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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation CHARLES PANKOW FOUNDATION		A Employer identification number 71-0919052
Number and street (or P.O. box number if mail is not delivered to street address) 199 S. LOS ROBLES AVE.	Room/suite 300	B Telephone number 626-304-1190
City or town, state or province, country, and ZIP or foreign postal code PASADENA, CA 91101		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 27,261,947. (Part I, column (d) must be on cash basis.)	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

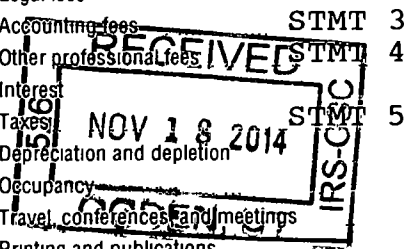
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		87,318.		N/A	
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		241.	241.		STATEMENT 1
4 Dividends and interest from securities		412,991.	412,991.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		292,915.			
b Gross sales price for all assets on line 6a		8,964,544.			
7 Capital gain net income (from Part IV, line 2)			292,915.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		793,465.	706,147.		
13 Compensation of officers, directors, trustees, etc.		211,280.	2,550.		208,730.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		10,000.	0.		1,500.
c Other professional fees		152,823.	118,976.		33,847.
17 Interest					
18 Taxes		16,201.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		117,543.	1,096.		116,447.
24 Total operating and administrative expenses. Add lines 13 through 23		507,847.	122,622.		360,524.
25 Contributions, gifts, grants paid		1,076,920.			1,076,920.
26 Total expenses and disbursements. Add lines 24 and 25		1,584,767.	122,622.		1,437,444.
27 Subtract line 26 from line 12		<791,302.>			
a Excess of revenue over expenses and disbursements			583,525.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

	Beginning of year (a) Book Value	End of year	
		(b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	18,003.	28,048.	28,048.
2 Savings and temporary cash investments	321,576.	606,301.	606,301.
3 Accounts receivable ▶			
Less allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U S and state government obligations			
b Investments - corporate stock STMT 7	21,060,511.	19,377,090.	21,523,615.
c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other STMT 8	4,200,000.	4,787,769.	5,063,073.
14 Land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
15 Other assets (describe ▶ STATEMENT 9)	46,800.	56,380.	40,910.
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item 1)	25,646,890.	24,855,588.	27,261,947.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	25,646,890.	24,855,588.	
30 Total net assets or fund balances	25,646,890.	24,855,588.	
31 Total liabilities and net assets/fund balances	25,646,890.	24,855,588.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	25,646,890.
2 Enter amount from Part I, line 27a	2	<791,302.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	24,855,588.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	24,855,588.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a GOLDMAN SACHS-PUBLICLY TRADED SECURITIES		P		
b GOLDMAN SACHS-PUBLICLY TRADED SECURITIES		P		
c CAPITAL GAINS DIVIDENDS				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,167,759.		2,043,645.	124,114.
b 6,750,614.		6,627,984.	122,630.
c 46,171.			46,171.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(l) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			124,114.
b			122,630.
c			46,171.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	292,915.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	{ If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,304,521.	25,983,066.	.050207
2011	1,330,221.	26,221,597.	.050730
2010	1,202,524.	27,184,439.	.044236
2009	1,284,255.	24,546,214.	.052320
2008	1,939,199.	25,695,579.	.075468

2 Total of line 1, column (d)	2	.272961
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.054592
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	26,501,018.
5 Multiply line 4 by line 3	5	1,446,744.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,835.
7 Add lines 5 and 6	7	1,452,579.
8 Enter qualifying distributions from Part XII, line 4	8	1,437,444.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	11,671.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	11,671.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	11,671.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	35,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	35,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	23,329.	
11 Enter the amount of line 10 to be credited to 2014 estimated tax	11	10,000.	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.PANKOWFOUNDATION.ORG</u>	13	X	
14	The books are in care of ► <u>THE FOUNDATION</u> Telephone no ► <u>626-304-1190</u> Located at ► <u>199 S. LOS ROBLES AVE., PASADENA, CA</u> ZIP+4 ► <u>91101</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD M. KUNNATH, P.E. 199 S. LOS ROBLES AVE., SUITE 300 PASADENA, CA 91101	PRESIDENT AND 0.50	DIRECTOR 25,500.	0.	0.
TIMOTHY P. MURPHY, ESQ. 199 S. LOS ROBLES AVE., SUITE 300 PASADENA, CA 91101	SECRETARY, CFO & DIRECTOR 10.00	25,500.	0.	0.
RON KLEMENCIC 199 S. LOS ROBLES AVE., SUITE 300 PASADENA, CA 91101	DIRECTOR 0.50	1,500.	0.	0.
MARK PERNICONI 199 S. LOS ROBLES AVE., SUITE 300 PASADENA, CA 91101	EXECUTIVE DIRECTOR 40.00	158,780.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	21,411,995.
b	Average of monthly cash balances	1b	398,189.
c	Fair market value of all other assets	1c	5,094,403.
d	Total (add lines 1a, b, and c)	1d	26,904,587.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	26,904,587.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	403,569.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	26,501,018.
6	Minimum investment return. Enter 5% of line 5	6	1,325,051.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,325,051.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	11,671.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	11,671.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,313,380.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,313,380.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,313,380.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,437,444.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,437,444.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,437,444.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,313,380.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			1,281,052.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 1,437,444.				
a Applied to 2012, but not more than line 2a			1,281,052.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				156,392.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				1,156,988.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED SCHEDULE				1,076,920.
Total			3a	1,076,920.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

11-14-14
Date

of which preparer has any knowledge

**SECRETARY, CFO
AND DIRECTOR**

May the IRS discuss this return with the preparer shown below (see instr. 1)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

KRISTIN CREIGHTON

Preparer's signature

KRISTIN CREIGHTON

Date _____

11/13/14

Check ☐ self-employed

PTIN

P00632729

Firm's name ► **GOEHNER ACCOUNTANCY**

Firm's EIN ► 95-4835865

Firm's address ► 251 S LAKE AVENUE, SUITE 190
PASADENA, CA 91101

Phone no 626-449-6321

Form **990-PF** (2013)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990

OMB No 1545-0047

2013

Name of the organization

CHARLES PANKOW FOUNDATION

Employer identification number

71-0919052

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

CHARLES PANKOW FOUNDATION

71-0919052

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NATIONAL CONCRETE MASONRY ASSOCIATION 13750 SUNRISE VALLEY DRIVE HERNDON, VA 20171	\$ 37,818.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
2	UNIVERSITY OF TEXAS AT AUSTIN AUSTIN AUSTIN, TX 78712	\$ 19,500.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
3	ACI FOUNDATION 38800 COUNTRY CLUB DRIVE FARMINGTON HILLS, MI 48331	\$ 27,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

CHARLES PANKOW FOUNDATION

71-0919052

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

CHARLES PANKOW FOUNDATION

71-0919052

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS	241.	241.	
TOTAL TO PART I, LINE 3	241.	241.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS	459,162.	46,171.	412,991.	412,991.	
TO PART I, LINE 4	459,162.	46,171.	412,991.	412,991.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND AUDIT FEES	10,000.	0.		1,500.
TO FORM 990-PF, PG 1, LN 16B	10,000.	0.		1,500.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT SERVICES	119,537.	118,976.		561.
IT SERVICES	7,786.	0.		7,786.
CONSULTING	18,000.	0.		18,000.
OTHER PROFESSIONAL FEES	7,500.	0.		7,500.
TO FORM 990-PF, PG 1, LN 16C	152,823.	118,976.		33,847.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EXCISE TAXES	16,201.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	16,201.	0.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OTHER EXPENSES	11,675.	0.		11,675.	
CHARLES PANKOW LEGACY PROJECT	25,070.	0.		25,070.	
REIMBURSED EXPENSES	73,095.	1,096.		71,999.	
INSURANCE	4,510.	0.		4,510.	
WEBSITE AMORTIZATION	3,193.	0.		3,193.	
TO FORM 990-PF, PG 1, LN 23	117,543.	1,096.		116,447.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
CORPORATE STOCK	19,377,090.	21,523,615.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	19,377,090.	21,523,615.		

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS HEDGE FUNDS	COST	4,787,769.	5,063,073.
TOTAL TO FORM 990-PF, PART II, LINE 13		4,787,769.	5,063,073.

FORM 990-PF	OTHER ASSETS	STATEMENT	9
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DONATED ARTWORK	46,800.	46,800.	31,330.
WEBSITE-NET OF AMORTIZATION	0.	9,580.	9,580.
TO FORM 990-PF, PART II, LINE 15	46,800.	56,380.	40,910.

Charles Pankow Foundation

EIN: 71-0919052

Grants and Contributions Paid During the Year Ended December 31, 2013

Recipient Name and Address	Recipient's Foundations Status	Purpose of Grant or Contribution	Recipient Relationship	Amount
A & D Architecture and Design Museum 6032 Wilshire Boulevard Los Angeles, CA 90036	509(a)(1)	Unrestricted/General	None	\$ 2,500.00
ACE Mentor Program of America Inc. 400 Main St. Suite 711 Stamford, CT 06901	509(a)(1)	Unrestricted/General	None	\$ 13,000.00
Ace Mentor Los Angeles Metropolitan Area Inc. 2901 28th St. Ste 100 Santa Monica, CA 90405	509(a)(1)	Unrestricted/General	None	\$ 6,000.00
American Society of Civil Engineers Foundation Inc. 1801 Alexander Bell Drive, 3rd Floor Reston, VA 20191	509(a)(3)	Annual Architectural Engineering Student Competition	None	\$ 27,500.00
Applied Technology Council 201 Redwood Shores Pkwy. Ste 240 Redwood City, CA 94065	509(a)(1)	Industry Foundation Classes (IFCs) for Structural Components project	None	\$ 20,000.00
Applied Technology Council 201 Redwood Shores Pkwy. Ste 240 Redwood City, CA 94065	509(a)(1)	Development of a roadmap on the use of high-strength reinforcement in reinforced concrete design	None	\$ 10,000.00
ASCC Education, Research & Development Foundatio 2025 S. Brentwood Blvd., #105 St. Louis, MO 63144	509(a)(2)	Users' Guide to "Green" Concrete in Building Construction	None	\$ 65,000.00
Bish O'Dowd High School 9500 Sterns Avenue Oakland, CA 94605	509(a)(1)	Unrestricted/General	None	\$ 5,000.00

Recipient Name and Address	Recipient's Foundations Status	Purpose of Grant or Contribution	Recipient Relationship	Amount
Boys and Girls Club of Hawaii 1523 Kalakaua Avenue, Suite 202 Honolulu, HI 96826	509(a)(2)	Unrestricted/General	None	\$ 4,000.00
California State University, Fresno 2320 East San Ramon Avenue, M/S EE94 Fresno, CA 93740	509(a)(1)	CPF Education Grant: Enhancing Design-Build Learning and Curriculum	None	\$ 2,500.00
Child and Family Service 91-1841 Ft. Weaver Road Ewa Beach, HI 96706	509(a)(1)	Unrestricted/General	None	\$ 2,000.00
Door of Hope P.O. Box 90455 Pasadena, CA 91109	509(a)(1)	Unrestricted/General	None	\$ 2,500.00
Fidelity Charitable Gift Fund, Kunnath Family 2001 Trust PO Box 770001 Cincinnati, OH 45277	509(a)(1)	Kunnath Family 2001 Trust	None	\$ 10,000.00
Five Acres-The Boys & Girls Aid Society of L.A. County 760 W. Mountain View St. Altadena, CA 91001	509(a)(1)	Unrestricted/General	None	\$ 2,500.00
Friends of Foster Children PO Box 1105 Arcadia, CA 91077	509(a)(2)	Unrestricted/General	None	\$ 2,500.00
Georgia Tech Research Corporation PO Box 100117 Atlanta, GA 30384	509(a)(3)	CPF Research Grant: Phase I Project Information Delivery Manual	None	\$ 40,000.00
Georgia Tech Research Corporation PO Box 100117 Atlanta, GA 30384	509(a)(3)	CPF Research Grant: Precast Concrete National BIM Standard Project Phase II	None	\$ 40,000.00

Recipient Name and Address	Recipient's Foundations Status	Purpose of Grant or Contribution	Recipient Relationship	Amount
Georgia Tech Research Corporation PO Box 100117 Atlanta, GA 30384	509(a)(3)	CPF Research Grant: Phase II Project Masonry Unit Model Definition	None	\$ 37,818.00
Georgia Tech Research Corporation PO Box 100117 Atlanta, GA 30384	509(a)(3)	CPF Research Grant: Phase I Project Information Delivery Manual	None	\$ 21,000.00
Habitat for Humanity 400 S. Inwindale Avenue Azusa, CA 91702	509(a)(1)	Unrestricted/General	None	\$ 2,500.00
Hathaway-Sycamores Child and Family Services 210 S. De Lacey Avenue, Suite 110 Pasadena, CA 91005	509(a)(1)	Unrestricted/General	None	\$ 2,500.00
Hawaii Architectural Foundation 828 Fort Street Mall, Suite 100 Honolulu, HI 96813	509(a)(1)	Unrestricted/General	None	\$ 1,000.00
Healthy Building Network 2001 S Street NW, Suite 570 Washington, DC 20009	509(a)(1)	Unrestricted/General	None	\$ 2,500.00
Heart of Los Angeles Youth, Inc. 2701 Wilshire Blvd. Los Angeles, CA 90057	509(a)(2)	Unrestricted/General	None	\$ 2,500.00
Hale Kipa, Inc. 615 Piikoi Street Suite 203 Honolulu, HI 96814	509(a)(1)	Unrestricted/General	None	\$ 1,500.00
Hillside 940 Avenue 64 Pasadena, CA 91105	509(a)(2)	Unrestricted/General	None	\$ 2,500.00
Lehigh University 526 Brodhead Avenue Bethlehem, PA 18015	509(a)(1)	CPF Research Grant: Unbonded Post-Tensioned Cast-in-Place Walls for Seismic Resistance	None	\$ 75,000.00

Recipient Name and Address	Recipient's Foundations Status	Purpose of Grant or Contribution	Recipient Relationship	Amount
National Institute of Building Sciences 1090 Vermont Ave NW, Suite 700 Washington, DC 20005	509(a)(1)	Unrestricted/General	None	\$ 1,000.00
National Institute of Building Sciences 1090 Vermont Avenue, NW, Suite 700 Washington, D.C. 20005-4950	509(a)(1)	Unrestricted/General	None	\$ 2,500.00
Pacific Legal Foundation 3900 Lennane Dr. Ste 200 Sacramento, CA 95834	509(a)(1)	Unrestricted/General	None	\$ 5,000.00
Pasadena Educational Foundation 351 South Hudson Avenue, Room 214 Pasadena, CA 91109	509(a)(3)	Designation for the Engineering and Environmental Science Collage and Career Pathway at John Muir High School	None	\$ 2,500.00
Pennsylvania State University 227 West Beaver Avenue, Suite 401 State College, PA 16801	509(a)(1)	CPF Research Project: Owner's Guide to Building Information Modeling	None	\$ 60,000.00
Purdue University - Sponsored Program Services 23510 Network Place Chicago, IL 60673	509(a)(1)	Design Procedure for Dual-Plate Composite Shear Walls project	None	\$ 40,000.00
PCI Foundation 200 W. Adams St. #2100 Chicago, Illinois 60606-6938	509(a)(2)	Support for Research and Development Council	None	\$ 10,000.00
Rebuilding Oakland Together 1171 Ocean Avenue, Suite 100 Oakland, CA 94608	509(a)(1)	Unrestricted/General	None	\$ 2,500.00
Union Station Homeless Services 825 E. Orange Grove Blvd. Pasadena, CA 91104	509(a)(1)	Unrestricted/General	None	\$ 2,500.00

Recipient Name and Address	Recipient's Foundations Status	Purpose of Grant or Contribution	Recipient Relationship	Amount
University of Cincinnati PO Box 691031 Cincinnati, OH 45269	509(a)(1)	Continuous Stirrups for Shear and Torsion Reinforcement in Beams	None	\$ 53,602.00
University of Colorado Sponsored Project Accounting Dept. 220 Denver, CO 80291	509(a)(1)	CPF Research Grant: Owner's Guide to Maximizing Success in Integrated Projects	None	\$ 84,500.00
University of Michigan 3003 South State, Room 1066 Ann Arbor, MI 48109	509(a)(1)	Effect of Shear Stud Layout on the Seismic Behavior of Slab-column Connections	None	\$ 60,000.00
University of California, San Diego 9500 Gillman Drive, Mail Code 0934 La Jolla, CA 92093	509(a)(1)	Design Procedures for Precast Concrete Cladding Subsystems	None	\$ 35,000.00
University of California, Davis PO Box 984062 West Sacramento, CA 95798	509(a)(1)	Design of Embedded Column Base Connections	None	\$ 50,000.00
University of California, Davis PO Box 984062 West Sacramento, CA 95798	509(a)(1)	Tensile Capacity of Braced Frame Anchor Bolt Groups	None	\$ 15,000.00
University of California School of Architecture Watt Hall 204 Los Angeles, CA 90089	509(a)(1)	Unrestricted/General	None	\$ 5,000.00
University of Washington 12455 Collections Drive Chicago, IL 60693	509(a)(1)	CPF Research Project: Performance-Based Seismic Design of Concrete Walls	None	\$ 50,000.00
University of Washington 12455 Collections Drive Chicago, IL 60693	509(a)(1)	The Impact of High-Strength Reinforcing Steel on Current Design Practice	None	\$ 120,000.00

Recipient Name and Address	Recipient's Foundations Status	Purpose of Grant or Contribution	Recipient Relationship	Amount
University Prep 8000 25th Avenue NE Seattle, WA 98115	509(a)(1)	Unrestricted/General	None	\$ 5,000.00
WJE 225 South Lake Avenue, Suite 1260 Pasadena, CA 91101	509(a)(1)	Yield Stress Measurement Methods for Reinforcing Bars	None	\$ 60,000.00
Waikiki Community Center 310 Paoakalani Avenue Honolulu, HI 96815	509(a)(1)	Unrestricted/General	None	\$ 1,500.00
Wilshire Boulevard Temple 3663 Wilshire Boulevard Los Angeles, CA 90010	509(a)(1)	Unrestricted/General	None	\$ 2,500.00
YMCA of the Central Bay Area 2001 Allston Way Berkeley, CA 94704	509(a)(2)		None	\$ 5,000.00
			Total	<u>\$ 1,076,920.00</u>

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	CHARLES PANKOW FOUNDATION	71-0919052
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	199 S. LOS ROBLES AVE., NO. 300	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	PASADENA, CA 91101	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

THE FOUNDATION

• The books are in the care of **199 S. LOS ROBLES AVE., NO. 300 - PASADENA, CA 91101**

Telephone No **626-304-1190**

Fax No. ☐

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014**

5 For calendar year **2013**, or other tax year beginning ☐, and ending ☐.

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension

WE HAVE BEEN UNABLE TO GATHER THE NECESSARY INFORMATION TO FILE A COMPLETE AND TIMELY RETURN.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ☐

Title **CPA**

Date ☐

Form 8868 (Rev. 1-2014)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	CHARLES PANKOW FOUNDATION	71-0919052
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	199 S. LOS ROBLES AVE., NO. 300	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	PASADENA, CA 91101	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

THE FOUNDATION

- The books are in the care of ☒ 199 S. LOS ROBLES AVE., NO. 300 - PASADENA, CA 91101

Telephone No. ☒ 626-304-1190

Fax No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2014.

5 For calendar year 2013, or other tax year beginning , and ending .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension

WE HAVE BEEN UNABLE TO GATHER THE NECESSARY INFORMATION TO FILE A COMPLETE AND TIMELY RETURN.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ☐

Title ☒ CPA

Date ☐