of Teachers of Jewish Studies.	Non Profit	2,000 00
Chazora Center of Rockland Foundation	To Subsidize and Supply Tutors For Children Who are At Risk Of Losing Their Jewish Identity	Non Profit	900 00
H.O.R.S.E of CT., Inc	Dedicated To the Rescue and Rehabilitation of Abused and Starved Horses	Non Profit	2,000.00
LSU Health Sciences Center Foundation	Public Charity To Support and Promote The Charitable, Scientific and Educational Mission of the LSU Health Center	Non Profit	5,000.00
New Orleans Museum of Art	To Help The Annual Fall Fundraiser Love In The Garden	Non Profit	2,500.00
BINA Ezras Cholim, Inc BINA Stroke & Brain Injury Assistance	To Provide A Full Range of Services For One Year To Twelve Brain Injury	Non Profit	1,800 00
Shaarel Arzaim of Monsey	To Help Purchase a Computer Lab		9,000.00

STERN ASSOCIATES

CERTIFIED PUBLIC ACCOUNTANTS

THE LUPIN FOUNDATION
GRANTS CONTRIBUTION PAID
DECEMBER 31, 2013

Arts Council of New Orleans	To Support and Expand The Opportunities For Diverse Artistic Expression and Bring the Community Together	Non Profit	2,500 00
American Committee for Sanz Institutions in Israel, Inc	To Assist Families In Need of Funds For Food, Rent, Basic Holiday Necessities	Non Profit	1,800 00
March of Dimes	To Fund Research to Find The Causes Of Premature Birth and Develop Ways To Prevent It	Non Profit	2,500 00
New Orleans Museum of Art	To Help Support the Yearly Fundraiser Proceeds Form Odyssey Support NOMA's	Non Profit	10,000 00
The Childhood and Family Learning Foundation	To Continue Support For CFLF's Teen Parenting Programs	Non Profit	5,000 00
Vieux Carre Property Owner-Residents and Associates	Will Help Sponsor Vive Le Vieux Carre Which Supports VCPORA's Mission to Preserve the Authenticity of The French Quarter By Ensuring It Remains Viable As A Residential Neighborhood	Non Profit	5,000 00
Key to the Cure	To Assist LCRC Cancer Research	Non Profit	2,500 00
Broadmoor Improvement Association	To Offer The Broadmoor Community Holiday Assistance to 20 Families	Non Profit	4,400.00
Louisiana Museum Foundation	For Operations and to Defray Expenses Related to Producing Big Easy Speakeasy	Non Profit	5,000.00
Temple Beth Shalom	To Provide Religious, Cultural Educational and other Services To The Jewish Community	Non Profit	500 00
Andrew H Wilson Charter School	To Help Fund a Learning Excursion To Our Nations Capital For 25 Deserving Fifth and Sixth Graders	Non Profit	2,500.00
City of Kenner Food Bank	To Provide Food and Substance For The Citizens of Kenner	Non Profit	5,000.00
Vieux Carre Commission Foundation	To Support the Mission of The Vieux Carre Commission To Protect and Preserve the Vieux	Non Profit	5,000.00
Lifes Door	To Provide Support Services For Seriously Ill People and Their Families	Non Profit	10,000 00

STERN ASSOCIATES

CERTIFIED PUBLIC ACCOUNTANTS

THE LUPIN FOUNDATION
GRANTS CONTRIBUTION PAID
DECEMBER 31, 2013

Poydras House	To Respect and Preserve the Dignity Of The Human Spirit Among the Elderly	Non Profit	1,000.00
The National WWII Museum	To Make the Story Of The Holocaust The Primary Focus Of The Liberation Pavilion In The Permanent Gallery	Non Profit	25,000.00
The New Orleans Bowl	To Support the 2013-2014 New Orleans Bowl Youth Outreach Initiatives,	Non Profit	20,000 00
St Plus X Church and School	To Sponsor The Parton Party	Non Profit	1,000.00
Evaculeer Org	To Contribute To The Cost Of The 5th Annual Bye Bye Hurricane Season Event.	Non Profit	10,000.00
Boca Raton Synagogue	To Help Fund The Daily Daf Yomi Class With Rabbi Ben Sugerman	Non Profit	10,000 00
Cornell University	To Help With Funds for Research of Education	Non Profit	10,000.00
Friends of Jefferson the Beautiful	Tree Education & Public Green space Beautification		10,000.00
Jewish Adoption and Foster Care Options	Provides A Complete Continuum Of Services To Abused, Neglected, Abandoned And Risk Children and Their Families	Non Profit	5,000 00
Jewish Children's Regional Services	To Assist Jewish Youth Who Need Support So they Can Become Successful	Non Profit	5,000 00
Louisiana Partnership for Children and Families	To Help Continue The Work Of The Iapen And Support Professional Development	Non Profit	5,000 00
Yeshiva Zeev Hatorah	To Cultivate A Deeper Commitment To Judaism and Torah	Non Profit	5,350 00
Calvary Hospital	Support For The Construction and Maintenance OF A Dedicated Shabbos Lounge For Observants Family	Non Profit	10,000 00
Jewish Federation of Greater New Orleans	To Fund New Comers With Their Transition To New Orleans	Non Profit	12,500 00
The Gavriella fund for Needy	To Give Assistance To Needy Individuals and Organizations	Non Profit	10,000 00

STERN ASSOCIATES

CERTIFIED PUBLIC ACCOUNTANTS

THE LUPIN FOUNDATION
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DECEMBER 31, 2013

Do Wonders	To Provide Women and Young Ladies Who Are Suffering From Cancer With Wigs Free Of Charge	Non Profit	5,000 00
Neve yerushalzym - The Family Institute	To Train The Educators OF GAP Year Israel Learning Programs and Empower Them With The Necessary Tools and Techniques to Help Counsel and Guide Their Students	Non Profit	10,000.00
The National Work War II Museum Inc from April 21 2010	To Support The Next Phase Of The Museum's Expansion	Non Profit	20,000 00
The Recorded Talmud from 8-Dec-10	Dedication of The Lobby In The Religious Science Wing	Non Profit	22,500.00
The New York Foundling From 27-Jul-11	To Fund A Joint Proposal By Vincent J Fontana Center For Child Protection	Non Profit	35,000.00
Friends of the Vieux Carre Commission from Jan 25, 2012	To Help Fund General and Operational Expenses Of The FVCC Which In Turn Supports The Mission	Non Profit	10,000.00
New Orleans Museum of Art 27-Aug-12	To Inspire The Love Of Art;	Non Profit	12,500.00
Cornell University from 17-Oct-12	Research Of The Development OF Predictive Index For Chrohn's Disease Recurrence	Non Profit	28,750.00
Jewish Community Center From Nov 25, 2012	For Capital Improvement To The Uptown Jewish Community	Non Profit	8,100.00
Calvary Hospital form December 19, 2012	To Provide Compassionate Competent Care For People Near The End OF Life	Non Profit	6,100 00
The National World War II Museum Inc April 21, 2010	To Help Support the Next Phase of the Museum Expansion	Non Profit	20,000 00
Friends of the Vieux Carre Commissi From Jan 25, 2012	To Help Protect and Preserve The French Quarters Architectural Heritage	Non Profit	5,000 00
New Orleans Opera Associates From August 28, 2013	To Support Production Expenses For Cinderella	Non Profit	14,500 00

STERN ASSOCIATES

CERTIFIED PUBLIC ACCOUNTANTS

THE LUPIN FOUNDATION
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DECEMBER 31, 2013

The University of Georgia Foundation From April 24, 2013	To Receive and Administer Contributions For the Support Of The Academic Program	Non Profit	50,000 00
The University of Georgia Foundation from April 24, 2013	To Receive and Administer Contributions For the Support of The Academic Program	Non Profit	<u>50,000 00</u>
<u>Sub Total Grants</u>			997,000.00
<u>Less Grants Returned</u>			<u>-400,000 00</u>
<u>Total Grants</u>			<u><u>597,000.00</u></u>

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