Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation
The Birk Family Foundation

A Employer identification number
65-0661100

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
c/o Mary E. Colas P.O. Box 1840

B Telephone number (see instructions)
703-620-1501

City or town, state or province, country, and ZIP or foreign postal code
Vienna VA 22183

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 5,016,573.01

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☒ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	179.57	179.57		
	4	Dividends and interest from securities	225,041.99	225,041.99		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	398,656.04			
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		398,656.04		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	623,877.60	623,877.60		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	3,000.00	3,000.00		3,000.00
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule) advisory	30,633.21	30,633.21		30,633.21
	17	Interest				
	18	Taxes (attach schedule) (see instructions) excise	2,835.08	2,835.08		2,835.08
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	1,758.59	1,758.59		1,758.59
	24	**Total operating and administrative expenses.** Add lines 13 through 23	38,226.88	38,226.88		38,226.88
	25	Contributions, gifts, grants paid	190,000.00			190,000.00
	26	**Total expenses and disbursements.** Add lines 24 and 25	228,226.88	38,226.88		228,226.88
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	395,650.72			
	b	**Net investment income** (if negative, enter -0-)		585,650.72		
	c	**Adjusted net income** (if negative, enter -0-)				

RECEIVED
MAR 3 1 2014
OGDEN, UT
793
IRS-OSC

SCANNED APR 0 3 2014

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	291,357.13	238,146.61	238,146.61
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	2,483,076.92	3,227,654.56	4,074,596.32
	c	Investments—corporate bonds (attach schedule)	982,053.56	686,337.16	703,830.08
	11	Investments—land, buildings, and equipment: basis ▶ Less. accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment. basis ▶ Less. accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	3,756,487.61	4,152,138.33	5,016,573.01
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.00	0.00	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	3,756,487.61	4,152,138.33	
	31	**Total liabilities and net assets/fund balances** (see instructions)	3,756,487.61	4,152,138.33	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,756,487.61
2	Enter amount from Part I, line 27a	2	395,650.72
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,152,138.33
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,152,138.33

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	see detail attached			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	398,656.04
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	248,879.57	4,276,996.56	0.0582
2011	193,750.00	4,186,841.00	0.0463
2010	182,500.00	3,966,111.00	0.0460
2009	203,000.00	3,556,267.00	0.0571
2008	236,000.00	4,092,695.00	0.0577

2	**Total** of line 1, column (d)	2	0.2653
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.0531
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	4,708,202.78
5	Multiply line 4 by line 3	5	250,005.57
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	5,856.51
7	Add lines 5 and 6	7	255,862.08
8	Enter qualifying distributions from Part XII, line 4	8	228,226.88

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter. ______ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	11,713.01
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	11,713.01
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	11,713.01
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a — 4,006.92		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	4,006.92
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	7,706.09
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either. • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ Florida and Virginia			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶			
14	The books are in care of ▶ Mary Colas, Director Telephone no. ▶ 703-620-1501			
	Located at ▶ P.O. Box 1840, Vienna, VA 22183 ZIP+4 ▶ 22181			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See attached list				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 This charitable foundation is a conduit foundation and is not directly involved in operating any charity	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	4,779,901.30
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	4,779,901.30
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	71,698.52
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,708,202.78
6	**Minimum investment return.** Enter 5% of line 5	6	235,410.14

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	235,410.14
2a	Tax on investment income for 2013 from Part VI, line 5	2a	11,713.01		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	11,713.01
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	223,697.13
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	223,697.13
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	223,697.13

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	228,226.88
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the.		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	228,226.88
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	228,226.88

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				223,697.13
2	Undistributed income, if any, as of the end of 2013:				
a	Enter amount for 2012 only				
b	Total for prior years: 20___,20___,20___				
3	Excess distributions carryover, if any, to 2013.				
a	From 2008 . . . 0.00				
b	From 2009 . . . 0.00				
c	From 2010 . . . 0.00				
d	From 2011 . . . 0.00				
e	From 2012 . . . 38,515.73				
f	**Total** of lines 3a through e	38,515.73			
4	Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 228,226.88				
a	Applied to 2012, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2013 distributable amount				
e	Remaining amount distributed out of corpus	4,529.75			
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e Subtract line 5	43,045.48			
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see instructions				
e	Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				
f	Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	43,045.48			
10	Analysis of line 9:				
a	Excess from 2009 . . . 0.00				
b	Excess from 2010 . . . 0.00				
c	Excess from 2011 . . . 0.00				
d	Excess from 2012 . . . 0.00				
e	Excess from 2013 . . . 43,045.48				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

Roger Birk

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See detail attached				
Total ▶ **3a**				190,000.00
b *Approved for future payment*				
Total ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . 13 ______

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Line	Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Mary E Colas | 3-4-14 | President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Rhonda J Macdonald	R J MacMad	2/12/14		P01689644

Firm's name ▶ Rhonda Macdonald, Esq., P.C. | Firm's EIN ▶ 54-1821495

Firm's address ▶ 2071 Chain Bridge Road Suite 320 Tysons Corner, VA 22182 | Phone no 703 917-8777

ATTACHMENT TO 2013 FORM 990-PF PART I, LINE 23

The Birk Family Foundation
Tax Identification #65-0661100

Description	Amount
Post office box rental	$186.00
Association of Small Foundations annual dues	725.00
Florida Corporation Commission - annual fee	61.25
Liability insurance	786.34
Total	$1,758.59

0696RJM.14.wpd

EMA Fiscal Statement

Merrill Lynch Wealth Management
Bank of America Corporation

RHONDA J MACDONALD

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
	Cash and Money Funds						
	CASH		852 95	852 95			
27,145	ML BANK DEPOSIT PROGRAM	02/10/12	27,145.00	27,145.00			13.57
5,474	ISA BANK OF AMERICA		5,474.00	5,474.00			1.09
	Total Cash and Money Funds		**33,471.95**	**33,471.95**			**14.66**

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Annual Income
	Equities					
2,101	ABBVIE INC SHS	03/12/13	80,006 08	110,953.81	30,947.73	3,362.00
1,704	AT&T INC	01/31/12	50,302.08	59,912.64	9,610.56	3,136.00
178	AT&T INC	02/06/12	5,304.38	6,258 48	954.10	328.00
661	AT&T INC	02/06/12	19,697.80	23,240.76	3,542.96	1,217 00
833	AT&T INC	02/13/12	25,011 99	29,288.28	4,276.29	1,533.00
1,200	AUTOMATIC DATA PROC	06/18/09	42,540.01	96,958 80	54,418.79	2,305 00
1,500	CENTURYLINK INC SHS	10/31/13	50,589.00	47,775.00	(2,814 00)	3,240 00
1,673	CENTURYLINK INC SHS	11/07/13	53,569.46	53,285.05	(284 41)	3,614.00

RHONDA J MACDONALD

EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Annual Income
			Equities			
435	CHEVRON CORP	01/03/00	18,054.14	54,335.85	36,281.71	1,740.00
375	CHEVRON CORP	12/24/01	16,691 25	46,841.25	30,150.00	1,500.00
3,564	CISCO SYSTEMS INC COM	11/14/13	74,946.92	79,940.52	4,993.60	2,424.00
2,930	DOW CHEMICAL CO	06/26/13	94,135.33	130,092.00	35,956.67	3,751.00
1,206	DU PONT E I DE NEMOURS	04/25/13	63,891.47	78,353.82	14,462.35	2,171 00
1,816	ENSCO PLC SHS CL A	10/18/13	100,352.16	103,838 88	3,486.72	4,086.00
1,706	EATON CORP PLC	12/04/12	88,550.95	129,860.72	41,309.77	2,867 00
4,410	FORD MOTOR CO	05/16/13	65,191.27	68,046.30	2,855.03	1,765.00
2,060	FORD MOTOR CO	05/22/13	31,306.44	31,785.80	479.36	825.00
754	GLAXOSMITHKLINE PLC ADR	07/05/11	32,663.20	40,256 06	7,592.86	1,817 00
400	GLAXOSMITHKLINE PLC ADR	07/05/11	17,328.00	21,356.00	4,028.00	964 00
569	GLAXOSMITHKLINE PLC ADR	08/31/11	24,367.77	30,378.91	6,011.14	1,371.00
393	GLAXOSMITHKLINE PLC ADR	02/13/12	17,869.67	20,982 27	3,112.60	947.00
112	GLAXOSMITHKLINE PLC ADR	02/13/12	5,092.64	5,979.68	887.04	270.00
545	GLAXOSMITHKLINE PLC ADR	09/12/12	24,928.30	29,097.55	4,169 25	1,313.00
3,115	GENERAL ELECTRIC	10/21/10	50,151.50	87,313.45	37,161.95	2,742.00
474	GENERAL ELECTRIC	06/08/11	8,829.44	13,286.22	4,456.78	418.00

PLEASE SEE REVERSE SIDE

RHONDA J MACDONALD

EMA Fiscal Statement

Merrill Lynch Wealth Management
Bank of America Corporation

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Annual Income
	Equities					
600	GENERAL ELECTRIC	06/08/11	11,178.00	16,818.00	5,640.00	528.00
1,102	GENERAL ELECTRIC	02/13/12	20,978.11	30,889.06	9,910.95	970.00
2,681	GENERAL MOTORS CO COMMON SHARES	10/31/13	101,193.27	109,572.47	8,379.20	
584	INTEL CORP	05/21/09	8,906.00	15,157.72	6,251 72	526.00
2,616	INTEL CORP	05/21/09	39,894.00	67,898.28	28,004.28	2,355 00
561	INTEL CORP	02/13/12	14,995 47	14,560.76	(434.71)	505.00
500	JOHNSON AND JOHNSON COM	03/28/11	29,620.00	45,795 00	16,175.00	1,320.00
344	JOHNSON AND JOHNSON COM	03/28/11	20,382 00	31,506.96	11,124.96	909.00
280	JOHNSON AND JOHNSON COM	08/31/11	18,370.80	25,645.20	7,274.40	740 00
417	JOHNSON AND JOHNSON COM	02/13/12	26,982.19	38,193.03	11,210.84	1,101.00
1,757	KRAFT FOODS GROUP INC SHS	06/20/13	93,274 39	94,719 87	1,445.48	3,690.00
1,591	ELI LILLY & CO	10/31/13	79,819 67	81,141.00	1,321.33	3,119.00
2,085	METLIFE INC COM	06/25/13	93,537.69	112,423.20	18,885.51	2,294 00
1,401	MERCK AND CO INC SHS	09/16/10	50,976.51	70,120.05	19,143.54	2,466.00
100	MERCK AND CO INC SHS	10/21/10	3,699.00	5,005.00	1,306.00	176.00
170	MERCK AND CO INC SHS	10/21/10	6,290.00	8,508.50	2,218 50	300 00

Fiscal Statement

RHONDA J MACDONALD

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Annual Income
			Equities			
611	MERCK AND CO INC SHS	03/24/11	19,985 81	30,580.55	10,594.74	1,076.00
393	MERCK AND CO INC SHS	02/13/12	14,969.33	19,669 65	4,700.32	692 00
1,150	NEXTERA ENERGY INC SHS	09/25/01	30,729.35	98,463.00	67,733.65	3,037 00
1,021	OCCIDENTAL PETE CORP CAL	05/30/13	96,467.65	97,097.10	629.45	2,614.00
2,294	PFIZER INC	01/09/12	50,009.20	70,265.22	20,256.02	2,386.00
1,183	PFIZER INC	02/06/12	24,984 96	36,235.29	11,250.33	1,231 00
1,264	PFIZER INC	02/13/12	27,004.73	38,716.32	11,711.59	1,315.00
550	TRAVELERS COS INC	03/05/08	26,101.46	49,797.00	23,695.54	1,101.00
530	TRAVELERS COS INC	03/11/08	25,329.97	47,986.20	22,656 23	1,061 00
120	TRAVELERS COS INC	06/05/09	5,234.40	10,864.80	5,630.40	240.00
250	TRAVELERS COS INC	08/31/11	12,721.25	22,635.00	9,913.75	501 00
253	TRAVELERS COS INC	02/13/12	14,940.97	22,906.62	7,965.65	507.00
2,179	TRANSOCEAN LTD	10/18/13	100,208.94	107,686 18	7,477 24	4,881.00
617	UNITED TECHS CORP COM	08/08/13	65,373.56	70,214.60	4,841.04	1,457.00
1,055	VERIZON COMMUNICATNS COM	10/10/08	23,739.98	51,842.70	28,102.72	2,237.00
239	VERIZON COMMUNICATNS COM	03/04/10	6,543.77	11,744.46	5,200.69	507 00
319	VERIZON COMMUNICATNS COM	09/16/10	9,979.92	15,675.66	5,695.74	677.00

PLEASE SEE REVERSE SIDE

RHONDA J MACDONALD

EMA Fiscal Statement

Merrill Lynch Wealth Management
Bank of America Corporation

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Annual Income
	Equities					
402	VERIZON COMMUNICATNS COM	02/13/12	15,354 19	19,754.28	4,400 09	853 00
200	VERIZON COMMUNICATNS COM	02/13/12	7,640 00	9,828.00	2,188.00	424.00
1,144	VODAFONE GROP PLC SP ADR	05/21/09	20,592.00	44,970.64	24,378.64	1,818.00
1,000	VODAFONE GROP PLC SP ADR	11/13/09	22,918.50	39,310.00	16,391.50	1,589.00
742	VODAFONE GROP PLC SP ADR	04/06/10	16,999 15	29,168.02	12,168.87	1,180.00
947	VODAFONE GROP PLC SP ADR	06/21/11	24,998.72	37,226.57	12,227 85	1,505.00
903	VODAFONE GROP PLC SP ADR	01/25/12	24,651 90	35,496.93	10,845.03	1,435.00
1,372	VODAFONE GROP PLC SP ADR	02/22/13	34,011 88	53,933.32	19,921.44	2,181.00
	Total Equities		**2,402,959.94**	**3,239,440.31**	**836,480.37**	**107,210.00**

Statement Period Year Ending 12/31/13
Account No. [redacted]

X 99999999

EMA Fiscal Statement

RHONDA J MACDONALD

FISCAL YEAR ACTIVITY

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Security Transactions			
01/10/13	Sale	-8,396	CREXUS INVT CORP CUS NO 226553105	12.42		104,288.00CR
01/10/13	Purchase	2,897	SPDR INDEX SHS FDS CUS NO 78463X202	34 50	99,975.35	
01/16/13	Purchase	1,384	APOLLO COML REAL ESTATE FIN INC CUS NO 03762U105	17.39	24,067 76	
01/16/13	Sale	-544	DIGITAL RLTY TR INC CUS NO 253868103	69.00		37,535.16CR
01/16/13	Sale	-3,567	PAYCHEX INC CUS NO 704326107	31.88		113,713.41CR
01/16/13	Sale	-2,400	PENNYMAC MTG INVT TR CUS NO 70931T103	27.69		66,454.51CR
02/27/13	Purchase	1,372	VODAFONE GROP PLC SP ADR CUS NO 92857W209	24.79	34,011.88	
03/07/13	Purchase	1,566	ENSCO PLC SHS CL A CUS NO G3157S106	58 49	91,609.43	
03/07/13	Sale	-1,393	ROYAL DUTCH SHELL PLC SPONS ADR A CUS NO 780259206	65.78		91,632.42CR
03/08/13	Purchase	1,887	AMERICAN CAP AGY CORP CUS NO 02503X105	32.24	60,854 43	
03/15/13	Purchase	2,101	ABBVIE INC SHS CUS NO 00287Y109	38.08	80,006.08	
03/15/13	Purchase	606	AMERICAN CAP AGY CORP CUS NO 02503X105	32.99	19,995 58	
03/21/13	Sale	-2,897	SPDR INDEX SHS FDS CUS NO 78463X202	33.98		98,439 30CR
03/25/13	Purchase	6,824	TWO HARBORS INVT CORP SHS CUS NO 90187B101	13.56	92,588.03	
04/01/13	Purchase	409	AMERICAN CAP AGY CORP CUS NO 02503X105	32.15	13,152.01	
04/05/13	Sale	-334	SILVER BAY RLTY CORP CUS NO 82735Q102	20.13		6,724.00CR

EMA Fiscal Statement

Merrill Lynch Wealth Management
Bank of America Corporation

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
	Cash and Money Funds						
	CASH		3,302 66	3,302.66			
200,904	ML BANK DEPOSIT PROGRAM	11/15/13	200,904.00	200,904.00			60.27
468	ISA BANK OF AMERICA		468.00	468.00			0 09
	Total Cash and Money Funds		**204,674.66**	**204,674.66**			**60.36**

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Annual Income
	Mutual Funds/Closed End Funds/UIT					
7,617	EATON VANCE TAX MANAGED BUY-RITE OPPORTUNITIES FUND	01/09/13	100,182.61	106,714.17	6,531 56	10,124.00
8,652	EATON VANCE TAX MAN GLB BUY WRT OPP FD	01/09/13	100,245.66	104,689 20	4,443 54	10,097.00
8,674	EATON VANCE ENH INCOME FD II	01/09/13	100,559.68	112,675 26	12,115.58	9,108.00
10,534	EATON VANCE TAX-MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FUND	01/09/13	100,461.08	105,340.00	4,878.92	10,271.00

EMA Fiscal Statement

RHONDA J MACDONALD

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Annual Income
	Mutual Funds/Closed End Funds/UIT					
5,086	ISHARES U.S. PREFERRED STOCK ETF	07/16/10	200,792.34	187,317.38	(13,474.96)	12,370.00
2,831	ISHARES IBOXX$ HIGH YIEL CORPORATE BOND	07/16/10	251,403.91	262,943.28	11,539.37	16,038.00
6,405	SPDR BARCLAYS HIGH YIELD BOND ETF	07/16/10	251,182.55	259,786.80	8,604.25	15,712.00
6,000	SPDR BARCLAYS ST HIGH YIELD BOND ETF	01/09/13	184,750.70	185,100.00	349 30	9,882.00
2,000	ISHARES INC SHS ISHARES EMERGING MARKETS	01/10/13	112,207.90	98,580.00	(13,627.90)	
2,000	ISHARES INC SHS ISHARES GLOBAL EX USD HI	01/09/13	109,245 35	115,840.00	6,594.65	
	Total Mutual Funds/Closed End Funds/UIT		**1,511,031.78**	**1,538,986.09**	**27,954.31**	**93,602.00**

RHONDA J MACDONALD

EMA Fiscal Statement

Merrill Lynch Wealth Management
Bank of America Corporation

FISCAL YEAR ACTIVITY

Date	Transaction	Quantity	Description	Price	Debit	Credit
12/31/13	Interest Credit		ISA BANK OF AMERICA			0 10
			Sub Total			1.31
			Net Total			**127,451.22**

Other Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
01/15/13	Journal Entry		PIA ADVISORY FEE		7,013.66	
02/06/13	CertIF fee		VODAFONE GROP PLC SP ADR		47.36	
03/28/13	Fgn Div Tax		ROYAL DUTCH SHELL PLC		179.70	
03/28/13	Fgn Div Tax		SUN LIFE FINANCIAL INC		226.86	
04/09/13	Journal Entry		PIA ADVISORY FEE		7,597 57	
05/09/13	Cash in Lieu		SILVER BAY RLTY CORP			3.58
05/24/13	Journal Entry		POLICY SETTLEMENT			22.74
06/28/13	Fgn Div Tax		SUN LIFE FINANCIAL INC		218.65	
07/09/13	Journal Entry		PIA ADVISORY FEE		7,931.84	
08/07/13	CertIF fee		VODAFONE GROP PLC SP ADR		122.16	
09/30/13	Fgn Div Tax		SUN LIFE FINANCIAL INC		223.72	
10/08/13	Journal Entry		PIA ADVISORY FEE		8,090.14	
11/14/13	Journal Entry		TR TO 67504C95		200,000.00	
12/09/13	Fgn Div Tax		TOYOTA MOTOR CORP ADR		40.21	
12/09/13	CertIF fee		TOYOTA MOTOR CORP ADR		1.77	
12/24/13	Journal Entry		TR TO 67504C95		30,000.00	
			Net Total		**261,693.64**	**26.32**

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
2,512.0000	COLONIAL PPTYS T SBI ALA CXL	06/16/11	02/13/12	52,183.28	50,005 38	(2,177.90) ST
2,512.0000	COLONIAL PPTYS T SBI ALA	06/16/11	02/13/12	52,183.28	49,902.01	2,281.27 ST
1,213.0000	COLONIAL PPTYS T SBI ALA CXL	07/05/11	02/13/12	25,198.38	25,008.42	(189 96) ST
1,213 0000	COLONIAL PPTYS T SBI ALA	07/05/11	02/13/12	25,198 38	24,958.51	239.87 ST
184.0000	COLONIAL PPTYS T SBI ALA CXL	12/05/11	02/13/12	3,822 35	3,573.94	(248 41) ST
184.0000	COLONIAL PPTYS T SBI ALA	12/05/11	02/13/12	3,822 35	3,566.37	255 98 ST
315 0000	COLONIAL PPTYS T SBI ALA CXL	12/05/11	02/14/12	6,526.67	6,118 44	(408 23) ST

RHONDA J MACDONALD

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description		Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)	
315.0000	COLONIAL PPTYS T SBI ALA		12/05/11	02/14/12	6,526.67	6,105 48	421.19	ST
8,396.0000	CREXUS INVT CORP		07/30/12	01/07/13	104,288.00	88,081.22	16,206 78	ST
100.0000	DIGITAL RLTY TR INC		02/09/11	01/11/13	6,899 84	5,373.94	1,525 90	LT
444.0000	DIGITAL RLTY TR INC		02/09/11	01/11/13	30,635 32	23,869.19	6,766.13	LT
1,607 0000	PAYCHEX INC		04/14/10	01/11/13	51,230.01	50,006.95	1,223.06	LT
452.0000	PAYCHEX INC		08/03/10	01/11/13	14,409.43	11,764.79	2,644.64	LT
700 0000	PAYCHEX INC		08/03/10	01/11/13	22,315.50	18,221.00	4,094.50	LT
808 0000	PAYCHEX INC		07/01/11	01/11/13	25,758.47	24,999.52	758.95	LT
2,215 0000	PENNYMAC MTG INVT TR		12/23/10	01/11/13	61,331 97	40,002.90	21,329.07	LT
185 0000	PENNYMAC MTG INVT TR		01/07/11	01/11/13	5,122 54	3,413.25	1,709.29	LT
2,215.0000	PENNYMAC MTG INVT TR	CXL	12/23/10	01/11/13	61,331.97	40,002.90	(21,329 07)	LT
2,215.0000	PENNYMAC MTG INVT TR		12/23/10	01/11/13	61,331.97	39,178.64	22,153.33	LT
185 0000	PENNYMAC MTG INVT TR	CXL	01/07/11	01/11/13	5,122 54	3,413.25	(1,709.29)	LT
185.0000	PENNYMAC MTG INVT TR		01/07/11	01/11/13	5,122 54	3,344.41	1,778.13	LT
2,897.0000	SPDR INDEX SHS FDS		01/07/13	03/18/13	98,439.30	99,975.35	(1,536.05)	ST
872 0000	ROYAL DUTCH SHELL PLC		09/16/10	03/04/13	57,360.71	51,203.84	6,156.87	LT
247.0000	ROYAL DUTCH SHELL PLC		08/31/11	03/04/13	16,247 81	16,625.57	(377.76)	LT
274.0000	ROYAL DUTCH SHELL PLC		02/13/12	03/04/13	18,023 90	19,949.94	(1,926.04)	LT
333.0000	SILVER BAY RLTY CORP		04/24/13	04/02/13	6,703 87	N/A	N/C	
1,312.0000	APOLLO COML REAL ESTATE		12/18/12	05/14/13	23,138 96	22,233.20	905 76	ST
3,123.0000	APOLLO COML REAL ESTATE		12/18/12	05/15/13	54,497 31	52,922.48	1,574.83	ST
1,384.0000	APOLLO COML REAL ESTATE		01/11/13	05/15/13	24,151.23	24,067.76	83.47	ST
1,887 0000	AMERICAN CAP AGY CORP		03/05/13	05/20/13	55,007.08	60,854.43	(5,847.35)	ST
606.0000	AMERICAN CAP AGY CORP		03/12/13	05/20/13	17,665.23	19,995.58	(2,330 35)	ST
409.0000	AMERICAN CAP AGY CORP		03/26/13	05/20/13	11,922.58	13,152 01	(1,229.43)	ST
4,764.0000	COLONY FINANCIAL INC		07/30/12	05/14/13	107,856.22	88,152.91	19,703.31	ST
382.0000	DIGITAL RLTY TR INC		02/09/11	05/13/13	25,138 55	20,536.11	4,602.44	LT
200.0000	DIGITAL RLTY TR INC		03/30/11	05/13/13	13,161.54	11,414.42	1,747.12	LT
148.0000	DIGITAL RLTY TR INC		03/30/11	05/13/13	9,739.54	8,447.77	1,291.77	LT
718.0000	DIGITAL RLTY TR INC		01/31/12	05/13/13	47,249.96	49,916.44	(2,666.48)	LT
1,442.0000	PENNYMAC MTG INVT TR		01/07/11	05/21/13	32,842.40	26,068.29	6,774 11	LT
96 0000	PENNYMAC MTG INVT TR		06/08/11	05/21/13	2,186 45	1,585.72	600.73	LT
384.0000	PENNYMAC MTG INVT TR		06/08/11	05/21/13	8,745 82	6,348.98	2,396.84	LT
704 0000	PENNYMAC MTG INVT TR		06/08/11	05/21/13	16,034.01	11,642.67	4,391.34	LT
2,818.0000	PENNYMAC MTG INVT TR		01/31/12	05/21/13	64,181.63	49,139 92	15,041 71	LT
4,587.0000	REDWOOD TRUST INC		12/18/12	05/14/13	94,500.00	75,130.48	19,369 52	ST
333.0000	SILVER BAY RLTY CORP	CXL	04/24/13	04/02/13	6,703.87	N/A	N/C	
333.0000	SILVER BAY RLTY CORP		04/24/13	04/02/13	6,703.87	6,460.20	243.67	ST
6,824.0000	TWO HARBORS INVT CORP		03/20/13	05/20/13	80,180 20	92,588 03	(12,407.83)	ST
1,699.0000	CENTURYLINK INC SHS		07/30/12	08/09/13	57,514.22	69,980.20	(12,465.98)	LT

PLEASE SEE REVERSE SIDE

RHONDA J MACDONALD

EMA Fiscal Statement

Merrill Lynch Wealth Management
Bank of America Corporation

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
716 0000	CENTURYLINK INC SHS	09/24/12	08/09/13	24,237.89	30,000.40	(5,762 51) ST
299 0000	CENTURYLINK INC SHS	06/07/13	08/09/13	10,121.70	10,574.76	(453.06) ST
1,566.0000	ENSCO PLC SHS CL A	03/04/13	08/08/13	92,617.74	91,609.43	1,008.31 ST
1,853.0000	TRANSOCEAN LTD	05/28/13	08/12/13	87,938.70	97,477.81	(9,539.11) ST
1,769.0000	BRISTOL-MYERS SQUIBB CO	06/07/11	09/05/13	73,419.29	49,996.19	23,423.10 LT
717.0000	BRISTOL-MYERS SQUIBB CO	08/31/11	09/05/13	29,757 85	21,313.33	8,444.52 LT
2,801.0000	CONAGRA FOODS INC	06/26/13	09/11/13	87,359.15	94,129.01	(6,769.86) ST
1,206.0000	HSBC HLDG PLC SP ADR	06/20/13	09/05/13	66,779.40	61,939.68	4,839.72 ST
5,543.0000	MEDICAL PPTYS TR INC	07/05/12	10/31/13	72,885.22	54,022.64	18,862.58 LT
2,604.0000	MEDICAL PPTYS TR INC	07/17/12	10/31/13	34,240.14	25,935.06	8,305 08 LT
2,369.0000	MEDICAL PPTYS TR INC	09/24/12	10/31/13	31,150.13	24,898.19	6,251 94 LT
3,431.0000	SUN LIFE FINANCIAL INC	09/07/12	10/31/13	116,576.12	81,619.03	34,957.09 LT
842.0000	SUN LIFE FINANCIAL INC	09/24/12	10/31/13	28,608.89	19,770 16	8,838.73 LT
442.0000	TOYOTA MOTOR CORP ADR	08/08/13	10/31/13	57,375.10	56,373.52	1,001.58 ST

~~188,710.05~~
194,829.56

EMA Fiscal Statement

RHONDA J MACDONALD

Customer Service
Please promptly report any inaccuracy, discrepancy, and/or concern by calling Wealth Management Client Support at (800-MERRILL) within ten (10) business days after delivery of or communication of the account statement *You should re-confirm any oral communications in writing to protect your rights*

About Us
You may review our financial statement at our offices Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S), One Bryant Park, New York, New York 10036 If you request a copy of our financial statement, we will mail it to you

We are associated with a NYSE Designated Market Maker (DMM) that may make a market in the security(ies) held in your account At any time, the DMM may have a "long" or "short" inventory position in such security(ies) and may be on the opposite side of transactions in the security(ies) executed on the floor of the NYSE We also act as a market maker, dealer, block positioner or arbitrageur in certain securities These activities may put us or one of our affiliates on the opposite side of transactions we execute for you and potentially result in trading profits for us or our affiliates

BofA Merrill Lynch Research is research produced by MLPF&S and/or one or more of its affiliates Third party research ratings from selected vendors are provided, if available, for your information Our providing these research ratings is not a solicitation or recommendation of any particular security MLPF&S and its affiliates are not responsible for any third party research and have no liability for such research You are responsible for any trading decision you make based upon third party research ratings and reports

MLPF&S may make available to you certain securities and other investment products that are sponsored, managed, distributed or provided by companies that are affiliates of Bank of America Corporation (BAC) or in which BAC has a substantial economic interest, including BofA™ Global Capital Management

Merrill Edge is the marketing name for two businesses Merrill Edge Advisory Center™ which offers team-based advice and guidance brokerage services, and a self-directed online investing platform Both are made available through MLPF&S

Bank of America Merrill Lynch is the marketing name for the global banking and global markets businesses of BAC Lending, derivatives, and other commercial banking activities are performed globally by banking affiliates of BAC, including Bank of America, N A , member Federal Deposit Insurance Corporation (FDIC) Securities, strategic advisory, and other investment banking activities are performed globally by investment banking affiliates of BAC ("Investment Banking Affiliates"), including, in the United States, Banc of America Securities LLC and MLPF&S, which are both registered broker dealers and members of Financial Industry Regulatory Authority (FINRA) and Securities Investor Protection Corporation (SIPC), and, in other jurisdictions, locally registered entities

Investment products offered by Investment Banking Affiliates, including MLPF&S, ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE

Additional Information
We will route your equity and option orders to market centers consistent with our duty of best execution

Except for certain custodial accounts, we hold bonds and preferred stocks in bulk segregation If there is a partial call for those securities, securities will be randomly selected from those held in bulk The probability of your holdings being selected is proportional to the total number of customer holdings of that particular security that we hold

This statement serves as a confirmation of certain transactions during the period permitted to be reported periodically Additional information is available upon written request

In accordance with applicable law, rules and regulations, your free credit balance is not segregated and we use these funds in our business You have the right to receive, in the normal course of business, any free credit balance and any fully paid securities to which you are entitled, subject to any obligations you owe in any of your accounts

You will have the right to vote full shares and we may solicit voting instructions concerning these full shares in your account Voting shares in your account will be governed by the then current rules and policies of FINRA and the Securities Exchange Commission or other applicable exchanges or regulatory bodies

All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearinghouse, if any, where the transactions are executed, and if not executed on any exchange, FINRA. You may obtain an investor brochure that includes information describing the FINRA Regulation Public Disclosure Program ("Program") To obtain a brochure or more information about the Program or your broker contact the FINRA Regulation Public Disclosure Program Hotline at (800)289-9999 or access the FINRA website at www finra org

We receive a fee from ISA® banks of up to 2% per annum of the average daily balances We receive a fee from our affiliated banks of up to $30 per annum for each retirement account and $65 per annum for each non-retirement account that sweeps balances to the banks under the RASP℠ and ML bank deposit programs We receive a fee from Bank of America, N A of up to 0 25% per annum of the average daily Preferred Deposit® and Preferred Deposit for Business® balances

Options Customers
For all customers, including those who own options, please promptly advise us of any material change in your investment objectives or financial condition Individual options commission charges have been included in your confirmation You may request a summary of this information

Margin Customers
If this statement is for a margin account, it is a combined statement of your margin account and special memorandum account maintained for you pursuant to applicable regulations The permanent record of the separate account, as required by Regulation T, is available for your inspection upon request You should retain this statement for use with your next statement to calculate interest charges, if any, for the period covered by this statement The interest charge period will parallel the statement period, except that interest due for final day of the statement period will be carried over and appear on your next statement

Protection for your Account
The Securities Investor Protection Corporation (SIPC) and our *excess-SIPC insurance do not cover assets that are not securities*, as defined by SIPC, or assets that are not held at MLPF&S, such as cash on deposit at FIA Card Services, N A and Bank of America California, N A (Merrill Lynch Affiliated Banks), Bank of America, N A (BANA) or other depository institutions Those bank deposits are protected by the FDIC MLPF&S is not a bank Unless otherwise disclosed, INVESTMENTS THROUGH MLPF&S ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE To obtain information about SIPC, including the SIPC Brochure, contact SIPC at http //www.sipc org or (202)371-8300.

RHONDA J MACDONALD

EMA Fiscal Statement

Merrill Lynch Wealth Management
Bank of America Corporation

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
901.0000	BARCLAYS BANK PLC	01/13/12	01/09/13	22,784 14	20,192.70	2,591 44 ST
218.0000	BARCLAYS BANK PLC	01/17/12	01/09/13	5,512.71	4,927.65	585.06 ST
2,000 0000	DB CONT CAP TRST II	09/17/08	01/09/13	52,236.86	26,080.00	26,156.86 LT
1,000.0000	DB CONT CAP TRST II	12/08/09	01/09/13	26,118.43	20,170.00	5,948.43 LT
500.0000	DB CONT CAP TRST II	12/08/09	01/09/13	13,059.22	10,090.00	2,969.22 LT
760.0000	FINL SECURITY ASSUR HLGS	01/13/12	01/10/13	18,758.90	15,825.35	2,933.55 ST
445.0000	FINL SECURITY ASSUR HLGS	01/17/12	01/10/13	10,983.83	9,284.65	1,699.18 ST
1,412.0000	GOLDMAN SACHS GROUP INC	01/13/12	01/09/13	29,761.59	27,313.43	2,448.16 ST
1,004.0000	GOLDMAN SACHS GROUP INC	02/14/12	01/09/13	21,161.93	20,075 11	1,086 82 ST
2,000.0000	HSBC FINANCE CORPORATION	07/09/08	01/09/13	50,217.74	36,280.00	13,937.74 LT
300 0000	HSBC FINANCE CORPORATION	12/08/09	01/09/13	7,532.66	5,937.00	1,595.66 LT
700.0000	HSBC FINANCE CORPORATION	12/08/09	01/09/13	17,576 22	13,860.00	3,716.22 LT
1,100.0000	METLIFE INC	09/16/08	01/09/13	27,673.63	13,794.00	13,879 63 LT
900 0000	METLIFE INC	09/17/08	01/09/13	22,642 06	11,286 00	11,356.06 LT
1,000 0000	METLIFE INC	06/17/09	01/09/13	25,157 85	16,250.00	8,907.85 LT
100.0000	MORGAN STANLEY	09/09/08	01/09/13	1,965.86	1,348.00	617.86 LT
1,400.0000	MORGAN STANLEY	09/09/08	01/09/13	27,522 17	18,900.00	8,622.17 LT
500.0000	MORGAN STANLEY	09/10/08	01/09/13	9,829.35	6,750.00	3,079.35 LT
1,000 0000	MORGAN STANLEY	06/17/09	01/09/13	19,658.69	14,500.00	5,158.69 LT
1,000.0000	MORGAN STANLEY	12/08/09	01/09/13	19,658.70	19,250.00	408.70 LT
300 0000	MORGAN STANLEY	06/07/11	01/09/13	5,897.61	6,435 00	(537.39) LT
100.0000	MORGAN STANLEY	06/07/11	01/09/13	1,965.87	2,152.35	(186.48) LT
100.0000	MORGAN STANLEY	06/07/11	01/09/13	1,965.87	2,148.00	(182.13) LT
100.0000	MORGAN STANLEY	06/07/11	01/09/13	1,965.87	2,150 00	(184.13) LT
1,000.0000	MORGAN STANLEY	06/07/11	01/09/13	19,658.70	21,510 00	(1,851.30) LT
83.0000	MORGAN STANLEY	06/07/11	01/09/13	1,631.68	1,786.99	(155.31) LT
1,593.0000	PLC CAPITAL TRUST V	08/05/09	01/09/13	39,741.89	26,013 47	13,728 42 LT
1,200.0000	PLC CAPITAL TRUST V	12/08/09	01/09/13	29,937.40	21,467.40	8,470 00 LT
3,000.0000	SANTANDER FINANCE	05/20/09	01/09/13	57,683 32	27,690 00	29,993 32 LT
1,000.0000	SANTANDER FINANCE	06/17/09	01/09/13	19,227.78	11,300.00	7,927.78 LT
800.0000	US BANCORP	06/17/09	01/09/13	19,691.21	11,920 00	7,771 21 LT
2,200.0000	US BANCORP	06/17/09	01/10/13	54,113.84	32,780.00	21,333.84 LT

203,816.98

EMA Fiscal Statement

RHONDA J MACDONALD

Customer Service
Please promptly report any inaccuracy, discrepancy, and/or concern by calling Wealth Management Client Support at (800-MERRILL) within ten (10) business days after delivery of or communication of the account statement You should re-confirm any oral communications in writing to protect your rights

About Us
You may review our financial statement at our offices Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S), One Bryant Park, New York, New York 10036 If you request a copy of our financial statement, we will mail it to you

We are associated with a NYSE Designated Market Maker (DMM) that may make a market in the security(ies) held in your account At any time, the DMM may have a "long" or "short" inventory position in such security(ies) and may be on the opposite side of transactions in the security(ies) executed on the floor of the NYSE We also act as a market maker, dealer, block positioner or arbitrageur in certain securities These activities may put us or one of our affiliates on the opposite side of transactions we execute for you and potentially result in trading profits for us or our affiliates

BofA Merrill Lynch Research is research produced by MLPF&S and/or one or more of its affiliates Third party research ratings from selected vendors are provided, if available, for your information Our providing these research ratings is not a solicitation or recommendation of any particular security MLPF&S and its affiliates are not responsible for any third party research and have no liability for such research You are responsible for any trading decision you make based upon third party research ratings and reports

MLPF&S may make available to you certain securities and other investment products that are sponsored, managed, distributed or provided by companies that are affiliates of Bank of America Corporation (BAC) or in which BAC has a substantial economic interest, including BofA™ Global Capital Management

Merrill Edge is the marketing name for two businesses Merrill Edge Advisory Center™ which offers team-based advice and guidance brokerage services, and a self-directed online investing platform Both are made available through MLPF&S

Bank of America Merrill Lynch is the marketing name for the global banking and global markets businesses of BAC Lending, derivatives, and other commercial banking activities are performed globally by banking affiliates of BAC, including Bank of America, N.A , member Federal Deposit Insurance Corporation (FDIC) Securities, strategic advisory, and other investment banking activities are performed globally by investment banking affiliates of BAC ("Investment Banking Affiliates"), including, in the United States, Banc of America Securities LLC and *MLPF&S*, which are both registered broker dealers and members of Financial Industry Regulatory Authority (FINRA) and Securities Investor Protection Corporation (SIPC), and, in other jurisdictions, locally registered entities

Investment products offered by Investment Banking Affiliates, including MLPF&S, ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE

Additional Information
We will route your equity and option orders to market centers consistent with our duty of best execution

Except for certain custodial accounts, we hold bonds and preferred stocks in bulk segregation If there is a partial call for those securities, securities will be randomly selected from those held in bulk The probability of your holdings being selected is proportional to the total number of customer holdings of that particular security that we hold

This statement serves as a confirmation of certain transactions during the period permitted to be reported periodically Additional information is available upon written request

In accordance with applicable law, rules and regulations, your free credit balance is not segregated and we use these funds in our business You have the right to receive, in the normal course of business, any free credit balance and any fully paid securities to which you are entitled, subject to any obligations you owe in any of your accounts

You will have the right to vote full shares and we may solicit voting instructions concerning these full shares in your account Voting shares in your account will be governed by the then current rules and policies of FINRA and the Securities Exchange Commission or other applicable exchanges or regulatory bodies

All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearinghouse, if any, where the transactions are executed, and if not executed on any exchange, FINRA. You may obtain an investor brochure that includes information describing the FINRA Regulation Public Disclosure Program ("Program"). To obtain a brochure or more information about the Program or your broker contact the FINRA Regulation Public Disclosure Program Hotline at (800)289-9999 or access the FINRA website at www finra org

We receive a fee from ISA® banks of up to 2% per annum of the average daily balances We receive a fee from our affiliated banks of up to $30 per annum for each retirement account and $65 per annum for each non-retirement account that sweeps balances to the banks under the RASP℠ and ML bank deposit programs We receive a fee from Bank of America, N A of up to 0 25% per annum of the average daily Preferred Deposit® and Preferred Deposit for Business® balances

Options Customers
For all customers, including those who own options, please promptly advise us of any material change in your investment objectives or financial condition Individual options commission charges have been included in your confirmation You may request a summary of this information

Margin Customers
If this statement is for a margin account, it is a combined statement of your margin account and special memorandum account maintained for you pursuant to applicable regulations The permanent record of the separate account, as required by Regulation T, is available for your inspection upon request You should retain this statement for use with your next statement to calculate interest charges, if any, for the period covered by this statement The interest charge period will parallel the statement period, except that interest due for final day of the statement period will be carried over and appear on your next statement

Protection for your Account
The Securities Investor Protection Corporation (SIPC) and our excess-SIPC insurance do not cover assets that are not securities, as defined by SIPC, or assets that are not held at MLPF&S, such as cash on deposit at FIA Card Services, N A and Bank of America California, N A (Merrill Lynch Affiliated Banks), Bank of America, N A (BANA) or other depository institutions Those bank deposits are protected by the FDIC MLPF&S is not a bank. Unless otherwise disclosed, INVESTMENTS THROUGH MLPF&S ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE To obtain information about SIPC, including the SIPC Brochure, contact SIPC at http //www sipc org or (202)371-8300

ATTACHMENT TO 2013 FORM 990-PF PART VIII, LINE 1

The Birk Family Foundation
Tax Identification #65-0661100

LIST OF DIRECTORS

Roger E. Birk
c/o Merrill Lynch
900 U.S. Highway 1
Jupiter, FL 33477

Mary L. Birk
c/o Merrill Lynch
900 U.S. Highway 1
Jupiter, FL 33477

Dr. Kathleen Birk
c/o Merrill Lynch
900 U.S. Highway 1
Jupiter, FL 33477

Mary E. Colas
P.O. Box 1840
Vienna, VA 22183

Timothy Colas
P.O. Box 1840
Vienna, VA 22183

Barbara J. Billstrand
c/o Merrill Lynch
900 U.S. Highway 1
Jupiter, FL 33477

Each director other than Mary E. Colas devoted approximately an average of one hour per month to the foundation. Mary E. Colas manages the foundation books, expenses and finances and devoted approximately 2-4 hours per month to the foundation's affairs. No compensation was paid to any director, no contribution was made to any employee benefit plan or to any deferred compensation plan, and there was no expense account or other allowance.

0696RJM.14.wpd

ATTACHMENT TO 2013 FORM 990-PF PART XV, LINE 3a

The Birk Family Foundation
Tax Identification #65-0661100

Recipient	Purpose	Amount
National Multiple Sclerosis Society, Upstate New York Chapter	Care Management Program	$12,500.00
New York Foundling	General	$5,000.00
Jupiter Medical Foundation	General	$10,000.00
Gratitude House	General	$2,500.00
National MS	Walk-Kathy	$1,500.00
Hope Hall	General	$10,000.00
Light of the World	General	$10,000.00
Cathedral High School	General	$5,000.00
College of St. Benedicts	General	$10,000.00
St. John's University	General	$10,000.00
Bascom Palmer Eye Institute	General	$10,000.00
TechnoServe	General	$3,000.00
Camp Sunshine	General	$4,000.00
Mellen Center	General	$10,000.00
Memorial Sloane Kettering	General	$2,000.00
Molly's House	General	$1,000.00
St. Vincent de Paul	General	$5,000.00
The Monday Life	General	$5,000.00
Shepherd Center	General	$1,500.00
Visiting Nurse Foundation	General	$1,000.00

The V Foundation	General	$10,000.00
NPH Nuestros Pequeños Hermanos	General	$15,000.00
Foodlink, Inc	General	$5,000.00
Direct Relief	Aid for Typhoon Haiyan	$10,000.00
Notre Dame Learning Center	General	$1,000.00
Pediplace	General	$5,000.00
L'Arche/Washington, DC	General	$5,000.00
Lamb Center	General	$2,000.00
Our Daily Bread	General	$2,000.00
St. Ann's Center for Children, Youth, and Families	General	$5,000.00
Washington Middle School for Girls	General	$3,000.00
Light of the World	General	$5,000.00
Duke Cancer Institute	General	$3,000.00
Total		$190,000.00

0696RJM.14.wpd