Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

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SCANNED OCT 23 2014

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: THOMAS P. RALEY FOUNDATION

A Employer identification number: 68-0358149

Number and street (or P O box number if mail is not delivered to street address) | Room/suite: P.O. BOX 15618

B Telephone number (see instructions): (916) 224-1111

City or town, state or province, country, and ZIP or foreign postal code: SACRAMENTO, CA 95852

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 7,520,232.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) ____________________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	25.	25.		ATCH 1
	4	Dividends and interest from securities	165,481.	165,481.		ATCH 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	959,875.			
	b	Gross sales price for all assets on line 6a 3,868,464.				
	7	Capital gain net income (from Part IV, line 2)		959,875.		
	8	Net short-term capital gain				
	9	Income modifications				
	10 a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	1,125,381.	1,125,381.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	2,000.			2,000.
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) ATCH 3	10.			10.
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) ATCH 4	82,441.	82,441.		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	84,451.	82,441.		2,010.
	25	Contributions, gifts, grants paid	310,000.			310,000.
	26	**Total expenses and disbursements** Add lines 24 and 25	394,451.	82,441.		312,010.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	730,930.			
	b	**Net investment income** (if negative, enter -0-)		1,042,940.		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets 1	Cash - non-interest-bearing			
2	Savings and temporary cash investments	280,281.	303,285.	303,285.
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule) **			
b	Investments - corporate stock (attach schedule) ATCH 6	5,178,564.	5,879,350.	7,216,947.
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	5,458,845.	6,182,635.	7,520,232.
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, ▶ ☒ **check here and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	5,458,845.	6,182,635.	
30	**Total net assets or fund balances** (see instructions)	5,458,845.	6,182,635.	
31	**Total liabilities and net assets/fund balances** (see instructions)	5,458,845.	6,182,635.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,458,845.
2	Enter amount from Part I, line 27a	2	730,930.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	6,189,775.
5	Decreases not included in line 2 (itemize) ▶ ATCH 7	5	7,140.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,182,635.

**ATCH 5

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	959,875.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	55,371.	5,933,086.	0.009333
2011	362,275.	5,917,363.	0.061222
2010	579,010.	5,370,918.	0.107805
2009	530,030.	4,602,870.	0.115152
2008	900,836.	5,911,423.	0.152389

2 Total of line 1, column (d)	2	0.445901
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.089180
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	6,830,902.
5 Multiply line 4 by line 3	5	609,180.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,429.
7 Add lines 5 and 6	7	619,609.
8 Enter qualifying distributions from Part XII, line 4	8	312,010.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	20,859.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			2	
3	Add lines 1 and 2			3	20,859.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	20,859.
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	8,588.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	8,588.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ATCH 8 . . . . ▶			9	12,578.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . ▶			10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ____________ (2) On foundation managers ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation.*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	**11**		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	**12**		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	**13**	X	

Website address ▶ N/A

14 The books are in care of ▶ NEIL DOERHOFF, RALEY'S Telephone no ▶ 916-373-3333

Located at ▶ 500 W. CAPITOL AVE. WEST SACRAMENTO, CA ZIP+4 ▶ 95852

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ **15**

		Yes	No
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	**16**		X
See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☒ **No**			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☒ **No**			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☒ **No**			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☒ **No**			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☒ **No**			
(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ **Yes** ☒ **No**			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	**1b**		X
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	**1c**		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ **Yes** ☒ **No**			
If "Yes," list the years ▶ ________ , ________ , ________ , ________			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	**2b**		X
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ________ , ________ , ________ , ________			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☒ **No**			
b If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	**3b**		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	**4a**		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	**4b**		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** No: X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 9		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0	0	0

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		0
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	6,563,995.
b	Average of monthly cash balances	1b	370,931.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	6,934,926.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	6,934,926.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	104,024.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,830,902.
6	**Minimum investment return.** Enter 5% of line 5	6	341,545.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	341,545.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	20,859.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	20,859.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	320,686.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	320,686.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	320,686.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	312,010.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	312,010.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	312,010.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7					320,686.
2	Undistributed income, if any, as of the end of 2013					
a	Enter amount for 2012 only					
b	Total for prior years 20 11 ,20 10 ,20 09					
3	Excess distributions carryover, if any, to 2013					
a	From 2008	605,833.				
b	From 2009	299,886.				
c	From 2010	311,978.				
d	From 2011	67,391.				
e	From 2012					
f	**Total** of lines 3a through e		1,285,088.			
4	Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 312,010.					
a	Applied to 2012, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required - see instructions)					
c	Treated as distributions out of corpus (Election required - see instructions)					
d	Applied to 2013 distributable amount					312,010.
e	Remaining amount distributed out of corpus					
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a))*		8,676.			8,676.
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,276,412.			
b	Prior years' undistributed income Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount - see instructions					
e	Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions					
f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		597,157.			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		679,255.			
10	Analysis of line 9					
a	Excess from 2009	299,886.				
b	Excess from 2010	311,978.				
c	Excess from 2011	67,391.				
d	Excess from 2012					
e	Excess from 2013					

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 10

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* ATCH 11				
Total . . . . ▶ **3a**				310,000.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	25.	
4 Dividends and interest from securities			14	165,481.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	959,875.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				1,125,381.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . 13 1,125,381.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
1	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 10.14.14 | Title Secty

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Brett V Huston	Brett V. Huston	10/13/2014		P00846006

Firm's name ▶ KPMG LLP

Firm's EIN ▶ 13-5565207

Firm's address ▶ 500 CAPITOL MALL, SUITE 2100
SACRAMENTO, CA 95814

Phone no 916-448-4700

THOMAS P. RALEY FOUNDATION 68-0358149

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					499.	
		NFJ INTERNATIONAL ACCT #Y3-02449 - SEE A PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
							3,596.	
		NFJ INTERNATIONAL ACCT #Y3-02449 - SEE A PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
							108,077.	
		BLACKROCK ACCT #Y3-02448 - SEE ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
							77.	
		BLACKROCK ACCT #Y3-02448 - SEE ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
							29,906.	
		MET WEST ACCT #Y3-02459 - SEE ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
							66,858.	
		MET WEST ACCT #Y3-02459 - SEE ATTACHED PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
							740,321.	
		ARISTOTLE ACCT #Y3-08408 - SEE STATEMENT PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
							10,541.	
TOTAL GAIN(LOSS)							959,875.	

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
UBS FINANCIAL SERVICES INC		25.	25.
	TOTAL	25.	25.

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
UBS FINANCIAL SERVICES INC		165,481.	165,481.
	TOTAL	165,481.	165,481.

ATTACHMENT 3

FORM 990PF, PART I - TAXES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
STATE FILING FEE		10.	10.
	TOTALS	10.	10.

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
COMMISSIONS & FEES	82,441.	82,441.
TOTALS	82,441.	82,441.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 5

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
MUTUAL FUND		
US OBLIGATIONS TOTAL		

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
UBS FINANCIAL SERVICES INC	5,879,350.	7,216,947.
TOTALS	5,879,350.	7,216,947.

ATTACHMENT 7

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
OTHER ADJUSTMENT - NO TAX EFFECT		7,140.
	TOTAL	7,140.

ATTACHMENT 8

FORM 990PF - COMPUTATION OF PENALTIES AND INTEREST

END OF FISCAL/CALENDAR YEAR	12/31/2013
DATE RETURN IS DUE IF ON EXTENSION	11/15/2014
DATE RETURN WILL BE RECEIVED BY THE IRS	09/30/2014
NUMBER OF DAYS RETURN IS LATE	
NUMBER OF MONTHS RETURN IS LATE	5
LATE FILING PENALTY	
LATE PAYMENT PENALTY	307.
INTEREST	
TOTAL PENALTIES AND INTEREST	307.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JOYCE TEEL P.O. BOX 15618 SACRAMENTO, CA 95852	CHAIRMAN 1 00	0	0	0
JIM TEEL P.O. BOX 15618 SACRAMENTO, CA 95852	VICE PRESIDENT 1 00	0	0	0
NEIL DOERHOFF P.O. BOX 15618 SACRAMENTO, CA 95852	TREASURER/SECRETARY 2 00	0	0	0
CLAUDIA DOERHOFF P.O. BOX 15618 SACRAMENTO, CA 95852	DIRECTOR	0	0	0
DIANE PERRY P.O. BOX 15618 SACRAMENTO, CA 95852	DIRECTOR	0	0	0
MIKE TEEL P.O. BOX 15618 SACRAMENTO, CA 95852	DIRECTOR	0	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
LISA DAVIDSON P.O. BOX 15618 SACRAMENTO, CA 95852	DIRECTOR	0	0	0
LAURIE STRUCK P.O. BOX 15618 SACRAMENTO, CA 95852	DIRECTOR	0	0	0
	GRAND TOTALS	0	0	0

ATTACHMENT 9

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

N/A

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
COLLINGS WEST SACRAMENTO TEEN CENTER 1541 MERKLEY AVENUE SACRAMENTO, CA 95691	N/A PC	FURTHER TAX EXEMPT PURPOSE	25,000
SACRAMENTO REGIONAL PERFORMING ARTS ALLIANCE 1030 15TH STREET SACRAMENTO, CA 95814	N/A PC	FURTHER TAX EXEMPT PURPOSE	150,000
SAINT JOHN'S SHELTER PROGRAM FOR WOMEN 4410 POWER INN ROAD SACRAMENTO, CA 95826	N/A PC	FURTHER TAX EXEMPT PURPOSE	75,000
NEVADA MUSEUM OF ART 160 WEST LIBERTY STREET RENO, NV 89501	N/A PC	FURTHER TAX EXEMPT PURPOSE	10,000
BOYS AND GIRLS CLUBS OF GREATER SACRAMENTO 5212 LEMON HILL AVENUE SACRAMENTO, CA 95824	N/A PC	FURTHER TAX EXEMPT PURPOSE	50,000
		TOTAL CONTRIBUTIONS PAID	310,000

SCHEDULE D
(Form 1041)
Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ **Attach to Form 1041, Form 5227, or Form 990-T.**
▶ **Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.**
▶ **Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*.**

OMB No 1545-0092
2013

Name of estate or trust	Employer identification number
THOMAS P. RALEY FOUNDATION	68-0358149

Note: *Form 5227 filers need to complete* ***only*** *Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked				70,531.
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

4	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6	Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet	6	( )
7	**Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back . . . . ▶	7	70,531.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked				487,631.
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked				401,214.
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked				

11	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.	12	
13	Capital gain distributions.	13	499.
14	Gain from Form 4797, Part I.	14	
15	Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet	15	( )
16	**Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back . . . . ▶	16	889,344.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D (Form 1041) 2013**

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Part III Summary of Parts I and II

Caution: *Read the instructions* ***before*** *completing this part.*

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
17	**Net short-term gain or (loss)**	17			70,531.
18	**Net long-term gain or (loss):**				
a	Total for year	18a			889,344.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b			
c	28% rate gain	18c			
19	**Total net gain or (loss).** Combine lines 17 and 18a ▶	19			959,875.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV If line 19, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 19, column (3) **or** b $3,000 .... 20 ( )

Note: *If the loss on line 19, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if*

- *Either line 18b, col (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			21			
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22					
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23					
24	Add lines 22 and 23	24					
25	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	25					
26	Subtract line 25 from line 24 If zero or less, enter -0-			26			
27	Subtract line 26 from line 21 If zero or less, enter -0-			27			
28	Enter the **smaller** of the amount on line 21 or $2,450			28			
29	Enter the **smaller** of the amount on line 27 or line 28			29			
30	Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% ▶					30	
31	Enter the smaller of line 21 or line 26			31			
32	Subtract line 30 from line 26			32			
33	Enter the **smaller** of line 21 or $11,950			33			
34	Add lines 27 and 30			34			
35	Subtract line 34 from line 33 If zero or less, enter -0-			35			
36	Enter the **smaller** of line 32 or line 35			36			
37	Multiply line 36 by 15% ▶					37	
38	Enter the amount from line 31			38			
39	Add lines 30 and 36			39			
40	Subtract line 39 from line 38 If zero or less, enter -0-			40			
41	Multiply line 40 by 20% ▶					41	
42	Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			42			
43	Add lines 37, 41, and 42			43			
44	Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			44			
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶					45	

Schedule D (Form 1041) 2013

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Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www.irs gov/form8949*.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
THOMAS P. RALEY FOUNDATION	68-0358149

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 1a, you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
NFJ INTERNATIONAL ACC #Y3-02449 - SEE AT	VARIOUS	VARIOUS					3,596
BLACKROCK ACCT #Y3-02448 - SEE AT	VARIOUS	VARIOUS					77
MET WEST ACCT #Y3-024 - SEE ATTACHED	VARIOUS	VARIOUS					66,858
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked)▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.

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Form 8949 (2013) Attachment Sequence No **12A** Page **2**

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side): THOMAS P. RALEY FOUNDATION

Social security number or taxpayer identification number: 68-0358149

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II Long-Term. Transactions involving capital assets you held more than one year are long term For short-term transactions, see page 1

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions (f) Code(s) from instructions	 (g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
NFJ INTERNATIONAL ACCT #Y3-02449 - SEE ATT	VARIOUS	VARIOUS					89,509
BLACKROCK ACCT #Y3-02448 - SEE ATT	VARIOUS	VARIOUS					2,711
MET WEST ACCT #Y3-0245 - SEE ATTACHED	VARIOUS	VARIOUS					395,411
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							487,631

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Form **8949** (2013)

JSA
3X2616 2 000

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side) THOMAS P. RALEY FOUNDATION

Social security number or taxpayer identification number 68-0358149

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☒ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
NFJ INTERNATIONAL ACCT #Y3-02449 - SEE ATTACHED	VARIOUS	VARIOUS					18,571
BLACKROCK ACCT #Y3-02448 - SEE ATTACHED	VARIOUS	VARIOUS					27,194
ARISTOTLE ACCT #Y3-08408 - SEE ATTACHED	VARIOUS	VARIOUS					10,541
MET WEST ACCT #Y3-02459 - SEE ATTACHED	VARIOUS	VARIOUS					344,908
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							401,214

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

UBS Financial Services Inc.
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Federal ID 13-2638166

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Payer:
THOMAS P RALEY FOUNDATION
115 VOLCANO RIDGE
GRANITE BAY CA 95746-6771

2013 Consolidated Form 1099

Copy B, For Recipient
Account Number: Y3 02449 JJ
Tax Identification Number: 68-0358149

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715)

Consistent with IRS guidance, transactions are grouped into section(s) based upon whether the security is covered or noncovered, and whether the term is short or long Transactions for which the term cannot be determined are grouped in a separate section As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949 When available, basis information will be displayed in sections where basis is not required to be reported to the IRS A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date For stock in a corporation, the effective date is January 1, 2011 For stock in a mutual fund the effective date is January 1, 2012 UBS is not required to report basis to the IRS for any securities acquired before 2011 IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not required and thus not reflected here Clients may wish to discuss the potential application of this provision with their tax advisors

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order 2013 Gain/Loss for transactions with trade dates through 12/31/13 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts Net gain or loss is not reported to the IRS

In Box 2a gross proceeds are reported less commissions and option premiums Sale amounts with "±" symbol indicate where an option premium adjustment has been made If Box 2b is checked, a loss based on the amount reported in Box 2a is not allowed

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes Prior to 2012, basis may not have been adjusted for noncovered tax lots The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for market discount, amortized acquisition premium, or bond premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different

Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products.

Type of Gain/Loss: Short Term (Box 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): AEON CO LTD UNSPON ADR					*CUSIP:* 007627102	*Symbol (Box 1d).* AONNY				
Sell	324 0000		06/11/12	05/10/13	4,164 80	3,846 69				318.11
Sell	6 0000		06/11/12	05/10/13	77 12	71 24				5 88
Sell	264 0000		06/11/12	05/16/13	3,375.09	3,134 34				240.75
Sell	240 0000		06/12/12	05/16/13	3,068 26	2,841.60				226.66
Sell	276.0000		06/12/12	05/20/13	3,475 70	3,267 84				207.86
Sell	210.0000		06/13/12	05/20/13	2,644 55	2,488.92				155.63
Sell	96.0000		06/13/12	05/21/13	1,208.43	1,137.79				70.64
Sell	73 0000		03/20/13	05/21/13	918 91	831 25				87.66
Sell	151.0000		03/20/13	05/22/13	1,894.87	1,719.44				175.43

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8). AEON CO LTD UNSPON ADR						*CUSIP.* 007627102	*Symbol (Box 1d)* AONNY			
Sell	147 0000		03/20/13	05/23/13	1,819 33	1,673.89				145.44
Sell	7 0000		03/20/13	05/23/13	83 64	79 71				3.93
Sub Total	**1,794.0000**				**22,730.70**	**21,092.71**				**1,637.99**
Description (Box 8) BARCLAYS PLC ADR						*CUSIP.* 06738E204	*Symbol (Box 1d).* BCS			
Sell	**285.0000**		09/14/12	03/20/13	**5,107.09**	**4,228.00**				**879.09**
Description (Box 8). CARLSBERG AS SPON ADR						*CUSIP:* 142795202	*Symbol (Box 1d):* CABGY			
Sell	**445.0000**		08/06/12	03/20/13	**9,126.75**	**7,600.16**				**1,526.59**
Description (Box 8)· DEUTSCHE BOERSE ADR						*CUSIP.* 251542106	*Symbol (Box 1d):* DBOEY			
Sell	1,420 0000		03/27/12	03/20/13	9,016 79	9,629 45				(612 66)
Sell	30 0000		03/29/12	03/20/13	190 50	196.13				(5.63)
Sub Total	**1,450.0000**				**9,207.29**	**9,825.58**				**(618.29)**
Description (Box 8): HSBC HOLDINGS PLC NEW GB SPON ADR						*CUSIP.* 404280406	*Symbol (Box 1d):* HSBC			
Sell	10.0000		09/07/12	03/20/13	538.13	450.99				87.14
Sell	96 0000		09/10/12	03/20/13	5,166.04	4,317.46				848.58
Sell	9.0000		09/14/12	03/20/13	484.32	426 63				57 69
Sub Total	**115.0000**				**6,188.49**	**5,195.08**				**993.41**

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UBS Financial Services Inc.
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Federal ID 13-2638166

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Payer:
THOMAS P. RALEY FOUNDATION
115 VOLCANO RIDGE
GRANITE BAY CA 95746-6771

2013 Consolidated Form 1099

Copy B, For Recipient
Account Number: Y3 02449 JJ
Tax Identification Number: 68-0358149

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked).

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): ISRAEL CHEMICALS LTD ADR					*CUSIP:* 465036200		*Symbol (Box 1d):* ISCHY			
Sell	206.0000		06/07/12	03/20/13	2,653 22	2,177.42				475.80
Sell	89 0000		06/13/12	03/20/13	1,146 29	917 71				228 58
Sub Total	**295.0000**				**3,799.51**	**3,095.13**				**704.38**
Description (Box 8): PETROLEO BRASILEIRO SA SPON ADR					*CUSIP:* 71654V408		*Symbol (Box 1d):* PBR			
Sell	**640.0000**		02/20/13	07/25/13	**9,212.32**	**10,384.26**				**(1,171.94)**
Description (Box 8): PTT EXPLORATION & PRODUCTION PCL SPON ADR					*CUSIP:* 69364V106		*Symbol (Box 1d):* PEXNY			
Sell	38.0000		07/25/13	09/09/13	399 94	396.71				3.23
Sell	66.0000		07/25/13	09/12/13	689 76	689 03				0 73
Sell	129.0000		07/25/13	09/16/13	1,374 54	1,346.73				27.81
Sell	125.0000		07/25/13	09/17/13	1,337 48	1,304.98				32.50
Sell	15 0000		07/25/13	09/19/13	158 31	156 59				1.72
Sell	14 0000		07/25/13	09/23/13	147 92	146 16				1 76
Sell	55.0000		07/25/13	09/24/13	583.00	574.19				8.81
Sell	7.0000		07/26/13	09/24/13	74 20	73 07				1.13
Sell	5.0000		07/26/13	09/25/13	53 99	52 19				1 80
Sell	14 0000		07/26/13	09/27/13	149.13	146 13				3.00
Sell	7 0000		07/26/13	09/30/13	73.14	73.06				0 08
Sell	125 0000		07/26/13	10/01/13	1,318 73	1,304 75				13 98
Sub Total	**600.0000**				**6,360.14**	**6,263.59**				**96.55**

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) RTS BARCLAYS PLC SPON ADR EXP 10/02/13					*CUSIP:* 06738E121		*Symbol (Box 1d):* BCS.RT			
Cash in Lieu	0.7500		09/14/12	09/19/13	4.19					4.19
Description (Box 8): SIEMENS A G SPON ADR					*CUSIP:* 826197501		*Symbol (Box 1d).* SI			
Sell	49 0000		07/27/12	03/20/13	5,509.27	4,099.67				1,409.60
Sell	71.0000		07/30/12	03/20/13	7,982.81	5,969.33				2,013.48
Redemption	330 0000		07/30/12	07/25/13	991 98	978.56				13.42
Sub Total	**450.0000**				**14,484.06**	**11,047.56**				**3,436.50**
Description (Box 8). YANZHOU COAL MINING CO LTD SPON ADR					*CUSIP:* 984846105		*Symbol (Box 1d):* YZC			
Sell	125.0000		02/25/13	07/11/13	917.46	1,866.91				(949 45)
Sell	64 0000		02/26/13	07/11/13	469.74	941.03				(471.29)
Sell	176 0000		02/26/13	07/12/13	1,278.07	2,587.83				(1,309 76)
Sell	150.0000		02/27/13	07/12/13	1,089 27	2,251.29				(1,162.02)
Sub Total	**515.0000**				**3,754.54**	**7,647.06**				**(3,892.52)**
Short-term total Box A, Part I	**6,589.7500**				**$89,975.08**	**$86,379.13**				**$3,595.95**

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Type of Gain/Loss: Long Term (Box 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis (S)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8): AEON CO LTD UNSPON ADR						*CUSIP:* 007627102	*Symbol (Box 1d):* AONNY			
Sell	667 0000		03/28/12	05/08/13	8,781 52	8,659.06				122 46
Sell	76 0000		03/28/12	05/09/13	984 02	986 64				(2.62)
Sell	595.0000		04/02/12	05/09/13	7,703.83	7,795.99				(92.16)
Sell	84.0000		04/02/12	05/10/13	1,079 76	1,100 61				(20 85)
Sell	37 0000		04/03/12	05/10/13	475.61	486 55				(10.94)
Sub Total	**1,459.0000**				**19,024.74**	**19,028.85**				**(4.11)**
Description (Box 8). CAP GEMINI SA ADR						*CUSIP:* 139098107	*Symbol (Box 1d)* CGEMY			
Sell	**175.0000**		11/09/11	03/20/13	**4,334.65**	**3,285.75**				**1,048.90**
Description (Box 8): CHINA PETROLEUM & CHEMICAL CORP SPON ADR						*CUSIP:* 16941R108	*Symbol (Box 1d).* SNP			
Sell	80.0000		02/22/11	03/20/13	9,164.92	8,276.36				887.96
Cash in Lieu	0 9000		02/22/11	07/02/13	61 49	71 63				(10 14)
Sub Total	**80.9000**				**9,226.41**	**8,348.59**				**877.82**
Description (Box 8): DEUTSCHE BOERSE ADR						*CUSIP:* 251542106	*Symbol (Box 1d)*· DBOEY			
Sell	330.0000		03/29/12	11/12/13	2,394.27	2,157.44				236.83
Sell	450.0000		03/29/12	11/14/13	3,283 28	2,941.97				341.31
Sell	19.0000		03/30/12	11/14/13	138 62	126 93				11.69
Sell	95 0000		04/03/12	11/14/13	693.14	649.82				43.32
Sell	121 0000		04/03/12	11/18/13	892 25	827.66				64.59

continued next page

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2013 Consolidated Form 1099
Copy B, For Recipient
Account Number: Y3 02449 JJ
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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8): DEUTSCHE BOERSE ADR					*CUSIP:* 251542106		*Symbol (Box 1d).* DBOEY			
Sell	62 0000		04/04/12	11/18/13	457 19	411.54				45 65
Sell	270 0000		04/04/12	11/19/13	1,992 09	1,792 18				199 91
Sell	46 0000		04/05/12	11/19/13	339 39	302 02				37.37
Sell	31.0000		06/06/12	11/19/13	228 72	146.71				82.01
Sell	168 0000		06/06/12	11/20/13	1,238 38	795.08				443 30
Sell	769.0000		06/06/12	11/22/13	5,704.11	3,639 37				2,064.74
Sell	446.0000		06/08/12	11/22/13	3,308.24	2,151.55				1,156.69
Sell	71.0000		06/08/12	11/25/13	538.88	342 51				196.37
Sell	295 0000		06/08/12	11/26/13	2 239 95	1,423.11				816 84
Sub Total	**3,173.0000**				**23,448.51**	**17,707.89**				**5,740.62**
Description (Box 8): FRANCE TELECOM SA SPON ADR					*CUSIP.* 35177Q105		*Symbol (Box 1d):* FTE			
Sell	149 0000		02/06/12	05/28/13	1,581 38	2,266.53				(685.15)
Sell	196 0000		02/06/12	05/29/13	2,069.86	2,981.47				(911.61)
Sub Total	**345.0000**				**3,651.24**	**5,248.00**				**(1,596.76)**
Description (Box 8): FUJI HEAVY INDS LTD ADR					*CUSIP.* 359556206		*Symbol (Box 1d):* FUJHY			
Sell	104.0000		06/24/11	04/01/13	3,132 37	1,579.40				1,552 97
Sell	163.0000		06/24/11	04/03/13	4,970.61	2,475 41				2,495.20
Sell	194 0000		06/24/11	04/04/13	5,799 67	2,946 19				2,853 48
Sell	144 0000		06/24/11	04/09/13	4,523 30	2,186.86				2,336 44

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8): FUJI HEAVY INDS LTD ADR						*CUSIP:* 359556206	*Symbol (Box 1d):* FUJHY			
Sell	271 0000		06/27/11	04/09/13	8,512.60	4,164.12				4,348.48
Sell	174 0000		06/27/11	04/10/13	5,530 68	2,673 64				2,857 04
Sub Total	**1,050.0000**				**32,469.23**	**16,025.62**				**16,443.61**
Description (Box 8): HANG SENG BK LTD SPONSRD ADR ADR						*CUSIP:* 41043C304	*Symbol (Box 1d):* HSNGY			
Sell	220.0000		02/02/12	03/20/13	3,493 52	2,849 35				644 17
Sell	193 0000		02/02/12	11/12/13	3,137 96	2,499.66				638.30
Sell	111.0000		02/02/12	11/20/13	1,790 23	1,437 63				352 60
Sell	61.0000		02/02/12	11/21/13	984 72	790 05				194 67
Sell	6.0000		02/13/12	11/21/13	96.86	77 91				18.95
Sell	154.0000		02/14/12	11/21/13	2,486 03	1,996 72				489 31
Sell	113.0000		02/14/12	11/22/13	1,830.21	1,465 12				365 09
Sell	17.0000		02/14/12	11/25/13	275 73	220.42				55 31
Sell	55 0000		02/29/12	11/25/13	892.07	763.03				129.04
Sell	25.0000		03/05/12	11/25/13	405 48	346.34				59.14
Sell	109.0000		03/05/12	11/26/13	1,776 74	1,510 02				266 72
Sell	130.0000		03/05/12	11/27/13	2,117 15	1,800.94				316 21
Sell	4.0000		03/05/12	12/02/13	65.19	55.41				9 78
Sell	22 0000		03/05/12	12/02/13	359.73	304 78				54 95
Sell	109.0000		03/06/12	12/02/13	1,782 29	1,495.67				286 62
Sell	10 0000		03/06/12	12/03/13	162.39	137 22				25 17
Sell	22 0000		03/06/12	12/04/13	357 01	301 88				55.13
Sub Total	**1,361.0000**				**22,013.31**	**18,052.15**				**3,961.16**

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UBS Financial Services Inc.
1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:
TRABERT/ASIANO
415-963-5200/888-660-5750

Payer:
THOMAS P. RALEY FOUNDATION
115 VOLCANO RIDGE
GRANITE BAY CA 95746-6771

2013 Consolidated Form 1099

Copy B, For Recipient
Account Number: Y3 02449 JJ
Tax Identification Number: 68-0358149

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box D** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): KDDI CORP ADR					*CUSIP:* 48667L106		*Symbol (Box 1d):* KDDIY			
Sell	515.0000		01/18/11	03/20/13	9,939 28	7,270.68				2,668.60
Sell	162 0000		01/18/11	06/05/13	1,778 91	1,143.54				635 37
Sell	63 0000		01/19/11	06/05/13	691 80	448.48				243 32
Sell	9.0000		01/19/11	08/29/13	108 79	64 07				44.72
Sell	120.0000		01/21/11	08/29/13	1,450 59	848 37				602 22
Sell	24 0000		01/25/11	08/29/13	290 11	173.27				116 84
Sell	24 0000		01/26/11	08/29/13	290 12	175.95				114 17
Sell	23.0000		01/31/11	08/29/13	278.03	162.25				115 78
Sell	1,201.0000		01/31/11	12/19/13	18,265.57	8,472.32				9,793 25
Sell	48 0000		01/31/11	12/30/13	734 87	338.61				396.26
Sell	1,166 0000		02/16/12	12/30/13	17,851.27	9,417.03				8,434 24
Sub Total	3,355.0000				51,679.34	28,514.57				23,164.77
Description (Box 8): KOC HOLDINGS ADR					*CUSIP:* 49989A109		*Symbol (Box 1d):* KHOLY			
Sell	120 3000		03/10/11	03/20/13	3,196 30	2,512.35				683 95
Sell	69.7000		03/11/11	03/20/13	1,851 89	1,453 41				398 48
Sub Total	190.0000				5,048.19	3,965.76				1,082.43
Description (Box 8): MAGNA INTL INC CL A SUB VTG CANADA ORD CAD					*CUSIP:* 559222401		*Symbol (Box 1d):* MGA			
Sell	130 0000		01/11/12	03/20/13	7,476 70	5,030 60				2,446 10
Sell	30 0000		01/11/12	08/29/13	2,337.90	1,160 90				1,177.00
Sub Total	160.0000				9,814.60	6,191.50				3,623.10

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UBS Financial Services Inc.
1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

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Payer:
THOMAS P RALEY FOUNDATION
115 VOLCANO RIDGE
GRANITE BAY CA 95746-6771

2013 Consolidated Form 1099
Copy B, For Recipient
Account Number: Y3 02449 JJ
Tax Identification Number: 68-0358149

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Safe Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): MANULIFE FINANCIAL CORP CAD					*CUSIP:* 56501R106	*Symbol (Box 1d):* MFC				
Sell	60.0000		06/27/11	03/20/13	897 76	983 90				(86.14)
Sell	250.0000		06/28/11	03/20/13	3,740 67	4,173.30				(432.63)
Sell	160 0000		06/28/11	07/18/13	2,819.57	2,670 91				148 66
Sub Total	**470.0000**				**7,458.00**	**7,828.11**				**(370.11)**
Description (Box 8). MIZUHO FINANCIAL GROUP INC SPON ADR					*CUSIP:* 60687Y109	*Symbol (Box 1d):* MFG				
Sell	250 0000		05/10/11	02/20/13	1,092 03	837 75				254 28
Sell	1,340 0000		05/10/11	02/21/13	5,728 37	4,490 34				1,238 03
Sell	2,125 0000		05/10/11	03/20/13	9,522 55	7,120 88				2,401 67
Sub Total	**3,715.0000**				**16,342.95**	**12,448.97**				**3,893.98**
Description (Box 8): REED ELSERVIER NV NEW REPSTG 2 ORD SHS SPON ADR					*CUSIP.* 758204200	*Symbol (Box 1d):* ENL				
Sell	33.0000		04/28/11	03/20/13	1,091.37	866.49				224.88
Sell	234 0000		05/11/11	03/20/13	7,738 81	6,380 22				1,358 59
Sell	123 0000		05/13/11	03/20/13	4,067 84	3,323 76				744 08
Sell	83.0000		05/13/11	11/29/13	3,547.50	2,242 85				1,304 65
Sell	231 0000		05/13/11	12/04/13	9,584 46	6,242.18				3,342.28
Sell	3.0000		05/16/11	12/04/13	124 47	80.07				44.40
Sell	130 0000		05/16/11	12/05/13	5,406 75	3,469 86				1,936 89
Sell	20.0000		05/16/11	12/06/13	830 06	533 82				296 24
Sell	153 0000		05/17/11	12/06/13	6,349.98	4,092.46				2,257 52
Sell	163 0000		05/17/11	12/09/13	6,795 79	4,359.94				2,435 85
Sell	112 0000		05/18/11	12/09/13	4,669.50	2,984 25				1,685.25

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8): REED ELSERVIER NV NEW REPSTG 2 ORD SHS SPON ADR						*CUSIP:* 758204200	*Symbol (Box 1d):* ENL			
Sell	88.0000		05/18/11	12/10/13	3,662 75	2,344 77				1,317 98
Sell	129.0000		05/19/11	12/10/13	5,369.26	3,491 26				1,878.00
Sell	6 0000		05/19/11	12/12/13	244 53	162 38				82.15
Sub Total	**1,508.0000**				**59,483.07**	**40,574.31**				**18,908.76**
Description (Box 8): SANOFI SPON ADR						*CUSIP.* 80105N105	*Symbol (Box 1d):* SNY			
Sell	**280.0000**		08/17/11	03/20/13	**14,210.10**	**10,054.61**				**4,155.49**
Description (Box 8). SEADRILL LTD US LINE						*CUSIP:* G7945E105	*Symbol (Box 1d).* SDRL			
Sell	80 0000		05/11/11	03/20/13	2,924 73	2,667.98				256 75
Sell	497 0000		05/11/11	05/03/13	19,155.39	16,574 85				2,580 54
Sub Total	**577.0000**				**22,080.12**	**19,242.83**				**2,837.29**
Description (Box 8): SK TELECOM CO LTD ADR						*CUSIP:* 78440P108	*Symbol (Box 1d):* SKM			
Sell	380.0000		03/19/12	12/05/13	8,981.15	5,247 99				3,733.16
Sell	97.0000		03/20/12	12/05/13	2,292.55	1,327.21				965 34
Sell	93 0000		03/20/12	12/06/13	2,222 13	1,272 47				949.66
Sub Total	**570.0000**				**13,495.83**	**7,847.67**				**5,648.16**
Description (Box 8): TATA MOTORS LTD SPON ADR						*CUSIP* 876568502	*Symbol (Box 1d).* TTM			
Sell	99 0000		03/21/11	03/20/13	2,583 85	2,535 60				48 25
Sell	23.0000		03/22/11	03/20/13	600 29	584 20				16.09

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8): TATA MOTORS LTD SPON ADR						*CUSIP,* 876568502	*Symbol (Box 1d):* TTM			
Sell	3 0000		08/23/11	03/20/13	78 30	47 95				30.35
Sub Total	**125.0000**				**3,262.44**	**3,167.75**				**94.69**
Long-term total Box D, Part II	**18,593.9000**				**$317,042.73**	**$227,532.93**				**$89,509.80**

Type of Gain/Loss: Long Term (Box 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8). AGRIUM INC (CANADA) CAD						*CUSIP,* 008916108	*Symbol (Box 1d):* AGU			
Sell	30 0000		11/19/09	03/20/13	3,007 43	1,686.70				1,320 73
Sell	40.0000		11/19/09	12/26/13	3,673 04	2,248.94				1,424.10
Sell	35.0000		11/19/09	12/30/13	3,197.16	1,967 82				1,229.34
Sell	23.0000		11/19/09	12/31/13	2,103 09	1,293 14				809.95
Sub Total	**128.0000**				**11,980.72**	**7,196.60**				**4,784.12**
Description (Box 8). ASTRAZENECA PLC SPON ADR						*CUSIP:* 046353108	*Symbol (Box 1d):* AZN			
Sell	75.0000		05/24/07	03/20/13	3,461 92	4,020.27				(558.35)

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box E** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8). AUSTRALIA & NEW ZEALAND BKG GRP LTD SPONSRD ADR AUSTRALIA ADR					*CUSIP:* 052528304		*Symbol (Box 1d):* ANZBY			
Sell	320.0000		11/19/09	03/20/13	9,310.09	6,454.40				2,855.69
Description (Box 8) AXIS CAPITAL HOLDINGS LTD SHS					*CUSIP:* G0692U109		*Symbol (Box 1d):* AXS			
Sell	400.0000		11/19/09	03/20/13	16,707.63	11,671 72				5,035.91
Description (Box 8). BAE SYSTEMS PLC SPON ADR					*CUSIP:* 05523R107		*Symbol (Box 1d):* BAESY			
Sell	180.0000		02/16/10	03/20/13	4,292.90	3,939.75				353.15
Sell	115.0000		02/17/10	03/20/13	2,742 69	2,550.62				192.07
Sell	40.0000		02/17/10	09/03/13	1,091.56	887 17				204 39
Sub Total	335.0000				8,127.15	7,377.54				749.61
Description (Box 8): BANCO BRADESCO S A. NEW SPON ADR					*CUSIP:* 059460303		*Symbol (Box 1d):* BBD			
Sell	420.0000		07/28/10	03/20/13	7,641 44	7,771 97				(130.53)
Cash in Lieu	0.5000		07/28/10	04/02/13	8 36	8 42				(0 06)
Sub Total	420.5000				7,649.80	7,780.39				(130.59)
Description (Box 8): COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADS SPON ADR					*CUSIP:* 20441A102		*Symbol (Box 1d):* SBS			
Sell	180.0000		11/19/09	03/20/13	8,094.42	3,418.77				4,675.65
Description (Box 8) DIAGEO PLC NEW GB SPON ADR					*CUSIP:* 25243Q205		*Symbol (Box 1d).* DEO			
Sell	25 0000		11/19/09	02/13/13	2,964.77	1,704 92				1,259 85
Sell	72.0000		11/19/09	02/19/13	8,637 08	4,910.17				3,726 91

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box E** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8). DIAGEO PLC NEW GB SPON ADR					*CUSIP.* 25243Q205		*Symbol (Box 1d):* DEO			
Sell	150 0000		11/19/09	02/22/13	18,086 59	10,229 51				7,857.08
Sub Total	**247.0000**				**29,688.44**	**16,844.60**				**12,843.84**
Description (Box 8): FRANCE TELECOM SA SPON ADR					*CUSIP.* 35177Q105		*Symbol (Box 1d)·* FTE			
Sell	210.0000		01/11/06	03/20/13	2,307 87	5,507 23				(3,199 36)
Sell	230.0000		02/22/06	03/20/13	2,527.66	5,149.51				(2,621 85)
Sell	188.0000		02/22/06	05/06/13	2,005 98	4,209 17				(2,203 19)
Sell	27 0000		02/22/06	05/15/13	286 46	604 51				(318 05)
Sell	27.0000		07/20/09	05/15/13	286 47	605 40				(318 93)
Sell	137.0000		11/19/09	05/15/13	1,453 53	3,551.59				(2,098 06)
Sell	116 0000		11/19/09	05/16/13	1,222 42	3,007.18				(1,784.76)
Sell	133.0000		11/19/09	05/17/13	1,398.62	3,447.89				(2,049.27)
Sell	69 0000		11/19/09	05/20/13	733 34	1,788 76				(1,055 42)
Sell	90.0000		04/12/10	05/20/13	956 52	2,136 27				(1,179.75)
Sell	97 0000		04/13/10	05/20/13	1,030 92	2,290 30				(1,259 38)
Sell	143.0000		04/13/10	05/21/13	1,517 67	3,376 41				(1,858 74)
Sell	23 0000		05/10/10	05/21/13	244.10	471 26				(227 16)
Sell	27.0000		05/10/10	05/22/13	287.07	553.22				(266.15)
Sell	205.0000		06/14/10	05/22/13	2,179 61	3,832 80				(1,653 19)
Sell	15.0000		06/14/10	05/28/13	159 20	280 45				(121.25)
Sub Total	**1,737.0000**				**18,597.44**	**40,811.95**				**(22,214.51)**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): FUJI HEAVY INDS LTD ADR						*CUSIP:* 359556206	*Symbol (Box 1d).* FUJHY			
Sell	415.0000		08/13/10	03/20/13	13,675 23	4,536.84				9,138 39
Sell	270 0000		08/19/10	03/20/13	8,897 13	3,053 42				5,843 71
Sell	80 0000		08/19/10	04/01/13	2,409 52	904.72				1,504.80
Sub Total	**765.0000**				**24,981.88**	**8,494.98**				**16,486.90**
Description (Box 8): NITTO DENKO CORP UNSPONS ADR						*CUSIP:* 654802206	*Symbol (Box 1d):* NDEKY			
Sell	307.0000		09/28/10	03/20/13	9,165 10	5,832 80				3,332 30
Sell	28.0000		09/29/10	03/20/13	835.90	540 55				295.35
Sell	70.0000		09/29/10	04/10/13	2,243 68	1,351 36				892 32
Sub Total	**405.0000**				**12,244.68**	**7,724.71**				**4,519.97**
Description (Box 8). PETROLEO BRASILEIRO SA SPON ADR						*CUSIP·* 71654V408	*Symbol (Box 1d):* PBR			
Sell	58.0000		11/19/09	07/24/13	828.16	2,944.18				(2,116 02)
Sell	285 0000		07/16/10	07/24/13	4,069 42	9,876.93				(5,807 51)
Sell	23.0000		10/25/10	07/24/13	328 41	745.89				(417 48)
Sell	147.0000		10/25/10	07/25/13	2,115 95	4,767 23				(2,651 28)
Sub Total	**513.0000**				**7,341.94**	**18,334.23**				**(10,992.29)**
Description (Box 8): POSCO SPON ADR						*CUSIP:* 693483109	*Symbol (Box 1d):* PKX			
Sell	43 0000		11/19/09	08/23/13	3,108 36	5,130.07				(2,021.71)
Sell	55 0000		11/19/09	09/04/13	4,089 55	6,561 72				(2,472.17)
Sell	48 0000		11/19/09	09/05/13	3,602.61	5,726.59				(2,123 98)

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8). POSCO SPON ADR					*CUSIP:* 693483109		*Symbol (Box 1d):* PKX			
Sell	66.0000		11/19/09	09/06/13	4,995 67	7,874 07				(2,878.40)
Sell	35 0000		11/19/09	09/09/13	2,691.88	4,175.64				(1,483 76)
Sell	20.0000		11/19/09	12/18/13	1,559.32	2,386.08				(826 76)
Sell	9.0000		11/19/09	12/24/13	706.88	1,073.73				(366 85)
Sell	4.0000		11/19/09	12/31/13	311.81	477 22				(165.41)
Sell	17 0000		07/16/10	12/31/13	1,325 17	1,700 77				(375 60)
Sub Total	**297.0000**				**22,391.25**	**35,105.89**				**(12,714.64)**
Description (Box 8): RENAISSANCERE HOLDINGS LTD					*CUSIP:* G7496G103		*Symbol (Box 1d):* RNR			
Sell	90.0000		11/19/09	03/20/13	8,256.78	4,875.36				3,381.42
Description (Box 8). ROYAL DUTCH SHELL PLC CL A SPON ADR					*CUSIP:* 780259206		*Symbol (Box 1d):* RDS.A			
Sell	100.0000		11/19/09	03/20/13	6,598.20	6,164.74				433.46
Description (Box 8): SAGE GROUP PLC *REVERSE SPLIT EFF-06/2013* ADR					*CUSIP.* 786635102					
Sell	245.0000		07/21/10	03/20/13	5,056.69	3,629.19				1,427.50
Description (Box 8): SAGE GROUP PLC UN SPONSORED ADR					*CUSIP* 78663S201		*Symbol (Box 1d):* SGPYY			
Cash in Lieu	0.5432		07/21/10	06/17/13	11.02	8.46				2.56
Description (Box 8). SASOL LTD SPON ADR					*CUSIP.* 803866300		*Symbol (Box 1d):* SSL			
Sell	170.0000		11/19/09	03/20/13	7,539.33	6,703.76				835.57

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UBS Financial Services Inc.

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Payer:
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2013 Consolidated Form 1099

Copy B, For Recipient
Account Number: Y3 02449 JJ
Tax Identification Number: 68-0358149

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): SK TELECOM CO LTD ADR					*CUSIP:* 78440P108		*Symbol (Box 1d).* SKM			
Sell	750.0000		11/29/05	03/20/13	13,681.12	15,375 00				(1,693.88)
Sell	95.0000		11/29/05	05/28/13	2,010 24	1,947 50				62.74
Sell	15.0000		11/29/05	11/13/13	351 02	307 50				43.52
Sell	394.0000		08/09/06	11/13/13	9,220 19	8,559 26				660.93
Sell	126 0000		08/09/06	11/20/13	2,821.46	2,737 22				84.24
Sell	22.0000		04/13/07	11/20/13	492.64	532.78				(40.14)
Sell	124 0000		04/13/07	11/25/13	2,784 15	3,002.95				(218.80)
Sell	49.0000		04/13/07	11/26/13	1,124.53	1,186 64				(62 11)
Sell	156 0000		04/13/07	11/27/13	3,656.22	3,777.90				(121.68)
Sell	116 0000		04/13/07	11/29/13	2,764.29	2,809.21				(44 92)
Sell	210 0000		04/13/07	12/02/13	5,059.59	5,085 64				(26.05)
Sell	13.0000		04/13/07	12/03/13	312.94	314.82				(1.88)
Sell	130.0000		07/16/10	12/03/13	3,129 35	1,966.48				1,162.87
Sell	20.0000		07/19/10	12/03/13	481.44	306 38				175 06
Sell	55 0000		07/19/10	12/05/13	1,299.90	842 53				457 37
Sub Total	**2,275.0000**				**49,189.08**	**48,751.81**				**437.27**
Description (Box 8): SVENSKA CELLULOSA AB (SCA) SPON ADR					*CUSIP:* 869587402		*Symbol (Box 1d).* SVCBY			
Sell	14 0000		05/25/10	03/20/13	338 65	151.12				187.53
Sell	330 0000		05/26/10	03/20/13	7,982 52	3,701 74				4,280.78
Sell	56 0000		06/07/10	03/20/13	1,354 61	641 04				713 57
Sub Total	**400.0000**				**9,675.78**	**4,493.90**				**5,181.88**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): TAIWAN SEMICONDUCTOR MFG CO LTD ADR					*CUSIP:* 874039100		*Symbol (Box 1d):* TSM			
Sell	560.0000		11/10/10	03/20/13	9,667.45	6,252.06				3,415.39
Description (Box 8). TELSTRA CORP LTD (FINAL) ADR					*CUSIP:* 87969N204		*Symbol (Box 1d):* TLSYY			
Sell	130.0000		12/22/09	03/20/13	3,061.43	1,903.27				1,158 16
Sell	242.0000		12/22/09	07/25/13	5,484 45	3,543.00				1,941.45
Sell	517 0000		10/25/10	07/25/13	11,716 77	6,842.86				4,873.91
Sell	13 0000		10/25/10	07/26/13	294.31	172 06				122 25
Sub Total	**902.0000**				**20,556.96**	**12,461.19**				**8,095.77**
Description (Box 8): WOORI FIN HOLDINGS ADR					*CUSIP:* 981063100		*Symbol (Box 1d):* WF			
Sell	53 0000		12/06/10	07/25/13	1,637 72	2,068.44				(430.72)
Sell	52.0000		12/06/10	07/26/13	1,595 21	2,029 41				(434.20)
Sell	68.0000		12/06/10	08/06/13	2,041 54	2,653 84				(612.30)
Sell	7.0000		12/06/10	08/13/13	214 30	273 19				(58.89)
Sell	152.0000		12/06/10	08/16/13	4,572 88	5,932.12				(1,359.24)
Sell	14.0000		12/08/10	08/16/13	421 19	546 33				(125.14)
Sell	57.0000		12/08/10	08/20/13	1,690.84	2,224.32				(533 48)
Sell	35.0000		12/14/10	08/20/13	1,038.24	1,337.05				(298.81)
Sell	15.0000		12/14/10	08/27/13	430 17	573.02				(142.85)
Sell	5.0000		12/15/10	08/27/13	143 39	188.03				(44.64)
Sell	27.0000		12/16/10	08/27/13	774.30	1 026 00				(251.70)
Sub Total	**485.0000**				**14,559.78**	**18,851.75**				**(4,291.97)**

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2013 Consolidated Form 1099

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Account Number: Y3 02449 JJ
Tax Identification Number: 68-0358149

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked).

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): YANZHOU COAL MINING CO LTD SPON ADR					*CUSIP:* 984846105		*Symbol (Box 1d):* YZC			
Sell	419.0000		08/13/10	06/27/13	3,047 12	8,867 09				(5,819.97)
Sell	21.0000		08/17/10	06/27/13	152 72	452 07				(299 35)
Sell	55.0000		08/17/10	07/08/13	384 11	1,183 98				(799 87)
Sell	4.0000		08/17/10	07/11/13	29 36	86 11				(56.75)
Sell	80.0000		08/19/10	07/11/13	587 18	1,734 46				(1,147.28)
Sub Total	579.0000				4,200.49	12,323.71				(8,123.22)
Description (Box 8): ZURICH INS GROUP LTD SPON ADR					*CUSIP·* 989825104		*Symbol (Box 1d)* ZURVY			
Sell	85.0000		05/04/10	03/20/13	2,422 45	1,838.81				583.64
Sell	85 0000		05/05/10	03/20/13	2,422.44	1,799 05				623 39
Sell	110.0000		05/06/10	03/20/13	3,134.93	2,303 02				831.91
Sell	55 0000		05/06/10	03/20/13	1,567 47	1,172 30				395.17
Sub Total	335.0000				9,547.29	7,113.18				2,434.11
Long-term total Box E, Part II	11,964.0432				$325,436.21	$306,865.16				$18,571.05
Total					$732,454.02					

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Account Number: Y3 02448 JJ
Tax Identification Number: 68-0358149

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715)

Consistent with IRS guidance, transactions are grouped into section(s) based upon whether the security is covered or noncovered; and whether the term is short or long. Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949 When available, basis information will be displayed in sections where basis is not required to be reported to the IRS. A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date. For stock in a corporation, the effective date is January 1, 2011. For stock in a mutual fund the effective date is January 1, 2012. UBS is not required to report basis to the IRS for any securities acquired before 2011. IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec.1259) for short-against-the box transactions is not required and thus not reflected here Clients may wish to discuss the potential application of this provision with their tax advisors

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. 2013 Gain/Loss for transactions with trade dates through 12/31/13 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Net gain or loss is not reported to the IRS.

In Box 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "±" symbol indicate where an option premium adjustment has been made. If Box 2b is checked, a loss based on the amount reported in Box 2a is not allowed.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for market discount, amortized acquisition premium, or bond premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different

Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products.

Type of Gain/Loss: Short Term (Box 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked).

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) BANK OF NOVA SCOTIA CANADA CAD						*CUSIP.* 064149107	*Symbol (Box 1d):* BNS			
Sell	6.0000		06/07/13	07/11/13	327.66	335.85				(8.19)
Description (Box 8)· CATERPILLAR INC						*CUSIP:* 149123101	*Symbol (Box 1d):* CAT			
Sell	9.0000		05/23/12	04/03/13	757.09	811.98				(54.89)
Description (Box 8): CDN NATL RAILWAY CO CAD						*CUSIP:* 136375102	*Symbol (Box 1d)*· CNI			
Sell	3.0000		05/23/12	05/09/13	304.39	240.65				63.74

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked).

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8): CONS ENERGY INC						*CUSIP:* 20854P109	*Symbol (Box 1d):* CNX			
Sell	4.0000		06/07/13	09/24/13	136.98	133.87				3.11
Description (Box 8): EQT CORP						*CUSIP:* 26884L109	*Symbol (Box 1d):* EQT			
Sell	6.0000		05/23/12	02/08/13	369.70	274.34				95.36
Description (Box 8): FIRSTENERGY CORP						*CUSIP:* 337932107	*Symbol (Box 1d):* FE			
Sell	5.0000		05/23/12	02/08/13	200.70	233.83				(33.13)
Description (Box 8): GENL DYNAMICS CORP						*CUSIP.* 369550108	*Symbol (Box 1d).* GD			
Sell	3.0000		05/23/12	02/14/13	198.95	190.95				8.00
Description (Box 8): RIO TINTO PLC SPON ADR						*CUSIP:* 767204100	*Symbol (Box 1d):* RIO			
Sell	9.0000		05/23/12	04/03/13	410.93	395.60				15.33
Description (Box 8): VODAFONE GROUP PLC NEW SPON ADR						*CUSIP:* 92857W209	*Symbol (Box 1d):* VOD			
Sell	6.0000		05/23/12	02/21/13	147.09	159.83				(12.74)
Short-term total Box A, Part I	51.0000				$2,853.49	$2,776.90				$76.59

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Type of Gain/Loss: Long Term (Box 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box D** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): BANK OF NOVA SCOTIA CANADA CAD						*CUSIP*. 064149107	*Symbol (Box 1d)*. BNS			
Sell	6.0000		02/09/11	07/11/13	327.66	355.44				(27.78)
Sell	2.0000		07/07/11	07/11/13	109.22	120 36				(11.14)
Sell	7 0000		05/23/12	07/11/13	382 26	347 45				34.81
Sub Total	15.0000				819.14	823.25				(4.11)
Description (Box 8): CATERPILLAR INC						*CUSIP*. 149123101	*Symbol (Box 1d)* CAT			
Sell	3.0000		07/07/11	04/03/13	252.37	337.59				(85.22)
Description (Box 8): CDN NATL RAILWAY CO CAD						*CUSIP*: 136375102	*Symbol (Box 1d)*· CNI			
Sell	4.0000		07/07/11	05/09/13	405.86	320.16				85.70
Description (Box 8): CENTURYLINK INC						*CUSIP*· 156700106	*Symbol (Box 1d)*: CTL			
Sell	9.0000		07/07/11	02/20/13	310.01	368.17				(58.16)
Description (Box 8): CONS ENERGY INC						*CUSIP*: 20854P109	*Symbol (Box 1d)*: CNX			
Sell	8.0000		02/09/11	09/24/13	273.97	385.18				(111.21)
Sell	4.0000		05/23/12	09/24/13	136.99	117.18				19.81
Sub Total	12.0000				410.96	502.36				(91.40)
Description (Box 8): EQT CORP						*CUSIP*. 26884L109	*Symbol (Box 1d)*: EQT			
Sell	4.0000		07/07/11	02/08/13	246.47	217.99				28.48

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8). FIRSTENERGY CORP						*CUSIP:* 337932107	*Symbol (Box 1d).* FE			
Sell	3.0000		07/07/11	02/08/13	120.43	134.18				(13.75)
Description (Box 8): GENL DYNAMICS CORP						*CUSIP:* 369550108	*Symbol (Box 1d):* GD			
Sell	2.0000		07/07/11	02/14/13	132.63	151.19				(18.56)
Description (Box 8) LIMITED BRANDS INC **NAME CHANGE. 03/ 2013** CL A						*CUSIP.* 532716107				
Sell	270.0000		02/16/11	02/22/13	11,637.82	8,942 43				2,695.39
Sell	80.0000		08/23/11	02/22/13	3,448.24	2,753 69				694 55
Sub Total	350.0000				15,086.06	11,696.12				3,389.94
Description (Box 8): PEABODY ENERGY CORP						*CUSIP:* 704549104	*Symbol (Box 1d):* BTU			
Sell	8.0000		02/09/11	04/03/13	160.39	493.76				(333.37)
Description (Box 8): RIO TINTO PLC SPON ADR						*CUSIP:* 767204100	*Symbol (Box 1d):* RIO			
Sell	7.0000		02/09/11	04/03/13	319.60	530.66				(211.06)
Description (Box 8). SOUTHERN CO						*CUSIP:* 842587107	*Symbol (Box 1d):* SO			
Sell	11.0000		07/07/11	01/18/13	475.57	445.05				30.52

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked).

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8): VODAFONE GROUP PLC NEW SPON ADR					*CUSIP:* 92857W209		*Symbol (Box 1d):* VOD			
Sell	3.0000		07/07/11	02/21/13	73.54	80.69				(7.15)
Long-term total Box D, Part II	431.0000				$18,813.03	$16,101.17				$2,711.86

Type of Gain/Loss: Long Term (Box 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked).

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8): AT&T INC					*CUSIP.* 00206R102		*Symbol (Box 1d):* T			
Sell	141.0000		03/15/06	01/15/13	4,769.84	3,849.30				920.54
Description (Box 8)· BANK OF NOVA SCOTIA CANADA CAD					*CUSIP:* 064149107		*Symbol (Box 1d):* BNS			
Sell	270.0000		05/18/10	07/11/13	14,744.58	13,383.04				1,361.54
Description (Box 8): CATERPILLAR INC					*CUSIP:* 149123101		*Symbol (Box 1d):* CAT			
Sell	181.0000		05/18/10	03/12/13	16,255 07	11,585.18				4,669 89
Sell	114 0000		05/18/10	04/03/13	9,589.86	7,296 74				2,293.12
Sub Total	295.0000				25,844.93	18,881.92				6,963.01

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8): CDN NATL RAILWAY CO CAD					*CUSIP:* 136375102		*Symbol (Box 1d):* CNI			
Sell	177.0000		05/18/10	05/08/13	17,901.89	10,468.41				7,433.48
Sell	13.0000		05/18/10	05/09/13	1,319 03	768.87				550.16
Sub Total	190.0000				19,220.92	11,237.28				7,983.64
Description (Box 8): CENTURYLINK INC					*CUSIP:* 156700106		*Symbol (Box 1d):* CTL			
Sell	288.0000		05/18/10	02/20/13	9,920.46	9,138.12				782.34
Description (Box 8): CONS ENERGY INC					*CUSIP:* 20854P109		*Symbol (Box 1d):* CNX			
Sell	185.0000		05/18/10	09/24/13	6,335.51	7,116.67				(781.16)
Description (Box 8): EQT CORP					*CUSIP:* 26884L109		*Symbol (Box 1d):* EQT			
Sell	185.0000		05/18/10	02/08/13	11,399.11	7,573.90				3,825.21
Description (Box 8). FIRSTENERGY CORP					*CUSIP·* 337932107		*Symbol (Box 1d):* FE			
Sell	210.0000		05/18/10	02/08/13	8,429.54	7,594.97				834.57
Description (Box 8): GENL DYNAMICS CORP					*CUSIP:* 369550108		*Symbol (Box 1d):* GD			
Sell	170.0000		05/18/10	02/14/13	11,273.65	12,134.35				(860.70)
Description (Box 8): PEABODY ENERGY CORP					*CUSIP:* 704549104		*Symbol (Box 1d):* BTU			
Sell	185.0000		05/18/10	04/03/13	3,708.96	7,379.00				(3,670.04)

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked).

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): RIO TINTO PLC SPON ADR						*CUSIP*: 767204100	*Symbol (Box 1d)*: RIO			
Sell	210.0000		05/18/10	04/03/13	9,588.26	9,560.57				27.69
Description (Box 8): SOUTHERN CO						*CUSIP*: 842587107	*Symbol (Box 1d)*: SO			
Sell	350.0000		05/18/10	01/18/13	15,131.70	12,024.78				3,106.92
Description (Box 8): UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR						*CUSIP*: 904784709	*Symbol (Box 1d)*: UN			
Sell	196.0000		10/28/05	11/19/13	7,685.38	4,566.80				3,118.58
Description (Box 8): VF CORP						*CUSIP*: 918204108	*Symbol (Box 1d)*: VFC			
Sell	42.0000		05/18/10	09/20/13	8,518.62	3,427.17				5,091.45
Description (Box 8): VODAFONE GROUP PLC NEW SPON ADR						*CUSIP*: 92857W209	*Symbol (Box 1d)*: VOD			
Sell	390.0000		04/24/07	02/21/13	9,560.79	11,070.42				(1,509.63)
Long-term total Box E, Part II	3,307.0000				$166,132.25	$138,938.29				$27,193.96
Total					$187,798.77					

UBS Financial Services Inc.
1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:
TRABERT/ASIANO
415-963-5200/888-660-5750

Payer:
THOMAS P. RALEY FOUNDATION
115 VOLCANO RIDGE
GRANITE BAY CA 95746-6771

2013 Consolidated Form 1099

Copy B, For Recipient
Account Number: Y3 08408 JJ
Tax Identification Number: 68-0358149

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715)

Consistent with IRS guidance, transactions are grouped into section(s) based upon whether the security is covered or noncovered, and whether the term is short or long Transactions for which the term cannot be determined are grouped in a separate section As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949. When available, basis information will be displayed in sections where basis is not required to be reported to the IRS A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date For stock in a corporation, the effective date is January 1, 2011 For stock in a mutual fund the effective date is January 1, 2012 UBS is not required to report basis to the IRS for any securities acquired before 2011 IRS regulations now require that brokers report short sales for the year in which the short sale is closed The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not required and thus not reflected here. Clients may wish to discuss the potential application of this provision with their tax advisors

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order 2013 Gain/Loss for transactions with trade dates through 12/31/13 has been incorporated into this statement The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts Net gain or loss is not reported to the IRS

In Box 2a gross proceeds are reported less commissions and option premiums Sale amounts with "±" symbol indicate where an option premium adjustment has been made If Box 2b is checked, a loss based on the amount reported in Box 2a is not allowed

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes Prior to 2012, basis may not have been adjusted for noncovered tax lots The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for market discount, amortized acquisition premium, or bond premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different

Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products

Type of Gain/Loss: Long Term (Box 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8): HERSHEY CO						*CUSIP·* 427866108	*Symbol (Box 1d):* HSY			
Sell	170.0000		03/13/09	12/12/13	16,183.38	5,642.44				10,540.94
Long-term total Box E, Part II	170.0000				$16,183.38	$5,642.44				$10,540.94
Total					$16,183.38					

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GRANITE BAY CA 95746-6771

2013 Consolidated Form 1099

Copy B, For Recipient
Account Number: Y3 02459 TA
Tax Identification Number: 68-0358149

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715)

Consistent with IRS guidance, transactions are grouped into section(s) based upon whether the security is covered or noncovered, and whether the term is short or long Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949 When available, basis information will be displayed in sections where basis is not required to be reported to the IRS A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date For stock in a corporation, the effective date is January 1, 2011 For stock in a mutual fund the effective date is January 1, 2012 UBS is not required to report basis to the IRS for any securities acquired before 2011 IRS regulations now require that brokers report short sales for the year in which the short sale is closed The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not required and thus not reflected here Clients may wish to discuss the potential application of this provision with their tax advisors.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order 2013 Gain/Loss for transactions with trade dates through 12/31/13 has been incorporated into this statement The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss These gains or losses will not be included on the gain or loss subtotal and total amounts Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts Net gain or loss is not reported to the IRS

In Box 2a gross proceeds are reported less commissions and option premiums Sale amounts with "±" symbol indicate where an option premium adjustment has been made If Box 2b is checked, a loss based on the amount reported in Box 2a is not allowed

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for market discount, amortized acquisition premium, or bond premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different.

Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products

Type of Gain/Loss: Short Term (Box 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): ABBOTT LABS						*CUSIP:* 002824100	*Symbol (Box 1d):* ABT			
Sell	500.0000		01/16/13	12/05/13	18,500 18	16,369.55				2,130.63
Sell	600 0000		01/30/13	12/05/13	22,200 21	19,919.82				2,280 39
Sub Total	**1,100.0000**				**40,700.39**	**36,289.37**				**4,411.02**
Description (Box 8): BOEING COMPANY						*CUSIP:* 097023105	*Symbol (Box 1d):* BA			
Sell	330.0000		01/16/13	12/05/13	43,793.57	24,516.13				19,277.44

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): CIGNA CORP						*CUSIP* 125509109	*Symbol (Box 1d)*: CI			
Sell	200.0000		12/07/12	12/05/13	16,888.15	10,556.00				6,332.15
Description (Box 8): DU PONT DE NEMOURS						*CUSIP*: 263534109	*Symbol (Box 1d)*: DD			
Sell	1,400.0000		11/22/13	12/05/13	84,186.56	86,330.58				(2,144.02)
Description (Box 8): EXPRESS SCRIPTS HLDG CO						*CUSIP*: 30219G108	*Symbol (Box 1d)*: ESRX			
Sell	800 0000		08/09/13	12/05/13	53,416 83	52,800.00				616.83
Sell	400 0000		09/25/13	12/05/13	26,708 42	24,534 36				2,174 06
Sub Total	1,200.0000				80,125.25	77,334.36				2,790.89
Description (Box 8): GOLDMAN SACHS GROUP INC						*CUSIP*: 38141G104	*Symbol (Box 1d)*: GS			
Sell	360.0000		06/20/13	12/05/13	59,864 70	56,633 18				3,231 52
Sell	90.0000		08/06/13	12/05/13	14,966 17	14,840 15				126 02
Sub Total	450.0000				74,830.87	71,473.33				3,357.54
Description (Box 8): HONEYWELL INTL INC						*CUSIP*: 438516106	*Symbol (Box 1d)* HON			
Sell	450.0000		02/21/13	12/05/13	39,032.86	31,391.10				7,641.76
Description (Box 8): KERING S A UN SPONSORED ADR						*CUSIP*: 492089107	*Symbol (Box 1d)*: PPRUY			
Sell	3,200.0000		06/05/13	12/06/13	66,630.20	69,034.75				(2,404.55)

continued next page

UBS Financial Services Inc.

1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:
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Payer:
THOMAS P RALEY FOUNDATION
115 VOLCANO RIDGE
GRANITE BAY CA 95746-6771

2013 Consolidated Form 1099

Copy B, For Recipient
Account Number: Y3 02459 TA
Tax Identification Number: 68-0358149

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8): NORTHEAST UTILITIES						*CUSIP:* 664397106	*Symbol (Box 1d):* NU			
Sell	700.0000		12/26/12	12/05/13	28,665.06	27,299 16				1,365 90
Sell	800 0000		01/08/13	12/05/13	32,760.07	31,264 56				1,495 51
Sub Total	**1,500.0000**				**61,425.13**	**58,563.72**				**2,861.41**
Description (Box 8): OCCIDENTAL PETROLEUM CRP						*CUSIP:* 674599105	*Symbol (Box 1d):* OXY			
Sell	**200.0000**		12/12/12	12/05/13	**18,760.43**	**15,257.50**				**3,502.93**
Description (Box 8): QUALCOMM INC						*CUSIP:* 747525103	*Symbol (Box 1d):* QCOM			
Sell	**240.0000**		05/21/13	12/05/13	**17,571.01**	**15,808.78**				**1,762.23**
Description (Box 8): SENSATA TECHNOLOGIES HLDG NV EUR						*CUSIP:* N7902X106	*Symbol (Box 1d):* ST			
Sell	1,400.0000		11/05/13	12/05/13	52,459.19	52,423.56				35.63
Sell	252.0000		12/03/13	12/05/13	9,442 65	9,665 91				(223 26)
Sub Total	**1,652.0000**				**61,901.84**	**62,089.47**				**(187.63)**
Description (Box 8): UBS AG REGS ORD CHF						*CUSIP:* H89231338	*Symbol (Box 1d)* UBS			
Sell	2,400.0000		02/20/13	12/05/13	44,351 23	39,668.16				4,683.07
Sell	1,700.0000		03/19/13	12/05/13	31,415 45	26,870.37				4,545 08
Sub Total	**4,100.0000**				**75,766.68**	**66,538.53**				**9,228.15**

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8): ZIONS BANCORP						*CUSIP.* 989701107	*Symbol (Box 1d):* ZION			
Sell	1,100.0000		12/07/12	12/05/13	32,002.84	21,573.75				10,429.09
Short-term total Box A, Part I	17,122.0000				$713,615.78	$646,757.37				$66,858.41

Type of Gain/Loss: Long Term (Box 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8): ABBOTT LABS						*CUSIP:* 002824100	*Symbol (Box 1d):* ABT			
Sell	350 0000		02/03/11	12/05/13	12,950 12	7,718 01				5,232.11
Sell	250 0000		11/14/11	12/05/13	9,250 09	6,491.93				2,758.16
Sub Total	600.0000				22,200.21	14,209.94				7,990.27
Description (Box 8): AIR PROD & CHEMICAL INC						*CUSIP.* 009158106	*Symbol (Box 1d).* APD			
Sell	500 0000		10/31/12	11/22/13	55,552.23	38,642.55				16,909 68
Sell	100 0000		11/09/12	11/22/13	11,110 45	7,964 97				3,145 48
Sub Total	600.0000				66,662.68	46,607.52				20,055.16

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): APPLE INC						*CUSIP:* 037833100	*Symbol (Box 1d):* AAPL			
Sell	50.0000		11/14/11	12/05/13	28,384.66	18,972.50				9,412.16
Description (Box 8): CIGNA CORP						*CUSIP:* 125509109	*Symbol (Box 1d):* CI			
Sell	300 0000		08/25/11	08/07/13	23,409 64	13,294.35				10,115.29
Sell	200.0000		08/25/11	12/04/13	17,259 70	8,862.90				8,396 80
Sell	500.0000		08/25/11	12/05/13	42,220 36	22,157.25				20,063.11
Sell	400.0000		07/25/12	12/05/13	33,776.29	15,998.00				17,778 29
Sub Total	**1,400.0000**				**116,665.99**	**60,312.50**				**56,353.49**
Description (Box 8): EBAY INC						*CUSIP:* 278642103	*Symbol (Box 1d):* EBAY			
Sell	400.0000		11/14/11	09/20/13	21,992.46	12,539.20				9,453.26
Description (Box 8): FRANKLIN RESOURCES INC						*CUSIP:* 354613101	*Symbol (Box 1d):* BEN			
Sell	1,050 0000		10/25/11	12/05/13	56,785.64	35,602.80				21,182 84
Sell	450 0000		11/29/11	12/05/13	24,336.70	14,088 00				10,248 70
Sub Total	**1,500.0000**				**81,122.34**	**49,690.80**				**31,431.54**
Description (Box 8): HOSPIRA INC						*CUSIP* 441060100	*Symbol (Box 1d):* HSP			
Sell	600.0000		09/23/11	02/14/13	18,153.55	22,117.32				(3,963.77)
Description (Box 8). HUNTINGTON INGALLS INDS INC						*CUSIP:* 446413106	*Symbol (Box 1d):* HII			
Sell	534.0000		06/20/11	12/05/13	43,514.16	19,224.00				24,290.16

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Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): NEXTERA ENERGY INC COM						*CUSIP*. 65339F101	*Symbol (Box 1d):* NEE			
Sell	250.0000		11/28/12	12/05/13	20,958.96	16,826.00				4,132.96
Description (Box 8): NORTHROP GRUMMAN CORP						*CUSIP* 666807102	*Symbol (Box 1d):* NOC			
Sell	200.0000		04/04/11	02/22/13	13,249.32	12,511.80				737.52
Description (Box 8)· NTHN TRUST CORP						*CUSIP:* 665859104	*Symbol (Box 1d)* NTRS			
Sell	400.0000		07/27/11	12/05/13	23,186.16	18,048.00				5,138.16
Description (Box 8): OCCIDENTAL PETROLEUM CRP						*CUSIP* 674599105	*Symbol (Box 1d)*· OXY			
Sell	300.0000		06/18/12	12/05/13	28,140 65	25,033 89				3,106.76
Sell	330.0000		06/21/12	12/05/13	30,954 72	27,267 27				3,687 45
Sell	170 0000		09/07/12	12/05/13	15,946 37	14,739.00				1,207.37
Sub Total	800.0000				75,041.74	67,040.16				8,001.58
Description (Box 8)· PEPSICO INC						*CUSIP:* 713448108	*Symbol (Box 1d):* PEP			
Sell	450 0000		01/24/12	12/05/13	36,998 36	29,720.57				7,277 79
Sell	450 0000		02/10/12	12/05/13	36,998.35	28,664.50				8,333.85
Sell	300.0000		11/30/12	12/05/13	24,665 57	21,077.46				3,588.11
Sub Total	1,200.0000				98,662.28	79,462.53				19,199.75
Description (Box 8): QEP RES INC COM						*CUSIP* 74733V100	*Symbol (Box 1d)*· QEP			
Sell	500.0000		11/29/11	12/05/13	15,886 57	15,374.15				512.42

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8). QUALCOMM INC					*CUSIP* 747525103		*Symbol (Box 1d):* QCOM			
Sell	1,010.0000		04/18/11	12/05/13	73,944.65	53,733.31				20,211.34
Description (Box 8): SCHLUMBERGER LTD NETHERLANDS ANTILLES					*CUSIP:* 806857108		*Symbol (Box 1d):* SLB			
Sell	450.0000		10/14/11	12/05/13	39,195.44	31,499 82				7,695 62
Sell	150 0000		11/01/11	12/05/13	13,065.15	10,421.98				2,643.17
Sell	200 0000		06/04/12	12/05/13	17,420 20	12,382 82				5,037 38
Sub Total	**800.0000**				**69,680.79**	**54,304.62**				**15,376.17**
Description (Box 8): SCHWAB CHARLES CORP NEW					*CUSIP.* 808513105		*Symbol (Box 1d):* SCHW			
Sell	600.0000		07/26/11	11/13/13	14,311.91	9,184 32				5,127.59
Sell	2,200.0000		07/26/11	12/05/13	53,701 50	33,675 84				20,025.66
Sell	900.0000		08/29/12	12/05/13	21,968.80	12,015 00				9,953.80
Sub Total	**3,700.0000**				**89,982.21**	**54,875.16**				**35,107.05**
Description (Box 8). TIFFANY & CO NEW					*CUSIP* 886547108		*Symbol (Box 1d).* TIF			
Sell	500.0000		07/16/12	12/05/13	44,817.97	26,332.20				18,485.77
Description (Box 8): TRW AUTOMOTIVE HOLDINGS CORP					*CUSIP.* 87264S106		*Symbol (Box 1d):* TRW			
Sell	700 0000		05/14/12	12/05/13	52,445 75	29,136.59				23,309 16
Sell	700 0000		06/06/12	12/05/13	52,445 74	27,131 09				25,314 65
Sub Total	**1,400.0000**				**104,891.49**	**56,267.68**				**48,623.81**

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box D** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8): UNITED PARCEL SERVICE INC CL B						*CUSIP:* 911312106	*Symbol (Box 1d):* UPS			
Sell	700 0000		10/04/11	12/05/13	71,191.07	43,878.73				27,312 34
Sell	200.0000		08/29/12	12/05/13	20,340 31	14,857 64				5,482.67
Sub Total	**900.0000**				**91,531.38**	**58,736.37**				**32,795.01**
Description (Box 8). WALT DISNEY CO (HOLDING CO) DISNEY COM						*CUSIP:* 254687106	*Symbol (Box 1d):* DIS			
Sell	700.0000		10/19/12	12/05/13	49,118.92	36,239.42				12,879.50
Sell	300.0000		10/31/12	12/05/13	21,050 96	14,700 00				6,350 96
Sell	500.0000		11/09/12	12/05/13	35,084 94	23,682 15				11,402 79
Sub Total	**1,500.0000**				**105,254.82**	**74,621.57**				**30,633.25**
Description (Box 8): ZIONS BANCORP						*CUSIP:* 989701107	*Symbol (Box 1d):* ZION			
Sell	200 0000		10/12/12	12/05/13	5,818.70	4,382.84				1,435.86
Long-term total Box D, Part II	**19,044.0000**				**$1,231,603.09**	**$836,190.17**				**$395,412.92**

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Type of Gain/Loss: Long Term (Box 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box E** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): ABBOTT LABS						*CUSIP:* 002824100	*Symbol (Box 1d):* ABT			
Sell	700.0000		05/13/10	12/05/13	25,900.25	16,322.89				9,577.36
Description (Box 8): ABBVIE INC COM						*CUSIP:* 00287Y109	*Symbol (Box 1d):* ABBV			
Sell	500.0000		05/13/10	01/16/13	17,716.20	12,643.39				5,072.81
Description (Box 8): APPLE INC						*CUSIP:* 037833100	*Symbol (Box 1d):* AAPL			
Sell	80.0000		05/05/10	12/05/13	45,415.46	20,394.02				25,021.44
Description (Box 8): BOEING COMPANY						*CUSIP:* 097023105	*Symbol (Box 1d):* BA			
Sell	250.0000		12/04/06	05/23/13	24,847 54	21,976.54				2,871.00
Sell	50 0000		05/11/09	05/23/13	4,969.51	2,232.63				2,736 88
Sell	540 0000		05/11/09	12/05/13	71,662.21	24,112 40				47,549.81
Sub Total	**840.0000**				**101,479.26**	48,321.57				53,157.69
Description (Box 8): EBAY INC						*CUSIP:* 278642103	*Symbol (Box 1d):* EBAY			
Sell	100.0000		08/17/10	01/31/13	5,606.87	2,235.75				3,371 12
Sell	500 0000		08/17/10	09/20/13	27,490 57	11,178 75				16,311 82
Sub Total	**600.0000**				**33,097.44**	**13,414.50**				**19,682.94**

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box E** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): EMC CORP MASS					*CUSIP*: 268648102		*Symbol (Box 1d)*: EMC			
Sell	700.0000		06/19/08	11/27/13	16,740 56	11,736.28				5,004.28
Sell	200 0000		09/19/08	11/27/13	4,783 02	2,625 39				2,157.63
Sub Total	**900.0000**				**21,523.58**	**14,361.67**				**7,161.91**
Description (Box 8): HEINZ H J CO **MERGER EFF. 06/13**					*CUSIP*: 423074103					
Sell	200 0000		03/25/08	04/25/13	14,493 67	9,129 27				5,364.40
Sell	450.0000		05/04/10	04/25/13	32,610 77	20,944 71				11,666.06
Sell	450.0000		05/04/10	06/06/13	32,606.48	20,944 71				11,661.77
Sub Total	**1,100.0000**				**79,710.92**	**51,018.69**				**28,692.23**
Description (Box 8): HESS CORP					*CUSIP*: 42809H107		*Symbol (Box 1d)*: HES			
Sell	300 0000		06/24/10	01/29/13	20,353.97	16,143 54				4,210 43
Sell	550 0000		06/24/10	05/15/13	38,996.22	29,596 49				9,399.73
Sub Total	**850.0000**				**59,350.19**	**45,740.03**				**13,610.16**
Description (Box 8): HOME DEPOT INC					*CUSIP*: 437076102		*Symbol (Box 1d)*: HD			
Sell	500.0000		04/24/07	02/26/13	33,776.89	19,712.43				14,064.46
Description (Box 8). HUNTINGTON INGALLS INDS INC					*CUSIP*: 446413106		*Symbol (Box 1d)*. HII			
Sell	8.0000		11/17/06	12/05/13	651 90	315 79				336.11
Sell	76 0000		12/04/06	12/05/13	6,193 02	3,025 64				3,167 38

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UBS Financial Services Inc.
1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:
TRABERT/ASIANO
415-963-5200/888-660-5750

Payer:
THOMAS P. RALEY FOUNDATION
115 VOLCANO RIDGE
GRANITE BAY CA 95746-6771

2013 Consolidated Form 1099
Copy B, For Recipient
Account Number: Y3 02459 TA
Tax Identification Number: 68-0358149

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box E** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): HUNTINGTON INGALLS INDS INC					*CUSIP:* 446413106		*Symbol (Box 1d):* HII			
Sell	41 0000		10/06/09	12/05/13	3,340.98	1,207 35				2,133.63
Sell	41 0000		05/05/10	12/05/13	3,340.97	1,589.79				1,751 18
Sub Total	**166.0000**				**13,526.87**	**6,138.57**				**7,388.30**
Description (Box 8): INTL BUSINESS MACH					*CUSIP:* 459200101		*Symbol (Box 1d):* IBM			
Sell	**120.0000**		12/04/06	11/27/13	**21,513.23**	**11,293.16**				**10,220.07**
Description (Box 8): INTUIT					*CUSIP:* 461202103		*Symbol (Box 1d):* INTU			
Sell	950 0000		10/12/09	12/05/13	70,270.38	27,533.18				42,737 20
Sell	300 0000		05/05/10	12/05/13	22,190.64	10,988 70				11,201 94
Sub Total	**1,250.0000**				**92,461.02**	**38,521.88**				**53,939.14**
Description (Box 8): NEXTERA ENERGY INC COM					*CUSIP.* 65339F101		*Symbol (Box 1d):* NEE			
Sell	80.0000		09/26/08	01/31/13	5,760 38	4,407.14				1,353.24
Sell	720.0000		09/26/08	12/05/13	60,361 81	39,664.30				20,697.51
Sell	250 0000		05/05/10	12/05/13	20,958.96	12,746 78				8,212 18
Sub Total	**1,050.0000**				**87,081.15**	**56,818.22**				**30,262.93**
Description (Box 8): NORTHROP GRUMMAN CORP					*CUSIP:* 666807102		*Symbol (Box 1d).* NOC			
Sell	380 0000		12/04/06	02/05/13	24,682 50	23,583 26				1,099.24
Sell	200.0000		10/06/09	02/05/13	12,990 79	9,166 94				3,823 85

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1000 Harbor Boulevard
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Payer:
THOMAS P. RALEY FOUNDATION
115 VOLCANO RIDGE
GRANITE BAY CA 95746-6771

2013 Consolidated Form 1099
Copy B, For Recipient
Account Number: Y3 02459 TA
Tax Identification Number: 68-0358149

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked).

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): NORTHROP GRUMMAN CORP						*CUSIP:* 666807102	*Symbol (Box 1d):* NOC			
Sell	50.0000		10/06/09	02/22/13	3,312 33	2,291 73				1,020 60
Sell	250 0000		05/05/10	02/22/13	16,561 66	15,088 29				1,473.37
Sub Total	**880.0000**				**57,547.28**	52,323.38				5,223.90
Description (Box 8). NTHN TRUST CORP						*CUSIP:* 665859104	*Symbol (Box 1d):* NTRS			
Sell	**1,000.0000**		12/16/10	12/05/13	**57,965.39**	**54,454.40**				**3,510.99**
Description (Box 8). QEP RES INC COM						*CUSIP·* 74733V100	*Symbol (Box 1d):* QEP			
Sell	800.0000		12/30/08	12/05/13	25,418 52	17,373 98				8,044 54
Sell	200 0000		05/05/10	12/05/13	6,354 63	6,254.97				99 66
Sub Total	**1,000.0000**				**31,773.15**	**23,628.95**				**8,144.20**
Description (Box 8): SCHWAB CHARLES CORP NEW						*CUSIP:* 808513105	*Symbol (Box 1d):* SCHW			
Sell	1,000.0000		02/04/09	11/13/13	23,853 19	13,292 32				10,560.87
Sell	400.0000		05/05/10	11/13/13	9,541 27	7,524 00				2,017.27
Sub Total	**1,400.0000**				**33,394.46**	**20,816.32**				**12,578.14**
Description (Box 8): SPX CORPORATION						*CUSIP:* 784635104	*Symbol (Box 1d)·* SPW			
Sell	370 0000		01/22/10	02/27/13	30,006 33	20,982 67				9,023 66
Sell	200.0000		01/22/10	07/22/13	15,039 74	11,341.98				3,697 76

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GRANITE BAY   CA 95746-6771

2013 Consolidated Form 1099

Copy B. For Recipient
Account Number: Y3 02459 TA
Tax Identification Number: 68-0358149

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	*Quantity / face value (Box 1e)*	*Original cost basis ($)*	*Date acquired (Box 1b)*	*Date of sale / exchange (Box 1a)*	*Sale amount (Box 2a) ($)*	*Cost or other basis (Box 3) ($)*	*Wash sale loss disallowed (Box 5) ($)*	*Federal income tax withheld (Box 4) ($)*	*Loss not allowed (Box 2b)*	*Gain/Loss ($)*
Description (Box 8): SPX CORPORATION						*CUSIP:* 784635104	*Symbol (Box 1d):* SPW			
Sell	150 0000		05/05/10	07/22/13	11,279 80	10,102 16				1,177.64
Sub Total	**720.0000**				**56,325.87**	**42,426.81**				**13,899.06**
Description (Box 8): TIME WARNER INC NEW						*CUSIP:* 887317303	*Symbol (Box 1d).* TWX			
Sell	**450.0000**		02/04/10	11/21/13	**29,970.20**	**12,398.09**				**17,572.11**
Description (Box 8): ZIONS BANCORP						*CUSIP.* 989701107	*Symbol (Box 1d):* ZION			
Sell	700.0000		11/26/07	12/05/13	20,365.45	34,616 54				(14,251.09)
Sell	200.0000		08/27/09	12/05/13	5,818.69	3,488.00				2,330.69
Sell	500 0000		08/31/09	12/05/13	14,546.75	8,818 25				5,728.50
Sell	1,600 0000		12/06/10	12/05/13	46,549 59	34,229 12				12,320 47
Sub Total	**3,000.0000**				**87,280.48**	**81,151.91**				**6,128.57**
Long-term total Box E, Part II	**17,106.0000**				**$986,809.29**	641,900.88				344,908 41
Total					**$2,932,028.16**					

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115 VOLCANO RIDGE
GRANITE BAY CA 95746-6771

2013 Consolidated Form 1099

Copy B, For Recipient
Account Number: Y3 08408 JJ
Tax Identification Number: 68-0358149

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715)

Consistent with IRS guidance, transactions are grouped into section(s) based upon whether the security is covered or noncovered, and whether the term is short or long Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949 When available, basis information will be displayed in sections where basis is not required to be reported to the IRS A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date For stock in a corporation, the effective date is January 1, 2011 For stock in a mutual fund the effective date is January 1, 2012 UBS is not required to report basis to the IRS for any securities acquired before 2011 IRS regulations now require that brokers report short sales for the year in which the short sale is closed The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not required and thus not reflected here Clients may wish to discuss the potential application of this provision with their tax advisors

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order 2013 Gain/Loss for transactions with trade dates through 12/31/13 has been incorporated into this statement The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts Net gain or loss is not reported to the IRS

In Box 2a gross proceeds are reported less commissions and option premiums Sale amounts with "±" symbol indicate where an option premium adjustment has been made If Box 2b is checked, a loss based on the amount reported in Box 2a is not allowed

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes Prior to 2012, basis may not have been adjusted for noncovered tax lots The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for market discount, amortized acquisition premium, or bond premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different

Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products

Type of Gain/Loss: Long Term (Box 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box E** checked

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8): HERSHEY CO						CUSIP: 427866108	Symbol (Box 1d): HSY			
Sell	170.0000		03/13/09	12/12/13	16,183.38	5,642.44				10,540.94
Long-term total Box E, Part II	170.0000				$16,183.38	$5,642.44				$10,540.94
Total					$16,183.38					