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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052










2013



Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation LEO M SHORTINO FAMILY FOUNDATION		A Employer identification number 68-0237238	
Number and street (or P O box number if mail is not delivered to street address) 1760 THE ALAMEDA		B Telephone number (see instructions) (408) 275-6306	
City or town, state or province, country, and ZIP or foreign postal code SAN JOSE, CA 95126		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 49,369,288		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	5,000			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	756,627	756,627		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,212,539			
	b Gross sales price for all assets on line 6a 16,602,962				
	7 Capital gain net income (from Part IV, line 2)		1,212,539		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	821,986	0		
	12 Total. Add lines 1 through 11	2,796,152	1,969,166		
	13 Compensation of officers, directors, trustees, etc	23,600	472		18,644
	14 Other employee salaries and wages	158,478	3,170		125,198
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	15,458	0		1,545
	c Other professional fees (attach schedule)	169,478	149,778		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	25,214	0		0
	19 Depreciation (attach schedule) and depletion	1,647	0		
	20 Occupancy	6,000	120		4,740
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	269,082	253,499		12,175
	24 Total operating and administrative expenses. Add lines 13 through 23	668,957	407,039		162,302
	25 Contributions, gifts, grants paid	1,994,642			2,240,939
	26 Total expenses and disbursements. Add lines 24 and 25	2,663,599	407,039		2,403,241
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	132,553			
	b Net investment income (if negative, enter -0-)		1,562,127		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	253,996	38,946	38,946
	2	Savings and temporary cash investments	1,977,163	2,248,785	2,248,785
	3	Accounts receivable ▶ <u>51,699</u>			
		Less allowance for doubtful accounts ▶ _____	93,193	51,699	51,699
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges		301	301
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	15,346,450	 17,793,076	17,793,076
	c	Investments—corporate bonds (attach schedule).	14,548,875	 12,357,665	12,357,665
	11	Investments—land, buildings, and equipment basis ▶ _____			
Liabilities		Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	14,755,188	 16,850,232	16,850,232
	14	Land, buildings, and equipment basis ▶ <u>5,462</u>			
		Less accumulated depreciation (attach schedule) ▶ <u>4,110</u>	3,000	 1,352	1,352
	15	Other assets (describe ▶ _____)	 11,308	 27,232	 27,232
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	46,989,173	49,369,288	49,369,288
	17	Accounts payable and accrued expenses	93,505	109,109	
	18	Grants payable	478,845	234,706	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	 22,000	 25,000	
	23	Total liabilities (add lines 17 through 22)	594,350	368,815	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	46,394,823	49,000,473	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	46,394,823	49,000,473	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	46,989,173	49,369,288	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	46,394,823
2	Enter amount from Part I, line 27a	2	132,553
3	Other increases not included in line 2 (itemize) ▶ 	3	2,476,097
4	Add lines 1, 2, and 3	4	49,003,473
5	Decreases not included in line 2 (itemize) ▶ 	5	3,000
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	49,000,473

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	MORGAN STANLEY INVESTMENTS	P	2013-12-31	2013-12-31
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 16,602,962		15,390,423	1,212,539
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			1,212,539
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,212,539
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	2,299,567	48,364,022	0 047547
2011	2,301,441	46,678,912	0 049304
2010	1,860,640	48,101,850	0 038681
2009	1,045,051	41,510,961	0 025175
2008	597,017	24,435,771	0 024432

2 Total of line 1, column (d).	2	0 185139
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 037028
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	47,659,896
5 Multiply line 4 by line 3.	5	1,764,751
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	15,621
7 Add lines 5 and 6.	7	1,780,372
8 Enter qualifying distributions from Part XII, line 4.	8	2,403,241

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	15,621
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2.		3	15,621
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	15,621
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	7,992	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	17,008	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d.		7	25,000
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	9,379
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input checked="" type="checkbox"/> 9,379 Refunded <input checked="" type="checkbox"/>		11	0

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?.		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ 0 (2) On foundation managers <input checked="" type="checkbox"/> \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?.		4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none">By language in the governing instrument, orBy state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	No
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> CA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶WWW LEOMSHORTINO ORG	13	Yes	
14	The books are in care of ▶THE FOUNDATION Telephone no ▶(408) 275-6306 Located at ▶1760 THE ALAMEDA SAN JOSE CA ZIP +4 ▶95126			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) <input checked="" type="checkbox"/>	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELAINE CURRAN	EXECUTIVE	116,209	0	0
1760 THE ALAMEDA	DIRECTOR			
SAN JOSE,CA 95126	40 00			
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	39,252,346
b	Average of monthly cash balances.	1b	231,403
c	Fair market value of all other assets (see instructions).	1c	8,901,932
d	Total (add lines 1a, b, and c).	1d	48,385,681
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	48,385,681
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	725,785
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	47,659,896
6	Minimum investment return. Enter 5% of line 5.	6	2,382,995

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	2,382,995
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	15,621
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	15,621
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	2,367,374
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	2,367,374
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	2,367,374


Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	2,403,241
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,403,241
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	15,621
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,387,620
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,367,374
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			2,240,958	
b Total for prior years 20__, 20__, 20__		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 2,403,241				
a Applied to 2012, but not more than line 2a			2,240,958	
b Applied to undistributed income of prior years (Election required—see instructions).		 0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				162,283
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				2,205,091
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ELAINE CURRAN
1760 THE ALAMEDA
SAN JOSE,CA 95126
(408) 275-6303

b

The form in which applications should be submitted and information and materials they should include

THE APPLICANT ORGANIZATION PROVIDES A LETTER OF INTENT ONLINE 30 DAYS BEFORE THE PROPOSAL GRANT DATE. THE FOUNDATION WILL DETERMINE IF THE APPLICATION IS IN THE FOUNDATION'S AREA OF INTEREST AND WILL REQUEST AN ONLINE PROPOSAL. THE APPLICANT CAN ALSO PROVIDE A WRITTEN GRANT PROPOSAL TO THE EXECUTIVE DIRECTOR.

c

Any submission deadlines

A LETTER OF INTENT IS REQUIRED 60 DAYS AND A GRANT PROPOSAL 30 DAYS PRIOR TO ANY BOARD MEETING

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THE FOUNDATION PRIMARILY MAKES GRANTS TO ORGANIZATIONS RECOGNIZED BY THE IRS UNDER SECTION 501(C)(3). THE FOUNDATION MOST OFTEN MAKES GRANTS TO THE SUPPORT OF DIRECT SERVICES AND CAPITAL PROJECTS, ORGANIZATIONS THAT PRIMARILY PROVIDE SERVICES IN SANTA CLARA COUNTY, ORGANIZATIONS THAT HAVE CLEARLY STATED STRATEGIC PLANS, INCORPORATED MEASURABLE OBJECTIVES, AND HAVE A TRACK RECORD OF MATCHING AND EXCEEDING PERFORMANCE GOALS.

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	2,240,939
b Approved for future payment				
PARTNERS IN SCHOOL INNOVATION 1060 TENNESSEE ST 2ND FLOOR SAN FRANCISCO,CA 95125	NONE	501(C)(3)	GRANT ALUM ROCK SCHOOL AND DISTRICT INITIATIVE	107,000
SAN JOSE CONSERVATION CORPS 1560 BERGER DR SAN JOSE,CA 95112	NONE	501(C)(3)	PURCHASE OF CURRICULUM AND TRAINING MATERIALS FOR CONSTRUCTION TRADES AND IC3 COMPUTER REPAIR/IT CERTIFICATION WORKFORCE TRAINING PROGRAMS	2,608
ALUM ROCK SCHOOL DISTRICT 2930 GAY AVENUE SAN JOSE,CA 95127	NONE	501(C)(3)	GRANT ALUM ROCK SCHOOL AND DISTRICT INITIATIVE	67,400
Total			3b	177,008

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)


Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GARY SHARA	CHAIRMAN 2 00	4,000	0	0
1760 THE ALAMEDA SAN JOSE, CA 95126				
CHRISTINE BURROUGHS	VICE CHAIRMAN 2 00	4,000	0	0
1760 THE ALAMEDA SAN JOSE, CA 95126				
LINDA MURRAY	SECRETARY 2 00	4,000	0	0
1760 THE ALAMEDA SAN JOSE, CA 95126				
FRANK BOITANO	TREASURER 2 00	3,600	0	0
1760 THE ALAMEDA SAN JOSE, CA 95126				
DAVID HEIMAN	BOARD MEMBER 2 00	4,000	0	0
1760 THE ALAMEDA SAN JOSE, CA 95126				
GERALD T WADE JR	BOARD MEMBER 2 00	4,000	0	0
1760 THE ALAMEDA SAN JOSE, CA 95126				


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AACI 2400 MOORPARK AVE SUITE 300 SAN JOSE,CA 95128	NONE	501(C)(3)	PROJECT PLUS (PEER LEADERSHIP UNITING STUDENTS) LIFE SKILLS DEVELOPMENT PROGRAM AT INDEPENDENCE HIGH SCHOOL (IHS) AND YERBA BUENA HIGH SCHOOL (YBHS)	20,000
ACE CHARTER SCHOOLS 625 S SUNSET AVE SAN JOSE,CA 95116	NONE	501(C)(3)	SCHOOLWIDE LITERACY PROGRAM FOR STRUGGLING READERS	50,000
ACHIEVEKIDS 1212 MCGINNESS AVENUE SAN JOSE,CA 95127	NONE	501(C)(3)	WORKFORCE DEVELOPMENT PROGRAMS FOR DISABLED YOUTH	15,000
ALEARN 3777 STEVENS CREEK BLVD SOUTH 330 SANTA CLARA,CA 95051	NONE	501(C)(3)	MATH ASSISTANCE FOR UNDERREPRESENTED STUDENTS IN SANTA CLARA COUNTY SCHOOLS	30,000
ALUM ROCK COUNSELING CENTER 777 NORTH FIRST STREET SAN JOSE,CA 95112	NONE	501(C)(3)	GRANT OCALA MIDDLE SCHOOL MENTORING AND SUPPORT SERVICES PROGRAM	35,000
ARCHBISHOP MITTY HIGH SCHOOL 5000 MITTY WAY SAN JOSE,CA 95129	NONE	501(C)(3)	GRANT TWO ONE YEAR SCHOLARSHIPS	30,000
ART IN ACTION 3925 BOHANNON DRIVE SUITE 300 MENLO PARK,CA 94025	NONE	501(C)(3)	ART TEACHER TRAINING, CURRICULUM, AND MATERIALS IN LINDA VISTA ELEMENTARY, HORACE CURETON ELEMENTARY,	15,000
BELL 60 CLAYTON ST DORCHESTER,MA 02122	NONE	501(C)(3)	FIVE-WEEK SUMMER PROGRAM FOR MIDDLE SCHOOL STUDENTS IN FRANKLIN MCKINLEY SCHOOL OFFERING TUTORING AND INSTRUCTION IN MATH AND LITERACY	20,000
BELLARMINE COLLEGE PREP 960 WEST HEDDING STREET SAN JOSE,CA 95126	NONE	501(C)(3)	GRANT SCHOLARSHIPS FOR LOW-INCOME STUDENTS	47,000
BOOKS ALOUD INC P O BOX 5731 SAN JOSE,CA 951505731	NONE	501(C)(3)	PURCHASE OF NOOK TABLETS TO UPDATE MINI-LIBRARIES SERVING SPECIAL NEEDS YOUTH IN SANTA CLARA COUNTY	20,000
BOYS AND GIRLS CLUB OF SILICON VALLEY 518 VALLEY WAY MILPITAS,CA 95035	NONE	501(C)(3)	GRANT SUMMER CAMP	80,000
BREAKTHROUGH SILICON VALLEY 1635 PARK AVE SAN JOSE,CA 95126	NONE	501(C)(3)	MIDDLE AND HIGH ACADEMIC SUPPORT PROGRAM	25,000
CALIFORNIA COMMUNITY PARTNERS FOR YOUTH PO BOX 578 SAN JOSE,CA 95016	NONE	501(C)(3)	GRANT JUMP START SUMMER TRANSITION PROGRAM AND STEP UP AND STEP AHEAD PROGRAMS	60,000
CHILD ADVOCATES 509 VALLEY WAY MILPITAS,CA 95035	NONE	501(C)(3)	GRANT VOLUNTEER ADVOCATES FOR FOSTER YOUTH	20,000
CHILDREN'S MUSICAL THEATER OF SAN JOSE 1401 PARKMOOR AVENUE SUITE 100 SAN JOSE,CA 95126	NONE	501(C)(3)	GRANT COMMUNITY ACCESS PROGRAMS FOR 2012/2013 PERFORMANCE SEASON	35,000
Total			3a	2,240,939


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CITIZEN SCHOOLS 330 TWIN DOLPHIN DRIVE SUITE 115 REDWOOD CITY,CA 94065	NONE	501(C)(3)	EXPANDED LEARNING TIME AT JOSEPH GEORGE MIDDLE SCHOOL	25,000
CITY YEAR 90 NORTH 1ST STREET SAN JOSE,CA 95113	NONE	501(C)(3)	GRANT MIDDLE SCHOOL EXTENDED LEARNING PROGRAM IN ALUM ROCK	50,000
COMMUNITY HEALTH AWARENESS COUNCIL 711 CHURCH ST MOUNTAIN VIEW,CA 94041	NONE	501(C)(3)	COUNSELING SERVICES FOR CHILDREN IN SUNNYVALE SCHOOLS	25,000
COMMUNITY SCHOOL OF MUSIC ART 230 SAN ANTONIO CIR MOUNTAIN VIEW,CA 94040	NONE	501(C)(3)	ART4SCHOOLS AT LYNDALE ELEMENTARY SCHOOL	20,000
CREATV 255 WEST JULIAN ST SUITE 100 SAN JOSE,CA 95110	NONE	501(C)(3)	CREATION OF A YOUTH VOICE INITITATIVE, INCLUDING A SERIES OF TALK SHOWS ON GANG PREVENTION,TO BE SHOWN ON CREATVS CABLE TV CHANNEL	10,000
DIOCESE OF SAN JOSE 1150 NORTH FIRST STREET SUITE 100 SAN JOSE,CA 95112	NONE	501(C)(3)	SCHOLARSHIPS FOR LOW-INCOME STUDENTS WHO WOULD OTHERWISE BE UNABLE TO ATTEND THE CATHOLIC SCHOOLS	100,000
DOWNTOWN COLLEGE PREP 1460 THE ALAMEDA SAN JOSE,CA 95126	NONE	501(C)(3)	GRANT SCHOLARSHIPS FOR DCP GRADUATES	1,000
EAST SIDE COMMUNITY ARTS 2400 MOORPARK AVE SUITE 207 SAN JOSE,CA 95128	NONE	501(C)(3)	PROVIDE 2- AND 4-WEEK, HALF-DAY SUMMER ARTS CAMP FOR 8-14 YEAR OLD STUDENTS IN EAST SAN JOSE	5,000
EMPLOYER SCHOOL COUNCIL 17508 HIGH ST LOS GATOS,CA 95030	NONE	501(C)(3)	COLLEGE SCHOLARSHIPS FOR AT-RISK YOUTH	10,000
EMQ FAMILIES FIRST 215 LLEWALLYN AVENUE CAMPBELL,CA 95008	NONE	501(C)(3)	GRANT ADDICTION PREVENTION SERVICES IN ALUM ROCK SCHOOLS	35,000
FAMILY SUPPORTIVE HOUSING 692 N KING RD SAN JOSE,CA 95133	NONE	501(C)(3)	SAN JOSE FAMILY SHELTER AFTER-SCHOOL PROGRAM	20,000
FRESH LIFELINES FOR YOUTH 568 VALLEY WAY MILPITAS,CA 95035	NONE	501(C)(3)	GRANT LEGAL EAGLE PROGRAM IN SANTA CLARA COUNTY	35,000
FRIENDS FOR YOUTH 275 5TH STREET SAN FRANCISCO,CA 94103	NONE	501(C)(3)	ONE-YEAR MENTORING PROGRAM, PLUS LIFE SKILLS WORKSHOPS, ACTIVITIES, AND EXPERIENCES FOR SANTA CLARA COUNTY YOUTH	10,000
GIRL SCOUTS OF NORTHERN CALIFORNIA 1310 S BASCOM AVE SAN JOSE,CA 95128	NONE	501(C)(3)	DECISION-MAKING/WELLNESS/HEALTHY LIFESTYLES PROGRAMS	25,000
GLOW FOUNDATION 275 5TH STREET SAN FRANCISCO,CA 94103	NONE	501(C)(3)	TO TRAIN TEACHERS TO CONDUCT FINANCIAL LITERACY AND COLLEGE FINANCING WORKSHOPS FOR STUDENTS AND PARENTS	15,000
Total  3a				2,240,939


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GRAIL FAMILY SERVICES 2003 E SAN ANTONIO STREET SAN JOSE, CA 95116	NONE	501(C)(3)	YES WE CAN READ ¹ CHILDREN'S INITIATIVE	27,500
HAMMER MONTESSORI FOUNDATION 1610 BIRD AVE SAN JOSE, CA 95125	NONE	501(C)(3)	MUSIC EDUCATION AT GALARZA AND HAMMER MONTESSORI ELEMENTARY SCHOOLS	17,400
INNVISION 1900 THE ALAMEDA SUITE 400 SAN JOSE, CA 95126	NONE	501(C)(3)	AFTER-SCHOOL TUTORING, SUMMER DAY CAMP, MENTORING FOR HOMELESS YOUTH	35,000
JUNIOR ACHIEVEMENT 3003 OAK ROAD SUITE 109 WALNUT CREEK, CA 94597	NONE	501(C)(3)	PROVIDE FINANCIAL LITERACY, ENTREPRENEURSHIP AND WORKFORCE DEVELOPMENT IN THE 2012-2013 SCHOOL YEAR FOR STUDENTS AT INDEPENDENCE AND ANDREW P HILL HIGH SCHOOLS	5,000
JUST READ PO BOX 4459 MOUNTAIN VIEW, CA 94040	NONE	501(C)(3)	LITERACY TUTORING PROGRAM	10,000
KIWANIS CLUB OF SAN JOSE FOUNDATION 15030 MONTEBELLO ROAD CUPERTINO, CA 95014	NONE	501(C)(3)	TO SUPPORT FUNDRAISER FOR TURNAROUND SCHOLARSHIP PROGRAM	5,000
KIWANIS CLUB OF SAN JOSE FOUNDATION 15030 MONTEBELLO ROAD CUPERTINO, CA 95014	NONE	501(C)(3)	GRANT TURN AROUND SCHOLARSHIPS FOR AT RISK YOUTH	75,000
MOVIMIENTO DE ARTE Y CULTURA LATINO AMERICAN 510 SOUTH FIRST STREET SAN JOSE, CA 95113	NONE	501(C)(3)	PEAPOD ADOBE YOUTH VOICES ACADEMY	40,000
MIND RESEARCH INSTITUTE 111 ACADEMY SUITE 100 IRVINE, CA 92617	NONE	501(C)(3)	SUPPORT THE ST MATH PROGRAM AT EDENVALE ELEMENTARY SCHOOL IN SANTA CLARA COUNTY	20,000
MT VIEW-LOS ALTOS COMMUNITY SCHOLARS 183 HILLVIEW AVENUE LOS ALTOS, CA 94022	NONE	501(C)(3)	GRANT COLLEGE SCHOLARSHIPS FOR AT RISK YOUTH	20,000
MUSIC FOR MINORS 883 NORTH SHORELINE BLVD MOUNTAIN VIEW, CA 94043	NONE	501(C)(3)	GRANT VOLUNTEER LED MUSIC EDUCATION	10,000
NOTRE DAME HIGH SCHOOL 596 S SECOND ST SAN JOSE, CA 95112	NONE	501(C)(3)	TUITION ASSISTANCE FOR LOW-INCOME STUDENTS	25,000
OAK GROVE SCHOOL DISTRICT 285 AZUCAR AVENUE SAN JOSE, CA 95111	NONE	501(C)(3)	SCHOLARSHIPS FOR COLLEGE-BOUND HIGH SCHOOL SENIORS WHO GRADUATED FROM EDENVALE ELEMENTARY	7,500
OVERFELT HIGH SCHOOL 1835 CUNNINGHAM AVENUE SAN JOSE, CA 95122	NONE	501(C)(3)	CAMELOT SUMMER INSTITUTE (CSI) SUMMER BRIDGE PROGRAM FOR INCOMING FRESHMEN TO INCREASE ACADEMIC PERFORMANCE, AND PROMOTE A COLLEGE-GOING CULTURE	25,000
PARTNERS IN SCHOOL INNOVATION 1060 TENNESSEE ST 2ND FLOOR SAN FRANCISCO, CA 94107	NONE	501(C)(3)	GRANT ALUM ROCK SCHOOL AND DISTRICT INITIATIVE	107,000
Total  3a				2,240,939

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PENINSULA COLLEGE FUND 330 TWIN DOLPHIN DRIVE SUITE 131 REDWOOD CITY,CA 94065	NONE	501(C)(3)	COLLEGE SCHOLARSHIPS FOR LOW-INCOME STUDENTS	24,000
PRESENTATION HIGH SCHOOL 2281 PLUMMER AVENUE SAN JOSE,CA 95125	NONE	501(C)(3)	GRANT SCHOLARSHIPS FOR LOW-INCOME STUDENTS	44,000
RAFT 1355 RIDDER PARK DRIVE SAN JOSE,CA 95131	NONE	501(C)(3)	SCIENCE PROGRAM INCLUDING A PACKAGE OF CLASSROOM CURRICULUM AND MATERIALS, TEACHER TRAINING, AND HANDS-ON PROGRAMS AND FIELD TRIPS FOR THE DISTRICTS OCALA CLUSTER OF CASSELL, RYAN AND ROGERS ELEMENTARY SCHOOLS AND OCALA MIDDLE SCHOOL	25,000
REGENTS OF THE UNIVERSITY OF CALIFORNIA CENTER FOR EDUCATIONAL PARTNERSHIPS 2150 KITTREDGE STREET SUITE 4C BERKELEY,CA 947201060	NONE	501(C)(3)	COLLEGE ADVISERS AT HIGH-NEED SCHOOLS IN SAN JOSE UNIFIED SCHOOL DISTRICT	25,000
SACRED HEART COMMUNITY SERVICE 1381 SOUTH FIRST STREET SAN JOSE,CA 95110	NONE	501(C)(3)	YOUTH EDUCATION PROGRAMS	20,000
SACRED HEART NATIVITY SCHOOL 310 EDWARDS AVE SAN JOSE,CA 95110	NONE	501(C)(3)	GRANT TUITION ASSISTANCE FOR LOW-INCOME STUDENTS	30,000
SAN JOSE CONSERVATION CORPS 1560 BERGER DR SAN JOSE,CA 95112	NONE	501(C)(3)	PURCHASE OF CURRICULUM AND TRAINING MATERIALS FOR CONSTRUCTION TRADES AND IC3 COMPUTER REPAIR/IT CERTIFICATION WORKFORCE TRAINING PROGRAMS	10,234
SAN JOSE JAZZ 145 W SAN CARLOS ST SAN JOSE,CA 95113	NONE	501(C)(3)	PROGRESSIONS MUSIC PROGRAM AT FRANKLIN-MCKINLEY SCHOOL DISTRICT	25,000
SCHOOL OF ARTS AND CULTURE AT MEXICAN HERITAGE PLAZA 1700 ALUM ROCK AVENUE SAN JOSE,CA 95116	NONE	501(C)(3)	GRANT ARTS EDUCATION SUMMER CAMPS AND AFTER SCHOOL PROGRAMS	50,000
SILICON VALLEY CHILDREN'S FUND 1871 THE ALAMEDA 335 SAN JOSE,CA 95126	NONE	501(C)(3)	COLLEGE SCHOLARSHIPS AND SUPPORT FOR FOSTER YOUTH	10,000
SILICON VALLEY FACES 777 NORTH FIRST STREET SUITE 220 SAN JOSE,CA 95112	NONE	501(C)(3)	CAMP EVERYTOWN FOR TITLE I HIGH SCHOOLS IN EAST SAN JOSE	35,000
SOMOS MAYFAIR 370 S KING RD SAN JOSE,CA 95116	NONE	501(C)(3)	?IN OUR HANDS? ? A COMMUNITY TEACHER CAMPAIGN	20,000
ST PAUL'S UNITED METHODIST CHURCH 405 SOUTH TENTH STREET SAN JOSE,CA 95112	NONE	501(C)(3)	CREATIVE ARTS YOUTH PROGRAM	10,000
TEENFORCE 1080 NORTH 7TH STREET SAN JOSE,CA 95112	NONE	501(C)(3)	FOSTER YOUTH EMPLOYMENT PROGRAM	10,000
THE TECH MUSEUM 201 S MARKET ST SAN JOSE,CA 95113	NONE	501(C)(3)	STEM SCHOOL PARTNERSHIP RF KENNEDY ELEMENTARY SCHOOL	25,000
Total  3a				2,240,939

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
TRITON MUSEUM OF ART 1505 WARBURTON AVENUE SANTA CLARA, CA 95050	NONE	501(C)(3)	ARTREACH AFTER SCHOOL PROGRAM AT SCOTT LANE ELEMENTARY SCHOOL	2,000
VALDES MATH FOUNDATION 4848 SAN FELIPE RD 150-213 SAN JOSE, CA 95135	NONE	501(C)(3)	SUMMER REMEDIAL MATH PROGRAM FOR STUDENTS IN EAST SAN JOSE	25,000
VIA SERVICES 2851 PARK AVENUE SANTA CLARA, CA 95050	NONE	501(C)(3)	GRANT HEALTHY LIVING INITIATIVE AT VIA WEST	60,000
WORLD WIDE WORKSHOP 113 WEST 78TH STREET SUITE 3 NEW YORK, NY 10024	NONE	501(C)(3)	GLOBALORIA STEM EDUCATION PROGRAM AT OVERFELT HIGH SCHOOL	10,000
YSI 296 GARDEN HILL DRIVE LOS GATOS, CA 95032	NONE	501(C)(3)	SCIENCE PROGRAM INCLUDING A PACKAGE OF CLASSROOM CURRICULUM AND MATERIALS, TEACHER TRAINING, AND HANDS-ON PROGRAMS AND FIELD TRIPS FOR THE DISTRICTS OCALA CLUSTER OF CASSELL, RYAN AND ROGERS ELEMENTARY SCHOOLS AND OCALA MIDDLE SCHOOL	25,000
YWCA 375 SOUTH THIRD STREET SAN JOSE, CA 95112	NONE	501(C)(3)	GRANT CULTURAL AND EDUCATIONAL OPPORTUNITIES FOR HIGH RISK STUDENTS	15,000
PARTNERS IN SCHOOL INNOVATION 1060 TENNESSEE STREET 2ND FLOOR SAN FRANCISCO, CA 95125	NONE	501(C)(3)	GRANT ALUM ROCK SCHOOL AND DISTRICT INITIATIVE	107,000
ALUM ROCK SCHOOL DISTRICT 2930 GAY AVENUE SAN JOSE, CA 95127	NONE	501(C)(3)	0GRANT ALUM ROCK SCHOOL AND DISTRICT INITIATIVE	67,400
ALUM ROCK SCHOOL DISTRICT 2930 GAY AVENUE SAN JOSE, CA 95127	NONE	501(C)(3)	GRANT ALUM ROCK SCHOOL AND DISTRICT INITIATIVE	14,500
BELLARMINE COLLEGE PREPATORY 960 WEST HEDDING STREET SAN JOSE, CA 95126	NONE	501(C)(3)	FINAL PAYMENT CAPITAL PROJECT (2005 GRANT)	75,000
CALIFORNIA PROVINCIAL SOCIETY OF JESUS PO BOX 519 LOS GATOS, CA 95031	NONE	501(C)(3)	CRITSO REY HIGH SCHOOL START-UP COSTS	100,000
JUSTREAD PO BOX 4459 MOUNTAIN VIEW, CA 94040	NONE	501(C)(3)	LITERACY TUTORING PROGRAM	10,000
KIWANIS CLUB OF SAN JOSE FOUNDATION 15030 MONTEBELLO ROAD CUPERTINO, CA 95014	NONE	501(C)(3)	GRANT TURN AROUND SCHOLARSHIPS FOR AT RISK YOUTH	24,405
SCHOOL HEALTH CLINICS OF SANTA CLARA COUNTY 5671 SANTA TERESA BLVD SUITE 105 SAN JOSE, CA 95123	NONE	501(C)(3)	HEALTHY LIFESTYLES EDUCATION	10,000
VEGGIELUTION 647 S KING ROAD SAN JOSE, CA 95116	NONE	501(C)(3)	NUTRITION EDUCATION	15,000
Total  3a				2,240,939

TY 2013 Accounting Fees Schedule

Name: LEO M SHORTINO FAMILY FOUNDATION

EIN: 68-0237238

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	15,458	0		1,545

TY 2013 Applied to Prior Year Election

Name: LEO M SHORTINO FAMILY FOUNDATION

EIN: 68-0237238

Election: ANY QUALIFYING DISTRIBUTION MADE DURING A TAXABLE YEAR SHALL BE TREATED AS MADE -- FIRST OUT OF THE UNDISTRIBUTED INCOME OF THE IMMEDIATELY PRECEDING TAXABLE YEAR TO THE EXTENT THEREOF; SECOND OUT OF THE UNDISTRIBUTED INCOME FOR THE TAXABLE YEAR TO THE EXTENT THEREOF; AND THEN OUT OF CORPUS.

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: LEO M SHORTINO FAMILY FOUNDATION

EIN: 68-0237238

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
COMPUTER	2010-08-01	1,245	1,003	SL	3 0000000000000	242	0		
SONY VAIO AND ACCESSORIES	2011-03-01	1,144	699	SL	3 0000000000000	381	0		
DELL TOWER AND ACCESSORIES	2011-12-01	1,675	605	SL	3 0000000000000	558	0		
2 IPADS	2012-09-01	1,398	156	SL	3 0000000000000	466	0		

**TY 2013 Investments Corporate
Bonds Schedule**

Name: LEO M SHORTINO FAMILY FOUNDATION
EIN: 68-0237238

Name of Bond	End of Year Book Value	End of Year Fair Market Value
FIXED INCOME	12,357,665	12,357,665

**TY 2013 Investments Corporate
Stock Schedule**

Name: LEO M SHORTINO FAMILY FOUNDATION
EIN: 68-0237238

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITIES	17,793,076	17,793,076

TY 2013 Investments - Other Schedule

Name: LEO M SHORTINO FAMILY FOUNDATION

EIN: 68-0237238

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
REAL ESTATE	FMV	8,901,932	8,901,932
ALTERNATIVE INVESTMENTS	FMV	7,948,300	7,948,300

TY 2013 Land, Etc. Schedule**Name:** LEO M SHORTINO FAMILY FOUNDATION**EIN:** 68-0237238

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
COMPUTER	1,245	1,245	0	0
SONY VAIO AND ACCESSORIES	1,144	1,080	64	64
DELL TOWER AND ACCESSORIES	1,675	1,163	512	512
2 IPADS	1,398	622	776	776

TY 2013 Other Assets Schedule

Name: LEO M SHORTINO FAMILY FOUNDATION

EIN: 68-0237238

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
RENTS RECEIVABLE	11,308	27,232	27,232

TY 2013 Other Decreases Schedule**Name:** LEO M SHORTINO FAMILY FOUNDATION**EIN:** 68-0237238

Description	Amount
CHANGE IN DEFERRED EXCISE TAXES	3,000

TY 2013 Other Expenses Schedule**Name:** LEO M SHORTINO FAMILY FOUNDATION**EIN:** 68-0237238

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	1,781	11		1,161
OFFICE EXPENSE	11,582	232		9,150
REAL ESTATE INVESTEMENT EXPENSES	253,051	253,051		0
MISCELLANEOUS	2,668	205		1,864

TY 2013 Other Income Schedule

Name: LEO M SHORTINO FAMILY FOUNDATION

EIN: 68-0237238

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
INCOME FROM RENTAL INVESTMENT PROPERTY	821,986	0	821,986

TY 2013 Other Increases Schedule

Name: LEO M SHORTINO FAMILY FOUNDATION

EIN: 68-0237238

Description	Amount
UNREALIZED GAINS	2,476,097

TY 2013 Other Liabilities Schedule

Name: LEO M SHORTINO FAMILY FOUNDATION

EIN: 68-0237238

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED EXCISE TAX LIABILITY	22,000	25,000

TY 2013 Other Professional Fees Schedule**Name:** LEO M SHORTINO FAMILY FOUNDATION**EIN:** 68-0237238

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESETMENT MANAGEMENT	149,778	149,778		0
AUDIT AND TAX PREPARATION	19,700	0		0

TY 2013 Section 4942(a)(2)**Explanation Statement****Name:** LEO M SHORTINO FAMILY FOUNDATION**EIN:** 68-0237238**Explanation:** 2012

TY 2013 Taxes Schedule**Name:** LEO M SHORTINO FAMILY FOUNDATION**EIN:** 68-0237238

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,222	0		0
EXCISE	23,992	0		0