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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
RICHARD AND JANE GREEN FAMILY FOUND

A Employer identification number
65-0377179

Number and street (or P O box number if mail is not delivered to street address) HARBOUR HOUSE 20 OCEAN REEF CLUB | Room/suite

B Telephone number (see instructions)

City or town, state or province, country, and ZIP or foreign postal code
KEY LARGO, FL 330373738

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,093,885

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	54,338	54,338		
	4	Dividends and interest from securities				
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-23,926			
	b	Gross sales price for all assets on line 6a 1,501,000				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	30,412	54,338		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	5,175	2,587		2,588
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,561	61		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	6,736	2,648		2,588
	25	Contributions, gifts, grants paid	110,000			110,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	116,736	2,648		112,588
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-86,324			
	b	**Net investment income** (if negative, enter -0-)		51,690		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	10,430	109,986	109,986
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	426,872	428,335	420,210
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)	25,000	25,000	26,324
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	1,728,741	1,532,996	1,537,365
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,191,043	2,096,317	2,093,885
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	2,191,043	2,096,317	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	2,191,043	2,096,317	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,191,043	2,096,317	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,191,043
2	Enter amount from Part I, line 27a	2	-86,324
3	Other increases not included in line 2 (itemize) ▶	3	1,150
4	Add lines 1, 2, and 3	4	2,105,869
5	Decreases not included in line 2 (itemize) ▶	5	9,552
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,096,317

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE ATTACHED SCHEDULE	P	2012-12-30	2013-12-31
b	SEE ATTACHED SCHEDULE	P	2013-01-01	2013-12-31
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	115,000		118,577	-3,577
b	1,386,000		1,406,349	-20,349
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-3,577
b				-20,349
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-23,926
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	114,797	2,237,248	0 051312
2011	118,498	2,306,324	0 05138
2010	120,475	2,365,988	0 05092
2009	121,875	2,380,754	0 051192
2008	123,655	2,426,075	0 050969

2	**Total** of line 1, column (d)	2	0 255772
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 051154
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,144,933
5	Multiply line 4 by line 3	5	109,722
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	517
7	Add lines 5 and 6	7	110,239
8	Enter qualifying distributions from Part XII, line 4	8	112,588

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	517
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	517
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	517
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 2,620		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	2,620
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,103
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 2,103 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b		No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶STEVEN A GREEN Telephone no ▶(216) 292-7670

Located at ▶ONE BRATENAHL PLACE CLEVELAND OH ZIP+4 ▶44108

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
STEVEN A GREEN ONE BRATENAHL PLACE CLEVELAND,OH 44108	DIRECTOR 0 00	0	0	0
JOAN GREEN 651 OKEE CHOBEE BLVD WEST PALM BEACH,FL 33401	DIRECTOR 0 00	0	0	0
DANIEL HECHT 25550 CHAGRIN BLVD BEACHWOOD,OH 44122	DIRECTOR 0 00	0	0	0
KIMBERLY BEIDLER ONE BRATENAHL PLACE CLEVELAND,OH 44108	DIRECTOR 0 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services . . . . ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	512,535
b	Average of monthly cash balances	1b	74,212
c	Fair market value of all other assets (see instructions)	1c	1,590,850
d	**Total** (add lines 1a, b, and c)	1d	2,177,597
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	2,177,597
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	32,664
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,144,933
6	**Minimum investment return.** Enter 5% of line 5	6	107,247

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	107,247
2a	Tax on investment income for 2013 from Part VI, line 5	2a	517		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	517
3	Distributable amount before adjustments Subtract line 2c from line 1			3	106,730
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	106,730
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	106,730

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	112,588
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	112,588
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	517
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	112,071

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				106,730
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2013				
a From 2008. . . . 4,624				
b From 2009. . . . 4,915				
c From 2010. . . . 3,928				
d From 2011. . . . 4,631				
e From 2012. . . . 3,936				
f **Total** of lines 3a through e.	22,034			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 112,588				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				106,730
e Remaining amount distributed out of corpus	5,858			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	27,892			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	4,624			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	23,268			
10 Analysis of line 9				
a Excess from 2009. . . . 4,915				
b Excess from 2010. . . . 3,928				
c Excess from 2011. . . . 4,631				
d Excess from 2012. . . . 3,936				
e Excess from 2013. . . . 5,858				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

STEVEN A GREEN
PO BOX 28340
CLEVELAND,OH 441280340
(216) 292-7670

b The form in which applications should be submitted and information and materials they should include

THERE IS NO FORMAL APPLICATION PROCESS AND REQUESTS MUST BE IN WRITING DO NOT CALL HOWEVER, PLEASE NOTE THAT THE FOUNDATION DIRECTORS FAVOR CERTAIN PRE-SELECTED CHARITIES AND RARELY GRANT FUNDS BY OUTSIDE APPEALS

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
YALE UNIVERSITY P O BOX 2038 NEW HAVEN, CT 06521	NONE	PC	DEANS PRIORITIES FUND	100,000
JEWISH FEDERATION OF CLEVELAND 25701 SCIENCE PARK BEACHWOOD, OH 44122	NONE	PC	UNRESTRICTED-PROGRAMS AND SERVICES	10,000
Total . . . . ▶ **3a**				110,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	54,338	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	-23,926	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				30,412	

13 Total. Add line 12, columns (b), (d), and (e). **13** 30,412

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2014-05-14 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
MARY ELLEN POTTER				P00197632
Firm's name ▸ MARY ELLEN POTTER CPA INC			Firm's EIN ▸ 57-1142215	
Firm's address ▸ 29425 CHAGRIN BLVD SUITE 305 PEPPER PIKE, OH 44122			Phone no (216) 595-9770	

TY 2013 Accounting Fees Schedule

Name: RICHARD AND JANE GREEN FAMILY FOUND

EIN: 65-0377179

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX RETURN AND ACCOUNTING	5,175	2,587	0	2,588

TY 2013 General Explanation Attachment

Name: RICHARD AND JANE GREEN FAMILY FOUND

EIN: 65-0377179

Identifier	Return Reference	Explanation
	Form 990PF General Explanation Attachment 1	PART VII-A STATEMENTS REGARDING ACTIVITIESLINE 8BFLORIDA DOES NOT REQUIRE PRIVATE FOUNDATIONS TO SUPPLY A COPY OF THE FORM 990-PF WITH THE FLORIDA ATTORNEY GENERAL INSTEAD, AN ANNUAL FILING FEE AND RELATED REPORT IS SUBMITTED TO THE FLORIDA SECREATARY OF STATE THE FOUNDATION IS IN COMPLIANCE WITH THIS REQUIREMENT

TY 2013 Investments Corporate Bonds Schedule

Name: RICHARD AND JANE GREEN FAMILY FOUND

EIN: 65-0377179

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS- SEE SCHEDULE	25,000	26,324

TY 2013 Investments Government Obligations Schedule

Name:	RICHARD AND JANE GREEN FAMILY FOUND
EIN:	65-0377179
US Government Securities - End of Year Book Value:	
US Government Securities - End of Year Fair Market Value:	
State & Local Government Securities - End of Year Book Value:	428,335
State & Local Government Securities - End of Year Fair Market Value:	420,210

TY 2013 Other Assets Schedule

Name: RICHARD AND JANE GREEN FAMILY FOUND

EIN: 65-0377179

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
CERTIFICATES OF DEPOSIT SEE SCH	1,728,741	1,532,996	1,537,365

TY 2013 Other Decreases Schedule

Name: RICHARD AND JANE GREEN FAMILY FOUND

EIN: 65-0377179

Description	Amount
NET BOND AMORTIZATION	2,861
ACCURED INTEREST	3,081
NON TAXABLE OID	3,610

TY 2013 Other Increases Schedule

Name: RICHARD AND JANE GREEN FAMILY FOUND

EIN: 65-0377179

Description	Amount
TAX EXEMPT INCOME	1,150

TY 2013 Taxes Schedule

Name: RICHARD AND JANE GREEN FAMILY FOUND

EIN: 65-0377179

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	1,500	0	0	0
FL ANNUAL REPORT FEE	61	61	0	0

SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
COOK CNTY IL S/D # 155 / 215219JG2 TAXABLE CAP APPREC REF SER C G/O UNLTD OID AGM CPN 0.000% DUE 12/01/13 12/02/13	20,000.0000	03/28/13	20,000.00	20,000.00	0.00	REDEMPTION
PHOENIX AZ CIVIC IMPT / 71884BEP8 CP MUN FACS EXCISE TAX SUB SR D REV TXBL NPFG CPN 5.750% DUE 07/01/33 07/01/13	75,000.0000	08/06/12	75,000.00	78,417.50	-3,417.50	REDEMPTION
SUNSHINE ST GOVERNMENTAL / 867868CZ2 FING COMMN FL RV CORAL GALES PG SER B B/E MBIA CPN 5.400% DUE 10/01/19 10/01/13	20,000.0000	07/25/13	20,000.00	20,159.40	-159.40	REDEMPTION
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			**115,000.00**	**118,576.90**	**-3,576.90**	

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
ALLY BANK CD / 02005QSM6 MIDVALE UT ACT/365 FDIC INSURED CPN 0.600% DUE 02/19/13 02/19/13	40,000.0000	08/10/11	40,000.00	40,000.00	0.00	REDEMPTION
ALLY BANK CD / 02005QSP9 MIDVALE UT ACT/365 FDIC INSURED CPN 0.800% DUE 08/19/13 08/19/13	40,000.0000	08/10/11	40,000.00	40,000.00	0.00	REDEMPTION
ALLY BANK CD / 02005QXJ7 MIDVALE UT ACT/365 FDIC INSURED CPN 1.100% DUE 11/12/13 11/12/13	25,000.0000	11/01/11	25,000.00	25,000.00	0.00	REDEMPTION
ALLY BK CD / 02005QYC1 MIDVALE UT ACT/365 FDIC INSURED CPN 1.100% DUE 12/16/13 12/16/13	25,000.0000	12/08/11	25,000.00	25,000.00	0.00	REDEMPTION
ALLY BK CD / 02005QYB3 MIDVALE UT ACT/365 FDIC INSURED CPN 0 800% DUE 06/14/13 06/14/13	25,000.0000	12/08/11	25,000.00	25,000.00	0.00	REDEMPTION

YT114039 000681 039194810114 NNNNN NNNNN NNNNNN 000004

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
BANK HAPOALIM B M CD / 06251AXV7 NEW YORK NY ACT/365 FDIC INSURED CPN 0.500% DUE 05/24/13 05/24/13	40,000.0000	05/15/12	40,000.00	40 000.00	0.00	REDEMPTION
BANK OF AMERICA CD / 06051VQG4 CHARLOTTE NC ACT/365 FDIC INSURED CPN 2 000% DUE 05/06/13 05/06/13	10,000.0000	05/04/10	10,000.00	10,000.00	0.00	REDEMPTION
BARCLAYS BANK CD / 06740KFU6 WILMINGTON DE ACT/365 FDIC INSURED CPN 0.400% DUE 01/18/13 01/18/13	50,000.0000	01/13/12	50,000.00	50,000.00	0.00	REDEMPTION
CAPITAL ONE BANK CD / 14041AXT1 GLEN ALLEN VA ACT/365 FDIC INSURED CPN 4.500% DUE 01/23/13 01/23/13	15,000.0000	09/23/09	15,000.00	15,734.89	-734.89	REDEMPTION
CAPITAL ONE BK USA NA CD / 14041A3J6 GLEN ALLEN VA ACT/365 FDIC INSURED CPN 4.600% DUE 06/11/13 06/11/13	10,000.0000	08/17/09	10,000.00	10,576.46	-576.46	REDEMPTION

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information	
CAPITAL ONE NA CD / 14042EW89 MCLEAN VA ACT/365 FDIC INSURED CPN 5.000% DUE 11/26/13							
	25,000.0000	*11/18/08*	*25,000.00*	*25,000.00*	*0 00*		
	25,000.0000	*09/28/11*	*25,000.00*	*26,997.75*	*-1,997.75*		
11/26/13	50,000.0000	Various	50,000.00	51,997.75	-1,997.75	REDEMPTION	TOTAL 2 LOTS
CAPITOL FED SVGS BK CD / 14058ABE8 TOPEKA KS ACT/365 FDIC INSURED CPN 1.900% DUE 05/13/13							
05/13/13	29,000.0000	05/06/10	29,000.00	29,000.00	0.00	REDEMPTION	
COLUMBUS BK & TR CO CD / 198882HA3 COLUMBUS GA ACT/365 FDIC INSURED CPN 1.750% DUE 03/18/13							
03/18/13	25,000.0000	03/17/10	25,000.00	25,005.00	-5.00	REDEMPTION	
COLUMBUS BK & TR CO CD / 198882HN5 COLUMBUS GA ACT/365 FDIC INSURED CPN 2.000% DUE 04/22/13							
04/22/13	25,000.0000	04/19/10	25,000.00	25,000.00	0.00	REDEMPTION	
COOK CNTY IL S/D # 155 / 215219JG2 TAXABLE CAP APPREC REF SER C G/O UNLTD OID AGM CPN 0.000% DUE 12/01/13							
12/02/13	25,000.0000	09/12/12	25,000.00	25,000.00	0.00	REDEMPTION	

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
DISCOVER BANK CD / 25467JXZ9 GREENWOOD DE ACT/365 FDIC INSURED CPN 5.000% DUE 05/06/13 05/06/13	15,000.0000	12/21/09	15,000.00	15,982.89	-982.89	REDEMPTION
DISCOVER BANK CD / 254671JU5 GREENWOOD DE ACT/365 FDIC INSURED CPN 0.450% DUE 12/12/13 12/12/13	36,000.0000	12/06/12	36,000.00	36,000.00	0.00	REDEMPTION
DISCOVER BK CD / 2546705M0 GREENWOOD DE ACT/365 FDIC INSURED CPN 0.300% DUE 03/28/13 03/28/13	60,000.0000	03/21/12	60,000.00	60,000.00	0.00	REDEMPTION
EVERBANK CD / 29976DQC7 JACKSONVILLE FL ACT/365 FDIC INSURED CPN 0.500% DUE 11/29/13 11/29/13	25,000.0000	11/16/12	25,000.00	25,000.00	0.00	REDEMPTION
GADSDEN AL WTS SER A G/O / 362460RE9 UNLTD REG AMBAC OID TXBL CPN 6 000% DUE 08/01/24 DTD 01/01/04 FC 08/01/04 08/01/13	30,000.0000	02/28/12	30,000.00	32,180.00	-2,180.00	REDEMPTION

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
GE CAPITAL RETAIL BK CD / 36159S4E8 DRAPER UT ACT/365 FDIC INSURED CPN 1.000% DUE 09/04/13 09/04/13	25,000.0000	11/01/11	25,000.00	25,000.00	0.00	REDEMPTION
GE CAPITAL RETAIL BK CD / 36159S5W7 DRAPER UT ACT/365 FDIC INSURED CPN 0.700% DUE 03/04/13 03/04/13	30,000.0000	11/29/11	30,000.00	30,000.00	0.00	REDEMPTION
GE MONEY BANK CD / 36159SAZ4 SALT LK CITY UT ACT/365 FDIC INSURED CPN 5.000% DUE 09/18/13 09/18/13	15,000.0000	09/02/09	15,000.00	16,106.12	-1,106.12	REDEMPTION
GE MONEY BANK CD / 36159SCH2 SALT LAKE CTY UT ACT/365 FDIC INSURED CPN 5 000% DUE 10/23/13 10/23/13	10,000.0000	03/17/09	10,000.00	10,592.72	-592.72	REDEMPTION
GE MONEY BANK CD / 36159SKP5 DRAPER UT ACT/365 FDIC INSURED CPN 2.100% DUE 01/15/13 01/15/13	15,000.0000	01/12/10	15,000.00	15,000.00	0.00	REDEMPTION

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
GE MONEY BANK CD / 36159SQK0 DRAPER UT ACT/365 FDIC INSURED CPN 1 650% DUE 08/06/13 08/06/13	35,000.0000	08/03/10	35,000.00	35,000.00	0.00	REDEMPTION
GE MONEY BK CD / 36159SAC5 SALT LAKE CTY UT ACT/365 FDIC INSURED CPN 5.000% DUE 08/13/13 08/13/13	25,000.0000	03/31/09	25,000 00	26 508.79	-1,508.79	REDEMPTION
GE MONEY BK CD / 36159STB7 DRAPER UT ACT/365 FDIC INSURED CPN 1.000% DUE 04/08/13 04/08/13	24,000.0000	10/13/10	24,000.00	23,992.34	7.66	REDEMPTION
GOLDMAN SACHS BK USA CD / 38143ACF0 NEW YORK NY ACT/365 FDIC INSURED CPN 1.100% DUE 11/25/13 11/25/13	29,000.0000	11/14/11	29,000.00	29,000.00	0.00	REDEMPTION
GOLDMAN SACHS BK USA CD / 38143AFS9 NEW YORK ACT/365 FDIC INSURED CPN 0.850% DUE 07/05/13 07/05/13	50,000.0000	12/28/11	50,000.00	50,000.00	0.00	REDEMPTION

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
GOLDMAN SACHS BK USA CD / 38143AKJ3 NEW YORK ACT/365 FDIC INSURED CPN 0.550% DUE 08/22/13 08/22/13	30,000.0000	02/16/12	30,000.00	30,000.00	0.00	REDEMPTION
INVESTORS CMNTY BANK CD / 46147UJC5 MANITOWOC WI ACT/365 FDIC INSURED CPN 4.100% DUE 04/23/13 04/23/13	6,000.0000	09/30/09	6,000.00	6,227.02	-227.02	REDEMPTION
MIDFIRST BK CD / 59740JLL1 OKLAHOMA CITY OK ACT/365 FDIC INSURED CPN 2.250% DUE 07/22/13 07/22/13	25,000.0000	01/12/10	25,000.00	25,000.00	0.00	REDEMPTION
MISSISSIPPI DEV BK SPL / 60534PEJ8 OBLIG WSTWTR & SOLID WST MGMT A B/E OID AGM CPN 5.000% DUE 02/01/28 02/01/13	10,000.0000	03/28/11	10,000.00	10,000.00	0.00	REDEMPTION
MISSOURI ST DEV FIN BRD / 60636VBD8 REV TXBL-ST LOUIS CARDINALS PJ B/E CPN 6.190% DUE 11/01/33 11/01/13	35,[illegible]00	09/12/12	35,000.00	37,224 00	-2,224.00	REDEMPTION

YT114039 000681 039194810114 NNNNN NNNNN NNNNNN 000007

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information	
ORIENTAL BANK & TRUST CD / 686184NZ1 SAN JUAN PR ACT/365 FDIC INSURED CPN 4.250% DUE 04/11/13							
04/11/13	20,000.0000	06/19/09	20,000.00	20,425.46	-425.46	REDEMPTION	
PARK STERLING BK CD / 70086WDT3 CHARLOTTE NC ACT/365 FDIC INSURED CPN 1 850% DUE 07/22/13							
	22,000 0000	*04/19/10*	*22,000.00*	*22,000 00*	*0.00*		
	25,000.0000	*04/26/10*	*25,000.00*	*25.005.00*	*-5.00*		
07/22/13	47,000.0000	Various	47,000.00	47,005.00	-5.00	REDEMPTION	TOTAL 2 LOTS
SOVEREIGN BANK CD / 84603MV29 WILMINGTON DE ACT/365 FDIC INSURED CPN 0.400% DUE 02/07/13							
02/07/13	60,000.0000	02/02/12	60,000.00	60,000.00	0.00	REDEMPTION	
TENNESSEE ST / 8805405T2 TAXABLE SER C G/O UNLTD B/E OID CPN 7.300% DUE 05/01/21							
05/01/13	40,000.0000	04/30/12	40,000.00	42,545.00	-2,545.00	REDEMPTION	
WACHOVIA BANK FSB CD / 92979HAZ9 HOUSTON TX ACT/365 FDIC INSURED CPN 4.300% DUE 03/07/13							
03/07/13	10,000.0000	03/17/09	10,000.00	10,430.53	-430.53	REDEMPTION	

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
WACHOVIA BANK NA CD / 92977BND9 CHARLOTTE NC ACT/365 FDIC INSURED CPN 5.000% DUE 06/25/13 06/25/13	20,000.0000	02/10/09	20,000.00	21,207.99	-1,207.99	REDEMPTION
WACHOVIA BANK NA CD / 92977BTR2 CHARLOTTE NC ACT/365 FDIC INSURED CPN 5 050% DUE 09/12/13 09/12/13	10,000.0000	08/27/09	10,000.00	10,758.37	-758.37	REDEMPTION
WACHOVIA BK N A CD / 92977BUV1 CHARLOTTE NC ACT/365 FDIC INSURED CPN 5 050% DUE 09/30/13 09/30/13	25,000.0000	09/24/08	25,000.00	25,000.00	0.00	REDEMPTION
WACHOVIA BK NA CD / 92977BNU1 CHARLOTTE NC ACT/365 FDIC INSURED CPN 5 000% DUE 07/11/13 07/11/13	10,000.0000	08/10/09	10,000 00	10,737.66	-737.66	REDEMPTION
WASHINGTON MUTL BANK CD / 939389JE3 HENDERSON, NV ACT/365 FDIC INSURED CPN 4 350% DUE 05/22/13 05/22/13	10,000.0000	12/21/09	10,000.00	10,455.75	-455.75	REDEMPTION

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
WILL CNTY IL CMNTY CONS / 968717GW2 S/D #30 C TROY TWP RFDG B G/O LTD B/E AGM TAXBL CPN 4.250% DUE 11/01/14 11/01/13	20,000.0000	09/22/11	20,000.00	20,000.00	0.00	REDEMPTION
WILL CNTY IL SCH DIST NO / 968852KM4 122 RFDG SER A G/O UNLTD B\E AGM OID TXBL CPN 4.300% DUE 11/01/15 11/01/13	25,000.0000	01/20/12	25,000.00	25,000.00	0.00	REDEMPTION
WORLD FINL NTWK JUMBO CD / 99000NDZ1 WILMINGTON DE ACT/365 FDIC INSURED CPN 1.000% DUE 09/16/13 09/16/13	100,000.0000	09/09/11	100,000.00	100,000.00	0.00	REDEMPTION
YPSILANTI MICH CAP IMPT / 987830HG4 SR B MBIA B/E OID @99 567 5.15 LT TXBL CPN 5 100% DUE 05/01/15 05/01/13	25,000.0000	08/30/11	25,000.00	26,655.00	-1,655.00	REDEMPTION
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			**1,386,000.00**	**1,406,348.74**	**-20,348.74**	

*Box 2a: Sales price less commissions and option premiums

SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
COOK CNTY IL S/D # 155 / 215219JG2 TAXABLE CAP APPREC REF SER C G/O UNLTD OID AGM CPN 0.000% DUE 12/01/13 12/02/13	20,000.0000	03/28/13	20,000.00	20,000.00	0.00	REDEMPTION
PHOENIX AZ CIVIC IMPT / 71884BEP8 CP MUN FACS EXCISE TAX SUB SR D REV TXBL NPFG CPN 5.750% DUE 07/01/33 07/01/13	75,000.0000	08/06/12	75,000.00	78,417.50	-3,417.50	REDEMPTION
SUNSHINE ST GOVERNMENTAL / 867868CZ2 FING COMMN FL RV CORAL GALES PG SER B B/E MBIA CPN 5.400% DUE 10/01/19 10/01/13	20,000.0000	07/25/13	20,000.00	20,159.40	-159.40	REDEMPTION
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			**115,000.00**	**118,576.90**	**-3,576.90**	

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
ALLY BANK CD / 02005QSM6 MIDVALE UT ACT/365 FDIC INSURED CPN 0.600% DUE 02/19/13 02/19/13	40,000.0000	08/10/11	40,000.00	40,000.00	0.00	REDEMPTION
ALLY BANK CD / 02005QSP9 MIDVALE UT ACT/365 FDIC INSURED CPN 0.800% DUE 08/19/13 08/19/13	40,000.0000	08/10/11	40,000.00	40,000.00	0.00	REDEMPTION
ALLY BANK CD / 02005QXJ7 MIDVALE UT ACT/365 FDIC INSURED CPN 1.100% DUE 11/12/13 11/12/13	25,000.0000	11/01/11	25,000.00	25,000.00	0.00	REDEMPTION
ALLY BK CD / 02005QYC1 MIDVALE UT ACT/365 FDIC INSURED CPN 1.100% DUE 12/16/13 12/16/13	25,000.0000	12/08/11	25,000.00	25,000.00	0.00	REDEMPTION
ALLY BK CD / 02005QYB3 MIDVALE UT ACT/365 FDIC INSURED CPN 0 800% DUE 06/14/13 06/14/13	25,000.0000	12/08/11	25,000.00	25,000.00	0.00	REDEMPTION

YT114039 000681 039194810114 NNNNN NNNNN NNNNNN 000004

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
BANK HAPOALIM B M CD / 06251AXV7 NEW YORK NY ACT/365 FDIC INSURED CPN 0.500% DUE 05/24/13 05/24/13	40,000.0000	05/15/12	40,000.00	40 000.00	0.00	REDEMPTION
BANK OF AMERICA CD / 06051VQG4 CHARLOTTE NC ACT/365 FDIC INSURED CPN 2 000% DUE 05/06/13 05/06/13	10,000.0000	05/04/10	10,000.00	10,000.00	0.00	REDEMPTION
BARCLAYS BANK CD / 06740KFU6 WILMINGTON DE ACT/365 FDIC INSURED CPN 0.400% DUE 01/18/13 01/18/13	50,000.0000	01/13/12	50,000.00	50,000.00	0.00	REDEMPTION
CAPITAL ONE BANK CD / 14041AXT1 GLEN ALLEN VA ACT/365 FDIC INSURED CPN 4.500% DUE 01/23/13 01/23/13	15,000.0000	09/23/09	15,000.00	15,734.89	-734.89	REDEMPTION
CAPITAL ONE BK USA NA CD / 14041A3J6 GLEN ALLEN VA ACT/365 FDIC INSURED CPN 4.600% DUE 06/11/13 06/11/13	10,000.0000	08/17/09	10,000.00	10,576.46	-576.46	REDEMPTION

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information	
CAPITAL ONE NA CD / 14042EW89 MCLEAN VA ACT/365 FDIC INSURED CPN 5.000% DUE 11/26/13							
	25,000.0000	*11/18/08*	*25,000.00*	*25,000.00*	*0 00*		
	25,000.0000	*09/28/11*	*25,000.00*	*26,997.75*	*-1,997.75*		
11/26/13	50,000.0000	Various	50,000.00	51,997.75	-1,997.75	REDEMPTION	TOTAL 2 LOTS
CAPITOL FED SVGS BK CD / 14058ABE8 TOPEKA KS ACT/365 FDIC INSURED CPN 1.900% DUE 05/13/13 05/13/13	29,000.0000	05/06/10	29,000.00	29,000.00	0.00	REDEMPTION	
COLUMBUS BK & TR CO CD / 198882HA3 COLUMBUS GA ACT/365 FDIC INSURED CPN 1.750% DUE 03/18/13 03/18/13	25,000.0000	03/17/10	25,000.00	25,005.00	-5.00	REDEMPTION	
COLUMBUS BK & TR CO CD / 198882HN5 COLUMBUS GA ACT/365 FDIC INSURED CPN 2.000% DUE 04/22/13 04/22/13	25,000.0000	04/19/10	25,000.00	25,000.00	0.00	REDEMPTION	
COOK CNTY IL S/D # 155 / 215219JG2 TAXABLE CAP APPREC REF SER C G/O UNLTD OID AGM CPN 0.000% DUE 12/01/13 12/02/13	[illegible]5,000.0000	09/12/12	25,000.00	25,000.00	0.00	REDEMPTION	

YI1140 [illegible] 000681 039194810114 NNNNN NNNNN NNNNNN 000065

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
DISCOVER BANK CD / 25467JXZ9 GREENWOOD DE ACT/365 FDIC INSURED CPN 5.000% DUE 05/06/13 05/06/13	15,000.0000	12/21/09	15,000.00	15,982.89	-982.89	REDEMPTION
DISCOVER BANK CD / 254671JU5 GREENWOOD DE ACT/365 FDIC INSURED CPN 0.450% DUE 12/12/13 12/12/13	36,000.0000	12/06/12	36,000.00	36,000.00	0.00	REDEMPTION
DISCOVER BK CD / 2546705M0 GREENWOOD DE ACT/365 FDIC INSURED CPN 0.300% DUE 03/28/13 03/28/13	60,000.0000	03/21/12	60,000.00	60,000.00	0.00	REDEMPTION
EVERBANK CD / 29976DQC7 JACKSONVILLE FL ACT/365 FDIC INSURED CPN 0.500% DUE 11/29/13 11/29/13	25,000.0000	11/16/12	25,000.00	25,000.00	0.00	REDEMPTION
GADSDEN AL WTS SER A G/O / 362460RE9 UNLTD REG AMBAC OID TXBL CPN 6 000% DUE 08/01/24 DTD 01/01/04 FC 08/01/04 08/01/13	30,000.0000	02/28/12	30,000.00	32,180.00	-2,180.00	REDEMPTION

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
GE CAPITAL RETAIL BK CD / 36159S4E8 DRAPER UT ACT/365 FDIC INSURED CPN 1.000% DUE 09/04/13 09/04/13	25,000.0000	11/01/11	25,000.00	25,000.00	0.00	REDEMPTION
GE CAPITAL RETAIL BK CD / 36159S5W7 DRAPER UT ACT/365 FDIC INSURED CPN 0.700% DUE 03/04/13 03/04/13	30,000.0000	11/29/11	30,000.00	30,000.00	0.00	REDEMPTION
GE MONEY BANK CD / 36159SAZ4 SALT LK CITY UT ACT/365 FDIC INSURED CPN 5.000% DUE 09/18/13 09/18/13	15,000.0000	09/02/09	15,000.00	16,106.12	-1,106.12	REDEMPTION
GE MONEY BANK CD / 36159SCH2 SALT LAKE CTY UT ACT/365 FDIC INSURED CPN 5 000% DUE 10/23/13 10/23/13	10,000.0000	03/17/09	10,000.00	10,592.72	-592.72	REDEMPTION
GE MONEY BANK CD / 36159SKP5 DRAPER UT ACT/365 FDIC INSURED CPN 2.100% DUE 01/15/13 01/15/13	15,000.0000	01/12/10	15,000.00	15,000.00	0.00	REDEMPTION

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
GE MONEY BANK CD / 36159SQK0 DRAPER UT ACT/365 FDIC INSURED CPN 1 650% DUE 08/06/13 08/06/13	35,000.0000	08/03/10	35,000.00	35,000.00	0.00	REDEMPTION
GE MONEY BK CD / 36159SAC5 SALT LAKE CTY UT ACT/365 FDIC INSURED CPN 5.000% DUE 08/13/13 08/13/13	25,000.0000	03/31/09	25,000 00	26 508.79	-1,508.79	REDEMPTION
GE MONEY BK CD / 36159STB7 DRAPER UT ACT/365 FDIC INSURED CPN 1.000% DUE 04/08/13 04/08/13	24,000.0000	10/13/10	24,000.00	23,992.34	7.66	REDEMPTION
GOLDMAN SACHS BK USA CD / 38143ACF0 NEW YORK NY ACT/365 FDIC INSURED CPN 1.100% DUE 11/25/13 11/25/13	29,000.0000	11/14/11	29,000.00	29,000.00	0.00	REDEMPTION
GOLDMAN SACHS BK USA CD / 38143AFS9 NEW YORK ACT/365 FDIC INSURED CPN 0.850% DUE 07/05/13 07/05/13	50,000.0000	12/28/11	50,000.00	50,000.00	0.00	REDEMPTION

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
GOLDMAN SACHS BK USA CD / 38143AKJ3 NEW YORK ACT/365 FDIC INSURED CPN 0.550% DUE 08/22/13 08/22/13	30,000.0000	02/16/12	30,000.00	30,000.00	0.00	REDEMPTION
INVESTORS CMNTY BANK CD / 46147UJC5 MANITOWOC WI ACT/365 FDIC INSURED CPN 4.100% DUE 04/23/13 04/23/13	6,000.0000	09/30/09	6,000.00	6,227.02	-227.02	REDEMPTION
MIDFIRST BK CD / 59740JLL1 OKLAHOMA CITY OK ACT/365 FDIC INSURED CPN 2.250% DUE 07/22/13 07/22/13	25,000.0000	01/12/10	25,000.00	25,000.00	0.00	REDEMPTION
MISSISSIPPI DEV BK SPL / 60534PEJ8 OBLIG WSTWTR & SOLID WST MGMT A B/E OID AGM CPN 5.000% DUE 02/01/28 02/01/13	10,000.0000	03/28/11	10,000.00	10,000.00	0.00	REDEMPTION
MISSOURI ST DEV FIN BRD / 60636VBD8 REV TXBL-ST LOUIS CARDINALS PJ B/E CPN 6.190% DUE 11/01/33 11/01/13	35,[illegible]00	09/12/12	35,000.00	37,224 00	-2,224.00	REDEMPTION

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information	
ORIENTAL BANK & TRUST CD / 686184NZ1 SAN JUAN PR ACT/365 FDIC INSURED CPN 4.250% DUE 04/11/13							
04/11/13	20,000.0000	06/19/09	20,000.00	20,425.46	-425.46	REDEMPTION	
PARK STERLING BK CD / 70086WDT3 CHARLOTTE NC ACT/365 FDIC INSURED CPN 1 850% DUE 07/22/13							
	22,000 0000	*04/19/10*	*22,000.00*	*22,000 00*	*0.00*		
	25,000.0000	*04/26/10*	*25,000.00*	*25.005.00*	*-5.00*		
07/22/13	47,000.0000	Various	47,000.00	47,005.00	-5.00	REDEMPTION	TOTAL 2 LOTS
SOVEREIGN BANK CD / 84603MV29 WILMINGTON DE ACT/365 FDIC INSURED CPN 0.400% DUE 02/07/13							
02/07/13	60,000.0000	02/02/12	60,000.00	60,000.00	0.00	REDEMPTION	
TENNESSEE ST / 8805405T2 TAXABLE SER C G/O UNLTD B/E OID CPN 7.300% DUE 05/01/21							
05/01/13	40,000.0000	04/30/12	40,000.00	42,545.00	-2,545.00	REDEMPTION	
WACHOVIA BANK FSB CD / 92979HAZ9 HOUSTON TX ACT/365 FDIC INSURED CPN 4.300% DUE 03/07/13							
03/07/13	10,000.0000	03/17/09	10,000.00	10,430.53	-430.53	REDEMPTION	

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
WACHOVIA BANK NA CD / 92977BND9 CHARLOTTE NC ACT/365 FDIC INSURED CPN 5.000% DUE 06/25/13 06/25/13	20,000.0000	02/10/09	20,000.00	21,207.99	-1,207.99	REDEMPTION
WACHOVIA BANK NA CD / 92977BTR2 CHARLOTTE NC ACT/365 FDIC INSURED CPN 5 050% DUE 09/12/13 09/12/13	10,000.0000	08/27/09	10,000.00	10,758.37	-758.37	REDEMPTION
WACHOVIA BK N A CD / 92977BUV1 CHARLOTTE NC ACT/365 FDIC INSURED CPN 5 050% DUE 09/30/13 09/30/13	25,000.0000	09/24/08	25,000.00	25,000.00	0.00	REDEMPTION
WACHOVIA BK NA CD / 92977BNU1 CHARLOTTE NC ACT/365 FDIC INSURED CPN 5 000% DUE 07/11/13 07/11/13	10,000.0000	08/10/09	10,000 00	10,737.66	-737.66	REDEMPTION
WASHINGTON MUTL BANK CD / 939389JE3 HENDERSON, NV ACT/365 FDIC INSURED CPN 4 350% DUE 05/22/13 05/22/13	10,000.0000	12/21/09	10,000.00	10,455.75	-455.75	REDEMPTION

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
WILL CNTY IL CMNTY CONS / 968717GW2 S/D #30 C TROY TWP RFDG B G/O LTD B/E AGM TAXBL CPN 4.250% DUE 11/01/14 11/01/13	20,000.0000	09/22/11	20,000.00	20,000.00	0.00	REDEMPTION
WILL CNTY IL SCH DIST NO / 968852KM4 122 RFDG SER A G/O UNLTD B\E AGM OID TXBL CPN 4.300% DUE 11/01/15 11/01/13	25,000.0000	01/20/12	25,000.00	25,000.00	0.00	REDEMPTION
WORLD FINL NTWK JUMBO CD / 99000NDZ1 WILMINGTON DE ACT/365 FDIC INSURED CPN 1.000% DUE 09/16/13 09/16/13	100,000.0000	09/09/11	100,000.00	100,000.00	0.00	REDEMPTION
YPSILANTI MICH CAP IMPT / 987830HG4 SR B MBIA B/E OID @99 567 5.15 LT TXBL CPN 5 100% DUE 05/01/15 05/01/13	25,000.0000	08/30/11	25,000.00	26,655.00	-1,655.00	REDEMPTION
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			**1,386,000.00**	**1,406,348.74**	**-20,348.74**	

*Box 2a: Sales price less commissions and option premiums