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Form **990-PF****Return of Private Foundation**

OMB No. 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf**2013**
Open to Public Inspection

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation

CARL BUCK CHARITABLE TRUST 1055002289

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

C/O REGIONS BANK TRUST DEPT, P O BOX 2886

City or town, state or province, country, and ZIP or foreign postal code

MOBILE, AL 36652-2886

A Employer identification number

63-6171340

B Telephone number (see instructions)

251-690-1024

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply:

☐ Initial return☐ Final return☐ Address change☐ Initial return of a former public charity☐ Amended return☐ Name changeH Check type of organization: ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col. (c), line

16) \$ 3,173,861.

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify) _____

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☒ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	17.	17.		STMT
4 Dividends and interest from securities	63,565.	58,573.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	211,114.			
b Gross sales price for all assets on line 6a	1,233,356.			
7 Capital gain net income (from Part IV, line 2)		211,114.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	1,909.			STMT
12 Total. Add lines 1 through 11	276,605.	269,704.		
13 Compensation of officers, directors, trustees, etc.	21,296.	17,037.		4,259.
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	925.	NONE	NONE	925.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions)		437.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses. Add lines 13 through 23	22,221.	17,474.	NONE	5,184.
25 Contributions, gifts, grants paid	133,000.			133,000.
26 Total expenses and disbursements. Add lines 24 and 25	155,221.	17,474.	NONE	138,184.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	121,384.			
b Net investment income (if negative, enter -0-)		252,230.		
c Adjusted net income (if negative, enter -0-)				

JSA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		77,830.	13,296.	13,296.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶	NONE			
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule)	STMT 5	197,534.	171,280.	172,189.
	b	Investments - corporate stock (attach schedule)	STMT 6	1,587,198.	1,695,578.	2,441,828.
	c	Investments - corporate bonds (attach schedule)	STMT 9	433,899.	533,939.	546,547.
	11	Investments - land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans					
13	Investments - other (attach schedule)	STMT 12	1.	1.	1.	
14	Land, buildings, and equipment basis					
	Less: accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		2,296,462.	2,414,094.	3,173,861.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)			NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, . . . ▶ ☒ check here and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		2,296,462.	2,414,094.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)		2,296,462.	2,414,094.		
31	Total liabilities and net assets/fund balances (see instructions)		2,296,462.	2,414,094.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,296,462.
2	Enter amount from Part I, line 27a	2	121,384.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 13	3	250.
4	Add lines 1, 2, and 3	4	2,418,096.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 14	5	4,002.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,414,094.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a	1,233,356.	1,022,242.	211,114.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			211,114.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	211,114.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			3		
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	122,418.	2,697,887.	0.045376
2011	82,899.	2,666,079.	0.031094
2010	5,601.	2,481,046.	0.002258
2009	302,407.	2,399,165.	0.126047
2008	167,993.	2,976,638.	0.056437
2 Total of line 1, column (d)			2 0.261212
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.052242
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 2,984,212.
5 Multiply line 4 by line 3			5 155,901.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,522.
7 Add lines 5 and 6			7 158,423.
8 Enter qualifying distributions from Part XII, line 4			8 138,184.
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.		1	5,045.
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	5,045.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	5,045.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 2,000.		
b Exempt foreign organizations - tax withheld at source	6b NONE		
c Tax paid with application for extension of time to file (Form 8868)	6c NONE		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	2,000.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	3,045.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ NONE Refunded ☐		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ (2) On foundation managers ☐ \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ AL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <u>N/A</u>				
14	The books are in care of <u>REGIONS BANK TRUST DEPT</u> Telephone no. <u>(251) 690-1024</u>			
	Located at <u>11 N. WATER ST. 25TH FLOOR, MOBILE, AL</u> ZIP+4 <u>36602</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	15		
and enter the amount of tax-exempt interest received or accrued during the year				
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
				X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country <u> </u>				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes	☐ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes	☒ No
If "Yes," list the years <u> </u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u> </u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** ☒

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No **7b****b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
REGIONS BANK TRUST DEPARTMENT P.O. BOX 1688, SUITE 2500, BIRMINGHAM, AL 35202	TRUSTEE 1	21,296.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		-0-	-0-	-0-

Total number of other employees paid over \$50,000 ☐ **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions.	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,029,657.
b	Average of monthly cash balances	1b	NONE
c	Fair market value of all other assets (see instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	3,029,657.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	3,029,657.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	45,445.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,984,212.
6	Minimum investment return. Enter 5% of line 5	6	149,211.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	149,211.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	5,045.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	5,045.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	144,166.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	144,166.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	144,166.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	138,184.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	138,184.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	138,184.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				144,166.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			127,938.	
b Total for prior years 20 <u>11</u> , 20 <u> </u> , 20 <u> </u>		NONE		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	NONE			
b From 2009	NONE			
c From 2010	NONE			
d From 2011	NONE			
e From 2012	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ <u>138,184.</u>				
a Applied to 2012, but not more than line 2a			127,938.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2013 distributable amount				10,246.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				133,920.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2009	NONE			
b Excess from 2010	NONE			
c Excess from 2011	NONE			
d Excess from 2012	NONE			
e Excess from 2013	NONE			

NOT APPLICABLE

- b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(1)(5)

- (4) Gross investment income .

15 -

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
UAB DEPT OF OPHTHALMOLOGY C/O TORREY VAN ANTW 700 18TH STREET S., SUITE 601 BIRMINGHAM AL	NONE	PC	EYE RELATED EQUIPMENT PURCHASES	133,000.
Total			3a	133,000.
b Approved for future payment				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	17.		
4 Dividends and interest from securities			14	63,565.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	211,114.		
9 Net income or (loss) from special events . . .						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue. a _____						
b <u>FEDERAL TAX REFUND</u>			14	1,909.		
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)				276,605.		
13 Total. Add line 12, columns (b), (d), and (e)					13	276,605.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

Julie Schmka
Signature of affiant/master

05/12/2014

► TRUST OFFICER
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

REGIONS BANK, TRUSTEE

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date	
------	--

Check ☐ if self-employed	PTIN
---	------

Firm's name

Firm's EIN ▶

Firm's address ►

Phone no.

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
OTHER INTEREST	17.	17.
	-----	-----
TOTAL	17.	17.
	=====	=====

FORM 990PF, PART I - OTHER INCOME

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
FEDERAL TAX REFUND	1,909.

TOTALS	1,909.
	=====

FORM 990PF, PART I - ACCOUNTING FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	925.			925.
TOTALS	925.	NONE	NONE	925.
	=====	=====	=====	=====

FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
FOREIGN TAXES ON QUALIFIED FOR		347.
FOREIGN TAXES ON NONQUALIFIED		90.

TOTALS		437.
		=====

CARL BUCK CHARITABLE TRUST 1055002289

63-6171340

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS
=====

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----	---
UST N/B 2.625% 11/15/2020	18,687.	17,522.	18,293.
FNMA 5% 03/15/16	37,434.	33,152.	34,071.
US TREASURY INF .625% 7/15/21	19,412.	18,503.	17,883.
FHLM 2.875% 2/9/15	28,511.	24,806.	24,702.
FHLM 1% 7/30/14	17,208.	16,098.	16,080.
US TREAS 1.375% 11/30/15	76,282.	61,199.	61,160.
	-----	-----	-----
TOTALS	197,534.	171,280.	172,189.
	=====	=====	=====

CARL BUCK CHARITABLE TRUST 1055002289
 FORM 990PF, PART II - CORPORATE STOCK
 =====

63-6171340

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
AMERICAN EXPRESS CO	6,123.	4,817.	42,825.
CVS CORPORATION	10,573.	6,849.	40,795.
CHEVRON CORP	6,498.	6,498.	35,599.
CISCO SYS INC	53,335.	24,386.	30,370.
COCA COLA CO	10,660.	10,660.	19,416.
CONOCO PHILLIPS COM	6,809.	6,809.	21,195.
EXXON MOBIL CORP	2,929.	2,929.	43,010.
GENERAL ELECTRIC CO	28,376.	28,376.	42,886.
INTEL CORP	7,382.	7,382.	32,833.
JOHNSON & JOHNSON	16,390.	4,141.	24,088.
MERCK & CO INC	21,946.	21,946.	31,982.
MONSANTO CO NEW	17,744.	17,744.	28,555.
ORACLE CORPORATION	9,795.	9,795.	31,947.
PEPSICO INC	22,064.	22,064.	38,567.
SCHLUMBERGER LTD	19,603.	19,603.	27,934.
PROCTOR AND GAMBLE CO	2,945.	2,945.	51,207.
WELLS FARGO & CO NEW	16,433.	16,433.	31,780.
HESS CORP	17,824.	17,824.	29,880.
J P MORGAN CHASE	30,094.	30,094.	36,842.
NORFOLK SOUTHERN CORP	35,267.		
PURDENTIAL FINANCIAL	30,709.	30,709.	38,732.
3M CORP	27,325.	27,325.	53,295.
STATE STREET CORP	19,686.		
STRYKER CORP	19,433.	19,433.	45,084.
UNITED TECH	16,607.	16,607.	47,796.
ISHARES CORE S&P MID-CAP ETF		241,150.	260,930.
OPPENHEIMER MAIN ST SM Y	61,000.		
PIONEER SELECT MID CAP GR Y	51,000.		
FIDELITY ADVISOR #734	75,000.		

CARL BUCK CHARITABLE TRUST 1055002289
 FORM 990PF, PART II - CORPORATE STOCK
 =====

63-6171340

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV -----
APACHE CORPORATION	19,939.		
MATTEL INC	22,147.	22,147.	49,340.
NEXTRA ENERGY INC	14,791.	14,791.	23,802.
THERMO FISHER SCIENTIFIC, INC	36,864.	8,662.	18,707.
VERIZON COMMUNICATIONS	27,744.	27,744.	41,769.
VISA INC- CLASS A SHRS	28,371.	28,371.	67,917.
ARTISAN FDS INC SMALL CAP VAL	75,000.		
BLACKROCK US OPPORTUNITIES	75,000.		
AMERICAN EUROPACIFIC	129,096.		
BLACK ROCK INC	19,721.	19,721.	31,647.
BROADCOM CORP CL A	19,984.	19,984.	16,749.
EMC CORP MASS	20,080.	20,080.	18,485.
EMERSON ELECTRIC CO	24,778.		
GOOGLE INC CL A	15,489.	15,489.	28,018.
LAB CORP AMER HLDGS COM	20,011.		
PRAXAIR INC	14,760.	14,760.	19,505.
TEXAS INSTRS INC	24,921.	24,921.	31,396.
APPLE INC	16,334.	16,334.	16,831.
BAXTER INTL	21,889.	21,889.	26,081.
HJ HEINZ CO	22,228.		
JOHNSON Ctls INC	21,980.	21,980.	41,810.
LOWE'S COMPANIES	15,080.	15,080.	23,041.
PHILLIPS 66	2,023.	2,023.	11,570.
TRAVELERS INC	21,984.	21,984.	30,693.
XCEL ENERGY INC	22,045.	22,045.	22,212.
ACCENTURE PLA - CL A	15,127.	15,127.	18,911.
MFS RESH INTL FD CL I	108,191.		
VIRTUS EMERGING MKTS OPP-I	66,000.		
TARGET CORP	22,071.	22,071.	22,145.

CARL BUCK CHARITABLE TRUST 1055002289
FORM 990PF, PART II - CORPORATE STOCK
=====

63-6171340

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
ISHARES CORE S&P SM-CAP ETF		241,121.	270,642.
VANGUARD FTSE DEVELOPED		381,182.	418,801.
VANGUARD FTSE EMERGING MARKETS		101,553.	104,208.
	-----	-----	-----
TOTALS	1,587,198.	1,695,578.	2,441,828.
	=====	=====	=====

CARL BUCK CHARITABLE TRUST 1055002289
 FORM 990PF, PART II - CORPORATE BONDS
 =====

63-6171340

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
COMCAST CORP 5.7% 07/01/2019	6,051.	5,037.	5,779.
GEN ELEC CAP CORP 5% 2/1/2013	7,019.		
GOLDMAN SACHS GRP 6% 5/1/14	7,049.	6,014.	6,107.
MERRILL LYNCH & CO 6.875%	5,978.	4,982.	5,912.
TALLSMAN ENERGY 7.75% 6/1/19	6,752.	5,540.	5,988.
A T & T 5.6% 05/15/2018	5,869.	4,891.	5,674.
CATERPILLAR INC 7.9% 12/15/2018	4,992.	11,453.	12,533.
WACHOVIA CORP 5.75% 6/15/17	5,210.	4,341.	5,704.
HEWLETT PAC 4.5% 3/1/13	7,005.		
ORACLE CORP 5.75% 04/15/2018	6,028.	5,020.	5,778.
TARGET CORP 5.375 CALLABLE	5,868.	5,868.	6,744.
CREDIT SUISSE NY 5.5% 05/01/14	13,233.	12,073.	12,196.
HESS CORP 8.125% 2/15/2019	5,717.	5,624.	6,211.
PETROBRAS INTL 5.75% 1/20/2020	5,995.	5,995.	6,174.
TIME WARNER 6.75% 7/1/18	6,421.	6,360.	6,729.
BARCLAYS BANK	7,006.	6,002.	6,245.
CSX CORP 6.25% 04/01/2015	6,369.	6,225.	6,413.
CA INC DTD 5.375% 12/1/2019	6,093.	6,082.	6,672.
CITIGP 6% 12/13/2013	6,186.		
DIRECTTV 3.55% 03/15/2015	7,146.	6,076.	6,198.
GOLDMAN SACHS 7.5% 02/15/2019	6,468.	5,340.	6,090.
KRAFT FOODS INC 1.625%		6,069.	6,076.
PRUDENTIAL	6,954.	5,960.	6,437.
FHLM CORP POOL 5% 7/1/35	8,383.	5,198.	5,175.
FHLM CORP POOL 4% 8/1/25	8,569.	5,456.	5,446.
FHLM CORP POOL 3.5% 8/1/26	9,449.	6,218.	6,202.
FNMA POOL 6% 6/1/36	14,511.	8,359.	8,556.
FNMA POOL 4% 3/1/26	8,973.	5,786.	5,838.
FNMA POOL 4.5% 6/1/41	5,431.	4,075.	4,069.

CARL BUCK CHARITABLE TRUST 1055002289

FORM 990PF, PART II - CORPORATE BONDS

=====

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----	---
FNMA POOL 5% 6/1/35	8,725.	5,309.	5,346.
FNMA POOL 6% 9/1/36	32,740.	18,368.	19,846.
FNMA POOL 5% 8/1/23	2,324.	1,294.	1,384.
FNMA POOL 5% 2/1/24	6,740.	4,215.	4,271.
FNMA POOL 3.5% 9/1/25	8,236.	5,585.	5,633.
AMERICAN EXP CRD 2.75% 9/15/15	7,105.	6,060.	6,209.
ANHEUSER-BUSCH 2.875% 2/15/16	7,028.	6,017.	6,246.
DUKE ENERGY CORP	7,004.	6,001.	6,149.
GENERAL ELEC CAP 5.3% 2/11/21	6,040.	6,036.	6,712.
INTEL CORP 3.3% 10/1/21	6,974.	5,976.	5,961.
MORGAN STANLEY 4.2% 11/20/14	14,376.	12,163.	12,382.
ONTARIO PROV OF 1.375% 1/27/14	14,002.	13,001.	13,010.
SUNTRUST BKS INC 3.5% 1/20/17	7,005.	5,998.	6,309.
TEVA PHARMA FIN 1.7% 11/10/14	7,000.		
TOYOTA MOTOR CRD 3.4% 9/15/21	5,985.	5,985.	6,091.
FHLM 4.5% 1/1/38	5,430.	3,290.	3,243.
FHLM 4.5% 5/1/39	3,308.	1,962.	1,958.
FHLM 4% 10/1/41	4,360.	3,170.	3,077.
FNMA 3.5% 12/1/25	3,273.	2,339.	2,300.
FNMA 5.5% 4/1/34	4,099.	2,273.	2,298.
FNMA 4% 6/1/39	5,079.	3,154.	3,071.
FNMA 4.5% 11/1/33	5,460.	3,487.	3,447.
FNMA 4% 7/1/25	2,561.	1,636.	1,618.
BHP BILLITON FIN 1%	13,987.	12,983.	13,071.
CHEVRON CORP 1.104%	8,032.	7,020.	6,846.
CISCO SYSTEMS 5.5%	6,884.	6,693.	6,601.
INTL BUS MACHINES 1.95%	13,416.	12,289.	12,328.
MONDELEZ INTL 4.125%	6,001.	5,998.	6,361.
ROYAL BK OF CAN 1.2%	10,000.	12,926.	12,881.

CARL BUCK CHARITABLE TRUST 1055002289
 FORM 990PF, PART II - CORPORATE BONDS
 =====

63-6171340

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
FNMA 3% 1/1/27		5,693.	5,642.
FNMA 4% 6/1/42		5,683.	5,653.
FNMA 3.5% 11/1/42		5,363.	5,345.
FNMA 3.5% 3/1/41		4,829.	4,547.
APPLE INC 1%		5,994.	5,802.
CITIGROUP INC 1.25%		6,018.	6,020.
DIRECTV HOLDGS/FING 3.5%		6,358.	6,298.
HEWLETT PACKARD 2.2%		5,995.	6,132.
MARATHON OIL .9%		5,998.	6,005.
VERIZON COMM 5.55%		5,566.	5,468.
ISHARES INTERMEDIATE GOVT		135,170.	134,040.
TOTALS	433,899.	533,939.	546,547.

CARL BUCK CHARITABLE TRUST 1055002289

63-6171340

FORM 990PF, PART II - OTHER INVESTMENTS
=====

DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----	-----	-----
REM INT IN P. BUCK TEST TRUST	C	1.	1.	1.
		-----	-----	-----
TOTALS		1.	1.	1.
		=====	=====	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
ACCRETION OF BOND PREMIUM	76.
OID INCOME ON USGI ADJUSTMENT	174.

TOTAL	250.
	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====DESCRIPTION
-----AMOUNT
-----ROUNDING DIFFERENCE
AMORTIZATION OF BOND PREMIUM9.
3,993.

TOTAL

4,002.

=====

AVERAGE MONTHLY FMV - 990PF, PART X, LINES 1a-1c

=====

MONTH -----	LINE 1a-FMV SECURITIES -----	LINE 1b-FMV CASH BALANCES -----	LINE 1c-FMV OTHER ASSETS -----
JANUARY	2,832,764.		
FEBRUARY	2,860,290.		
MARCH	2,930,538.		
APRIL	2,976,612.		
MAY	3,011,907.		
JUNE	2,971,806.		
JULY	3,073,689.		
AUGUST	2,995,065.		
SEPTEMBER	3,094,479.		
OCTOBER	3,189,620.		
NOVEMBER	3,245,250.		
DECEMBER	3,173,861.		
	-----	-----	-----
TOTAL	36,355,881.		
	=====	=====	=====
AVERAGE FMV	3,029,657.		
	=====	=====	=====

CARL BUCK CHARITABLE TRUST 1055002289
FORM 990PF, PART XV - LINES 2a - 2d
=====

63-6171340

RECIPIENT NAME:

REGIONS BANK TRUST DEPT, ATTN: CARLA GALE

ADDRESS:

1900 5th Avenue North

BIRMINGHAM, AL 35203

RECIPIENT'S PHONE NUMBER: 205-326-5382

FORM, INFORMATION AND MATERIALS:

NO FORMS

SUBMISSION DEADLINES:

NO DEADLINES

RESTRICTIONS OR LIMITATIONS ON AWARDS:

PUBLIC CHARITIES IN AL TO BUY EQUIPMENT AND TO PAY FOR OTHER CAPITAL
EXPENDITURE FOR EYE CARE, TREATMENT OF VISION RELATED ILLNESS

AND RESEARCH. NO DISTRIBUTIONS SHALL BE USED FOR OPERATING EXPENSES.

STATEMENT 16