Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052
2013
Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation
THE AHRENS FAMILY FOUNDATION

A Employer identification number
61-6265649

Number and street (or P O box number if mail is not delivered to street address) Room/suite
21 AUTUMN HILL

B Telephone number (see instructions)
(502)228-5800

City or town, state or province, country, and ZIP or foreign postal code
Prospect, KY 40059

C If exemption application is pending, check here ▶ ☐

G Check all that apply
☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 1,515,019

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

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Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check ▶ ☒ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	55	55		
4	Dividends and interest from securities	37,011	37,011		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	53,569			
b	Gross sales price for all assets on line 6a 556,282				
7	Capital gain net income (from Part IV, line 2)		53,569		
8	Net short-term capital gain			8,049	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) STM106	27,960	27,960		
12	**Total** Add lines 1 through 11	118,595	118,595	8,049	
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) STM108	765			
c	Other professional fees (attach schedule) STM109	14,473			
17	Interest				
18	Taxes (attach schedule) (see instructions) STM110	1,789			
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)				
24	**Total operating and administrative expenses.** Add lines 13 through 23	17,027	0		0
25	Contributions, gifts, grants paid	72,869			72,869
26	**Total expenses and disbursements.** Add lines 24 and 25	89,896	0		72,869
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	28,699			
b	**Net investment income** (if negative, enter -0-)		118,595		
c	**Adjusted net income** (if negative, enter -0-)			8,049	

For Paperwork Reduction Act Notice, see instructions.

EEA

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	71	67	67
	2	Savings and temporary cash investments	48,683	48,183	48,183
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			3,048
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) STM137	799,421	857,957	1,101,847
	c	Investments - corporate bonds (attach schedule) STM138	389,107	359,774	361,874
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	1,237,282	1,265,981	1,515,019
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	1,450,349	1,450,349	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	(213,067)	(184,368)	
	30	**Total net assets or fund balances** (see instructions)	1,237,282	1,265,981	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,237,282	1,265,981	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,237,282
2	Enter amount from Part I, line 27a	2	28,699
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,265,981
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,265,981

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (yr , mo , day)	(d) Date sold (yr , mo , day)
1a	See STM~134			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	556,282		502,713	53,569
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				53,569
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	53,569
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	8,049

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	57,048	1,329,704	0.042903
2011	60,804	102,199	0.594957
2010	62,659	116,714	0.536859
2009	70,680	1,265,869	0.055835
2008	83,322	1,422,034	0.058594

2 Total of line 1, column (d)	2	1.289148
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.25783
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,421,180
5 Multiply line 4 by line 3	5	366,423
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,186
7 Add lines 5 and 6	7	367,609
8 Enter qualifying distributions from Part XII, line 4	8	72,869

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b . . . . . ▶	1	2,372
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) .	2	0
3	Add lines 1 and 2	3	2,372
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) .	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	2,372
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 . . **6a**		
b	Exempt foreign organizations - tax withheld at source **6b**		
c	Tax paid with application for extension of time to file (Form 8868) **6c**		
d	Backup withholding erroneously withheld **6d**		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . . ▶	9	2,372
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . . ▶	10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
	If "Yes," attach a detailed description of the activities			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV . .	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ RONALD J ECKEN CPA Telephone no ▶ 502-244-5505

Located at ▶ 205 TOWNEPARK CIRCLE STE 200, Louisville, KY ZIP+4 ▶ 40243

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**-Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? 5b

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b | Yes: | No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EDWIN M AHRENS 21 AUTUMN HILL, Prospect, KY 40059	TRUSTEE ADVISOR 1	0	0	0
RICHARD E AHRENS 558 BAXTER, Eugene, OR 97402	ADVISORY BOARD 1	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	1,394,320
b	Average of monthly cash balances			1b	48,502
c	Fair market value of all other assets (see instructions)			1c	0
d	**Total** (add lines 1a, b, and c)			1d	1,442,822
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e			
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	1,442,822
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	21,642
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	1,421,180
6	**Minimum investment return.** Enter 5% of line 5			6	71,059

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	71,059
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,372		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	2,372
3	Distributable amount before adjustments Subtract line 2c from line 1			3	68,687
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	68,687
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	68,687

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	72,869
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	72,869
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	72,869

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7					68,687
2	Undistributed income, if any, as of the end of 2013					
a	Enter amount for 2012 only					
b	Total for prior years ______ , ______ , ______					
3	Excess distributions carryover, if any, to 2013					
a	From 2008	12,768				
b	From 2009	8,099				
c	From 2010	57,751				
d	From 2011	57,606				
e	From 2012					
f	**Total** of lines 3a through e		136,224			
4	Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 72,869					
a	Applied to 2012, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required - see instructions)					
c	Treated as distributions out of corpus (Election required - see instructions)					
d	Applied to 2013 distributable amount					68,687
e	Remaining amount distributed out of corpus		4,182			
5	Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))					
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		140,406			
b	Prior years' undistributed income Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount - see instructions					
e	Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions					
f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		12,768			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		127,638			
10	Analysis of line 9					
a	Excess from 2009	8,099				
b	Excess from 2010	57,751				
c	Excess from 2011	57,606				
d	Excess from 2012					
e	Excess from 2013	4,182				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . .					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . .					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization . . .					
(4) Gross investment income . . .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE,

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE,

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

990APP

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
DENISON UNIVERSITY 100 W COLLEGE ST Granville, OH 43023		NC	GENERAL SUPPORT	6,000
DERMATOLOGY FOUNDATION 1560 SHERMAN AVENUE SUITE 870 Evanston, IL 60201		NC	GENERAL SUPPORT	1,500
BECKHAM BIRD CLUB PO BOX 5301 Louisville, KY 40255		NC	GENERAL SUPPORT	1,000
UNIVERSITY OF CINCINNATI FOUNDATION 51 GOODMAN DRIVE Cincinnati, OH 45221		NC	GENERAL SUPPORT	4,000
21ST CENTURY PARKS INC 471 W MAIN ST Louisville, KY 40202		NC	GENERAL SUPPORT	2,000
FALLS OF THE OHIO FOUNDATION 201 WEST RIVERSIDE DR Clarksville, IN 47129		NC	GENERAL SUPPORT	2,000
KENTUCKY ORNITHOLOGICAL SOCIETY PO BOX 463 Burlington, KY 41005		NC	GENERAL SUPPORT	3,000
CIVIL WAR TRUST 1156 15TH STREET NW SUITE 900 Washington, DC 20005		NC	GENERAL SUPPORT	3,000
Total . . . . ▶ 3a				
b Approved for future payment				
Total . . . . ▶ 3b				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
LOUISVILLE NATURE CENTER 3745 ILLINOIS AVENUE Louisville, KY 40213		NC	GENERAL SUPPORT	2,000
CINCINNATI NATURE CENTER 4949 TEALTOWN RD Milford, OH 45150		NC	GENERAL SUPPORT	1,000
UNITED NEGRO COLLEGE FUND 1805 7TH STREET NW Washington, DC 20001		NC	GENERAL SUPPORT	1,000
PHI GAMMA DELTA EDUCATIONAL FOUNDAT 1201 RED MILE RD PO BOX 4599 Lexington, KY 40544		NC	GENERAL SUPPORT	1,000
AMERICAN BIRD CONSERVANCY PO BOX 4249 LOUDOUN AVENUE The Plains, VA 20198		NC	GENERAL SUPPORT	1,000
AMERICAN BIRDING ASSOCIATION 1618 W COLORADO AVE Colorado Springs, CO 80904		NC	GENERAL SUPPORT	1,000
OLMSTEAD PARKS CONSERVANCY 1299 TREVILIAN WAY Louisville, KY 40213		NC	GENERAL SUPPORT	1,000
WALNUT HILLS HIGH SCHOOL ALUMNI 3250 VICTORY PARKWAY Cincinnati, OH 45207		NC	GENERAL SUPPORT	1,000
Total . . . . ▶ 3a				
b Approved for future payment				
Total . . . . ▶ 3b				

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
KENTUCKY NATURAL LANDS TRUST 433 CHESTNUT ST Berea, KY 40403		NC	GENERAL SUPPORT	1,000
BERNHEIM FOREST PO BOX 130 Clermont, KY 40110		NC	GENERAL SUPPORT	1,000
AMERICAN SKIN ASSOCIATION 6 EAST 43RD STREET 28TH FLOOR New York, NY 10017		NC	GENERAL SUPPORT	1,000
THE NATURE CONSERVANCY 4245 NORTH FAIRFAX DRIVE SUITE 100 Arlington, VA 22203		NC	GENERAL SUPPORT	3,000
NEXT STEP RECYCLING 2101 W 10TH STREET Eugene, OR 97402		NC	GENERAL SUPPORT	4,975
SCHOOL GARDEN PROJECT PO BOX 30072 Eugene, OR 97403		NC	GENERAL SUPPORT	10,028
RAPTOR CENTER PO BOX 206186 Louisville, KY 40250		NC	GENERAL SUPPORT	10,223
FOOD FOR LANE COUNTY 770 BAILEY HILL RD Eugene, OR 97402		NC	GENERAL SUPPORT	5,088
Total . . . . ▶ 3a				
b Approved for future payment				
Total . . . . ▶ 3b				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year VOLUNTEERS IN MEDICINE 162 ST PAUL STREET Burlington, VT 05401		NC	GENERAL SUPPORT	5,055
Total . . . . . . ▶ 3a				72,869
b Approved for future payment				
Total . . . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	55	
4 Dividends and interest from securities			14	37,011	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	52,965	
8 Gain or (loss) from sales of assets other than inventory			18	3,721	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				93,752	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 93,752

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	*Other transactions*			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations *described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?* ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Edwin M. Ahrens | 5.14.14 ▶ TRUSTEE

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below (see inst)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Ronald J Ecken	Ronald J Ecken	5/5/14		P00061473

Firm's name ▶ Ecken & Smith PSC — Firm's EIN ▶

Firm's address ▶ 205 Townepark Cir Suite 200
Louisville KY 40243

Phone no 502-244-5505

Name(s) as shown on return
THE AHRENS FAMILY FOUNDATION

Your Social Security Number
61-6265649

Form 990PF, Part XV, Line 2
Application Submission Information

Grant Program

Applicant Name
EDWIN M AHRENS TRUSTEE

Address
21 AUTUMN HILL
Prospect, KY 40059

Telephone
502-228-5800

Email Address
NA

Form & Content
ALL APPLICATIONS MUST BE IN WRITING

Submission Deadline
NONE

Restrictions on Award
NONE

Federal Supporting Statements

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Name(s) as shown on return

THE AHRENS FAMILY FOUNDATION

FEIN

61-6265649

Form 990PF, Part II, Line 10(b)

Statement #137

Investments: Corporate Stock Schedule

Category	BOY	Book Value	EOY FMV
APACHE	6,038	6,038	14,610
BHP BILLITON	5,597	5,597	15,004
EXXON	3,743	14,983	23,377
MICROSOFT	11,423		
PROCTOR & GAMBLE	15,818	15,818	26,133
UNITED TECHNOLOGIES	8,867	7,514	21,167
NEW YORK COMMUNITY BANCORP	27,588	27,588	25,275
T J X COS INC	4,486	2,421	12,109
ABBOTT LABS	18,265	8,763	13,415
JP MORGAN CHASE AND CO	19,571	19,571	24,854
PEPSICO INC	14,837	14,837	22,808
SYSCO CORP	18,776		
FISERV INC	16,766	6,371	15,707
OCCIDENTAL PETROLEUM CORP	10,812		
VISA INC CLASS A	8,872		
CANADIAN NATL RY CO	7,356	7,356	25,317
RENAISSANCE RE HOLDINGS LTD	16,322	16,322	29,202
MATTHEWS ASIAN GROWTH AND INCO	64,479	28,135	36,999
BAXTER INTL	20,105		
JOHNSON CONTROLS	20,255		
LAZARD LTD	27,716		
CAPITAL ONE FINANCIAL CORP		14,773	17,237
CINTAS CORP		14,432	19,367
E BAY INC		11,869	12,345
FRACTIONAL CUSIP FOR 49455U100		69	67
GOOGLE INC CL A		11,330	14,569
AMERICAN ELECTRIC POWER CO	14,659		
BROADCOM CORP	16,781		
CATERPILLAR	12,759	12,759	13,621
ECOLAB INC	11,805		
GENERAL MILLS INC	21,595	21,595	27,450
MCCORMICK CO INC	19,804		
MERCK AND COMPANY INC	14,337	14,337	21,271
NEWMONT MINING CORP	13,475		
VERIZON COMMUNICATIONS INC	11,185	11,185	15,970
MARSH MCLENNAN COS INC		14,739	19,344
MCDONALDS CORP		15,062	14,554
MICROCHIP TECHNOLOGY INC		14,835	16,781
MORGAN STANLEY		15,577	16,464
ROGERS COMMUNICATIONS INC CL B	20,709	20,709	24,888
FIRST EAGLE OVERSEAS CLASS A	43,500	43,500	44,739
AMERISOURCEBERGEN CORP	14,434	14,434	26,366
BANK OF NEW YORK	21,800	21,800	34,067
DISNEY WALT CO	14,685	14,685	22,920
GENERAL ELECTRIC CO	14,189	14,189	18,920

Name(s) as shown on return
THE AHRENS FAMILY FOUNDATION

FEIN
61-6265649

Form 990PF, Part II, Line 10(b)
Investments: Corporate Stock Schedule

Statement #137

Category	BOY	Book Value	EOY FMV
LOWES CO INC	12,840	4,993	8,671
RENAISSANCERE HLDGS	24,937	12,468	10,855
STRYKER CORP	16,295	16,295	22,542
JP MORGAN CHASE 5.5%	25,000		
PRUDENTIAL FINANCIAL INC	25,000		
NATIONAL OILWELL VARCO INC		11,856	11,930
QUALCOMM INC		13,294	14,850
ACCENTURE PLC	14,215	14,215	16,444
OPPENHEIMER DEVELOPING MKTS	41,800	41,800	45,459
ORACLE CORP	15,193	15,193	18,174
SCHLUMBERGER LTD	10,732	10,732	13,517
STARBUCKS CORP		5,502	7,839
UNITED HEALTH GROUP INC		14,097	16,943
VISA INC		5,512	18,260
3M CO		13,848	17,531
BBT CORPORATION		21,421	21,480
PARTNERRE LTD		20,149	20,220
OAKMARK INTERNATIONAL FD		40,000	40,712
JP MORGAN ALERIAN MLP INDEX		73,871	78,795
ROYCE PREMIER FUND		39,518	30,708
Totals	799,421	857,957	1,101,847

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Name(s) as shown on return
THE AHRENS FAMILY FOUNDATION

FEIN
61-6265649

Form 990PF, Part II, Line 10(c)
Investments: Corporate Bond Schedule

Statement #138

Category	BOY	Book Value	EOY FMV
STATE STREET CORP	26,025		
GOLDMAN SACHS	25,587	25,587	25,795
HSBC FINANCE	30,000	30,000	30,609
CREDIT SUISSE NY MEDIUM TERM	40,216		
DEUTSCHE BANK AG	24,755	24,755	26,147
GENERAL ELECTRIC CAP CORP	24,759	24,759	25,765
GENERAL ELECTRIC CAP CORP MED		25,000	24,848
TEMPLETON GLOBAL BOND	45,000	45,000	41,985
FORD MOTOR CREDIT	25,000		
WESTERN UNION	24,837	24,837	25,381
JOHN HANCOCK PRD	22,891		
WATSON PHARMACEUTICALS		24,993	24,739
WACHOVIA CORP	49,628		
AMGEN INC	25,005		
COMCAST CORP	25,404		
CREDIT SUISSE NEW YORK		40,216	41,413
NOMURA HOLDINGS		24,668	25,207
PETROBRAS INTL FIN CO		24,959	25,229
EATON VANCE FLOATING RATE		45,000	44,756
Totals	389,107	359,774	361,874

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Name(s) as shown on return

THE AHRENS FAMILY FOUNDATION

Your Social Security Number

61-6265649

Form 990PF, Part I, Line 11 - Other Income Schedule

Statement #106

Description	Revenue and expenses	Net investment	Adjusted net income
JPMORGAN ALERIAN SEC1411	3,721	3,721	0
GAIN ON DONATION OF SECURITIES	24,184	24,184	0
SECURITY LITAGATION PROCEEDS	55	55	0
Totals	27,960	27,960	0

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Statement #108

Form 990PF, Part I, Line 16(b) - Accounting Fees Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
ECKEN AND SMITH	765	0	0	0
Totals	765	0	0	0

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Name(s) as shown on return
THE AHRENS FAMILY FOUNDATION

Your Social Security Number
61-6265649

Form 990PF, Part I, Line 16(c) - Other Professional Fees Schedule

Statement #109

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
RIVERPOINT CAPITAL MANAGEMENT	14,473	0	0	0
Totals	14,473	0	0	0

PG 01
Statement #110

Form 990PF, Part I, Line 18 - Taxes Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
2012 FEDERAL TAX	1,256	0	0	0
FOREIGN TAX	533	0	0	0
Totals	1,789	0	0	0

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Name(s) as shown on return

THE AHRENS FAMILY FOUNDATION

Your Social Security Number

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Form 990-PF, Part IV, Capital Gains And Losses Information (Overflow)

Statement #134

Description	P-Purchase D-Donation	Date Acquired	Date Sold	Sales Price	Depreciation	Cost or other basis	Gain or Loss	Gains Minus Excess or Losses
JOHN HANCOCK PFD INCOME FD	P	2012-10-15	2013-03-14	22,944		22,891	53	53
JOHNSON CTLS INC COM	P	2012-10-15	2013-04-08	9,069		7,144	1,925	1,925
KINDER MORGAN MGMT LLC COM	P	2013-03-14	2013-11-08	24,562		26,018	(1,456)	(1,456)
KINDER MORGAN MGMT LLC	P	2013-03-14	2013-11-08	74		74		
KINDER MORGAN MGMT LLC	P	VARIOUS	2013-12-05	444		473	(29)	(29)
STARBUCKS CORP COM	P	2013-01-09	2013-10-08	7,556		5,502	2,054	2,054
AMERICAN ELEC PWR CO INC	P	2011-11-15	2013-02-19	17,039		14,659	2,380	2,380
BROADCOM CORP COM	P	VARIOUS	2013-05-14	16,408		16,732	(324)	(324)
ECOLAB INC COM	P	2011-08-12	2013-04-08	19,863		11,805	8,058	8,058
JPMORGAN CHASE 5.5% PFD	P	2012-09-18	2013-12-20	20,350		25,000	(4,650)	(4,650)
LOWES COS INC COM	P	2012-06-18	2013-10-08	12,782		7,847	4,935	4,935
MCCORMICK AND CO INC	P	VARIOUS	2013-03-11	28,064		19,804	8,260	8,260
MICROSOFT CORP COM	P	2011-12-01	2013-08-23	15,544		11,423	4,121	4,121
PRUDENTIAL 5.75%	P	2012-11-27	2013-12-20	21,073		25,000	(3,927)	(3,927)
RENAISSANCERE HLDGS LTD	P	2012-01-24	2013-06-27	12,500		12,468	32	32
ABBVIE INC	P	VARIOUS	2013-01-09	11,827		9,503	2,324	2,324
AMGEN INC	P	2009-01-06	2013-06-03	26,439		25,005	1,434	1,434
BAXTER INTL INC	P	2010-02-19	2013-06-04	24,481		20,105	4,376	4,376
COMCAST CORP	P	2009-05-28	2013-07-26	25,508		25,404	104	104
FISERV INC WIS	P	2009-10-06	2013-09-17	12,713		5,988	6,725	6,725
FORD MOTOR CRED MTN	P	2012-05-23	2013-06-20	25,000		25,000		
JOHNSON CTLS INC COM	P	2010-06-03	2013-04-13	14,840		13,111	1,729	1,729
MATTHEWS ASIAN GROWTH AND INC	P	VARIOUS	2013-09-17	40,000		36,343	3,657	3,657
OCCIDENTAL PETE CORP	P	2009-03-16	2013-10-08	18,760		10,812	7,948	7,948
STATE STREET CORP	P	2009-07-08	2013-02-20	26,160		26,025	135	135
SYSCO CORP COM RTS	P	2008-05-01	2013-09-11	19,451		18,776	675	675
WACHOVIA CORP	P	2007-09-18	2013-02-20	53,012		49,628	3,384	3,384
LAZARD LTD CL A	P	2010-03-19	2013-03-19	15,744		16,698	(954)	(954)
CAPITAL GAINS DIVIDENDS				5,502			5,502	5,502
NEWMONT MNG CORP COM NEW			2013-02-19	8,573		13,475	(4,902)	(4,902)
Total				556,282		502,713	53,569	53,569

Federal Supporting Statements

2013 PG 01

Name(s) as shown on return

THE AHRENS FAMILY FOUNDATION

Your Social Security Number

61-6265649

Form 990PF, Part I, Line 4 - Subsidiary Schedule

Subsidiary Statement

Description	Revenue and expenses	Net investment	Adjusted net income
3M CO COM	156	156	0
ABBOTT LABS W RIGHTS	196	196	0
AMERICAN ELEC PWR	176	176	0
AMERISOURCEBERGEN CORP	324	324	0
APACHE CORP COM	131	131	0
BANK OF NEW YORK	566	566	0
BAXTER INTL INC COM	315	315	0
CAPITAL ONE FINL CORP	135	135	0
CATERPILLAR INC COM	258	258	0
CINTAS CORP CIN	250	250	0
ECOLAB INC COM	58	58	0
EXXON MOBIL CORP COM	497	497	0
FIRST EAGLE OVERSEAS CL A	131	131	0
GENERAL ELEC CO COM	513	513	0
GENERAL MILLS INC	781	781	0
JOHN HANCOCK PFD	146	146	0
JOHNSON CTLS INC	138	138	0
JO MORGAN CHASE AND CO	578	578	0
JPMORGAN CHASE 5.5%	1,375	1,375	0
LOWES COS INC COM	257	257	0
MARSH AND MCLENNAN COS INC	292	292	0
MCCORMICK AND CO INC	136	136	0
MCDONALDS CORP COM	237	237	0
MERCK AND CO INC	731	731	0
MICROSOFT CORP COM	311	311	0
NATIONAL OILWELL INC COM	39	39	0
NEW YORK CMNTY BANCORP INC	1,500	1,500	0
OCCIDENTAL PETE CORP	384	384	0
OPPENHEIMER DEVELOPING	48	48	0
ORACLE CORP COM	114	114	0
PEPSICO INC COM	608	608	0
PROCTER AND GAMBLE CO	760	760	0
QUALCOMM INC COM	210	210	0

Federal Supporting Statements

2013 PG 02

Name(s) as shown on return

THE AHRENS FAMILY FOUNDATION

Your Social Security Number

61-6265649

Form 990PF, Part I, Line 4 - Subsidiary Schedule

Subsidiary Statement

Description	Revenue and expenses	Net investment	Adjusted net income
STARBUCKS CORP COM	152	152	0
STRYKER CORP COM	318	318	0
SYSCO CORP COM	504	504	0
TJX COS INC NEW	194	194	0
UNITED TECHNOLOGIES	511	511	0
UNITEDHEALTH GRP INC	189	189	0
VERIZON COMMUNICATIONS COM	674	674	0
VISA INC	183	183	0
ACCENTURE PLC CL A	348	348	0
BHP BILLITON LIMITED	510	510	0
CANADIAN NATL RY CO COM	367	367	0
FIRST EAGLE OVERSEAS CL A	489	489	0
HARRIS ASSOC INVT TR	540	540	0
MATTHEWS ASIAN GROWTH	810	810	0
OPPENHEIMER DEVELOPING	44	44	0
RENAISSANCERE HLDGS	1,195	1,195	0
RENAISSANCERE HLDGS LTD COM	336	336	0
RODGERS COMMUNICATIONS INC	914	914	0
SCHLUMBERGER LTD COM	182	182	0
EATON VANCE FLOATING RATE	1,109	1,109	0
FIRST EAGLE OVERSEAS CL A	670	670	0
HARRIS ASSOC INVT	162	162	0
JOHN HANCOCK PFD	134	134	0
MATTHEWS ASIAN GROWTH	128	128	0
PRUDENTIAL 5.75%	1,481	1,481	0
TEMPLETON GLOBAL BD	347	347	0
FIRST EAGLE OVERSEAS CL A	95	95	0
HARRIS ASSOC TR OAKMARK	1	1	0
MATTHEWS ASIAN GROWTH	522	522	0
TEMPLETON GLOBAL BD	1,479	1,479	0
AMGEN INC	667	667	0
COMCAST CORP	1,384	1,384	0
CRED SUIS NY	1,400	1,400	0

Federal Supporting Statements

2013 PG 03

Name(s) as shown on return

THE AHRENS FAMILY FOUNDATION

Your Social Security Number

61-6265649

Form 990PF, Part I, Line 4 - Subsidiary Schedule

Subsidiary Statement

Description	Revenue and expenses	Net investment	Adjusted net income
DEUTSCHE BANK AG	813	813	0
FORD MOTOR CRED MTN	344	344	0
GEN ELEC CAP CRP	563	563	0
GENERAL ELEC MTN	116	116	0
GOLDMAN SACHS GROUP	1,250	1,250	0
HSBC FIN CORP	900	900	0
STATE STREET CORP	254	254	0
WACHOVIA CORP	1,488	1,488	0
WESTERN UNION	701	701	0
NOMURA HOLDINGS MTN	100	100	0
PETROBRAS INTL	92	92	0
Totals	37,011	37,011	0