



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE ROSE FOUNDATION		A Employer identification number 62-1450062	
Number and street (or P O box number if mail is not delivered to street address) 1779 KIRBY PARKWAY 1 PMB 320		B Telephone number (see instructions) (901) 821-5911	
City or town, state or province, country, and ZIP or foreign postal code MEMPHIS, TN 38138		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 3,690,755	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	333,708			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	61,130	61,130		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	30,453			
	b Gross sales price for all assets on line 6a 490,623				
	7 Capital gain net income (from Part IV, line 2) . . .		30,453		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	425,291	91,583		
	13 Compensation of officers, directors, trustees, etc	16,250	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	4,945	4,945		0
	c Other professional fees (attach schedule)	16,144	16,144		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	265	265		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	238	238		0
	24 Total operating and administrative expenses. Add lines 13 through 23	37,842	21,592		0
	25 Contributions, gifts, grants paid	265,350			265,350
	26 Total expenses and disbursements. Add lines 24 and 25	303,192	21,592		265,350
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	122,099			
	b Net investment income (if negative, enter -0-)		69,991		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	43,870	10,615	10,615
	2	Savings and temporary cash investments	257,642	162,847	162,847
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,377,776	2,032,023	2,032,023
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,471,931	1,485,270	1,485,270
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,151,219	3,690,755	3,690,755
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	3,151,219	3,690,755	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	3,151,219	3,690,755	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	3,151,219	3,690,755	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	3,151,219
2	Enter amount from Part I, line 27a	2	122,099
3	Other increases not included in line 2 (itemize) ▶ _____	3	417,437
4	Add lines 1, 2, and 3	4	3,690,755
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,690,755

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	30,453
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	235,264	2,619,693	0 089806
2011	266,810	2,804,236	0 095145
2010	280,492	2,879,347	0 097415
2009	210,354	3,559,055	0 059104
2008	199,974	4,345,035	0 046024

2	Total of line 1, column (d).	2	0 387494
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 077499
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	3,820,595
5	Multiply line 4 by line 3.	5	296,092
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	700
7	Add lines 5 and 6.	7	296,792
8	Enter qualifying distributions from Part XII, line 4.	8	265,350

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	1,400		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)							
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		2	0		
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)					
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)				3	1,400
3		Add lines 1 and 2.				4	0
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	1,400		
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		6a	2,364		
6		Credits/Payments					
a		2013 estimated tax payments and 2012 overpayment credited to 2013					
b		Exempt foreign organizations—tax withheld at source					
c		Tax paid with application for extension of time to file (Form 8868)					
d		Backup withholding erroneously withheld		7	2,364		
7		Total credits and payments. Add lines 6a through 6d.					
8		Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached				8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed				9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.				10	964
11		Enter the amount of line 10 to be Credited to 2014 estimated tax 964 Refunded		11	0		

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0 (2) On foundation managers \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions): TN			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10		No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of NORMA EGBERT Telephone no (901) 821-5911 Located at 1779 KIRBY PARKWAY 1 PMB 320 MEMPHIS TN ZIP +4 38138			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☒

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

0

Form 990-PF (2013)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	3,682,618
b	Average of monthly cash balances.	1b	196,159
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	3,878,777
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	3,878,777
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	58,182
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,820,595
6	Minimum investment return. Enter 5% of line 5.	6	191,030

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	191,030
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	1,400
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,400
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	189,630
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	189,630
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	189,630

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	265,350
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	265,350
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	265,350
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				189,630
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.	32,933			
c From 2010.	136,791			
d From 2011.	127,278			
e From 2012.	104,551			
f Total of lines 3a through e.	401,553			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 265,350				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				189,630
e Remaining amount distributed out of corpus	75,720			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	477,273			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	477,273			
10 Analysis of line 9				
a Excess from 2009. . . .	32,933			
b Excess from 2010. . . .	136,791			
c Excess from 2011. . . .	127,278			
d Excess from 2012. . . .	104,551			
e Excess from 2013. . . .	75,720			

Part XIV

4942(j)(3) or 4942(j)(5)

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV

1

MICHAEL D ROSE

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	265,350
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes

No

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1a(1)

No

1a(2)

No

b

Other transactions

1b(1)

No

1b(2)

No

1b(3)

No

1b(4)

No

1b(5)

No

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

b

If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

2014-11-14

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes

No

Paid Preparer Use Only

Print/Type preparer's name
WESLEY C POOLE

Preparer's Signature

Date

Check if self-employed

PTIN
P01066365

Firm's name

FINANCIAL STRATEGY GROUP PLC

Firm's EIN

62-1795474

Firm's address

700 COLONIAL ROAD SUITE 120 MEMPHIS, TN 38117

Phone no.

(901) 763-3335

Form 990-PF (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PHILLIPS 66 CMN, 100 SHS		2011-05-11	2013-03-21
SPECTRA ENERGY CORP CMN, 2000 SHS		2009-11-17	2013-03-21
CENTURYLINK INC CMN, 600 SHS		2011-02-24	2013-07-26
PHILIP MORRIS INTL INC CMN, 500 SHS		2005-05-19	2013-07-26
PEPSICO INC CMN, 200 SHS		1997-05-23	2013-07-29
GOOGLE, INC CMN CLASS A, 20 SHS		2008-04-01	2013-09-11
THERMO FISHER SCIENTIFIC INC CMN, 300 SHS		2008-11-26	2013-09-11
THERMO FISHER SCIENTIFIC INC CMN, 250 SHS		2008-11-26	2013-10-10
GS SHORT-DURATION GOVT FUND INST SHARES, 7389 SHS		2012-03-27	2013-12-05
KINDER MORGAN MANAGEMENT, LLC CMN, 71 SHS		2010-05-11	2013-01-29
KINDER MORGAN MANAGEMENT, LLC CMN, 21 SHS		2010-05-11	2013-07-29
KINDER MORGAN MANAGEMENT, LLC CMN, 46 SHS		2010-05-11	2013-10-29
ENTERPRISE PRODUCTS PART L P CMN, 450 SHS		2013-05-07	2013-12-19
ENTERPRISE PRODUCTS PART L P CMN, 300 SHS		2013-05-07	2013-12-19
GS LOCAL EMER MARKETS DEBT FUND INST SHS, 10163 SHS		2013-03-08	2013-12-05
EDUCATION REALTY TRUST, INC CMN, 8000 SHS		2006-05-04	2013-12-11
GS DISTRESSED OPP IV		2009-01-01	2013-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,598		3,382	3,216
57,316		38,720	18,596
21,413		24,094	-2,681
43,812		17,713	26,099
16,881		7,724	9,157
17,676		9,260	8,416
26,875		10,198	16,677
22,592		8,498	14,094
75,000		75,811	-811
58			58
16			16
34			34
27,895		27,405	490
18,597		18,270	327
86,077		100,000	-13,923
69,783		118,450	-48,667
		645	-645

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,216
			18,596
			-2,681
			26,099
			9,157
			8,416
			16,677
			14,094
			-811
			58
			16
			34
			490
			327
			-13,923
			-48,667
			-645

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL D ROSE	PRESIDENT 0 00	0	0	0
1779 KIRBY PARKWAY 1 PMB 320 MEMPHIS,TN 38138				
NORMA EGBERT	SECRETARY 0 00	0	0	0
1779 KIRBY PARKWAY 1 PMB 320 MEMPHIS,TN 38138				
MATTHEW D ROSE	DIRECTOR 10 00	8,750	0	0
1779 KIRBY PARKWAY 1 PMB 320 MEMPHIS,TN 38138				
GABRIELLE E ROSE	DIRECTOR 5 00	3,750	0	0
1779 KIRBY PARKWAY 1 PMB 320 MEMPHIS,TN 38138				
MORGAN D ROSE	DIRECTOR 5 00	3,750	0	0
1779 KIRBY PARKWAY 1 PMB 320 MEMPHIS,TN 38138				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN ATHLETIC UNION OF THE UNITED STATES PO BOX 752043 MEMPHIS,TN 38175		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	3,000
ASPEN COMMUNITY FOUNDATION 110 E HALLAM ST SUITE 126 ASPEN,CO 81611		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	75,000
ASPEN HISTORICAL SOCIETY 620 WEST BLEEKER ASPEN,CO 81611		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	1,100
BETHLEHEM HAVEN 905 WATSON STEET PITTSBURGH,PA 15219		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	500
BOYS AND GIRLS CLUBS 44 S REMBERT ST MEMPHIS,TN 38104		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	1,500
BRIDGES 477 N FIFTH STREET MEMPHIS,TN 38105		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	1,000
BRIDGEWAY SPORTS PO 7 MT PLEASANT,MS 38649		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	2,000
COMMITTEE FOR ECONOMIC DEVELOPMENT 2000 L STREET NO 700 WASHINGTON,DC 20036		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	1,000
COMMUNITY FOUNDATION OF GREATER MEMPHIS INC 1900 UNION AVENUE MEMPHIS,TN 38104		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	5,000
DYNAMO PARENTS' CLUB INC 3119 SHALLOWFORD ROAD ATLANTA,GA 30341		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	12,500
FELLOWSHIP OF CHRISTIAN ATHLETES 8701 LEEDS ROAD KANSAS CITY,MO 64129		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	5,000
LEHIGH UNIVERSITY 524 BRODHEAD AVENUE BETHLEHEM,PA 18015		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	250
RHODES COLLEGE 2000 N PARKWAY MEMPHIS,TN 38112		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	1,000
SOCIETY OF ENTREPRENEURS PO BOX 770839 MEMPHIS,TN 38177		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	1,000
ST GEORGE'S INDEPENDENT SCHOOLS FOUNDATION 8235 DOGWOOD RD GERMANTOWN,TN 38139		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	30,000
Total  3a				265,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
STREETS MINISTIRES 430 VANCE AVE MEMPHIS,TN 38126		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	20,000
TEACH FOR AMERICA INC 315 WEST 36TH STREET 7TH FLOOR NEW YORK,NY 10018		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	5,000
THE CHICAGO COMMUNITY TRUST 225 N MICHIGAN AVENUE STE 2200 CHICAGO,IL 60601		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	50,000
THE COMMUNITY FOUNDATION FOR GREATER ATLANTA INC 50 HURT PLZ SE STE 449 ATLANTA,GA 30303		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	50,000
YMCA OF GREATER PITTSBURGH 420 FORT DUQUESNE BLVD NO 625 PITTSBURGH,PA 15222		501(C)(3) PUBLIC CHA	UNRESTRICTED CONTRIBUTION FOR THE FURTHERANCE OF THE QUALIFIED CHARITY'S MISSION	500
Total  3a				265,350

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.	OMB No 1545-0047 2013
---	--	---

Name of the organization THE ROSE FOUNDATION	Employer identification number 62-1450062
--	---

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

[illegible]

[illegible]

Name of organization THE ROSE FOUNDATION	Employer identification number 62-1450062
--	---

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		

TY 2013 Accounting Fees Schedule

Name: THE ROSE FOUNDATION

EIN: 62-1450062

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	4,945	4,945		0

TY 2013 Investments Corporate
Stock Schedule

Name: THE ROSE FOUNDATION
EIN: 62-1450062

Name of Stock	End of Year Book Value	End of Year Fair Market Value
APPLE INC., CMN - 50 SHS	28,051	28,051
BRISTOL-MYERS SQUIBB COMPANY, CMN - 800 SHS	42,808	42,808
CENTURYLINK INC, CMN - 600 SHS	0	0
CONOCO PHILLIPS, CMN - 600 SHS	42,390	42,390
EMERSON ELECTRIC CO., CMN - 700 SHS	49,126	49,126
EXXON MOBIL CORPORATION, CMN - 1200 SHS	121,440	121,440
FREEPORT-MCMORAN COPPER & GOLD, CMN - 600 SHS	22,644	22,644
GOOGLE, INC., CMN CLASS A - 80 SHS	89,657	89,657
JPMORGAN CHASE & CO, CMN - 250 SHS	14,620	14,620
KELLOGG COMPANY, CMN - 1045 SHS	63,818	63,818
KINDER MORGAN MANAGEMENT, LLC, CMN - 2186 SHS	165,393	165,393
MC DONALDS CORP, CMN - 300 SHS	29,109	29,109
ORACLE CORPORATION, CMN - 1700 SHS	65,042	65,042
PEPSICO INC, CMN - 600 SHS	50,105	50,105
PHILIP MORRIS INTL INC, CMN 700 SHS	61,649	61,649
PHILLIPS 66, CMN SERIES - 100 SHS	0	0
PRAXAIR, INC, CMN SERIES - 400 SHS	52,012	52,012
PROCTER & GAMBLE COMPANY, CMN - 1000 SHS	81,410	81,410
QUALCOMM INC, CMN - 800 SHS	59,400	59,400
SCHLUMBERGER LTD, CMN - 450 SHS	40,690	40,690
SEMPRA ENERGY, CMN - 400 SHS	36,156	36,156
SPECTRA ENERGY CORP, CMN - 2000 SHS	0	0
THE WILLIAMS COMPANIES, INC., CMN - 1000 SHS	38,570	38,570
THERMO FISHER SCIENTIFIC INC, CMN - 250 SHS	27,875	27,875
VERIZON COMMUNICATIONS INC., CMN - 900 SHS	44,226	44,226
WALGREEN CO., CMN - 500 SHS	28,720	28,720
BRISTOL-MYERS SQUIBB COMPANY, CMN - 2200 SHS	117,722	117,722
KINDER MORGAN MANAGEMENT, LLC, CMN - 1066 SHS	80,654	80,654
MC DONALDS CORP, CMN - 775 SHS	75,198	75,198
PHILIP MORRIS INTL INC, CMN 1000 SHS	88,070	88,070

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SPECTRA ENERGY CORP, CMN - 2300 SHS	81,926	81,926
VERIZON COMMUNICATIONS INC., CMN - 1550 SHS	76,167	76,167
AMBEV S A SPONSORED ADR, CMN - 12500 SHS	91,875	91,875
FIRST HORIZON NATIONAL CORP, PFD SERIES A - 8000 SHS	165,500	165,500

TY 2013 Investments - Other Schedule**Name:** THE ROSE FOUNDATION**EIN:** 62-1450062

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
GS SHORT DURATION GOVT FUND INST SHARES	FMV	23,930	23,930
GS HIGH YIELD FLOATING RATE FUND INST SHARES	FMV	25,074	25,074
GS RISING DIVIDEND GROWTH FUND - 1058 SHS	FMV	20,847	20,847
GS MLP ENERGY INFRASTRUCTURE FUND - 9467 SHS	FMV	106,688	106,688
ACCENTURE PLC, CMN - 250 SHS	FMV	20,555	20,555
POWERSHARES PFD PORT EXCH FUND - 1500 SHS	FMV	20,160	20,160
GS MLP ENERGY INFRASTRUCTURE FUND - 4677 SHS	FMV	52,713	52,713
FELCOR LODGING TRUST INC SER-A CUMUL CONV, PFD - 1500 SHS	FMV	36,375	36,375
GS RISING DIVIDEND GROWTH FUND - 5903 SHS	FMV	116,293	116,293
LEE ENHANCED OFFSHORE FUND	FMV	78,366	78,366
GS DISTRESSED OPPORTUNITIES FUND IV LP	FMV	466,912	466,912
ACROPOLIS	FMV	0	0
M/S OPP MORTGAGE INC.	FMV	517,357	517,357

TY 2013 Other Expenses Schedule

Name: THE ROSE FOUNDATION

EIN: 62-1450062

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK SERVICE CHARGE	238	238		0

TY 2013 Other Increases Schedule

Name: THE ROSE FOUNDATION

EIN: 62-1450062

Description	Amount
UNREALIZED CHANGE IN FUND BALANCE	417,437

TY 2013 Other Professional Fees Schedule

Name: THE ROSE FOUNDATION

EIN: 62-1450062

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT FEES - GOLDMAN SACHS	11,458	11,458		0
MANAGEMENT FEES - DUNCAN WILLIAMS	4,686	4,686		0

TY 2013 Taxes Schedule**Name:** THE ROSE FOUNDATION**EIN:** 62-1450062

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	65	65		0
MISCELLANEOUS EXPENSE	200	200		0