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Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013Department of the Treasury
Internal Revenue Service▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation Allen B. Puckett, Jr. Family Foundation		A Employer identification number 64-0732703
Number and street (or P O box number if mail is not delivered to street address) P O Box 9630	Room/suite	B Telephone number (see instructions) 662-328-4931
City or town, state or province, country, and ZIP or foreign postal code Columbus MS 39705		C If exemption application is pending, check here ▶ ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 198,070	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	94,902			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	65	65	65	
	4 Dividends and interest from securities	7,300	7,300	7,300	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	32,048			
	b Gross sales price for all assets on line 6a 188,671				
	7 Capital gain net income (from Part IV, line 2)		32,048		
	8 Net short-term capital gain			2,671	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	134,315	39,413	10,036		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) Stmt 1	50	50	50	
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (att sch) Stmt 2	1,825	1,825	1,825	
	24 Total operating and administrative expenses. Add lines 13 through 23	1,875	1,875	1,875	0
	25 Contributions, gifts, grants paid	99,194			99,194
26 Total expenses and disbursements. Add lines 24 and 25	101,069	1,875	1,875	99,194	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	33,246				
b Net investment income (if negative, enter -0-)		37,538			
c Adjusted net income (if negative, enter -0-)			8,161		

For Paperwork Reduction Act Notice, see instructions.

DAA

Form **990-PF** (2013)

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash – non-interest-bearing		10,225	10,225
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att schedule) ▶ Less allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)			
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) See Statement 3	165,160	187,845	187,845
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	165,160	198,070	198,070
Liabilities	17 Accounts payable and accrued expenses	336		
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	336	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	164,824	198,070	
	30 Total net assets or fund balances (see instructions)	164,824	198,070	
	31 Total liabilities and net assets/fund balances (see instructions)	165,160	198,070	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	164,824
2 Enter amount from Part I, line 27a	2	33,246
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	198,070
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	198,070

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Schedule Attached	P	Various	Various
b See Schedule Attached	P	Various	Various
c See Schedule Attached	P	Various	Various
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 99,524		79,675	19,849
b 29,927		27,256	2,671
c 59,220		49,692	9,528
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			19,849
b			2,671
c			9,528
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	32,048
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	2,671

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	22,153	165,364	0.133965
2011	30,830	167,494	0.184066
2010	29,540	168,600	0.175208
2009	49,214	151,417	0.325023
2008	144,029	129,535	1.111893

2 Total of line 1, column (d)	2	1.930155
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.386031
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	185,874
5 Multiply line 4 by line 3	5	71,753
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	375
7 Add lines 5 and 6	7	72,128
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	99,194

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	375
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	375
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	375
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	697
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	697
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	322
11	Enter the amount of line 10 to be Credited to 2014 estimated tax 322 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) MS		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► Lucy Anne Walker P O Box 9630 Located at ► Columbus MS ZIP+4 ► 39705 Telephone no ►			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	N/A	1c
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► 20 , 20 , 20 , 20	☐ Yes	☒ No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions)	N/A	2b
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	3b
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A ☐ Yes ☐ No	5b		
Organizations relying on a current notice regarding disaster assistance check here	☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Allen B Puckett, III 424 Taylor Thurston Road Columbus MS 39701	Trustee 0.00	0	0	0
Lucy Anne Puckett Walker 1418 Jolly Road Columbus MS 39705	Trustee 1.00	0	0	0
Mary Puckett Pannell 1326 Jolly Road Columbus MS 39705	Trustee 0.00	0	0	0
Susan Puckett Jones #305, 1334 13th Avenue SW Alberta CA	Trustee 0.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	184,753
b	Average of monthly cash balances	1b	3,952
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	188,705
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	188,705
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	2,831
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	185,874
6	Minimum investment return. Enter 5% of line 5	6	9,294

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	9,294
2a	Tax on investment income for 2013 from Part VI, line 5	2a	375
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	375
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	8,919
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	8,919
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	8,919

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	99,194
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	99,194
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	375
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	98,819

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				8,919
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008	137,608			
b From 2009	41,643			
c From 2010	21,110			
d From 2011	22,577			
e From 2012	14,065			
f Total of lines 3a through e	237,003			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 99,194				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2013 distributable amount				8,919
e Remaining amount distributed out of corpus	90,275			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	327,278			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	137,608			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	189,670			
10 Analysis of line 9				
a Excess from 2009	41,643			
b Excess from 2010	21,110			
c Excess from 2011	22,577			
d Excess from 2012	14,065			
e Excess from 2013	90,275			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
Allen B. Puckett III 662-328-4931
P. O. Box 9630 Columbus MS 39705

b The form in which applications should be submitted and information and materials they should include
See Statement 4

c Any submission deadlines
See Statement 5

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
See Statement 6

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Statement 7				99,194
Total			▶ 3a	99,194
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	65	
4 Dividends and interest from securities			14	7,300	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					32,048
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0		7,365	32,048
13 Total. Add line 12, columns (b), (d), and (e)				13	39,413

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1) Cash
 - (2) Other assets
- b** Other transactions
- (1) Sales of assets to a noncharitable exempt organization
 - (2) Purchases of assets from a noncharitable exempt organization
 - (3) Rental of facilities, equipment, or other assets
 - (4) Reimbursement arrangements
 - (5) Loans or loan guarantees
 - (6) Performance of services or membership or fundraising solicitations
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes


Signature of officer or trustee

7/28/14
Date

Title

Paid
Preparer
Use Only

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed

Michael Kerby Jr.

Michael Kerby Jr.

07/24/14

Firm's name ▶ **Thomas, Kerby & Brown**

PTIN	P00028938
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Firm's address ▶ 326 Military Road (P.O. Box 1404)
Columbus, MS 39703

Firm's EIN ▶ 64-0585361

Phone no 662-328-4140

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2013

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF

▶ Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

**Allen B. Puckett, Jr. Family
Foundation**

Employer identification number

64-0732703

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Allen B. Puckett, Jr. Family

Employer identification number

64-0732703

Part I • **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Others less than \$5,000 P O Box 9630 Columbus MS 39705	\$ 34,902	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	Columbus Brick Company P O Box 9630 Columbus MS 39705	\$ 50,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	Susan and Salt Jones #305, 1334 13th Avenue SW Calgary AB Canada T3C3S2	\$ 10,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Registration Fees	\$ 50	\$ 50	\$ 50	\$
Total	\$ 50	\$ 50	\$ 50	\$ 0

Statement 2 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses	\$	\$	\$	\$
Investment Management Fees	1,698	1,698	1,698	
Office Supplies	127	127	127	
Total	\$ 1,825	\$ 1,825	\$ 1,825	\$ 0

Statement 3 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Mutual Fund - Equities	\$ 165,160	\$ 187,845	Cost	\$ 187,845
Total	\$ 165,160	\$ 187,845		\$ 187,845

Statement 4 - Form 990-PF, Part XV, Line 2b - Application Format and Required Contents**Description**

Individual applications should include a summary of the applicant's qualifications for the charitable award and circumstances regarding financial needs.

Statement 5 - Form 990-PF, Part XV, Line 2c - Submission Deadlines**Description**

Applications are accepted by mail only. Notice approval, rejection, or requests for information are usually sent in 90 days.

Statement 6 - Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations**Description**

Preference is given to charitable and religious organizations. Most gifts are limited to religious/charitable purposes, but grants may be made to individuals during times of special need.

Federal Statements

64-0732703

FYE: 12/31/2013

Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year

Name	Address		Relationship	Status		Purpose	Amount
	Address						
Baptist Childrens Village			P O Box 27	PC		Donation	100
Clinton MS 39060		None	878 Plymouth Road	N/A			
Wayne Beard		None	186 Megan Lane	N/A		Medical exp. support	1,900
Columbus MS 39705		None	2027 17th Street	N		Needy	26,000
Rita Brownlee		None	P O Box 9251	PC		Christmas baskets for needy	2,200
Columbus MS 39705		none	645 Main Street	PC		Donation	1,000
Eugene Chapman		None	P O Box 10	N/A		Donation	2,500
Columbus MS 39701		None	93 Honeysuckle Lane	N/A		Donation	1,200
Father's Child Ministry		None	309 22nd Street	N		Needy	40,000
Columbus MS 39705		None	1491 Jolly Road	N/A		Needy	1,054
Father's in the Field		None	114 Brickyard Road	N/A		Needy	5,925
Lander WY 82520		None	P O Box 8048	PC		Evangelist Donation	818
Pat Dixon		None	2204 6th Street	N		Donations	200
Limuru FL 0006-73196		None	P O Box 445	PC		Needy	200
Pat Hopper		None	4370 Sophia Street	N/A		Donation	175
Columbus MS 39701		None	105 Woolridge Place	N/A		Needy	5,000
Hattie Mitchell		None	3477 Motley Road	PC		Mission trip	1,200
Columbus MS 39701		None				Donation	150
Maxine Dunbar							
Columbus MS 39705							
Hugh Huto							
Columbus MS 39701							
Mustard Tree Ministries							
Meridian MS 39303							
Sam Lawrence							
Columbus MS 39701							
North MS Chrysalis							
Columbus MS 39703							
Craig O'Brien							
Vancouver BC V5V3VF							
Betsy Reed							
Columbus MS 39702							
Saint John Mission Baptist Ch							
Columbus MS 39701							

Federal Statements

64-0732703

FYE: 12/31/2013

Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name		Address		Relationship	Status	Purpose	Amount
Authur Lee Bradford		422 12th Street North					
Columbus MS 39701		N/A		None	422 12th Street North	Funeral expense	1,300
Pam Williams		2411 Richland Ave Apt 328					
Metarie LA 70001-1587		N/A		None	2411 Richland Ave Apt 328	Needy	2,771
Indigent Ind <\$1,000							5,501
Total							99,194

01/29/2014

RECIPIENT:

Allen B. Puckett, Jr. Family Foundation
P.O. Box 9630
Columbus MS 39705

PAYER

Cadence Trust & Financial Management
Post Office Box 631
Columbus MS 39703-0631

RECIPIENT'S FEDERAL ID:

64-0732703

PAYER'S FEDERAL ID:

64-0849441

Account Number:

AG197

Allen B Puckett, Jr Family Foundation

PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

2013 Form 1099 - B
OMB No. 1545-0715

Reported To IRS. Gross Proceeds Less Commissions And Option Premiums

CUSIP/Symbol	Description (Box 8)	Date of Sale (Box 1a)	Date of Acquisition (Box 1b)	Quantity Sold (Box 1e)	Stock/Bond Proceeds (Box 2a)	Cost or Other Basis (Box 3)	FEDERAL INCOME TAX WITHHELD (Box 4)	Wash Sale Loss Disallowed (Box 5)	Recipient cannot take a Loss based on their Amount in Box : (Y/N) (Box 2b)
4812C0290/JISGX	J P Morgan Small Cap Growth Fu	01/29/2013	03/29/2011	14 005000	173 68	178 00	0 00	0 00	No
4812C0290/JISGX	J P Morgan Small Cap Growth Fu	01/29/2013	10/13/2010	188 052000	2,083 84	1,729 25	0 00	0 00	No
31428Q101/FTTBX	Federated Total Return Bond Fu	01/29/2013	09/20/2011	234 361000	2,660 00	2,676 40	0 00	0 00	No
316388774/FCVIX	Fidelity Advisor Small Cap Val	01/29/2013	08/20/2011	29 891000	519 00	379 75	0 00	0 00	No
4812C0290/JISGX	J P Morgan Small Cap Growth Fu	01/29/2013	12/13/2011	143 482000	1,779 18	1,607 00	0 00	0 00	No
08251M504/MADVX	Black Rock Equity Dividend Fun	01/29/2013	01/06/2009	35 766000	749 72	486 69	0 00	0 00	No
08251M504/MADVX	Black Rock Equity Dividend Fun	01/29/2013	10/16/2008	63 116000	1,322 28	865 96	0 00	0 00	No
4812C0290/JISGX	J P Morgan Small Cap Growth Fu	01/29/2013	08/17/2011	34 208000	424 18	365 00	0 00	0 00	No
008882771/AIEVX	Invesco International Growth F	01/29/2013	12/16/2010	6 623000	201 00	181 80	0 00	0 00	No
4812C0290/JISGX	J P Morgan Small Cap Growth Fu	01/29/2013	06/06/2011	22 033000	273 21	271 00	0 00	0 00	No

Non-Covered (Box 6a checked - Basis not reported to IRS)

Long Term Sales (Box 1c checked as LI)

CUSIP/Symbol	Description (Box 8)	Date of Sale (Box 1a)	Date of Acquisition (Box 1b)	Quantity Sold (Box 1e)	Stock/Bond Proceeds (Box 2a)	Cost or Other Basis (Box 3)	FEDERAL INCOME TAX WITHHELD (Box 4)	Wash Sale Loss Disallowed (Box 5)	Recipient cannot take a Loss based on their Amount in Box 1: (Y/N) (Box 2b)
38141W398/GSMCX	Goldman Sachs Mid-Cap Value Fu	01/29/2013	05/04/2010	4 714000	198 00	152.92	0 00	0 00	No
316389774/FCVIX	Fidelity Advisor Small Cap Val	03/20/2013	09/20/2011	21 531000	405 00	275 38	0 00	0 00	No
38141W398/GSMCX	Goldman Sachs Mid-Cap Value Fu	03/20/2013	05/04/2010	4 455000	197 00	144 52	0 00	0 00	No
31428Q101/FTRBX	Federated Total Return Bond Fu	03/20/2013	11/08/2011	1 031000	11 69	11 71	0 00	0 00	No
09251M504/MAOVX	Black Rock Equity Dividend Fun	03/20/2013	01/06/2009	12 848000	276 00	174 74	0 00	0 00	No
31428Q101/FTRBX	Federated Total Return Bond Fu	03/20/2013	09/20/2011	136 535000	1,548 31	1,559 23	0 00	0 00	No
316071604/FINSX	Fidelity Advisor New Insights	04/23/2013	08/09/2010	5 584000	141 00	98 78	0 00	0 00	No
38144N841/GSIPX	Goldman Sachs Inflation Protec	04/23/2013	07/25/2011	9 347000	108 00	106 65	0 00	0 00	No
31428Q101/FTRBX	Federated Total Return Bond Fu	04/23/2013	11/08/2011	10 158000	116 00	115 39	0 00	0 00	No
315912832/FSIRX	Fidelity Advisor Strategic Rea	04/23/2013	09/08/2010	1 951000	19 00	17 44	0 00	0 00	No
693390700/PTTRX	Pimco Total Return Fund	04/23/2013	07/25/2011	11 228000	127 00	124 08	0 00	0 00	No
543495782/LGBX	Loomis Sayles Global Bond Fund	04/23/2013	07/25/2011	1 932000	33 00	33 64	0 00	0 00	No
316071604/FINSX	Fidelity Advisor New Insights	05/28/2013	08/08/2010	31 325000	832 00	554 14	0 00	0 00	No
008882771/AIEVX	Invesco International Growth F	05/28/2013	12/16/2010	8 640000	288 00	237 17	0 00	0 00	No
38141W398/GSMCX	Goldman Sachs Mid-Cap Value Fu	05/28/2013	05/04/2010	3 085000	143 00	100 08	0 00	0 00	No
09251M504/MAOVX	Black Rock Equity Dividend Fun	05/28/2013	01/08/2009	9 527000	214 45	129 57	0 00	0 00	No
09251M504/MAOVX	Black Rock Equity Dividend Fun	05/28/2013	02/05/2009	11 797000	285 55	148 99	0 00	0 00	No
316389774/FCVIX	Fidelity Advisor Small Cap Val	05/28/2013	09/20/2011	9 875000	190 00	126 30	0 00	0 00	No
38144N841/GSIPX	Goldman Sachs Inflation Protec	06/26/2013	12/13/2011	210 795000	2,183 84	2,521 11	0 00	0 00	No
38144N841/GSIPX	Goldman Sachs Inflation Protec	06/26/2013	11/08/2011	1 002000	10 38	12 00	0 00	0 00	No
38144N841/GSIPX	Goldman Sachs Inflation Protec	06/26/2013	07/25/2011	122 438000	1,268 46	1,397 02	0 00	0 00	No
38144N841/GSIPX	Goldman Sachs Inflation Protec	06/26/2013	09/20/2011	148 380000	1,537 32	1,751 00	0 00	0 00	No
38144N841/GSIPX	Goldman Sachs Inflation Protec	08/13/2013	12/13/2011	73 319000	789 85	876 89	0 00	0 00	No
315912832/FSIRX	Fidelity Advisor Strategic Rea	12/09/2013	12/16/2010	61 194000	587 27	574 00	0 00	0 00	No
316071604/FINSX	Fidelity Advisor New Insights	12/09/2013	08/08/2010	99 855000	2,974 68	1,766 43	0 00	0 00	No
38141W398/GSMCX	Goldman Sachs Mid-Cap Value Fu	12/09/2013	06/07/2010	4 613000	189 56	133 00	0 00	0 00	No
315912832/FSIRX	Fidelity Advisor Strategic Rea	12/09/2013	09/09/2010	249 091000	2,309 07	2,226 87	0 00	0 00	No
008882771/AIEVX	Invesco International Growth F	12/09/2013	08/17/2011	246 694000	8,318 53	6,678 00	0 00	0 00	No

CUSIP/Symbol	Description (Box 8)	Date of Sale (Box 1a)	Date of Acquisition (Box 1b)	Quantity Sold (Box 1e)	Stock/Bond Proceeds (Box 2a)	Cost or Other Basis (Box 3)	FEDERAL INCOME TAX WITHHELD (Box 4)	Wash Sale Loss Disallowed (Box 5)	Recipient cannot take a Loss based on their Amount in Box 1: (Y/N) (Box 2b)
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	09/17/2009	24 353000	590 58	367 00	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	03/10/2009	77 540000	1,848 55	832 00	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	02/23/2011	180 581000	4,305 05	3,292 00	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	07/07/2011	188 622000	4,019 95	3,219 00	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	08/07/2010	23 533000	561 03	345 00	0 00	0 00	No
543495782/LGBX	Loomis Sayles Global Bond Fund	12/09/2013	07/25/2011	84 078000	1,388 98	1,463 80	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	07/08/2010	5 917000	141 06	90 00	0 00	0 00	No
316071804/FINSX	Fidelity Advisor New Insights	12/09/2013	05/03/2011	13 837000	412 20	295 00	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	05/03/2011	17 868000	428 36	343 00	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	02/05/2009	118 855000	2,785 82	1,456 01	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	08/09/2010	4 422000	105 42	70 00	0 00	0 00	No
008882771/AIEVX	Invesco International Growth F	12/09/2013	12/16/2010	103 875000	3,502 67	2,851 37	0 00	0 00	No
38141W398/GSMCX	Goldman Sachs Mid-Cap Value Fu	12/09/2013	05/04/2010	97 683000	4,225 76	3,168 86	0 00	0 00	No
008882771/AIEVX	Invesco International Growth F	12/09/2013	07/07/2011	3 235000	109 08	97 00	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	08/15/2009	12 380000	294 66	166 00	0 00	0 00	No
543495782/LGBX	Loomis Sayles Global Bond Fund	12/09/2013	12/13/2011	0 605000	9 99	10 00	0 00	0 00	No
38141W398/GSMCX	Goldman Sachs Mid-Cap Value Fu	12/09/2013	12/13/2011	3 298000	142 67	107 00	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	03/29/2010	19 732000	470 41	324 00	0 00	0 00	No
316071604/FINSX	Fidelity Advisor New Insights	12/09/2013	01/18/2011	7 101000	211 54	147 00	0 00	0 00	No
683380700/PTTRX	Pimco Total Return Fund	12/09/2013	07/25/2011	424 240000	4,594 52	4,687 85	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	03/29/2011	82 185000	1,958 29	1,527 00	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	12/21/2009	7 609000	181 40	120 00	0 00	0 00	No
38141W398/GSMCX	Goldman Sachs Mid-Cap Value Fu	12/09/2013	07/08/2010	10 464000	452 67	307 00	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	07/16/2009	38 908000	927 59	528 00	0 00	0 00	No
315912832/FSIRX	Fidelity Advisor Strategic Rea	12/09/2013	01/18/2011	11 481000	106 43	110 56	0 00	0 00	No
38141W398/GSMCX	Goldman Sachs Mid-Cap Value Fu	12/09/2013	03/29/2011	2 107000	91 15	80 00	0 00	0 00	No
543495782/LGBX	Loomis Sayles Global Bond Fund	12/09/2013	11/08/2011	3 343000	55 23	57 00	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	06/08/2011	11 742000	279 93	213 00	0 00	0 00	No

CUSIP/Symbol	Description (Box 8)	Date of Sale (Box 1a)	Date of Acquisition (Box 1b)	Quantity Sold (Box 1e)	Stock/Bond Proceeds (Box 2a)	Cost or Other Basis (Box 3)	FEDERAL INCOME TAX WITHHELD (Box 4)	Wash Sale Loss Disallowed (Box 5)	Recipient cannot take a Loss based on their Amount in Box 1: (Y/N) (Box 2b)
315912832/F5IRX	Fidelity Advisor Strategic Rea	12/08/2013	11/15/2010	32 690000	303 04	305 00	0 00	0 00	No
318071604/FINSX	Fidelity Advisor New Insights	12/09/2013	06/06/2011	11 356000	338 30	232 00	0 00	0 00	No
09251M504/MAOVX	Black Rock Equity Dividend Fun	12/09/2013	11/15/2010	24 541000	585 06	414 00	0 00	0 00	No
318071604/FINSX	Fidelity Advisor New Insights	12/09/2013	03/29/2011	3 303000	98 40	69 00	0 00	0 00	No
008882771/AIEVX	Invesco International Growth F	12/09/2013	12/13/2011	159 554000	5,380 16	4,008 00	0 00	0 00	No
318071604/FINSX	Fidelity Advisor New Insights	12/09/2013	11/08/2011	0 530000	15 79	11 00	0 00	0 00	No
008882771/AIEVX	Invesco International Growth F	12/09/2013	01/18/2011	2 751000	92 76	78 00	0 00	0 00	No
09251M504/MAOVX	Black Rock Equity Dividend Fun	12/09/2013	01/21/2010	7 766000	185 14	122 00	0 00	0 00	No
38141W398/G5MCX	Goldman Sachs Mid-Cap Value Fu	12/09/2013	05/03/2011	2 158000	93 36	84 00	0 00	0 00	No
31428Q101/FTRBX	Federated Total Return Bond Fu	12/09/2013	11/08/2011	12 578000	138 24	142 90	0 00	0 00	No
008882771/AIEVX	Invesco International Growth F	12/09/2013	09/20/2011	34 189000	1,152 85	868 00	0 00	0 00	No
008882771/AIEVX	Invesco International Growth F	12/09/2013	03/29/2011	67 039000	2,260 56	1,918 00	0 00	0 00	No
318071604/FINSX	Fidelity Advisor New Insights	12/09/2013	02/23/2011	289 874000	8,039 55	5,554 00	0 00	0 00	No
315912832/F5IRX	Fidelity Advisor Strategic Rea	12/09/2013	10/13/2010	409 101000	3,792 36	3,821 00	0 00	0 00	No
38141W398/G5MCX	Goldman Sachs Mid-Cap Value Fu	12/09/2013	10/13/2010	1 080000	46 72	36 00	0 00	0 00	No
09251M504/MAOVX	Black Rock Equity Dividend Fun	12/09/2013	08/09/2010	292 063000	6,962 77	4,673 00	0 00	0 00	No
316389774/FCVIX	Fidelity Advisor Small Cap Val	12/09/2013	09/20/2011	21 935000	430 80	280 55	0 00	0 00	No
Total Long Term Sales Reported on 1099-B				5,174.4980	99,523.88	79,674.80	0.00	0.00	
Report on Form 8949, Part II, with Box B checked									

Covered (Box 6b checked - Basis reported to IRS)

Short Term Sales (Box 1c checked as ST)

31420B888/FGUSX	Federated Government Ultrashor	01/29/2013	12/06/2012	181 873000	1,804 18	1,808 00	0 00	0 00	No
4812C0280/JISGX	J P Morgan Small Cap Growth Fu	01/29/2013	11/07/2012	8 143000	100 97	100 00	0 00	0 00	No
55273E822/MINIX	MFS International Value I	01/29/2013	07/18/2012	3 690000	109 00	97 56	0 00	0 00	No
4812C0280/JISGX	J P Morgan Small Cap Growth Fu	01/29/2013	06/05/2012	31 013000	384 56	343 00	0 00	0 00	No
31420B888/FGUSX	Federated Government Ultrashor	01/29/2013	08/21/2012	514 401000	5,102 85	5,108 00	0 00	0 00	No
4812C0280/JISGX	J P Morgan Small Cap Growth Fu	01/29/2013	12/06/2012	7 015000	86 99	86 00	0 00	0 00	No
31420B888/FGUSX	Federated Government Ultrashor	01/29/2013	09/27/2012	13 797000	136 87	137 00	0 00	0 00	No

CUSIP/Symbol	Description (Box 8)	Date of Sale (Box 1a)	Date of Acquisition (Box 1b)	Quantity Sold (Box 1e)	Stock/Bond Proceeds (Box 2a)	Cost or Other Basis (Box 3)	FEDERAL INCOME TAX WITHHELD (Box 4)	Wash Sale Loss Disallowed (Box 5)	Recipient cannot take a Loss based on their Amount in Box 1: (Y/N) (Box 2b)
779562107/PRNHX	T Rowe Price New Horizons	03/20/2013	01/29/2013	4 271000	160 00	152 69	0 00	0 00	No
31417P858/FMUSX	Federated Municipal Ultrashort	03/20/2013	01/29/2013	170 547000	1,714 00	1,714 00	0 00	0 00	No
04314H800/APHMX	Artisan Mid Cap Fd Instl Shrs	03/20/2013	08/21/2012	0 614000	26 00	24 24	0 00	0 00	No
31417P858/FMUSX	Federated Municipal Ultrashort	04/23/2013	01/29/2013	2 982000	30 00	29 97	0 00	0 00	No
466000122/IVHIX	Ivy High Income Fund Class I	04/23/2013	01/29/2013	1 466000	13 00	12 86	0 00	0 00	No
55273E822/MINIX	MFS International Value I	04/23/2013	07/18/2012	13 299000	418 00	351 63	0 00	0 00	No
55273E822/MINIX	MFS International Value I	05/28/2013	07/18/2012	3 823000	123 00	101 08	0 00	0 00	No
04314H800/APHMX	Artisan Mid Cap Fd Instl Shrs	05/28/2013	08/21/2012	7 529000	340 30	287 24	0 00	0 00	No
779562107/PRNHX	T Rowe Price New Horizons	05/28/2013	01/29/2013	6 630000	264 00	237 02	0 00	0 00	No
38144N841/GSIPX	Goldman Sachs Inflation Protec	08/13/2013	09/27/2012	3 840000	40 32	45 00	0 00	0 00	No
38144N841/GSIPX	Goldman Sachs Inflation Protec	08/13/2013	08/21/2012	48 164000	505 72	551 00	0 00	0 00	No
31417P858/FMUSX	Federated Municipal Ultrashort	08/13/2013	01/29/2013	299 401000	3,000 00	3,008 98	0 00	0 00	No
38144N841/GSIPX	Goldman Sachs Inflation Protec	08/13/2013	05/28/2013	54 612000	573 43	598 00	0 00	0 00	No
38144N841/GSIPX	Goldman Sachs Inflation Protec	08/13/2013	01/29/2013	78 933000	828 80	888 00	0 00	0 00	No
38144N841/GSIPX	Goldman Sachs Inflation Protec	08/13/2013	03/20/2013	23 268000	244 31	262 00	0 00	0 00	No
316071604/FINSX	Fidelity Advisor New Insights	12/09/2013	01/29/2013	79 309000	2,362 62	1,905 00	0 00	0 00	No
543495782/LSGBX	Loomis Sayles Global Bond Fund	12/09/2013	03/20/2013	7 593000	125 44	129 00	0 00	0 00	No
04314H600/APHMX	Artisan Mid Cap Fd Instl Shrs	12/09/2013	04/23/2013	3 084000	148 99	131 00	0 00	0 00	No
779562107/PRNHX	T Rowe Price New Horizons	12/09/2013	04/23/2013	2 944000	140 52	110 00	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	04/23/2013	2 775000	68 16	60 00	0 00	0 00	No
31417P858/FMUSX	Federated Municipal Ultrashort	12/09/2013	01/29/2013	11 559000	115 94	116 17	0 00	0 00	No
543495782/LSGBX	Loomis Sayles Global Bond Fund	12/09/2013	01/29/2013	9 424000	155 68	162 00	0 00	0 00	No
008882771/AIEVX	Invesco International Growth F	12/09/2013	03/20/2013	9 533000	321 45	288 00	0 00	0 00	No
31428Q101/FTRBX	Federated Total Return Bond Fu	12/09/2013	05/28/2013	37 244000	409 31	419 00	0 00	0 00	No
466000122/IVHIX	Ivy High Income Fund Class I	12/09/2013	01/29/2013	68 669000	802 23	595 36	0 00	0 00	No
779562107/PRNHX	T Rowe Price New Horizons	12/09/2013	01/29/2013	142 833000	6,817 42	5,106 29	0 00	0 00	No
38141W398/GSMCX	Goldman Sachs Mid-Cap Value Fu	12/09/2013	04/23/2013	1 291000	55 85	57 00	0 00	0 00	No
316071604/FINSX	Fidelity Advisor New Insights	12/09/2013	03/20/2013	63 029000	1,877 63	1,565 00	0 00	0 00	No

CUSIP/Symbol	Description (Box 8)	Date of Sale (Box 1a)	Date of Acquisition (Box 1b)	Quantity Sold (Box 1e)	Stock/Bond Proceeds (Box 2a)	Cost or Other Basis (Box 3)	FEDERAL INCOME TAX WITHHELD (Box 4)	Wash Sale Loss Disallowed (Box 5)	Recipient cannot take a Loss based on their Amount in Box 1: (Y/N) (Box 2b)
008882771/AIEVX	Invesco International Growth F	12/09/2013	04/23/2013	16 778000	565 75	503 00	0 00	0 00	No
543495782/LGBX	Loomis Sayles Global Bond Fund	12/09/2013	05/28/2013	9 455000	156 20	158 00	0 00	0 00	No
Total Short Term Sales Reported on 1099-B									
				1,944.8510	29,927.19	27,256.11	0.00	0.00	
Report on Form 8949, Part I, with Box A checked									
Long Term Sales (Box 1c checked as L,T)									
38144N841/GSIPX	Goldman Sachs Inflation Protec	08/13/2013	03/27/2012	12 544000	131 71	141 00	0 00	0 00	No
38144N841/GSIPX	Goldman Sachs Inflation Protec	08/13/2013	02/22/2012	44 348000	465 63	502 00	0 00	0 00	No
38144N841/GSIPX	Goldman Sachs Inflation Protec	08/13/2013	01/18/2012	36 588000	384 28	411 00	0 00	0 00	No
38144N841/GSIPX	Goldman Sachs Inflation Protec	08/13/2013	07/18/2012	185 775000	1,950 64	2,168 00	0 00	0 00	No
008882771/AIEVX	Invesco International Growth F	12/09/2013	08/05/2012	35 028000	1,181 08	876 00	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	08/05/2012	5 398000	128 64	98 00	0 00	0 00	No
543495782/LGBX	Loomis Sayles Global Bond Fund	12/09/2013	03/27/2012	1 547000	25 56	26 00	0 00	0 00	No
31428Q101/FTRBX	Federated Total Return Bond Fu	12/09/2013	02/22/2012	48 468000	532 64	553 00	0 00	0 00	No
316071804/FINSX	Fidelity Advisor New Insights	12/09/2013	11/07/2012	33 215000	989 48	748 00	0 00	0 00	No
316071804/FINSX	Fidelity Advisor New Insights	12/09/2013	02/22/2012	380 252000	11,625 60	8,527 00	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	11/07/2012	5 400000	128 74	106 00	0 00	0 00	No
543495782/LGBX	Loomis Sayles Global Bond Fund	12/09/2013	08/21/2012	2 598000	42 94	44 00	0 00	0 00	No
31428Q101/FTRBX	Federated Total Return Bond Fu	12/09/2013	12/06/2012	460 754000	5,063 70	5,377 00	0 00	0 00	No
31428Q101/FTRBX	Federated Total Return Bond Fu	12/09/2013	01/18/2012	116 092000	1,275 85	1,313 00	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	09/27/2012	3 658000	87 21	74 00	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	07/18/2012	62 948000	1,500 68	1,230 00	0 00	0 00	No
31428Q101/FTRBX	Federated Total Return Bond Fu	12/09/2013	07/18/2012	35 152000	386 32	406 00	0 00	0 00	No
38141W398/GSMCX	Goldman Sachs Mid-Cap Value Fu	12/09/2013	06/05/2012	2 315000	100 15	80 00	0 00	0 00	No
543495782/LGBX	Loomis Sayles Global Bond Fund	12/09/2013	07/18/2012	3 595000	59 39	61 00	0 00	0 00	No
09251M504/MADVX	Black Rock Equity Dividend Fun	12/09/2013	08/21/2012	1 820000	43 39	36 00	0 00	0 00	No
04314H600/APHMX	Artisan Mid Cap Fd Instl Shrs	12/09/2013	11/07/2012	5 426000	262 13	210 00	0 00	0 00	No
38141W398/GSMCX	Goldman Sachs Mid-Cap Value Fu	12/09/2013	09/27/2012	0 263000	11 38	10 00	0 00	0 00	No
316071804/FINSX	Fidelity Advisor New Insights	12/09/2013	06/05/2012	10 880000	327 09	233 00	0 00	0 00	No

CUSIP/Symbol	Description (Box 8)	Date of Sale (Box 1a)	Date of Acquisition (Box 1b)	Quantity Sold (Box 1e)	Stock/Bond Proceeds (Box 2a)	Cost or Other Basis (Box 3)	FEDERAL INCOME TAX WITHHELD (Box 4)	Wash Sale Loss Disallowed (Box 5)	Recipient cannot take a Loss based on their Amount in Box 5 (Y/N) (Box 2b)
543495782LSGBX	Loomis Sayles Global Bond Fund	12/09/2013	02/22/2012	3 387000	55 95	57 00	0 00	0 00	No
31428Q101FTRBX	Federated Total Return Bond Fu	12/09/2013	09/27/2012	14 273000	156 86	186 00	0 00	0 00	No
04314H600/APHMX	Artisan Mid Cap Fd Instl Shrs	12/09/2013	08/21/2012	182 696000	7,859 84	8,423 24	0 00	0 00	No
316071604/FINSX	Fidelity Advisor New Insights	12/09/2013	05/02/2012	35 811000	1,066 81	824 00	0 00	0 00	No
31428Q101FTRBX	Federated Total Return Bond Fu	12/09/2013	08/21/2012	27 415000	301 29	315 00	0 00	0 00	No
55273E822/MINIX	MFS International Value I	12/09/2013	07/18/2012	520 136000	18,345 19	13,752 40	0 00	0 00	No
31428Q101FTRBX	Federated Total Return Bond Fu	12/09/2013	03/27/2012	13 246000	145 57	151 00	0 00	0 00	No
31428Q101FTRBX	Federated Total Return Bond Fu	12/09/2013	05/02/2012	251 874000	2,768 09	2,889 00	0 00	0 00	No
543495782LSGBX	Loomis Sayles Global Bond Fund	12/09/2013	12/06/2012	101 898000	1,683 35	1,772 00	0 00	0 00	No
008882771/AIEVX	Invesco International Growth F	12/09/2013	09/27/2012	1 634000	55 10	47 00	0 00	0 00	No
008882771/AIEVX	Invesco International Growth F	12/09/2013	03/27/2012	2 301000	77 59	65 00	0 00	0 00	No
Total Long Term Sales Reported on 1099-B					2,638.8380	59,219.87	49,691.64	0.00	

Report on Form 8949, Part II, with Box A checked

Summary of Form 1099-B

1e	Quantity Sold	9,758.25
2a	Stock/Bond Proceeds	\$ 188,670.94
3	Cost or Other Basis	\$ 156,622.55
4	FEDERAL INCOME TAX WITHHELD	\$ 0.00
5	Wash Sale Loss Disallowed	\$ 0.00
7	Bartering	\$ 0.00
9	Profit (Loss) This Calendar Year	\$ 0.00
10	Unrealized Profit (Loss) Last Year	\$ 0.00
11	Unrealized Profit (Loss) This Year	\$ 0.00
12	Aggregate Profit (Loss)	\$ 0.00
15	STATE TAX WITHHELD	\$ 0.00

2nd TIN Notice No

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.