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Form. 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public. ▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf. OMB No 1545-0052

Department of the Treasury Internal Revenue Service For calendar year 2013 or tax year beginning and ending A Employer identification number Name of foundation 62-6321808 GARY M. HARRIS FAMILY CHARITABLE TRUST Room/suite Number and street (or P O box number if mail is not delivered to street address) B Telephone number 203 (502)893-0300 295 N HUBBARDS LANE City or town, state or province, country, and ZIP or foreign postal code C If exemption application is pending, check here KY 40207 LOUISVILLE, G Check all that apply: Initial return Initial return of a former public charity D 1. Foreign organizations, check here Final return Amended return Foreign organizations meeting the 85% test, check here and attach computation Address change Name change Section 501(c)(3) exempt private foundation Check type of organization: E If private foundation status was terminated under section 507(b)(1)(A), check here Section 4947(a)(1) nonexempt charitable trust _____ Other taxable private foundation X Cash I Fair market value of all assets at end of year | J | Accounting method: Accrual F if the foundation is in a 60-month termination Other (specify) under section 507(b)(1)(B), check here (from Part II, col. (c), line 16) 199, 253. (Part I, column (d) must be on cash basis.) ▶\$ Part I Analysis of Revenue and Expenses (d) Disbursements (c) Adjusted net (a) Revenue and (b) Net investment (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) for charitable purposes (cash basis only) expenses per books ıncome ıncome 40,000 N/A Contributions, gifts, grants, etc., received 2 Check In the foundation is not required to attach Sch. B Interest on savings and temporary cash investments 3,168 3,168. STATEMENT 4 Dividends and interest from securities 5a Gross rents وير في سي b Net rental income or (loss) ,427 6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a 1700年16日本海上海市 64,621. A. 2017 . 静思 经被额 0. 7 Capital gain net income (from Part IV, line 2) 公民机工 "以下管理 8 Net short-term capital gain F ... Income modifications 10a Gross sales less returns and allowances المراو متستوب b Less Cost of goods sold c Gross profit or (loss) 11 Other income 741 3,168 37. 12 Total. Add lines 1 through 11 0. 0 0 Compensation of officers, directors, trustees, etc 14 Other employee salaries and wages 6 Pension plans, employee benefits 16a Legal lees 425 212. 213 b Accounting fees STMT 2 Other professional fees 17 Interest STMT 3 814 814. 0. 18 Taxes STMT 4 58 58 0. 19 Depreciation and depletion 20 Occupancy 21 Travel, conferences, and meetings 22 Printing and publications 23 Other expenses 24 Total operating 73 73. 0. STMT 5 24 Total operating and administrative 1,370 1,158 212. expenses. Add lines 13 through 23 8,000. 25 Contributions, gifts, grants paid 8.000 26 Total expenses and disbursements. 8,212. 9,370 158 Add lines 24 and 25 27 Subtract line 26 from line 12: 28,371 8 Excess of revenue over expenses and disbursements 2,010. b Net investment income (if negative, enter -0-) N/A c Adjusted net income (if negative, enter -0-)

LHA For Paperwork Reduction Act Notice, see instructions.

| P | art | Balance Sheets Adactics screening and amounts in the description column should be for end-of-year amounts only | (a) Book Value | (b) Book Value | (c) Fair Market Value |
|-----------------------------|-------|--|---------------------|----------------|---------------------------------------|
| _ | Τ. | Cach - non interest hearing | 400. | 325. | 325. |
| | | Cash - non-interest-bearing | 972. | 43,941. | 43,941. |
| | | Savings and temporary cash investments | 914. | 43,341. | 43,341. |
| | | Accounts receivable | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | | Pledges receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 1 - | Grants receivable . | | | |
| | 6 | Receivables due from officers, directors, trustees, and other | | | |
| | 1 | disqualified persons . | | | |
| | 7 | Other soles and loans receivable | . | | |
| | | Less: allowance for doubtful accounts | | | |
| ţ | 8 | Inventories for sale or use | | | |
| Assets | 9 | Prepaid expenses and deferred charges | | | |
| Ä | 10a | Investments - U.S. and state government obligations | | | |
| | Ь | Investments - corporate stock STMT 6 | 83,015. | 68,492. | 154,987. |
| | | Investments - corporate bonds | | | |
| | 1 | Investments - land, buildings, and equipment basis | - | | - |
| | ' ' | Less accumulated depreciation | | | |
| | 1 | Investments - mortgage loans | | | |
| | 1 | Investments - other | | _ | |
| | | Land, buildings, and equipment: basis | | | |
| | 1 | Less accumulated deprecation | • | | |
| | | · · · · · · · · · · · · · · · · · · · | | | |
| | 1 | Other assets (describe | | | |
| | | Total assets (to be completed by all filers - see the | 84,387. | 112,758. | 100 252 |
| _ | | instructions. Also, see page 1, item 1) | _04,30/• | 112,730. | 199,253. |
| | 1 | Accounts payable and accrued expenses | | | 37 |
| | 1 | Grants payable | | . | |
| ies | 1 | Deferred revenue | | | |
| Ħ | 1 | Loans from officers, directors, trustees, and other disqualified persons | | | , |
| Liabilities | 1 | Mortgages and other notes payable | | | |
| | 22 | Other liabilities (describe | | | . * |
| | 1 | | | | |
| _ | _ | Total liabilities (add lines 17 through 22) | 0. | 0. | |
| | | Foundations that follow SFAS 117, check here | | | , |
| ' A | | and complete lines 24 through 26 and lines 30 and 31. | | | |
| Net Assets or Fund Balances | 24 | Unrestricted | | | |
| <u>a</u> | 25 | Temporarily restricted | | | |
| Ва | 26 | Permanently restricted | | | |
| Ē | 1 | Foundations that do not follow SFAS 117, check here | | | |
| Ē | | and complete lines 27 through 31. | | | , |
| ō | | Capital stock, trust principal, or current funds | 84,387. | 112,758. | • ' |
| set | ı | Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| As | 1 | Retained earnings, accumulated income, endowment, or other funds | 0. | 0. | |
| et | | Total net assets or fund balances | 84,387. | 112,758. | |
| 2 | ** | | | | |
| | 31 | Total liabilities and net assets/fund balances | 84,387. | 112,758. | |
| _ | | | | | · |
| LP | art | Analysis of Changes in Net Assets or Fund Ba | alances | | |
| - | Total | net assets or fund balances at beginning of year - Part II, column (a), line | 30 | | · · · · · · · · · · · · · · · · · · · |
| • | | t agree with end-of-year figure reported on prior year's return) | | 1 | 84,387. |
| 9 | • | amount from Part I, line 27a | | 2 | 28,371. |
| | | rincreases not included in line 2 (itemize) | | 3 | 20,371. |
| | | lines 1, 2, and 3 | | - 3 | 112,758. |
| | | eases not included in line 2 (itemize) | | 5 | 0. |
| | | net assets or fund balances at end of year (line 4 minus line 5) - Part II, co | Numn (h) line 20 | - 6 | 112,758. |
| 6 | rotal | not assets of fund balances at the or year time 4 minus line 5) - Part II, Co | numin (u), iitic ou | | 1 1101100 |

GARY M. HARRIS FAMILY CHARITABLE TRUST

62-6321808

Page 2

Form **990-PF** (2013)

| Form 990-PF (2013) GAR Part IV Capital Gains | | ARRIS FAMI | | | TR | UST | 6 | 2-632 | 1808 | Page 3 |
|--|-----------------|---|-----------------|--|--------------|---------------------------------------|--------------------------------------|--------------------------------|--------------------------------------|------------------|
| | | | | (b) How acquired P - Purchase D - Donation (c) | | (c) Date a (mo., da | (c) Date acquired (mo., day, yr.) | | sold y, yr.) | |
| 1a SCHEDULE I P_ | | | | | | | | _ | | |
| b SCHEDULE I | | | | | | P | | | | |
| C | | | | | L | | | | | |
| <u>d</u> | | | | | Ļ | | | | | |
| <u>e</u> | | | | | Ц, | | | | | |
| (e) Gross sales price | | eciation allowed allowable) | | t or other basis xpense of sale | | | | ain or (loss) s (f) minus (| (g) | |
| <u>a</u> | | | | 10,37 | 73. | | · | | | <u>373.</u> : |
| b 4,946. | | | | | | | | | 4, | 946. |
| <u>c</u> | | | | | | | | | | |
| d e | | | | | | | . | | | |
| Complete only for assets showing | na aain in colu | mn (h) and owned by | the foundation | on 12/31/69 | | | (I) Gains (C | ol (h) nam | minus | |
| (i) F.M.V. as of 12/31/69 | (j) A | djusted basis of 12/31/69 | (k) Ex | cess of col. (I) col. (J), if any | | C | òl. (k). but r | not less that (from col. (| n -0-) or | |
| a | | | | | | | | | <10 | 373. |
| b | | | | | | | | | 4 | 373. 946. |
| C | | | | | | · · · · · · · · · · · · · · · · · · · | | | | |
| <u>d</u> | | - | | | | | | | | |
| <u>e</u> | | | | | | <u> </u> | | | | |
| 2 Capital gain net income or (net ca | ıpıtal loss) | If gain, also enter for the lift (loss), enter -0 | | | } | 2 | | | <5 | . 427 . : |
| 3 Net short-term capital gain or (los if_gain, also enter in Part I, line.8, | | in sections 1222(5) ar | nd (6): - | | } | - | | | - | - |
| If (loss), enter -0- in Part I, line 8 Part V: Qualification U | Indor Soc | tion 4040(a) for | Poduood | Tay on Not | l lov | 3 | | N/A | • | |
| | | | | | | | COME | | | |
| (For optional use by domestic private | e roundations | subject to the section 4 | 4940(a) tax on | net investment ir | ncom | e.) | | | | |
| If section 4940(d)(2) applies, leave to | hıs part blank. | | | | | | | | | |
| Was the foundation liable for the sec | | | | • | rıod? | | | | Yes | X No |
| Enter the appropriate amount in | | | | | entrie | S. | | | | |
| (a) Base period years Calendar year (or tax year beginni | na in) | (b) Adjusted qualifying dis | tributions | Net value of no | (c) oncha | rıtable-use asse | ts | Distrit (col. (b) div | (d) oution ratio rided by col. | (c)) |
| 2012 | | | 4,213. | | | 148,09 | | | | 28448 |
| 2011 | | | 4,139. | | | 85,80 | | | .04 | 18237 |
| 2010 | | | 3,713. | | | 82,69 | 4. | | .04 | 14900 |
| 2009 | | | <u>3,213.</u> | | | 75,30 | | | | <u> 12668</u> |
| 2008 | | | 1,600. | | | 94,11 | 3 | | .0: | <u> 17001</u> |
| 2 Total of line 1, column (d) | | | | | | | 2 | | 18 | 31254 |
| 3 Average distribution ratio for the the foundation has been in existe | - | | on line 2 by 5, | or by the number | r of ye | ears | 3 | | 0 | 36 <u>251</u> |
| 4 Enter the net value of noncharitat | ole-use assets | for 2013 from Part X, | line 5 | | | | 4 | | 96 | ,787. |
| 5 Multiply line 4 by line 3 | | · | | | | | 5 | | _ | ,509. |
| | 00/10/ of De- | 11 June 2751 | | | | | | | <u> </u> | _20. |
| 6 Enter 1% of net investment incon | 176 OI Par | . i, iiile 270) | | | | | 6 | | | |
| 7 Add lines 5 and 6 | | | | | | | 7_ | | | <u>,529.</u> |
| 8 Enter qualifying distributions from If line 8 is equal to or greater than | | | e 1b, and comp | olete that part usi | ng a 1 | % tax rate. | 8 | | 8 | <u>,212.</u> |
| See the Part VI instructions. | | | | | | | | | Form 990- | DE (2012) |

| The Exempt foundations described in section 4940(q)(2), check here ▶ □ and enter 14% of line 1. Obte of villing or determination letter: | | nt VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 49 | | 63218 | | rtion | age 4 |
|--|----|--|----------|--------|-------|-----------------|--|
| Date of fulling or determination letter: | | | 7.0 | 300 11 | 30.00 | | |
| b Domesk Coundations that meet the section 4940(e) requirements in Part V, check here ► X and enter 1% of Part I, line 12, col. (b). 2 Tax under section 511 (domestic section 4947(a)(1) inusts and taxabile foundations on the 4% of Part I, line 12, col. (b). 2 Tax under section 511 (domestic section 4947(a)(1) inusts and taxabile foundations only. Others enter -0-) 3 2 20. 4 Subtilia 4 (moren) tax (domestic section 4947(a)(1) inusts and taxabile foundations only. Others enter -0-) 5 Tax based on investment inceme. Subtract line 4 from line 3. If zero or less, enter -0- 5 Tax based on investment inceme. Subtract line 4 from line 3. If zero or less, enter -0- 5 Cedish Payments: 2 2013 estimated tax payments and 2012 overpayment credited to 2013 5 Exempt foreign organizations - tax withheld at source 6 Tax paid with application for extension of hime to life (Form 8869) 5 Exempt foreign organizations - tax withheld at source 6 Tax paid with application for extension of hime to life (Form 8869) 6 Eather any penalty for undeplayment of estimated tax. Check here ☐ if Form 2220 is attached 7 Total credits and payments. Add lines Sa through 64 7 Total credits and payments. Add lines Sa through 64 7 Total credits and payments. Add lines Sa through 64 7 Total credits and payments. Add lines Sa through 64 7 Total credits and payments. Add lines Sa through 64 7 Total credits and payments. Add lines Sa through 64 7 Total credits and payments. Add lines Sa through 64 7 Total credits and payments. Add lines Sa through 64 7 Total credits and payments. Add lines Sa through 64 7 Total credits and payments. Add lines Sa through 64 7 Total credits and payments. Add lines Sa through 64 8 Tax face. If the total of lines Sa and 8 is more than line 7, enter annount owad. 9 Tax vital in 18 Tax some of them to total or 18 through 64 10 Lines the amount of line 10 to be: Credited to 2014 estimated tax. 11 Lines through 64 12 Lines through 64 13 Lines through 64 14 Lines and 64 15 Lines through 64 16 Lines | 18 | | | | | | |
| of Part Line 27b e All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). 2 Tax under section \$11 (domestic section 4947(a)(1) trusts and bixable foundations only, Others enter -0-) 3 Add line1 and 2 3 20. 3 Add line1 and 2 Subtible A (income) lax (domestic section 4947(a)(1) trusts and taxable foundations only, Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 Tax based on line special for experiments or line to line 5 source than line 5. In the source of the special | | | | | | | 20 |
| A flother domestic Goundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, cot. (b). 2 | 0 | | <u> </u> | | - | | <u> 20.</u> |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 3 2.0. 4 Subtile A (mocme) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract time 4 from line 3. It zero or less, enter -0- 5 Tax based on investment income. Subtract time 4 from line 3. It zero or less, enter -0- 5 Tax based on investment income. Subtract time 4 from line 3. It zero or less, enter -0- 5 Tax based on investment income. Subtract time 4 from line 3. It zero or less, enter -0- 5 Tax based on investment income. Subtract time 4 from line 3. It zero or less, enter -0- 5 Tax based on investment income. Subtract time 4 from line 3. It zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 6 Be the sempt forcing organizations - tax withheld at source 6 Tax pad with application for extension of time to file (Form 8658) 6 Beach organization - tax withheld at source 7 Totals credits and payments. Add lines 5 tan 68, enter the line 7 tax enter annount of payments. Add lines 5 and 8, enter the annount over paid 8 Enter any penalty for underpayment of estimated tax. Photo have a sound to the 10 tax organization of the sempt to incomposition of the sempt to reflect the 2014 estimated tax. 10 Dvrappment. If line 7 is more than the lotal of lines 5 and 8, enter the annount overpaid 10 Overpayment. If line 7 is more than the lotal of lines 5 and 8, enter the annount overpaid 10 Overpayment. If line 7 is more than the lotal of lines 5 and 8, enter the annount overpaid 10 Dvrappment. If line 7 is more than the lotal of lines 5 and 8, enter the annount overpaid 10 Dvrappment. If line 7 is more than the lotal of lines 5 and 8, enter the annount overpaid 10 Dvrappment. If line 7 is more than the lotal of lines 5 and 8, enter the annount overpaid 10 Dvrappment. If line 7 is more than the lotal of lines 5 and 8, enter the annount of line 10 lines 10 lines | | | | | | | |
| 3 Add kines 1 and 2 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 6 Credits-Payments: 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 6 Credits-Payments: 8 2013 esimated tax payments and 2012 overpayment credited to 2013 8 Exempt foreign organizations - tax withheld at source 7 Tax and with application for extension of turn to file (Form 8868) 8 Exempt foreign organizations - tax withheld at source 8 Tax and with application for extension of turn to file (Form 8868) 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Overpayment. If line 7 is more than the fold of lines 5 and 8, enter the amount overpaid 10 Enter the amount of line 10 to be Credited to 2014 estimated tax by 6 6 0 . Refunded 11 1 0. Part VII-A) Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any aehonal, state, or local legislation or did it participate or intervene in any political campaign? 1b United the spend more than \$100 during the year (either directly) or indirectly) for political purposes (see instructions for the definition)? 1th an answer is "Yes" for a or 1b, attach a definated description of the activities. 1 both the foundation in Expendition (Expendition See in See in See in Sections for the definition)? 1 the answer is "Yes" for a or 1b, attach a definated description of the activities. 1 by lives, to other similar instruments? If "Yes, "attach a definition engage in any activities that have not proviously been reported to the IRS? 1 if "Yes," attach a definated description of the activities. 1 by lives, to other similar instruments? If "Yes, "attach a variation formation, ossobilination, ossobilination, ossobilination, ossobilination, ossobilin | | | • | | | | ٥ |
| Subsible A (accome) bax (domestic section 4947a)(1) trusts and tabele foundations only, Others enter-0-) 5 Tax based on investment income. Subtractline 4 from line 3. It zero or less, enter-0- 5 Cerdish, Payments: 2013 estimated tax payments and 2012 overpayment credided to 2013 8a 680 8b 6b 7 Total credits and payments. Add tines 5a through 6d 8 Backup withholding erroneously withhold 9 Total credits and payments. Add tines 5a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here | | · · · · · · · · · · · · · · · · · · · | | | | | |
| 5 Tax based on investment iacome. Subtract line 4 from line 3. If zero or less, enter -0-6 C cedics/Payments: 2 Colls estimated tax payments and 2012 overpayment credited to 2013 5 Exempt foreign organizations - Lax withheld at source 6 E Tax pad with application for extension of this to file (Form 8888) 6 Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6 although 6d 8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 8 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 Coll Tax During the tax, very and the foundation antempt to influence any national, state, or local legislation or did it participate or intervene in any policical campaign? 10 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any policical campaign? 10 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any policical campaign? 10 During the tax year, did the foundation attempts to influence any national, state, or local legislation or did it participate or intervene in any policical campaign? 11 Enter the amount of any of tax on political expenditures (section 4955) imposed during the year: 11 Child the foundation (if Earn 112-Po.D. In this year) 12 Did the foundation (if Earn 112-Po.D. In this year) 13 A Subditional for Form 112-Po.D. In this year; 14 Sabs the foundation mate any activities and thave not previously been reported to the IRS? 15 Yes, "attach the statement required by General Instruction 7. 16 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisted either: 19 By state legislation that effectively amends the governing instrument, | - | · · · · · · · · · · · · · · · · · · · | | | | <u>'</u> | |
| S Credits/Payments: a 2013 estimated tax payments and 2012 overpayment credited to 2013 be Exempt foreign organizations - tax withheld at source c Tax pad with application for extension of time to file (Form 8868) 6e d Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6a through 6d 6d 7 Total credits and payments. Add lines 6a through 6d 6d 7 Total credits and payments. Add lines 6a through 6d 6d 7 Total credits and payments. Add lines 6a through 6d 6d 7 Total credits and payments of estimated tax. Check here if Form 2220 is attached 8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 9 Tax due. If the total of lines 5 and 8, enter the amount owed 9 Tax due. If the total of lines 5 and 8, enter the amount owed 9 Tax due. If the total of lines 5 and 8, enter the amount owerpaid 10 Overpayment. If line 7 is more than the lotal of lines 5 and 8, enter the amount of line 10 to be: Credited to 2014 estimated tax 660. Refunded 11 00 Credition of the 10 to be: Credited to 2014 estimated tax 660. Refunded 11 00 Credition of the 10 to be: Credited to 2014 estimated tax 660. Refunded 11 00 Credition of the 10 to be: Credited to 2014 estimated tax 660. Refunded 11 00 Credition of the 10 to be: Credited to 2014 estimated tax 660. Refunded 11 00 Credition of the 10 to be: Credited to 2014 estimated tax 7 Enter the amount (nime) to the 10 to be: Credited to 2014 estimated tax 7 Enter the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distribution of the 10 to | _ | | | | | | |
| a 2013 estimated tax payments and 2012 overpayment credited to 2013 b Exempt foreign originations - Law withheld at source □ Tax pad with application for extension of time to tile (Form 8669) □ Backup withholding erroneously withheld □ Total credits and payments. Add lines 6s through 6d □ Total credits and payments. Add lines 6s through 6d □ Total credits and payments. Add lines 6s through 6d □ Total credits and payments. Add lines 6s through 6d □ Total credits and payments. Add lines 6s through 6d □ Total credits and payments. Add lines 6s through 6d □ Total credits and payments. Add lines 6s through 6d □ Total credits 1 line 7s more than line 7, enter amount owed □ Total credits 1 line 7s more than 1 line 7, enter amount owed □ Toverpayment. Illine 7s more than 1 line 10 to lines 5 and 8, enter the amount overpaid □ Toverpayment. Illine 7s more than 1 line 10 to lines 5 and 8, enter the amount overpaid □ Toverpayment. Illine 7s more than 1 line 7s more | | | <u> </u> | , | | | <u> </u> |
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| to Tax paid with application for extension of time to file (Form 8868) d Backup withholding erronecusly withhold d Backup withholding erronecusly withhold Total credits and payments. Add lines & through 6d Enter any panalty for underpayment of estimated tax. Check here | | | <u>}</u> | ' ' | | , | |
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| If "Yes," attach the statement required by General Instruction T. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV The enter the states to which the foundation reports or with which it is registered (see instructions) KY If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 | | | | -, | | | X |
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| By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV Ba Enter the states to which the foundation reports or with which it is registered (see instructions) KY If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses X | Æ | | | | . | | l ` |
| • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 7 X 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► KY b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X | J | | | | . ' | ٠. | ٠, |
| remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 7 X 8a Enter the states to which the foundation reports or with which it is registered (see instructions) KY b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X | | | law | | | , í | · · |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 8a Enter the states to which the foundation reports or with which it is registered (see instructions) KY b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X | | | | | 6 | х | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) KY b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X | 7 | | | | - | | |
| kY b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X | • | big the foundation have at least possess at any time during the year. It is complete, all in complete | | | | | |
| kY b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X | Ra | Enter the states to which the foundation reports or with which it is registered (see instructions). | | | | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X | Ja | | - | | | , | |
| of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X | h | | | | | | |
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| year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X | 9 | | ndar | | | - - | |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X | J | | | | 9 | | X |
| To Did any percent execution capetantial contributors during the tax year in 100, and any percent and the tax year in 100, and the tax year in 100 | 10 | | | | | | |
| | | The state of the s | | Fori | | -PF | |

| | 990-PF (2013) GARY M. HARRIS FAMILY CHARITABLE TRUST 62-6321 | .808 | Page 5 |
|----|--|--|------------------|
| | rt VII-A Statements Regarding Activities (continued) | | |
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of | 1 1 | 1 |
| | section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | <u>X</u> |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? | | |
| | If "Yes," attach statement (see instructions) | 12 | <u> </u> |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 X | |
| | Website address ► N/A | | |
| 14 | The books are in care of ► R. KEITH CULLINAN Telephone no. ► (502) 8 | | 00 |
| | Located at ► 295 N HUBBARDS LANE SUITE 203, LOUISVILLE, KY ZIP+4 ►40 | <u>)207 </u> | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here | | ▶Ш |
| | and enter the amount of tax-exempt interest received or accrued during the year | N/A | |
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, | Ye | |
| | securities, or other financial account in a foreign country? | 16 | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign | ; . | |
| | country | <u> L'</u> | |
| Pa | rt VII-B Statements Regarding Activities for Which Form 4720 May Be Required | 1 1.2 | |
| | File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | Ye | s No |
| 1a | During the year did the foundation (either directly or indirectly): | 7., | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) | 1 1 | , |
| | a disqualified person? | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | 1 13 13 | <i>'</i> |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No | 13. | 1. |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available | 1 | |
| | for the benefit or use of a disqualified person)? | | İ |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" | | 1 |
| | If the foundation agreed to make a grant to or to employ the official for a period after | 2, 14 - 2 1 - 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 | |
| | termination of government service, if terminating within 90 days.) | 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | ř. |
| b | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations | | `. . |
| | section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | |
| | Organizations relying on a current notice regarding disaster assistance check here | | |
| C | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected | 1 1 | - |
| | before the first day of the tax year beginning in 2013? | 1c | <u> </u> |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation | 7-1. | |
| | defined in section 4942(j)(3) or 4942(j)(5)): | | - |
| а | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning | | |
| | before 2013? | - | |
| | If "Yes," list the years \blacktriangleright | , - | - |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect | | |
| | valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach | | |
| | statement - see instructions.) . N/A | 2b | |
| C | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. | , : | |
| | > | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time | | |
| | during the year? $oxed{}$ Yes $oxed{X}$ No | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after | | |
| | May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose | | |
| | of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, | . | |
| | Form 4720, to determine if the foundation had excess business holdings in 2013) N/A | 3b | |
| | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | <u> </u> |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that | | |
| | had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | <u> </u> |
| | F | orm 990-F | 'F (2013) |

| orm 990-PF (2013) GARY M. HARRIS FAMILY CH | ARITABLE TRUS | <u>T</u> | <u>62-63218</u> | <u>08</u> | Page 6 |
|--|------------------------------------|-----------------------------|--|--|-------------------|
| Part VII-B Statements Regarding Activities for Which F | orm 4720 May Be R | equired (continu | ued) | | г |
| 5a During the year did the foundation pay or incur any amount to: | | | <u> </u> | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section | | • | s X No | | |
| (2) Influence the outcome of any specific public election (see section 4955); o | r to carry on, directly or indire | | | | • |
| any voter registration drive? | | | s X No | ľ | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes | | Ye | s X No | | |
| (4) Provide a grant to an organization other than a charitable, etc., organization | n described in section | | | 1 | |
| 509(a)(1), (2), or (3), or section 4940(d)(2)? | | | s X No | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, | or educational purposes, or fo | | | · | ł |
| the prevention of cruelty to children or animals? | | | s X No | | |
| b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und | | n Regulations | 27.42 | | |
| section 53.4945 or in a current notice regarding disaster assistance (see instru | | | N/A | 5b | |
| Organizations relying on a current notice regarding disaster assistance check h | | | | | |
| c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fi | | | | | |
| expenditure responsibility for the grant? | | /A Ye | s L No | , | |
| If "Yes," attach the statement required by Regulations section 53.494: | | | . | | j |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to | pay premiums on | | ু হো " | .s. | 1 |
| a personal benefit contract? | account honofit acctocato | L Y6 | s X No | | |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a p | personal denetit contract? | | <u> </u> - | 6b | <u>X</u> |
| If "Yes" to 6b, file Form 8870. | shelier transportion? | [v | es X No | | |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax s | | Y6 | . – | 7b | |
| <u>b. If "Yes," did the foundation receive any proceeds or have any net income attributed</u> Part VIII Information About Officers, Directors, Trust | | nagers Highly | | /U | Ь |
| Paid Employees, and Contractors | ees, roundation wa | nagers, riigini | , | | |
| List all officers, directors, trustees, foundation managers and their | compensation. | ···· | | | |
| | (b) Title, and average | (c) Compensation | (d) Contributions to employee benefit plans and deferred | (e) Ex | pense |
| (a) Name and address | hours per week devoted to position | (If not paid, enter -0-) | and deferred compensation | account allowa | i, otner inces |
| R. KEITH CULLINAN | TRUSTEE | | | | |
| 295 N HUBBARDS LANE SUITE 203 | | - | | | |
| LOUISVILLE, KY 40207 | 2.00 | 0. | 0. | | 0. |
| BARY M. HARRIS | MEMBER ADVISO | RY COMM | | | |
| 295 N HUBBARDS LANE SUITE 203 | | | | | |
| OUISVILLE, KY 40207 | 2.00 | 0, | 0. | | 0. |
| JUDITH A. HARRIS | MEMBER ADVISO | RY COMM | | | |
| 295 N HUBBARDS LANE SUITE 203 |] | | | | |
| LOUISVILLE, KY 40207 | 2.00 | 0. | 0. | | 0. |
| | | | | | |
| | 4 | | | | |
| Companyation of five bimbook maid annulation (ather the are in- | aludad on line 4) If sees | ontor #NONE # | L | <u></u> | |
| Compensation of five highest-paid employees (other than those inc | (b) Title, and average | enter NONE." | (d) Contributions to | (a) Fv | nense |
| (a) Name and address of each employee paid more than \$50,000 | hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deterred | (e) Exp | t, other |
| MONTE | devoted to position | | compensation | allowa | ances |
| NONE | 1 | | 1 | | |
| | | | | | |
| | † | 1 | 1 | 1 | |
| | | l | | | |
| | † | | 1 | | |
| | | 1 | | | |
| | † | 1 | | | |
| | | | | | |
| | 1 | | | | |
| Cotal number of other employees paid over \$50,000 | <u> </u> | | <u> </u> | I | 0 |

| Form 990-PF (2013) GARY M. HARRIS FAMILY CHARITABL | | 2-6321808 Page 7 |
|---|---------------------------------|------------------|
| Part VIII Information About Officers, Directors, Trustees, Found Paid Employees, and Contractors (continued) | | |
| 3 Five highest-paid independent contractors for professional services. If none, ent | er "NONE." | |
| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
| NONE | | |
| | | |
| | _ | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services | | ▶ 0 |
| Part IX-A Summary of Direct Charitable Activities | | |
| List the foundation's four largest direct charitable activities during the tax year. Include relevant stanumber of organizations and other beneficiaries served, conferences convened, research papers pr | ustical information such as the | Expenses |
| 37.73 | | - |
| 1N/A | | |
| | | |
| 2 | | |
| | | |
| | | |
| 3 | | |
| | | |
| | | |
| 4 | | |
| | | |
| Part IX-B Summary of Program-Related Investments | | |
| Describe the two largest program-related investments made by the foundation during the tax year | on lines 1 and 2. | Amount |
| 1 N/A | | |
| | | |
| | | |
| 2 | | |
| | | |
| All other program-related investments. See instructions. | | |
| 3 | | |
| | | |
| | | |
| Total. Add lines 1 through 3 | | ▶ 0. |

| - 6 | 32 | 18 | 08 | Page | 8 |
|-----|----|----|----|------|---|
| | | | | | |

| For | m 990-PF (2013) GARY M. HARRIS FAMILY CHARITABLE TRUST | 62-632180 | 8 Page 8 |
|----------------|--|---------------------------|-------------------|
| Р | art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four | ndations, see instructi | ions.) |
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| а | Average monthly fair market value of securities | | 95,055. |
| b | Average of monthly cash balances | 15 | 95,055. 3,206. |
| | Fair market value of all other assets | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 98,261. |
| е | Reduction claimed for blockage or other factors reported on lines 1a and | | |
| | 1c (attach detailed explanation) 1e 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 98,261. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 1,474. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 96,787. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 4,839. |
| P | art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations are | nd certain | • |
| | foreign organizations check here 🕨 🔲 and do not complete this part.) | | |
| 1 | Minimum investment return from Part X, line 6 | 1 | 4,839. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 |] | |
| b | Income tax for 2013. (This does not include the tax from Part VI.) | <u>]</u> . | |
| C | Add lines 2a and 2b | 2c | 20. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 4,819. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 4,819. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7_ | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 4,819. |
| Р | Qualifying Distributions (see instructions) | | |
| - 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc total from Part I, column (d), line 26 | 1a | 8,212. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| а | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 8,212. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment | | _, |
| | income. Enter 1% of Part I, line 27b | 5 | 20. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 8,192. |
| | Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation | qualifies for the section | |

Form **990-PF** (2013)

4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|--|---------------|----------------------------|---------------------------------------|---------------------------------------|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 4 910 |
| 2 Undistributed income, if any, as of the end of 2013 | | | <u> </u> | 4,819. |
| a Enter amount for 2012 only | | | 2,838. | |
| b Total for prior years: | h | | 2,030. | |
| | | 0. | | |
| 3 Excess distributions carryover, if any, to 2013: | | | | |
| a From 2008 | | | | |
| b From 2009 | • | | | |
| c From 2010 | `` | , | | |
| d From 2011 | | | | |
| e From 20 12 | | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2013 from | | , | | |
| Part XII, line 4: ▶\$8, 212. | , | | | |
| a Applied to 2012, but not more than line 2a | | | 2,838. | |
| b Applied to undistributed income of prior | | | | |
| years (Election required - see instructions) | , , | 0. | | |
| c Treated as distributions out of corpus | | | | |
| (Election required - see instructions) | 0. | <u>- '</u> | , | , |
| d Applied to 2013 distributable amount | | •• ; | | 4,819. |
| e Remaining amount distributed out of corpus | 555. | | ' | |
| 5 Excess distributions carryover applied to 2013 | 0. | ا د د دور د دیو شاهای | | 0. |
| (If an amount appears in column (d), the same amount must be shown in column (a).) | | ; , | * Tr | |
| 6 Enter the net total of each column as indicated below: | | | , , , , , , , , , , , , , , , , , , , | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 555. | | | |
| b Prior years' undistributed income. Subtract | | | | 18 |
| line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' | | · | | |
| undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | , |
| d Subtract line 6c from line 6b. Taxable | , | 0. | - | - : - |
| amount - see instructions | • , | 0. | | |
| e Undistributed income for 2012. Subtract line | | | | |
| 4a from line 2a. Taxable amount - see instr. | | , | 0. | |
| f Undistributed income for 2013. Subtract | | | • | · |
| lines 4d and 5 from line 1. This amount must | | | | |
| be distributed in 2014 | | | | 0. |
| 7 Amounts treated as distributions out of | | | | |
| corpus to satisfy requirements imposed by | | - , | | |
| section 170(b)(1)(F) or 4942(g)(3) | 0. | | | • |
| 8 Excess distributions carryover from 2008 | | | | |
| not applied on line 5 or line 7 | 0. | ı | | |
| 9 Excess distributions carryover to 2014. | | - | | |
| Subtract lines 7 and 8 from line 6a | 555. | • | | |
| 10 Analysis of line 9; | | | | · · · · · · · · · · · · · · · · · · · |
| a Excess from 2009 | | | | |
| b Excess from 2010 | | | | |
| c Excess from 2011 | | | | |
| d Excess from 2012 | | | | |
| e Excess from 2013 555. | | | | |

| Form 990-PF (2013) GARY M. Part XIV Private Operating F | HARRIS FAM | ILY CHARITA | BLE TRUST | M/A | 21808 Page 10 |
|---|-------------------------------|------------------------------|-----------------------------|-----------------------------|---------------------|
| 1 a If the foundation has received a ruling of | | | 7, 4003(1011-9) | N/A | |
| foundation, and the ruling is effective to | | | | | |
| | | - · | n cootion | 4942(j)(3) or 49 | 342(j)(5) |
| b Check box to indicate whether the found 2 a Enter the lesser of the adjusted net | Tax year | iy ibundalion described i | Prior 3 years | 1 4942(]](3) 011 48 | 1 |
| income from Part I or the minimum | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | (e) Total |
| · · · · · · · · · · · · · · · · · · · | (4)2010 | (0) 20 12 | (0,0011 | (4) 20 10 | (0) 10.21 |
| investment return from Part X for | | | | İ | |
| each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, | | | | | |
| line 4 for each year listed | | | | | |
| d Amounts included in line 2c not | | | | | |
| used directly for active conduct of | | | | | |
| exempt activities | ļ | | | - | |
| e Qualifying distributions made directly | | | | | |
| for active conduct of exempt activities. | | | | | |
| Subtract line 2d from line 2c 3 Complete 3a, b, or c for the | } | | | | |
| alternative test relied upon: | | | | | |
| a "Assets" alternative test - enter: | | | | | |
| (1) Value of all assets |] | <u> </u> | | ļ | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter | | | | | İ |
| 2/3 of minimum investment return shown in Part X, line 6 for each year | 1 | | | 1 | |
| listed | | | | | |
| c "Support" alternative test - enter: | | | | | |
| (1) Total support other than gross | | | | | } |
| investment income (interest, | - | | - | | |
| dividends, rents, payments on securities loans (section | | | | | |
| 512(a)(5)), or royalties) | | } | } | | |
| (2) Support from general public | | | | | |
| and 5 or more exempt | | | | | |
| organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from | | | | | |
| an exempt organization | | | | | |
| (4) Gross investment income | | | | | |
| Part XV Supplementary Info | rmation (Comple | te this part only | if the foundation | n had \$5,000 or m | ore in assets |
| at any time during t | he year-see instr | ructions.) | | | |
| 1 Information Regarding Foundation | n Managers: | | | | |
| a List any managers of the foundation wh | - | than 2% of the total cont | ributions received by the | e foundation before the clo | se of any tax |
| year (but only if they have contributed r | | | | | · |
| SEE STATEMENT 7 | | | | | |
| b List any managers of the foundation who other entity) of which the foundation ha | | | (or an equally large port | ion of the ownership of a p | partnership or |
| NONE | C a 1070 of grouter interes | - u | | | |
| | in Ount Oit Lan | Cabalarabia ata Di | | | |
| 2 Information Regarding Contribut Check here ► X if the foundation of | | | | not accept uncollected read | unete for fundo. If |
| the foundation makes gifts, grants, etc. | | | | | |
| a The name, address, and telephone num | ` | | | | |
| a The name, address, and telephone num | DEL OF E-IIIAII AUDITESS OF I | ile person to whom appr | ications should be addre | :55cu. | |
| | | | | | |
| b The form in which applications should b | e submitted and informa | tion and materials they s | hould include: | | |
| | | | | | |
| c Any submission deadlines: | | | | | |
| d Any restrictions or limitations on award | s, such as by geographic | al areas, charitable fields, | , kinds of institutions, or | other factors: | |
| | | | | | |
| | | | | | |

▶ 3b

Total

| | | | | |
|-------------|-------------|------------|-----------|----------|
| Part XVI-A | Analysis of | Income-Pro | ducina Ac | tivities |

| Enter gross amounts unless otherwise indicated. | Unrelate | d business income | | section 512, 513, or 514 | (e) |
|--|--------------------|---------------------------------------|-------------------------------|--------------------------|---------------------------------------|
| • | (a) Business | (b) Amount | (C) Exclu- sion code | (d) Amount | Related or exempt function income |
| 1 Program service revenue: | code | | 1000 | | |
| a | | | + + - | | |
| ь | — | | | | |
| <u> </u> | | | | - | |
| d | | | + | | |
| e | | | +- | | |
| <u> </u> | | | + + | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | + + | | |
| 3 Interest on savings and temporary cash | | | | | |
| investments | | | 1 4 | 2 1 6 0 | |
| 4 Dividends and interest from securities | | , y, | 14 | 3,168. | |
| 5 Net rental income or (loss) from real estate: | , ,5 | · · · · · · · · · · · · · · · · · · · | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal | | | | | |
| property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other | | | | | |
| than inventory | | | 18 | <5,427. | > |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | _ | |
| a | | | _ | | |
| b | | | | | |
| C | 1 | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | PAUM TO | <u> </u> | · 5.4. | <2,259. | > 0. |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | 13 | <2,259. |
| (See worksheet in line 13 instructions to verify calculation | ns.) | | | | |
| Part XVI-B Relationship of Activiti | es to the Acc | omplishment of | Exempt P | urposes | |
| Line No. Explain below how each activity for which | income is reported | ın column (e) of Part XV | I-A contributed | Importantly to the accom | plishment of |
| the foundation's exempt purposes (other | | | | , , | |
| | | | | | · |
| | | | | | |
| *** | | | | | |
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| | | | | | |

LOUISVILLE, KY 40207

Phone no. (502)896-2451

62-6321808

Page 13

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

➤ Attach to Form 990, Form 990-EZ, or Form 990-PF.

Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

Employer identification number

| | GARY M. HARRIS FAMILY CHARITABLE TRUST 62-6321808 |
|---|---|
| Organization type(chec | |
| Filers of: | Section: |
| Form 990 or 990-EZ | 501(c)() (enter number) organization |
| | 4947(a)(1) nonexempt chantable trust not treated as a private foundation |
| | 527 political organization |
| Form 990-PF | X 501(c)(3) exempt private foundation |
| | 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | |
| | 501(c)(3) taxable private foundation |
| | on is covered by the General Rule or a Special Rule. I(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions. |
| | tion filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one mplete Parts I and II. |
| Special Rules | |
| 509(a)(1) and 17 | 01(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 70(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II |
| total contributio | 01(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, one of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or of cruelty to children or animals. Complete Parts I, II, and III. |
| contributions fo If this box is che purpose. Do not | 01(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, or use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. ecked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., to complete any of the parts unless the General Rule applies to this organization because it received nonexclusively able, etc., contributions of \$5,000 or more during the year |
| out it must answer "No" | n that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to eet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF). |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

GARY M. HARRIS FAMILY CHARITABLE TRUST

62-6321808

| Part I | Contributors (see instructions). Use duplicate copies of Part I if addition | nal space is needed. | |
|------------|---|-------------------------|--|
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| 1 | THE CLAUDE AND BETTY HARRIS FAMILY FOUNDATION INC 295 N HUBBARDS # 203 LOUISVILLE, KY 40207 | \$\$\$ | Person X Payroll |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | - \$ - \$ | Person Payroll Noncash (Complete Part II for noncash contributions.) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | - | \$ | Person |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person Payroll Noncash (Complete Part II for noncash contributions.) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | \$ | Person Payroll Noncash (Complete Part II for noncash contributions.) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| | | - - - \$ | Person Payroll Noncash (Complete Part II for noncash contributions.) |

Employer identification number

GARY M. HARRIS FAMILY CHARITABLE TRUST

62-6321808

| Part II | Noncash Property (see instructions). Use duplicate copies of Par | t II if additional space is needed. | |
|------------------------------|--|--|----------------------|
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| - | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
| | | | |

| Schedule B (Form 990, 9 | 90-EZ, or 990-PF) (2013) |
|-------------------------|--------------------------|
|-------------------------|--------------------------|

Page 4

| Name of orga | anization | | | Employer identification number |
|---------------------------|--|---|---|---|
| GARY M | . HARRIS FAMILY CHARITA | BLE TRUST | | 62-6321808 |
| Part III | Exclusively religious, charitable, etc., indivi- year. Complete columns (a) through (e) and the the total of exclusively religious, charitable, etc. Use duplicate copies of Part III if additional | dual contributions to section 501(c) of following line entry. For organization of \$1,000 or less for | (7), (8), or (10) organizations completing Part III, enter the year. (Enter this information onco | ns that total more than \$1,000 for the |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Desc | cription of how gift is held |
| | | | | |
| - | | (e) Transfer of gift | | |
| : | Transferee's name, address, an | d ZIP + 4 | Relationship of tra | insferor to transferee |
| | | | | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Des | cription of how gift is held |
| | | | | |
| | | (e) Transfer of gif | | |
| - - | Transferee's name, address, an | d ZIP + 4 | Relationship of tra | ansferor to transferee |
| | | | | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Des | cription of how gift is held |
| | | | | |
| - | | (e) Transfer of gif | t | |
| | Transferee's name, address, an | d ZIP + 4 | Relationship of tra | ansferor to transferee |
| | | | | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Des | cription of how gift is held |
| | | | | |
| _ | | (e) Transfer of gif | <u> </u> | |
| | Transferee's name, address, an | d ZIP + 4 | Relationship of tr | ansferor to transferee |
| | | | | |

| FORM 990-PF | DIVIDENDS | AND INTER | EST FROM SECUR | ITIES ST | 'ATEMENT 1 |
|--|-----------------|---|--|--|---|
| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE S PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
| J P MORGAN CLEARING CORP | 3,168. | , (| 0. 3,168. | 3,168. | |
| TO PART I, LINE 4 | 3,168. | (| 3,168. | 3,168. | |
| FORM 990-PF | | ACCOUNTI | NG FEES | Si | PATEMENT 2 |
| DESCRIPTION | | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| ACCOUNTING FEES | | 425. | 213. | | 212. |
| TO FORM 990-PF, PG | 1, LN 16B | 425. | 213. | | 212. |
| | | | | | |
| FORM 990-PF | OI | THER PROFES | SIONAL FEES | Si | PATEMENT 3 |
| FORM 990-PF DESCRIPTION | O | (A) EXPENSES PER BOOKS | SIONAL FEES (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) |
| | | (A) EXPENSES | (B) NET INVEST- | (C) ADJUSTED | (D) CHARITABLE |
| DESCRIPTION | FEES | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED | (D) CHARITABLE PURPOSES |
| DESCRIPTION | FEES | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME 814. | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES 0. |
| DESCRIPTION | FEES | (A) EXPENSES PER BOOKS 814. | (B) NET INVEST- MENT INCOME 814. | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES 0. 0. TATEMENT 4 |
| DESCRIPTION INVESTMENT ADVISOR TO FORM 990-PF, PG FORM 990-PF | FEES | (A) EXPENSES PER BOOKS 814. 814. TAX (A) EXPENSES | (B) NET INVEST- MENT INCOME 814. 814. ES (B) NET INVEST- | (C) ADJUSTED NET INCOME ST. (C) ADJUSTED | (D) CHARITABLE PURPOSES 0. 0. TATEMENT 4 (D) CHARITABLE |

| FORM 990-PF | OTHER E | XPENSES | | STATEMENT 5 |
|------------------------------|------------------------------|-----------------------------------|----------|-------------------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | | |
| OFFICE EXPENSE | 73. | 73. | | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 73. | 73. | | 0. |
| DESCRIPTION | CORPORAT | | OK VALUE | FAIR MARKET VALUE |
| SEE ATTACHED SCHEDULE II | | | 68,492. | 154,987. |
| TOTAL TO FORM 990-PF, PART I | I, LINE 10B | | 68,492. | 154,987 |
| FORM 990-PF | PART XV - L | | | STATEMENT ' |

NAME OF MANAGER

GARY M. HARRIS JUDITH A. HARRIS

Cullinan Associates, Inc.
UNREALIZED GAINS AND LOSSES
Gary M. Harris Family Charitable Trust (consolidated)
ACCOUNT # 504-55052-1-0
December 31, 2012

| CASH AND EQUIVALENTS PRINCIPAL CASH 972.12 972.12 972.12 COMMON STOCK 07-05-11 LIO0.0000 IShares MSC1 48.28 4,827.88 44.35 4,435.00 -392.88 -8.1 07-05-11 100.0000 IShares MSC1 48.28 4,827.88 44.35 4,435.00 -392.88 -8.1 02-26-07 600.0000 SPDR S&P 500 Trust 0.50 42.35 68.23 57,790.81 57,367.31 13,546.00 04-12-96 847.0000 Wal-Mart Stores Inc 0.50 84,978.88 147,671.81 62,692.93 73.8 12-18-12 8 FEB/14-8 C 20.13 0.34 -271.76 0.08 -64.00 455.77 26.9 11-30-12 8 Wal-Mart Stores I 0.34 -271.76 0.08 -64.00 663.53 33.8 TOTAL PORTFOLIO 4p.9 4p.9 4p.9 4p.9 75.4 CHECKIAL A STOLES 4p.9 4p.9 4p.9 4p.9 75.4 TOTAL PORTE A STOLES 4p.9 4p.9 4p.9 | Date | Quantity | Security | Unit | Total Cost | Price | Market Value | Unrealized Gain/Loss | % 8/F |
|--|--------------------|-------------------|--|--------|---------------|--------|------------------------|-------------------------|-----------------|
| Emerging Mkts ETF | CASH AND |) EQUIVALENTS | PRINCIPAL CASH | 1 | 972.12 | I | 972.12 | | |
| Emerging Mkts ETF 1.0000 SPDR S&P 500 Trust 1.0000 Wal-Mart Stores Inc 0.50 | COMMON 07-05-11 | STOCK 100.0000 | IShares MSCI | 48.28 | 4,827.88 | 44.35 | 4,435.00 | -392.88 | -8. |
| -6 SPDR S&P 500 Trus -6 SPDR S&P 500 Trus -6 SPDR S&P 500 Trus -8 Wal-Mart Stores I -8 Wal-Mart Stores I -1,963.53 -1,963.53 -1,963.53 -1,963.53 -1,963.53 -1,963.53 -1,963.53 -1,963.53 -1,963.53 -1,963.53 -1,963.53 -1,963.53 | 02-26-07 | 600.0000 847.0000 | Emerging Mkts ETF SPDR S&P 500 Trust Wal-Mart Stores Inc | 132.88 | 79,727.50 | 142.41 | 85,446.00 57,790.81 | 5,718.50 57,367.31 | 7.2 13,546.0 |
| -6 SPDR S&P 500 Trus | | | | ļ | 84,978.88 | l | 147,671.81 | 62,692.93 | 73.8 |
| -8 Wal-Mart Stores I 0.34 -271.76 0.08 -64.00 207.76 -8 Wal-Mart Stores I 0.34 -271.76 0.08 663.53 -1,963.53 -1,300.00 663.53 -1,963.54 140.0 -1,963.57 140.0 -1,963.57 140.0 -1,963.57 147,343.93 63,356.46 | CALLS 12-18-12 | 9- | SPDR S&P 500 Trus | 2.82 | -1,691.77 | 2.06 | -1,236.00 | 455.77 | 26.9 |
| -1,963.53 -1,300.00 663.53 -1,300.00 663.53 -1,300.00 663.53 -1,300.00 663.53 -1,300.00 663.53 -1,300.00 663.53 -1,300.00 663.53 | 11-30-12 | 8- | FEB/145 C 2013 Wal-Mart Stores I | 0.34 | -271.76 | 0.08 | -64.00 | 207.76 | 76.4 |
| 83,987.47 147,343.93 63,356.46 40°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°°° | | | MAN 77.3 C 2013 | | -1,963.53 | 1 | -1,300.00 | 663.53 | 33.8 |
| L86.48 | TOTAL PO | RTFOLIO | | | 83,987.47 | l | 147,343.93 | 63,356.46 | 75.4 |
| | CHECKING | . Acrová | | | 400 | | 400 | | |
| | | | | | 84, 3187 | | h h1 1/h1 | | |

Cullinan Associates, Inc.
REALIZED GAINS AND LOSSES
Gary M. Harris Family Charitable Trust (consolidated)
ACCOUNT # 504-55052-1-0
From 01-01-13 Through 12-31-13

| Loss | I ond Torm | Tong verm | | | | | | | 413.45 | 462.32 | | | | | | | | | | | | | |
|--------------|------------|------------|----------------------|----------------|----------------------|----------------|----------------------|----------------|-----------------------------|----------------------------|----------------------|----------------|----------------------|----------------|----------------------|---------------|-----------------------------|----------------------|---------------|----------------------|---------------|-----------------------------|--------|
| Gain Or Loss | Short Torm | Short reim | -894.16 | | -894.15 | | 0.00 | | | | -590.19 | | -524.19 | | 49.95 | | 271.76 | 30.91 | | 30.91 | | 24.95 | |
| i | Dronoode | T Occous | 563.92 | | 563.92 | | 563.92 | | 22,161.95 | 7,387.32 | 1,241.89 | | 963.89 | | 49.95 | | 271.76 | 65.95 | | 65.95 | | 24.95 | |
| | Cost | Dasis | 1,458.08 | | 1,458.07 | | 563.92 | | 21,748.50 | 6,925.00 | 1,832.08 | | 1,488.08 | | 0.00 | | 0.00 | 35.04 | | 35.04 | | 0.00 | |
| | | | -2 SPDR S&P 500 Trus | FEB/145 C 2013 | -2 SPDR S&P 500 Trus | FEB/145 C 2013 | -2 SPDR S&P 500 Trus | FEB/145 C 2013 | 150.0000 SPDR S&P 500 Trust | 50.0000 SPDR S&P 500 Trust | -2 SPDR S&P 500 Trus | MAY/148 C 2013 | -2 SPDR S&P 500 Trus | MAY/150 C 2013 | -1 iShares MSCI Emrg | MAR/47 C 2013 | -8 Wal-Mart MAR/77.5 C 2013 | -1 iShares MSCI EAFE | APR/59 C 2013 | -1 iShares MSCI EAFE | APR/59 C 2013 | -1 iShares MSCI Emrg APR/45 | C 2013 |
| | 41,400.0 | Cuantity | | | | | | | 150.00 | 50.00 | | | | | | | | | | | | | |
| | Close | מונים | 02-13-13 | | 02-13-13 | | 02-15-13 | | 02-15-13 | 02-15-13 | 03-14-13 | | 03-14-13 | | 03-16-13 | | 03-16-13 | 04-17-13 | | 04-17-13 | | 04-20-13 | |
| | Open | Date | 12-18-12 | | 12-18-12 | | 12-18-12 | | 02-26-07 | 06-02-08 | 02-13-13 | | 02-13-13 | | 01-02-13 | | 11-30-12 | 02-26-13 | | 02-26-13 | | 02-25-13 | |

Cullinan Associates, Inc.
REALIZED GAINS AND LOSSES
Gary M. Harris Family Charitable Trust (consolidated)
ACCOUNT # 504-55052-1-0
From 01-01-13 Through 12-31-13

| | | | | | ! | Gain Or Loss | r Loss |
|--------------|---------------|------------|---|---------------|----------|--------------|-----------|
| Open Date | Close Date | Quantity | Security | Cost Basis | Proceeds | Short Term | Long Term |
| 02-25-13 | 04-20-13 | | -1 IShares Russell 2 APR/92 C | 000 | 120 95 | 120.95 | |
| 2 2 20 | | • | 2013 |) | | | |
| 03-20-13 | 05-18-13 | -1 | iShares MSCI Emrg MAY/44 C 2013 | 0.00 | 25.95 | 25.95 | |
| 03-14-13 | 06-05-13 | -1 | SPDR S&P 500 Trus JUN/154 C 2013 | 832.04 | 505.94 | -326.09 | |
| 04-22-13 | 06-12-13 | -1 | IShares Russell 2 JUN/93 C 2013 | 470.04 | 122.95 | -347.09 | |
| 03-14-13 | 06-12-13 | -1 | SPDR S&P 500 Trus JUN/154 C 2013 | 913.04 | 505.94 | -407.09 | |
| 04-17-13 | 06-18-13 | -1 | iShares MSCI EAFE JUN/60 C 2013 | 130.04 | 97.95 | -32.09 | |
| 04-17-13 | 06-22-13 | -1 | iShares MSCI EAFE JUN/61 C 2013 | 0.00 | 59.95 | 59.95 | |
| 04-22-13 | 06-22-13 | -1 | iShares MSCI Emrg JUN/43 C 2013 | 0.00 | 46.95 | 46.95 | |
| 03-22-13 | 06-22-13 | ∞ - | -8 Wal-Mart JUN/77.5 C 2013 | 0.00 | 287.69 | 287.69 | |
| 05-21-13 | 07-20-13 | -1 | -1 iShares MSCI Emrg JUL/44.5 C 2013 | 0.00 | 47.95 | 47.95 | |
| 07-09-13 | 08-13-13 | -1 | iShares MSCI AUG/60 C 2013 | 220.04 | 46.02 | -174.02 | |

Gary M. Harris Family Charitable Trust (consolidated) ACCOUNT # 504-55052-1-0 From 01-01-13 Through 12-31-13 Cullinan Associates, Inc. REALIZED GAINS AND LOSSES

| Loss | Long Term | | | | | | | | | |
|--------------|-------------------|--------------------------|-----------------------------------|------------------------------|------------------------------------|----------------------|--|--------------------------|---|--|
| Gain Or Loss | Short Term | 26.95 | -462.09 | -2,056.19 | -494.97 | -432.97 | -54.09 | -183.09 | 329.53 -217.09 | 315.45 |
| 1 | Proceeds | 26.95 | 402.95 | 1,341.88 | 604.94 | 666.94 | 38.95 | 101.95 | 329.53 46.95 | 2,351.55 192.01 |
| | Cost Basis | 0.00 | 865.04 | 3,398.07 | 1,099.91 | 1,099.91 | 93.04 | 285.04 | 0.00 | 2,036.10 1,182.78 |
| | Quantity Security | -1 IShares MSCI AUG/41 C | 2013 -1 iShares Russe SEP/97 C | 2013 -2 SPDR S&P 500 Trus | SEVIS4 C 2013 -1 SPDR S&P 500 Trus | -1 SPDR S&P 500 Trus | SEV 100 C 2013 -1 IShares MSCI SEP/42 C 2013 | -1 iShares MSCI SEP/62 C | -8 Wal-Mart SEP/80 C 2013 -1 IShares MSCI Emer | 10.0000 SPDR S&P MidCap 400 ETF -4 Wal-Mart Stor DEC/77.5 C 2013 |
| | Close Date Qua | 08-17-13 | 09-17-13 | 09-17-13 | 09-17-13 | 09-17-13 | 09-19-13 | 09-19-13 | 09-21-13 10-16-13 | 10-28-13 11-25-13 |
| | Open Date | 07-11-13 | 06-12-13 | 03-14-13 | 06-05-13 | 06-12-13 | 07-24-13 | 06-18-13 | 06-26-13 08-20-13 | 02-20-13 10-09-13 |

Cullinan Associates, Inc.
REALIZED GAINS AND LOSSES
Gary M. Harris Family Charitable Trust (consolidated)
ACCOUNT # 504-55052-1-0
From 01-01-13 Through 12-31-13

| | | | | | • | Gain Or Loss | r Loss |
|---|---|---|--|-----------------------|-----------|--------------------------|-----------|
| Open Date | Close Date | Quantity | Security | Çost Basis | Proceeds | Short Term | Long Term |
| 08-13-13 | 11-26-13 | - 1 iS | -1 iShares MSCI DEC/62 C | 401.04 | 213.95 | -187.09 | |
| 09-17-13 | 12-04-13 | 20 -1 SI | 2013 SPDR S&P 500 JAN/161 C | 1,860.04 | 1,146.94 | -713.10 | |
| 06-02-08 | 12-04-13 | 20 100.0000 1 | 2014 100.0000 SPDR S&P 500 Trust | 13,850.00 | 17,920.68 | 712.10 | 4,070.68 |
| 09-17-13 | 12-16-13 | 2 2 7 | -1 SFDR S&F 500 JAN/161 C 2014 -1 SPDR S&P 500 JAN/166 C | 1,336.03 | 776.94 | -559.09 | |
| 09-17-13 | 12-16-13 | 1. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. | 2014 SPDR S&P 500 JAN/166 C | 1,336.04 | 776.94 | -559.10 | |
| 09-17-13 | | 2(-1 iS | 2014 -1 iShares Russe JAN/102 C | 971.04 | 612.94 | -358.10 | |
| 09-19-13 | 12-21-13 | 2(-1 IS | 2014 -1 IShares MSCI DEC/43.5 C | 0.00 | 126.95 | 126.95 | |
| TOTAL GAINS | AINS | 2, | 2013 | | · | 1,796.81 | 4,946.45 |
| TOTAL LOSSES 「東京本家園景家等 TOTAL REALIZ | TOTAL LOSSES [2] (文字) [3] [4] [4] [4] [4] [4] [4] [4] [4] [4] [4 | TOTAL LOSSES | -5 476 65 | 计图集[70:04717世] | 64,620:52 | -12,169.91 4700471719 | 0.00 |

Cullinan Associates, Inc.
UNREALIZED GAINS AND LOSSES
Gary M. Harris Family Charitable Trust (consolidated)
ACCOUNT # 504-55052-1-0
December 31, 2013

| Date | Quantity | Security | Unit Cost | Total Cost | Price | Market Value | Unrealized Gain/Loss |
|--------------|----------------------|------------------------------------|--------------|---------------|--------|-----------------|-------------------------|
| CASH AND | CASH AND EQUIVALENTS | PRINCIPAL CASH | | 43,941.35 | i | 43,941.35 | |
| | | | | 43,941.35 | ļ | 43,941.35 | |
| COMMON STOCK | STOCK | | | | | · | 1 |
| 07-05-11 | 200.0000 | IShares MSCI Emerging Mets FTF | 46.17 | 9,234.38 | 41.79 | 8,359.00 | -875.38 |
| 11-03-09 | 300.0000 | SPDR S&P 500 Trust | 124.01 | 37,204.00 | 184.69 | 55,407.00 | 18,203.00 |
| 04-12-96 | 900.000 | Wal-Mart Stores Inc | 5.14 | 4,622.16 | 69.82 | 70,821.00 | 66,198.84 |
| 02-20-13 | 200.0000 | iShares MSCI EAFE | 59.07 | 11,815.00 | 62.09 | 13,419.00 | 1,604.00 |
| 02-20-13 | 100.0000 | E1F iShares Russell 2000 ETF | 92.25 | 9,225.00 | 115.36 | 11,536.00 | 2,311.00 |
| | | | | 72,100.54 | | 159,542.00 | 87,441.46 |
| CALLS | | | | | | | |
| 12-30-13 | -1 | IShares MSCI FEB/42.5 C 2014 | 0.58 | -57.95 | 0.82 | -82.00 | -24.05 |
| 10-16-13 | -1 | IShares MSCI MAR/44 C 2014 | 1.64 | -1,63.95 | 0.54 | -54.00 | 109.95 |
| 12-04-13 | 7 | SPDR S&P 500 JUN/175 C 2014 | 9.35 | -934.94 | 12.72 | -1,272.00 | -337.06 |
| 12-16-13 | -2 | SPDR S&P 500 MAR/179 C 2014 | 4.57 | -913.91 | 7.85 | -1,570.00 | -656.09 |
| 11-25-13 | 4 | Wal-Mart Stor FEB/82.5 C 2014 | 0.93 | -371.85 | 0.27 | -108.00 | 263.85 |
| 10-22-13 | 4 | Wal-Mart Stor JAN/80 | 0.44 | -1,77.45 | 0.22 | -88.00 | 89.45 |

48.9 1,432.2 13.6

-9.5

121.3

25.1

-41.5

67.1

-71.8

-36.1

71.0

50.4

Cullinan Associates, Inc.
UNREALIZED GAINS AND LOSSES
Gary M. Harris Family Charitable Trust (consolidated)
ACCOUNT # 504-55052-1-0
December 31, 2013

| Date | Quantity | Security | Unit | Total Cost | Price | Market Value | Unrealized Gain/Loss | 7/5 % |
|-----------------|----------|-------------------------------|------|---------------|-------|-----------------|-------------------------|----------|
| 09-19-13 | 1 | -1 iShares MSCI JAN/64 | 2.47 | -246.95 | 3.21 | -321.00 | -74.05 | -30.0 |
| 11-26-13 | ı | C 2014 1 iShares MSCI | 2.31 | -231.41 | 3.10 | -310.00 | -78.59 | -34.0 |
| 12-17-13 | • | MAK/65 C 2014 1 iShares Russe | 5.10 | 56.605- | 7.50 | -750.00 | -240.05 | -47.1 |
| | | MAY/111 C 2014 | | -3,608.36 | | -4,555.00 | -946.64 | -26.2 |
| TOTAL PORTFOLIO | TFOLIO | checlina Acet | | 112,433.53 | | 198,928.35 | 86,494.82 | 76.9 |
| | | | | 11275853 | 71 | 199253.35 | ار ما | |