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990-PF

Form

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

OMB No 1545-0052

2013

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation LEONARD L AND BERTHA U ABESS FOUNDATION INC.

C/O FIDUCIARY TRUST COMPANY INT'L. 141007000

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

A Employer identification number

65-0151462

B Telephone number (see instructions)

212-632-3000

600 FIFTH AVENUE

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10020

G Check all that apply.

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col (c), line

16) \$ 5,116,984.

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

C If exemption application is pending check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A) check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>				
1 Contributions, gifts, grants etc., received (attach schedule)				
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	107,377.	107,377.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	98,157.			
b Gross sales price for all assets on line 6a	1,075,498.			
7 Capital gain net income (from Part IV, line 2)		98,157.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	27.	27.		STMT 2
12 Total. Add lines 1 through 11	205,561.	205,561.		
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) STMT 3	3,500.	3,500.	NONE	NONE
c Other professional fees (attach schedule) STMT 4	22,881.	18,305.		4,576.
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT 5	5,226.	1,023.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) STMT 6	203.	142.		61.
24 Total operating and administrative expenses. Add lines 13 through 23	31,810.	22,970.	NONE	4,637.
25 Contributions, gifts, grants paid	204,500.			204,500.
26 Total expenses and disbursements. Add lines 24 and 25	236,310.	22,970.	NONE	209,137.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-30,749.			
b Net investment income (if negative, enter -0-)		182,591.		
c Adjusted net income (if negative, enter -0-)				

JSA For Paperwork Reduction Act Notice, see instructions.

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ENVELOPE  
MAY 12 2014  
POSTMARK DATEOperating and Administrative Expenses  
MAY 19 2014

20

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash - non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	702,062.	4,274.	4,274.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10 a	Investments - U S and state government obligations (attach schedule) . . . . .			
	b	Investments - corporate stock (attach schedule) . STMT 7 . . . . .	2,678,164.	2,662,202.	4,044,941.
	c	Investments - corporate bonds (attach schedule) . STMT 8 . . . . .	335,513.	1,062,516.	1,067,769.
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans . . . . .			
	13	Investments - other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶ )				
16	<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	3,715,739.	3,728,992.	5,116,984.	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ )			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	3,715,739.	3,728,992.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .			
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	3,715,739.	3,728,992.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	3,715,739.	3,728,992.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	3,715,739.
2	Enter amount from Part I, line 27a . . . . .	2	-30,749.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9 . . . . .	3	55,502.
4	Add lines 1, 2, and 3 . . . . .	4	3,740,492.
5	Decreases not included in line 2 (itemize) ▶ 2012 CHECKS CLEARING IN 2013 . . . . .	5	11,500.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	3,728,992.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co )			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1a PUBLICLY TRADED SECURITIES</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b> 1,075,498.		977,341.	98,157.		
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))		
<b>a</b>			98,157.		
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) <span style="float:right">{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</span>			<b>2</b>	98,157.	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 <span style="float:right">{ }</span>			<b>3</b>		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	213,845.	4,269,221.	0.050090
2011	209,661.	4,362,095.	0.048064
2010	192,639.	4,211,520.	0.045741
2009	236,006.	3,899,347.	0.060524
2008	268,519.	4,798,833.	0.055955
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.260374
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.052075
<b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			<b>4</b> 4,685,180.
<b>5</b> Multiply line 4 by line 3			<b>5</b> 243,981.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 1,826.
<b>7</b> Add lines 5 and 6			<b>7</b> 245,807.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			<b>8</b> 209,137.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	3,652.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		2	
3 Add lines 1 and 2 . . . . .		3	3,652.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	3,652.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013 . . . . .	6a	4,200.	
b Exempt foreign organizations - tax withheld at source . . . . .	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	NONE	
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	4,200.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10	548.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> 548. Refunded <input type="checkbox"/> . . . . .	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> FL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation. . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV. . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ FIDUCIARY TRUST COMPANY INT'L. Telephone no. ▶ (212) 632-3000			
Located at ▶ 600 FIFTH AVENUE, NEW YORK, NY		ZIP+4 ▶ 10020		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . .	15		
and enter the amount of tax-exempt interest received or accrued during the year . . . . .				
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .	1b	X
Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) . . . . .	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) . . . . .	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? . . . . .	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No  
If "Yes" to 6b, file Form 88707a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10				

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		-0-	-0-	-0-

Total number of other employees paid over \$50,000 ☐ NONE

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 N/A

2

3

4

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 N/A

2

All other program-related investments See instructions

3 NONE

Total. Add lines 1 through 3

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	4,625,464.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	131,064.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	4,756,528.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	4,756,528.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	71,348.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	4,685,180.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	234,259.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	234,259.
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	3,652.
<b>b</b>	Income tax for 2013. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	3,652.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	230,607.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4	<b>5</b>	230,607.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	230,607.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	209,137.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	209,137.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	N/A
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	209,137.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7 . . . . .				230,607.
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only . . . . .			204,450.	
<b>b</b> Total for prior years 20 <u>11</u> , 20 <u>  </u> , 20 <u>  </u> . . . . .		NONE		
<b>3</b> Excess distributions carryover, if any, to 2013				
<b>a</b> From 2008 . . . . .	NONE			
<b>b</b> From 2009 . . . . .	NONE			
<b>c</b> From 2010 . . . . .	NONE			
<b>d</b> From 2011 . . . . .	NONE			
<b>e</b> From 2012 . . . . .	NONE			
<b>f</b> Total of lines 3a through e . . . . .	NONE			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>209,137.</u>				
<b>a</b> Applied to 2012, but not more than line 2a . . . . .			204,450.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .		NONE		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .	NONE			
<b>d</b> Applied to 2013 distributable amount . . . . .				4,687.
<b>e</b> Remaining amount distributed out of corpus . . . . .	NONE			
<b>5</b> Excess distributions carryover applied to 2013 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a) )	NONE			NONE
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	NONE			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
<b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 . . . . .				225,920.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .	NONE			
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .	NONE			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	NONE			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009 . . . . .	NONE			
<b>b</b> Excess from 2010 . . . . .	NONE			
<b>c</b> Excess from 2011 . . . . .	NONE			
<b>d</b> Excess from 2012 . . . . .	NONE			
<b>e</b> Excess from 2013 . . . . .	NONE			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Tax year	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization. . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 12

**b** The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

**c** Any submission deadlines:

N/A

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
GREATER MIAMI JEWISH FEDERATION 4200 BISCAYNE BOULEVARD MIAMI FL 33137-0100	NONE	EXEMPT	GENERAL EXEMPT PURPOSES	50,000.
MOUNT SINAI MEDICAL CENTER PDN.	NONE	EXEMPT	GENERAL EXEMPT PURPOSES	50,000.
TEMPLE ISRAEL OF GREATER MIAMI 137 NORTHEAST 19TH STREET MIAMI FL 33132	NONE	EXEMPT	GENERAL EXEMPT PURPOSES	15,000.
MIAMI COUNTRY DAY SCHOOL	NONE	EXEMPT	GENERAL EXEMPT PURPOSES	5,000.
THE NATURE CONSERVANCY OF FLORIDA	NONE	EXEMPT	GENERAL EXEMPT PURPOSES	10,000.
THE NATURE CONSERVANCY - VERMONT	NONE	EXEMPT	GENERAL EXEMPT PURPOSES	2,500.
ANTI-DEFAMATION LEAGUE	NONE	EXEMPT	GENERAL EXEMPT PURPOSES	16,500.
NATURE CONSERVANCY - FLORIDA KEYS	NONE	EXEMPT	GENERAL EXEMPT PURPOSES	2,500.
NPR	NONE	EXEMPT	GENERAL EXEMPT PURPOSES	2,500.
UNIVERSITY OF MIAMI MIAMI FL	NONE	EXEMPT	GENERAL EXEMPT PURPOSES	50,500.
<b>Total</b>			<b>3a</b>	<b>204,500.</b>
<b>b Approved for future payment</b>				
<b>Total</b>			<b>3b</b>	

[illegible]

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
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NOT APPLICABLE

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |  |  |       |    |
|--|--|-------|----|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |  | Yes   | No |
| a  | Transfers from the reporting foundation to a noncharitable exempt organization of: |       |    |
|  | (1) Cash   | 1a(1) | X  |
|  | (2) Other assets   | 1a(2) | X  |
| b  | Other transactions:  |       |    |
|  | (1) Sales of assets to a noncharitable exempt organization                         | 1b(1) | X  |
|  | (2) Purchases of assets from a noncharitable exempt organization                   | 1b(2) | X  |
|  | (3) Rental of facilities, equipment, or other assets                               | 1b(3) | X  |
|  | (4) Reimbursement arrangements   | 1b(4) | X  |
|  | (5) Loans or loan guarantees   | 1b(5) | X  |
|  | (6) Performance of services or membership or fundraising solicitations             | 1b(6) | X  |
| c  | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | 1c    | X  |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |  |       |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

4/25/14

► Trustee  
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name  
RICHARD SHELTON

Preparer's Signature Richard Shelton

Date	04/23/2014
------	------------

Check <input type="checkbox"/>	if	PTIN
self-employed		P00179509

Firm's name ► FIDUCIARY TRUST COMPANY INTL

2011	1001735
Firm's EIN	13-5069335

Firm's address ► 600 FIFTH AVENUE  
NEW YORK, NY

10020

Phone no 212-632-4090

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FTCI ACCOUNT	107,377.	107,377.
	-----	-----
TOTAL	107,377.	107,377.
	=====	=====

FORM 990PF, PART I - OTHER INCOME  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
SECURITY LITIGATION PAYMENT	27.	27.
	-----	-----
TOTALS	27.	27.
	=====	=====



FORM 990PF, PART I - ACCOUNTING FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE	3,500.	3,500.		
TOTALS	3,500.	3,500.	NONE	NONE
	=====	=====	=====	=====

## FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
FTCI INVENTMENT MGT FEE	22,881.	18,305.	4,576.
TOTALS	22,881.	18,305.	4,576.

FORM 990PF, PART I - TAXES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FEDERAL EXCISE TAX	4,203.	
FOREIGN TAX WITHHELD	1,023.	1,023.
	-----	-----
TOTALS	5,226.	1,023.
	=====	=====

LEONARD L AND BERTHA U ABESS FOUNDATION INC.

65-0151462

FORM 990PF, PART I - OTHER EXPENSES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
FLORIDA FILING FEE	61.		61.
ADR FEES	142.	142.	
	-----	-----	-----
TOTALS	203.	142.	61.
	=====	=====	=====

LEONARD L AND BERTHA U ABESS FOUNDATION INC.  
FORM 990PF, PART II - CORPORATE STOCK  
=====

65-0151462

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
SEE ATTACHED	2,662,202.	4,044,941.
	-----	-----
TOTALS	2,662,202.	4,044,941.
	=====	=====

LEONARD L AND BERTHA U ABESS FOUNDATION INC.  
 FORM 990PF, PART II - CORPORATE BONDS  
 =====

65-0151462

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
SEE ATTACHED	1,062,516.	1,067,769.
	-----	-----
TOTALS	1,062,516.	1,067,769.
	=====	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES  
=====

DESCRIPTION -----	AMOUNT -----
CHECKS CLEARING AFTER 12/31/13	54,500.
RETURN OF CAPITAL TAX COST ADJ.	1,002.
	-----
TOTAL	55,502.
	=====

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

LEONARD L. ABESS, JR.

ADDRESS:

100 S. E. 32nd ROAD  
MIAMI, FL 33129

TITLE:

PRESIDENT - DIRECTOR

OFFICER NAME:

ASHLEY ABESS

ADDRESS:

16 ISLAND AVENUE APT 3F  
MIAMI, FL 33139

TITLE:

DIRECTOR

OFFICER NAME:

MATTHEW U. ABESS

ADDRESS:

100 S.E 32nd ROAD  
MIAMI, FL 33129

TITLE:

DIRECTOR

OFFICER NAME:

BRETT U. ABESS

ADDRESS:

100 S.E 32nd ROAD  
MIAMI, FL 33129

TITLE:

DIRECTOR



FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

JAYNE H. ABESS

ADDRESS:

100 S.E. 32nd ROAD

MIAMI, FL 33129

TITLE:

VICE PRESIDENT - DIRECTOR

LEONARD L AND BERTHA U ABESS FOUNDATION INC.  
FORM 990PF, PART XV - LINES 2a - 2d

65-0151462

=====

RECIPIENT NAME:

LEONARD L. ABESS

ADDRESS:

100 S E 32nd ROAD

MIAMI, FL 33129

RECIPIENT'S PHONE NUMBER: 305-577-7333

FORM, INFORMATION AND MATERIALS:

LETTER REQUEST ACCOMPANIED BY EXEMPTION

LETTER UNDER IRC SECTION 501(C) (3)

STATEMENT 12

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at [www.irs.gov/form1041](http://www.irs.gov/form1041)

OMB No. 1545-0092

**2013**

Name of estate or trust

LEONARD L AND BERTHA U ABESS FOUNDATION INC.

Employer identification number

65-0151462

**Note:** Form 5227 filers need to complete *only* Parts I and II

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
<b>1a</b> Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
<b>1b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box A</b> checked . . . . .	186,202.	207,999.		-21,797.
<b>2</b> Totals for all transactions reported on Form(s) 8949 with <b>Box B</b> checked . . . . .				
<b>3</b> Totals for all transactions reported on Form(s) 8949 with <b>Box C</b> checked . . . . .				
<b>4</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .				<b>4</b>
<b>5</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .				<b>5</b>
<b>6</b> Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet . . . . .				<b>6</b> ( )
<b>7</b> <b>Net short-term capital gain or (loss).</b> Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back . . . . . ▶				<b>7</b> -21,797.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
<b>8a</b> Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
<b>8b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box D</b> checked . . . . .	454,397.	394,808.		59,589.
<b>9</b> Totals for all transactions reported on Form(s) 8949 with <b>Box E</b> checked . . . . .				
<b>10</b> Totals for all transactions reported on Form(s) 8949 with <b>Box F</b> checked . . . . .	433,811.	374,534.		59,277.
<b>11</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .				<b>11</b>
<b>12</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .				<b>12</b>
<b>13</b> Capital gain distributions . . . . .				<b>13</b> 1,088.
<b>14</b> Gain from Form 4797, Part I . . . . .				<b>14</b>
<b>15</b> Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet . . . . .				<b>15</b> ( )
<b>16</b> <b>Net long-term capital gain or (loss).</b> Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back . . . . . ▶				<b>16</b> 119,954.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

JSA  
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ALN754 N810 04/23/2014 14:14:54

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**Part III Summary of Parts I and II****Caution:** Read the instructions before completing this part.

	(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
<b>17</b> Net short-term gain or (loss) . . . . .	<b>17</b>		-21,797.
<b>18</b> Net long-term gain or (loss):			
<b>a</b> Total for year . . . . .	<b>18a</b>		119,954.
<b>b</b> Unrecaptured section 1250 gain (see line 18 of the wrksht.) . . . .	<b>18b</b>		
<b>c</b> 28% rate gain . . . . .	<b>18c</b>		
<b>19</b> Total net gain or (loss). Combine lines 17 and 18a. . . . . ▶	<b>19</b>		98,157.

**Note:** If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

**Part IV Capital Loss Limitation**

<b>20</b> Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of	<b>20</b>	( )
<b>a</b> The loss on line 19, column (3) or		
<b>b</b> \$3,000 . . . . .		

**Note:** If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

**Form 990-T trusts.** Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

<b>21</b> Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . . . .	<b>21</b>		
<b>22</b> Enter the <b>smaller</b> of line 18a or 19 in column (2) but not less than zero . . . . .	<b>22</b>		
<b>23</b> Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . .	<b>23</b>		
<b>24</b> Add lines 22 and 23 . . . . .	<b>24</b>		
<b>25</b> If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . . . ▶	<b>25</b>		
<b>26</b> Subtract line 25 from line 24. If zero or less, enter -0- . . . . .	<b>26</b>		
<b>27</b> Subtract line 26 from line 21. If zero or less, enter -0- . . . . .	<b>27</b>		
<b>28</b> Enter the <b>smaller</b> of the amount on line 21 or \$2,450 . . . . .	<b>28</b>		
<b>29</b> Enter the <b>smaller</b> of the amount on line 27 or line 28 . . . . .	<b>29</b>		
<b>30</b> Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% . . . . . ▶	<b>30</b>		
<b>31</b> Enter the smaller of line 21 or line 26 . . . . .	<b>31</b>		
<b>32</b> Subtract line 30 from line 26 . . . . .	<b>32</b>		
<b>33</b> Enter the <b>smaller</b> of line 21 or \$11,950 . . . . .	<b>33</b>		
<b>34</b> Add lines 27 and 30 . . . . .	<b>34</b>		
<b>35</b> Subtract line 34 from line 33. If zero or less, enter -0- . . . . .	<b>35</b>		
<b>36</b> Enter the <b>smaller</b> of line 32 or line 35 . . . . .	<b>36</b>		
<b>37</b> Multiply line 36 by 15% . . . . . ▶	<b>37</b>		
<b>38</b> Enter the amount from line 31 . . . . .	<b>38</b>		
<b>39</b> Add lines 30 and 36 . . . . .	<b>39</b>		
<b>40</b> Subtract line 39 from line 38. If zero or less, enter -0- . . . . .	<b>40</b>		
<b>41</b> Multiply line 40 by 20% . . . . . ▶	<b>41</b>		
<b>42</b> Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>42</b>		
<b>43</b> Add lines 37, 41, and 42 . . . . .	<b>43</b>		
<b>44</b> Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>44</b>		
<b>45</b> Tax on all taxable income. Enter the <b>smaller</b> of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . . ▶	<b>45</b>		

Schedule D (Form 1041) 2013

## Sales and Other Dispositions of Capital Assets

► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)

► **File with your Schedule D** to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment  
Sequence No. 12A

Name(s) shown on return

LEONARD L AND BERTHA U ABESS FOUNDATION INC

Social security number or taxpayer identification number

65-0151462

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- |   |  |
|---|--|
| X | (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see <b>Note</b> above) |
|   | (B) Short-term transactions reported on Form(s) 1099-B showing basis was <b>not</b> reported to the IRS              |
|   | (C) Short-term transactions not reported to you on Form 1099-B   |

[illegible]

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

**For Paperwork Reduction Act Notice, see your tax return instructions.**

Form **8949** (2013)

Social security number or taxpayer identification number

65-0151462

**Part II** **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box D, E, or F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

**(F) Long-term transactions not reported to you on Form 1099-B**

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

35

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

LEONARD L AND BERTHA U ABESS FOUNDATION INC

65-0151462

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part II Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box D, E, or F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (F) Long-term transactions **not** reported to you on Form 1099-B

(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo., day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
15853.392 FEDERAL NATL MTG 892544 DTD 9/1/2006 5 5	09/29/2006	01/25/2013	121.00	121.00			
16080.091 FEDERAL NATL MTG #894051 DTD 9/1/2006 5	02/22/2007	01/25/2013	458.00	453.00			5.00
15731.352 FEDERAL NATL MTG 892544 DTD 9/1/2006 5 5	09/29/2006	02/25/2013	122.00	122.00			
16048.378 FEDERAL NATL MTG #894051 DTD 9/1/2006 5	02/22/2007	02/25/2013	32.00	31.00			1.00
250 BLACKROCK INC	08/17/2010	03/01/2013	59,402.00	37,926.00			21,476.00
2500. GENERAL ELEC CO	09/10/2009	03/01/2013	58,000.00	61,277.00			-3,277.00
100000 PEABODY ENERGY COR DTD 12/20/2006 4 75% 12	07/24/2009	03/01/2013	84,250.00	77,875.00			6,375.00
800 DEVON ENERGY CORP NEW	09/10/2009	03/15/2013	45,951.00	51,730.00			-5,779.00
15609.038 FEDERAL NATL MTG 892544 DTD 9/1/2006 5 5	09/29/2006	03/25/2013	122.00	122.00			
15739.539 FEDERAL NATL MTG #894051 DTD 9/1/2006 5	02/22/2007	03/25/2013	309.00	305.00			4.00
89 NATIONAL-OILWELL INC	11/06/2008	04/03/2013	6,057.00	2,515.00			3,542.00
261 NATIONAL-OILWELL INC	11/06/2008	04/03/2013	17,806.00	7,376.00			10,430.00
500. COMCAST CORP NEW CL A	04/07/2010	04/12/2013	20,996.00	9,339.00			11,657.00
15461.346 FEDERAL NATL MTG 892544 DTD 9/1/2006 5 5	09/29/2006	04/25/2013	148.00	148.00			
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►							

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

LEONARD L AND BERTHA U ABESS FOUNDATION INC.

65-0151462

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part II Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box D, E, or F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
15494 783 FEDERAL NATL MTG #894051 DTD 9/1/2006 5	02/22/2007	04/25/2013	245 00	242 00			3 00
15337 654 FEDERAL NATL MTG 892544 DTD 9/1/2006 5 5	09/29/2006	05/27/2013	124 00	124 00			
15078 554 FEDERAL NATL MTG #894051 DTD 9/1/2006 5	02/22/2007	05/27/2013	416 00	412 00			4 00
15212 972 FEDERAL NATL MTG 892544 DTD 9/1/2006 5 5	09/29/2006	06/25/2013	125 00	125 00			
14285.472 FEDERAL NATL MTG #894051 DTD 9/1/2006 5	02/22/2007	06/25/2013	793 00	784 00			9 00
.5 MALLINCKRODT PLC (USD)	02/10/2010	07/01/2013	22 00	17 00			5 00
25000 DU PONT E I DE NEMO NT DTD 4/30/2004 4 875%	04/30/2008	07/02/2013	25,813 00	25,458 00			355 00
15088 004 FEDERAL NATL MTG 892544 DTD 9/1/2006 5 5	09/29/2006	07/25/2013	125 00	125 00			
12527 002 FEDERAL NATL MTG #894051 DTD 9/1/2006 5	02/22/2007	07/25/2013	1,758 00	1,739 00			19 00
92 APACHE CORP	07/23/2010	08/01/2013	75 00	81 00			-6 00
14961 688 FEDERAL NATL MTG 892544 DTD 9/1/2006 5 5	09/29/2006	08/26/2013	126 00	126 00			
11699 714 FEDERAL NATL MTG #894051 DTD 9/1/2006 5	02/22/2007	08/26/2013	827.00	818 00			9 00
14809.992 FEDERAL NATL MTG 892544 DTD 9/1/2006 5 5	09/29/2006	09/25/2013	152.00	152 00			
11033 628 FEDERAL NATL MTG #894051 DTD 9/1/2006 5	02/22/2007	09/25/2013	666 00	659 00			7 00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶							

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.



Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

LEONARD L AND BERTHA U ABESS FOUNDATION INC

65-0151462

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☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the <b>Note</b> below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
14681.9 FEDERAL NATL MTG A 892544 DTD 9/1/2006 5 5	09/29/2006	10/25/2013	128 00	128 00			
9886 371 FEDERAL NATL MTG #894051 DTD 9/1/2006 5	02/22/2007	10/25/2013	1,147.00	1,134 00			13 00
1200. TEVA PHARMACEUTICAL	02/24/2005	10/30/2013	45,596.00	33,254 00			12,342 00
187. MALLINCKRODT PLC (USD)	05/25/2010	11/15/2013	8,917 00	6,071 00			2,846 00
14553.86 FEDERAL NATL MTG 892544 DTD 9/1/2006 5 5	09/29/2006	11/25/2013	128 00	128 00			
8855 564 FEDERAL NATL MTG #894051 DTD 9/1/2006 5	02/22/2007	11/25/2013	1,031 00	1,019 00			12.00
14425 866 FEDERAL NATL MTG 892544 DTD 9/1/2006 5 5	09/29/2006	12/26/2013	128.00	128 00			
8804 05 FEDERAL NATL MTG A #894051 DTD 9/1/2006 5	02/22/2007	12/26/2013	52.00	51 00			1.00
597.013 APACHE CORP.	07/23/2010	12/30/2013	51,643 00	52,419.00			-776 00
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶			433,811.	374,534			59,277

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

FIDUCIARY TRUST COMPANY INTERNATIONAL  
INVESTOR SERVICES CURRENCY APPRAISAL

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LEONARD L AND BERTHA U ABESS FOUNDATION  
INCORPORATED  
ACCOUNT # 141007000  
BASE CURRENCY. U S DOLLAR  
PORTFOLIO TYPE PRINCIPALTRADE DATE BASIS  
AS OF DEC 31 2013

Units	Security Description	Unit Cost	Cost	Price	Market Value	Estimated Annual Income	Yield	Accrued Income
SHORT TERM								
=====								
U S Dollar								
Cash								
30,202 7700	CASH		30,202 77		30,202 77	15	0 05	0 00
-41,848 5100	TRADE RELATED PAYABLES		-41,848 51		-41,848 51	-21	0 05	0 00
15,919.2600	TRADE RELATED RECEIVABLES		15,919.26		15,919 26	8	0 05	0 00
Total Cash								
			4,273 52		4,273.52	2	0 05	0 00
Total U S Dollar								
			4,273 52		4,273 52	2	0 05	0 00
Total SHORT TERM								
			4,273 52		4,273 52	2	0 05	0 00

FIDUCIARY TRUST COMPANY INTERNATIONAL  
INVESTOR SERVICES CURRENCY APPRAISAL

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LEONARD L AND BERTHA U ABESS FOUNDATION  
INCORPORATED  
ACCOUNT # 141007000  
BASE CURRENCY U S DOLLAR  
PORTFOLIO TYPE PRINCIPAL

TRADE DATE BASIS  
AS OF DEC 31 2013

Units	Security Description	Unit Cost	Cost	Price	Market Value	Estimated Annual Income	Yield	Accrued Income
14,425	8660 FEDERAL NATL MTG ASSN MTG PL 892544 DTD 9/1/2006 5 50% 9/1/2021 AA+	100 0313	14,430.38	109 4210	15,784 93	793	5.50	66 12
8,804	0500 FEDERAL NATL MTG ASSN MTG PL #894051 DTD 9/1/2006 5 50% 10/1/2036 AA+	98 8752	8,705 02	109 9520	9,680 23	484	5 50	40 35
<hr/>								
Total Mortgages			23,135 40		25,465 16	1,278	5 50	106 47
Corporates								
75,000	0000 DU PONT E I DE NEHOURS & CO SR NT DTD 4/30/2004 4 875% 4/30/2014 A	101 8330	76,374 75	101 4620	76,096.50	3,656	0 48	619 53
50,000	0000 WAL MART STORES INC NT DTD 5/21/2009 3 2% 5/15/2014 AA	100.3890	50,194 50	101 0919	50,545 95	1,600	0 26	204 44
50,000.0000	KELLOGG CO SR NT DTD 5/21/2009 4 45% 5/30/2016 BBB+	99 3090	49,654 50	107 9410	53,970 50	2,225	1 11	191 60

FIXED INCOME  
\*\*\*\*\*

U S Dollar

Mortgages

Total Mortgages  
Corporates

See important disclosures [1]-[9], as applicable

FIDUCIARY TRUST COMPANY INTERNATIONAL  
INVESTOR SERVICES CURRENCY APPRAISAL

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LEONARD L AND BERTHA U ABESS FOUNDATION  
INCORPORATED  
ACCOUNT # 141007000  
BASE CURRENCY U S DOLLAR  
PORTFOLIO TYPE PRINCIPAL

TRADE DATE BASIS  
AS OF DEC 31 2013

Units	Security Description	Unit Cost	Price	Market Value	Estimated Annual Income	Yield	Accrued Income
U S. Dollar							
Cont							
100,000 0000	BRISTOL MYERS SQUIBB CO DTD 5/1/2008 5 45% 5/1/2018 A+	101 4990	114 5850	114,585.00	5,450	1 93	908 33
Total Corporates							
Fixed Income Funds							
1,450 0000	ISHARES IBOXA \$ HIGH YIELD CORP BOND ETF\$5 585	93 6010	92 8800	134,676 00	8,216	6.10	677 54
4,050 0000	ISHARES INTERMEDIATE CREDIT BOND ETF \$3 209	110.9014	107 8800	436,914 00	11,895	2 72	939 96
2,200 0000	VANGUARD SHORT TERM CORPORATE BOND FUND \$1 442	80 3572	79 7800	175,516 00	3,205	1 83	0 00
Total Fixed Income Funds							
Total U S Dollar							
Total FIXED INCOME							
3,647 87							

See important disclosures: [\*1]-[\*9], as applicable

FIDUCIARY TRUST COMPANY INTERNATIONAL  
INVESTOR SERVICES CURRENCY APPRAISAL

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LEONARD L AND BERTHA U ABESS FOUNDATION  
INCORPORATED  
ACCOUNT # 141007000  
BASE CURRENCY U S DOLLAR  
PORTFOLIO TYPE, PRINCIPAL

TRADE DATE BASIS  
AS OF DEC 31 2013

Units	Security Description	Unit Cost	Cost	Price	Market Value	Estimated Annual Income	Yield	Accrued Income
1,100 0000	ABBOTT LABORATORIES	21 0694	23,176 36	38.3300	42,163 00	968	2 30	0 00
1,100 0000	ABBVIE INC	22 8480	25,132 78	52 8100	58,091 00	1,760	3 03	0 00
550 0000	ACE LTD	76 3510	41,993 06	103 5300	56,941 50	1,386	2 43	0 00
950 0000	AFLAC INC	44 7308	42,494 27	66.8000	63,460 00	1,406	2 22	0 00
700 0000	AIR PRODUCTS & CHEMICALS INC	65 7600	46,032 00	111 7800	78,246 00	1,988	2 54	497 00
500 0000	AMERICAN ELECTRIC POWER INC	48 0775	24,038 75	46 7400	23,370 00	1,000	4 28	0 00
700 0000	AMERICAN TOWER REIT INC	80 5818	56,407 28	79.8200	55,874.00	812	1.45	0 00
1,000 0000	ANADARKO PETROLEUM CORP	79 1834	79,183 41	79.3200	79,320 00	720	0.91	0 00
300 0000	APPLE INC	519 6465	155,893.94	561.1100	168,333.00	3,660	2.17	0 00
1,250 0000	AT&T INC	37.1860	46,482.51	35 1600	43,950 00	2,300	5 23	0 00
650 0000	BERKSHIRE HATHAWAY INC	48 9486	31,816 59	118.5600	77,064 00	N/A	N/A	0 00
400 0000	BLACKROCK INC	156 8884	62,755 37	316 4700	126,588 00	2,688	2 12	0 00

EQUITIES

U S Dollar

FIDUCIARY TRUST COMPANY INTERNATIONAL  
INVESTOR SERVICES CURRENCY APPRAISAL

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LEONARD L AND BERTHA U ABESS FOUNDATION  
INCORPORATED  
ACCOUNT # 141007000  
BASC CURRENCY U S DOLLAR  
PORTFOLIO TYPE PRINCIPAL

TRADE DATE BASIS  
AS OF DEC 31 2013

Units	Security Description	Unit Cost	Cost	Price	Market Value	Estimated Annual Income	Yield	Accrued Income
U S Dollar								
			Cont					
1,200 0000	BORG WARNER INC	32 4404	38,928 50	55.9100	67,092 00	600	0 89	0 00
1,200 0000	CAREFUSION CORP	36 5862	43,903 46	39 8200	47,784 00		N/A	0 00
600 0000	CELGENE CORP	48 3875	29,032 50	168 9600	101,376 00		N/A	0 00
400 0000	CHEVRON CORP	116 5838	46,633 52	124.9100	49,964 00	1,600	3 20	0 00
3,000.0000	COMCAST CORP CL A	18.6789	56,036.70	51.9650	155,895 00	2,340	1 50	585 00
1,500 0000	COVIDIEN PLC	42 3650	63,547 46	68 1000	102,150 00	1,920	1 88	0 00
600 0000	DIAGEO PLC SPONSORED ADR NEW	80 5140	48,308 40	132 4200	79,452 00	1,799	2 26	0 00
1,900 0000	DU PONT E I DE NEMOURS & CO	49 4212	93,900 36	64 9700	123,443 00	3,420	2 77	0.00
3,850 0000	EMC CORP	22 9421	88,327 09	25 1500	96,827 50	1,540	1 59	0 00
1,800 0000	EMERSON ELECTRIC CO	49 2763	88,697 34	70 1800	126,324 00	3,096	2 45	0 00
600 0000	ESTEE LAUDER COS INC CL A	63 7348	38,240 88	75 3200	45,192 00	480	1 06	0 00
800 0000	EXXON MOBIL CORP	56 7000	45,360 00	101 2000	80,960 00	2,016	2 49	0 00
2,000 0000	GENERAL ELECTRIC CO	10 1306	20,261 25	28 0300	56,060 00	1,760	3 14	440 00

See important disclosures [1]-[9], as applicable

FIDUCIARY TRUST COMPANY INTERNATIONAL  
INVESTOR SERVICES CURRENCY APPRAISAL

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LEONARD L AND BERTHA U ABESS FOUNDATION  
INCORPORATED  
ACCOUNT # 141007000  
BASE CURRENCY U S DOLLAR  
PORTFOLIO TYPE PRINCIPAL

TRADE DATE BASIS  
AS OF DEC 31 2013

Units	Security Description	Unit Cost	Cost	Price	Market Value	Estimated Annual Income	Yield	Accrued Income
U S Dollar								
			Cont					
1,800 0000	GILEAD SCIENCES INC	22 5329	40,559.28	75 1500	135,270 00		N/A	0 00
65 0000	GOOGLE INC SER A	608 9765	39,583.47	1120 7100	72,846.15		N/A	0 00
450.0000	HILTON WORLDWIDE HOLDINGS INC	20 0000	9,000 00	22 2500	10,012 50		N/A	0 00
300 0000	INTERNATIONAL BUSINESS MACHINES CORP	183 7391	55,121 73	187.5700	56,271 00	1,140	2 03	0 00
1,600 0000	LOWES COS INC	27 4076	43,852.16	49.5500	79,280 00	1,152	1 45	0 00
2,000 0000	MARATHON OIL CORP	23 3882	46,776 46	35.3000	70,600 00	1,520	2.15	0 00
1,500 0000	MATTEL INC	31 3631	47,044 65	47.5800	71,370 00	2,160	3 03	0 00
575 0000	MCDONALDS CORP	90.5650	52,074.90	97 0300	55,792 25	1,863	3 34	0 00
650.0000	MEAD JOHNSON NUTRITION COMPANY	74 3232	48,310 11	83 7600	54,444.00	884	1 62	221 00
2,400 0000	MICROCHIP TECHNOLOGY INC	35 3472	84,833 16	44 7500	107,400 00	3,403	3 17	0 00
2,000 0000	MICROSOFT CORP	23 5090	47,018 07	37 4300	74,860 00	2,240	2 99	0 00
650 0000	NATIONAL OILWELL VARCO INC	25 1275	16,332.87	79 5300	51,694 50	676	1 31	0 00
1,100 0000	NESTLE S A SPONSORED ADR REPSTG REG SH	58.8575	64,743 25	73 5900	80,949 00	1,998	2 47	0 00

See important disclosures: [\*1]-[\*9], as applicable

FIDUCIARY TRUST COMPANY INTERNATIONAL  
INVESTOR SERVICES CURRENCY APPRAISAL

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LEONARD L AND BERTHA U ABESS FOUNDATION  
INCORPORATED  
ACCOUNT # 141007000  
BASE CURRENCY U S DOLLAR  
PORTFOLIO TYPE. PRINCIPAL

TRADE DATE BASIS  
AS OF DEC 31 2013

Units	Security Description	Unit Cost	Cost	Price	Market Value	Estimated Annual Income	Yield	Accrued Income
U S Dollar								
Cont								
1,200 0000	NIKE INC CL B	24 3257	29,190.89	78 6400	94,368.00	1,152	1.22	288 00
1,023 0000	PEPSICO INC	58 8473	60,200.78	82.9400	84,847 62	2,322	2 74	580 55
1,000 0000	QUALCOMM INC	62 8864	62,886.38	74 2500	74,250 00	1,400	1 89	0 00
150 0000	REGENERON PHARMACEUTICALS INC	278 9901	41,848 51	275 2400	41,286 00		N/A	0 00
1,300 0000	ROCHE HOLDING LTD ADR	31.0773	40,400 45	70 2000	91,260 00	2,111	2 31	0 00
900 0000	ROCKWELL AUTOMATION INC	75.0045	67,504 05	118 1600	106,344 00	2,088	1.96	0 00
700 0000	SALESFORCE COM INC	42 2033	29,542.33	55.1900	38,633 00		N/A	0 00
150 0000	SAMSUNG ELECTRS LTD GDR 95 RPTG REGS	560 0552	84,008 28	652 2500	97,837 50	362	0 37	0 00
650 0000	STRATASYS LTD	100 0541	65,035 17	134 7000	87,555 00		N/A	0 00
1,200 0000	TRIMBLE NAVIGATION LTD	32 0474	38,456 88	34 7000	41,640 00		N/A	0 00
140 0000	TWITTER INC	26 0000	3,640 00	63 6500	8,911 00		N/A	0 00
550 0000	UNITED PARCEL SVC INC CL B	78 2273	43,025 02	105 0800	57,794 00	1,364	2 36	0 00
1,300 0000	UNITED TECHNOLOGIES CORP	57 6712	74,972 56	113 8000	147,940 00	3,068	2 07	0 00



LEONARD L AND BERTHA U ABESS FOUNDATION  
INCORPORATED  
ACCOUNT # 141007000  
BASE CURRENCY U S. DOLLAR  
PORTFOLIO TYPE. PRINCIPAL

FIDUCIARY TRUST COMPANY INTERNATIONAL  
INVESTOR SERVICES CURRENCY APPRAISAL

TRADE DATE BASIS  
AS OF DEC 31 2013

Units	Security Description	Unit Cost	Cost	Price	Market Value	Estimated Annual Income	Yield	Accrued Income
U S Dollar								
Cont								
1,200 0000	V F CORP	40 2892	48,347.04	62.3400	74,808 00	1,260	1 68	0 00
1,800 0000	VODAFONE GROUP PLC SPONSORED ADR	22.9500	41,310 00	39 3100	70,758 00	2,862	4.04	1,053 75
Total U S Dollar			2,662,202 23		4,044,941 52	74,284	1 84	3,665 30
Total EQUITIES			2,662,202 23		4,044,941 52	74,284	1 84	3,665 30
Total								
			3,728,991 88		5,116,984.15	111,811		7,313 17
Accrued Income			7,313 17		7,313 17			
Grand Total			3,736,305 05		5,124,297 32	111,811		7,313 17