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Form 990-PF



Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation INSTITUTE OF MENTAL HYGIENE OF THE CITY OF NEW ORLEANS		A Employer identification number 72-0446138	
Number and street (or P O box number if mail is not delivered to street address) 1055 ST CHARLES AVENUE		Room/suite	B Telephone number (see instructions) (504) 566-1852
City or town, state or province, country, and ZIP or foreign postal code NEW ORLEANS, LA 70130		C If exemption application is pending, check here ▶ ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶ \$ 23,897,594		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐	
J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	6,600	6,600		
	4 Dividends and interest from securities.	367,995	367,995		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,798,216			
	b Gross sales price for all assets on line 6a 11,384,193				
	7 Capital gain net income (from Part IV, line 2)		1,798,216		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 309			
	12 Total. Add lines 1 through 11	2,173,120	2,172,811		
	13 Compensation of officers, directors, trustees, etc	126,700	31,675		95,025
	14 Other employee salaries and wages	43,488			43,488
	15 Pension plans, employee benefits	18,488	2,199		16,289
	16a Legal fees (attach schedule).	 2,363	591		1,772
	b Accounting fees (attach schedule).	 12,889	3,222		9,667
	c Other professional fees (attach schedule)	 99,450	99,450		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	 41,296			
	19 Depreciation (attach schedule) and depletion	 1,888			
	20 Occupancy	19,881			19,881
	21 Travel, conferences, and meetings	18,328			18,328
	22 Printing and publications	2,728			2,728
	23 Other expenses (attach schedule).	 162,841	550		162,291
	24 Total operating and administrative expenses. Add lines 13 through 23	550,340	137,687		369,469
	25 Contributions, gifts, grants paid	931,574			723,389
	26 Total expenses and disbursements. Add lines 24 and 25	1,481,914	137,687		1,092,858
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	691,206			
	b Net investment income (if negative, enter -0-)		2,035,124		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
					(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			259,953	375,028	375,028
	2	Savings and temporary cash investments			1,128,668	1,797,822	1,797,822
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			313,000		
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ 2,040 Less allowance for doubtful accounts ▶ _____			3,500	2,040	2,040
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges			10,699		
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)			18,795,241	21,712,396	21,712,396
	c	Investments—corporate bonds (attach schedule).					
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)					
	14	Land, buildings, and equipment basis ▶ _____ 23,165 Less accumulated depreciation (attach schedule) ▶ _____ 18,013			5,405	5,152	5,152
Liabilities	15	Other assets (describe ▶ _____)			16,220	5,156	5,156
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)			20,532,686	23,897,594	23,897,594
	17	Accounts payable and accrued expenses			47,221	30,330	
	18	Grants payable			710,415	918,600	
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)				30,597	
Net Assets or Fund Balances	23	Total liabilities (add lines 17 through 22)			757,636	979,527	
		Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted			19,316,009	22,591,398	
	25	Temporarily restricted			459,041	326,669	
	26	Permanently restricted					
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg , and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
	30	Total net assets or fund balances (see page 17 of the instructions)			19,775,050	22,918,067	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)			20,532,686	23,897,594	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	19,775,050
2	Enter amount from Part I, line 27a	2	691,206
3	Other increases not included in line 2 (itemize) ▶ _____	3	2,451,811
4	Add lines 1, 2, and 3	4	22,918,067
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	22,918,067

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED SECURITIES	P	2013-06-30	2013-06-30
b	PUBLICLY TRADED SECURITIES	P	2011-06-30	2013-06-30
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,423,359		2,426,552	-3,193
b 8,960,834		7,159,425	1,801,409
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-3,193
b			1,801,409
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,798,216
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-3,193

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,432,431	19,832,437	0 07223
2011	831,751	18,050,798	0 04608
2010	1,012,278	17,299,077	0 05852
2009	1,069,526	16,321,683	0 06553
2008	1,100,919	19,157,942	0 05747

2 Total of line 1, column (d).	2	0 29981
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 05996
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	20,880,466
5 Multiply line 4 by line 3.	5	1,252,055
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	20,351
7 Add lines 5 and 6.	7	1,272,406
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	1,092,858

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	40,702
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	40,702
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	40,702
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	10,699
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	30,003
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	40,702
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	594
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ☐	9	594
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ☐	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ LA _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b		No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address www.imhno.org	13	Yes	
14	The books are in care of NANCY FREEMAN Telephone no (504) 566-1852 Located at 1055 ST CHARLES AVENUE NEW ORLEANS LA ZIP+4 70130			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

YesNo

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

YesNo

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

YesNo

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

YesNo

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

YesNo

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

No

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

YesNo

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

YesNo

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

YesNo

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 Shared Services Alliance-to enhance sustainability and quality of early childhood education programs that serve vulnerable children through the creation of blended funding streams, including School Readiness Tax Credits, and new business models	137,356
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	19,587,332
b	Average of monthly cash balances.	1b	1,905,465
c	Fair market value of all other assets (see instructions).	1c	24,087
d	Total (add lines 1a, b, and c).	1d	21,516,884
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	21,516,884
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) 	4	636,418
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	20,880,466
6	Minimum investment return. Enter 5% of line 5.	6	1,044,023

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,044,023
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	40,702
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	40,702
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,003,321
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,003,321
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,003,321

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,092,858
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,092,858
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,092,858
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,003,321
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			365,724	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 1,092,858				
a Applied to 2012, but not more than line 2a			365,724	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	137,356			
d Applied to 2013 distributable amount.				589,778
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	137,356			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				413,543
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	137,356			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE SCHEDULE 1 VARIOUS NEW ORLEANS, LA 70130	NONE	501C3	MENTAL HEALTH RESEARCH & SERVICES	723,389
Total 3a				723,389
b Approved for future payment SEE SCHEDULE 2 VARIOUS NEW ORLEANS, LA 70130	NONE	501C3	MENTAL HEALTH RESEARCH AND SERVICES	579,076
Total 3b				579,076

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.		
	***** Signature of officer or trustee	2014-07-02 Date	***** Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name Kirth M Paciera	Preparer's Signature	Date	Check if self-employed ☒	PTIN P00245477
	Firm's name ☒	PACIERA GAUTREAU & PRIEST LLC CPAS			Firm's EIN ☒
	Firm's address ☒	3209 RIDGELAKE DR STE 200 METAIRIE, LA 700024982			Phone no (504) 486-5573

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ERIN BOLLES	Director 0 00	0		
1000 HOWARD AVENUE SUITE 800 NEW ORLEANS,LA 70113				
J STOREY CHARBONNET	President 0 00	0		
639 LOYOLA AVENUE SUITE 2775 NEW ORLEANS,LA 70113				
ASHLEIGH GARDERE	Director 0 00	0		
1300 PERDIDO STREET RM 2E04 NEW ORLEANS,LA 70112				
HON BERNADETTE D'SOUZA	Director 0 00	0		
421 LOYOLA AVENUE NEW ORLEANS,LA 70112				
JUDITH KIEFF	Director 0 00	0		
387 CROSS GATES BLVD SLIDELL,LA 70461				
MARTIN DRELL MD	Director 0 00	0		
1542 TULANE AVENUE RM 236 NEW ORLEANS,LA 70112				
Mahlon D Sanford	Director 0 00	0		
3501 N Causeway Blvd Ste 810 Metairie,LA 70002				
BONNIE GOLDBLUM	Director 0 00	0		
7436 HURST STREET NEW ORLEANS,LA 70118				
BEVERLY R NICHOLS	Treasurer 0 00	0		
111 VETERANS BLVD STE 1700 METAIRIE,LA 70005				
JULIUS E KIMBROUGH JR	Vice President 0 00	0		
6600 PLAZA DRIVE STE 600 NEW ORLEANS,LA 70127				
SARAH NEWELL USDIN	Director 0 00	0		
3034 URSULINES AVENUE NEW ORLEANS,LA 70119				
KYSHUN WEBSTER PH D	Director 0 00	0		
66 YOSEMITE DRIVE NEW ORLEANS,LA 70131				
AYANNA BUTLER	Director 0 00	0		
1010 COMMON ST SUITE 1400A METAIRIE,LA 70003				
GEOFFREY NAGLE	Director 0 00	0		
1400 CANAL STREET TB-52 NEW ORLEANS,LA 70112				
NANCY FREEMAN	Executive Direc 40 00	115,000	11,700	
1055 ST CHARLES AVE STE 350 NEW ORLEANS,LA 70130				
ALAN FRANCO	Director 0 00	0		
809 JEFFERSON HWY JEFFERSON,LA 70121				
CAROL WISE	Secretary 0 00	0		
1021 STATE ST NEW ORLEANS,LA 70118				

TY 2013 Accounting Fees Schedule

Name: INSTITUTE OF MENTAL HYGIENE
OF THE CITY OF NEW ORLEANS

EIN: 72-0446138

Software ID: 13000170

Software Version: 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	12,889	3,222	0	9,667

TY 2013 Cash Deemed Charitable Explanation Statement

Name: INSTITUTE OF MENTAL HYGIENE
OF THE CITY OF NEW ORLEANS

EIN: 72-0446138

Software ID: 13000170

Software Version: 2013v3.1

Explanation: IMH received funding from private foundations to administer the Bridges to Quality and Shared Services Alliance programs. At year end, cash in the amount of \$314,945 is temporarily restricted for these programs. This amount will be expended in 2014. Cash deemed held for Charitable activities = \$314,945 + \$321,473 (1.5% of Fair Market Value) = \$636,418.

TY 2013 Explanation of Non-Filing with Attorney General Statement

Name: INSTITUTE OF MENTAL HYGIENE
OF THE CITY OF NEW ORLEANS

EIN: 72-0446138

Software ID: 13000170

Software Version: 2013v3.1

Statement: Louisiana's Attorney General's office does not require a private foundation to submit a copy of its Form 990PF to the state office.

**TY 2013 Investments Corporate
Stock Schedule**

Name: INSTITUTE OF MENTAL HYGIENE
OF THE CITY OF NEW ORLEANS

EIN: 72-0446138

Software ID: 13000170

Software Version: 2013v3.1

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITIES	17,496,738	17,496,738
MUTUAL FUNDS	4,215,658	4,215,658

TY 2013 Land, Etc. Schedule

Name: INSTITUTE OF MENTAL HYGIENE
OF THE CITY OF NEW ORLEANS

EIN: 72-0446138

Software ID: 13000170

Software Version: 2013v3.1

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Furniture and Fixtures	23,165	18,013	5,152	5,152

TY 2013 Legal Fees Schedule

Name: INSTITUTE OF MENTAL HYGIENE
OF THE CITY OF NEW ORLEANS

EIN: 72-0446138

Software ID: 13000170

Software Version: 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL	2,363	591	0	1,772

TY 2013 Other Assets Schedule

Name: INSTITUTE OF MENTAL HYGIENE
OF THE CITY OF NEW ORLEANS

EIN: 72-0446138

Software ID: 13000170

Software Version: 2013v3.1

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INVESTMENT INCOME	16,220	5,156	5,156

TY 2013 Other Expenses Schedule

Name: INSTITUTE OF MENTAL HYGIENE
OF THE CITY OF NEW ORLEANS

EIN: 72-0446138

Software ID: 13000170

Software Version: 2013v3.1

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOOKS AND PUBLICATIONS	3,060			3,060
COMPUTER SUPPLIES	1,124			1,124
DATA PROCESSING	2,440			2,440
INSURANCE- D&O	2,200	550		1,650
INSURANCE- OFFICE	4,828			4,828
MEMBERSHIPS	2,225			2,225
MISCELLANEOUS	3,279			3,279
POSTAGE	118			118
REPAIRS/SERVICE CONTRACTS	801			801
SHARED SERVICES ALLIANCE EXPENSES	137,356			137,356
SUPPLIES	1,697			1,697
TELEPHONE	3,713			3,713

TY 2013 Other Income Schedule

Name: INSTITUTE OF MENTAL HYGIENE
OF THE CITY OF NEW ORLEANS

EIN: 72-0446138

Software ID: 13000170

Software Version: 2013v3.1

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Other Income	309		

TY 2013 Other Liabilities Schedule

Name: INSTITUTE OF MENTAL HYGIENE
OF THE CITY OF NEW ORLEANS

EIN: 72-0446138

Software ID: 13000170

Software Version: 2013v3.1

Description	Beginning of Year - Book Value	End of Year - Book Value
Accrued Taxes		30,597

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Other Notes/Loans
Receivable Long Schedule

Name: INSTITUTE OF MENTAL HYGIENE
OF THE CITY OF NEW ORLEANS

EIN: 72-0446138

Software ID: 13000170

Software Version: 2013v3.1

Borrower's Name	Relationship to Insider	Original Amount of Loan	Balance Due	Date of Note	Maturity Date	Repayment Terms	Interest Rate	Security Provided by Borrower	Purpose of Loan	Description of Lender Consideration	Consideration FMV
KIDS OF EXCELLENCE		5,000	2,040	2011-01	2014-12		0 %				

TY 2013 Other Professional Fees Schedule

Name: INSTITUTE OF MENTAL HYGIENE
OF THE CITY OF NEW ORLEANS

EIN: 72-0446138

Software ID: 13000170

Software Version: 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT/INVESTMENT ADV FEES	99,450	99,450	0	0

TY 2013 Taxes Schedule

Name: INSTITUTE OF MENTAL HYGIENE
OF THE CITY OF NEW ORLEANS

EIN: 72-0446138

Software ID: 13000170

Software Version: 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Income Taxes	41,296			

INSTITUTE OF MENTAL HYGIENE
72-0446138
DECEMBER 31, 2013

SCHEDULE 1

CONTRIBUTIONS, GIFTS, GRANTS PAID (FORM 990 PF PART 1, LINE 25 AND PART XV)

RECIPIENT'S NAME	RELATIONSHIP	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
LA Partnership for Children and Families	None	501 (c) (3)	Mental Health Research & Services	18,750
Juvenile Regional Services	None	501 (c) (3)	Mental Health Research & Services	53,226
Sixth Baptist Church	None	501 (c) (3)	Mental Health Research & Services	5,000
NOCP	None	501 (c) (3)	Mental Health Research & Services	8,000
Louisiana Special Education Coop	None	501 (c) (3)	Mental Health Research & Services	16,500
Covenant House	None	501 (c) (3)	Mental Health Research & Services	13,464
Childhood and Family Learning Foundation	None	501 (c) (3)	Mental Health Research & Services	25,000
Children's Bureau	None	501 (c) (3)	Mental Health Research & Services	154,427
St Benedict the Moor	None	501 (c) (3)	Mental Health Research & Services	7,100
KIDSMART	None	501 (c) (3)	Mental Health Research & Services	13,648
Goodwork Network	None	501 (c) (3)	Mental Health Research & Services	22,050
Family Services of GNO	None	501 (c) (3)	Mental Health Research & Services	12,500
Youth Empowerment Program	None	501 (c) (3)	Mental Health Research & Services	20,000
Liberty's Kitchen	None	501 (c) (3)	Mental Health Research & Services	10,000
Urban League College Track	None	501 (c) (3)	Mental Health Research & Services	12,500
Jewish Family Services	None	501 (c) (3)	Mental Health Research & Services	7,500
Tulane Autism Program	None	501 (c) (3)	Mental Health Research & Services	79,324
Tulane Mental Health Consultation	None	501 (c) (3)	Mental Health Research & Services	9,900
Tulane-ECSS	None	501 (c) (3)	Mental Health Research & Services	50,000
NOLA ELA	None	501 (c) (3)	Mental Health Research & Services	10,000
CCRA- Touchpoints	None	501 (c) (3)	Mental Health Research & Services	30,000
United Way-Seamless Transitions	None	501 (c) (3)	Mental Health Research & Services	20,000
ECE in Unison	None	501 (c) (3)	Mental Health Research & Services	40,000
Silence is Violence	None	501 (c) (3)	Mental Health Research & Services	25,000
GCYF	None	501 (c) (3)	Mental Health Research & Services	25,000
Nonprofit Knowledge Works	None	501 (c) (3)	Mental Health Research & Services	7,500
OPEN- PLTI	None	501 (c) (3)	Mental Health Research & Services	20,000
Visiting Pet Program	None	501 (c) (3)	Mental Health Research & Services	2,000
Wilcox's Academy of Learning		<i>See Detailed Schedule 3</i>		5,000
				723,389

INSTITUTE OF MENTAL HYGIENE
72-0446138
DECEMBER 31, 2013

SCHEDULE 2

GRANTS AND CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT (FORM 990PF, PART XV)

RECIPIENT'S NAME	RELATIONSHIP	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
Childhood and Family Learning Foundation	None	501 (c) (3)	Mental Health Research & Services	25,000
Children's Bureau	None	501 (c) (3)	Mental Health Research & Services	125,248
St Benedict the Moor	None	501 (c) (3)	Mental Health Research & Services	35,500
KIDSMART	None	501 (c) (3)	Mental Health Research & Services	18,828
Goodwork Network	None	501 (c) (3)	Mental Health Research & Services	66,150
Family Services of GNO	None	501 (c) (3)	Mental Health Research & Services	12,500
Liberty's Kitchen	None	501 (c) (3)	Mental Health Research & Services	20,000
Urban League College Track	None	501 (c) (3)	Mental Health Research & Services	62,500
Jewish Family Services	None	501 (c) (3)	Mental Health Research & Services	37,500
Tulane- ECSS	None	501 (c) (3)	Mental Health Research & Services	50,000
CCRA- Touchpoints	None	501 (c) (3)	Mental Health Research & Services	6,000
ECE in Unison	None	501 (c) (3)	Mental Health Research & Services	12,350
Nonprofit Knowledge Works	None	501 (c) (3)	Mental Health Research & Services	37,500
OPEN- PLTI	None	501 (c) (3)	Mental Health Research & Services	70,000
				579,076

INSTITUTE OF MENTAL HEALTH OF THE CITY OF NEW ORLEANS FOUNDATION

EIN # 12-3456789

**ATTACHMENT TO 2013 FORM 990-PF
RETURN OF PRIVATE FOUNDATION**

STATEMENT REQUIRED BY REG §53 4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) Grantee: *Wilcox's Academy of Early Learning*
1678 North Broad Street
New Orleans, LA 70119

(2) Date Paid in Current Tax Year: \$ 5,000 00 paid on August 19, 2013

(3) Total Paid:

\$ 10,000 paid on August 22, 2012

\$ 5,000 paid on August 19, 2013

(4) Purpose: *To purchase furniture and play and learning materials for classrooms to be used to deliver LA4 services to children of low income families in a Diverse Delivery Partnership with Langston Hughes Charter School*

(5) Amount of Grant Spent by Grantee: *TOTAL AMOUNT \$ 6,060 08 spent in 2013*
(Note \$ 7,133 81 expended in 2012 & \$6,060 08 expended in 2013 leaving a balance of \$1,806 11 to be spent in 2014)

(6) Diversion:

To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose

(7) Date of Report(s) Received from Grantee:

Interim report for 2013 on April 25, 2014

Final 2013 Report on May 7, 2014

(8) Verification (Optional and when applicable)

Institute of Mental Hygiene of the City of New Orleans FOUNDATION reviewed the Grant Report on May 7, 2014 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg 53 4945-5(c))