Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: GENE & FLORENCE MONDAY FOUNDATION, INC.

A Employer identification number: 62-1518306

Number and street (or P O box number if mail is not delivered to street address): 1810 AILOR AVENUE — Room/suite:

B Telephone number: (865) 525-0238

City or town, state or province, country, and ZIP or foreign postal code: KNOXVILLE, TN 37921

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 4,875,864.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	10,314.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	148,576.	148,576.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	97,298.			
	b Gross sales price for all assets on line 6a 147,298.				
	7 Capital gain net income (from Part IV, line 2)		97,298.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total** Add lines 1 through 11	256,188.	245,874.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 2	5,099.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 3	9,289.	0.		0.
	24 **Total operating and administrative expenses** Add lines 13 through 23	14,388.	0.		0.
	25 Contributions, gifts, grants paid	214,000.			214,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	228,388.	0.		214,000.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	27,800.			
	b **Net investment income** (if negative, enter -0-)		245,874.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	86,344.	46,468.	46,468.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	3,371,666.	4,041,388.	4,041,388.
	c Investments - corporate bonds STMT 6	868,895.	788,008.	788,008.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	4,326,905.	4,875,864.	4,875,864.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable		10,000.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	10,000.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	4,326,905.	4,865,864.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	4,326,905.	4,865,864.	
	31 Total liabilities and net assets/fund balances	4,326,905.	4,875,864.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,326,905.
2 Enter amount from Part I, line 27a	2	27,800.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 4	3	511,159.
4 Add lines 1, 2, and 3	4	4,865,864.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,865,864.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GS NOTE	P	05/21/09	10/15/13
b	CAPITAL GAINS DIVIDENDS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	50,000.	50,000.		0.
b	97,298.			97,298.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				0.
b				97,298.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	97,298.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	200,000.	4,283,689.	.046689
2011	200,000.	4,090,301.	.048896
2010	198,686.	3,990,227.	.049793
2009	230,752.	4,168,571.	.055355
2008	237,898.	4,659,357.	.051058

2 **Total** of line 1, column (d)	2	.251791
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.050358
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	4,569,610.
5 Multiply line 4 by line 3	5	230,116.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,459.
7 Add lines 5 and 6	7	232,575.
8 Enter qualifying distributions from Part XII, line 4	8	214,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	4,917.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	4,917.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	4,917.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 4,760.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	4,760.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	2.
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	159.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶	Refunded ▶	11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TN			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) STMT 7	11	X	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ KENNETH W. HOLBERT Telephone no. ▶ (865) 525-0238

Located at ▶ 1810 AILOR AVENUE, KNOXVILLE, TN ZIP+4 ▶ 37921

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	4,528,361.
b	Average of monthly cash balances		1b	110,837.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	4,639,198.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	4,639,198.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	69,588.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	4,569,610.
6	**Minimum investment return.** Enter 5% of line 5		6	228,481.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	228,481.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 4,917.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	4,917.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	223,564.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	223,564.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	223,564.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	214,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	214,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	214,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				223,564.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			76,927.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 214,000.				
a Applied to 2012, but not more than line 2a			76,927.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				137,073.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				86,491.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 9

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BIG BROTHERS BIG SISTERS OF EAST TENNESSEE 119 W. SUMMITT HILL DRIVE, STE 101 KNOXVILLE, TN 37902	NONE	PUBLIC CHARITY	ENABLE YOUTH TO REALIZE THEIR POTENTIAL	10,000.
BOYS & GIRLS CLUB OF THE TN VALLEY 220 CARRICK STREET KNOXVILLE, TN 37917	NONE	PUBLIC CHARITY	ENHANCE THE LIVES OF YOUTHS	25,000.
EMERALD YOUTH FOUNDATION 1718 N. CENTRAL STREET KNOXVILLE, TN 37917	NONE	PUBLIC CHARITY	ASSIST WITH THE JUSTLEAD AFTER SCHOOL PROGRAM AND EMERALD YOUTH FELLOWS	3,000.
FAMILY CARE FOUNDATION 1373 MARRON VALLEY ROAD KNOXVILLE, TN 37917	NONE	PUBLIC CHARITY	HUMANITARIAN RELIEF WORLDWIDE FOR NEEDY FAMILIES	12,000.
KNOXVILLE ACADEMY OF MEDICINE 115 SUBURBAN ROAD KNOXVILLE, TN 37923	NONE	PUBLIC CHARITY	PROVIDE HEALTH CARE FOR UNINSURED LOW INCOME RESIDEN	10,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	214,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	148,576.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	97,298.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		245,874.	0.

13 Total Add line 12, columns (b), (d), and (e) 13 245,874.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Kenneth W. Halbert | 10/24/14 | ▶ TREASURER

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
KIM YOUNG	Kimberly Young, CPA	10/22/14		P00745372
Firm's name ▶ PUGH & COMPANY, P.C.			Firm's EIN ▶ 62-1142155	
Firm's address ▶ 315 N. CEDAR BLUFF RD.; SUITE 200 KNOXVILLE, TN 37923			Phone no. (865)769-0660	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www irs gov/form990 .

OMB No 1545-0047

2013

Name of the organization

GENE & FLORENCE MONDAY FOUNDATION, INC.

Employer identification number

62-1518306

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
GENE & FLORENCE MONDAY FOUNDATION, INC.	62-1518306

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	GFM, INC. P.O. BOX 1 KNOXVILLE, TN 37901	$ 10,314.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization: GENE & FLORENCE MONDAY FOUNDATION, INC.

Employer identification number: 62-1518306

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	
		$	
		$	
		$	
		$	
		$	

Name of organization	Employer identification number
GENE & FLORENCE MONDAY FOUNDATION, INC.	62-1518306

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once.) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Part XV · Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KNOXVILLE AREA URBAN LEAGUE 1514 E. FIFTH AVENUE KNOXVILLE, TN 37917	NONE	PUBLIC CHARITY	PROMOTE DIVERSITY, ECONOMIC AND SOCIAL EQUITY FOR ALL CITIZENS	3,000.
KNOXVILLE HABITAT FOR HUMANITY 1501 WASHINGTON AVE KNOXVILLE, TN 37917	NONE	PUBLIC CHARITY	FUNDING FOR SIMPLE, DECENT AFFORDABLE HOUSING FOR LO	16,000.
KNOXVILLE LEADERSHIP FOUNDATION 901 E. SUMMIT HILL DRIVE KNOXVILLE, TN 37915	NONE	PUBLIC CHARITY	PROMOTE LEADERSHIP IN THE KNOXVILLE AREA	7,500.
LADIES OF CHARITY OF KNOXVILLE 1031 N. CENTRAL STREET KNOXVILLE, TN 37917	NONE	PUBLIC CHARITY	PROVIDE PROPER NUTRITION TO HUNGRY ADULTS AND CHILDR	5,000.
MENTAL HEALTH ASSOCIATION OF EAST TN P.O. BOX 32731 KNOXVILLE, TN 37931	NONE	PUBLIC CHARITY	YOUTH SUICIDE PREVENTION PROGRAM	10,000.
OPEN ARMS MINISTRY P.O. BOX 422 CARRYVILLE, TN 37714	NONE	PUBLIC CHARITY	PROVIDE SUPPORT TO COMMUNITY	10,000.
SECOND HARVEST FOOD BANK OF EAST TN 922 DELAWARE AVE KNOXVILLE, TN 37921	NONE	PUBLIC CHARITY	SUPPORT NEEDY WITH FOOD	10,000.
SERTOMA CENTER, INC 1400 E. FIFTH AVENUE KNOXVILLE, TN 37917	NONE	PUBLIC CHARITY	SERVING ADULTS WITH INTELLECTUAL DISABILITIES	5,000.
THE FIRST TEE OF GREATER KNOXVILLE 2351 DANDRIDGE AVENUE KNOXVILLE, TN 37915	NONE	PUBLIC CHARITY	PROGRAMS TO HELP CHILDREN TO OVERCOME CONFLICTS AND CHALLENGES	5,000.
VOLUNTEER MINISTRY CENTER 103 S. GAY STREET KNOXVILLE, TN 37901	NONE	PUBLIC CHARITY	SUPPORT PROGRAMS FOR THE HOMELESS	25,000.
Total from continuation sheets				154,000.

323631
05-01-13

Part XV | Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WESLEY HOUSE COMMUNITY CENTER 923 DAMERON AVENUE KNOXVILLE, TN 37921	NONE	PUBLIC CHARITY	PROVIDING SUPPORT FOR THE LOCAL COMMUNITY	12,000.
YOKE YOUTH MINISTRIES P.O. BOX 3492 KNOXVILLE, TN 37927	NONE	PUBLIC CHARITY	UNDERWRITE REQUIREMENT AND TRAINING OF VOLUNTEERS	10,500.
FOUNDATION CITY ARTS CENTER, INC. 213 HOTEL AVENUE KNOXVILLE, TN 37918	NONE	PUBLIC CHARITY	PROVIDE VISUAL ARTS EXPERIENCES AND OPPORTUNITIES TO THE PUBLIC	1,000.
THE PHYLADELPHIANS PRISON MINISTRY 4531 OLD BROADWAY KNOXVILLE, TN 37918	NONE	PUBLIC CHARITY	TO COMMUNICATE THE GOSPEL TO MEN IN PRISON	5,000.
SOAR YOUTH MINISTRIES 1319 CONNETICUT AVENUE KNOXVILLE, TN 37921	NONE	PUBLIC CHARITY	TO SUPPORT THE POTENTIAL OF URBAN YOUTH	15,000.
UNIVERSITY OF TENNESSEE COLLEGE OF SOCIAL WORK 117 HENSON HALL KNOXVILLE, TN 37996	NONE	PUBLIC CHARITY	TO PROVIDE EDUATION IN PROFESSIONAL SOCIAL WORK	10,000.
YOUTH TRANSITIONS, INC. 301 CHESHIRE DRIVE KNOXVILLE, TN 37919	NONE	PUBLIC CHARITY	TO TRAIN STUEDNETS WITH DEVELOPMENT DISABILITIES FOR SUMMER AND CAREER EMPLOYMENT	4,000.
Total from continuation sheets				

323631
05-01-13

GENE AND FLORENCE MONDAY FOUNDATION

SUITE 500, 612 GAY STREET
POST OFFICE BOX 1
KNOXVILLE, TENNESSEE 37901

GRANT APPLICATION FORM

ORGANIZATION: ______________________________

ADDRESS: ______________________________

NAME OF CONTACT

PERSON: ______________ TITLE ______________ TELEPHONE ______________

GRANT REQUESTED IN THE AMOUNT OF $__________ IS TO BE USED FROM __________ TO __________ FOR SERVICES IN THE FOLLOWING CATEGORY (PLEASE CHECK)

______ A CHARITABLE

- ______ PROMOTE NON-SMOKING, NON-DRINKING, NO DRUGS PROGRAMS
- ______ ASSIST NEEDY WITH FOOD, CLOTHING, SHELTER AND EMPLOYMENT
- ______ ENCOURAGE CHILDREN & YOUTH IN BASIC MORAL VALUES
- ______ HELP RELIEVE SUFFERING BEYOND OUR IMMEDIATE GEOGRAPHICAL AREA
- ______ OTHER (Specify) ______________________________

______ B RELIGIOUS

______ C EDUCATIONAL

PLEASE ATTACH TO THIS APPLICATION THE FOLLOWING INFORMATION IN SUPPORT OF YOUR REQUEST

1. A PROGRAM DESCRIPTION, INCLUDING NEED FOR FUNDS, OF HOW THESE FUNDS WILL BE USED AND THE POPULATION TO BE HELPED
2. BUDGET FOR THE PROGRAM
3. CURRENT FIANCIAL REPORT
4. AUDIT FOR MOST RECENT FISCAL YEAR
5. LIST OF BOARD MEMBERS AND OFFICERS OF THE APPLICANT ORGANIZATION
6. COPY OF MINUTES OF BOARD MEETING AUTHORIZING SUBMISSION OF APPLICATION
7. COPY OF LETTER OF DETERMINATION OF TAX EXEMPT STATUS UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE.
8. COPY OF IRS REPORT 990, "REPORT OF ORGANIZATION EXEMPT FROM INCOME TAX" FOR PREVIOUS FISCAL YEAR
9. COPY OF SOLICITATION PERMITS FROM CITY OF KNOXVILLE AND TENNESSEE SECRETARY OF STATE (IF APPROPRIATE)
10. MOST RECENT ANNUAL REPORT

UNDERSTANDING AND COMMITMENT

IN MAKING THIS APPLICATION WE UNDERSTAND AND AGREE THAT SHOULD OUR REQUEST BE APPROVED WE WILL

A. REPAY ANY AMOUNT NOT USED FOR THE PURPOSES OF THE GRANT
B. SUBMIT FULL AND COMPLETE ANNUAL REPORTS ON THE MANNER IN WHICH THE FUNDS ARE SPENT AND THE PROGRESS OF THE GRANT
C. KEEP RECORDS OF RECEIPTS AND EXPENDITURES AND MAKE BOOKS AND RECORDS AVAILABLE TO THE GRANTOR AT REASONABLE TIMES
D. NOT USE ANY OF THE FUNDS TO
INFULUENCE LEGISLATION,
INFLUENCE THE OUTCOME OF ELECTIONS,
CARRY ON VOTER REGISTRATION DRIVES,
MAKE GRANTS TO INDIVIDUALS OR OTHER ORGANIZATIONS, OR
UNDERTAKE ANY NON-EXEMPT ACTIVITY

CERTIFICATION

THIS IS TO CERTIFY THAT THE INFORMATION INCLUDED IN THIS REQUEST IS TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE. IF APPROVED, THE GRANT WILL BE USED AS APPLIED FOR

DATE ______________ SIGNATURE ______________________________

TITLE ______________________________

NOTICE

GRANT APPLICATIONS SHOULD BE RECEIVED BY JULY 1 IN ORDER TO BE CONSIDERED FOR FUNDING IN THE NEXT CALENDAR YEAR

CASH EQUIVALENTS

	Approximate Current Value	Anticipated Annualized Income	Current Yield Percent
FEDERATED GOVERNMENT * CASH SER	$46,467.68	$4.65	0.010%
Total Cash Equivalents	$46,467.68	$4.65	

ASSETS HELD AT HILLIARD LYONS

TAXABLE FIXED INCOME	Symbol/CUSIP Rating	Quantity	Average Unit Cost	Cost	Approximate Current Price	Approximate Current Value	Unrealized Gain/(Loss)	Anticipated Annualized Income	Current Yield Percent
MORGAN STANLEY GLBL SUB NOTE SEMI CPN 4.750% DUE 04/01/14 DTD 03/30/04 FC 10/01/04	61748AAE6 S&P: BBB+ Moody: BAA3	50,000	$99.1410	$49,570.50	$100.8910	$50,445.50	$875.00	$2,375.00	4.708%
WELLS FARGO & CO SUB NOTE CPN 5.000% DUE 11/15/14 DTD 11/06/02 FC 05/15/03	949746CR0 S&P: A Moody: A3	50,000	$100.0160	$50,001.50	$103.7281	$51,864.05	$1,862.55	$2,500.00	4.820%
KEY BANK NA MEDIUM TERM SUBORDINATED BANK NOTE CPN 4.950% DUE 09/15/15 DTD 09/14/05 FC 03/15/06	49327XAA8 S&P: BBB+ Moody: BAA1	50,000	$96.0780	$49,363.97	$106.2475	$53,123.75	$3,759.78	$2,475.00	4.658%
BEAR STEARNS COS INC GLBL NOTE CPN 5.300% DUE 10/30/15 DTD 10/31/05 FC 04/30/06	073902KF4 S&P: A Moody: A3	50,000	$99.4376	$49,718.80	$107.7453	$53,872.65	$4,153.85	$2,650.00	4.919%
CATERPILLAR FINL SVCS MEDIUM TERM NOTE CPN 5.500% DUE 03/15/16 DTD 03/10/06 FC 09/15/06	14912L2Y6 S&P: A Moody: A2	50,000	$98.9270	$49,463.50	$109.4197	$54,709.85	$5,246 35	$2,750.00	5.026%
AMER EXPR CENTURION BANK SALT LAKE CITY UT CD FDIC SEMI CPN 3.500% DUE 12/02/16 DTD 12/02/09 FC 06/02/10	02586T4U5	50,000	$100.0160	$50,003.58	$107.2981	$53,649.05	$3,645.47	$1,750.00	3.261%

GENE AND FLORENCE MONDAY FOUNDATION, INC
ATTACHEMNT TO 2013 FORM 90-PF, PAGE 2, PART II, LINES 10B AND 10C

TAXABLE FIXED INCOME	Symbol/CUSIP Rating	Quantity	Average Unit Cost	Cost	Approximate Current Price	Approximate Current Value	Unrealized Gain/(Loss)	Anticipated Annualized Income	Current Yield Percent
TARGET CORP NOTE CPN 5.375% DUE 05/01/17 DTD 05/01/07 FC 11/01/07	87612EAP1 S&P: A+ Moody: A2	50,000	$102.2220	$50,520.35	$112.5826	$56,291.30	$5,770.95	$2,687.50	4.774%
BB&T CORP SUB NOTE CPN 4.900% DUE 06/30/17 DTD 06/30/05 FC 12/30/05	054937AG2 S&P: BBB+ Moody: A3	50,000	$97.7150	$49,435.57	$109.6882	$54,844.10	$5,408.53	$2,450.00	4.467%
AT&T INC GLBL NOTE CPN 5.500% DUE 02/01/18 DTD 02/01/08 FC 08/01/08	00206RAJ1 S&P: A- Moody: A3	50,000	$100.5603	$50,150.02	$113.0838	$56,541.90	$6,391 88	$2,750.00	4.863%
GENL ELECTRIC CAP CORP MEDIUM TERM NOTE CPN 5.625% DUE 05/01/18 DTD 04/21/08 FC 11/01/08	36962G3U6 S&P: AA+ Moody: A1	50,000	$97.0505	$49,189.24	$115.0323	$57,516.15	$8,326.91	$2,812.50	4.889%
BANK AMER CORP SUB NOTE CPN 5.490% DUE 03/15/19 DTD 03/15/07 FC 09/15/07	060505DB7 S&P: BBB+ Moody: BAA3	25,000	$103.3860	$25,588.99	$110.0832	$27,520.80	$1,931.81	$1,372.50	4.987%
DISNEY WALT CO NEW MEDIUM TERM NOTES CPN 5.500% DUE 03/15/19 DTD 03/16/09 FC 09/15/09	25468PCK0 S&P: A Moody: A2	50,000	$104.2400	$51,251.09	$115.1693	$57,584.65	$6,333.56	$2,750.00	4.775%
EMERSON ELEC CO NOTE CPN 5.000% DUE 04/15/19 DTD 04/17/09 FC 10/15/09	291011BA1 S&P: A Moody: A2	50,000	$101.1180	$50,332.58	$112.6148	$56,307.40	$5,974.82	$2,500.00	4.439%
JPMORGAN CHASE & CO NOTE CPN 4.250% DUE 10/15/20 DTD 10/21/10 FC 04/15/11	46625HHU7 S&P: A Moody: A3	25,000	$97.8010	$24,450.25	$105.9970	$26,499.25	$2,049.00	$1,062.50	4.009%
GENL ELECTRIC CAP CORP MEDIUM TERM NOTE CPN 4.625% DUE 01/07/21 DTD 01/07/11 FC 07/07/11	36962G4Y7 S&P: AA+ Moody: A1	25,000	$100.8140	$25,155.60	$108.8389	$27,209.72	$2,054.12	$1,156.25	4.249%
NUCOR CORP NOTE CPN 4.125% DUE 09/15/22 DTD 09/21/10 FC 03/15/11 CALL 03/15/22 @ 100.000	670346AL9 S&P: A Moody: BAA1	25,000	$100.3210	$25,063.22	$100.1344	$25,033.60	($29.62)	$1,031.25	4.119%

GENE AND FLORENCE MONDAY FOUNDATION, INC
ATTACHEMNT TO 2013 FORM 90-PF, PAGE 2, PART II, LINES 10B AND 10C

TAXABLE FIXED INCOME	Symbol/CUSIP Rating	Quantity	Average Unit Cost	Cost	Approximate Current Price	Approximate Current Value	Unrealized Gain/(Loss)	Anticipated Annualized Income	Current Yield Percent
GOLDMAN SACHS GROUP INC MEDIUM TERM NOTE CPN 5.000% DUE 03/15/23 DTD 03/23/11 FC 04/15/11	38141EY94 S&P: A- Moody: BAA1	25,000	$100.0360	$25,007.40	$99.9754	$24,993.85	($13.55)	$1,250.00	5.001%
Total Taxable Fixed Income				$724,266.16		$788,007.57	$63,741.41	$36,322.50	

MUTUAL FUNDS	Symbol/CUSIP	Quantity	Average Unit Cost	Cost	Approximate Current Price	Approximate Current Value	Unrealized Gain/(Loss)	Anticipated Annualized Income
INVESTMENT COMPANY OF AMERICA CL A	AIVSX	22,952.748	$29.3334	$673,284.10	$36.7000	$842,365.85	$169,081.75	$12,394.48
JPMORGAN EQUITY INCOME CL A	OIEIX	55,039.908	$9.6809	$532,840.37	$12.8700	$708,363.61	$175,523.24	$12,328.93
JPMORGAN LARGE CAP GROWTH CL A	OLGAX	21,486.181	$23.3532	$501,772.10	$31.7800	$682,830.83	$181,058.73	$1,654.43
NEW PERSPECTIVE CL A	ANWPX	9,872.604	$27.0028	$266,588.43	$37.5600	$370,815.00	$104,226.57	$3,267.83
SMALLCAP WORLD CL A	SMCWX	7,880.621	$34.0287	$268,167.82	$49.1500	$387,332.52	$119,164.70	$4,263.41
Total Mutual Funds				$2,242,652.82		$\boxed{\$2,991,707.81}$	$749,054.99	$33,909.08
Total Assets held at Hilliard Lyons				$2,966,918.98		$3,779,715.38	$812,796.40	$70,231.58

* Please note "Unrealized Gain/(Loss)" does not equal the total current value minus the total cost if any value or cost amounts are missing.

	Cost	Approximate Current Value	Unrealized Gain/(Loss)	Anticipated Annualized Income
Total Account Value	$2,966,918.98	$3,826,183.06	$812,796.40	$70,236.23

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
GFM, INC.	78,686.	0.	78,686.	78,686.	
HILLIARD LYONS	69,890.	0.	69,890.	69,890.	
HILLIARD LYONS	97,298.	97,298.	0.	0.	
TO PART I, LINE 4	245,874.	97,298.	148,576.	148,576.	

FORM 990-PF TAXES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	4,545.	0.		0.
FOREIGN TAX ON DIVIDENDS	554.	0.		0.
TO FORM 990-PF, PG 1, LN 18	5,099.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANNUAL REPORT FEE	20.	0.		0.
ATTORNEY FEES	6,449.	0.		0.
ACCOUNTING FEES	2,820.	0.		0.
TO FORM 990-PF, PG 1, LN 23	9,289.	0.		0.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 4

DESCRIPTION	AMOUNT
UNREALIZED GAIN/LOSS ON INVESTMENTS	511,159.
TOTAL TO FORM 990-PF, PART III, LINE 3	511,159.

FORM 990-PF CORPORATE STOCK STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GFM, INC.	1,049,680.	1,049,680.
HILLIARD LYONS - MUTUAL FUNDS	2,991,708.	2,991,708.
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,041,388.	4,041,388.

FORM 990-PF CORPORATE BONDS STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
HILLIARD LYONS - FIXED INCOME	788,008.	788,008.
TOTAL TO FORM 990-PF, PART II, LINE 10C	788,008.	788,008.

FORM 990-PF LIST OF CONTROLLED ENTITIES STATEMENT 7
PART VII-A, LINE 11

NAME OF CONTROLLED ENTITY	EMPLOYER ID NO
GFM, INC.	62-0352375

ADDRESS

EXCESS BUSINESS HOLDING [ ] YES [X] NO

6440 PAPERMILL DRIVE
KNOXVILLE, TN 37919

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 8
TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
RON CUNNINGHAM P.O. BOX 1 KNOXVILLE, TN 37901	PRESIDENT 1.00	0.	0.	0.
WILLIAM E. MONDAY III 208 BUSBEE ROAD KNOXVILLE, TN 37920	VICE PRESIDENT/ DIRECTOR 1.00	0.	0.	0.
KENNETH W. HOLBERT P.O. BOX 1 KNOXVILLE, TN 37901	TREASURER 1.00	0.	0.	0.
JOAN VESTAL ELLIS 7914 GLEASON DR, APT. 1053 KNOXVILLE, TN 37919	SECRETARY 1.00	0.	0.	0.
JAMES S. MONDAY 208 BUSBEE ROAD KNOXVILLE, TN 37920	DIRECTOR 0.00	0.	0.	0.
ROBERT W. MONDAY 902 KERMIT DRIVE KNOXVILLE, TN 37912	DIRECTOR 0.00	0.	0.	0.

JOHN LACY, MD 1932 ALCOA HWY KNOXVILLE, TN 37920	DIRECTOR 0.00	0.	0.	0.
JAMES STOGNER 1044 CRAIGLAND COURT KNOXVILLE, TN 37919	DIRECTOR 0.00	0.	0.	0.
WILLIAM E. MONDAY IV 208 BUSBEE ROAD KNOXVILLE, TN 37920	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 9
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

RON CUNNINGHAM, PRESIDENT
P.O. BOX 1
KNOXVILLE, TN 37901

TELEPHONE NUMBER

865-525-0238

FORM AND CONTENT OF APPLICATIONS

SEE THE ATTACHED GRANT APPLICATION FORM.

ANY SUBMISSION DEADLINES

APPLICATIONS ARE DUE JULY 1, BUT ARE ACCEPTED IF REC'D BEFORE THE GRANTS COMMITTEE MEETING IN SEPT.

RESTRICTIONS AND LIMITATIONS ON AWARDS

AWARDS MUST BE USED FOR CHARITABLE, RELIGIOUS OR EDUCATIONAL PURPOSES. SEE THE ATTACHED GRANT APPLICATION FORM.