Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation SBMT Charitable Trust	**A** Employer identification number 65-6312009
Number and street (or P O box number if mail is not delivered to street address) 201 SE 24 Avenue — Room/suite	**B** Telephone number (see instructions) 954-941-5533
City or town, state or province, country, and ZIP or foreign postal code Pompano Beach, FL 33062	**C** If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 1,465,334

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	56,449	56,449		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	49,945			
	b	Gross sales price for all assets on line 6a 345,057				
	7	Capital gain net income (from Part IV, line 2)		49,945		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)	0			
	11	Other income (attach schedule)	25	25		
	12	**Total.** Add lines 1 through 11	106,419	106,419		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	0	0		
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	2,818	2,818		
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	3,189	3,189		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	501	501		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	6,508	6,508		
	25	Contributions, gifts, grants paid	70,000			70,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	76,508	6,508		70,000
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	29,911			
	b	**Net investment income** (if negative, enter -0-)		99,911		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing	757	757	757
2	Savings and temporary cash investments	9,185	28,299	28,299
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S and state government obligations (attach schedule)	25,026	25,026	25,424
b	Investments—corporate stock (attach schedule)	498,376	546,744	795,721
c	Investments—corporate bonds (attach schedule)	526,627	504,056	518,189
11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	105,000	90,000	96,944
14	Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,164,971	1,194,882	1,465,334
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	1,164,971	1,194,882	
31	**Total liabilities and net assets/fund balances** (see instructions)	1,164,971	1,194,882	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,164,971
2	Enter amount from Part I, line 27a	2	29,911
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,194,882
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,194,882

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	Publicly Traded Securities - See statement of capital gains and losses			
b	attached	P	Various	Various
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b	345,057	0	295,112	49,945
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	49,945
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	104,205	1,379,625	0.0755
2011	60,000	1,417,873	0.0423
2010	70,593	1,373,554	0.0514
2009	69,674	1,255,042	0.0555
2008	68,462	1,446,912	0.0473

2	**Total** of line 1, column (d)	2	0.2720
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.0544
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,439,160
5	Multiply line 4 by line 3	5	78,290
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	999
7	Add lines 5 and 6	7	79,289
8	Enter qualifying distributions from Part XII, line 4	8	70,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	1,998	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0	
3	Add lines 1 and 2	3	1,998	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	1,998	
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a 3,903			
b	Exempt foreign organizations—tax withheld at source — 6b			
c	Tax paid with application for extension of time to file (Form 8868) — 6c			
d	Backup withholding erroneously withheld — 6d			
7	Total credits and payments. Add lines 6a through 6d	7	3,903	
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9		
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,905	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 1,905 **Refunded** ▶	11		

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		✓
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ Florida			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	
	Website address ▶ NONE			
14	The books are in care of ▶ Daniel J. Trunk, Administrative Trustee Telephone no. ▶ 954-785-4732 Located at ▶ 1390 S. Ocean Blvd., Apt. 5-D, Pompano Beach, FL ZIP+4 ▶ 33062			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No If "Yes," list the years ▶ 20___, 20___, 20___, 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		✓
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___, 20___, 20___, 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
James J. Trunk 1390 S. Ocean Blvd. Unit 5-D, Pompano Beach, FL 33062	Trustee & Advisory Board - 1	0	0	0
Michele T. Raia 1250 SW 21 Lane, Boca Raton, FL 33486	Trustee & Advisory Board - 1	0	0	0
Ross Raia 1250 SW 21 Lane, Boca Raton, FL 33486	Trustee & Advisory Board - 1	0	0	0
Daniel J. Trunk 2115 S Ocean Blvd Unit 9, Boca Raton, FL 33483	Trustee & Advisory Board - 1	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,460,319
b	Average of monthly cash balances	1b	757
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,461,076
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,461,076
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	21,916
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,439,160
6	**Minimum investment return.** Enter 5% of line 5	6	71,958

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	71,958
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,998		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,998
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	69,960
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	69,960
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	69,960

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	70,000
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	70,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	70,000

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				69,960
2	Undistributed income, if any, as of the end of 2013:				
a	Enter amount for 2012 only			50,996	
b	Total for prior years: 20___,20___,20___		0		
3	Excess distributions carryover, if any, to 2013:				
a	From 2008				
b	From 2009				
c	From 2010				
d	From 2011				
e	From 2012 36,814				
f	**Total** of lines 3a through e	36,814			
4	Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 70,000				
a	Applied to 2012, but not more than line 2a			50,996	
b	Applied to undistributed income of prior years (Election required—see instructions)		0		
c	Treated as distributions out of corpus (Election required—see instructions)	0			
d	Applied to 2013 distributable amount				19,004
e	Remaining amount distributed out of corpus	0			
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	36,814			36,814
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b	Prior years' undistributed income. Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d	Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e	Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f	Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				14,142
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0			
10	Analysis of line 9:				
a	Excess from 2009				
b	Excess from 2010				
c	Excess from 2011				
d	Excess from 2012				
e	Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . . ▶ N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See attached list				70,000
Total . . . . ▶ 3a				70,000
b *Approved for future payment*				
Total . . . . ▶ 3b				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	56,449	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	49,945	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a Civil Action Proceeds			14	25	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				106,419	

13 Total. Add line 12, columns (b), (d), and (e) 13 106,419

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NONE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 8/14/14 Title: Co-Trustee

May the IRS discuss this return with the preparer shown below (see instructions)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Larry F. Witte	[signature]	8/14/2014		P014779791
Firm's name ▶ Witte & Craig, P.A.			Firm's EIN ▶	59-2098061
Firm's address ▶ 201 SE 24 Avenue, Pompano Beach, FL 33062			Phone no	954-941-5533

The SBMT Charitable Trust
EIN: 65-6312009
Schedule of Information for Form 990-PF
Calendar Year 2013

Part I, Line 11
Other Income

Civil Class Action Proceeds	$ 25.

Part I, Line 16
Legal Fees

Witte & Craig, P.A. for legal services and preparation of Form 990-PF	$ 2,818.

Other Professional Fees

BNY, Mellon, N.A. - Investment, custodial and accounting services	$ 2,994.
BNY, Mellon, N.A. – Tax Preparation fee	195.
	$ 3,189.

Part I, Line 18
Taxes

Foreign dividend taxes withheld	$ 501.

Part II, Line 10, Investments, Securities
SEE ATTACHED STATEMENT

Part IV, Line 1a, Capital Gains and Losses
SEE ATTACHED STATEMENTS

SBMT Charitable Trust
EIN: 65-6312009
Schedule of Information for Form 990-PF
Calendar Year 2013

Part XV

3a. Grants and Contributions Paid During the Year

Name and address	Amount
Surfaid International USA 191 Calle Magdalena, Suite 290 Encinitas, CA 92024	$ 20,000.
El Porvenir 1420 Ogden Street, Suite 204 Denver, CO 80218	10,000.
The Piarist School Highway 80. Box 870 Martin, KY 41649	10,000.
Veterans In Need Foundation 1321 S. Dixie Highway Pompano Beach, FL 33060	20,000.
The Roberta Clemente Santa Ana Health Clinic, Inc. 105 W. Monument Street Baltimore, MD 20201	10,000.

Note: All of the above contributions have been made to tax exempt qualified charitable organizations for their unrestricted use.

Fixed income

Taxable

Individual securities

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
25,000	United States Treasury Note DTD 5/15/2004 4.75% 5/15/2014 Moody's: AAA S&P: AA+	$ 101.6950	$ 25,423.75	$ 25,026.37	$ 397.38	$ 1,187.50	4.7%	1.7%
Total taxable individual securities			$ 25,423.75	$ 25,026.37	$ 397.38	$ 1,187.50		1.7%

Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
6,019.262	BNY Mellon Corporate Bond Fund	$ 12.5500	$ 75,541.74	$ 75,000.00	$ 541.74	$ 2,359.55	3.1%	5.1%
28,340.741	BNY Mellon Intermediate Bond Fund	12.6700	359,077.19	349,055.54	10,021.65	7,765.36	2.2	24.2
Total taxable pooled vehicle			$ 434,618.93	$ 424,055.54	$ 10,563.39	$ 10,124.91		29.3%
Total taxable fixed income			**$ 460,042.68**	**$ 449,081.91**	**$ 10,960.77**	**$ 11,312.41**		**31.0%**

High yield

Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
4,777.07	Dreyfus High Yield Fund	$ 6.7500	$ 32,245.22	$ 30,000.00	$ 2,245.22	$ 2,063.69	6.4%	2.2%
Total high yield pooled vehicle			$ 32,245.22	$ 30,000.00	$ 2,245.22	$ 2,063.69		2.2%
Total high yield fixed income			**$ 32,245.22**	**$ 30,000.00**	**$ 2,245.22**	**$ 2,063.69**		**2.2%**

Emerging markets

Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
1,874.063	Dreyfus Emerging Markets Debt Local Currency Fund	$ 13.8700	$ 25,993.25	$ 25,000.00	$ 993.25	$ 1,150.67	4.4%	1.8%
3,015.682	Tcw Emerging Markets Income Fund-I	8.4000	25,331.73	25,000.00	331.73	1,507.84	6.0	1.7
Total emerging markets pooled vehicle			$ 51,324.98	$ 50,000.00	$ 1,324.98	$ 2,658.51		3.5%
Total emerging markets fixed income			**$ 51,324.98**	**$ 50,000.00**	**$ 1,324.98**	**$ 2,658.51**		**3.5%**
Total fixed income			**$ 543,612.88**	**$ 529,081.91**	**$ 14,530.97**	**$ 16,034.61**		**36.6%**

Equities

U.S. large cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
17	Actavis PLC (ACT)	$ 168.0000	$ 2,856.00	$ 2,448.17	$ 407.83	$ 0.00	0.0%	0.2%
40	Aon PLC (AON)	83.8900	3,355.60	2,762.06	593.54	28.00	0.8	0.2
30	Accenture PLC (ACN)	82.2200	2,466.60	2,306.58	160.02	55.80	2.3	0.2
70	Eaton Corp PLC (ETN)	76.1200	5,328.40	4,175.34	1,153.06	117.60	2.2	0.4
50	Ingersoll-Rand PLC (IR)	61.6000	3,080.00	2,502.35	577.65	42.00	1.4	0.2
90	Invesco Limited (IVZ)	36.4000	3,276.00	2,928.48	347.52	81.00	2.5	0.2
70	Avago Technologies (AVGO)	52.8790	3,701.53	2,980.99	720.54	70.00	1.9	0.3

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
40	AT&T Inc (T)	35.1600	1,406.40	1,204.09	202.31	73.60	5.2	0.1
60	Adobe Systems Inc (ADBE)	59.8790	3,592.74	2,839.28	753.46	0.00	0.0	0.2
620	Alcatel-Lucent (ALU) Sponsored ADR	4.4000	2,728.00	2,441.74	286.26	0.00	0.0	0.2
20	Allergan Inc (AGN)	111.0800	2,221.60	2,161.59	60.01	4.00	0.2	0.2
6	Alliance Data Sys Corp (ADS)	262.9300	1,577.58	356.14	1,221.44	0.00	0.0	0.1
13	Amazon.Com Inc (AMZN)	398.7900	5,184.27	3,293.52	1,890.75	0.00	0.0	0.4
30	American Express Company (AXP)	90.7300	2,721.90	1,221.33	1,500.57	27.60	1.0	0.2
20	Ameriprise Financial Inc (AMP)	115.0500	2,301.00	453.59	1,847.41	41.60	1.8	0.2
32	Amgen Inc (AMGN)	114.0800	3,650.56	3,740.81	-90.25	78.08	2.1	0.3
20	Anadarko Petroleum Corp (APC)	79.3200	1,586.40	850.73	735.67	14.40	0.9	0.1
19	Apple Inc (AAPL)	561.0200	10,659.38	4,583.29	6,076.09	231.80	2.2	0.7
50	Beam Inc (BEAM)	68.0600	3,403.00	2,969.31	433.69	45.00	1.3	0.2
50	Bristol-Myers Squibb Company (BMY)	53.1500	2,657.50	2,185.72	471.78	72.00	2.7	0.2
50	C B S Corp (CBS) Class B	63.7400	3,187.00	1,341.94	1,845.06	24.00	0.8	0.2
40	Cabot Oil & Gas Corp Cl A (COG)	38.7600	1,550.40	1,459.98	90.42	3.20	0.2	0.1
70	Capital One Financial Corporation (COF)	76.6100	5,362.70	3,803.58	1,559.12	84.00	1.6	0.4
20	Caterpillar Inc (CAT)	90.8100	1,816.20	1,677.16	139.04	48.00	2.6	0.1
30	Celanese Corp (CE) Series A	55.3100	1,659.30	1,452.65	206.65	21.60	1.3	0.1
80	Centerpoint Energy Inc (CNP)	23.1800	1,854.40	1,937.74	-83.34	66.40	3.6	0.1
26	Chevron Corporation (CVX)	124.9100	3,247.66	3,173.52	74.14	104.00	3.2	0.2
40	Coca - Cola Co (KO)	41.3100	1,652.40	1,605.59	46.81	44.80	2.7	0.1
50	Comcast Corp Cl A (CMCSA)	51.9650	2,598.25	2,259.03	339.22	39.00	1.5	0.2
19	Costco Whsl Corp New (COST)	119.0200	2,261.38	2,206.63	54.75	23.56	1.0	0.2
20	Danaher Corp (DHR)	77.2000	1,544.00	564.49	979.51	2.00	0.1	0.1
80	The Walt Disney Company (DIS)	76.4000	6,112.00	3,672.50	2,439.50	68.80	1.1	0.4

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
30	Dover Corp (DOV)	96.5400	2,896.20	2,633.01	263.19	45.00	1.6	0.2
40	Express Scripts Holdings, Inc. (ESRX)	70.2400	2,809.60	2,379.06	430.54	0.00	0.0	0.2
50	Exxon Mobil Corp (XOM)	101.2000	5,060.00	1,898.30	3,161.70	126.00	2.5	0.3
30	Facebook Inc. (FB)	54.6490	1,639.47	1,091.72	547.75	0.00	0.0	0.1
20	Family Dlr Stores Inc (FDO)	64.9700	1,299.40	1,416.41	-117.01	20.80	1.6	0.1
80	Fidelity National Financial Inc (FNF)	32.4500	2,596.00	2,407.87	188.13	57.60	2.2	0.2
40	Freeport McMoran Copper & Gold Inc (FCX)	37.7400	1,509.60	1,265.58	244.02	50.00	3.3	0.1
270	General Electric Company (GE)	28.0300	7,568.10	5,683.29	1,884.81	237.60	3.1	0.5
50	Gilead Sciences Inc (GILD)	75.1000	3,755.00	2,971.48	783.52	0.00	0.0	0.3
6	Google Inc (GOOG)	1,120.7100	6,724.26	5,349.04	1,375.22	0.00	0.0	0.5
50	Halliburton Co (HAL)	50.7500	2,537.50	2,297.98	239.52	30.00	1.2	0.2
40	Harley Davidson Inc (HOG)	69.2400	2,769.60	2,347.60	422.00	33.60	1.2	0.2
40	Home Depot Inc (HD)	82.3400	3,293.60	3,154.43	139.17	62.40	1.9	0.2
30	Honeywell Intl Inc (HON)	91.3700	2,741.10	2,497.80	243.30	54.00	2.0	0.2
28	Intercontinentalexchange Group, Inc.(ICE)	224.9200	6,297.76	4,399.18	1,898.58	72.80	1.2	0.4
79	JP Morgan Chase & Co (JPM)	58.4800	4,619.92	1,815.54	2,804.38	120.08	2.6	0.3
40	Johnson Controls Inc (JCI)	51.3000	2,052.00	1,656.34	395.66	35.20	1.7	0.1
20	K L A - Tencor Corp (KLAC)	64.4600	1,289.20	1,284.04	5.16	36.00	2.8	0.1
40	Las Vegas Sands Corp (LVS)	78.8700	3,154.80	2,248.16	906.64	56.00	1.8	0.2
80	Marathon Oil Corp (MRO)	35.3000	2,824.00	2,804.97	19.03	60.80	2.2	0.2
12	McKesson Corporation (MCK)	161.4000	1,936.80	528.80	1,408.00	11.52	0.6	0.1
70	Metlife Inc (MET)	53.9200	3,774.40	3,465.03	309.37	77.00	2.0	0.3
60	Mondelez International (MDLZ)	35.3000	2,118.00	1,931.10	186.90	33.60	1.6	0.1
40	National Oilwell Varco Inc. (NOV)	79.5300	3,181.20	2,780.80	400.40	41.60	1.3	0.2
30	Nextera Energy Inc (NEE)	85.6200	2,568.60	1,637.25	931.35	79.20	3.1	0.2
40	Nucor Corp (NUE)	53.3800	2,135.20	1,932.24	202.96	59.20	2.8	0.1

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
17	Occidental Petroleum Corp (OXY)	95.1000	1,616.70	943.53	673.17	43.52	2.7	0.1
50	Ocwen Financial Corporation (OCN)	55.4500	2,772.50	2,589.20	183.30	0.00	0.0	0.2
40	PNC Financial Services Group (PNC)	77.5800	3,103.20	3,037.25	65.95	70.40	2.3	0.2
24	P V H Corp (PVH)	136.0200	3,264.48	2,387.46	877.02	3.60	0.1	0.2
85	Pepsico Inc (PEP)	82.9400	7,049.90	4,622.34	2,427.56	192.95	2.7	0.5
320	Pfizer Inc (PFE)	30.6300	9,801.60	5,333.26	4,468.34	332.80	3.4	0.7
80	Philip Morris International Inc (PM)	87.1300	6,970.40	4,740.97	2,229.43	300.80	4.3	0.5
10	Praxair Inc (PX)	130.0300	1,300.30	389.21	911.09	24.00	1.9	0.1
40	The Procter & Gamble Company (PG)	81.4100	3,256.40	3,256.40		96.24	3.0	0.2
70	Salesforce.Com Inc (CRM)	55.1900	3,863.30	3,157.17	706.13	0.00	0.0	0.3
40	Schlumberger Ltd (SLB)	90.1100	3,604.40	3,237.02	367.38	50.00	1.4	0.2
20	Sempra Energy (SRE)	89.7600	1,795.20	1,732.11	63.09	50.40	2.8	0.1
80	Servicenow, Inc. (NOW)	56.0100	4,480.80	3,589.87	890.93	0.00	0.0	0.3
22	Shire PLC (SHPG) ADR	141.2900	3,108.38	2,446.87	661.51	11.62	0.4	0.2
50	Southwestern Energy Co (SWN)	39.3300	1,966.50	1,876.62	89.88	0.00	0.0	0.1
50	State Street Corp (STT)	73.3900	3,669.50	3,471.11	198.39	52.00	1.4	0.3
130	Taiwan Semiconductor Mfg Co Ltd (TSM) Sponsored ADR	17.4400	2,267.20	2,107.13	160.07	52.13	2.3	0.2
60	Teva Pharmaceutical Inds Ltd ADR (TEVA)	40.0800	2,404.80	2,442.19	-37.39	65.16	2.7	0.2
23	Thermo Fisher Scientific Inc. (TMO)	111.3500	2,561.05	2,497.93	63.12	13.80	0.5	0.2
80	Twenty-First Century Fox, Inc. (FOX)	34.6000	2,768.00	1,043.53	1,724.47	20.00	0.7	0.2
2,500	US Bancorp (USB)	40.4000	101,000.00	0.03	100,999.97	2,300.00	2.3	6.8
8	Union Pac Corp (UNP)	168.0000	1,344.00	1,275.15	68.85	25.28	1.9	0.1
25	United Technologies Corp (UTX)	113.8000	2,845.00	2,638.65	206.35	59.00	2.1	0.2
60	Valero Energy Corp New (VLO)	50.4000	3,024.00	2,235.04	788.96	54.00	1.8	0.2
30	Verizon Communications Inc (VZ)	49.1400	1,474.20	1,473.14	1.06	63.60	4.3	0.1

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
20	Vmware Inc (VMW)	89.7100	1,794.20	1,713.43	80.77	0.00	0.0	0.1
130	Wells Fargo & Company (WFC)	45.4000	5,902.00	3,031.60	2,870.40	156.00	2.6	0.4
140	Yahoo Inc (YHOO)	40.4400	5,661.60	3,867.67	1,793.93	0.00	0.0	0.4
30	Yum! Brands Inc (YUM)	75.6100	2,268.30	2,236.82	31.48	44.40	2.0	0.2
7,288.63	BNY Mellon Income Stock Fund (MPISX)	9.1700	66,836.74	50,000.00	16,836.74	1,479.59	2.2	4.5
Total U.S. large cap			**$ 447,526.11**	**$ 260,809.64**	**$ 186,716.47**	**$ 8,473.13**		**30.1%**

U.S. mid cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
2,410.423	The BNY Mellon Mid Cap (MPMCX) Multi-Strategy Fund	$ 14.5000	$ 34,951.13	$ 19,294.89	$ 15,656.24	$ 130.16	0.4%	2.4%
864.362	Dreyfus Midcap Index Fund (PESPX)	36.7500	31,765.30	15,499.23	16,266.07	278.32	0.9	2.1
Total U.S. mid cap			**$ 66,716.43**	**$ 34,794.12**	**$ 31,922.31**	**$ 408.48**		**4.5%**

U.S. small cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
539.957	Dreyfus Select Managers Small Cap (DSGIX) Growth Fund	$ 26.1700	$ 14,130.67	$ 10,000.00	$ 4,130.67	$ 0.00	0.0%	1.0%
781.25	Dreyfus Select Managers Small Cap (DMVIX) Value Fund	24.8300	19,398.44	15,000.00	4,398.44	65.94	0.3	1.3
Total U.S. small cap			**$ 33,529.11**	**$ 25,000.00**	**$ 8,529.11**	**$ 65.94**		**2.3%**

U.S. small-mid cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
3,886.133	BNY Mellon Small/Mid Cap Fund (MMCMX)	$ 15.9400	$ 61,944.96	$ 55,000.00	$ 6,944.96	$ 324.49	0.5%	4.2%
Total U.S. small-mid cap			**$ 61,944.96**	**$ 55,000.00**	**$ 6,944.96**	**$ 324.49**		**4.2%**

Developed international

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
5,512.678	Dreyfus Diversified International (DFPIX) Fund	$ 11.6900	$ 64,443.21	$ 50,000.00	$ 14,443.21	$ 1,047.41	1.6%	4.3%
Total developed international			**$ 64,443.21**	**$ 50,000.00**	**$ 14,443.21**	**$ 1,047.41**		**4.3%**

Emerging markets

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
9,509.562	BNY Mellon Emerging Markets Fund (MEMKX)	$ 9.9400	$ 94,525.05	$ 96,140.49	$ -1,615.44	$ 1,008.01	1.1%	6.4%
Total emerging markets			**$ 94,525.05**	**$ 96,140.49**	**$ -1,615.44**	**$ 1,008.01**		**6.4%**

Equity reits

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
3,337.784	Dreyfus Global Real Estate (DRLIX) Securities Fund	$ 8.1000	$ 27,036.05	$ 25,000.00	$ 2,036.05	$ 891.19	3.3%	1.8%
Total equity reits			**$ 27,036.05**	**$ 25,000.00**	**$ 2,036.05**	**$ 891.19**		**1.8%**
Total equities			**$ 795,720.92**	**$ 546,744.25**	**$ 248,976.67**	**$ 12,218.65**		**53.5%**

Alternative investments

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
1,556.42	Advantage Global Alpha Fund	$ 14.6300	$ 22,770.42	$ 20,000.00	$ 2,770.42	$ 0.00	0.0%	1.5%
2,109.705	ASG Managed Futures Strategy Fund	10.2600	21,645.57	20,000.00	1,645.57	0.42	0.0	1.5

Alternative investments
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
4,595.588	ASG Global Alternatives Fund-Y	11.4300	52,527.57	50,000.00	2,527.57	0.00	0.0	3.5
Total alternative investments			**$ 96,943.56**	**$ 90,000.00**	**$ 6,943.56**	**$ 0.42**		**6.5%**
Total assets			**$ 1,484,841.98**	**$ 1,214,390.78**	**$ 270,451.20**	**$ 28,253.68**		**100.0%**

Income for 2013

This section reports your proceeds from sales during the year. We are not required nor do we report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully before preparing your tax return.

Additionally, to provide you with complete information for your tax returns, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment. Rather, under IRC Section 988, they are either ordinary income or loss.

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
RALCORP HLDGS INC NEW	RAH	751028101	10	03/28/12	01/29/13	$900.00	$735 99	$164 01
RALCORP HLDGS INC NEW	RAH	751028101	10	03/27/12	01/29/13	$900.00	$735.02	$164 98
SANOFI-SYNTHELABO	SNY	80105N105	2	07/20/12	02/26/13	$92.63	$76.21	$16.42
SANOFI-SYNTHELABO	SNY	80105N105	18	07/25/12	02/26/13	$833.71	$676.96	$156.75
SANOFI-SYNTHELABO	SNY	80105N105	26	07/23/12	02/27/13	$1,205 59	$972 39	$233 20
SANOFI-SYNTHELABO	SNY	80105N105	5	07/24/12	02/27/13	$231.84	$184 99	$46 85
SANOFI-SYNTHELABO	SNY	80105N105	35	07/25/12	02/27/13	$1,622.91	$1,316 30	$306 61
SANOFI-SYNTHELABO	SNY	80105N105	4	07/25/12	02/27/13	$185 48	$150.02	$35 46
SKYWORKS SOLUTIONS INC	SWKS	83088M102	40	12/05/12	03/15/13	$869 16	$936.65	$-67.49
SKYWORKS SOLUTIONS INC	SWKS	83088M102	30	12/05/12	03/18/13	$647.19	$702.48	$-55 29
LYONDELLBASELL INDU-CL A-W/I	LYB	N53745100	10	04/27/12	04/15/13	$557.72	$460 35	$97 37
LYONDELLBASELL INDU-CL A-W/I	LYB	N53745100	10	04/27/12	04/15/13	$557.72	$460 35	$97 37
LYONDELLBASELL INDU-CL A-W/I	LYB	N53745100	10	04/27/12	04/16/13	$566.97	$460 35	$106 62
CBRE GROUP INC	CBG	12504L109	6	12/07/12	04/17/13	$141.95	$114 01	$27 94

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
CBRE GROUP INC	CBG	12504L109	11	12/11/12	04/17/13	$260.25	$216.24		$44.01
CBRE GROUP INC	CBG	12504L109	13	12/10/12	04/17/13	$307.56	$249 93		$57 63
CBRE GROUP INC	CBG	12504L109	7	12/07/12	04/18/13	$164 85	$133 02		$31 83
CBRE GROUP INC	CBG	12504L109	2	12/06/12	04/18/13	$47.10	$37.53		$9.57
EATON CORP PLC	ETN	G29183103	0 99	11/16/12	04/18/13	$55 27	$51.21	*	$4.06
EATON CORP PLC	ETN	G29183103	11 01	11/30/12	04/18/13	$613.27	$567 61	*	$45.66
CBRE GROUP INC	CBG	12504L109	3	12/07/12	04/18/13	$70 65	$56.37		$14.28
CBRE GROUP INC	CBG	12504L109	8	12/06/12	04/19/13	$188.11	$150.12		$37.99
CBRE GROUP INC	CBG	12504L109	10	12/05/12	04/19/13	$235.14	$187 22		$47.92
EATON CORP PLC	ETN	G29183103	18	11/30/12	04/19/13	$1,011.30	$928.15	*	$83.15
MOODYS CORP	MCO	615369105	10	12/05/12	07/12/13	$610.02	$494.78		$115 24
MOODYS CORP	MCO	615369105	20	12/05/12	07/15/13	$1,214.80	$989.57		$225 23
MOODYS CORP	MCO	615369105	4	12/04/12	07/16/13	$241 00	$196 29		$44 71
MOODYS CORP	MCO	615369105	6	12/05/12	07/16/13	$361.50	$296 87		$64.63
ADT CORP/THE	ADT	00101J106	4	12/26/12	08/09/13	$164.51	$178.90	*	$-14 39
HCA HOLDINGS INC	HCA	40412C101	8	05/02/13	08/09/13	$309 17	$323 64		$-14.47
HIGHBRIDGE DYNAMIC COMM STRA`	HDCSX	48121A670	923 08	09/18/12	08/09/13	$11,021.54	$15,000 00		$-3,978.46
PHILIP MORRIS INTERNATIONAL	PM	718172109	10	02/25/13	08/09/13	$884 41	$929 10		$-44 69
CISCO SYS INC	CSCO	17275R102	150	01/17/13	08/09/13	$3,884.54	$3,153 35		$731.19
CISCO SYS INC	CSCO	17275R102	50	01/30/13	08/09/13	$1,294 85	$1,027 75		$267.10
MARTIN MARIETTA MATLS INC	MLM	573284106	1	07/12/13	08/09/13	$98.28	$105.43		$-7.15
MARTIN MARIETTA MATLS INC	MLM	573284106	3	07/15/13	08/09/13	$294 84	$317.20		$-22 36
MARTIN MARIETTA MATLS INC	MLM	573284106	2	07/16/13	08/09/13	$196 56	$209 13		$-12 57
MARTIN MARIETTA MATLS INC	MLM	573284106	1	07/17/13	08/09/13	$98.28	$104 43		$-6.15
MARTIN MARIETTA MATLS INC	MLM	573284106	3	07/17/13	08/09/13	$294 84	$315 23		$-20 39
VISA INC	V	92826C839	11	03/27/13	08/09/13	$1,979.86	$1,855 06		$124 80
VISA INC	V	92826C839	5	03/28/13	08/09/13	$899.94	$847.68		$52.26
CHUBB CORPORATION COM	CB	171232101	2	04/18/13	08/09/13	$170 55	$173.75		$-3.20

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
CHUBB CORPORATION COM	CB	171232101	2	04/18/13	08/09/13	$170.55	$173.99	$-3.44
CHUBB CORPORATION COM	CB	171232101	6	04/19/13	08/09/13	$511.65	$526.81	$-15.16
ELI LILLY & CO COM	LLY	532457108	30	12/21/12	08/09/13	$1,610.07	$1,476 61	$133.46
ELI LILLY & CO COM	LLY	532457108	40	12/21/12	08/09/13	$2,146.76	$1,983 17	$163.59
ELI LILLY & CO COM	LLY	532457108	20	01/31/13	08/09/13	$1,073.38	$1,080.61	$-7 23
JOHNSON & JOHNSON COM	JNJ	478160104	30	03/15/13	08/09/13	$2,760.40	$2,371.09	$389 31
JOHNSON & JOHNSON COM	JNJ	478160104	10	03/18/13	08/09/13	$920.13	$789.27	$130.86
TEXAS INSTRUMENTS INC	TXN	882508104	50	03/05/13	08/09/13	$1,977.77	$1,747.53	$230 24
CONAGRA FOODS INC	CAG	205887102	30	11/27/12	08/09/13	$1,098.28	$889 37	$208.91
CONAGRA FOODS INC	CAG	205887102	20	12/06/12	08/09/13	$732.19	$593.34	$138 85
AMERICAN INTERNATIONAL GROUP	AIG	026874784	70	09/12/12	08/09/13	$3,364.73	$2,366.09	$998.64
BANK AMER CORP	BAC	060505104	140	12/21/12	08/09/13	$2,021.33	$1,582 20	$439 13
XILINX INC	XLNX	983919101	10	07/12/13	08/09/13	$451.66	$429.97	$21 69
XILINX INC	XLNX	983919101	10	07/15/13	08/09/13	$451.66	$433.16	$18 50
XILINX INC	XLNX	983919101	10	07/16/13	08/09/13	$451 66	$436.66	$15 00
XILINX INC	XLNX	983919101	20	07/17/13	08/09/13	$903.32	$869.29	$34 03
XILINX INC	XLNX	983919101	10	07/17/13	08/09/13	$451.66	$434.59	$17 07
AMPHENOL CORP NEW	APH	032095101	2	03/05/13	08/09/13	$154.08	$144 94	$9 14
AMPHENOL CORP NEW	APH	032095101	7	03/06/13	08/09/13	$539 28	$509 46	$29 82
AMPHENOL CORP NEW	APH	032095101	11	03/07/13	08/09/13	$847.43	$798 22	$49 21
BEST BUY INC COM	BBY	086516101	42	03/18/13	08/09/13	$1,283.00	$930 30	$352 70
BEST BUY INC COM	BBY	086516101	48	03/19/13	08/09/13	$1,466 28	$1,059 79	$406.49
NIKE INC CL B	NKE	654106103	10	02/22/13	08/09/13	$660.43	$544.09	$116 34
NIKE INC CL B	NKE	654106103	20	02/25/13	08/09/13	$1,320 85	$1,093 31	$227 54
NIKE INC CL B	NKE	654106103	10	02/26/13	08/09/13	$660.43	$543.16	$117.27
DTE ENERGY CO	DTE	233331107	10	04/15/13	08/09/13	$704.18	$706.23	$-2.05
DTE ENERGY CO	DTE	233331107	10	04/16/13	08/09/13	$704.18	$710 32	$-6.14
DTE ENERGY CO	DTE	233331107	10	04/17/13	08/09/13	$704 18	$715 80	$-11.62

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
DTE ENERGY CO	DTE	233331107	10	04/18/13	08/09/13	$704.18	$720.07		$-15 89
TYCO INTERNATIONAL LTD	TYC	H89128104	10	12/26/12	08/09/13	$351.64	$290 79		$60 85
TYCO INTERNATIONAL LTD	TYC	H89128104	20	12/27/12	08/09/13	$703.27	$577.61		$125.66
TERADATA CORP DEL	TDC	88076W103	10	01/31/13	08/09/13	$637 00	$668.97		$-31.97
INFORMATICA CORP	INFA	45666Q102	10	01/31/13	08/09/13	$381.47	$368.92		$12 55
FACEBOOK INC	FB	30303M102	50	01/31/13	08/09/13	$1,911.28	$1,568.34		$342.94
CVS CORP	CVS	126650100	30	04/17/13	08/09/13	$1,787 85	$1,715.22		$72.63
CVS CORP	CVS	126650100	7	04/18/13	08/09/13	$417.17	$398 52		$18 65
CVS CORP	CVS	126650100	3	04/19/13	08/09/13	$178.79	$172.92		$5 87
EMC CORP(MASS) USD 0 01	EMC	268648102	30	01/30/13	08/09/13	$806.28	$743 09		$63 19
FLUOR CORP NEW	FLR	343412102	10	10/12/12	08/09/13	$656.77	$563.69		$93 08
FLUOR CORP NEW	FLR	343412102	10	10/15/12	08/09/13	$656 77	$569.09		$87.68
FLUOR CORP NEW	FLR	343412102	10	10/16/12	08/09/13	$656.77	$577 33		$79 44
WAL MART STORES INC	WMT	931142103	10	02/26/13	08/09/13	$768.91	$711 80		$57.11
WAL MART STORES INC	WMT	931142103	40	02/27/13	08/09/13	$3,075 63	$2,856 42		$219.21
WAL MART STORES INC	WMT	931142103	20	04/15/13	08/09/13	$1,537 82	$1,577.51		$-39.69
COGNIZANT TECHNOLOGY SOLUTIO	CTSH	192446102	10	01/17/13	08/09/13	$730 23	$785.66		$-55.43
JUNIPER NETWORKS INC	JNPR	48203R104	60	01/31/13	08/09/13	$1,270.31	$1,342 73		$-72 42
JUNIPER NETWORKS INC	JNPR	48203R104	20	02/01/13	08/09/13	$423 44	$447.63		$-24 19
HCA HOLDINGS INC	HCA	40412C101	30	04/29/13	08/09/13	$1,159 38	$1,219 74		$-60 36
HCA HOLDINGS INC	HCA	40412C101	2	05/01/13	08/09/13	$77.29	$79.34		$-2 05
ADT CORP/THE	ADT	00101J106	16	12/27/12	08/09/13	$658.05	$727 68	*	$-69 63
PEPSICO INC	PEP	713448108	10	08/09/13	08/15/13	$814.41	$844.02		$-29 61
PEPSICO INC	PEP	713448108	10	04/23/13	08/15/13	$814.41	$839.29		$-24.88
EQUINIX INC	EQIX	29444U502	11	08/09/13	08/15/13	$1,816.86	$2,001.14		$-184 28
PHILIP MORRIS INTERNATIONAL	PM	718172109	10	02/25/13	08/15/13	$870 19	$929 10		$-58 91
BEAM INC	BEAM	073730103	3	12/11/12	08/16/13	$185 90	$185.66		$0 24
PVH CORP	PVH	693656100	5	08/09/13	08/16/13	$634.15	$650.37		$-16.22

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
AMAZON COM INC	AMZN	023135106	2	08/09/13	08/16/13	$571.29	$596.40		$-25 11
BEAM INC	BEAM	073730103	7	02/22/13	08/16/13	$433 76	$432 52		$1 24
SCHLUMBERGER LIMITED COM	SLB	806857108	10	02/14/13	09/16/13	$866.04	$816 80		$49 24
AT&T INC	T	00206R102	10	03/05/13	09/20/13	$343.71	$366.95		$-23.24
ACTAVIS INC		00507K103	17	08/09/13	10/01/13	$2,448.17	$2,297.19		$150.98
PEPSICO INC	PEP	713448108	9	04/23/13	10/02/13	$710.79	$755.36		$-44 57
PEPSICO INC	PEP	713448108	1	04/22/13	10/02/13	$78.98	$82.93		$-3.95
BROADCOM CORP CL A	BRCM	111320107	50	08/09/13	10/02/13	$1,314 11	$1,301.63	*	$12 48
CITRIX SYS INC COM	CTXS	177376100	20	08/09/13	10/15/13	$1,145.09	$1,460 73		$-315.64
INTERNATIONAL BUSINESS MACHINE	IBM	459200101	6	08/09/13	10/17/13	$1,045.34	$1,125 18		$-79 84
ALLEGION PLC	ALLE	G0176J109	13	08/09/13	12/03/13	$558 46	$482 72		$75.74
ALLEGION PLC	ALLE	G0176J109	0.33	08/09/13	12/09/13	$13 56	$12 38		$1 18
TRAVELERS COS INC	TRV	89417E109	30	08/09/13	12/09/13	$2,653.78	$2,452 13		$201 65
APPLE COMPUTER INC COM	AAPL	037833100	2	08/09/13	12/12/13	$1,124.20	$910.11		$214.09
ALCATEL-LUCENT-SPONSORED ADR		9EE000600	620	12/19/13	12/19/13	$102.53	$0 00		$102 53
GLAXO SMITHKLINE PLC	GSK	37733W105	50	08/09/13	12/20/13	$2,573.15	$2,580 23		$-7 08
COVIDIEN PLC	COV	G2554F113	15	08/09/13	12/20/13	$1,006.19	$953 68		$52 51
COVIDIEN PLC	COV	G2554F113	15	08/09/13	12/23/13	$1,003 48	$953 67		$49.81
Total short term gain/loss from sales:						$109,106 23	$104,730.26		$4,375 97

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ALLIANCE DATA SYS CORP	ADS	018581108	2	05/29/08	01/17/13	$312.10	$121.47	$190 63
INTERNATIONAL BUSINESS MACHINE	IBM	459200101	10	07/09/09	01/17/13	$1,936 47	$1,020 40	$916.07
INTERNATIONAL BUSINESS MACHINE	IBM	459200101	8	01/23/08	01/17/13	$1,549 18	$850 16	$699 02
ALLIANCE DATA SYS CORP	ADS	018581108	5	05/29/08	01/18/13	$776.88	$303.67	$473.21

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
VMWARE INC	VMW	928563402	4	09/09/11	01/30/13	$311.36	$350 26	$-38 90
VMWARE INC	VMW	928563402	6	09/09/11	01/30/13	$467 04	$527 33	$-60.29
VMWARE INC	VMW	928563402	20	10/11/11	01/30/13	$1,556 79	$1,804.75	$-247.96
FORD MOTOR CO DEL	F	345370860	69	11/22/10	01/31/13	$883.14	$1,137 79	$-254 65
FORD MOTOR CO DEL	F	345370860	28	11/22/10	01/31/13	$358.38	$460 78	$-102.40
FORD MOTOR CO DEL	F	345370860	65	11/22/10	01/31/13	$831.94	$1,067.96	$-236.02
FORD MOTOR CO DEL	F	345370860	18	11/22/10	01/31/13	$230 38	$294 71	$-64 33
APPLE COMPUTER INC COM	AAPL	037833100	4	07/08/08	01/31/13	$1,824 79	$707 84	$1,116 95
NATIONAL-OILWELL INC	NOV	637071101	10	11/11/11	02/14/13	$704.80	$739 74	$-34.94
NATIONAL-OILWELL INC	NOV	637071101	10	12/08/11	02/14/13	$704.80	$711 53	$-6.73
APACHE CORPORATION COM	APA	037411105	19	05/05/03	02/14/13	$1,530.79	$555 06	$975 73
APACHE CORPORATION COM	APA	037411105	18	05/05/03	02/15/13	$1,392.73	$525.85	$866 88
AUTOLIV INC COM	ALV	052800109	3	01/23/12	02/22/13	$198.73	$191 74	$6 99
PVH CORP	PVH	693656100	6	11/02/11	02/22/13	$711 43	$449 79	$261 64
PVH CORP	PVH	693656100	3	11/03/11	02/22/13	$355 72	$222.21	$133.51
PVH CORP	PVH	693656100	6	11/03/11	02/22/13	$711.43	$442.08	$269 35
PVH CORP	PVH	693656100	2	11/02/11	02/22/13	$237.14	$151.15	$85.99
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	10	05/16/11	02/25/13	$396 10	$321 22	$74 88
AUTOLIV INC COM	ALV	052800109	1	01/20/12	02/25/13	$65 31	$62 62	$2 69
AUTOLIV INC COM	ALV	052800109	2	01/24/12	02/25/13	$130 62	$127 08	$3 54
CARNIVAL CORP	CCL	143658300	40	07/01/10	02/25/13	$1,389.41	$1,249.19	$140.22
AUTOLIV INC COM	ALV	052800109	2	01/23/12	02/25/13	$130.62	$127.83	$2.79
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	30	12/11/09	02/26/13	$1,173.86	$920.06	$253 80
AUTOLIV INC COM	ALV	052800109	1	01/20/12	02/26/13	$64 27	$62 62	$1 65
AUTOLIV INC COM	ALV	052800109	1	01/24/12	02/26/13	$64.27	$62 60	$1.67
AUTOLIV INC COM	ALV	052800109	6	05/28/09	02/26/13	$385.64	$155.54	$230.10
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	20	05/27/10	02/26/13	$782.58	$543.66	$238 92
AUTOLIV INC COM	ALV	052800109	14	05/28/09	02/27/13	$905.18	$362.91	$542.27

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	9	08/10/10	03/05/13	$112.63	$153 57	$-40 94
APPLE COMPUTER INC COM	AAPL	037833100	7	07/28/08	03/05/13	$3,002.05	$1,118.56	$1,883.49
ALLIANCE DATA SYS CORP	ADS	018581108	2	05/28/08	03/05/13	$317 31	$119 55	$197 76
ALLIANCE DATA SYS CORP	ADS	018581108	1	05/28/08	03/06/13	$159.21	$59 36	$99 85
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	5	08/10/10	03/06/13	$62 66	$85.24	$-22.58
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	2	08/12/10	03/06/13	$25.06	$33 93	$-8 87
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	11	08/10/10	03/06/13	$137.84	$187 69	$-49.85
ALLIANCE DATA SYS CORP	ADS	018581108	2	05/28/08	03/06/13	$318 41	$119.54	$198 87
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	8	08/11/10	03/07/13	$98.90	$134.67	$-35.77
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	10	08/12/10	03/07/13	$123.63	$169.67	$-46 04
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	23	08/11/10	03/08/13	$287.54	$387.16	$-99.62
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	4	08/11/10	03/11/13	$50.29	$67 33	$-17 04
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	4	08/11/10	03/11/13	$50.29	$67.19	$-16.90
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	4	08/11/10	03/12/13	$50 23	$67 18	$-16 95
QUALCOMM INC	QCOM	747525103	10	08/11/10	03/15/13	$651.34	$392 31	$259.03
QUALCOMM INC	QCOM	747525103	10	03/03/10	03/15/13	$651 34	$389.73	$261.61
QUALCOMM INC	QCOM	747525103	10	08/11/10	03/15/13	$651.34	$392.40	$258.94
CONOCOPHILLIPS	COP	20825C104	23	10/10/03	03/18/13	$1,364 84	$500 30	$864 54
CONOCOPHILLIPS	COP	20825C104	17	10/10/03	03/19/13	$1,003 12	$369 78	$633 34
WELLS FARGO & CO NEW	WFC	949746101	20	09/02/10	03/27/13	$739.02	$496 35	$242 67
AMERIPRISE FINL INC	AMP	03076C106	10	07/09/09	03/27/13	$729.72	$226 80	$502 92
PRICE T ROWE GROUP INC	TROW	74144T108	10	01/20/12	03/27/13	$740.93	$600.63	$140 30
WELLS FARGO & CO NEW	WFC	949746101	20	02/14/02	03/27/13	$739 02	$466 40	$272.62
ENSCO PLC	ESV	G3157S106	30	03/24/11	04/15/13	$1,630.57	$1,728 46	$-97 89
AMAZON COM INC	AMZN	023135106	6	05/02/11	04/17/13	$1,601.93	$1,206 14	$395.79
ROBERT HALF INTL INC	RHI	770323103	9	12/02/11	04/17/13	$318.43	$243 95	$74 48
ROBERT HALF INTL INC	RHI	770323103	1	12/05/11	04/17/13	$35.38	$27.95	$7 43
ENSCO PLC	ESV	G3157S106	20	03/24/11	04/17/13	$1,040.03	$1,152.30	$-112.27

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ENSCO PLC	ESV	G3157S106	10	03/23/11	04/17/13	$520.01	$569 17	$-49.16
ROBERT HALF INTL INC	RHI	770323103	10	12/02/11	04/18/13	$350.74	$271 06	$79 68
COCA-COLA ENTERPRISES INC	CCE	19122T109	7	02/17/12	04/19/13	$258 34	$201.94	$56.40
CBRE GROUP INC	CBG	12504L109	10	01/23/12	04/19/13	$235.14	$180 15	$54 99
COCA-COLA ENTERPRISES INC	CCE	19122T109	22	02/17/12	04/22/13	$808.96	$634 67	$174 29
COCA-COLA ENTERPRISES INC	CCE	19122T109	19	02/16/12	04/23/13	$702.09	$543 10	$158 99
COCA-COLA ENTERPRISES INC	CCE	19122T109	22	02/17/12	04/23/13	$812.95	$634.67	$178 28
COVIDIEN PLC	COV	G2554F113	30	01/03/11	04/29/13	$1,885 64	$1,416 25	$469 39
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	10	05/27/10	04/29/13	$433.39	$271.83	$161 56
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	10	05/27/10	04/30/13	$432.03	$271 83	$160.20
COVIDIEN PLC	COV	G2554F113	5	01/03/11	05/01/13	$317 91	$236 04	$81 87
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	10	05/27/10	05/01/13	$433 55	$271.83	$161 72
COVIDIEN PLC	COV	G2554F113	10	01/03/11	05/02/13	$641.02	$471 99	$169 03
COVIDIEN PLC	COV	G2554F113	15	01/03/11	05/02/13	$961.54	$708 12	$253.42
BNY MELLON BOND FD CL M	MPBFX	05569M830	7412 9	04/12/12	06/13/13	$98,072 64	$100,000 00	$-1,927 36
MOODYS CORP	MCO	615369105	10	04/02/12	07/17/13	$609.12	$421.98	$187 14
MOODYS CORP	MCO	615369105	10	03/30/12	07/17/13	$609.12	$421 02	$188 10
CIGNA CORP COM	CI	125509109	20	06/22/09	08/09/13	$1,560.85	$488.22	$1,072.63
CIGNA CORP COM	CI	125509109	20	07/09/09	08/09/13	$1,560 85	$471.11	$1,089 74
EXXON MOBIL CORP	XOM	30231G102	10	11/30/99	08/09/13	$905 03	$397 51	$507 52
TRANSCANADA CORP	TRP	89353D107	40	10/19/11	08/09/13	$1,814.39	$1,707.50	$106.89
NEXTERA ENERGY INC	NEE	65339F101	12	09/04/10	08/09/13	$1,032.62	$682.97	$349 65
NEXTERA ENERGY INC	NEE	65339F101	8	09/05/10	08/09/13	$688 15	$456 60	$231 55
NEXTERA ENERGY INC	NEE	65339F101	10	04/06/11	08/09/13	$860.77	$565 15	$295 62
COGNIZANT TECHNOLOGY SOLUTIO	CTSH	192446102	20	10/11/11	08/09/13	$1,460.46	$1,349.60	$110 86
COGNIZANT TECHNOLOGY SOLUTIO	CTSH	192446102	10	10/11/11	08/09/13	$730 23	$675.42	$54 81
COGNIZANT TECHNOLOGY SOLUTIO	CTSH	192446102	10	03/30/12	08/09/13	$730 23	$770 35	$-40 12
NEWS CORP/NEW CLASS B	NWS	65249B208	17 5	01/21/04	08/09/13	$285.04	$155 47	$129 57

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
NEWS CORP/NEW CLASS B	NWS	65249B208	20	06/23/04	08/09/13	$325.76	$167.48		$158 28
NEWS CORP/NEW CLASS B	NWS	65249B208	7 5	07/09/09	08/09/13	$122.16	$34 38		$87.78
CBRE GROUP INC	CBG	12504L109	10	01/20/12	08/09/13	$233.50	$177 28		$56.22
CBRE GROUP INC	CBG	12504L109	10	01/23/12	08/09/13	$233.50	$178.56		$54 94
CBRE GROUP INC	CBG	12504L109	10	01/23/12	08/09/13	$233 50	$180 15		$53 35
CBRE GROUP INC	CBG	12504L109	2	01/24/12	08/09/13	$46 70	$35 51		$11 19
CBRE GROUP INC	CBG	12504L109	18	01/24/12	08/09/13	$420 30	$321 69		$98 61
AMERIPRISE FINL INC	AMP	03076C106	20	07/09/09	08/09/13	$1,796.05	$453.60		$1,342.45
ADT CORP/THE	ADT	00101J106	20	03/16/09	08/09/13	$822.57	$243 55	*	$579 02
TWENTY-FIRST CENTURY FOX CL B	FOX	90130A200	70	01/21/04	08/09/13	$2,296.90	$1,166 69		$1,130 21
TWENTY-FIRST CENTURY FOX CL B	FOX	90130A200	30	06/23/04	08/09/13	$984.38	$471 29		$513 09
SANOFI-SYNTHELABO	SNY	80105N105	28	07/24/12	08/09/13	$1,472.27	$1,035.94		$436 33
SANOFI-SYNTHELABO	SNY	80105N105	12	07/24/12	08/09/13	$630.97	$443.09		$187 88
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	50	05/27/10	08/09/13	$2,013.07	$1,357 03		$656.04
PRICE T ROWE GROUP INC	TROW	74144T108	10	01/20/12	08/09/13	$744 68	$600.63		$144.05
PRICE T ROWE GROUP INC	TROW	74144T108	10	01/20/12	08/09/13	$744.68	$600 20		$144 48
EOG RES INC	EOG	26875P101	3	11/11/11	08/09/13	$475.60	$311 28		$164.32
EOG RES INC	EOG	26875P101	12	11/14/11	08/09/13	$1,902 38	$1,234 71		$667 67
ALLIANCE DATA SYS CORP	ADS	018581108	10	05/28/08	08/09/13	$2,053.47	$593 58		$1,459 89
CHUBB CORPORATION COM	CB	171232101	10	11/21/07	08/09/13	$852.75	$520 68		$332 07
CHUBB CORPORATION COM	CB	171232101	20	11/21/07	08/09/13	$1,705 51	$1,042 18		$663 33
LYONDELLBASELL INDU-CL A-W/I	LYB	N53745100	10	03/29/12	08/09/13	$683.10	$407 63		$275.47
LYONDELLBASELL INDU-CL A-W/I	LYB	N53745100	10	03/30/12	08/09/13	$683.10	$412 83		$270.27
LYONDELLBASELL INDU-CL A-W/I	LYB	N53745100	10	04/27/12	08/09/13	$683 10	$439 58		$243.52
PRAXAIR INC	PX	74005P104	12	06/25/04	08/09/13	$1,449.93	$467 06		$982 87
JOHNSON & JOHNSON COM	JNJ	478160104	10	06/15/12	08/09/13	$920.13	$658 77		$261 36
QUALCOMM INC	QCOM	747525103	50	03/03/10	08/09/13	$3,305.06	$1,948 62		$1,356 44
WELLS FARGO & CO NEW	WFC	949746101	20	02/14/02	08/09/13	$860 68	$466 40		$394 28

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ANADARKO PETE CORP	APC	032511107	10	01/26/12	08/09/13	$892 44	$793 89	$98.55
ANADARKO PETE CORP	APC	032511107	10	01/27/12	08/09/13	$892.44	$794 93	$97 51
DANAHER CORP COMMON	DHR	235851102	20	08/04/05	08/09/13	$1,341.34	$564.49	$776 85
BANK AMER CORP	BAC	060505104	90	05/21/09	08/09/13	$1,299.43	$1,036 92	$262.51
BANK AMER CORP	BAC	060505104	90	07/09/09	08/09/13	$1,299.43	$1,098 89	$200.54
FEDEX CORPORATION	FDX	31428X106	6	01/26/12	08/09/13	$649 06	$555 75	$93 31
FEDEX CORPORATION	FDX	31428X106	14	01/27/12	08/09/13	$1,514.48	$1,296.16	$218 32
FEDEX CORPORATION	FDX	31428X106	5	06/20/12	08/09/13	$540.89	$457 97	$82.92
FEDEX CORPORATION	FDX	31428X106	1	06/20/12	08/09/13	$108 18	$91 50	$16.68
FEDEX CORPORATION	FDX	31428X106	2	06/21/12	08/09/13	$216.35	$183.22	$33.13
FEDEX CORPORATION	FDX	31428X106	2	06/21/12	08/09/13	$216.35	$182.63	$33 72
AMERICAN TOWER CORP-CLA	AMT	03027X100	3	07/06/12	08/09/13	$209.65	$212.66	$-3 01
AMERICAN TOWER CORP-CLA	AMT	03027X100	3	07/09/12	08/09/13	$209 65	$214 18	$-4 53
AMERICAN TOWER CORP-CLA	AMT	03027X100	4	07/10/12	08/09/13	$279 53	$284 70	$-5.17
MERCK & CO INC	MRK	58933Y105	68	02/08/07	08/09/13	$3,283 68	$1,667 78	$1,615 90
PFIZER INC COM	PFE	717081103	10	07/18/12	08/09/13	$290.19	$236.76	$53.43
AMERICAN EXPRESS COMPANY	AXP	025816109	30	06/21/10	08/09/13	$2,255 85	$1,274 47	$981 38
ROBERT HALF INTL INC	RHI	770323103	30	12/02/11	08/09/13	$1,152 27	$813 17	$339 10
TYCO INTERNATIONAL LTD	TYC	H89128104	40	03/16/09	08/09/13	$1,406 54	$380.64	$1,025 90
TERADATA CORP DEL	TDC	88076W103	20	03/02/11	08/09/13	$1,273 99	$949.09	$324 90
ORACLE CORPORATION	ORCL	68389X105	110	02/08/07	08/09/13	$3,602.00	$1,846.15	$1,755 85
TARGET CORP	TGT	87612E106	20	11/18/11	08/09/13	$1,409.21	$1,061.56	$347 65
TARGET CORP	TGT	87612E106	10	11/21/11	08/09/13	$704.60	$521 08	$183 52
TARGET CORP	TGT	87612E106	10	12/08/11	08/09/13	$704 60	$538.31	$166.29
MCKESSON CORPORATION	MCK	58155Q103	8	02/04/09	08/09/13	$986.92	$364.56	$622 36
MOODYS CORP	MCO	615369105	10	04/27/12	08/09/13	$694.88	$415 27	$279 61
MOODYS CORP	MCO	615369105	10	04/27/12	08/09/13	$694 88	$415 94	$278 94
INFORMATICA CORP	INFA	45666Q102	14	08/11/10	08/09/13	$534 06	$411.79	$122 27

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
INFORMATICA CORP	INFA	45666Q102	2	08/11/10	08/09/13	$76.29	$58 76	$17 53
INFORMATICA CORP	INFA	45666Q102	14	08/12/10	08/09/13	$534 06	$414 63	$119.43
INFORMATICA CORP	INFA	45666Q102	1	08/12/10	08/09/13	$38 15	$29 43	$8 72
INFORMATICA CORP	INFA	45666Q102	2	08/12/10	08/09/13	$76.29	$59 13	$17 16
INFORMATICA CORP	INFA	45666Q102	2	08/13/10	08/09/13	$76.29	$60 91	$15.38
INFORMATICA CORP	INFA	45666Q102	1	08/13/10	08/09/13	$38.15	$30.29	$7 86
INFORMATICA CORP	INFA	45666Q102	4	08/13/10	08/09/13	$152 59	$121 95	$30.64
INTUIT INC COM	INTU	461202103	10	10/11/11	08/09/13	$644.72	$511 47	$133 25
INTUIT INC COM	INTU	461202103	10	10/12/11	08/09/13	$644.72	$520 30	$124 42
EMC CORP(MASS) USD 0.01	EMC	268648102	10	07/16/09	08/09/13	$268.76	$137.46	$131.30
EMC CORP(MASS) USD 0.01	EMC	268648102	80	07/17/09	08/09/13	$2,150.08	$1,097.24	$1,052 84
EMC CORP(MASS) USD 0 01	EMC	268648102	10	07/17/09	08/09/13	$268.76	$136.80	$131.96
EMC CORP(MASS) USD 0 01	EMC	268648102	20	05/02/11	08/09/13	$537.52	$567 85	$-30 33
EMC CORP(MASS) USD 0.01	EMC	268648102	20	10/18/11	08/09/13	$537.52	$480.43	$57 09
J P MORGAN CHASE & CO	JPM	46625H100	30	01/02/03	08/09/13	$1,632.92	$757 80	$875.12
CBS CORP NEW	CBS	124857202	10	01/26/12	08/09/13	$535.20	$287.26	$247 94
CBS CORP NEW	CBS	124857202	20	05/05/11	08/09/13	$1,070.40	$536.78	$533 62
AT&T INC	T	00206R102	10	02/08/07	08/16/13	$341 44	$373 10	$-31 66
EXXON MOBIL CORP	XOM	30231G102	10	11/30/99	08/23/13	$871.94	$397.51	$474.43
JOHNSON & JOHNSON COM	JNJ	478160104	20	06/14/12	09/16/13	$1,778.27	$1,308.98	$469.29
JOHNSON & JOHNSON COM	JNJ	478160104	20	06/15/12	09/16/13	$1,778 27	$1,317.54	$460 73
AT&T INC	T	00206R102	50	02/08/07	09/20/13	$1,718.55	$1,865 48	$-146.93
ALLIANCE DATA SYS CORP	ADS	018581108	2	05/28/08	10/15/13	$450 95	$118.72	$332 23
ALLIANCE DATA SYS CORP	ADS	018581108	1	05/28/08	10/17/13	$226.74	$59 36	$167.38
NEXTERA ENERGY INC	NEE	65339F101	10	08/24/10	12/09/13	$840.28	$545 75	$294 53
OCCIDENTAL PETROLEUM CORPOR/	OXY	674599105	20	04/23/09	12/12/13	$1,823.65	$1,110.65	$713 00
Total long term gain/loss from sales:						$235,950.95	$190,381 72	$45,569.23