Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www irs.gov/form990pf*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation DONALD A HANCOCK PERPETUAL CHARITABLE TRUST 1033000956

A Employer identification number 61-6277256

Number and street (or P O box number if mail is not delivered to street address) STOCK YARDS BK & TR CO P.O. BOX 34290

Room/suite

B Telephone number (see instructions) 502-625-2282

City or town, state or province, country, and ZIP or foreign postal code LOUISVILLE, KY 40232-4290

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 1,946,928.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) ________________ *(Part I, column (d) must be on cash basis)*

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	42,910.	43,838.		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	88,318.			
	b	Gross sales price for all assets on line 6a 407,983.				
	7	Capital gain net income (from Part IV, line 2)		88,318.		
	8	Net short-term capital gain				
	9	Income modifications				
	10 a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	131,228.	132,156.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	17,623.	17,623.		
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) STMT 1	750.	750.	NONE	NONE
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 2	3,234.	592.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) STMT 3	1,500.	1,500.		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	23,107.	20,465.	NONE	NONE
	25	Contributions, gifts, grants paid	88,656.			88,656.
	26	**Total expenses and disbursements** Add lines 24 and 25	111,763.	20,465.	NONE	88,656.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	19,465.			
	b	**Net investment income** (if negative, enter -0-)		111,691.		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	42,159.	59,165.	59,165.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)	1,492,671.	1,495,839.	1,887,763.
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,534,830.	1,555,004.	1,946,928.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, ▶ ☒ check here and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	1,534,830.	1,555,004.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	1,534,830.	1,555,004.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,534,830.	1,555,004.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,534,830.
2	Enter amount from Part I, line 27a	2	19,465.
3	Other increases not included in line 2 (itemize) ▶ US TIP BONDS OID	3	801.
4	Add lines 1, 2, and 3	4	1,555,096.
5	Decreases not included in line 2 (itemize) ▶ RETURN OF CAPITAL	5	92.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,555,004.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo., day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	407,983.		319,665.	88,318.
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				88,318.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 88,318.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . } 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	88,133.	1,741,625.	0.050604
2011	87,900.	1,749,153.	0.050253
2010	86,179.	1,688,416.	0.051041
2009	101,064.	1,642,337.	0.061537
2008	117,462.	2,029,454.	0.057879

2 **Total** of line 1, column (d) 2 0.271314

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 3 0.054263

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 . . . . 4 1,836,886.

5 Multiply line 4 by line 3 . . . . 5 99,675.

6 Enter 1% of net investment income (1% of Part I, line 27b) . . . . 6 1,117.

7 Add lines 5 and 6 . . . . 7 100,792.

8 Enter qualifying distributions from Part XII, line 4 . . . . 8 88,656.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	2,234.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			2	
3	Add lines 1 and 2			3	2,234.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	2,234.
6	Credits/Payments:				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,664.		
b	Exempt foreign organizations - tax withheld at source	6b	NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,664.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶			9	570.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . ▶			10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ NONE **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ____________ (2) On foundation managers ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ KY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation.*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ STOCK YARDS BANK & TRUST CO. Telephone no ▶ (502)625-2282

Located at ▶ P O BOX 34290, LOUISVILLE, KY ZIP+4 ▶ 40232-4290

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Yes	No
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a During the year did the foundation (either directly or indirectly).			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
If "Yes," list the years ▶ ____, ____, ____, ____			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STOCK YARDS BANK & TRUST CO P O BOX 34290, LOUISVILLE, KY 40232	TRUSTEE 1	17,623	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over $50,000 for professional services ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,822,394.
b	Average of monthly cash balances	1b	42,465.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	1,864,859.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	1,864,859.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	27,973.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,836,886.
6	***Minimum investment return.*** Enter 5% of line 5	6	91,844.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	91,844.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,234.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	2,234.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	89,610.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	89,610.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	89,610.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes.		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	88,656.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	88,656.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	88,656.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7					89,610.
2	Undistributed income, if any, as of the end of 2013					
a	Enter amount for 2012 only				NONE	
b	Total for prior years 20 11 ,20 ,20			NONE		
3	Excess distributions carryover, if any, to 2013					
a	From 2008	10,629.				
b	From 2009	19,791.				
c	From 2010	3,010.				
d	From 2011	1,123.				
e	From 2012	2,714.				
f	**Total** of lines 3a through e		37,267.			
4	Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 88,656.					
a	Applied to 2012, but not more than line 2a				NONE	
b	Applied to undistributed income of prior years (Election required - see instructions)			NONE		
c	Treated as distributions out of corpus (Election required - see instructions)		NONE			
d	Applied to 2013 distributable amount					88,656.
e	Remaining amount distributed out of corpus		NONE			
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		954.			954.
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		36,313.			
b	Prior years' undistributed income Subtract line 4b from line 2b			NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			NONE		
d	Subtract line 6c from line 6b Taxable amount - see instructions			NONE		
e	Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions				NONE	
f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)		NONE			
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		9,675.			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		26,638.			
10	Analysis of line 9					
a	Excess from 2009	19,791.				
b	Excess from 2010	3,010.				
c	Excess from 2011	1,123.				
d	Excess from 2012	2,714.				
e	Excess from 2013					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

61-6277256

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICARES SUSAN PAINTER, MANAGER NEW CANAAN CT 06840	NONE	PC	NAMED RECIPIENT IN TRUST DOCUMENT	17,731.
PARALYZED VETERANS OF AMERICA KENTUCKY INDIANA CHAPTER LOUISVILLE KY 40217	NONE	PC	NAMED RECIPIENT IN TRUST DOCUMENT	22,164.
AMERICAN COLLEGE OF COUNSELORS INC ATTN: DR. 824 SOUTH PARK AVENUE SPRINGFIELD IL 62704	NONE	PC	NAMED RECIPIENT IN TRUST DOCUMENT	17,731.
KOSAIR CHARITIES COMMITTEE INC ATTN: MELISSA P.O. BOX 37370 LOUISVILLE KY 40233	NONE	PC	NAMED RECIPIENT IN TRUST DOCUMENT	13,298.
ANIMAL CARE SOCIETY ATTN: MR. GEOFFREY H. LEE 122207 WESTPORT ROAD LOUISVILLE KY 40245	NONE	PC	NAMED RECIPIENT IN TRUST DOCUMENT	8,866.
ASTARA ATTN: DEAN ZAKICH P.O. BOX 5003 UPLAND CA 97185-5003	NONE	PC	NAMED RECIPIENT IN TRUST DOCUMENT	8,866.
Total . . . . ▶ 3a				88,656.
b *Approved for future payment*				
Total . . . . ▶ 3b				

61-6277256

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	42,910.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	88,318.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				131,228.	

13 **Total** Add line 12, columns (b), (d), and (e) . . . . 13 131,228.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Ted Snowden | 05/07/2014

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
WESLEY HIRSCHBERG		05/07/2014		P00162244

Firm's name ▶ ERNST & YOUNG U.S. LLP

Firm's EIN ▶ 34-6565596

Firm's address ▶ 155 NORTH WACKER DRIVE
CHICAGO, IL 60606

Phone no 312-879-2000

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2013

Name of estate or trust: DONALD A HANCOCK PERPETUAL CHARITABLE TRUST

Employer identification number: 61-6277256

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked				
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked	51,714.	54,362.		-2,648.

4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet	6	( )
7 **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶	7	-2,648.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked				
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked				
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked	345,111.	265,303.		79,808.

11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	12	
13 Capital gain distributions	13	11,158.
14 Gain from Form 4797, Part I	14	
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet	15	( )
16 **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back ▶	16	90,966.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III Summary of Parts I and II

Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
17	**Net short-term gain or (loss)**	17			-2,648.
18	**Net long-term gain or (loss):**				
a	Total for year	18a			90,966.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	18b			
c	28% rate gain	18c			
19	**Total net gain or (loss).** Combine lines 17 and 18a ▶	19			88,318.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.*

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 19, column (3) **or** b $3,000 20 ( )

Note: *If the loss on line 19, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:*

- *Either line 18b, col. (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col. (2) is more than zero

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	21	
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22	
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23	
24	Add lines 22 and 23	24	
25	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	25	
26	Subtract line 25 from line 24 If zero or less, enter -0-	26	
27	Subtract line 26 from line 21. If zero or less, enter -0-	27	
28	Enter the **smaller** of the amount on line 21 or $2,450	28	
29	Enter the **smaller** of the amount on line 27 or line 28	29	
30	Subtract line 29 from line 28. If zero or less, enter -0- This amount is taxed at 0% ▶	30	
31	Enter the smaller of line 21 or line 26	31	
32	Subtract line 30 from line 26	32	
33	Enter the **smaller** of line 21 or $11,950	33	
34	Add lines 27 and 30	34	
35	Subtract line 34 from line 33 If zero or less, enter -0-	35	
36	Enter the **smaller** of line 32 or line 35	36	
37	Multiply line 36 by 15% ▶	37	
38	Enter the amount from line 31	38	
39	Add lines 30 and 36	39	
40	Subtract line 39 from line 38. If zero or less, enter -0-	40	
41	Multiply line 40 by 20% ▶	41	
42	Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42	
43	Add lines 37, 41, and 42	43	
44	Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44	
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶	45	

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE (NON-ALLOC	750.	750.		
TOTALS	750.	750.	NONE	NONE

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	290.	290.
FEDERAL TAX PAYMENT - PRIOR YE	978.	
FEDERAL ESTIMATES - PRINCIPAL	1,664.	
FOREIGN TAXES ON QUALIFIED FOR	187.	187.
FOREIGN TAXES ON NONQUALIFIED	115.	115.
TOTALS	3,234.	592.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER NON-ALLOCABLE EXPENSE -	1,500.	1,500.
TOTALS	1,500.	1,500.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ *Information about Form 8949 and its separate instructions is at www irs gov/form8949*

▶ **File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
DONALD A HANCOCK PERPETUAL CHARITABLE TRUST	61-6277256

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), *enter a code in column (f)* **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
1248.884 BAIRD CORE PLUS	10/01/2012	06/28/2013	14,000.00	14,599.00			-599 00
1276.208 FEDERATED TOTAL R FUND	10/01/2012	06/28/2013	14,000.00	14,855.00			-855.00
2179.599 TCW EMERGING MAR FUND	06/14/2013	11/25/2013	23,714.00	24,908.00			-1,194.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			51,714.	54,362.			-2,648.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Form 8949 (2013) Attachment Sequence No **12A** Page **2**

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side): DONALD A HANCOCK PERPETUAL CHARITABLE TRUST

Social security number or taxpayer identification number: 61-6277256

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss** *If you enter an amount in column (g), enter a code in column (f)* **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
320. MCDONALDS CORP	08/04/2010	04/05/2013	32,118 00	19,520.00			12,598.00
525. PEPSICO INC	03/07/2003	05/21/2013	43,261.00	20,423.00			22,838 00
216. WATERS CORP	08/04/2010	06/04/2013	20,881.00	13,278.00			7,603.00
426. H J HEINZ COMPANY	09/09/2011	06/11/2013	30,885.00	21,703.00			9,182 00
25000 FORT THOMAS KY INDP FIN CORP SCH BLDG REV D	08/18/2010	07/01/2013	25,000.00	25,000.00			
762 MICROSOFT CORP	03/03/2011	07/09/2013	26,179.00	20,092.00			6,087.00
83. CHEVRONTEXACO CORP	09/02/2008	08/06/2013	10,247.00	6,967 00			3,280 00
141 CELGENE CORP	01/26/2012	08/13/2013	19,590.00	10,329.00			9,261.00
790. ORACLE CORP	11/09/2007	08/13/2013	26,238.00	15,799.00			10,439 00
126. IBM CORP	08/04/2010	10/29/2013	22,392.00	16,119.00			6,273 00
435. COACH INC	08/15/2012	11/05/2013	22,112.00	24,062.00			-1,950.00
3612 717 TEMPLETON GLOBAL	08/31/2011	11/25/2013	47,363.00	50,000.00			-2,637.00
423. DIGITAL REALTY TRUST	01/07/2011	12/12/2013	18,845.00	22,011.00			-3,166.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			345,111.	265,303.			79,808

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Form **8949** (2013)

STOCK YARDS TRUST CO
200 SOUTH FIFTH STREET
LOUISVILLE KY 40202

ACCOUNT STATEMENT

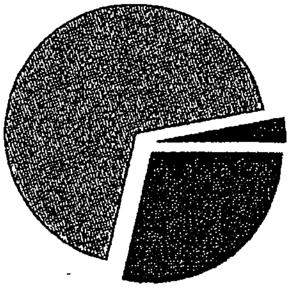
ACCOUNT NUMBER 1033000956
DECEMBER 31, 2013 TO DECEMBER 31, 2013

TED SNAWDER
STOCK YARDS BANK & TRUST
200 SOUTH FIFTH STREET
LOUISVILLE, KY 40202

ACCOUNT NAME:	DONALD A HANCOCK PERPETUAL CHARITABLE TRUST
ACCOUNT NUMBER:	1033000956
WEALTH ADVISOR:	ROSE M WATHEN 502-625-2512 rose.wathen@syb.com
INVESTMENT ADVISOR·	DAMON MASSEY 502-625-9191 damon.massey@syb com

INVESTMENT PORTFOLIO SUMMARY

	MARKET VALUE AS OF	12/31/2013	12/31/2013	% OF ACCOUNT
■	CASH AND EQUIVALENTS	58,535 21	59,165 05	3 0%
▨	EQUITIES	1,326,218 21	1,331,937 15	68 4%
■	FIXED INCOME	557,965.00	555,825 36	28 6%
	Total	1,942,718 42	1,946,927 56	100 0%



ACCOUNT ACTIVITY SUMMARY

	THIS PERIOD	YEAR TO DATE
BEGINNING MARKET VALUE	1,942,718 42	1,765,834.88
INTEREST & DIVIDENDS	629 84	53,765 35
RECEIPTS	17,681 78	33,578.54
PURCHASES & SALES	0 00	74,701 25
DISBURSEMENTS	17,681 78-	145,039.29-
MISCELLANEOUS	629.84-	18,638 87-
CHANGE IN MARKET VALUE	4,209.14	182,725.70
ENDING MARKET VALUE	1,946,927 56	1,946,927 56

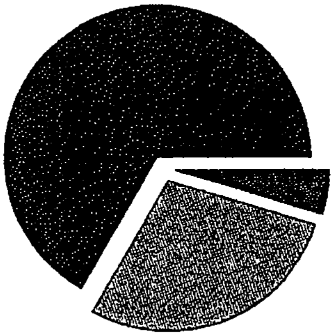
REALIZED CAPITAL GAINS / LOSSES

	THIS PERIOD	YEAR TO DATE
LONG TERM	265 54	89,971.89
SHORT TERM	0 00	942 16
TOTAL GAINS / LOSSES	265 54	90,914 05

ASSET DETAIL

DESCRIPTION		MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
CASH AND EQUIVALENTS					
MONEY MARKET FUNDS					
SYB MONEY MARKET FUND		58,171.00 58,171 00	1 00 1.00	6 17	0 01
TOTAL MONEY MARKET FUNDS	SUB-TOTAL	58,171 00 58,171 00		6 17	0 01
TOTAL CASH AND EQUIVALENTS		58,171.00 58,171 00		6 17	0 01

EQUITY DIVERSIFICATION SUMMARY



	SECURITY TYPE	MARKET VALUE	PERCENT
■	COMMON STOCK	886,559 76	66.6%
▨	MUTUAL FUNDS - EQUITY	380.735 89	28 6%
■	MUTUAL FUNDS - EQUITY ETF	64,641 50	4.8%
	Total	1,331,937 15	100 0%

DESCRIPTION	TICKER	SHARES	MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
EQUITIES						
COMMON STOCK						
ENERGY						
CHEVRON CORP	CVX	207 000	25,856 37 17,300 38	124 91 83 58	828 00	3 20
EOG RESOURCES INC	EOG	161 000	27,022 24 24,700 77	167 84 153 42	120 75	0 45
SEADRILL LTD	SDRL	544 000	22,347 52 20,159 93	41 08 37 06	2,067 20	9.25
TOTAL ENERGY		SUB-TOTAL	75,226 13 62,161 08		3,015 95	4 01
BASIC MATERIALS						
CF INDUSTRIES HOLDINGS	CF	84 000	19,575 36 17,804 97	233 04 211.96	336 00	1 72

ASSET DETAIL (CONTINUED)

DESCRIPTION	TICKER	SHARES	MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
BASIC MATERIALS						
PRAXAIR INC	PX	310 000	40,309 30	130 03	744 00	1 85
			16,415 88	52 95		
TOTAL BASIC MATERIALS		SUB-TOTAL	59,884.66		1,080.00	1 80
			34,220 85			
INDUSTRIALS						
DEERE & COMPANY	DE	253 000	23,106 49	91.33	516 12	2.23
			21,451 95	84 79		
HERTZ GLOBAL HOLDINGS INC	HTZ	825 000	23,611 50	28 62		
			19,531 22	23 67		
WEYERHAEUSER COMPANY	WY	728.000	22,982 96	31.57	640 64	2 79
			19,825.84	27.23		
TOTAL INDUSTRIALS		SUB-TOTAL	69,700.95		1,156 76	1 66
			60,809.01			
CONSUMER DISCRETIONARY						
FAMILY DOLLAR STORES INC	FDO	316 000	20,530 52	64 97	328 64	1 60
			18,155.81	57 46		
NIKE INC	NKE	530 000	41,679.20	78 64	508 80	1 22
			18,424 33	34 76		
PETSMART INC	PETM	298 000	21,679 50	72.75	232.44	1.07
			21,446 64	71 97		
STARBUCKS CORP	SBUX	406 000	31,826 34	78 39	422 24	1 33
			23,406 23	57 65		
TOTAL CONSUMER DISCRETIONARY		SUB-TOTAL	115,715 56		1,492 12	1 29
			81,433 01			
CONSUMER STAPLES						
KROGER CO	KR	704 000	27,829 12	39 53	464 64	1 67
			24,649 15	35 01		
J M SMUCKER COMPANY	SJM	375 000	38,857.50	103 62	870 00	2 24
			22,650 46	60 40		
TOTAL CONSUMER STAPLES		SUB-TOTAL	66,686 62		1,334 64	2 00
			47,299 61			
FINANCIALS						
BLACKROCK INC	BLK	130 000	41,141 10	316 47	873 60	2.12
			24,311 82	187 01		
PORTFOLIO RECOVERY ASSOCIATES	PRAA	397 000	20,977 48	52 84		
			22,188 72	55 89		
TORONTO DOMINION BANK	TD	285.000	26,858 40	94 24	918 27	3 42
			21,151 48	74 22		
US BANCORP	USB	547 000	22,098.80	40 40	503 24	2.28
			20,371 65	37 24		

ASSET DETAIL (CONTINUED)

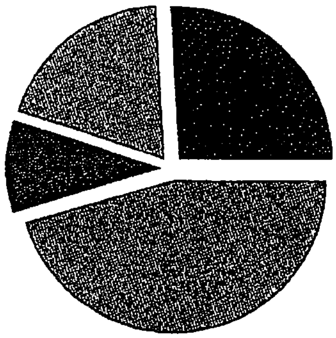
DESCRIPTION	TICKER	SHARES	MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
FINANCIALS						
VENTAS INC	VTR	415 000	23,771 20 23,344.21	57 28 56.25	1,203 50	5 06
TOTAL FINANCIALS		SUB-TOTAL	134,846.98 111,367 88		3,498.61	2.59
TECHNOLOGY						
APPLE INC	AAPL	54.000	30,295 08 3,240 00	561 02 60 00	658 80	2 17
FACTSET RESEARCH SYSTEMS INC	FDS	199 000	21,607 42 21,707.96	108 58 109 09	278.60	1 29
GOOGLE INC	GOOGL	37.000	41,466 27 23,580 88	1,120 71 637 32		
QUALCOMM INC	QCOM	356.000	26,433 00 24,345 56	74 25 68 39	498 40	1 89
3D SYSTEMS CORP	DDD	419 000	38,937 67 19 793 26	92 93 47 24		
VISA INC Y	V	189 000	42,086 52 22,333.09	222 68 118 16	302 40	0 72
TOTAL TECHNOLOGY		SUB-TOTAL	200,825 96 115,000 75		1 738.20	0 87
HEALTHCARE						
ABBOTT LABORATORIES	ABT	400 000	15,332.00 8,627 82	38.33 21 57	352.00	2 30
ABBVIE INC	ABBV	400 000	21,124 00 9,356 14	52.81 23 39	640.00	3 03
CELGENE CORP	CELG	182 000	30,752 18 13,333 08	168.97 73 26		
NOVO NORDISK A/S SPONS ADR	NVO	104 000	19,215.04 16,667 90	184 76 160 27	235 46	1 23
RESMED INC	RMD	506 000	23,822 48 24,328 89	47 08 48.08	506.00	2 12
TOTAL HEALTHCARE		SUB-TOTAL	110,245 70 72,313 83		1,733.46	1 57
TELECOMMUNICATIONS						
AT&T INC	T	710 000	24,963 60 18.552 30	35 16 26 13	1,306 40	5 23
TOTAL TELECOMMUNICATIONS		SUB-TOTAL	24,963 60 18,552.30		1 306 40	5 23
UTILITIES						
DOMINION RESOURCES INC	D	440 000	28,463 60 17,100 52	64 69 38 86	990 00	3.48
TOTAL UTILITIES		SUB-TOTAL	28,463 60 17,100 52		990.00	3 48

ASSET DETAIL (CONTINUED)

DESCRIPTION	TICKER	SHARES	MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
COMMON STOCK						
TOTAL COMMON STOCK		SUB- TOTAL	886,559 76 620,258 84		17,346 14	1 96
MUTUAL FUNDS - EQUITY ETF						
MF EQUITY - LARGE CAP BLEND						
SPDR S&P 500 ETF TRUST	SPY	350 000	64,641 50 50,743 00	184 69 144 98	1,172 85	1.81
TOTAL MF EQUITY - LARGE CAP BLEND		SUB- TOTAL	64,641 50 50,743 00		1,172 85	1.81
TOTAL MUTUAL FUNDS - EQUITY ETF		SUB- TOTAL	64,641 50 50,743 00		1,172.85	1.81
MUTUAL FUNDS - EQUITY						
MF EQUITY - SMALL CAP GROWTH						
MFS NEW DISCOVERY FUND	MNDAX	2,909 091	78,312 73 56,000 00	26 92 19 25		
TOTAL MF EQUITY - SMALL CAP GROWTH		SUB- TOTAL	78,312 73 56,000 00		0 00	0 00
MF EQUITY - SMALL CAP VALUE						
INVESCO SMALL CAP VALUE FUND	VSCAX	3,333 333	72,199 99 56,000 00	21 66 16 80	13 33	0.02
TOTAL MF EQUITY - SMALL CAP VALUE		SUB- TOTAL	72,199.99 56,000 00		13.33	0 02
MF INTERNATIONAL - GROWTH						
HARBOR INTERNATIONAL FUND	HIINX	1,016 703	71,504.72 56,000.00	70 33 55 08	1,273.93	1 78
HENDERSON INTERNATIONAL OPPORTUNITIES FUND	HFOAX	3,468 667	93,584 64 69,720 21	26 98 20 10	319 12	0 34
TOTAL MF INTERNATIONAL - GROWTH		SUB- TOTAL	165,089 36 125,720 21		1,593 05	0 96
MF INTERNATIONAL - EMERGING MKTS						
OPPENHEIMER DEVELOPING MARKETS	ODMAX	1,713 146	65,133 81 52,195 64	38.02 30 47	61 67	0.09
TOTAL MF INTERNATIONAL - EMERGING MKTS		SUB- TOTAL	65,133 81 52,195 64		61.67	0 09
TOTAL MUTUAL FUNDS - EQUITY		SUB- TOTAL	380,735 89 289,915.85		1,668 05	0 44
TOTAL EQUITIES			1 331,937 15 960,917 69		20,187 04	1 52

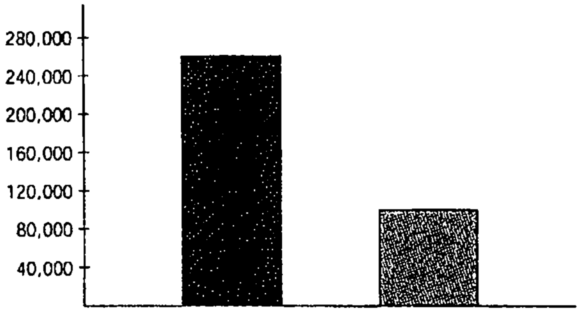
ASSET DETAIL (CONTINUED)

BOND QUALITY SUMMARY



	MOODY'S QUALITY RATING	MARKET VALUE	PERCENT
■	Aaa	143,094.23	25 7%
▨	Aa2	106,406.50	19 2%
■	Aa3	53,639 50	9 6%
▨	NOT RATED	252,685 13	45 5%
	Total	555,825 36	100 0%

BOND MATURITY SUMMARY



	YEARS TO MATURITY	PAR VALUE	PERCENT
■	LESS THAN 5 YEARS	260,250.09	72 2%
▨	5-10 YEARS	100,000 00	27 8%
	Total	360,250.09	100.0%

AVERAGE TIME TO MATURITY: 2 4 YEARS CURRENT YIELD: 3 01%

DESCRIPTION	RATING	PAR VALUE	MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	YIELD CURRENT/ MATURITY
FIXED INCOME						
GOVERNMENT BONDS						
FEDERAL FARM CREDIT BANK DTD 03/04/2009 4 125% 03/04/2019	Aaa	50,000 000	54,743 50 49,750 00	109 49 99.50	2,062.50	3 77 1 94
UNITED STATES TREASURY NOTE INFLATION INDEX DTD 01/15/08 1 625% 01/15/2018	Aaa	55,744 500	60,583 12 52,311 10	108 68 93 84	905 85	1 50
UNITED STATES TREASURY NOTE INFLATION INDEX DTD 04/30/2009 1 25% 04/15/2014	Aaa	27,590 750	27,767 61 27,762 63	100 64 100 62	344 88	1 24 1 25
TOTAL GOVERNMENT BONDS		SUB-TOTAL	143,094 23 129,823.73		3,313.23	2 32

ASSET DETAIL (CONTINUED)

DESCRIPTION	RATING	PAR VALUE	MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	YIELD CURRENT/ MATURITY
CORPORATE BONDS						
WAL MART STORES DTD 06/09/05 4 5% 07/01/2015	Aa2	50,000 000	53,056 00 49,875 00	106.11 99 75	2,250 00	4 24 0 40
TOTAL CORPORATE BONDS		SUB-TOTAL	53,056 00 49,875 00		2,250 00	4.24
TAXABLE MUNICIPAL BONDS						
FORT THOMAS KY INDPT SCH DIST FIN CORP SCH BLDG REV BUILD AMERICA BONDS DTD 08/01/2009 4 4% 08/01/2016	Aa3	50,000.000	53,639.50 50,000.00	107.28 100 00	2,200 00	4 10 1.40
LOUISVILLE & JEFFERSON COUNTY METROPOLITAN GOVT PKG AUTH BUILD AMERICA BONDS SER B DTD 01/27/2010 4 05% 12/01/2017	Aa2	50,000.000	53,350 50 50,000.00	106 70 100 00	2,025 00	3 80 2 10
PADUCAH KY BUILD AMERICA BONDS PUB PROJ DTD 03/16/2010 4 5% 03/01/2020	NR	50,000.000	53,376 00 50,764 66	106.75 101.53	2,250 00	4 22 2 87
TOTAL TAXABLE MUNICIPAL BONDS		SUB-TOTAL	160,366 00 150,764 66		6,475 00	4 04
MUTUAL FUNDS						
BAIRD CORE PLUS BOND FUND		5,626 175	62 788 11 65,434 65	11 16 11 63	1,777.87	2.83
FEDERATED TOTAL RETURN BOND FUND		5,663 661	61,677 27 64,023 65	10 89 11 30	1,919 98	3.11
T ROWE PRICE SHORT TERM BOND FUND		15,625 001	74,843 75 75,000.00	4 79 4 80	968 75	1 29
TOTAL MUTUAL FUNDS		SUB-TOTAL	199,309 13 204,458 30		4,666 60	2 34
TOTAL FIXED INCOME			555,825 36 534,921 69		16 704 83	3.01
TOTAL PRINCIPAL ASSETS			1,945,933.51 1,554,010.38		36,898 04	1.90

ASSET DETAIL - INCOME ASSETS

DESCRIPTION		MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
CASH AND EQUIVALENTS					
MONEY MARKET FUNDS					
SYB MONEY MARKET FUND		994.05 994 05	1 00 1 00	0.11	0 01
TOTAL MONEY MARKET FUNDS	SUB-TOTAL	994 05 994 05		0 11	0 01
TOTAL CASH AND EQUIVALENTS		994.05 994.05		0 11	0 01
TOTAL INCOME ASSETS		994 05 994 05		0 11	0 01

TRANSACTION DETAIL

DATE	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST	GAIN / LOSS
BEGINNING BALANCE		0 00	0 00	1,554,499 59	
INTEREST & DIVIDENDS					
DIVIDENDS					
12/31/13	DIVIDEND ON 415 SHS VENTAS INC AT 725 PER SHARE PAYABLE 12/31/2013 EX DATE 12/12/2013		300 87		
12/31/13	DIVIDEND ON 5,663 661 SHS FEDERATED TOTAL RETURN BOND FUND AT 0112000007 PER SHARE PAYABLE 12/31/2013 EX DATE 12/30/2013		63.43		
TOTAL DIVIDENDS		0 00	364 30	0 00	0 00
LT GAIN DIVIDENDS					
12/31/13	LONG TERM CAPITAL GAINS DIVIDEND ON 5,663 661 SHS FEDERATED TOTAL RETURN BOND FUND AT 0468843106 PER SHARE PAYABLE 12/31/2013 EX DATE 12/30/2013	265 54			265 54
TOTAL LT GAIN DIVIDENDS		265 54	0 00	0 00	265 54
TOTAL INTEREST & DIVIDENDS		265 54	364.30	0 00	265 54
RECEIPTS					
12/31/13	TRANSFERRED FROM INCOME	17,681 78			
TOTAL RECEIPTS		17,681 78	0.00	0.00	0 00
DISBURSEMENTS					
12/31/13	TRANSFERRED TO PRINCIPAL		17,681 78-		
TOTAL DISBURSEMENTS		0 00	17,681.78-	0 00	0.00
ASSET TRANSACTIONS					
12/31/13	TIPS DEFLATION ADJUSTMENT 83 5 UNITS UNITED STATES TREASURY NOTE INFLATION INDEX DTD 01/15/08 1 625% 01/15/2018 TRADE DATE 12/31/2013			83 50-	
12/31/13	TIPS DEFLATION ADJUSTMENT 41 5 UNITS UNITED STATES TREASURY NOTE INFLATION INDEX DTD 04/30/2009 1 25% 04/15/2014 TRADE DATE 12/31/2013			41 50-	
TOTAL ASSET TRANSACTIONS		0 00	0 00	125 00-	0 00
	NET CASH MANAGEMENT	17,947 32-	17,317 48	629 84	
TOTAL		17,947.32-	17,317 48	629 84	0.00
ENDING BALANCE		0 00	0.00	1,555 004 43	265 54

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STOCK YARDS TRUST COMPANY MAY INVEST OR REINVEST ASSETS IN INTEREST BEARING MONEY MARKET AND MUTUAL FUNDS, INCLUDING THE BANK'S OWN INTEREST BEARING ACCOUNTS, FROM WHICH STOCK YARDS BANK MAY RECEIVE SERVICING FEES EITHER DIRECTLY OR INDIRECTLY FROM THE MUTUAL FUNDS FOR ADMINISTRATIVE SERVICES IT PROVIDES TO THESE MUTUAL FUNDS FOR MORE INFORMATION, PLEASE CONTACT YOUR ADVISOR.

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