Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052 **2013** Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: THE JULIA CARELL STADLER FOUNDATION

A Employer identification number: 62-6314877

Number and street (or P O box number if mail is not delivered to street address): 4432 TYNE BOULEVARD | Room/suite

B Telephone number: (615) 385-1038

City or town, state or province, country, and ZIP or foreign postal code: NASHVILLE, TN 37215

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [X] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 3,924,265.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	313.	313.		STATEMENT 1
	4 Dividends and interest from securities	85,814.	85,814.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	343,878.			
	b Gross sales price for all assets on line 6a 2,876,412.				
	7 Capital gain net income (from Part IV, line 2)		343,878.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	430,005.	430,005.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	2,015.	0.		2,015.
	c Other professional fees STMT 4	11,927.	11,927.		0.
	17 Interest				
	18 Taxes STMT 5	2,224.	2,224.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	16,166.	14,151.		2,015.
	25 Contributions, gifts, grants paid	263,042.			263,042.
	26 **Total expenses and disbursements.** Add lines 24 and 25	279,208.	14,151.		265,057.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	150,797.			
	b **Net investment income** (if negative, enter -0-)		415,854.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	154,091.	248,214.	248,214.
	3 Accounts receivable ▶ 42.			
	Less: allowance for doubtful accounts ▶	601.	42.	42.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	1,526,306.	2,137,765.	2,548,997.
	c Investments - corporate bonds STMT 7	1,589,706.	925,480.	923,812.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	90,000.	200,000.	203,200.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	3,360,704.	3,511,501.	3,924,265.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	3,360,704.	3,511,501.	
	30 **Total net assets or fund balances**	3,360,704.	3,511,501.	
	31 **Total liabilities and net assets/fund balances**	3,360,704.	3,511,501.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,360,704.
2 Enter amount from Part I, line 27a	2	150,797.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,511,501.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,511,501.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	2,876,412.		2,532,534.	343,878.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				343,878.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	343,878.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	}	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	255,779.	3,622,914.	.070600
2011	399,137.	3,495,881.	.114174
2010	153,467.	3,553,631.	.043186
2009	110,742.	3,500,917.	.031632
2008	235,567.	3,910,257.	.060243

2 Total of line 1, column (d)	2	.319835
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.063967
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	3,670,597.
5 Multiply line 4 by line 3	5	234,797.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,159.
7 Add lines 5 and 6	7	238,956.
8 Enter qualifying distributions from Part XII, line 4	8	265,057.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	4,159.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	4,159.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	4,159.
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a 1,560.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	1,560.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	2,599.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TN			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ LATTIMORE, BLACK, MORGAN & CAIN PC Telephone no. ▶ (615) 377-4600

Located at ▶ 5250 VIRGINIA WAY, BRENTWOOD, TN ZIP+4 ▶ 37027

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JULIA CARELL STADLER 4432 TYNE BOULEVARD NASHVILLE, TN 37215	CHAIRMAN 4.00	0.	0.	0.
GEORGE B. STADLER 4432 TYNE BOULEVARD NASHVILLE, TN 37215	MEMBER OF BOARD 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	0.
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	3,414,368.
b	Average of monthly cash balances		1b	312,126.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	3,726,494.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	3,726,494.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	55,897.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	3,670,597.
6	**Minimum investment return.** Enter 5% of line 5		6	183,530.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	183,530.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 4,159.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	4,159.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	179,371.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	179,371.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	179,371.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	265,057.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	265,057.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	4,159.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	260,898.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				179,371.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011 177,412.				
e From 2012 77,679.				
f Total of lines 3a through e	255,091.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 265,057.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				179,371.
e Remaining amount distributed out of corpus	85,686.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	340,777.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	340,777.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011 177,412.				
d Excess from 2012 77,679.				
e Excess from 2013 85,686.				

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10-10-13

Form **990-PF** (2013)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

JULIA CARELL STADLER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CATHEDRAL OF THE INCARNATION 2015 W END AVE NASHVILLE, TN 37203	NONE	PUBLIC CHARITY	TO FURTHER CHARITABLE PURPOSE ;LISTTOTAL 2940	2,500.
CHEEKWOOD 1200 FORREST PARK DRIVE NASHVILLE, TN 37205	NONE	PUBLIC CHARITY	TO FURTHER CHARITABLE PURPOSE ;LISTTOTAL 157250	163,500.
ENSWORTH SCHOOL 211 ENSWORTH PLACE NASHVILLE, TN 37205	NONE	PUBLIC CHARITY	TO FURTHER CHARITABLE PURPOSE ;LISTTOTAL 15000	5,000.
FRIENDS OF WARNER PARK 50 VAUGHN ROAD NASHVILLE, TN 37221	NONE	PUBLIC CHARITY	TO FURTHER CHARITABLE PURPOSE	1,700.
FRIST CENTER FOR VISUAL ARTS 919 BROADWAY NASHVILLE, TN 372033822	NONE	PUBLIC CHARITY	TO FURTHER CHARITABLE PURPOSE	11,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	263,042.
b Approved for future payment NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	313.	
4 Dividends and interest from securities			14	85,814.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	343,878.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		430,005.	0.
13 **Total.** Add line 12, columns (b), (d), and (e)				13	430,005.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Julia Stadler

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MELISSA B. COTHRAN	Melissa B Cothran CPA	05/08/14		P00368963

Firm's name ▶ LATTIMORE BLACK MORGAN & CAIN, P.C. — Firm's EIN ▶ 62-1199757

Firm's address ▶ P.O. BOX 1869, BRENTWOOD, TN 37024-1869 — Phone no (615)377-4600

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GOLDMAN SACHS #52616-7 (SEE ATTACHMENT 1)	P	VARIOUS	VARIOUS
b	GOLDMAN SACHS #52616-7 (SEE ATTACHMENT 1)	P	VARIOUS	VARIOUS
c	GOLDMAN SACHS #52617-5 (SEE ATTACHMENT 2)	P	VARIOUS	VARIOUS
d	GOLDMAN SACHS #52617-5 (SEE ATTACHMENT 2)	P	VARIOUS	VARIOUS
e	GOLDMAN SACHS #52618-3 (SEE ATTACHMENT 3)	P	VARIOUS	VARIOUS
f	GOLDMAN SACHS #52618-3 (SEE ATTACHMENT 3)	P	VARIOUS	VARIOUS
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	256,482.		226,944.	29,538.
b	151,459.		120,345.	31,114.
c	92,299.		83,577.	8,722.
d	292,205.		209,348.	82,857.
e	376,986.		371,634.	5,352.
f	1,688,421.		1,520,686.	167,735.
g	18,560.			18,560.
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				29,538.
b				31,114.
c				8,722.
d				82,857.
e				5,352.
f				167,735.
g				18,560.
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	343,878.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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05-01-13

Part XV | Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOLLINS UNIVERSITY PO BOX 9707 ROANOKE, VA 24020	NONE	PUBLIC CHARITY	TO FURTHER CHARITABLE PURPOSE	1,842.
MERCY MINISTRIES P.O. BOX 111060 NASHVILLE, TN 37222	NONE	PUBLIC CHARITY	TO FURTHER CHARITABLE PURPOSE	50,000.
MIDDLE TENNESSEE COUNCIL-BOY SCOUTS 3414 HILLSBORO RD NASHVILLE, TN 37215	NONE	PUBLIC CHARITY	TO FURTHER CHARITABLE PURPOSE	10,000.
MONROE CARELL JR. CHILDREN'S HOSPITAL 2200 CHILDREN'S WAY NASHVILLE, TN 37232	NONE	PUBLIC CHARITY	TO FURTHER CHARITABLE PURPOSE	5,000.
NASHVILLE ZOO AT GRASSMERE 3777 NOLENSVILLE RD NASHVILLE, TN 37211	NONE	PUBLIC CHARITY	TO FURTHER CHARITABLE PURPOSE	1,000.
THE BROWN CENTER FOR AUTISM 2702 GREYSTONE ROAD NASHVILLE, TN 37204	NONE	PUBLIC CHARITY	TO FURTHER CHARITABLE PURPOSE	10,000.
JUNIOR ACHIEVEMENT OF MIDDLE TENNESSEE 120 POWELL PLACE NASHVILLE, TN 37204	NONE	PUBLIC CHARITY	TO FURTHER CHARITABLE PURPOSE	1,000.
HORTICULTURAL SOCIETY OF MIDDLE TENNESSEE NASHVILLE, TN 37205	NONE	PUBLIC CHARITY	TO FURTHER CHARITABLE PURPOSE	500.
Total from continuation sheets				79,342.

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05-01-13

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS 52616-7	13.	13.	
GOLDMAN SACHS 52617-5	8.	8.	
GOLDMAN SACHS 52618-3	282.	282.	
GOLDMAN SACHS 52619-1	10.	10.	
TOTAL TO PART I, LINE 3	313.	313.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS 52616-7	8,413.	98.	8,315.	8,315.	
GOLDMAN SACHS 52617-5	4,560.	0.	4,560.	4,560.	
GOLDMAN SACHS 52618-3	91,401.	18,462.	72,939.	72,939.	
TO PART I, LINE 4	104,374.	18,560.	85,814.	85,814.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,015.	0.		2,015.
TO FORM 990-PF, PG 1, LN 16B	2,015.	0.		2,015.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES - GOLDMAN SACHS	11,927.	11,927.		0.
TO FORM 990-PF, PG 1, LN 16C	11,927.	11,927.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES - GOLDMAN SACHS	892.	892.		0.
990-F ESTIMATED TAXES	1,332.	1,332.		0.
TO FORM 990-PF, PG 1, LN 18	2,224.	2,224.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS ACCT# 52616-7	378,675.	475,796.
GOLDMAN SACHS ACCT# 52617-5	330,266.	432,770.
GOLDMAN SACHS ACCT# 52618-3	1,428,824.	1,640,431.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,137,765.	2,548,997.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS ACCT# 52618-3	925,480.	923,812.
TOTAL TO FORM 990-PF, PART II, LINE 10C	925,480.	923,812.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS ACCT# 52618-3	COST	200,000.	203,200.
TOTAL TO FORM 990-PF, PART II, LINE 13		200,000.	203,200.

THE JULIA CARELL STADLER FOUNDATION
EIN: 62-6314877
CAPITAL GAINS AND LOSSES - ATTACHMENT 1

Tax Year	Account No	Legal Name
2013	003-52616-7	THE JULIA CARELL STADLER

REALIZED GAINS AND LOSSES

Supplemental Information Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
VARIAN MEDICAL SYSTEMS INC CMN (92220P105)	06/06/2012	01/02/2013	8 00	574 87	0 00	473 11	101 76
VARIAN MEDICAL SYSTEMS INC CMN (92220P105)	05/02/2012	01/02/2013	34 00	2,443 18	0 00	2,196 12	247 06
JOHNSON & JOHNSON CMN (478160104)	03/05/2012	01/03/2013	28 00	1,976 77	0 00	1,810 72	166 05
CHEVRON CORPORATION CMN (166764100)	06/19/2012	01/04/2013	59 00	6,500 03	0 00	6,141 07	358 96
VARIAN MEDICAL SYSTEMS INC CMN (92220P105)	06/06/2012	01/07/2013	16 00	1,176 53	0 00	946 21	230 32
NORTHEAST UTILITIES CMN (664397106)	05/08/2012	01/10/2013	44 00	1,721 83	0 00	1,588 60	133 23
TRANSOCEAN LTD CMN (H8817H100)	06/21/2012	01/14/2013	12 00	661 31	0 00	537 82	123 49
J M SMUCKER CO CMN (832696405)	03/28/2012	01/15/2013	39 00	3,448 68	0 00	3,153 92	294 76
TRANSOCEAN LTD CMN (H8817H100)	06/21/2012	01/16/2013	7 00	385 79	0 00	313 73	72 06
TRANSOCEAN LTD CMN (H8817H100)	06/21/2012	01/17/2013	32 00	1,764 51	0 00	1,434 17	330 34
MYLAN INC CMN (628530107)	06/05/2012	01/18/2013	52 00	1,455 36	0 00	1,083 69	371 67
MYLAN INC CMN (628530107)	02/28/2012	01/18/2013	54 00	1,511 33	0 00	1,253 49	257 84
AMERICAN ELECTRIC POWER INC CMN (025537101)	02/23/2012	01/29/2013	30 00	1,351 45	0 00	1,144 91	206 54
CISCO SYSTEMS, INC CMN (17275R102)	07/31/2012	01/29/2013	177 00	3,673 07	0 00	2,831 44	841 63
CELGENE CORPORATION CMN (151020104)	04/26/2012	02/01/2013	2 00	203 06	0 00	148 65	54 41
CELGENE CORPORATION CMN (151020104)	06/04/2012	02/01/2013	38 00	3,858 08	0 00	2,477 48	1,380 60

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
AMERICAN ELECTRIC POWER INC CMN (025537101)	06/05/2012	02/05/2013	19 00	854 96	0 00	736 01	118 95
AMERICAN ELECTRIC POWER INC CMN (025537101)	02/23/2012	02/05/2013	52 00	2,339 89	0 00	1,984 51	355 38
AETNA INC CMN (00817Y108)	10/12/2012	02/07/2013	19 00	933 06	0 00	829 69	103 37
ALTERA CORP CMN (021441100)	02/29/2012	02/07/2013	35 00	1,202 92	0 00	1,343 55	(140 63)
AMERICAN INTL GROUP, INC CMN (026874784)	09/11/2012	02/07/2013	43 00	1,643 85	0 00	1,406 75	237 10
ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (03524A108)	05/01/2012	02/07/2013	10 00	866 58	0 00	732 42	134 16
AT&T INC CMN (00206R102)	04/23/2012	02/07/2013	35 00	1,231 97	0 00	1,074 09	157 88
AT&T INC CMN (00206R102)	04/23/2012	02/07/2013	42 00	1,478 36	0 00	1,290 73	187 63
BARD C R INC N J CMN (067383109)	12/26/2012	02/07/2013	12 00	1,213 53	0 00	1,160 40	53 13
BED BATH & BEYOND INC CMN (075896100)	09/28/2012	02/07/2013	21 00	1,235 61	0 00	1,322 98	(87 37)
BP P L C SPONSORED ADR CMN (055622104)	01/04/2013	02/07/2013	22 00	950 37	0 00	960 05	(9 68)
CF INDUSTRIES HOLDINGS, INC CMN (125269100)	01/15/2013	02/07/2013	6 00	1,348 94	0 00	1,304 05	44 89
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	08/20/2012	02/07/2013	3 00	948 63	0 00	893 41	55 22
CISCO SYSTEMS, INC CMN (17275R102)	07/31/2012	02/07/2013	25 00	526 55	0 00	399 92	126 63
CISCO SYSTEMS, INC CMN (17275R102)	10/12/2012	02/07/2013	70 00	1,474 34	0 00	1,289 35	184 99
CITIGROUP INC CMN (172967424)	10/25/2012	02/07/2013	32 00	1,361 88	0 00	1,194 58	167 30
COMERICA INCORPORATED CMN (200340107)	02/05/2013	02/07/2013	22 00	770 42	0 00	765 43	4 99
DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CMN (25243Q205)	03/08/2012	02/07/2013	7 00	823 60	0 00	673 52	150 08
DIRECTV CMN (25490A309)	06/22/2012	02/07/2013	23 00	1,195 97	0 00	1,087 21	108 76
DISH NETWORK CORPORATION CMN CLASS A (25470M109)	11/19/2012	02/07/2013	19 00	696 14	0 00	664 93	31 21
DUKE ENERGY CORPORATION CMN (26441C204)	06/04/2012	02/07/2013	18 00	1,237 66	0 00	1,213 51	24 15
EASTMAN CHEM CO CMN (277432100)	06/07/2012	02/07/2013	13 00	933 76	0 00	615 36	318 40
ELI LILLY & CO CMN (532457108)	11/19/2012	02/07/2013	23 00	1,228 17	0 00	1,079 64	148 53
EXELON CORPORATION CMN (30161N101)	11/28/2012	02/07/2013	15 00	472 48	0 00	444 69	27 79
GENERAL DYNAMICS CORP CMN (369550108)	09/27/2012	02/07/2013	14 00	930 41	0 00	930 06	0 35

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Goldman Sachs

Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
GENERAL MOTORS COMPANY CMN (37045V100)	09/10/2012	02/07/2013	50 00	1,416 97	0 00	1,149 21	267 76
GOOGLE, INC CMN CLASS A (38259P508)	06/06/2012	02/07/2013	2 00	1,536 80	0 00	1,154 92	381 88
INTERCONTINENTALEXCHANGE INC CMN (45865V100)	12/20/2012	02/07/2013	4 00	588 82	0 00	504 94	83 88
J M SMUCKER CO CMN (832696405)	03/28/2012	02/07/2013	6 00	531 40	0 00	485 22	46 18
JOHNSON & JOHNSON CMN (478160104)	03/05/2012	02/07/2013	10 00	748 48	0 00	646 69	101 79
LAM RESEARCH CORP CMN (512807108)	03/07/2012	02/07/2013	39 00	1,650 83	0 00	1,566 70	84 13
LOWES COMPANIES INC CMN (548661107)	02/24/2012	02/07/2013	32 00	1,241 25	0 00	867 14	374 11
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	12/05/2012	02/07/2013	12 00	726 82	0 00	602 97	123 85
MASCO CORPORATION CMN (574599106)	02/24/2012	02/07/2013	65 00	1,167 37	0 00	764 02	403 35
MGM RESORTS INTERNATIONAL CMN (552953101)	02/28/2012	02/07/2013	39 00	502 69	0 00	555 56	(52 87)
MGM RESORTS INTERNATIONAL CMN (552953101)	02/28/2012	02/07/2013	44 00	567 15	0 00	613 26	(46 11)
MONDELEZ INTERNATIONAL, INC CMN (609207105)	10/02/2012	02/07/2013	33 00	917 70	0 00	912 45	5 25
NORFOLK SOUTHERN CORPORATION CMN (655844108)	01/22/2013	02/07/2013	7 00	478 64	0 00	468 23	10 41
NORTHEAST UTILITIES CMN (664397106)	05/08/2012	02/07/2013	23 00	945 96	0 00	830 40	115 56
P G & E CORPORATION CMN (69331C108)	01/14/2013	02/07/2013	12 00	511 54	0 00	491 59	19 95
PHILIP MORRIS INTL INC CMN (718172109)	02/28/2012	02/07/2013	17 00	1,512 28	0 00	1,422 54	89 74
SOUTHWESTERN ENERGY CO CMN (845467109)	11/21/2012	02/07/2013	33 00	1,141 44	0 00	1,192 98	(51 54)
TRANSOCEAN LTD CMN (H8817H100)	06/21/2012	02/07/2013	2 00	112 50	0 00	89 64	22 86
TRANSOCEAN LTD CMN (H8817H100)	08/07/2012	02/07/2013	16 00	899 98	0 00	786 14	113 84
URBAN OUTFITTERS INC CMN (917047102)	07/17/2012	02/07/2013	22 00	947 29	0 00	671 83	275 46
VERTEX PHARMACEUTICALS INC CMN (92532F100)	03/01/2012	02/07/2013	21 00	949 59	0 00	822 54	127 05
VIACOM INC CMN CLASS B (92553P201)	09/10/2012	02/07/2013	20 00	1,172 77	0 00	1,015 39	157 38
WALGREEN CO CMN (931422109)	07/23/2012	02/07/2013	51 00	2,103 19	0 00	1,733 62	369 57
WASTE MANAGEMENT INC CMN (94106L109)	11/07/2012	02/07/2013	14 00	508 04	0 00	448 84	59 20
MASCO CORPORATION CMN (574599106)	02/24/2012	02/12/2013	99 00	1,972 36	0 00	1,163 66	808 70

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
DIRECTV CMN (25490A309)	06/22/2012	02/13/2013	30 00	1,551 53	0 00	1,418 10	133 43
URBAN OUTFITTERS INC CMN (917047102)	07/17/2012	02/19/2013	84 00	3,508 46	0 00	2,565 17	943 29
NORTHEAST UTILITIES CMN (664397106)	05/08/2012	02/21/2013	22 00	901 48	0 00	794 30	107 18
NORTHEAST UTILITIES CMN (664397106)	09/14/2012	02/21/2013	70 00	2,868 34	0 00	2,646 55	221 79
P G & E CORPORATION CMN (69331C108)	01/14/2013	02/21/2013	46 00	1,925 74	0 00	1,884 43	41 31
XCEL ENERGY INC CMN (98389B100)	06/05/2012	02/21/2013	25 00	701 67	0 00	706 15	(4 48)
XCEL ENERGY INC CMN (98389B100)	04/11/2012	02/21/2013	100 00	2,806 68	0 00	2,604 85	201 83
LAM RESEARCH CORP CMN (512807108)	03/07/2012	02/22/2013	1 00	41 73	0 00	40 17	1 56
LAM RESEARCH CORP CMN (512807108)	03/08/2012	02/22/2013	36 00	1,502 38	0 00	1,468 12	34 26
J M SMUCKER CO CMN (832696405)	03/28/2012	02/25/2013	10 00	924 62	0 00	808 70	115 92
J M SMUCKER CO CMN (832696405)	04/09/2012	02/25/2013	14 00	1,294 46	0 00	1,131 40	163 06
OCCIDENTAL PETROLEUM CORP CMN (674599105)	06/20/2012	02/25/2013	4 00	330 86	0 00	338 77	(7 91)
TRANSOCEAN LTD CMN (H8817H100)	10/04/2012	03/05/2013	15 00	788 98	0 00	692 92	96 06
TRANSOCEAN LTD CMN (H8817H100)	08/07/2012	03/05/2013	15 00	788 98	0 00	737 00	51 98
DISH NETWORK CORPORATION CMN CLASS A (25470M109)	11/19/2012	03/12/2013	75 00	2,613 42	0 00	2,624 74	(11 32)
TRANSOCEAN LTD CMN (H8817H100)	10/04/2012	03/14/2013	38 00	2,035 94	0 00	1,755 40	280 54
WALGREEN CO CMN (931422109)	07/23/2012	03/26/2013	74 00	3,500 87	0 00	2,515 45	985 42
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	12/26/2012	04/11/2013	1 00	338 64	0 00	289 72	48 92
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	08/20/2012	04/11/2013	8 00	2,709 10	0 00	2,382 42	326 68
GENERAL MOTORS COMPANY CMN (37045V100)	09/10/2012	04/11/2013	94 00	2,750 08	0 00	2,160 51	589 57
GENERAL MOTORS COMPANY CMN (37045V100)	10/03/2012	04/11/2013	97 00	2,837 84	0 00	2,342 01	495 83
DUKE ENERGY CORPORATION CMN (26441C204)	11/19/2012	04/12/2013	28 00	2,042 61	0 00	1,704 08	338 53
DUKE ENERGY CORPORATION CMN (26441C204)	02/21/2013	04/12/2013	31 00	2,261 46	0 00	2,135 18	126 28
DUKE ENERGY CORPORATION CMN (26441C204)	06/04/2012	04/12/2013	41 00	2,990 97	0 00	2,764 10	226 87
AT&T INC CMN (00206R102)	11/14/2012	04/18/2013	6 00	227 16	0 00	205 09	22 07

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
AT&T INC CMN (00206R102)	04/23/2012	04/18/2013	37 00	1,400 86	0 00	1,135 47	265 39
CF INDUSTRIES HOLDINGS, INC CMN (125269100)	01/29/2013	04/18/2013	2 00	356 27	0 00	457 48	(101 21)
CF INDUSTRIES HOLDINGS, INC CMN (125269100)	01/15/2013	04/18/2013	10 00	1,781 38	0 00	2,173 42	(392 04)
NORFOLK SOUTHERN CORPORATION CMN (655844108)	01/22/2013	04/18/2013	29 00	2,138 71	0 00	1,939 83	198 88
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	12/26/2012	04/19/2013	5 00	1,800 78	0 00	1,448 61	352 17
VERTEX PHARMACEUTICALS INC CMN (92532F100)	05/31/2012	04/19/2013	16 00	1,314 72	0 00	960 83	353 89
AT&T INC CMN (00206R102)	11/14/2012	04/24/2013	49 00	1,803 70	0 00	1,674 92	128 78
BED BATH & BEYOND INC CMN (075896100)	09/28/2012	04/24/2013	22 00	1,472 04	0 00	1,385 97	86 07
VERTEX PHARMACEUTICALS INC CMN (92532F100)	05/31/2012	04/24/2013	1 00	80 02	0 00	60 05	19 97
VERTEX PHARMACEUTICALS INC CMN (92532F100)	11/19/2012	04/24/2013	12 00	960 29	0 00	496 71	463 58
VERTEX PHARMACEUTICALS INC CMN (92532F100)	11/20/2012	04/24/2013	12 00	960 30	0 00	503 51	456 79
DIRECTV CMN (25490A309)	06/22/2012	04/26/2013	13 00	732 70	0 00	614 51	118 19
DIRECTV CMN (25490A309)	11/06/2012	04/26/2013	44 00	2,479 92	0 00	2,221 68	258 24
AETNA INC CMN (00817Y108)	12/26/2012	04/30/2013	13 00	753 15	0 00	608 05	145 10
AETNA INC CMN (00817Y108)	10/12/2012	04/30/2013	34 00	1,969 76	0 00	1,484 71	485 05
VIACOM INC CMN CLASS B (92553P201)	09/10/2012	04/30/2013	3 00	192 84	0 00	152 31	40 53
VIACOM INC CMN CLASS B (92553P201)	09/11/2012	04/30/2013	23 00	1,478 47	0 00	1,165 95	312 52
JOHNSON & JOHNSON CMN (478160104)	03/26/2013	05/01/2013	23 00	1,955 55	0 00	1,857 24	98 31
VIACOM INC CMN CLASS B (92553P201)	02/04/2013	05/01/2013	7 00	462 55	0 00	416 95	45 60
VIACOM INC CMN CLASS B (92553P201)	09/11/2012	05/01/2013	20 00	1,321 56	0 00	1,013 87	307 69
MASCO CORPORATION CMN (574599106)	06/08/2012	05/10/2013	133 00	2,826 62	0 00	1,761 49	1,065 13
WALGREEN CO CMN (931422109)	08/20/2012	05/10/2013	11 00	533 96	0 00	394 59	139 37
WALGREEN CO CMN (931422109)	07/23/2012	05/10/2013	30 00	1,456 24	0 00	1,019 78	436 46
CF INDUSTRIES HOLDINGS, INC CMN (125269100)	03/04/2013	05/15/2013	1 00	189 53	0 00	200 61	(11 08)
CF INDUSTRIES HOLDINGS, INC CMN (125269100)	01/29/2013	05/15/2013	10 00	1,895 30	0 00	2,287 42	(392 12)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
INTERCONTINENTALEXCHANGE INC CMN (45865V100)	12/20/2012	05/28/2013	14 00	2,371 82	0 00	1,767 29	604 53
LAM RESEARCH CORP CMN (512807108)	06/05/2012	05/30/2013	39 00	1,855 96	0 00	1,433 93	422 03
DTE ENERGY COMPANY CMN (233331107)	02/21/2013	06/03/2013	17 00	1,135 67	0 00	1,098 83	36 84
BED BATH & BEYOND INC CMN (075896100)	11/23/2012	06/06/2013	18 00	1,227 72	0 00	1,080 51	147 21
BED BATH & BEYOND INC CMN (075896100)	10/17/2012	06/06/2013	44 00	3,001 08	0 00	2,717 38	283 70
LOWES COMPANIES INC CMN (548661107)	06/06/2012	06/06/2013	1 00	39 96	0 00	27 43	12 53
OCCIDENTAL PETROLEUM CORP CMN (674599105)	12/06/2012	06/06/2013	3 00	276 78	0 00	221 91	54 87
OCCIDENTAL PETROLEUM CORP CMN (674599105)	06/20/2012	06/06/2013	15 00	1,383 90	0 00	1,270 37	113 53
OCCIDENTAL PETROLEUM CORP CMN (674599105)	12/07/2012	06/06/2013	29 00	2,675 55	0 00	2,184 52	491 03
AT&T INC CMN (00206R102)	01/29/2013	06/17/2013	13 00	462 79	0 00	452 48	10 31
AT&T INC CMN (00206R102)	11/14/2012	06/17/2013	36 00	1,281 59	0 00	1,230 55	51 04
P G & E CORPORATION CMN (69331C108)	04/17/2013	06/18/2013	36 00	1,640 94	0 00	1,688 50	(47 56)
BARD C R INC N J CMN (067383109)	12/26/2012	06/26/2013	9 00	977 92	0 00	870 30	107 62
CF INDUSTRIES HOLDINGS, INC CMN (125269100)	03/04/2013	06/26/2013	7 00	1,232 02	0 00	1,404 25	(172 23)
SPRINT CORPORATION CMN (852061100)	04/17/2013	06/26/2013	344 00	2,398 40	0 00	2,505 19	(106 79)
WALGREEN CO CMN (931422109)	01/10/2013	06/27/2013	23 00	1,032 67	0 00	888 72	143 95
WALGREEN CO CMN (931422109)	08/20/2012	06/27/2013	28 00	1,257 16	0 00	1,004 40	252 76
MALLINCKRODT PUBLIC LIMITED CO CMN (G5785G107)	04/17/2013	07/01/2013	0 50	22 77	0 00	0 00	22 77
GENERAL DYNAMICS CORP CMN (369550108)	09/27/2012	07/02/2013	54 00	4,214 30	0 00	3,587 39	626 91
AETNA INC CMN (00817Y108)	02/08/2013	07/05/2013	6 00	374 91	0 00	304 76	70 15
AETNA INC CMN (00817Y108)	12/26/2012	07/05/2013	32 00	1,999 56	0 00	1,496 73	502 83
DTE ENERGY COMPANY CMN (233331107)	02/21/2013	07/08/2013	75 00	4,976 81	0 00	4,847 80	129 01
AETNA INC CMN (00817Y108)	02/08/2013	07/11/2013	34 00	2,196 47	0 00	1,726 99	469 48
AT&T INC CMN (00206R102)	01/29/2013	07/15/2013	57 00	2,025 98	0 00	1,983 97	42 01
AT&T INC CMN (00206R102)	02/05/2013	07/24/2013	105 00	3,702 90	0 00	3,725 31	(22 41)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
EXELON CORPORATION CMN (30161N101)	03/11/2013	07/25/2013	15 00	469 50	0 00	483 47	(13 97)
EXELON CORPORATION CMN (30161N101)	11/28/2012	07/25/2013	60 00	1,877 99	0 00	1,778 75	99 24
WALGREEN CO CMN (931422109)	01/10/2013	07/30/2013	30 00	1,500 82	0 00	1,159 20	341 62
WALGREEN CO CMN (931422109)	03/05/2013	07/30/2013	45 00	2,251 24	0 00	1,822 43	428 81
MALLINCKRODT PUBLIC LIMITED CO CMN (G5785G107)	04/29/2013	08/01/2013	3 00	143 16	0 00	149 11	(5 95)
MALLINCKRODT PUBLIC LIMITED CO CMN (G5785G107)	06/27/2013	08/01/2013	4 00	190 88	0 00	192 11	(1 23)
MALLINCKRODT PUBLIC LIMITED CO CMN (G5785G107)	04/17/2013	08/01/2013	6 00	286 32	0 00	266 20	20 12
MGM RESORTS INTERNATIONAL CMN (552953101)	09/14/2012	08/20/2013	2 00	34 27	0 00	23 31	10 96
MONDELEZ INTERNATIONAL, INC CMN (609207105)	10/02/2012	08/20/2013	59 00	1,820 61	0 00	1,631 35	189 26
CONOCOPHILLIPS CMN (20825C104)	02/25/2013	09/13/2013	5 00	346 38	0 00	288 59	57 79
CONOCOPHILLIPS CMN (20825C104)	02/21/2013	09/13/2013	34 00	2,355 39	0 00	1,960 19	395 20
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	12/05/2012	09/13/2013	42 00	2,968 32	0 00	2,110 41	857 91
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	12/05/2012	09/19/2013	1 00	74 05	0 00	50 25	23 80
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	05/20/2013	09/19/2013	25 00	1,851 15	0 00	1,678 15	173 00
ALTERA CORP CMN (021441100)	10/26/2012	09/24/2013	31 00	1,161 56	0 00	941 05	220 51
ORACLE CORPORATION CMN (68389X105)	04/15/2013	09/30/2013	43 00	1,433 03	0 00	1,436 06	(3 03)
CONOCOPHILLIPS CMN (20825C104)	02/25/2013	10/09/2013	12 00	839 21	0 00	692 62	146 59
CONOCOPHILLIPS CMN (20825C104)	07/16/2013	10/09/2013	20 00	1,398 68	0 00	1,303 40	95 28
ARIAD PHARMACEUTICALS INC CMN (04033A100)	05/24/2013	10/18/2013	53 00	148 27	0 00	1,030 14	(881 87)
ARIAD PHARMACEUTICALS INC CMN (04033A100)	05/23/2013	10/18/2013	65 00	181 84	0 00	1,246 11	(1,064 27)
ARIAD PHARMACEUTICALS INC CMN (04033A100)	06/26/2013	10/18/2013	67 00	187 44	0 00	1,188 73	(1,001 29)
ARIAD PHARMACEUTICALS INC CMN (04033A100)	10/10/2013	10/18/2013	158 00	442 01	0 00	895 84	(453 83)
CBS CORPORATION CMN CLASS B (124857202)	10/19/2012	10/18/2013	33 00	1,950 58	0 00	1,104 07	846 51
EXELON CORPORATION CMN (30161N101)	03/11/2013	10/18/2013	50 00	1,437 98	0 00	1,611 56	(173 58)
EXELON CORPORATION CMN (30161N101)	05/29/2013	10/18/2013	71 00	2,041 93	0 00	2,270 12	(228 19)

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
FOSSIL GROUP INC CMN (34988V106)	06/06/2013	10/29/2013	5 00	634 96	0 00	521 61	113 35
FOSSIL GROUP INC CMN (34988V106)	06/07/2013	10/29/2013	24 00	3,047 83	0 00	2,547 19	500 64
LAM RESEARCH CORP CMN (512807108)	11/19/2012	11/14/2013	70 00	3,673 31	0 00	2,427 67	1,245 64
SEMPRA ENERGY CMN (816851109)	05/02/2013	11/15/2013	25 00	2,254 87	0 00	2,051 19	203 68
SEMPRA ENERGY CMN (816851109)	05/31/2013	11/15/2013	25 00	2,254 87	0 00	2,052 76	202 11
COMERICA INCORPORATED CMN (200340107)	02/05/2013	11/18/2013	60 00	2,705 31	0 00	2,087 53	617 78
AMERICAN ELECTRIC POWER INC CMN (025537101)	10/30/2013	12/09/2013	73 00	3,404 85	0 00	3,441 80	(36 95)
QUALCOMM INC CMN (747525103)	07/26/2013	12/13/2013	46 00	3,359 73	0 00	2,963 40	396 33
Net Covered Short-Term Gains (Losses)				**254,512.95**	**0.00**	**224,969.62**	**29,543.33**
NonCovered Short-Term Gains (Losses)							
AMERICAN TOWER CORPORATION CMN (03027X100)	09/14/2012	02/07/2013	10 00	759 48	0 00	718 48	41 00
AVALONBAY COMMUNITIES INC CMN (053484101)	10/02/2012	02/07/2013	7 00	887 16	0 00	955 74	(68 58)
SIMON PROPERTY GROUP INC CMN (828806109)	06/25/2012	02/07/2013	2 00	322 43	0 00	299 78	22 65
Net NonCovered Short-Term Gains (Losses)				**1,969.07**	**0.00**	**1,974.00**	**(4.93)**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
PFIZER INC CMN (717081103)	10/07/2011	01/14/2013	103 00	2,750 88	0 00	1,913 09	837 79
TRANSOCEAN LTD CMN (H8817H100)	01/10/2012	01/14/2013	6 00	330 65	0 00	244 14	86 51
PPL CORPORATION CMN (69351T106)	04/12/2011	01/16/2013	82 00	2,358 43	0 00	2,140 86	217 57
XCEL ENERGY INC CMN (98389B100)	01/03/2012	01/17/2013	78 00	2,098 65	0 00	2,145 75	(47 10)
HALLIBURTON COMPANY CMN (406216101)	12/14/2011	01/25/2013	22 00	871 85	0 00	681 93	189 92
HALLIBURTON COMPANY CMN (406216101)	12/01/2011	01/25/2013	53 00	2,100 36	0 00	1,931 32	169 04

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds[5]	Total accretion (Amortization)	Cost Basis[4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
AMERIPRISE FINANCIAL, INC CMN (03076C106)	03/24/2011	02/01/2013	19 00	1,275 52	0 00	1,140 73	134 79
AMERIPRISE FINANCIAL, INC CMN (03076C106)	08/16/2011	02/01/2013	42 00	2,819 57	0 00	1,885 12	934 45
ALTERA CORP CMN (021441100)	10/25/2011	02/07/2013	3 00	103 11	0 00	115 00	(11 89)
BANK OF AMERICA CORP CMN (060505104)	01/23/2012	02/07/2013	219 00	2,587 42	0 00	1,593 49	993 93
CBS CORPORATION CMN CLASS B (124857202)	10/10/2011	02/07/2013	26 00	1,084 69	0 00	592 30	492 39
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	01/25/2011	02/07/2013	13 00	761 52	0 00	1,104 72	(343 20)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	01/20/2011	02/07/2013	30 00	1,757 36	0 00	2,465 44	(708 08)
EMC CORPORATION MASS CMN (268648102)	01/14/2011	02/07/2013	84 00	2,068 87	0 00	2,041 20	27 67
EXXON MOBIL CORPORATION CMN (30231G102)	08/05/2011	02/07/2013	47 00	4,122 27	0 00	3,535 29	586 98
HALLIBURTON COMPANY CMN (406216101)	12/14/2011	02/07/2013	21 00	848 59	0 00	650 94	197 65
HALLIBURTON COMPANY CMN (406216101)	01/24/2012	02/07/2013	32 00	1,293 09	0 00	1,122 26	170 83
JUNIPER NETWORKS, INC CMN (48203R104)	07/27/2011	02/07/2013	43 00	954 14	0 00	1,060 41	(106 27)
LOWES COMPANIES INC CMN (548661107)	01/11/2012	02/07/2013	13 00	504 26	0 00	345 08	159 18
MERCK & CO , INC CMN (58933Y105)	05/17/2011	02/07/2013	20 00	818 38	0 00	739 46	78 92
MORGAN STANLEY CMN (617446448)	12/16/2011	02/07/2013	2 00	45 66	0 00	30 06	15 60
MORGAN STANLEY CMN (617446448)	11/01/2011	02/07/2013	45 00	1,027 32	0 00	729 85	297 47
OCCIDENTAL PETROLEUM CORP CMN (674599105)	04/23/2011	02/07/2013	18 00	1,583 42	0 00	1,885 51	(302 09)
PFIZER INC CMN (717081103)	10/07/2011	02/07/2013	109 00	2,948 93	0 00	2,024 53	924 40
PPL CORPORATION CMN (69351T106)	04/12/2011	02/07/2013	32 00	967 97	0 00	835 46	132 51
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/17/2012	02/07/2013	12 00	911 61	0 00	796 09	115 52
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	05/17/2011	02/07/2013	49 00	1,404 79	0 00	1,372 32	32 47
TEXTRON INC DEL CMN (883203101)	01/23/2012	02/07/2013	26 00	744 62	0 00	573 99	170 63
U S BANCORP CMN (902973304)	01/14/2011	02/07/2013	38 00	1,272 78	0 00	1,024 10	248 68
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	12/19/2011	02/07/2013	26 00	1,477 81	0 00	1,279 28	198 53
VERTEX PHARMACEUTICALS INC CMN (92532F100)	01/11/2012	02/07/2013	3 00	135 65	0 00	111 46	24 19

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
XCEL ENERGY INC CMN (98389B100)	01/03/2012	02/07/2013	33 00	916 05	0 00	907 82	8 23
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/17/2012	02/15/2013	14 00	1,074 44	0 00	928 77	145 67
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/17/2012	02/15/2013	29 00	2,225 62	0 00	2,026 62	199 00
XCEL ENERGY INC CMN (98389B100)	01/03/2012	02/21/2013	2 00	56 14	0 00	55 02	1 12
OCCIDENTAL PETROLEUM CORP CMN (674599105)	04/23/2011	02/25/2013	2 00	165 43	0 00	209 50	(44 07)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	01/03/2012	02/25/2013	9 00	744 44	0 00	808 15	(63 71)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	12/12/2011	02/25/2013	10 00	827 16	0 00	906 13	(78 97)
U S BANCORP CMN (902973304)	01/14/2011	03/04/2013	63 00	2,142 79	0 00	1,697 85	444 94
MORGAN STANLEY CMN (617446448)	12/16/2011	03/14/2013	91 00	2,066 54	0 00	1,367 68	698 86
U S BANCORP CMN (902973304)	01/14/2011	03/14/2013	86 00	2,918 90	0 00	2,317 70	601 20
MORGAN STANLEY CMN (617446448)	12/16/2011	03/28/2013	89 00	1,961 57	0 00	1,337 62	623 95
VERTEX PHARMACEUTICALS INC CMN (92532F100)	03/01/2012	04/19/2013	26 00	2,136 42	0 00	1,018 39	1,118 03
MERCK & CO , INC CMN (58933Y105)	05/17/2011	04/25/2013	18 00	854 97	0 00	665 51	189 46
PFIZER INC CMN (717081103)	10/07/2011	04/25/2013	8 00	242 36	0 00	148 59	93 77
PFIZER INC CMN (717081103)	11/07/2011	04/25/2013	48 00	1,454 14	0 00	954 83	499 31
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	05/17/2011	04/29/2013	5 00	147 64	0 00	140 03	7 61
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	01/12/2012	04/29/2013	59 00	1,742 12	0 00	1,236 50	505 62
JOHNSON & JOHNSON CMN (478160104)	03/05/2012	05/01/2013	8 00	680 19	0 00	517 35	162 84
JOHNSON & JOHNSON CMN (478160104)	03/08/2012	05/01/2013	32 00	2,720 76	0 00	2,079 46	641 30
MASCO CORPORATION CMN (574599106)	02/24/2012	05/10/2013	16 00	340 05	0 00	188 07	151 98
DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CMN (25243Q205)	03/08/2012	05/21/2013	26 00	3,205 78	0 00	2,501 66	704 12
LAM RESEARCH CORP CMN (512807108)	03/08/2012	05/30/2013	2 00	95 18	0 00	81 56	13 62
PPL CORPORATION CMN (69351T106)	04/12/2011	05/30/2013	54 00	1,618 42	0 00	1,409 84	208 58
LOWES COMPANIES INC CMN (548661107)	02/24/2012	06/06/2013	55 00	2,198 07	0 00	1,490 40	707 67
PPL CORPORATION CMN (69351T106)	04/12/2011	06/11/2013	4 00	116 73	0 00	104 43	12 30

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
PPL CORPORATION CMN (69351T106)	05/17/2011	06/11/2013	68 00	1,984 50	0 00	1,909 23	75 27
LOWES COMPANIES INC CMN (548661107)	06/06/2012	07/15/2013	32 00	1,411 90	0 00	877 86	534 04
CBS CORPORATION CMN CLASS B (124857202)	10/10/2011	07/22/2013	26 00	1,362 15	0 00	592 30	769 85
GOOGLE, INC CMN CLASS A (38259P508)	06/06/2012	07/24/2013	5 00	4,531 27	0 00	2,887 30	1,643 97
BANK OF AMERICA CORP CMN (060505104)	02/13/2012	07/30/2013	28 00	406 95	0 00	231 83	175 12
BANK OF AMERICA CORP CMN (060505104)	01/23/2012	07/30/2013	105 00	1,526 05	0 00	764 00	762 05
ALTERA CORP CMN (021441100)	02/29/2012	08/06/2013	21 00	762 51	0 00	806 13	(43 62)
ALTERA CORP CMN (021441100)	05/14/2012	08/06/2013	29 00	1,052 99	0 00	977 89	75 10
MGM RESORTS INTERNATIONAL CMN (552953101)	02/28/2012	08/20/2013	18 00	308 43	0 00	255 09	53 34
MGM RESORTS INTERNATIONAL CMN (552953101)	02/28/2012	08/20/2013	87 00	1,490 75	0 00	1,239 33	251 42
PHILIP MORRIS INTL INC CMN (718172109)	04/05/2012	08/20/2013	5 00	422 93	0 00	443 27	(20 34)
PHILIP MORRIS INTL INC CMN (718172109)	02/28/2012	08/20/2013	14 00	1,184 21	0 00	1,171 50	12 71
HALLIBURTON COMPANY CMN (406216101)	03/27/2012	09/04/2013	15 00	737 82	0 00	501 26	236 56
HALLIBURTON COMPANY CMN (406216101)	01/24/2012	09/04/2013	18 00	885 38	0 00	631 27	254 11
ALTERA CORP CMN (021441100)	05/14/2012	09/24/2013	17 00	636 99	0 00	573 25	63 74
MONDELEZ INTERNATIONAL, INC CMN (609207105)	10/02/2012	10/14/2013	72 00	2,202 22	0 00	1,990 80	211 42
CBS CORPORATION CMN CLASS B (124857202)	10/10/2011	10/18/2013	15 00	886 62	0 00	341 71	544 91
PFIZER INC CMN (717081103)	11/07/2011	10/22/2013	45 00	1,381 61	0 00	895 16	486 45
PFIZER INC CMN (717081103)	12/09/2011	10/22/2013	49 00	1,504 42	0 00	1,003 70	500 72
LOWES COMPANIES INC CMN (548661107)	06/06/2012	11/11/2013	22 00	1,082 20	0 00	603 53	478 67
LOWES COMPANIES INC CMN (548661107)	08/20/2012	11/11/2013	63 00	3,099 01	0 00	1,696 60	1,402 41
LAM RESEARCH CORP CMN (512807108)	06/05/2012	11/14/2013	3 00	157 43	0 00	110 30	47 13
JUNIPER NETWORKS, INC CMN (48203R104)	10/26/2011	11/26/2013	75 00	1,524 54	0 00	1,738 98	(214 44)
JUNIPER NETWORKS, INC CMN (48203R104)	07/27/2011	11/26/2013	85 00	1,727 82	0 00	2,096 16	(368 34)
PHILIP MORRIS INTL INC CMN (718172109)	04/05/2012	11/26/2013	15 00	1,287 13	0 00	1,329 82	(42 69)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
PHILIP MORRIS INTL INC CMN (718172109)	04/23/2012	11/26/2013	29 00	2,488 45	0 00	2,515 97	(27 52)
Net Covered Long-Term Gains (Losses)				**112,728.36**	**0.00**	**91,394.90**	**21,333.46**
NonCovered Long-Term Gains (Losses)							
AMERICAN ELECTRIC POWER INC CMN (025537101)	06/16/2010	01/29/2013	27 00	1,216 31	0 00	908 50	307 81
ADOBE SYSTEMS INC CMN (00724F101)	11/01/2010	02/07/2013	23 00	869 84	0 00	648 46	221 38
BOEING COMPANY CMN (097023105)	11/18/2009	02/07/2013	27 00	2,067 62	0 00	1,412 20	655 42
EVEREST RE GROUP LTD CMN (G3223R108)	08/15/2008	02/07/2013	16 00	1,887 79	0 00	1,319 36	568 43
GENERAL ELECTRIC CO CMN (369604103)	02/22/2010	02/07/2013	5 00	111 63	0 00	81 09	30 54
GENERAL ELECTRIC CO CMN (369604103)	12/17/2009	02/07/2013	194 00	4,330 96	0 00	3,074 54	1,256 42
HARTFORD FINANCIAL SRVCS GROUP CMN (416515104)	03/26/2010	02/07/2013	37 00	892 79	0 00	1,036 77	(143 98)
JPMORGAN CHASE & CO CMN (46625H100)	09/30/2009	02/07/2013	34 00	1,636 05	0 00	1,501 05	135 00
JPMORGAN CHASE & CO CMN (46625H100)	11/17/2009	02/07/2013	48 00	2,309 71	0 00	2,059 17	250 54
MERCK & CO , INC CMN (58933Y105)	06/28/2010	02/07/2013	41 00	1,677 68	0 00	1,462 01	215 67
PRUDENTIAL FINANCIAL INC CMN (744320102)	12/23/2009	02/07/2013	37 00	2,084 53	0 00	1,924 57	159 96
SIMON PROPERTY GROUP INC CMN (828806109)	09/23/2011	02/07/2013	4 00	644 87	0 00	446 50	198 37
SLM CORPORATION CMN (78442P106)	01/19/2010	02/07/2013	82 00	1,462 84	0 00	930 72	532 12
THE TRAVELERS COMPANIES, INC CMN (89417E109)	11/17/2009	02/07/2013	22 00	1,730 26	0 00	1,173 18	557 08
EVEREST RE GROUP LTD CMN (G3223R108)	08/15/2008	02/15/2013	2 00	241 21	0 00	164 92	76 29
EVEREST RE GROUP LTD CMN (G3223R108)	01/21/2009	02/15/2013	12 00	1,447 27	0 00	825 36	621 91
JPMORGAN CHASE & CO CMN (46625H100)	11/17/2009	03/21/2013	53 00	2,597 99	0 00	2,273 67	324 32
SLM CORPORATION CMN (78442P106)	01/19/2010	06/17/2013	101 00	2,319 06	0 00	1,146 37	1,172 69
SIMON PROPERTY GROUP INC CMN (828806109)	06/25/2012	07/01/2013	20 00	3,174 71	0 00	2,997 79	176 92
EVEREST RE GROUP LTD CMN (G3223R108)	01/21/2009	09/11/2013	3 00	414 55	0 00	206 34	208 21
EVEREST RE GROUP LTD CMN (G3223R108)	11/17/2009	09/11/2013	8 00	1,105 48	0 00	707 44	398 04

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
EVEREST RE GROUP LTD CMN (G3223R108)	11/18/2009	10/14/2013	3 00	442 58	0 00	264 87	177 71
EVEREST RE GROUP LTD CMN (G3223R108)	11/17/2009	10/14/2013	12 00	1,770 32	0 00	1,061 16	709 16
EVEREST RE GROUP LTD CMN (G3223R108)	11/18/2009	11/04/2013	15 00	2,294 40	0 00	1,324 35	970 05
Net NonCovered Long-Term Gains (Losses)				**38,730.45**	**0.00**	**28,950.39**	**9,780.06**

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THE JULIA CARELL STADLER FOUNDATION
EIN: 62-6314877
CAPITAL GAINS AND LOSSES - ATTACHMENT 2

Tax Year	Account No	Legal Name
2013	003-52617-5	THE JULIA CARELL STADLER

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ABBVIE INC CMN (00287Y109)	03/16/2012	01/16/2013	21 00	743 66	0 00	651 77	91 89
ABBVIE INC CMN (00287Y109)	10/19/2012	01/16/2013	21 00	743 67	0 00	732 37	11 30
FAMILY DOLLAR STORES INC CMN (307000109)	06/29/2012	01/28/2013	32 00	1,856 17	0 00	2,127 66	(271 49)
ACTIVISION BLIZZARD INC CMN (00507V109)	10/03/2012	02/07/2013	208 00	2,486 58	0 00	2,339 21	147 37
AGILENT TECHNOLOGIES, INC CMN (00846U101)	04/03/2012	02/07/2013	32 00	1,433 56	0 00	1,429 11	4 45
ALLERGAN INC CMN (018490102)	11/29/2012	02/07/2013	11 00	1,166 85	0 00	1,016 56	150 29
BARD C R INC N J CMN (067383109)	03/30/2012	02/07/2013	7 00	707 89	0 00	694 52	13 37
BOEING COMPANY CMN (097023105)	11/13/2012	02/07/2013	24 00	1,837 88	0 00	1,767 98	69 90
CELGENE CORPORATION CMN (151020104)	12/11/2012	02/07/2013	16 00	1,557 57	0 00	1,323 47	234 10
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	08/03/2012	02/07/2013	1 00	316 22	0 00	298 05	18 17
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	07/23/2012	02/07/2013	7 00	2,213 49	0 00	2,163 25	50 24
DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CMN (25243Q205)	02/10/2012	02/07/2013	7 00	823 60	0 00	651 67	171 93
DOLLAR GENERAL CORPORATION CMN (256677105)	08/23/2012	02/07/2013	44 00	1,995 79	0 00	2,185 59	(189 80)
DOLLAR GENERAL CORPORATION CMN (256677105) Disallowed Loss							90 58
ESTEE LAUDER COS INC CL-A CMN CLASS A (518439104)	11/26/2012	02/07/2013	12 00	750 10	0 00	710 94	39 16
FACEBOOK, INC CMN CLASS A (30303M102)	05/22/2012	02/07/2013	30 00	856 78	0 00	973 39	(116 61)

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
FEDEX CORP CMN (31428X106)	12/20/2012	02/07/2013	15 00	1,594 61	0 00	1,393 52	201 09
INTUITIVE SURGICAL, INC CMN (46120E602)	11/13/2012	02/07/2013	1 00	566 11	0 00	538 04	28 07
JOHNSON & JOHNSON CMN (478160104)	06/19/2012	02/07/2013	10 00	748 48	0 00	666 70	81 78
LAS VEGAS SANDS CORP CMN (517834107)	07/26/2012	02/07/2013	22 00	1,177 41	0 00	785 44	391 97
LULULEMON ATHLETICA INC CMN (550021109)	08/09/2012	02/07/2013	11 00	742 92	0 00	636 99	105 93
PHILIP MORRIS INTL INC CMN (718172109)	06/08/2012	02/07/2013	20 00	1,779 16	0 00	1,670 94	108 22
SANOFI SPONSORED ADR CMN (80105N105)	06/22/2012	02/07/2013	34 00	1,516 03	0 00	1,234 50	281 53
SHIRE LIMITED SPONSORED ADR CMN (82481R106)	06/22/2012	02/07/2013	16 00	1,592 76	0 00	1,470 83	121 93
JOHNSON & JOHNSON CMN (478160104)	06/19/2012	02/13/2013	23 00	1,743 44	0 00	1,533 40	210 04
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	08/03/2012	02/15/2013	2 00	636 73	0 00	596 09	40 64
SHIRE LIMITED SPONSORED ADR CMN (82481R106)	06/25/2012	03/07/2013	2 00	187 06	0 00	163 18	23 88
SHIRE LIMITED SPONSORED ADR CMN (82481R106)	06/22/2012	03/07/2013	8 00	748 23	0 00	735 42	12 81
INTUITIVE SURGICAL, INC CMN (46120E602)	11/13/2012	03/15/2013	3 00	1,408 69	0 00	1,614 12	(205 43)
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	10/02/2012	04/10/2013	2 00	677 72	0 00	599 07	78 65
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	08/03/2012	04/10/2013	2 00	677 72	0 00	596 09	81 63
ACTIVISION BLIZZARD INC CMN (00507V109)	10/03/2012	04/18/2013	6 00	84 55	0 00	67 48	17 07
ACTIVISION BLIZZARD INC CMN (00507V109)	11/12/2012	04/18/2013	70 00	986 41	0 00	764 07	222 34
ACTIVISION BLIZZARD INC CMN (00507V109)	11/12/2012	04/18/2013	91 00	1,287 26	0 00	993 30	293 96
LAS VEGAS SANDS CORP CMN (517834107)	07/26/2012	04/19/2013	12 00	636 39	0 00	428 42	209 94
VERTEX PHARMACEUTICALS INC CMN (92532F100)	06/01/2012	04/19/2013	5 00	410 57	0 00	299 85	110 72
LAS VEGAS SANDS CORP CMN (517834107)	07/26/2012	04/22/2013	2 00	106 72	0 00	71 40	35 64
LAS VEGAS SANDS CORP CMN (517834107)	08/06/2012	04/22/2013	9 00	480 20	0 00	352 46	129 22
LAS VEGAS SANDS CORP CMN (517834107)	09/12/2012	04/22/2013	12 00	640 28	0 00	530 63	111 62
LAS VEGAS SANDS CORP CMN (517834107)	08/03/2012	04/22/2013	15 00	800 34	0 00	576.89	225 92
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	10/02/2012	05/08/2013	2 00	721 25	0 00	599 07	122 18

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ACTIVISION BLIZZARD INC CMN (00507V109)	01/15/2013	05/17/2013	47 00	698 47	0 00	543 29	155 18
ACTIVISION BLIZZARD INC CMN (00507V109)	11/12/2012	05/17/2013	47 00	698 47	0 00	513 02	185 45
RACKSPACE HOSTING, INC CMN (750086100)	03/01/2013	05/21/2013	7 00	267 81	0 00	390 58	(122 77)
RACKSPACE HOSTING, INC CMN (750086100)	02/28/2013	05/21/2013	14 00	535 62	0 00	780 91	(245 29)
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	10/02/2012	05/22/2013	2 00	752 56	0 00	599 07	153 49
SHIRE LIMITED SPONSORED ADR CMN (82481R106)	06/25/2012	05/22/2013	9 00	875 77	0 00	734 32	141 45
ALLERGAN INC CMN (018490102)	11/29/2012	05/23/2013	25 00	2,440 33	0 00	2,310 36	129 97
CELGENE CORPORATION CMN (151020104)	12/11/2012	05/23/2013	12 00	1,480 14	0 00	992 60	487 54
SHIRE LIMITED SPONSORED ADR CMN (82481R106)	06/25/2012	05/23/2013	8 00	772 91	0 00	652 72	120 19
SHIRE LIMITED SPONSORED ADR CMN (82481R106)	09/18/2012	05/23/2013	10 00	966 14	0 00	921 85	44 29
RACKSPACE HOSTING, INC CMN (750086100)	03/07/2013	05/31/2013	31 00	1,179 32	0 00	1,771 02	(591 70)
RACKSPACE HOSTING, INC CMN (750086100)	03/01/2013	05/31/2013	42 00	1,597 79	0 00	2,343 48	(745 69)
ACTIVISION BLIZZARD INC CMN (00507V109)	01/15/2013	06/03/2013	66 00	960 25	0 00	762 92	197 33
ACTIVISION BLIZZARD INC CMN (00507V109)	01/15/2013	06/24/2013	151 00	2,013 39	0 00	1,745 47	267 92
MALLINCKRODT PUBLIC LIMITED CO CMN (G5785G107)	06/24/2013	07/01/2013	0 63	28 46	0 00	0 00	28 46
BOEING COMPANY CMN (097023105)	11/13/2012	07/12/2013	21 00	2,162 07	0 00	1,546 98	615 09
AMERICAN EXPRESS CO CMN (025816109)	02/07/2013	07/19/2013	15 00	1,109 62	0 00	932 80	176 82
ESTEE LAUDER COS INC CL-A CMN CLASS A (518439104)	11/26/2012	07/25/2013	4 00	261 20	0 00	236 98	24 22
ESTEE LAUDER COS INC CL-A CMN CLASS A (518439104)	04/10/2013	07/25/2013	6 00	391 80	0 00	392 99	(1 19)
ESTEE LAUDER COS INC CL-A CMN CLASS A (518439104)	11/27/2012	07/25/2013	24 00	1,567 22	0 00	1,419 27	147 95
ESTEE LAUDER COS INC CL-A CMN CLASS A (518439104)	04/10/2013	07/26/2013	16 00	1,045 91	0 00	1,047 98	(2 07)
MALLINCKRODT PUBLIC LIMITED CO CMN (G5785G107)	06/24/2013	07/29/2013	3 00	138 90	0 00	156 26	(17 36)
COVIDIEN PUBLIC LIMITED COMPAN CMN (G2554F113)	06/24/2013	08/05/2013	29 00	1,836 19	0 00	1,631 03	205 16
COCA-COLA COMPANY (THE) CMN (191216100)	05/08/2013	10/07/2013	51 00	1,900 02	0 00	2,154 46	(254 44)
MC DONALDS CORP CMN (580135101)	09/06/2013	10/07/2013	9 00	851 50	0 00	866 46	(14 96)

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
CELGENE CORPORATION CMN (151020104)	12/11/2012	10/08/2013	6 00	899 44	0 00	496 30	403 14
MICROSOFT CORPORATION CMN (594918104)	08/14/2013	10/09/2013	29 00	960 86	0 00	926 67	34 19
PIONEER NATURAL RESOURCES CO CMN (723787107)	05/09/2013	10/10/2013	4 00	767 73	0 00	550 78	216 95
MC DONALDS CORP CMN (580135101)	09/06/2013	10/14/2013	47 00	4,432 25	0 00	4,524 86	(92 61)
PIONEER NATURAL RESOURCES CO CMN (723787107)	07/19/2013	10/23/2013	5 00	1,007 15	0 00	778 76	228 39
PIONEER NATURAL RESOURCES CO CMN (723787107)	05/09/2013	10/23/2013	7 00	1,410 00	0 00	963 87	446 13
PIONEER NATURAL RESOURCES CO CMN (723787107)	05/09/2013	10/23/2013	9 00	1,792 76	0 00	1,239 26	553 50
BOEING COMPANY CMN (097023105)	11/13/2012	10/29/2013	7 00	906 75	0 00	515 66	391 09
PRECISION CASTPARTS CORP CMN (740189105)	04/01/2013	10/29/2013	3 00	755 62	0 00	561 36	194 26
TIM HORTONS INC CMN (88706M103)	08/05/2013	10/31/2013	22 00	1,317 59	0 00	1,283 34	34 25
FEDEX CORP CMN (31428X106)	12/20/2012	11/22/2013	4 00	545 12	0 00	371 61	173 51
STARBUCKS CORP CMN (855244109)	07/12/2013	11/25/2013	18 00	1,452 58	0 00	1,240 75	211 83
UNITED TECHNOLOGIES CORP CMN (913017109)	06/03/2013	12/04/2013	12 00	1,312 89	0 00	1,137 79	175 10
UNITED TECHNOLOGIES CORP CMN (913017109)	06/03/2013	12/13/2013	27 00	2,905 94	0 00	2,560 02	345 92
TWITTER, INC CMN (90184L102)	11/07/2013	12/30/2013	24 00	1,469 83	0 00	1,074 81	395 02
TWITTER, INC CMN (90184L102)	11/07/2013	12/30/2013	24 00	1,469 83	0 00	1,120 93	348 90
WHOLE FOODS MARKET INC CMN (966837106)	07/30/2013	12/31/2013	16 00	920 26	0 00	890 92	29 34
Net Covered Short-Term Disallowed Losses							**90.58**
Net Covered Short-Term Gains (Losses)				**92,299.31**	**0.00**	**83,667.95**	**8,721.94**

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
ABBVIE INC CMN (00287Y109)	12/01/2011	01/04/2013	33 00	1,134 30	0 00	938 35	195 95

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
ABBVIE INC CMN (00287Y109)	10/28/2011	01/04/2013	57 00	1,959 25	0 00	1,607 64	351 61
ABBVIE INC CMN (00287Y109)	12/01/2011	01/16/2013	6 00	212 47	0 00	170 61	41 86
ABBVIE INC CMN (00287Y109)	12/07/2011	01/16/2013	52 00	1,841 45	0 00	1,474 79	366 66
URBAN OUTFITTERS INC CMN (917047102)	09/01/2011	01/17/2013	48 00	2,027 71	0 00	1,244 29	783 42
URBAN OUTFITTERS INC CMN (917047102)	01/11/2012	01/17/2013	61 00	2,576 89	0 00	1,473 08	1,103 81
ABBOTT LABORATORIES CMN (002824100)	12/01/2011	02/07/2013	16 00	546 06	0 00	419 54	126 52
ABBOTT LABORATORIES CMN (002824100)	10/28/2011	02/07/2013	57 00	1,945 37	0 00	1,482 50	462 87
AMAZON COM INC CMN (023135106)	08/30/2011	02/07/2013	6 00	1,561 35	0 00	1,261 31	300 04
AMAZON COM INC CMN (023135106)	10/26/2011	02/07/2013	7 00	1,821 57	0 00	1,439 60	381 97
AMERICAN EXPRESS CO CMN (025816109)	02/07/2011	02/07/2013	45 00	2,773 28	0 00	2,019 23	754 05
AMPHENOL CORP CL-A (NEW) CMN CLASS A (032095101)	03/16/2011	02/07/2013	12 00	827 26	0 00	662 67	164 59
AMPHENOL CORP CL-A (NEW) CMN CLASS A (032095101)	04/15/2011	02/07/2013	16 00	1,103 01	0 00	845 51	257 50
APPLE, INC CMN (037833100)	01/14/2011	02/07/2013	25 00	11,499 99	0 00	8,645 93	2,854 06
CBRE GROUP INC CMN (12504L109)	07/21/2011	02/07/2013	48 00	1,141 90	0 00	1,135 06	6 84
CBRE GROUP INC CMN (12504L109)	06/21/2011	02/07/2013	85 00	2,022 10	0 00	2,123 96	(101 86)
DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CMN (25243Q205)	01/30/2012	02/07/2013	10 00	1,176 57	0 00	888 52	288 05
DISCOVERY COMMUNICATIONS, INC CMN SERIES A (25470F104)	08/24/2011	02/07/2013	15 00	1,046 97	0 00	578 87	468 10
HONEYWELL INTL INC CMN (438516106)	01/19/2012	02/07/2013	44 00	3,069 81	0 00	2,563 12	506 69
INTERCONTINENTALEXCHANGE INC CMN (45865V100)	03/17/2011	02/07/2013	9 00	1,324 86	0 00	1,128 08	196 78
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	08/01/2011	02/07/2013	12 00	480 59	0 00	361 44	119 15
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	08/05/2011	02/07/2013	30 00	1,201 47	0 00	839 07	362 40
NATIONAL OILWELL VARCO, INC COMMON STOCK CMN (637071101)	05/18/2011	02/07/2013	10 00	673 78	0 00	674 19	(0 41)
NETAPP, INC CMN (64110D104)	02/17/2011	02/07/2013	2 00	70 90	0 00	105 34	(34 44)
ORACLE CORPORATION CMN (68389X105)	01/14/2011	02/07/2013	95 00	3,307 82	0 00	2,950 39	357 43
PRICE T ROWE GROUP INC CMN (74144T108)	10/13/2011	02/07/2013	17 00	1,235 71	0 00	892 27	343 44

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
PRICELINE COM INC CMN (741503403)	01/17/2012	02/07/2013	3 00	2,066 57	0 00	1,492 37	574 20
PVH CORP CMN (693656100)	08/08/2011	02/07/2013	22 00	2,645 23	0 00	1,346 94	1,298 29
QUALCOMM INC CMN (747525103)	01/14/2011	02/07/2013	104 00	6,914 80	0 00	5,359 12	1,555 68
ROCKWELL AUTOMATION INC CMN (773903109)	08/05/2011	02/07/2013	18 00	1,623 74	0 00	1,127 53	496 21
SALESFORCE COM, INC CMN (79466L302)	01/24/2011	02/07/2013	11 00	1,868 74	0 00	1,412 68	456 06
SCHLUMBERGER LTD CMN (806857108)	01/14/2011	02/07/2013	68 00	5,301 16	0 00	5,734 51	(433 35)
VERTEX PHARMACEUTICALS INC CMN (92532F100)	01/31/2012	02/07/2013	25 00	1,130 48	0 00	931 42	199 06
YUM BRANDS, INC CMN (988498101)	06/13/2011	02/07/2013	12 00	765 22	0 00	636 21	129 01
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	08/09/2011	02/13/2013	34 00	1,322 61	0 00	1,284 85	37 76
CBRE GROUP INC CMN (12504L109)	07/21/2011	02/19/2013	5 00	122 00	0 00	118 24	3 76
CBRE GROUP INC CMN (12504L109)	08/01/2011	02/19/2013	13 00	317 18	0 00	285 04	32 14
AVON PRODUCTS INC CMN (054303102)	07/18/2011	02/21/2013	30 00	614 49	0 00	830 26	(215 77)
AVON PRODUCTS INC CMN (054303102)	07/18/2011	02/22/2013	27 00	551 81	0 00	747 24	(195 43)
NETAPP, INC CMN (64110D104)	02/24/2011	02/28/2013	6 00	203 73	0 00	304 32	(100 59)
NETAPP, INC CMN (64110D104)	02/17/2011	02/28/2013	16 00	543 28	0 00	842 72	(299 44)
CBRE GROUP INC CMN (12504L109)	08/01/2011	04/01/2013	51 00	1,252 22	0 00	1,118 25	133 97
VERTEX PHARMACEUTICALS INC CMN (92532F100)	01/31/2012	04/18/2013	11 00	901 97	0 00	409 82	492 15
VERTEX PHARMACEUTICALS INC CMN (92532F100)	01/31/2012	04/19/2013	3 00	246 34	0 00	111 77	134 57
NATIONAL OILWELL VARCO, INC COMMON STOCK CMN (637071101)	05/18/2011	04/22/2013	22 00	1,425 77	0 00	1,483 23	(57 46)
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	09/16/2011	05/07/2013	6 00	465 43	0 00	386 96	78 47
NETAPP, INC CMN (64110D104)	10/19/2011	05/22/2013	24 00	894 89	0 00	920 87	(25 98)
NETAPP, INC CMN (64110D104)	03/11/2011	05/22/2013	25 00	932 18	0 00	1,191 82	(259 64)
NETAPP, INC CMN (64110D104)	10/13/2011	05/22/2013	28 00	1,044 04	0 00	1,092 46	(48 42)
NETAPP, INC CMN (64110D104)	02/24/2011	05/22/2013	37 00	1,379 63	0 00	1,876 64	(497 01)
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	08/05/2011	06/04/2013	8 00	329 04	0 00	223 75	105 29

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	08/18/2011	06/04/2013	19 00	781 49	0 00	489 04	292 45
SCHLUMBERGER LTD CMN (806857108)	01/14/2011	06/10/2013	13 00	951 36	0 00	1,096 30	(144 94)
AMERICAN EXPRESS CO CMN (025816109)	03/24/2011	06/17/2013	8 00	589 97	0 00	363 93	226 04
AMERICAN EXPRESS CO CMN (025816109)	02/07/2011	06/17/2013	23 00	1,696 16	0 00	1,032 05	664 11
APPLE, INC CMN (037833100)	01/14/2011	06/18/2013	6 00	2,593 56	0 00	2,075 02	518 54
ORACLE CORPORATION CMN (68389X105)	01/14/2011	06/21/2013	95 00	2,877 80	0 00	2,950 39	(72 59)
ABBOTT LABORATORIES CMN (002824100)	12/01/2011	06/24/2013	23 00	809 37	0 00	603 09	206 28
ABBOTT LABORATORIES CMN (002824100)	12/07/2011	06/24/2013	25 00	879 76	0 00	653 84	225 92
AMERICAN EXPRESS CO CMN (025816109)	03/24/2011	06/26/2013	16 00	1,186 44	0 00	727 86	458 58
NORTHERN TRUST CORP CMN (665859104)	12/07/2011	06/27/2013	42 00	2,434 67	0 00	1,678 61	756 06
APPLE, INC CMN (037833100)	01/14/2011	06/28/2013	10 00	3,935 94	0 00	3,458 37	477 57
YUM BRANDS, INC CMN (988498101)	06/13/2011	07/12/2013	26 00	1,864 77	0 00	1,378 46	486 31
HALLIBURTON COMPANY CMN (406216101)	09/20/2011	07/18/2013	49 00	2,179 54	0 00	1,861 66	317 88
AMERICAN EXPRESS CO CMN (025816109)	08/09/2011	07/19/2013	15 00	1,109 62	0 00	670 00	439 62
AMERICAN EXPRESS CO CMN (025816109)	03/24/2011	07/19/2013	19 00	1,405 52	0 00	864 33	541 19
AMERICAN EXPRESS CO CMN (025816109)	04/06/2011	07/19/2013	24 00	1,775 39	0 00	1,105 40	669 99
AMPHENOL CORP CL-A (NEW) CMN CLASS A (032095101)	07/18/2011	07/19/2013	1 00	75 59	0 00	50 08	25 51
AMPHENOL CORP CL-A (NEW) CMN CLASS A (032095101)	04/15/2011	07/19/2013	10 00	755 88	0 00	528 44	227 44
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	08/18/2011	07/25/2013	14 00	572 04	0 00	360 34	211 70
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	11/29/2011	07/25/2013	57 00	2,329 04	0 00	1,677 78	651 26
SCHLUMBERGER LTD CMN (806857108)	01/14/2011	07/25/2013	21 00	1,729 71	0 00	1,770 95	(41 24)
SCHLUMBERGER LTD CMN (806857108) Disallowed Loss							27 50
SALESFORCE COM, INC CMN (79466L302)	01/24/2011	08/01/2013	13 00	586 99	0 00	417 38	169 61
PRICELINE COM INC CMN (741503403)	01/17/2012	08/14/2013	1 00	946 49	0 00	497 46	449 03
ROCKWELL AUTOMATION INC CMN (773903109)	08/05/2011	08/16/2013	12 00	1,166 65	0 00	751 68	414 97

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
ROCKWELL AUTOMATION INC CMN (773903109)	04/13/2012	08/16/2013	28 00	2,722 18	0 00	2,191 24	530 94
LULULEMON ATHLETICA INC CMN (550021109)	08/09/2012	08/21/2013	28 00	1,960 05	0 00	1,621 44	338 61
DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CMN (25243Q205)	02/10/2012	09/06/2013	4 00	494 09	0 00	372 38	121 71
DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CMN (25243Q205)	02/16/2012	09/06/2013	11 00	1,358 76	0 00	1,042 72	316 04
DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CMN (25243Q205)	04/05/2012	09/06/2013	26 00	3,211 62	0 00	2,510 86	700 76
PRAXAIR, INC CMN SERIES (74005P104)	02/07/2011	09/27/2013	8 00	961 74	0 00	762 00	199 74
PRAXAIR, INC CMN SERIES (74005P104)	02/23/2011	09/27/2013	19 00	2,284 12	0 00	1,848 75	435 37
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	01/14/2011	09/30/2013	6 00	4,045 17	0 00	1,413 62	2,631 55
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	10/31/2011	10/01/2013	22 00	1,590 33	0 00	912 56	677 77
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	01/14/2011	10/01/2013	3 00	2,031 72	0 00	706 81	1,324 91
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	02/24/2012	10/02/2013	1 00	75 70	0 00	66 68	9 02
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	09/16/2011	10/02/2013	23 00	1,741 13	0 00	1,483 35	257 78
SCHLUMBERGER LTD CMN (806857108)	01/14/2011	10/02/2013	9 00	805 97	0 00	758 98	46 99
AGILENT TECHNOLOGIES, INC CMN (00846U101)	04/03/2012	10/08/2013	23 00	1,154 48	0 00	1,027 18	127 30
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	02/24/2012	10/08/2013	24 00	1,837 44	0 00	1,600 42	237 02
SALESFORCE COM, INC CMN (79466L302)	01/24/2011	10/10/2013	7 00	359 37	0 00	224 75	134 62
SALESFORCE COM, INC CMN (79466L302)	11/23/2011	10/10/2013	22 00	1,129 43	0 00	574 71	554 72
SCHLUMBERGER LTD CMN (806857108)	01/14/2011	10/10/2013	12 00	1,065 88	0 00	1,011 97	53 91
AMPHENOL CORP CL-A (NEW) CMN CLASS A (032095101)	07/18/2011	10/11/2013	11 00	840 45	0 00	550 86	289 59
INTERCONTINENTALEXCHANGE INC CMN (45865V100)	03/17/2011	10/11/2013	6 00	1,164 57	0 00	752 05	412 52
SCHLUMBERGER LTD CMN (806857108)	01/14/2011	10/23/2013	16 00	1,485 95	0 00	1,349 30	136 65
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	10/02/2012	11/07/2013	2 00	1,064 40	0 00	599 07	465 33
PRICELINE COM INC CMN (741503403)	05/10/2012	11/21/2013	1 00	1,157 57	0 00	683 21	474 36
AMAZON COM INC CMN (023135106)	10/26/2011	11/22/2013	4 00	1,483 35	0 00	822 63	660 72
INTRCONTINENTALEXCHANGE GP INC CMN (45866F104)	03/17/2011	11/22/2013	1 00	216 68	0 00	125 34	91 34

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
INTRCONTINENTALEXCHANGE GP INC CMN (45866F104)	05/07/2012	11/22/2013	3 00	650 06	0 00	379 47	270 59
NIKE CLASS-B CMN CLASS B (654106103)	03/18/2011	11/22/2013	10 00	783 39	0 00	388 87	394 52
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	10/19/2012	11/29/2013	2 00	1,052 64	0 00	488 36	564 28
APPLE, INC CMN (037833100)	01/14/2011	12/04/2013	2 00	1,127 60	0 00	691 68	435 92
QUALCOMM INC CMN (747525103)	01/14/2011	12/04/2013	27 00	1,979 31	0 00	1,391 31	588 00
QUALCOMM INC CMN (747525103)	01/14/2011	12/05/2013	30 00	2,198 63	0 00	1,545 90	652 73
CELGENE CORPORATION CMN (151020104)	12/11/2012	12/13/2013	8 00	1,316 13	0 00	661 73	654 40
SCHLUMBERGER LTD CMN (806857108)	01/14/2011	12/13/2013	5 00	430 67	0 00	421 66	9 01
APPLE, INC CMN (037833100)	01/14/2011	12/23/2013	2 00	1,131 98	0 00	691 68	440 30
APPLE, INC CMN (037833100)	10/25/2011	12/23/2013	5 00	2,829 94	0 00	2,000 36	829 58
APPLE, INC CMN (037833100)	01/14/2011	12/23/2013	8 00	4,526 65	0 00	2,766 70	1,759 95
NIKE CLASS-B CMN CLASS B (654106103)	03/18/2011	12/23/2013	11 00	846 32	0 00	427 75	418 57
Net Covered Long-Term Disallowed Losses							**27.50**
Net Covered Long-Term Gains (Losses)				**173,794.21**	**0.00**	**133,125.19**	**40,696.52**
NonCovered Long-Term Gains (Losses)							
AMERICAN TOWER CORPORATION CMN (03027X100)	08/15/2008	02/07/2013	77 00	5,848 01	0 00	3,260 05	2,587 96
AVON PRODUCTS INC CMN (054303102)	11/02/2010	02/07/2013	3 00	51 57	0 00	86 98	(35 41)
AVON PRODUCTS INC CMN (054303102)	04/13/2010	02/07/2013	59 00	1,014 18	0 00	1,885 42	(871 24)
CME GROUP INC CMN CLASS A (12572Q105)	11/18/2009	02/07/2013	34 00	1,938 97	0 00	2,203 81	(264 84)
COSTCO WHOLESALE CORPORATION CMN (22160K105)	04/28/2009	02/07/2013	2 00	202 99	0 00	95 28	107 71
COSTCO WHOLESALE CORPORATION CMN (22160K105)	11/18/2009	02/07/2013	8 00	811 95	0 00	482 32	329 63
COSTCO WHOLESALE CORPORATION CMN (22160K105)	11/17/2009	02/07/2013	32 00	3,247 82	0 00	1,951 72	1,296 10
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	11/07/2008	02/07/2013	27 00	1,893 47	0 00	471 59	1,421 88
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	08/15/2008	02/07/2013	42 00	2,945 39	0 00	1,592 22	1,353 17

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
DANAHER CORPORATION CMN (235851102)	08/15/2008	02/07/2013	41 00	2,459 54	0 00	1,729 18	730 36
ECOLAB INC CMN (278865100)	03/04/2010	02/07/2013	2 00	146 10	0 00	85 33	60 77
ECOLAB INC CMN (278865100)	02/11/2010	02/07/2013	12 00	876 57	0 00	501 27	375 30
EQUINIX INC CMN (29444U502)	05/10/2010	02/07/2013	5 00	1,068 63	0 00	492 48	576 15
EQUINIX INC CMN (29444U502)	06/09/2010	02/07/2013	8 00	1,709 80	0 00	669 28	1,040 52
GILEAD SCIENCES CMN (375558103)	10/28/2009	02/07/2013	30 00	1,189 48	0 00	648 12	541 36
GILEAD SCIENCES CMN (375558103)	11/17/2009	02/07/2013	39 00	1,546 31	0 00	921 96	624 35
GOOGLE, INC CMN CLASS A (38259P508)	08/15/2008	02/07/2013	1 00	768 40	0 00	507 22	261 18
GOOGLE, INC CMN CLASS A (38259P508)	11/18/2009	02/07/2013	2 00	1,536 80	0 00	1,150 88	385 92
GOOGLE, INC CMN CLASS A (38259P508)	11/17/2009	02/07/2013	3 00	2,305 21	0 00	1,727 04	578 17
GOOGLE, INC CMN CLASS A (38259P508)	01/27/2010	02/07/2013	4 00	3,073 61	0 00	2,161 32	912 29
HALLIBURTON COMPANY CMN (406216101)	04/30/2010	02/07/2013	15 00	606 13	0 00	457 13	149 00
HALLIBURTON COMPANY CMN (406216101)	06/02/2010	02/07/2013	19 00	767 77	0 00	446 16	321 61
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	05/28/2010	02/07/2013	3 00	1,556 64	0 00	607 61	949 03
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	07/21/2010	02/07/2013	3 00	1,556 64	0 00	605 59	951 05
MC DONALDS CORP CMN (580135101)	11/17/2009	02/07/2013	2 00	189 00	0 00	128 50	60 50
MC DONALDS CORP CMN (580135101)	11/18/2009	02/07/2013	7 00	661 48	0 00	445 97	215 51
NETAPP, INC CMN (64110D104)	07/01/2010	02/07/2013	62 00	2,197 84	0 00	2,344 37	(146 53)
NIKE CLASS-B CMN CLASS B (654106103)	01/04/2010	02/07/2013	15 00	814 48	0 00	493 99	320 49
NIKE CLASS-B CMN CLASS B (654106103)	11/17/2009	02/07/2013	26 00	1,411 77	0 00	853 45	558 32
NIKE CLASS-B CMN CLASS B (654106103)	11/18/2009	02/07/2013	38 00	2,063 35	0 00	1,227 59	835 76
NORTHERN TRUST CORP CMN (665859104)	11/18/2009	02/07/2013	13 00	680 41	0 00	627 64	52 77
NORTHERN TRUST CORP CMN (665859104)	11/17/2009	02/07/2013	21 00	1,099 12	0 00	1,009 68	89 44
PEPSICO INC CMN (713448108)	11/18/2009	02/07/2013	5 00	362 69	0 00	312 15	50 54
PEPSICO INC CMN (713448108)	11/17/2009	02/07/2013	31 00	2,248 69	0 00	1,929 74	318 95

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
PRAXAIR, INC CMN SERIES (74005P104)	09/26/2008	02/07/2013	14 00	1,541 09	0 00	1,040 12	500 97
PRAXAIR, INC CMN SERIES (74005P104)	10/10/2008	02/07/2013	16 00	1,761 24	0 00	946 11	815 13
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	11/18/2009	02/07/2013	31 00	2,355 01	0 00	1,920 14	434 87
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	12/10/2010	02/07/2013	15 00	568 63	0 00	793 50	(224 87)
VIACOM INC CMN CLASS B (92553P201)	11/17/2009	02/07/2013	6 00	351 83	0 00	186 35	165 48
VIACOM INC CMN CLASS B (92553P201)	08/15/2008	02/07/2013	38 00	2,228 27	0 00	1,117 20	1,111 07
XILINX INCORPORATED CMN (983919101)	01/25/2010	02/07/2013	32 00	1,177 58	0 00	778 32	399 26
XILINX INCORPORATED CMN (983919101)	02/25/2010	02/07/2013	59 00	2,171 14	0 00	1,508 67	662 47
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	12/10/2010	02/13/2013	1 00	38 90	0 00	52 90	(14 00)
AVON PRODUCTS INC CMN (054303102)	11/02/2010	02/21/2013	81 00	1,659 14	0 00	2,348 44	(689 30)
XILINX INCORPORATED CMN (983919101)	02/25/2010	02/27/2013	35 00	1,286 67	0 00	894 98	391 69
GILEAD SCIENCES CMN (375558103)	11/17/2009	02/28/2013	28 00	1,199 33	0 00	661 92	537 41
GOOGLE, INC CMN CLASS A (38259P508)	01/27/2010	02/28/2013	1 00	802 58	0 00	540 33	262 25
GOOGLE, INC CMN CLASS A (38259P508)	05/20/2010	04/05/2013	2 00	1,562 75	0 00	958 53	604 22
GILEAD SCIENCES CMN (375558103)	11/17/2009	04/09/2013	13 00	631 52	0 00	307 32	324 20
GILEAD SCIENCES CMN (375558103)	11/18/2009	04/09/2013	19 00	922 99	0 00	447 36	475 63
CME GROUP INC CMN CLASS A (12572Q105)	11/18/2009	04/17/2013	6 00	353 79	0 00	388 91	(35 12)
CME GROUP INC CMN CLASS A (12572Q105)	08/30/2010	04/17/2013	34 00	2,004 81	0 00	1,727 78	277 03
PEPSICO INC CMN (713448108)	11/18/2009	05/07/2013	22 00	1,820 16	0 00	1,373 46	446 70
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	11/18/2009	05/07/2013	15 00	1,163 56	0 00	929 10	234 46
CME GROUP INC CMN CLASS A (12572Q105)	08/30/2010	05/08/2013	6 00	362 96	0 00	304 90	58 06
CME GROUP INC CMN CLASS A (12572Q105)	11/04/2010	05/08/2013	30 00	1,814 80	0 00	1,764 90	49 90
NIKE CLASS-B CMN CLASS B (654106103)	01/04/2010	05/08/2013	25 00	1,596 67	0 00	823 32	773 35
GOOGLE, INC CMN CLASS A (38259P508)	05/20/2010	05/20/2013	1 00	909 97	0 00	479 26	430 71
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	11/07/2008	05/29/2013	5 00	359 00	0 00	87 33	271 67

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	11/17/2009	05/29/2013	11 00	789 80	0 00	406 47	383 33
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	11/18/2009	06/03/2013	8 00	566 95	0 00	298 88	268 07
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	11/17/2009	06/03/2013	52 00	3,685 15	0 00	1,921 50	1,763 65
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	11/18/2009	06/05/2013	46 00	3,201 19	0 00	1,718 56	1,482 63
PRAXAIR, INC CMN SERIES (74005P104)	10/10/2008	06/07/2013	1 00	114 31	0 00	59 13	55 18
PRAXAIR, INC CMN SERIES (74005P104)	11/17/2009	06/07/2013	12 00	1,371 78	0 00	1,010 52	361 26
NORTHERN TRUST CORP CMN (665859104)	11/18/2009	06/27/2013	15 00	869 52	0 00	724 20	145 32
NORTHERN TRUST CORP CMN (665859104)	01/05/2010	06/27/2013	18 00	1,043 43	0 00	943 06	100 37
HALLIBURTON COMPANY CMN (406216101)	06/02/2010	07/18/2013	29 00	1,289 93	0 00	680 97	608 96
MC DONALDS CORP CMN (580135101)	11/18/2009	07/19/2013	20 00	1,998 61	0 00	1,274 20	724 41
XILINX INCORPORATED CMN (983919101)	10/21/2010	07/22/2013	1 00	45 89	0 00	25 14	20 75
XILINX INCORPORATED CMN (983919101)	02/25/2010	07/22/2013	27 00	1,238 82	0 00	690 41	548 41
VIACOM INC CMN CLASS B (92553P201)	11/17/2009	08/02/2013	23 00	1,811 55	0 00	714 34	1,097 21
VIACOM INC CMN CLASS B (92553P201)	11/18/2009	08/02/2013	29 00	2,284 13	0 00	897 19	1,386 94
VIACOM INC CMN CLASS B (92553P201)	07/30/2010	08/02/2013	41 00	3,229 29	0 00	1,341 61	1,887 68
XILINX INCORPORATED CMN (983919101)	10/21/2010	08/02/2013	23 00	1,068 58	0 00	578 12	490 46
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	07/21/2010	08/14/2013	1 00	628 95	0 00	201 86	427 09
ECOLAB INC CMN (278865100)	03/04/2010	08/16/2013	33 00	3,018 13	0 00	1,407 97	1,610 16
PRAXAIR, INC CMN SERIES (74005P104)	11/17/2009	09/27/2013	6 00	721 30	0 00	505 26	216 04
PRAXAIR, INC CMN SERIES (74005P104)	11/18/2009	09/27/2013	20 00	2,404 34	0 00	1,696 00	708 34
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	07/21/2010	09/30/2013	2 00	1,348 39	0 00	403 73	944 66
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	11/18/2009	10/01/2013	10 00	722 88	0 00	373 60	349 28
PEPSICO INC CMN (713448108)	11/18/2009	10/09/2013	13 00	1,038 21	0 00	811 59	226 62
GOOGLE, INC CMN CLASS A (38259P508)	05/20/2010	10/25/2013	1 00	1,020 95	0 00	479 26	541 69
NIKE CLASS-B CMN CLASS B (654106103)	01/04/2010	11/21/2013	12 00	933 37	0 00	395 19	538 18

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
NIKE CLASS-B CMN CLASS B (654106103)	01/04/2010	11/22/2013	6 00	470 04	0 00	197 60	272 44
Net NonCovered Long-Term Gains (Losses)				**118,410.69**	**0 00**	**76,250.55**	**42,160.14**

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Tax Year	Account No	Legal Name
2013	003-52618-3	THE JULIA CARELL STADLER

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ISHARES MSCI EAFE ETF (464287465)	08/22/2012	04/19/2013	1,780 00	104,876 31	0 00	92,762 21	12,114 10
ISHARES MSCI EMERGING MKTS ETF (464287234)	04/19/2013	04/24/2013	1,000 00	42,229 05	0 00	41,540 00	689 05
ISHARES MSCI EMERGING MKTS ETF (464287234)	04/19/2013	04/29/2013	800 00	34,079 23	0 00	33,232 00	847 23
SPDR EURO STOXX 50 FD ETF (78463X202)	05/22/2012	04/29/2013	600 00	21,047 52	0 00	16,842 00	4,205 52
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	06/21/2012	06/12/2013	4,088 40	37,000 00	0 00	36,591 16	408 84
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	07/31/2012	07/09/2013	92 78	667 08	0 00	670 79	(3 71)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679) Disallowed Loss							3 71
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	02/07/2013	08/13/2013	7,056 45	62,026 21	0 00	70,000 00	(7,973 79)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	10/22/2013	12/23/2013	8,820 29	75,060 64	0 00	80,000 00	(4,939 36)
Net Covered Short-Term Disallowed Losses							**3 71**
Net Covered Short-Term Gains (Losses)				**376,986.04**	**0.00**	**371,638.16**	**5,351 59**

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
SPDR S&P BANK ETF (78464A797)	02/02/2012	04/29/2013	800 00	21,079 52	0 00	17,258 48	3,821 04
SPDR EURO STOXX 50 FD ETF (78463X202)	05/22/2012	06/05/2013	600 00	21,317 62	0 00	16,842 00	4,475 62
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	06/21/2012	07/02/2013	1,693 00	15,000 00	0 00	15,152 37	(152 37)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	06/29/2012	07/09/2013	138 23	993 88	0 00	985 59	8 29
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	02/29/2012	07/09/2013	141 03	1,013 98	0 00	1,013 98	0 00
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	01/31/2012	07/09/2013	142 47	1,024 32	0 00	1,004 38	19 94
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	03/30/2012	07/09/2013	145 06	1,042 95	0 00	1,035 69	7 26
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	04/30/2012	07/09/2013	145 90	1,049 03	0 00	1,046 11	2 92
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	05/31/2012	07/09/2013	146 47	1,053 11	0 00	1,029 68	23 43
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	06/21/2012	08/13/2013	6,509 10	57,215 01	0 00	58,256 47	(1,041 46)
Net Covered Long-Term Gains (Losses)				**120,789.42**	**0 00**	**113,624 75**	**7,164.67**
NonCovered Long-Term Gains (Losses)							
GS SMALL/MID-CAP GROWTH FUND INSTITUTIONAL SHARES (38143H746)	11/17/2009	02/07/2013	3,510 83	60,000 00	0 00	42,270 33	17,729 67
ROYAL BANK OF CANADA LINKED TO MSCI EAFE 0% COUPON DUE 02/28/2013 STRUCTURED NOTE (78010V659)	12/21/2011	02/28/2013	50 00	54,500 00	0 00	50,000 00	4,500 00
RABOBANK NEDERLAND UTRECHT LINKED TO MSCI EAFE 0% COUPON DUE 03/26/2013 STRUCTURED NOTE (21684A809)	12/19/2011	03/26/2013	50 00	62,750 00	0 00	50,000 00	12,750 00
RABOBANK NEDERLAND UTRECHT LINKED TO S&P 500 0% COUPON DUE 03/26/2013 STRUCTURED NOTE (21684A882)	12/19/2011	03/26/2013	50 00	60,875 00	0 00	50,000 00	10,875 00
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	08/15/2008	04/11/2013	10,536 22	112,000 00	0 00	99,988 71	12,011 29
GS SMALL CAP VALUE FUND INSTITUTIONAL CLASS SHARES (38142V209)	08/15/2008	04/19/2013	206 74	10,000 00	0 00	7,701 06	2,298 94

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS SMALL/MID-CAP GROWTH FUND INSTITUTIONAL SHARES (38143H746)	11/17/2009	04/19/2013	580 38	10,000 00	0 00	6,987 81	3,012 19
EKSPORTFINANS ASA LINKED TO TOPIX 0% COUPON DUE 04/22/2013 STRUCTURED NOTE (28264M277)	10/14/2011	04/22/2013	40 00	60,330 65	0 00	40,300 00	20,030 65
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	08/15/2008	05/03/2013	13,992 54	150,000 00	0 00	132,789 18	17,210 82
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	10/29/2010	07/09/2013	128 34	922 74	0 00	938 14	(15 40)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	01/31/2011	07/09/2013	129 66	932 25	0 00	959 48	(27 23)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	04/29/2011	07/09/2013	130 88	941 04	0 00	977 69	(36 65)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	05/31/2011	07/09/2013	131 22	943 45	0 00	977 57	(34 12)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	12/31/2010	07/09/2013	131 71	946 99	0 00	961 48	(14 49)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	02/28/2011	07/09/2013	132 83	955 08	0 00	989 61	(34 53)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	07/29/2011	07/09/2013	132 91	955 59	0 00	975 53	(19 94)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	11/30/2010	07/09/2013	133 44	959 45	0 00	958 11	1 34
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	06/30/2011	07/09/2013	134 15	964 50	0 00	981 94	(17 44)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	03/31/2011	07/09/2013	142 50	1,024 60	0 00	1,054 53	(29 93)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	12/30/2011	07/09/2013	147 48	1,060 35	0 00	1,013 16	47 19
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	10/31/2011	07/09/2013	147 74	1,062 27	0 00	1,035 68	26 59
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	08/31/2011	07/09/2013	147 81	1,062 78	0 00	1,025 83	36 95
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	11/30/2011	07/09/2013	151 19	1,087 08	0 00	1,028 11	58 97
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/30/2009	07/09/2013	152 71	1,097 99	0 00	1,030 80	67 19
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	08/31/2009	07/09/2013	158 71	1,141 13	0 00	1,022 09	119 04
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	07/31/2009	07/09/2013	159 27	1,145 14	0 00	1,012 95	132 19
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/30/2011	07/09/2013	160 28	1,152 38	0 00	1,062 63	89 75
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	10/30/2009	07/09/2013	165 85	1,192 43	0 00	1,131 06	61 37

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	04/30/2010	07/09/2013	177 97	1,279 60	0 00	1,277 82	1 78
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	11/30/2009	07/09/2013	182 46	1,311 85	0 00	1,244 34	67 51
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	03/31/2010	07/09/2013	184 92	1,329 58	0 00	1,311 08	18 50
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	07/30/2010	07/09/2013	185 55	1,334 08	0 00	1,317 38	16 70
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	02/26/2010	07/09/2013	187 01	1,344 60	0 00	1,295 98	48 62
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	05/28/2010	07/09/2013	188 02	1,351 86	0 00	1,295 45	56 41
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/30/2010	07/09/2013	190 25	1,367 89	0 00	1,367 89	0 00
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	01/29/2010	07/09/2013	195 64	1,406 66	0 00	1,363 62	43 04
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	08/31/2010	07/09/2013	199 62	1,435 30	0 00	1,407 35	27 95
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	06/30/2010	07/09/2013	203 59	1,463 83	0 00	1,408 86	54 97
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	12/14/2011	07/09/2013	251 86	1,810 89	0 00	1,710 14	100 75
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	12/31/2009	07/09/2013	260 73	1,874 68	0 00	1,812 10	62 58
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	03/31/2009	07/09/2013	277 32	1,993 90	0 00	1,439 27	554 63
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	06/30/2009	07/09/2013	424 10	3,049 28	0 00	2,553 08	496 20
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	05/29/2009	07/09/2013	480 58	3,455 36	0 00	2,845 03	610 33
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	04/30/2009	07/09/2013	498 57	3,584 75	0 00	2,811 96	772 79
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	08/16/2011	07/09/2013	2,586 21	18,594 83	0 00	18,000 00	594 83
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	11/12/2009	07/09/2013	3,660 32	26,317 72	0 00	25,000 00	1,317 72
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	03/26/2009	07/09/2013	11,916 89	85,682 45	0 00	61,729 50	23,952 95
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	08/29/2008	08/15/2013	99 84	1,019 35	0 00	948 46	70 89
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	07/31/2009	08/15/2013	205 94	2,102 63	0 00	2,022 31	80 32
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	10/30/2009	08/15/2013	209 40	2,137 95	0 00	2,121 20	16 75

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	08/31/2009	08/15/2013	211 10	2,155 31	0 00	2,079 32	75 99
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	09/30/2009	08/15/2013	220 20	2,248 27	0 00	2,206 43	41 84
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	01/30/2009	08/15/2013	227 96	2,327 47	0 00	2,090 39	237 08
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	12/31/2008	08/15/2013	237 77	2,427 64	0 00	2,187 49	240 15
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	02/27/2009	08/15/2013	241 61	2,466 87	0 00	2,176 93	289 94
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	06/30/2009	08/15/2013	243 26	2,483 73	0 00	2,325 60	158 13
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	05/29/2009	08/15/2013	244 76	2,499 00	0 00	2,317 88	181 12
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	03/31/2009	08/15/2013	254 07	2,594 03	0 00	2,327 26	266 77
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	09/30/2008	08/15/2013	258 11	2,635 27	0 00	2,384 91	250 36
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	04/30/2009	08/15/2013	259 00	2,644 39	0 00	2,408 70	235 69
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	11/28/2008	08/15/2013	260 88	2,663 59	0 00	2,300 96	362 63
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	10/31/2008	08/15/2013	266 14	2,717 27	0 00	2,334 03	383 24
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	11/17/2009	08/15/2013	21,653 31	221,080 26	0 00	218,914 93	2,165 33
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	08/15/2008	08/15/2013	30,244 56	308,796 98	0 00	287,020 89	21,776 09

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Goldman Sachs

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	04/30/2010	08/20/2013	170 05	1,732 83	0 00	1,758 34	(25 51)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	11/30/2009	08/20/2013	273 61	2,788 10	0 00	2,779 89	8 21
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	01/29/2010	08/20/2013	273 63	2,788 27	0 00	2,785 53	2 74
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	02/26/2010	08/20/2013	288 05	2,935 18	0 00	2,940 94	(5 76)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	03/31/2010	08/20/2013	299 54	3,052 29	0 00	3,055 29	(3 00)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	12/31/2009	08/20/2013	410 73	4,185 34	0 00	4,123 73	61 61
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	11/17/2009	08/20/2013	17,911 48	182,517 99	0 00	181,085 07	1,432 92
BNP PARIBAS LINKED TO MSCI EAFE BETTER OF CNTNGNT CPN W BUFFER STRUCTURED NOTE DUE 12/12/2013 (05574LCH9)	11/08/2012	12/12/2013	40,000 00	42,640 00	0 00	40,000 00	2,640 00
Net NonCovered Long-Term Gains (Losses)				**1,567,632.01**	**0.00**	**1,407,060.81**	**160,571 20**

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment