Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning January 1 , 2013, and ending December 31 , 20 13

Name of foundation NCMIC Foundation, Inc	A Employer identification number 68-0570762
Number and street (or P O box number if mail is not delivered to street address) / Room/suite 14001 University Avenue	B Telephone number (see instructions) 515-313-4594
City or town, state or province, country, and ZIP or foreign postal code Clive, IA 50325	C If exemption application is pending, check here ▶ ☐
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	D 1 Foreign organizations, check here ▶ ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 12,018,714 J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*	F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	1,333,443			
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	1,310	1,310		
	4	Dividends and interest from securities	430,616	430,616		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	112,812			
	b	Gross sales price for all assets on line 6a 1,417,927				
	7	Capital gain net income (from Part IV, line 2)		112,812		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	1,878,181	544,738		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	5,000			5,000
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits	13,858			13,858
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	2,525			2,525
	c	Other professional fees (attach schedule)	18,100			18,100
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	27,200			27,200
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	4,667			4,667
	22	Printing and publications	9,659			9,659
	23	Other expenses (attach schedule)	6,753	3,153		3,600
	24	**Total operating and administrative expenses.** Add lines 13 through 23	87,762	3,153		84,609
	25	Contributions, gifts, grants paid	216,732			216,732
	26	**Total expenses and disbursements.** Add lines 24 and 25	304,494	3,153		301,341
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	1,573,687			
	b	**Net investment income** (if negative, enter -0-)		541,585		
	c	**Adjusted net income** (if negative, enter -0-)			1,032,102	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	54,775	60,131	60,131
	2	Savings and temporary cash investments	713,281	283,174	283,174
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	577,701	11,483,625	11,483,625
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ Accrued Int & Life Insurance Value)	9,165,453	191,784	191,784
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	10,511,210	12,018,714	12,018,714
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	10,442,530	11,948,295	
	25	Temporarily restricted	68,680	70,419	
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	10,511,210	12,018,714	
	31	**Total liabilities and net assets/fund balances** (see instructions)	10,511,210	12,018,714	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,511,210
2	Enter amount from Part I, line 27a	2	1,573,687
3	Other increases not included in line 2 (itemize) ▶ Unrealized Gain(Loss)	3	0
4	Add lines 1, 2, and 3	4	12,084,897
5	Decreases not included in line 2 (itemize) ▶ Unrealized Gain(Loss)	5	(66,183)
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	12,018,714

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE ATTACHED SCHEDULE •			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	112,812
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	112,812

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	508,068	10,407,852	04882
2011	214,153	9,842,048	02176
2010	112,195	4,986,348	02250
2009	157,198	3,678,101	04274
2008	369,106	3,506,996	10525

2	**Total** of line 1, column (d)	2	24107
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	04821
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	11,571,901
5	Multiply line 4 by line 3	5	557,881
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	5,416
7	Add lines 5 and 6	7	563,297
8	Enter qualifying distributions from Part XII, line 4	8	301,341

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ *(attach copy of letter if necessary—see instructions)*			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	10,831	70
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2		
3	Add lines 1 and 2	3	10,831	70
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4		
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	10,831	70
6	Credits/Payments.			
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a — 15,000 00			
b	Exempt foreign organizations—tax withheld at source — 6b			
c	Tax paid with application for extension of time to file (Form 8868) — 6c			
d	Backup withholding erroneously withheld — 6d			
7	Total credits and payments Add lines 6a through 6d	7	15,000	00
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0	00
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10		
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ **Refunded** ▶	11	4,168	30

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IOWA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	

Website address ▶ WWW NCMICFOUNDATION COM

14 The books are in care of ▶ MATT GUSTAFSON Telephone no. ▶ 515-313-4594

Located at ▶ 14001 UNIVERSITY AVENUE, CLIVE, IA ZIP+4 ▶ 50325-8258

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		✓
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20___ , 20___ , 20___ , 20___				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☑ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** ✓ (No)

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ✓ (No)

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED SCHEDULE				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2 NONE	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	10,878,331
b	Average of monthly cash balances		1b	804,311
c	Fair market value of all other assets (see instructions)		1c	65,481
d	**Total** (add lines 1a, b, and c)		1d	11,748,123
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2	Acquisition indebtedness applicable to line 1 assets		2	
3	Subtract line 2 from line 1d		3	11,748,123
4	Cash deemed held for charitable activities Enter 1 ½ % of line 3 (for greater amount, see instructions)		4	176,222
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	11,571,901
6	**Minimum investment return.** Enter 5% of line 5		6	578,595

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	578,595
2a	Tax on investment income for 2013 from Part VI, line 5	2a 10,832		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b 0		
c	Add lines 2a and 2b		2c	10,832
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	567,763
4	Recoveries of amounts treated as qualifying distributions		4	0
5	Add lines 3 and 4		5	567,763
6	Deduction from distributable amount (see instructions)		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	567,763

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	301,341
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	301,341
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	301,341

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				567,763
2	Undistributed income, if any, as of the end of 2013				
a	Enter amount for 2012 only			0	
b	Total for prior years 20___,20___,20___		0		
3	Excess distributions carryover, if any, to 2013				
a	From 2008 83,569				
b	From 2009 0				
c	From 2010 0				
d	From 2011 0				
e	From 2012 0				
f	**Total** of lines 3a through e	83,569			
4	Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 301,341				
a	Applied to 2012, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see instructions)		0		
c	Treated as distributions out of corpus (Election required—see instructions)	0			
d	Applied to 2013 distributable amount				301,341
e	Remaining amount distributed out of corpus	0			
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	83,569			83,569
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b	Prior years' undistributed income Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d	Subtract line 6c from line 6b Taxable amount—see instructions		0		
e	Undistributed income for 2012 Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				217,772
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0			
9	**Excess distributions carryover to 2014.** *Subtract lines 7 and 8 from line 6a*	0			
10	Analysis of line 9:				
a	Excess from 2009 0				
b	Excess from 2010 0				
c	Excess from 2011 0				
d	Excess from 2012 0				
e	Excess from 2013 0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶ NA

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 ***Information Regarding Foundation Managers:***

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

MATT GUSTAFSON, NCMIC FOUNDATION, INC , MAIL STOP A3E, 14001 UNIVERSITY AVENUE, CLIVE, IA 50325, (515)313-4594

b The form in which applications should be submitted and information and materials they should include:

Those applying should submit the intended purpose/objective, an itemized budget and periodic milestones to measure progress

c Any submission deadlines

No deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Qualifying research projects, education fellowships and other projects related to chiro care and/or alternative approaches to healthcare

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED SCHEDULE				
Total ▶ 3a				242,268
b *Approved for future payment*				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,310	
4 Dividends and interest from securities			14	430,616	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	112,812	
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				544,738	

13 **Total.** Add line 12, columns (b), (d), and (e) . 13 544,738

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Stanley D. Bushner | 8/7/14 | TREASURER

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ►			Firm's EIN ►	
Firm's address ►			Phone no	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990.*

OMB No 1545-0047

2013

Name of the organization	Employer identification number
NCMIC Foundation, Inc.	68-0570762

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33$^1/_3$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year . . . . . ▶ $

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
NCMIC Foundation, Inc	68-0570762

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NCMIC Insurance Company 14001 University Avenue Clive, IA 50325	$ 1,000,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	Northwestern Health Sciences University 2501 West 84th Street Bloomington, MN 55431-1599	$ 7,463.43	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	National University of Health Sciences 200 E Roosevelt Road Lombard, IL 60148	$ 8,228 83	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization: NCMIC Foundation, Inc

Employer identification number: 68-0570762

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	Employer identification number
NCMIC Foundation, Inc	68-0570762

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year. (Enter this information once. See instructions) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

NCMIC Foundation, Inc.
FEIN: 68-0570762
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Part I, Line 1: Schedule of Contributions, Gifts & Grants

Donations Received from NCMIC Insurance Company	1,000,000
Donations Received from NCMIC Group	150,103
Donations Received from NCMIC Policyholder Dividends	181,540
Various Donations Received for Pedigo Memorial	-
Various Donations Received for Keating Memorial	1,800
Total 2013 Contributions Received	1,333,443

NCMIC Foundation, Inc
FEIN: 68-0570762
2013 Form 990-PF Additional Detail

Part I, Line 11: Other Income

Class Action Settlement	-
Total to Part I, Line 11	-

NCMIC Foundation, Inc
FEIN: 68-0570762
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Part I, Line 16b: Schedule of Accounting Fees

Description	Vendor	
Private Foundation 501c6	BKD, Inc.	525
AUP Engagement	McGladrey & Pullen	2,000
Total to Part I, Line 16b		2,525

NCMIC Foundation, Inc
FEIN: 68-0570762
2013 Form 990-PF Additional Detail

Part I, Line 16c: Schedule of Other Professional Fees

Description	Vendor	
TM Registration Work	Zarley Law Firm	100
Advisor Fee	Dr D R Phillips	18,000
Total to Part I, Line 16c		18,100

NCMIC Foundation, Inc
FEIN: 68-0570762
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Part I, Line 18: Schedule of Taxes

US Federal Income Taxes	27,200
Foreign Taxes Withheld	-
Total to Part I, Line 18	27,200

NCMIC Foundation, Inc
FEIN: 68-0570762
2013 Form 990-PF Additional Detail

Part I, Line 23: Schedule of Other Expenses

Bank Fees	3,153
Small Equipment Expense	3,600
Total to Part I, Line 23	6,753

NCMIC FOUNDATION, INC.
FEIN #68-0570762
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PART II Investments - Other (Mutual Funds)

Stocks & ETF's

Cusip #	Description	Cost	Fair Market Value
05358E106	Avenue Income Credit Strategies	296,286	298,860
258622109	Doubleline Income Solutions Fund	601,786	548,340
258623107	Doubleline Opportunistic Credit Fund	801,732	669,600
464287226	iShares Core Total US Bond Market ETF	551,877	532,150
72201Y101	PIMCO Dynamic Income Fund	295,765	291,300
72201R775	PIMCO Total Return ETF	3,578,731	3,456,420
035710409	Annaly Capital Management Inc	587,569	448,650
22542D852	Credit Suisse MLP Equal Weight Index	362,376	392,625
464288372	iShares Global Infrastructure ETF	358,750	389,400
464288711	iShares Global Utilities ETF	412,610	441,800
464286533	iShares MSCI Emerging Mkts ETF	485,794	466,080
46429B697	iShares MSCI USA Minimum Volatility ETF	1,935,694	2,307,500
464287168	iShares Select Dividend ETF	585,795	713,500
85207H104	Sprott Physical Gold Trust	316,041	249,000
90187B101	Two Harbors Investment Corporation	349,158	278,400
		11,519,963	**11,483,625**

NCMIC FOUNDATION, INC.
FEIN #68-0570762
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PART IV Capital Gains and Losses for Tax on Investment Income

Securities Held with Merrill Lynch & Wells Fargo

Description of Property		(a)	(b) How Acquired	(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(f) Depreciation Allowed	(g) Cost or Other Basis	Gain/Loss
2,540	shares of	Market Vectors ETF TR	P	7/22/2010	1/2/2013	119,883 18	-	125,396 75	(5,513 57)
825	shares of	Market Vectors ETF TR	P	3/31/2011	1/2/2013	38,938 43	-	50 184 26	(11,245 83)
1 830	shares of	Market Vectors ETF TR	P	6/21/2011	1/2/2013	86,372 54	-	98 750 46	(12,377 92)
1,065	shares of	Spider Gold Trust	P	7/22/2010	1/2/2013	173 377 56	-	124,360 13	49,017 43
355	shares of	Spider Gold Trust	P	3/31/2011	1/2/2013	57 792 52	-	49,785 14	8,007 38
654	shares of	Spider Gold Trust	P	6/21/2011	1/2/2013	106,468 49	-	98,601 21	7,867 28
14	shares of	Market Vectors ETF TR	P	1/4/2012	1/2/2013	660 78	-	750 53	(89 75)
1	shares of	Market Vectors ETF TR	P	1/3/2013	1/4/2013	44 66	-	50 42	(5 76)
51	shares of	Market Vectors ETF TR	P	1/3/2013	1/4/2013	2,278 13	-	2,383 74	(105 61)
0 5753	shares of	iShares MSCI CDA	P	12/28/2012	1/2/2013	16 63	-	16 17	0 46
0 3575	shares of	PowerShares ETF Intl	P	10/1/2012	1/2/2013	5 73	-	5 28	0 45
0 2124	shares of	Vanguard Index FDS	P	3/29/2012	1/2/2013	17 59	-	16 67	0 92
0 2677	shares of	Vanguard MidCap	P	3/29/2012	1/2/2013	22 58	-	21 66	0 92
0 6575	shares of	Vanguard Intl Equity	P	12/28/2012	1/2/2013	29 66	-	27 81	1 85
0 1834	shares of	Vanguard Specialized	P	12/28/2012	1/2/2013	11 12	-	10 83	0 29
0 4948	shares of	Vanguard Europe Pacific	P	12/28/2012	1/2/2013	17 71	-	17 24	0 47
0 0495	shares of	Spider S&P Intl Small Cap	P	6/28/2012	1/2/2013	1 42	-	1 23	0 19
0 8853	shares of	Vanguard Mega Cap 300	P	12/28/2012	1/2/2013	50 12	-	48 44	1 68
0 1421	shares of	Vanguard Mega Cap 300	P	12/28/2012	1/2/2013	6 21	-	6 00	0 21
0 3079	shares of	iShares Lehman Ag Bd	P	12/11/2012	1/2/2013	34 11	-	34 36	(0 25)
0 9765	shares of	iShares Lehman Cred	P	12/10/2012	1/2/2013	110 58	-	111 33	(0 75)
0 4079	shares of	iShares Lehman 3-7 Yr	P	12/10/2012	1/2/2013	50 21	-	50 51	(0 30)
0 3809	shares of	Vanguard Total Bond	P	12/10/2012	1/2/2013	31 90	-	32 32	(0 42)
0 6391	shares of	iShares IBOXX$ High Yield	P	12/10/2012	1/2/2013	59 94	-	59 17	0 77
0 6122	shares of	iShares TR	P	12/10/2012	1/2/2013	75 28	-	74 97	0 31
0 4219	shares of	iShares TR	P	1/4/2013	1/7/2013	46 64	-	51 84	(5 20)
COST ADJUSTMENT - January			P					(22 424 91)	22,424 91
0 0291	shares of	Market Vectors ETF TR	P	1/3/2013	2/20/2013	1 01	-	1 36	(0 35)
35,000	shares of	Two Harbors Investment Corp	P	1/4/2013	3/25/2013	480.546 19	-	408 289 00	72,257 19
3 000	shares of	iShares JP Morgan EM Bond Fund	P	1/4/2013	6/19/2013	336 504 14	-	368 400 90	(31 896 76)
CAPITAL GAIN		iShares Core Total US Bond	P	2/21/2013	12/6/2013	56 04	-	-	56 04
CAPITAL GAIN		PIMCO Total Return ETF	P	1/4/2013	12/17/2013	14,415 92	-	-	14 415 92
						1,417,927.02	-	**1,305,114.82**	**112,812.20**

NCMIC Foundation, Inc.
FEIN # 68-0570762
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Part VIII - Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

List of Officers, Directors, Trustees, and Foundation Managers

Name and Address	Title and Avg Hrs/Week	Compensation	Employee Benefit Plan and Deferred Comp	Expense Account
Stanley Bushner 2251 W Greenleaf St Allentown, PA 18104	Director 1 00	1,000 00	0.00	0 00
Gary Tarola, DC 1243 S. Cedar Crest Blvd , Suite 2400 Allentown, PA 18103	Director 1 00	1,000 00	0.00	0 00
Thomas G. Schmidt 569 Columbia Avenue Palmerton, PA 18071	Director 1 00	1,000.00	0 00	0 00
Gerald F Strubinger, Jr. 164 Manor Lane Lehighton, PA 18235	Director 1 00	1,000.00	0.00	0.00
Ronald A. Segel 3728 Highland Street Allentown, PA 18104	Director 1 00	1,000 00	0.00	0 00

NCMIC Foundation, Inc
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PC = Public Charity described in Section 509(a)(1) or (2)
NC = Non-Charity (not 501©(3))

PART XV -- Supplementary Information (continued)

Grants and Contributions Paid During the Year or Approved for Future Payment

Alphabetize	Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Academic	Academic Consortium for Complementary and Alternative Health Care Seattle, WA 98116	NONE	PC	Research Grant	$ 10,000
Clinic	Clinical Excellence Research Center Stanford, CA 94304-5475	NONE	PC	Research Grant	$ 900
CMCC	CMCC Health Sciences Library Toronto, Ontario, Canada M2H 3J1	NONE	PC	Research Grant	$ 8,443
D C Gay	Dr Charles W Gay Gainesville, Fl 32608	NONE	NC	Fellowship	$ 5,000
Dart	Dartmouth College Hanover, NH 03755-1404	NONE	PC	Research Grant	$ (28,035)
Inst	Institute for Alternative Futures, Alexandria, VA 22314	NONE	PC	Research Grant	$ 44,026
Integrative	Integrative Healthcare Policy Consortium Conifer, CO 80433	NONE	PC	Research Grant	$ 20,000
J Whedon	Dr James Whedon Grantham, NH 03753	NONE	NC	Research Grant	$ 2 500
K Pohlman	Katherine Pohlman, DC, MS, Davenport IA 52803	NONE	NC	Fellowship	$ 5,000
K Spurgin	Kurt Spurgin, DC, Indio, CA 92201	NONE	NC	Fellowship	$ 1 250
M Petrie	Michael Petrie, DC Iowa City, IA 52246	NONE	NC	Fellowship	$ 7,000
North	Norwestern Health Sciences University Bloomington, MN 55431	NONE	PC	Research Grant	$ 25,000
P Manga	Pranlal Manga Ottaw, Ontario, Canada K2A 2S6	NONE	NC	Fellowship	$ 1 000
S Sullivan	Stephanie G B Sullivan Woodstock, GA 30188	NONE	NC	Fellowship	$ 1,000
Spine	Spine Research LLC - Simon Dagenais Winchester, MA 01890	NONE	NC	Research Grant	$ 60,000
Texas	University of Texas Health Science Center - Trent Peng, DC Houston, TX 77030	NONE	NC	Research Grant	$ 5,661
VA	VA Connecticut Healthcare System, West Haven, CT 06516	NONE	PC	Research Grant	$ 29 988
Wake	Wake Forest Chiropractic - Shawn Phelan, DC Wake Forest, NC 27587	NONE	NC	Research Grant	$ 12,000
West	University of Western States Portland, OR 97230	NONE	PC	Research Grant	$ 1,000
World	World Spine Care - Dr Scott Haldeman Santa Ana, CA 92705	NONE	NC	Research Grant	$ 5,000
					$ 216,732