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Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation <b>JAMES STUART HALDAN CHARITABLE FOUNDATION 59426-0 /-1 /-2</b>		A Employer identification number <b>71-0865534</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>C/O WILM TRUST 1100 MARKET ST DE3-C070</b>	Room/suite	B Telephone number <b>302 651 1055</b>
City or town, state or province, country, and ZIP or foreign postal code <b>WILMINGTON, DE 19890-0001</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 2,314,482.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				<b>N/A</b>	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		<b>48,070.</b>	<b>48,070.</b>		<b>STATEMENT 1</b>
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<b>67,085.</b>			
b Gross sales price for all assets on line 6a <b>365,688.</b>					
7 Capital gain net income (from Part IV, line 2)			<b>67,085.</b>		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		<b>115,155.</b>	<b>115,155.</b>		
13 Compensation of officers, directors, trustees, etc		<b>0.</b>	<b>0.</b>		<b>0.</b>
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees <b>STMT 2</b>		<b>11,577.</b>	<b>11,577.</b>		<b>0.</b>
17 Interest <b>RECEIVED</b>					
18 Taxes <b>STMT 3</b>		<b>698.</b>	<b>698.</b>		<b>0.</b>
19 Depreciation and depletion					
20 Occupancy <b>MAY 15 2014</b>					
21 Travel, conferences, and meetings <b>CODEN, UT</b>					
22 Printing and publications					
23 Other expenses <b>STMT 4</b>		<b>3,244.</b>	<b>3,244.</b>		<b>0.</b>
24 Total operating and administrative expenses. Add lines 13 through 23		<b>15,519.</b>	<b>15,519.</b>		<b>0.</b>
25 Contributions, gifts, grants paid		<b>151,000.</b>			<b>151,000.</b>
26 Total expenses and disbursements. Add lines 24 and 25		<b>166,519.</b>	<b>15,519.</b>		<b>151,000.</b>
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements		<b>&lt;51,364.&gt;</b>			
b Net investment income (if negative, enter -0-)			<b>99,636.</b>		
c Adjusted net income (if negative, enter -0-)				<b>N/A</b>	

323501  
10-10-13

LHA For Paperwork Reduction Act Notice, see instructions.

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**JAMES STUART HALDAN CHARITABLE**

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<b>Part II Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	121,218.	51,237.	51,237.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock <b>STMT 6</b>	1,720,698.	1,740,297.	2,263,245.
	c Investments - corporate bonds			
	<b>Liabilities</b>	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other <b>STMT 7</b>		176,959.	0.	0.
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item 1)		2,018,875.	1,791,534.	2,314,482.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	2,018,875.	1,791,534.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 <b>Total net assets or fund balances</b>	2,018,875.	1,791,534.		
31 <b>Total liabilities and net assets/fund balances</b>	2,018,875.	1,791,534.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,018,875.
2 Enter amount from Part I, line 27a	2	<51,364.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,967,511.
5 Decreases not included in line 2 (itemize) ▶ <b>SEE STATEMENT 5</b>	5	175,977.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,791,534.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>		<b>P</b>		
<b>b CAPITAL GAINS DIVIDENDS</b>				
c				
d				
e				

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 361,669.		298,603.	63,066.
b 4,019.			4,019.
c			
d			
e			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			63,066.
b			4,019.
c			
d			
e			

  

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	67,085.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	100,000.	2,202,554.	.045402
2011	138,488.	2,307,442.	.060018
2010	78,289.	2,219,709.	.035270
2009	95,161.	1,956,492.	.048639
2008	117,842.	2,414,786.	.048800

  

2 Total of line 1, column (d)	2	.238129
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047626
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,304,824.
5 Multiply line 4 by line 3	5	109,770.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	996.
7 Add lines 5 and 6	7	110,766.
8 Enter qualifying distributions from Part XII, line 4	8	151,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	996.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	996.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	996.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,381.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	1,381.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	385.
11	Enter the amount of line 10 to be: <b>Credited to 2014 estimated tax</b> <input checked="" type="checkbox"/> <b>385.</b> <b>Refunded</b> <input type="checkbox"/>	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NV		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A** Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► WILMINGTON TRUST Located at ► 1100 NORTH MARKET ST DE3-C070, WILMINGTON, DE Telephone no. ► 302 651 1055 ZIP+4 ► 19890-0001			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/> N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

**N/A**  
▶ ☐

**5b**

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

**N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

**6b**

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

**7b**

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

**N/A**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES STUART HALDAN 15 SKYBIRD COURT LAS VEGAS, NE 89135	TRUSTEE  1.00	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000

▶ **0**

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	2,254,974.
b Average of monthly cash balances	1b	84,949.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	2,339,923.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	2,339,923.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	35,099.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,304,824.
6 Minimum investment return. Enter 5% of line 5	6	115,241.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	115,241.
2a Tax on investment income for 2013 from Part VI, line 5	2a	996.
b Income tax for 2013 (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	996.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	114,245.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	114,245.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	114,245.

**Part XII****Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	151,000.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	151,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	996.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	150,004.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				114,245.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			82,666.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 151,000.				
a Applied to 2012, but not more than line 2a			82,666.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				68,334.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				45,911.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

## Page 10

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N/A

- |               |  |            |
|---------------|--|------------|
| 4942(i)(3) or |  | 4942(i)(5) |
|---------------|--|------------|

- (4) Gross investment income

[illegible]

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

### 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

## JAMES STUART HALDAN CHARITABLE

Form 990-PF (2013)

FOUNDATION 59426-0 /-1 /-2

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**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CAMPANILLE FOUNDATION 5500 CAMPANILLE DRIVE SAN DIEGO, CA 92182	NO REL'N	TAX EXEMPT ORG'N	GENERAL AND/OR EDUCATIONAL PURPOSES	15,000.
HUSKER GREATS FOUNDATION 8031 WEST CENTER RD. STE. 308A OMAHA, NE 68124	NO REL'N	TAX EXEMPT ORG'N.	GENERAL AND/OR EDUCATIONAL PURPOSES	15,000.
PROJECT MANA	NO REL'N.	TAX EXEMPT ORG'N.	GENERAL AND/OR EDUCATIONAL PURPOSES	3,000.
RAPE CRISIS CENTER OF LAS VEGAS 6375 W. CHARLSTON BLVD STE 149 LAS VEGAS, NV 89146	NO REL'N	TAX EXEMPT ORG'N.	GENERAL AND/OR EDUCATIONAL PURPOSES	1,000.
STAGE RIGHT 119 1/2 N 85TH ST SEATTLE, WA 98103	NO REL'N.	TAX EXEMPT ORG'N.	GENERAL AND/OR EDUCATIONAL PURPOSES	5,000.
Total	SEE CONTINUATION SHEET(S)			151,000.
b Approved for future payment				
NONE				
Total				0.



**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |   |  | Yes   | No |
|---|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |       |    |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of:   |       |    |
|   | (1) Cash   | 1a(1) | X  |
|   | (2) Other assets   | 1a(2) | X  |
| b | Other transactions:  |       |    |
|   | (1) Sales of assets to a noncharitable exempt organization   | 1b(1) | X  |
|   | (2) Purchases of assets from a noncharitable exempt organization   | 1b(2) | X  |
|   | (3) Rental of facilities, equipment, or other assets   | 1b(3) | X  |
|   | (4) Reimbursement arrangements   | 1b(4) | X  |
|   | (5) Loans or loan guarantees   | 1b(5) | X  |
|   | (6) Performance of services or membership or fundraising solicitations   | 1b(6) | X  |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | 1c    | X  |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date 15.10.2014 Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ self-employed

PTIN

MYRA WEBSTER

MYRA WEBSTER

05/01/14

P01521223

Firm's name ► WILMINGTON TRUST COMPANY

Firm's EIN ▶ 51-0055023

Firm's address ► 1100 NORTH MARKET STREET  
WILMINGTON, DE 19890-0900

Phone no. 302 651 1128

Form **990-PF** (2013)

JAMES STUART HALDAN CHARITABLE  
FOUNDATION 59426-0 /-1 /-2

71-0865534

**Part XV** Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST. BALDRICK'S FOUNDATION 1333 SOUTH MAYFLOWER AVE MONROVIA, CA 91016	NO REL'N	TAX EXEMPT ORG'N.	GENERAL AND/OR EDUCATIONAL PURPOSES	1,000.
THUNDERBIRD LODGE PRESERVATION	NO REL'N.	TAX EXEMPT ORG'N.	GENERAL AND/OR EDUCATIONAL PURPOSES	10,000.
THE PARASOL TAHOE COMMUNITY FOUNDATION 948 INCLINE WAY INCLINE, NV 89451	NO REL'N	TAX EXEMPT ORG'N.	GENERAL AND/OR EDUCATIONAL PURPOSES	16,000.
VOLUNTEERS IN MEDICINE 2870 S. MARYLAND PKY STE 300 BURLINGTON, VT 05401	NO REL'N	TAX EXEMPT ORG'N.	GENERAL AND/OR EDUCATIONAL PURPOSES	25,000.
WEST CARE NEVADA 5659 W DUNCAN DR LAS VEGAS, NV 89130	NO REL'N	TAX EXEMPT ORG'N.	GENERAL AND/OR EDUCATIONAL PURPOSES	50,000.
WORLD SAVVY 999 SUTTER STREET SAN FRANCISCO CA	NO REL'N.	TAX EXEMPT ORG'N.	GENERAL AND/OR EDUCATIONAL PURPOSES	10,000.
Total from continuation sheets				112,000.

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FORM 990-PF

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT

1

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CAPITAL GAINS					
DIVIDENDS	4,019.	4,019.	0.	0.	
DIVIDENDS (STOCKS, MUTUALS) AND					
INTEREST (BONDS)	47,880.	0.	47,880.	47,880.	
U S GOV'T INTEREST	190.	0.	190.	190.	
TO PART I, LINE 4	52,089.	4,019.	48,070.	48,070.	

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FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT

2

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WILMINGTON TRUST CUSTODY FEES	11,577.	11,577.		0.
TO FORM 990-PF, PG 1, LN 16C	11,577.	11,577.		0.

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FORM 990-PF

TAXES

STATEMENT

3

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN DIVIDEND TAX	698.	698.		0.
TO FORM 990-PF, PG 1, LN 18	698.	698.		0.



FORM 990-PF	OTHER EXPENSES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
REAL ESTATE TAXES	3,244.	3,244.		0.
TO FORM 990-PF, PG 1, LN 23	3,244.	3,244.		0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	5
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DESCRIPTION	AMOUNT
DEFAULT ON RASOOLEY REAL ESTATE NOTE	175,468.
TIMING ADJUSTMENT	509.
TOTAL TO FORM 990-PF, PART III, LINE 5	175,977.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS AND BONDS AS PER ATTACHED	1,740,297.	2,263,245.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,740,297.	2,263,245.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	7
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INSTALLMENT NOTE MICHAEL ZIBA RASOOLY	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		0.	0.

## Investment Detail



EIN: 71-0865534

Across Account(s) Combine Principal and Income  
As of December 31, 2013

Page 1

Description	Quantity	Market Value	% MV	Unit MV	Federal Tax Cost	Unit Tax Cost	Unrealized Gain/Loss	EAI	Current Yield (%)	YTM (%)
Base Currency - U.S. Dollar										
COMMON EQUITY SECURITIES										
CONSUMER DISCRETIONARY										
CLEAR CHANNEL OUTDOOR HOLDINGS INCORPORATED	359,000	3,640.26	.16	10,1400	2,799.77	7,7988	840.49	00		
GANNETT CO COMMON	150,000	4,437.00	.19	29,5800	3,249.38	21,6625	1,187.62	120.00	2.70	
GNC HOLDINGS INCORPORATED	117,000	6,838.65	.30	58,4500	4,588.84	39,2209	2,249.81	70.20	1.03	
GOODYEAR TIRE & RUBBER CO	271,000	6,463.35	.28	23,8500	3,369.45	12,4334	3,093.90	54.20	.84	
HANES BRANDS INC	81,000	5,691.87	.25	70,2700	5,239.60	64,6864	452.27	64.80	1.14	
JOHN WILEY & SONS INC	111,000	6,127.20	.26	55,2000	4,412.78	39,7548	1,714.42	111.00	1.81	
NU SKIN ENTERPRISES INC	70,000	9,675.40	.42	138,2200	6,878.60	98,2657	2,796.80	84.00	.87	
CL A										
POLARIS INDUSTRIES INC	86,000	12,525.04	.54	145,6400	11,537.76	134,1600	987.28	144.48	1.15	
STAPLES INC COM	519,000	8,246.91	.36	15,8900	8,903.86	17,1558	(656.95)	249.12	3.02	
STARZ SERIES A COMMON STOCK	163,000	4,766.12	.21	29,2400	4,731.40	29,0270	34.72	.00		
TARGET CORP COM	118,000	7,465.86	.32	63,2700	5,781.64	48,9969	1,684.22	202.96	2.72	
UNITED RENTALS INC COM	130,000	10,133.50	.44	77,9500	5,749.05	44,2235	4,384.45	00		
UPS CORP NEW	193,000	10,227.07	.44	52,9900	9,336.90	48,3777	890.17	162.12	1.59	
WYNN RESORTS LIMITED	18,000	3,495.78	.15	194,2100	3,024.76	168,0422	471.02	72.00	2.06	
TOTAL CONSUMER DISCRETIONARY	2,386,000	99,734.01	4.31		79,603.79		20,130.22	1,334.88		
CONSUMER STAPLES										
ALTRIA GROUP INC	57,000	2,188.23	.09	38,3900	1,545.34	27,1112	642.89	109.44	5.00	
CAMPBELL SOUP CO COM	220,000	9,521.60	.41	43,2800	7,143.99	32,4727	2,377.61	274.56	2.88	
CHURCH & DWIGHT CO INC	39,000	2,584.92	.11	66,2800	1,698.87	43,5608	886.05	43.68	1.69	
GENERAL MILLS INC	88,000	4,392.08	.19	49,9100	2,448.08	27,8191	1,944.00	133.76	3.05	
KROGER COMPANY COMMON	64,000	2,529.92	.11	39,5300	1,321.80	20,6531	1,208.12	42.24	1.67	
WAL MART STORES INC COM	43,000	3,383.67	.15	78,6900	2,248.32	52,2865	1,135.35	80.84	2.39	
WALGREEN COMPANY COMMON	173,000	9,937.12	.43	57,4400	9,806.50	56,6850	130.62	217.98	2.19	
TOTAL CONSUMER STAPLES	684,000	34,537.54	1.49		26,212.90		8,324.64	902.50		
ENERGY										
BAKER HUGHES INC COM	112,000	6,189.12	.27	55,2600	5,300.87	47,3292	888.25	67.20	1.09	



Ein: 71-0865534

## Investment Detail

Across Account(s) Combine Principal and Income  
As of December 31, 2013

Description	Quantity	Market Value	% MV	Unit MV	Federal Tax Cost	Unit Tax Cost	Unrealized Gain/Loss	EAI	Current Yield (%)	YTM (%)
CHEVRON CORP	95 0000	11,866.45	51	124.9100	9,404.43	98 9940	2,462.02	380.00	3.20	
CONOCOPHILLIPS	36 0000	2,543.40	.11	70 6500	1,335.02	37 0839	1,208.38	99.36	3.91	
CST BRANDS INC	2 0000	73.44		36 7200	44.72	22.3600	28.72	50	68	
EXXON MOBIL CORP	125 0000	12,650.00	55	101.2000	7,765.31	62 1225	4,884.69	315.00	2.49	
MURPHY USA INC	25 0000	1,039.00	04	41.5600	1,137.26	45 4904	(98.26)	.00		
PHILLIPS 66	32 0000	2,468.16	11	77 1300	748.36	23.3863	1,719.80	49.92	2.02	
<b>TOTAL ENERGY</b>	<b>427.0000</b>	<b>36,829.57</b>	<b>1.59</b>		<b>25,735.97</b>		<b>11,093.60</b>	<b>911.98</b>		

### FINANCIALS

AMERICAN EXPRESS CO	68 0000	6,169.64	27	90 7300	2,599.81	38 2325	3,569.83	62.56	1.01	
ASSURED GUARANTY LIMITED	291 0000	6,864.69	30	23 5900	6,429.85	22 0957	434.84	116.40	1.70	
CITIGROUP INC	62 0000	3,230.82	14	52 1100	3,085.28	49 7626	145.54	2.48	08	
COMMERCE BANCSHARES INC	113 4000	5,092.79	22	44 9100	3,293.97	29 0474	1,798.82	102.06	2.00	
CORRECTIONS CORP OF AMERICA	94 0000	3,014.58	13	32 0700	1,530.30	16 2798	1,484.28	180.48	5.99	
E*TRADE FINANCIAL CORPORATION	410 0000	8,052.40	35	19 6400	4,498.23	10 9713	3,554.17	.00		
EQUIFAX INC COM	172 0000	11,883.48	51	69 0900	6,783.92	39 4414	5,099.56	151.36	1.27	
FULTON FINANCIAL CORP	269 0000	3,519.87	15	13 0850	2,516.23	9 3540	1,003.64	86.08	2.45	
GATX CORP COMMON	81 0000	4,225.77	18	52 1700	2,330.61	28.7730	1,895.16	100.44	2.38	
INVESCO LIMITED	53 0000	1,929.20	08	36 4000	1,312.90	24.7717	616.30	47.70	2.47	
JPMORGAN CHASE & CO	92 0000	5,380.16	23	58 4800	4,141.15	45 0125	1,239.01	139.84	2.60	
MORGAN STANLEY GROUP INC	126 0000	3,951.36	17	31 3600	2,308.16	18 3187	1,643.20	25.20	64	
SLM CORP COM	70 0000	1,839.60	08	26 2800	1,162.56	16 6080	677.04	42.00	2.28	
U S BANCORP DEL COM NEW	115 0000	4,646.00	20	40 4000	2,838.89	24 6860	1,807.11	105.80	2.28	
VALIDUS HOLDINGS LTD	254 0000	10,233.66	44	40 2900	8,872.67	34 9318	1,360.99	304.80	2.98	
WELLS FARGO & CO	149 0000	6,764.60	29	45 4000	4,884.69	32 7832	1,879.91	178.80	2.64	
WHITE MOUNTAINS INSURANCE GROUP LTD	5 0000	3,015.40	13	603 0800	2,760.57	552 1140	254.83	5.00	17	
<b>TOTAL FINANCIALS</b>	<b>2,424.4000</b>	<b>89,814.02</b>	<b>3.88</b>		<b>61,349.79</b>		<b>28,464.23</b>	<b>1,651.00</b>		

### HEALTH CARE

ALEXION PHARMACEUTICALS INC COM	30 0000	3,986.52	.17	132.8840	1,462.48	48 7493	2,524.04	.00		
CARDINAL HEALTH INC OM	160 0000	10,689.60	46	66 8100	6,884.31	43 0269	3,805.29	193.60	1.81	
COMMUNITY HEALTH SYSTEMS INC	131 0000	5,144.37	.22	39 2700	6,335.03	48 3590	(1,190.66)	.00		
DAVITA HEALTHCARE PARTNERS I	48 0000	3,041.76	13	63 3700	1,836.06	38 2512	1,205.70	.00		
INCYTE GENOMICS INC COM	141 0000	7,138.83	.31	50 6300	5,617.25	39 8387	1,521.58	.00		
JOHNSON & JOHNSON	85 0000	7,785.15	34	91 5900	5,116.73	60 1968	2,668.42	224.40	2.88	
LIFEPOINT HOSPS INC COM	8 0000	422.72	02	52.8400	201.28	25 1600	221.44	.00		



## Investment Detail

Across Account(s) Combine Principal and Income  
As of December 31, 2013

EIN: 71-0865534

Description	Quantity	Market Value	% MV	Unit MV	Federal Tax Cost	Unit Tax Cost	Unrealized Gain/Loss	EAI	Current Yield (%)	YTM (%)
MALLINCKRODT PLC	4 0000	209 04	01	52 2600	131 47	32 8675	77 57	00		
MEDNAX INC	122 0000	6,512 36	.28	53 3800	4,056 47	33 2498	2,455 89	.00		
MYLAN INC	144 0000	6,249 60	27	43 4000	4,162 52	28 9064	2,087 08	00		
OMNICARE INC COM	156 0000	9,416 16	41	60 3600	8,937 86	57 2940	478 30	124 80	1 33	
PFIZER INC	279 0000	8,545 77	37	30 6300	8,544 23	30 6245	1 54	290 16	3 40	
TECHNE CORP	120 0000	11,360 40	49	94 6700	7,788 36	64 9030	3,572 04	148 80	1 31	
TENET HEALTHCARE CORP	78 0000	3,285 36	14	42 1200	3,770 84	48 3441	(485 48)	00		
<b>TOTAL HEALTH CARE</b>	<b>1,506.0000</b>	<b>83,787.64</b>	<b>3.62</b>		<b>64,844.89</b>		<b>18,942.75</b>	<b>981.76</b>		

<b>INDUSTRIALS</b>										
AMERCO	6 0000	1,427 04	.06	237 8400	1,392 66	232 1100	34 38	.00		
ARROW ELECTRICS COMMON	216 0000	11,718 00	51	54 2500	8,684 59	40 2064	3,033 41	00		
AVNET INC COM	136 0000	5,998 96	26	44 1100	4,000 10	29 4125	1,998 86	81 60	1 36	
BOOZ ALLEN HAMILTON HOLDING	384 0000	7,353 60	32	19 1500	7,868 82	20 4917	(515 22)	153 60	2 09	
CON-WAY INCORPORATED	75 0000	2,978 25	.13	39 7100	3,303 69	44 0492	(325 44)	30 00	1 01	
COPA HOLDINGS SA	19 0000	3,042 09	13	160 1100	1,768 40	93 0737	1,273 69	55 48	1 82	
<b>CLASS A</b>										
CORE LABORATORIES N V	16 0000	3,055 20	13	190 9500	1,347 06	84 1912	1,708 14	20 48	67	

ORD	36 0000	2,890 44	12	80 2900	2,802 43	77 8453	88 01	23 04	80	
GENERAL ELECTRIC COMPANY	228 0000	6,390 84	28	28 0300	4,804 53	21 0725	1,586 31	200 64	3 14	
HERTZ GLOBAL HOLDINGS INC	192 0000	5,495 04	24	28 6200	4,320 02	22 5001	1,175 02	00		
HUNTINGTON INGALLS	140 0000	12,601 40	54	90 0100	7,526 50	53 7607	5,074 90	112 00	.89	
<b>INDUSTRIES WI</b>										
JOHNSON CONTROLS INC COM	72 0000	3,693 60	16	51 3000	3,024 97	42 0135	668 63	63 36	1 72	
MANPOWER INC WIS	137 0000	11,762 82	51	85 8600	10,436 43	76 1783	1,326 39	126 04	1 07	
OSHKOSH CORPORATION	145 0000	7,305 10	32	50 3800	5,688 42	39 2305	1,616 68	87 00	1 19	
PITNEY BOWES INC COM	130 0000	3,029 00	13	23 3000	2,917 40	22 4415	111 60	97 50	3 22	
R R DONNELLEY & SONS CO COMMON	574 0000	11,640 72	50	20 2800	6,461 14	11 2563	5,179 58	596 96	5 13	
ROBERT HALF INTL INC COM	75 0000	3,149 25	14	41 9900	2,969 45	39 5927	179 80	48 00	1 52	
THOR INDUSTRIES INCORPORATED	212 0000	11,708 76	.51	55 2300	11,503 92	54 2638	204 84	195 04	1 67	
<b>TOTAL INDUSTRIALS</b>	<b>2,793.0000</b>	<b>115,240.11</b>	<b>4.98</b>		<b>90,820.53</b>		<b>24,419.58</b>	<b>1,890.74</b>		

<b>INFORMATION TECHNOLOGY</b>										
APPLE INC	24 0000	13,464 48	58	561 0200	4,259 79	177 4912	9,204 69	292 80	2 17	



# Investment Detail

Across Account(s) Combine Principal and Income  
As of December 31, 2013

EN: 71-0865534

Description	Quantity	Market Value	% MV	Unit MV	Federal Tax Cost	Unit Tax Cost	Unrealized Gain/Loss	EAI	Current Yield (%)	YTM (%)
BROADRIDGE FINANCIAL SOLUTIONS INC	221.0000	8,733.92	38	39.5200	6,533.97	29.5655	2,199.95	185.64		2.13
COMPUTER SCIENCES CORP COM	175.0000	9,779.00	42	55.8800	7,774.29	44.4245	2,004.71	140.00		1.43
GOOGLE INC CLASS A	3.0000	3,362.13	15	1,120.7100	2,134.03	711.3433	1,228.10	00		
INTERNATIONAL BUSINESS MACHINES CORP	76.0000	14,255.32	62	187.5700	7,953.29	104.6486	6,302.03	288.80		2.03
JABIL CIRCUIT INC COMMON	357.0000	6,226.08	27	17.4400	7,299.65	20.4472	(1,073.57)	114.24		1.83
JDS UNIPHASE CORP	91.0000	1,181.63	05	12.9849	1,362.72	14.9749	(181.09)	00		
NCR CORP COMMON	202.0000	6,880.12	30	34.0600	8,099.74	40.0977	(1,219.62)	.00		
ORACLE CORPORATION COM	152.0000	5,815.52	.25	38.2600	4,236.67	27.8728	1,578.85	72.96		1.25
SALESFORCE.COM INC	32.0000	1,766.08	08	55.1900	1,204.10	37.6281	561.98	00		
TOTAL INFORMATION TECHNOLOGY	1,333.0000	71,464.28	3.09		50,858.25		20,606.03	1,094.44		
MATERIALS										
OWENS ILLINOIS INC	179.0000	6,404.62	.28	35.7800	4,711.96	26.3238	1,692.66	.00		
PPG INDUSTRIES COMMON	37.0000	7,017.42	30	189.6600	4,396.21	118.8165	2,621.21	90.28		1.29
RPM INTERNATIONAL INC COMMON	254.0000	10,543.54	46	41.5100	6,758.34	26.6076	3,785.20	243.84		2.31
TOTAL MATERIALS	470.0000	23,965.58	1.04		15,866.51		8,099.07	334.12		
TELECOMMUNICATION SERVICES										
NEUSTAR INC CLASS A	89.0000	4,437.54	.19	49.8600	1,549.70	17.4124	2,887.84	.00		
TELEPHONE & DATA SYS INC	234.0000	6,032.52	26	25.7800	6,299.96	26.9229	(267.44)	119.34		1.98
VERIZON COMMUNICATIONS COM	41.0000	2,014.74	09	49.1400	1,174.21	28.6393	840.53	86.92		4.31
WINDSTREAM HOLDINGS INC	304.0000	2,425.92	10	7.9800	3,286.13	10.8096	(860.21)	304.00		12.53
TOTAL TELECOMMUNICATION SERVICES	668.0000	14,910.72	.64		12,310.00		2,600.72	510.26		
UTILITIES										
AES CORP COM	646.0000	9,373.46	40	14.5100	8,571.10	13.2680	802.36	129.20		1.38
DOMINION RESOURCES INC	7.0000	452.83	02	64.6900	306.13	43.7329	146.70	15.75		3.48



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WESTAR ENERGY INC	166 0000	5,340.22	23	32 1700	4,588.22	27 6399	752.00	225.76		4.23
TOTAL UTILITIES										
	819 0000	15,166.51	.66		13,465.45		1,701.06	370.71		
TOTAL COMMON EQUITY SECURITIES	13,510.4000	585,449.98	25.30		441,068.08		144,381.90	9,982.39		
EQUITY MUTUAL FUNDS										
INTERNATIONAL EQUITY										
VANGUARD FTSE EMERGING MARKETS ETF	3,200 0000	131,648.00	5.69	41 1400	95,744.59	29 9202	35,903.41	3,600.00		2.73
TOTAL INTERNATIONAL EQUITY	3,200.0000	131,648.00	5.69		95,744.59		35,903.41	3,600.00		
MISCELLANEOUS										
ISHARES S&P 100 ETF	1,000 0000	82,350.00	3.56	82 3500	48,847.96	48 8480	33,502.04	1,613.00		1.96
ISHARES S&P 500 GROWTH ETF	2,150 0000	212,312.50	9.17	98 7500	135,433.72	62 9924	76,878.78	3,072.35		1.45
VANGUARD	1,750 0000	192,412.50	8.31	109 9500	86,594.37	49 4825	105,818.13	2,488.50		1.29
SMALL-CAP ETF										
WILMINGTON LARGE-CAP STRATEGY FUND	13,797 6940	234,146.87	10.12	16.9700	186,025.36	13 4824	48,121.51	3,780.57		1.61
INSTITUTIONAL SHARES										
WILMINGTON MULTI-MANAGER	43,451 5390	338,922.00	14.64	7 8000	273,187.84	6 2872	65,734.16	5,344.54		1.58
INTERNATIONAL FUND INSTITUTIONAL SHARES										
WILMINGTON MULTI-MANAGER REAL ASSET FUND INSTITUTIONAL SHARES	8,979 7700	127,333.14	5.50	14 1800	114,835.26	12 7882	12,497.88	1,957.59		1.54
TOTAL MISCELLANEOUS	71,129.0030	1,187,477.01	51.31		844,924.51		342,552.50	18,256.55		
TOTAL EQUITY MUTUAL FUNDS	74,329.0030	1,319,125.01	56.99		940,669.10		378,455.91	21,856.55		
FIXED INCOME MUTUAL FUNDS										
FIXED INCOME SECURITIES										
FIDELITY FOCUSED HIGH INCOME FUND	11,292 9920	101,636.94	4.39	9 0000	102,441.61	9 0713	(804.67)	5,194.78	5.11	4.87
RIDGEMORTH SEIX FLOATING RATE HIGH INCOME FUND I	5,067 5680	45,912.17	1.98	9 0600	45,000.00	8 8800	912.17	1,920.61	4.18	4.38
TOTAL FIXED INCOME SECURITIES	16,360.5600	147,549.11	6.38		147,441.61		107.50	7,115.39		



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Ein: 71-0865534

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<b>INTERNATIONAL EQUITY</b>										
MFS EMERGING MARKETS DEBT FUND - I	2,995.7230	43,497.90	1.88	14.5200	45,518.03	15.1943	(2,020.13)	2,270.76	5.22	4.78
<b>TOTAL INTERNATIONAL EQUITY</b>	2,995.7230	43,497.90	1.88		45,518.03		(2,020.13)	2,270.76		
<b>MISCELLANEOUS</b>										
VANGUARD SHORT-TERM INVESTMENT-GRADE CLASS INVESTOR	15,665.7330	167,623.33	7.24	10.7000	165,599.98	10.5708	2,023.35	3,023.49	1.80	
<b>TOTAL MISCELLANEOUS</b>	15,665.7330	167,623.33	7.24		165,599.98		2,023.35	3,023.49		
<b>TOTAL FIXED INCOME MUTUAL FUNDS</b>	35,022.0160	358,670.34	15.50		358,559.62		110.72	12,409.64		
<b>MONEY MARKET FUNDS</b>										
<b>MISCELLANEOUS</b>										
WILMINGTON TRUST BANK DEPOSIT SWEEP	51,237.0200	51,237.02	2.21	1.0000	51,237.02	1.0000	.00	153.71	30	30
<b>TOTAL MISCELLANEOUS</b>	51,237.0200	51,237.02	2.21		51,237.02		.00	153.71		
<b>TOTAL MONEY MARKET FUNDS</b>	51,237.0200	51,237.02	2.21		51,237.02		.00	153.71		
<b>TOTAL Base Currency - U.S. Dollar</b>	174,098.4390	2,314,482.35	100.00		1,791,533.82		522,948.53	44,402.29		

+ Unknown

^ Incomplete

\* A period end market value is unavailable. Last provided value is displayed

\*\*\* Only investments held as of the specified date appear on this report \*\*\*

**Attachment to Statement 5 of Form 990PF: Return of Organization Exempt from Income Tax**  
**Part III, Page 2 Line 5 Other increases not included in Ln 2**

**James Stuart Haldan Charitable Foundation 059426-000**  
**EIN: 71-0865534**

**Capital Adjustments (year ending 12/31/2013)**

**Mutual Funds**

Income Summary (cash received TC 906)	\$	42,118.50
Fund Sheet (Income reported)*		(41,609.25)
Timing Adjustment		<u>509.25</u>

**\*Details of tax attributes received from mutual funds**

For Divs	\$	7,194.53
Nondiv dist.	\$	150.47
Dividends	\$	11,551.66
USGI	\$	190.44
For Tax	\$	(462.08)
For Tax	\$	(227.28)
NQ For Divs	\$	2,088.84
NQ Dom Divs	\$	17,134.31
LT cap gain div	\$	3,988.23
Cap gain dist.	\$	0.13
	\$	<u>41,609.25</u>