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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

2013Department of the Treasury
Internal Revenue Serviceor Section 4947(a)(1) Trust Treated as Private Foundation
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Open to Public Inspection

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation LOUISE HEAD DUNCAN TR U/W R74254005		A Employer identification number 61-6183556
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) 866-888-5157
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60603		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 8,350,973.	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	102,099.	98,996.		STMT 1
	5a Gross rents	50,735.	50,735.	NONE	
	b Net rental income or (loss)	26,032.			
	6a Net gain or (loss) from sale of assets not on line 10	590,565.			
	b Gross sales price for all assets on line 6a	4,607,044.			
	7 Capital gain net income (from Part IV, line 2)		590,565.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	7,429.	13,034.		STMT 5	
12 Total. Add lines 1 through 11	750,828.	753,330.	NONE		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	57,765.	43,324.		14,441.
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STMT 6	1,500.	750.	NONE	750.
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 7	15,395.	3,136.		
	19 Depreciation (attach schedule) and depletion	9,441.			
	20 Occupancy				
	21 Travel, conferences, and meetings		NONE	NONE	
	22 Printing and publications		NONE	NONE	
	23 Other expenses (attach schedule) STMT 8	27,016.			2,313.
	24 Total operating and administrative expenses. Add lines 13 through 23	111,117.	47,210.	NONE	17,504.
	25 Contributions, gifts, grants paid	348,964.			348,964.
26 Total expenses and disbursements. Add lines 24 and 25	460,081.	47,210.	NONE	366,468.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	290,747.				
b Net investment income (if negative, enter -0-)		706,120.			
c Adjusted net income (if negative, enter -0-)			NONE		

JSA For Paperwork Reduction Act Notice, see instructions.
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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments	413,397.	433,327.	433,327.	
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶	NONE			
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule)	4,904,426.	5,736,731.	6,930,813.	
	c	Investments - corporate bonds (attach schedule)	1,180,512.	618,675.	610,971.	
	11	Investments - land, buildings, and equipment basis ▶	410,831.		STMT 9	
	Less accumulated depreciation (attach schedule) ▶	203,401.	207,430.	350,000.		
12	Investments - mortgage loans					
13	Investments - other (attach schedule)	2.	2.	25,862.		
14	Land, buildings, and equipment basis ▶					
	Less accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	6,700,218.	6,996,165.	8,350,973.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		NONE			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	6,700,218.	6,996,165.		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)	6,700,218.	6,996,165.		
	31	Total liabilities and net assets/fund balances (see instructions)	6,700,218.	6,996,165.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,700,218.
2	Enter amount from Part I, line 27a	2	290,747.
3	Other increases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT	3	5,200.
4	Add lines 1, 2, and 3	4	6,996,165.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,996,165.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 4,607,044.		4,016,479.	590,565.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			590,565.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	590,565.	
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).			3		
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	359,356.	7,471,590.	0.048096
2011	318,391.	7,575,312.	0.042030
2010	379,822.	7,434,742.	0.051087
2009	446,517.	6,786,354.	0.065796
2008	535,668.	8,668,398.	0.061796
2 Total of line 1, column (d)			2 0.268805
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.053761
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 7,906,511.
5 Multiply line 4 by line 3			5 425,062.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 7,061.
7 Add lines 5 and 6			7 432,123.
8 Enter qualifying distributions from Part XII, line 4			8 366,468.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	14,122.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	14,122.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	14,122.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 16,796.		
b Exempt foreign organizations - tax withheld at source	6b NONE		
c Tax paid with application for extension of time to file (Form 8868)	6c 7,500.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	24,296.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	10,174.
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> 10,174. Refunded <input type="checkbox"/>		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► ----- b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation. STMT 10		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address N/A				
14	The books are in care of _____ Telephone no. (866) 888-5157			
Located at 10 S DEARBORN ST IL1-0117, CHICAGO, IL ZIP+4 60603				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	<input type="checkbox"/>		
and enter the amount of tax-exempt interest received or accrued during the year 15				
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
				X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country _____				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐ **5b****c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JPMORGAN CHASE BANK, NA 10 S DEARBORN ST IL1-0117, CHICAGO, IL 60603	TRUSTEE 2	43,485	-0-	-0-
THOMAS W GAINES JR 10 S DEARBORN ST IL1-0117, CHICAGO, IL 60603	ADVISOR 2	4,760.	-0-	-0-
LANE A RICHIE 10 S DEARBORN ST IL1-0117, CHICAGO, IL 60603	ADVISOR 2	4,760.	-0-	-0-
JOSEPH WILLIAM HALL 10 S DEARBORN ST IL1-0117, CHICAGO, IL 60603	ADVISOR 2	4,760	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,789,788.
b	Average of monthly cash balances	1b	209,678.
c	Fair market value of all other assets (see instructions)	1c	27,449.
d	Total (add lines 1a, b, and c)	1d	8,026,915.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	8,026,915.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	120,404.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	7,906,511.
6	Minimum investment return. Enter 5% of line 5	6	395,326.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	395,326.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	14,122.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	14,122.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	381,204.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	381,204.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	381,204.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	366,468.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	366,468.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	366,468.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				381,204.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			347,464.	
b Total for prior years 20 <u>11</u> , 20 <u> </u> , 20 <u> </u>		NONE		
3 Excess distributions carryover, if any, to 2013				
a From 2008	NONE			
b From 2009	NONE			
c From 2010	NONE			
d From 2011	NONE			
e From 2012	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>366,468.</u>				
a Applied to 2012, but not more than line 2a			347,464.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2013 distributable amount				19,004.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				362,200.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2009	NONE			
b Excess from 2010	NONE			
c Excess from 2011	NONE			
d Excess from 2012	NONE			
e Excess from 2013	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**NOT APPLICABLE**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test - enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).				
(3) Largest amount of support from an exempt organization.				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 11

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines.

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 19				348,964.
Total			3a	348,964.
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated.

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
g Fees and contracts from government agencies				
2 Membership dues and assessments				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities		14	102,099.	
5 Net rental income or (loss) from real estate				
a Debt-financed property				
b Not debt-financed property		16	26,032.	
6 Net rental income or (loss) from personal property .				
7 Other investment income				
8 Gain or (loss) from sales of assets other than inventory		18	590,565.	
9 Net income or (loss) from special events . . .				
10 Gross profit or (loss) from sales of inventory . .				
11 Other revenue a _____				
b DEFERRED REVENUE		14	-2,246.	
c IRS REFUND		14	565.	
d ROYALTY INCOME		14	9,110.	
e _____				
12 Subtotal. Add columns (b), (d), and (e)			726,125.	
13 Total. Add line 12, columns (b), (d), and (e)				

(See worksheet in line 13 instructions to verify calculations.)

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
▼	

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | |
|--|------------|-----------|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <p>Yes</p> | <p>No</p> |
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[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.


Signature of officer or trustee

10/24/2014
Date

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

CAROLYN J. SECHSEL

Preparer's signature Carolyn J. Seckel
LLP
DATE

Date

10/24/2014

Check ☐ if self-employed

PTIN	
------	--

P01256399

Firm's name ► DELOITTE TAX LLP

Firm's EIN ▶ 86-1065772

Firm's address ► 127 PUBLIC SQUARE
CLEVELAND, OH

44114

Phone no 216-589-1300

RENT AND ROYALTY INCOME

Taxpayer's Name LOUISE HEAD DUNCAN TR U/W R74254005	Identifying Number 61-6183556
---	---

DESCRIPTION OF PROPERTY
 108 CRYSTAL DRIVE

☐ Yes ☐ No Did you actively participate in the operation of the activity during the tax year?

TYPE OF PROPERTY: 4 - COMMERCIAL

RENTAL INCOME	50,735.	
OTHER INCOME		
TOTAL GROSS INCOME		50,735.
OTHER EXPENSES:		
INSURANCE	4,636.	
REPAIRS	13,995.	
TAXES	5,970.	
UTILITIES	102.	
DEPRECIATION (SHOWN BELOW)		
LESS: Beneficiary's Portion		
AMORTIZATION		
LESS: Beneficiary's Portion		
DEPLETION		
LESS: Beneficiary's Portion		
TOTAL EXPENSES		24,703.
TOTAL RENT OR ROYALTY INCOME (LOSS)		26,032.

Less Amount to

Rent or Royalty		
Depreciation		
Depletion		
Investment Interest Expense		
Other Expenses		
Net Income (Loss) to Others		

Net Rent or Royalty Income (Loss) 26,032.

Deductible Rental Loss (if Applicable)

SCHEDULE FOR DEPRECIATION CLAIMED

(a) Description of property	(b) Cost or unadjusted basis	(c) Date acquired	(d) ACRS des.	(e) Bus %	(f) Basis for depreciation	(g) Depreciation in prior years	(h) Method	(i) Life or rate	(j) Depreciation for this year
Totals									

RENT AND ROYALTY INCOME

Taxpayer's Name LOUISE HEAD DUNCAN TR U/W R74254005	Identifying Number 61-6183556
---	---

DESCRIPTION OF PROPERTY
MINERAL ACTIVITY

	Yes		No	Did you actively participate in the operation of the activity during the tax year?
--	-----	--	----	--

TYPE OF PROPERTY: 4 - COMMERCIAL

OTHER INCOME		

TOTAL GROSS INCOME**OTHER EXPENSES:**[illegible]

DEPRECIATION (SHOWN BELOW)

LESS: Beneficiary's Portion

AMORTIZATION

LESS: Beneficiary's Portion

DEPLETION

LESS: Beneficiary's Portion

TOTAL EXPENSES**TOTAL RENT OR ROYALTY INCOME (LOSS)**

Less Amount to

Rent or Royalty

Depreciation

Depletion

Investment Interest Expense

Other Expenses

Net Income (Loss) to Others**Net Rent or Royalty Income (Loss)****Deductible Rental Loss (if Applicable)**

SCHEDULE FOR DEPRECIATION CLAIMED

[illegible]

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
ASTON OPTIMUM MID CAP FD CL I*	375.	375.
INV BALANCED-RISK ALLOC-Y	5,916.	5,916.
ALLERGAN INC	10.	10.
AMERICAN EXPRESS CO	15.	15.
ANADARKO PETE CORP	39.	39.
APACHE CORP	29.	29.
APPLE COMPUTER INC	717.	717.
APPLIED MATLS INC	85.	85.
ARCHER DANIELS MIDLAND CO	74.	74.
AXIAL CORP	27.	27.
BANK OF AMERICA CORPORATION	38.	38.
BARC 93% PPN CURRENCY BASKET 9/13/13	801.	801.
BAXTER INTL INC	25.	25.
BRISTOL MYERS SQUIBB CO	253.	253.
BROADCOM CORP CL A	49.	49.
CBS CORP	53.	53.
CME GROUP INC	63.	63.
CSX CORP	131.	131.
CVS CORP	72.	72.
CAPITAL ONE FINL CORP	80.	80.
CAPITAL PRIVATE CLIENT SVCS	3,392.	3,392.
CARDINAL HEALTH INC	134.	134.
CISCO SYS INC	287.	287.
CITIGROUP INC NEW	12.	12.
COLUMBIA ASIA PAX X-JPN-R5	2,462.	2,462.
COMCAST CORP NEW CL A	261.	261.
COSTCO WHSL CORP NEW	35.	35.
DTE ENERGY CO	31.	31.
DB 95% PPN FX BASKET 2/1/13	41.	41.
DODGE & INTERNATIONAL STOCK FUND	7,758.	7,758.
DOUBLELINE TOTAL RET BD-I	7,310.	7,310.
DOW CHEM CO	216.	216.

R74254005

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STATEMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
EOG RESOURCES INC	32.	32.
EATON VANCE MUT FDS TR	3,170.	3,170.
EATON VANCE MUT FDS TR	5,140.	2,132.
EDISON INTL	18.	18.
EMERSON ELEC CO	218.	218.
EXXON MOBIL CORP	365.	365.
FLUOR CORP	67.	67.
FREEPORT-MCMORAN COPPER & GOLD CL B	113.	113.
GATEWAY TR GATEWAY FD CL Y*	3,709.	3,709.
GENERAL MLS INC	209.	209.
GOLDMAN SACHS GROUP INC	21.	21.
OAKMARK INTERNATIONAL FUND	1,333.	1,333.
HARTFORD FINL SVCS GROUP INC	25.	25.
HEWLETT PACKARD CO	28.	28.
HOME DEPOT INC	197.	197.
HONEYWELL INTL INC	262.	262.
HUMANA INC	69.	69.
INTERCONTINENTAL EXCHANGE, INC	17.	17.
ISHARES MSCI EMERGING MARKET INDEX	996.	996.
ISHARES MSCI EAFE INDEX FUND	2,828.	2,828.
ISHARES TR S & P MIDCAP 400 INDEX FD	2,419.	2,419.
ISHARES MSCI ACWI INDEX FUND	5,021.	5,021.
JPM GLBL RES ENH INDEX FD - SEL	2,494.	2,494.
JOHNSON & JOHNSON	662.	662.
JOHNSON CTLS INC	92.	92.
JPM TR I MLT SC INCOME FD - SEL	217.	217.
JPMORGAN TR I FLOAT RATE INC 4.24%	2,220.	2,220.
JPMORGAN INTREPID EUROPEAN FUND	272.	272.
JPMORGAN US LARGE CAP CORE PLUS FUND SEL	2,232.	2,232.
JPMORGAN INTERNATIONAL CURRENCY	46.	
JPMORGAN CHINA REGION FUND	428.	428.
JPMORGAN STRATEGIC INCOME OPPTYS FUND SE	1,889.	1,889.
JPMORGAN CORE BOND FUND	3,225.	3,225.

R74254005

DBC539 501G 10/24/2014 12:41:04

STATEMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
 =====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
JPMORGAN HIGH YIELD BOND FUND	4,542.	4,542.
JPMORGAN SHORT DURATION BOND FUND	1,178.	1,178.
JPMORGAN DIVERSIFIED MID CAP GROWTH	185.	185.
KINDER MORGAN INC	1.	1.
LENNAR CORP	11.	11.
LOWES COS INC	187.	187.
MFS INTL VALUE-I	3,236.	3,236.
MARATHON OIL CORP	15.	15.
MARSH & MCLENNAN COS INC	20.	20.
MASCO CORP	112.	112.
MASTERCARD INC - CLASS A	35.	35.
MERCK & CO INC	409.	409.
METLIFE INC	283.	283.
MICROSOFT CORP	608.	608.
MONDELEZ INTERNATIONAL-W/I	156.	156.
MORGAN STANLEY DEAN WITTER & CO NEW	50.	50.
NEUBERGER BERMAN MULTI CAP	2,199.	2,199.
NEXTERA ENERGY INC	73.	73.
NISOURCE INC	111.	111.
OCCIDENTAL PETE CORP	293.	293.
ORACLE CORP	76.	76.
PACCAR INC	136.	136.
PEPSICO INC	206.	206.
PERRIGO CO	2.	2.
PFIZER INC	184.	184.
PHILIP MORRIS INTERNATIONAL	384.	384.
PHILLIPS 66	134.	134.
PIMCO UNCONSTRAINED BOND-P	2,074.	2,074.
PIMCO COMMODITYPL STRAT-P	774.	774.
PROCTER & GAMBLE CO	347.	347.
PRUDENTIAL FINL INC	52.	52.
QEP RESOURCES INC	3.	3.
QUALCOMM INC	186.	186.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
 =====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
ROWE T PRICE INTL FDS NEW ASIA FD*	2,205.	2,205.
SPDR TR UNIT SER 1	4,241.	4,241.
SCHLUMBERGER LTD	220.	220.
STATE STR CORP	67.	67.
JPMORGAN US GOVT MNY MKT FD PR SWEEP	47.	47.
TD AMERITRADE HOLDING CORPORATION	43.	43.
TARGET CORP	123.	123.
TEXAS INSTRS INC	36.	36.
3M CO	25.	25.
TIME WARNER INC	400.	400.
TIME WARNER CABLE INC	36.	36.
UNITED TECHNOLOGIES CORP	405.	405.
UNITEDHEALTH GROUP INC	159.	159.
VANGUARD FXD INCM SECS INT TM CORP*	1,475.	1,475.
VERIZON COMMUNICATIONS	410.	410.
VIRTUS EMERGING MKTS OPPOR-I	1,120.	1,120.
WALTER INDS INC	23.	23.
WELLS FARGO & CO NEW	597.	597.
WILLIAMS COS INC	256.	256.
XILINX INC	36.	36.
YUM BRANDS INC	195.	195.
COVIDIEN PLC NEW	50.	50.
ENSCO PLC	150.	150.
INVESCO LTD SHS	153.	153.
ACE LTD NEW	173.	173.
PENTAIR LTD	55.	55.
TYCO INTERNATIONAL LTD	75.	75.
ASML HOLDING N.V.	52.	52.
AVAGO TECHNOLOGIES LTD	185.	185.
TOTAL	102,099.	98,996.
	=====	=====

FORM 990PF, PART I - OTHER INCOME
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
IRS REFUND	565.	
DEFERRED INCOME	-2,246.	
POWERSHARES DB COMMODITY INDEX TRACKING		-1,819.
ROYALTY FROM MINERAL INTEREST	9,110.	9,110.
JPM MULTI STRAT INCOME		5,743.
	-----	-----
TOTALS	7,429.	13,034.
	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	1,500.	750.		750.
TOTALS	1,500.	750.	NONE	750.
	=====	=====	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	18.	18.
FEDERAL TAX PAYMENT - PRIOR YE	2,759.	
FEDERAL ESTIMATES - PRINCIPAL	9,500.	
FOREIGN TAXES ON QUALIFIED FOR	2,291.	2,291.
FOREIGN TAXES ON NONQUALIFIED	338.	338.
AD VALOREM TAXES - ROYALTY INC	20.	20.
MINERAL PRODUCTION TAXES ROYAL	469.	469.
	-----	-----
TOTALS	15,395.	3,136.
	=====	=====

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	CHARITABLE PURPOSES -----
RENT AND ROYALTY EXPENSES	24,703.	
ADMINISTRATIVE EXPENSES GRANT	2,000.	2,000.
PO BOX RENTAL FEE	144.	144.
POSTAGE EXPENSES	40.	40.
OTHER EXPENSES	129.	129.
TOTALS	----- 27,016. =====	----- 2,313. =====

INVESTMENTS - LAND, BUILDINGS, EQUIPMENT
=====

		FIXED ASSET DETAIL			ACCUMULATED DEPRECIATION DETAIL				
ASSET DESCRIPTION	METHOD/ CLASS	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
BUILDING	SL	360,000			360,000.	189,000.	9,000.		198,000
BUILDING IMPR EXTEB	SL	22,550.		22,550.		4,699		4,699	
BUILDING IMPR REMOB	SL	1,125.		1,125		213.		213	
LAND	L	4,516.			4,516				
HVAC	SL	3,975.			3,975.	33.	99.		132
AC	SL	3,675.			3,675	15.	92		107.
PAVEMENT PARKING LP	SL		14,990		14,990.		250		250.
TOTALS		395,841.			387,156	193,960			198,489.

990PF, PART VII-A LINE 8b - EXPLANATION OF NON-FILING WITH A.G. STMT.
=====

NO FILING REQUIRED WITH KENTUCKY ATTORNEY GENERAL

LOUISE HEAD DUNCAN TR U/W R74254005
FORM 990PF, PART XV - LINES 2a - 2d
=====

61-6183556

RECIPIENT NAME:

THE PEYTON SAMUEL HEAD FAMILY TRUST

ADDRESS:

PO BOX 248

LAGRANGE, KY 40031

RECIPIENT'S PHONE NUMBER: 859-231-2408

FORM, INFORMATION AND MATERIALS:

APPLICATION FORM AVAILABLE UPON REQUEST

SUBMISSION DEADLINES:

NONE

RESTRICTIONS OR LIMITATIONS ON AWARDS:

501(C)(3) ORGANIZATIONS BENEFITING RESIDENTS OF OLDHAM COUNTY, KY

STATEMENT 11

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

CITY OF LAGRANGE

ADDRESS:

307 W JEFFERSON STREET

LAGRANGE, KY 40031

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 25,700.

RECIPIENT NAME:

LAGRANGE CHRISTIAN CHURCH

ADDRESS:

214 N 1ST ST

LAGRANGE, KY 40031

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:

CASA, INC

ADDRESS:

982 EASTERN PKWY BOX 9

LOUISVILLE, KY 40217

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 5,000.

STATEMENT 12

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

BALLARDSVILLE FIRE AND RESCUE

ADDRESS:

4604 S HWY 53

CRESTWOOD, KY 40014

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 10,945.

RECIPIENT NAME:

GOOD NEW SHELTER CORP

ADDRESS:

115 E ADAMS STREET STE 1A

LAGRANGE, KY 40031

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

HABITAT FOR HUMANITY

ADDRESS:

1620 BANK STREET

LOUISVILLE, KY 40203

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 10,000.

STATEMENT 13

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

JUNIOR ACHIEVEMENT OF KENTUCKIANA

ADDRESS:

1401 W MUHAMMAD ALI BLVD

LOUISVILLE, KY 40203

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 5,500.

RECIPIENT NAME:

MALLORY TAYLOR FOUNDATION INC

ADDRESS:

PO BOX 53

LAGRANGE, KY 40031

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

NORTH OLDHAM BAND BOOSTERS

ADDRESS:

1815 HIGHWAY 1793

GOSHEN, KY 40026

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 5,000.

STATEMENT 14

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

HUMANE SOCIETY OF OLDHAM COUNTY

ADDRESS:

2419 S HWY 53

LAGRANGE, KY 40031

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 13,869.

RECIPIENT NAME:

OLDHAM COUNTY ARTS ASSOCIATION

ADDRESS:

410 W CHESTNUT ST STE 237

LOUISVILLE, KY 40014

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

OLDHAM COUNTY ED FDN/COMM LEARNING

ADV COUN

ADDRESS:

6165 WEST HIGHWAY 146

CRESTWOOD, KY 40014

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 72,000.

STATEMENT 15

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

OLDHAM COUNTY FISCAL COURT

ADDRESS:

100 W JEFFERSON ST

LAGRANGE, KY 40031

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 50,000.

RECIPIENT NAME:

OLDHAM COUNTY HISTORICAL

SOCIETY

ADDRESS:

106 N SECOND ST

LAGRANGE, KY 40031

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 62,000.

RECIPIENT NAME:

OHIO VALLEY RAILROAD HISTORICAL

FOUNDATION

ADDRESS:

412 EAST MAIN ST

LAGRANGE, KY 40031

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 2,500.

STATEMENT 16

RECIPIENT NAME:

OLDHAM COUNTY CONSERVATION
DISTRICT

ADDRESS:

700 W JEFFERSON ST
LAGRANGE, KY 40031

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 8,800.

RECIPIENT NAME:

SOUTH OLDHAM HIGH SCHOOL BAND
BOOSTERS INC.

ADDRESS:

5901 HWY 329 BY PASS
CRESTWOOD, KY 40014

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

NORTH OLDHAM LITTLE LEAGUE

ADDRESS:

600 NORTH FOURTH STREET
LAGRANGE, KY 40031

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL PURPOSE

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 7,500.

=====

RECIPIENT NAME:

OLDHAM COUNTY MINISTERIAL
ASSOCIATION

ADDRESS:

1139 COMMERCE PARKWAY
LAGRANGE, KY 40031

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

FOUNDATION OF HOPE MINISTRIES

ADDRESS:

3509 W HIGHWAY 146
LAGRANGE, KY 40031

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

LAGRANGE POLICE DEPARTMENT

ADDRESS:

410 W JEFFERSON ST
LAGRANGE, KY 40031

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 3,150.

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

OLDHAM COUNTY FIRE INSTRUCTORS, INC

ADDRESS:

PO BOX 245 6310 OLD LAGRANGE RD

CRESTWOOD, KY 40014

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

OPERATION PARENT, INC

ADDRESS:

1350 N HIGHWAY 393

LAGRANGE, KY 40031

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PROGRAM SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 5,000.

TOTAL GRANTS PAID:

348,964.

=====

RENT AND ROYALTY SUMMARY

=====

PROPERTY -----	TOTAL INCOME -----	DEPLETION/ DEPRECIATION -----	OTHER EXPENSES -----	ALLOWABLE NET INCOME -----
108 CRYSTAL DRIVE MINERAL ACTIVITY	50,735.		24,703.	26,032.
	-----	-----	-----	-----
TOTALS	50,735.		24,703.	26,032.
	=====	=====	=====	=====

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041

OMB No. 1545-0092

2013

Name of estate or trust

LOUISE HEAD DUNCAN TR U/W R74254005

Employer identification number

61-6183556

Note: Form 5227 filers need to complete **only** Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked	979,365.	959,992.		19,373.
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824				4
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts				5
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet				6 ()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶				7 19,373.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked				
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked	3,535,689.	3,056,487.		479,202.
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824				11
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts				12
13 Capital gain distributions				13 91,990.
14 Gain from Form 4797, Part I				14
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet				15 ()
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶				16 571,192.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

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Part III Summary of Parts I and II**Caution:** Read the instructions before completing this part.

	(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17 Net short-term gain or (loss)	17		19,373.
18 Net long-term gain or (loss):			
a Total for year	18a		571,192.
b Unrecaptured section 1250 gain (see line 18 of the wrksh.)	18b		
c 28% rate gain	18c		12,316.
19 Total net gain or (loss). Combine lines 17 and 18a. ▶	19		590,565.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:	20	()
a The loss on line 19, column (3) or b \$3,000		

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . .	21		
22 Enter the smaller of line 18a or 19 in column (2) but not less than zero.	22		
23 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T). . .	23		
24 Add lines 22 and 23	24		
25 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0-. . . ▶	25		
26 Subtract line 25 from line 24. If zero or less, enter -0-.	26		
27 Subtract line 26 from line 21. If zero or less, enter -0-.	27		
28 Enter the smaller of the amount on line 21 or \$2,450	28		
29 Enter the smaller of the amount on line 27 or line 28	29		
30 Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶	30		
31 Enter the smaller of line 21 or line 26	31		
32 Subtract line 30 from line 26.	32		
33 Enter the smaller of line 21 or \$11,950	33		
34 Add lines 27 and 30	34		
35 Subtract line 34 from line 33. If zero or less, enter -0-.	35		
36 Enter the smaller of line 32 or line 35	36		
37 Multiply line 36 by 15%. ▶	37		
38 Enter the amount from line 31	38		
39 Add lines 30 and 36	39		
40 Subtract line 39 from line 38. If zero or less, enter -0-.	40		
41 Multiply line 40 by 20% ▶	41		
42 Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041). . . .	42		
43 Add lines 37, 41, and 42	43		
44 Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041). . . .	44		
45 Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36). ▶	45		

Schedule D (Form 1041) 2013

Form **8949**Department of the Treasury
Internal Revenue Service**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013Attachment
Sequence No **12A**

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	KINDER MORGAN INC	06/29/2012	01/10/2013	74.00	64.00			10.00
2.	KINDER MORGAN INC	VAR	01/10/2013	74.00	64.00			10.00
3.	KINDER MORGAN INC	06/29/2012	01/10/2013	111.00	97.00			14.00
13.	KINDER MORGAN INC	VAR	01/10/2013	482.00	415.00			67.00
1.	KINDER MORGAN INC	06/29/2012	01/11/2013	37.00	32.00			5.00
1.	KINDER MORGAN INC	06/29/2012	01/11/2013	37.00	32.00			5.00
2.	KINDER MORGAN INC	06/22/2012	01/11/2013	74.00	63.00			11.00
1.	KINDER MORGAN INC	06/22/2012	01/11/2013	37.00	32.00			5.00
2.	KINDER MORGAN INC	06/22/2012	01/11/2013	74.00	63.00			11.00
2.	KINDER MORGAN INC	06/22/2012	01/14/2013	74.00	63.00			11.00
3.	LINKEDIN CORP - A	04/09/2012	01/14/2013	353.00	297.00			56.00
2.	LINKEDIN CORP - A	04/09/2012	01/14/2013	235.00	198.00			37.00
1.	KINDER MORGAN INC	06/22/2012	01/15/2013	37.00	32.00			5.00
3.	KINDER MORGAN INC	VAR	01/15/2013	110.00	95.00			15.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
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Form **8949**Department of the Treasury
Internal Revenue Service**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013Attachment
Sequence No **12A**

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh. XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	KINDER MORGAN INC	VAR	01/15/2013	74.00	63.00			11.00
1.	LINKEDIN CORP - A	04/09/2012	01/15/2013	118.00	99.00			19.00
4.	LINKEDIN CORP - A	04/09/2012	01/15/2013	472.00	396.00			76.00
12.	LINKEDIN CORP - A	04/09/2012	01/15/2013	1,414.00	1,188.00			226.00
3.	PROCTER & GAMBLE CO	02/01/2012	01/15/2013	209.00	190.00			19.00
1.	KINDER MORGAN INC	06/28/2012	01/16/2013	37.00	31.00			6.00
1.	KINDER MORGAN INC	06/28/2012	01/16/2013	37.00	31.00			6.00
1	KINDER MORGAN INC	06/28/2012	01/16/2013	37.00	31.00			6.00
5	KINDER MORGAN INC	06/28/2012	01/16/2013	183.00	156.00			27.00
1	KINDER MORGAN INC	06/28/2012	01/16/2013	37.00	31.00			6.00
3.	KINDER MORGAN INC	VAR	01/16/2013	110.00	93.00			17.00
2.	KINDER MORGAN INC	06/27/2012	01/16/2013	73.00	62.00			11.00
2.	LINKEDIN CORP - A	04/09/2012	01/16/2013	234.00	198.00			36.00
1.	LINKEDIN CORP - A	04/09/2012	01/16/2013	118.00	99.00			19.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

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R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
7	LINKEDIN CORP - A	04/09/2012	01/16/2013	821.00	693.00			128.00
3.	LINKEDIN CORP - A	04/09/2012	01/16/2013	354.00	297.00			57.00
2.	KINDER MORGAN INC	06/27/2012	01/17/2013	75.00	62.00			13.00
9.	KINDER MORGAN INC	VAR	01/17/2013	335.00	279.00			56.00
1	KINDER MORGAN INC	06/27/2012	01/17/2013	37.00	31.00			6.00
2.	KINDER MORGAN INC	VAR	01/17/2013	74.00	62.00			12.00
3.	KINDER MORGAN INC	06/25/2012	01/17/2013	112.00	93.00			19.00
2.	KINDER MORGAN INC	VAR	01/17/2013	74.00	62.00			12.00
1	KINDER MORGAN INC	06/28/2012	01/17/2013	37.00	31.00			6.00
1	LINKEDIN CORP - A	04/09/2012	01/17/2013	118.00	99.00			19.00
1	LINKEDIN CORP - A	04/09/2012	01/17/2013	118.00	99.00			19.00
1.	LINKEDIN CORP - A	04/09/2012	01/17/2013	118.00	99.00			19.00
2.	LINKEDIN CORP - A	04/09/2012	01/17/2013	237.00	198.00			39.00
7.	ORACLE CORP	04/12/2012	01/17/2013	243.00	200.00			43.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

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Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949**2013**Attachment
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
13.	EOG RESOURCES INC	VAR	01/18/2013	1,641.00	1,383.00			258.00
3.	EOG RESOURCES INC	01/19/2012	01/18/2013	377.00	318.00			59.00
1.	KINDER MORGAN INC	06/28/2012	01/18/2013	37.00	31.00			6.00
1.	KINDER MORGAN INC	06/28/2012	01/18/2013	37.00	31.00			6.00
7.	KINDER MORGAN INC	VAR	01/18/2013	260.00	217.00			43.00
3.	KINDER MORGAN INC	VAR	01/18/2013	112.00	93.00			19.00
1.	KINDER MORGAN INC	06/28/2012	01/18/2013	37.00	31.00			6.00
4.	KINDER MORGAN INC	06/27/2012	01/18/2013	149.00	124.00			25.00
1.	KINDER MORGAN INC	06/27/2012	01/22/2013	38.00	31.00			7.00
1.	KINDER MORGAN INC	06/27/2012	01/22/2013	38.00	31.00			7.00
3.	KINDER MORGAN INC	VAR	01/22/2013	113.00	93.00			20.00
2.	KINDER MORGAN INC	06/26/2012	01/22/2013	75.00	62.00			13.00
4.	KINDER MORGAN INC	VAR	01/22/2013	150.00	123.00			27.00
4.	KINDER MORGAN INC	06/26/2012	01/22/2013	151.00	123.00			28.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

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R74254005

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Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	KINDER MORGAN INC	06/26/2012	01/22/2013	75.00	62.00			13.00
1.	KINDER MORGAN INC	06/26/2012	01/23/2013	38.00	31.00			7.00
1.	KINDER MORGAN INC	06/26/2012	01/23/2013	38.00	31.00			7.00
2.	KINDER MORGAN INC	06/26/2012	01/23/2013	75.00	62.00			13.00
2.	KINDER MORGAN INC	06/26/2012	01/23/2013	75.00	62.00			13.00
1.	KINDER MORGAN INC	06/26/2012	01/24/2013	37.00	31.00			6.00
1.	KINDER MORGAN INC	06/26/2012	01/24/2013	37.00	31.00			6.00
1.	KINDER MORGAN INC	06/26/2012	01/24/2013	38.00	31.00			7.00
1.	KINDER MORGAN INC	06/26/2012	01/25/2013	37.00	31.00			6.00
5	KINDER MORGAN INC	06/25/2012	01/25/2013	186.00	154.00			32.00
1	KINDER MORGAN INC	06/25/2012	01/25/2013	37.00	31.00			6.00
7.	KINDER MORGAN INC	VAR	01/28/2013	262.00	215.00			47.00
1.	KINDER MORGAN INC	06/25/2012	01/28/2013	37.00	31.00			6.00
2	KINDER MORGAN INC	06/26/2012	01/29/2013	75.00	61.00			14.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See **Column (g)** in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	AMERICAN EXPRESS CO	10/22/2012	02/01/2013	60.00	57.00			3.00
7.	AMERICAN EXPRESS CO	10/22/2012	02/01/2013	419.00	396.00			23.00
5.	AMERICAN EXPRESS CO	VAR	02/01/2013	299.00	282.00			17.00
7.	AMERICAN EXPRESS CO	VAR	02/01/2013	419.00	392.00			27.00
1.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	60.00	56.00			4.00
5.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	296.00	279.00			17.00
7.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	416.00	391.00			25.00
4.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	238.00	223.00			15.00
1.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	59.00	56.00			3.00
3.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	179.00	167.00			12.00
7.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	417.00	391.00			26.00
1.	AMERICAN EXPRESS CO	10/23/2012	02/04/2013	59.00	56.00			3.00
4.	AMERICAN EXPRESS CO	10/23/2012	02/05/2013	240.00	223.00			17.00
7.	AMERICAN EXPRESS CO	10/23/2012	02/05/2013	423.00	391.00			32.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.
JSA
3X2615 2 000

Form **8949** (2013)

Form **8949**Department of the Treasury
Internal Revenue Service**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013Attachment
Sequence No **12A**

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

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☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	AMERICAN EXPRESS CO	10/23/2012	02/05/2013	121.00	112.00			9.00
3	AMERICAN EXPRESS CO	10/23/2012	02/05/2013	181.00	167.00			14.00
6.	AMERICAN EXPRESS CO	VAR	02/05/2013	362.00	335.00			27.00
2.	AMERICAN EXPRESS CO	10/23/2012	02/05/2013	121.00	111.00			10.00
4.	TIME WARNER CABLE INC	07/27/2012	02/07/2013	351.00	338.00			13.00
38.	TIME WARNER CABLE INC	07/27/2012	02/07/2013	3,332.00	3,213.00			119.00
42.	NETAPP INC	03/20/2012	02/08/2013	1,510.00	1,907.00			-397.00
4.	NETAPP INC	03/20/2012	02/08/2013	143.00	182.00			-39.00
6.	NETAPP INC	03/20/2012	02/08/2013	216.00	272.00			-56.00
11	NETAPP INC	03/20/2012	02/08/2013	391.00	499.00			-108.00
8.	TIME WARNER CABLE INC	07/27/2012	02/08/2013	700.00	677.00			23.00
28.	ADOBE SYS INC	11/05/2012	02/11/2013	1,083.00	956.00			127.00
6	AUTOZONE INC	09/19/2012	02/11/2013	2,320.00	2,232.00			88.00
46.	AXIAL CORPORATION	01/18/2013	02/11/2013	2,512.00	2,012.00			500.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.
JSA
3X2615 2 000

Form **8949** (2013)

Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

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- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
15.	BAIDU COM-ADR	09/26/2012	02/11/2013	1,440.00	1,695.00			-255.00
60	BROADCOM CORP CL A	08/13/2012	02/11/2013	1,999.00	2,102.00			-103.00
50.	CBS CORP	12/03/2012	02/11/2013	2,112.00	1,807.00			305.00
48.	CME GROUP INC	02/14/2012	02/11/2013	2,744.00	2,780.00			-36.00
78.	CSX CORP	VAR	02/11/2013	1,765.00	1,674.00			91.00
30	CAMERON INTERNATIONAL	VAR	02/11/2013	1,933.00	1,659.00			274.00
66.	CAREFUSION CORPORATION	VAR	02/11/2013	2,099.00	1,838.00			261.00
18.	CERNER CORP	VAR	02/11/2013	1,567.00	1,468.00			99.00
64.	CHENIERE ENERGY INC	VAR	02/11/2013	1,412.00	1,091.00			321.00
83.	CISCO SYS INC	02/08/2013	02/11/2013	1,762.00	1,761.00			1.00
21.	CITRIX SYS INC	12/12/2012	02/11/2013	1,522.00	1,358.00			164.00
32.	COGNIZANT TECHNOLOGY S A	05/07/2012	02/11/2013	2,482.00	1,867.00			615.00
121.	COMCAST CORP NEW CL A	VAR	02/11/2013	4,664.00	4,000.00			664.00
12.	COSTCO WHSL CORP NEW	06/15/2012	02/11/2013	1,224.00	1,093.00			131.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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For Paperwork Reduction Act Notice, see your tax return instructions.
JSA
3X2615 2 000

Form **8949** (2013)

Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

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☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss if you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
14	DAVITA INC	VAR	02/11/2013	1,659.00	1,586.00			73.00
33.	DISH NETWORK CORP	VAR	02/11/2013	1,223.00	1,228.00			-5.00
43	DOW CHEM CO	VAR	02/11/2013	1,396.00	1,344.00			52.00
10	EOG RESOURCES INC	04/24/2012	02/11/2013	1,325.00	1,044.00			281.00
27.	EMERSON ELEC CO	VAR	02/11/2013	1,547.00	1,485.00			62.00
40.	FLUOR CORP	VAR	02/11/2013	2,547.00	2,179.00			368.00
44.	FREEPORT-MCMORAN COPPE B	03/20/2012	02/11/2013	1,554.00	1,717.00			-163.00
67.	GENERAL MLS INC	VAR	02/11/2013	2,851.00	2,598.00			253.00
5.	GOOGLE INC	VAR	02/11/2013	3,890.00	3,888.00			2.00
26	HALLIBURTON CO	01/07/2013	02/11/2013	1,064.00	953.00			111.00
51.	HOME DEPOT INC	03/20/2012	02/11/2013	3,384.00	2,521.00			863.00
45.	HONEYWELL INTL INC	06/15/2012	02/11/2013	3,152.00	2,508.00			644.00
8.	INTERCONTINENTAL EXCHAN	VAR	02/11/2013	1,193.00	1,190.00			3.00
30.	LAM RESEARCH CORPORATI	VAR	02/11/2013	1,280.00	1,278.00			2.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

DBC539 501G

R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
115.	MASCO CORP	VAR	02/11/2013	2,043.00	1,793.00			250.00
6	MASTERCARD INC - CLASS	VAR	02/11/2013	3,128.00	2,879.00			249.00
64.	MICROSOFT CORP	07/06/2012	02/11/2013	1,772.00	1,926.00			-154.00
78.	MONDELEZ INTERNATIONAL	VAR	02/11/2013	2,162.00	2,125.00			37.00
47.	MORGAN STANLEY DEAN WI NEW	VAR	02/11/2013	1,097.00	969.00			128.00
54.	NETAPP INC	07/06/2012	02/11/2013	1,917.00	1,603.00			314.00
34.	OCCIDENTAL PETE CORP	VAR	02/11/2013	2,974.00	3,034.00			-60.00
87.	ORACLE CORP	04/12/2012	02/11/2013	3,027.00	2,490.00			537.00
41.	PACCAR INC	VAR	02/11/2013	1,950.00	1,767.00			183.00
30	PEPSICO INC	VAR	02/11/2013	2,167.00	2,182.00			-15.00
40	PHILIP MORRIS INTERNAT	VAR	02/11/2013	3,618.00	3,516.00			102.00
35.	PHILLIPS 66	VAR	02/11/2013	2,253.00	1,868.00			385.00
4	PIONEER NAT RES CO	04/19/2012	02/11/2013	507.00	423.00			84.00
76	QUALCOMM INC	VAR	02/11/2013	5,089.00	4,672.00			417.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

DBC539 501G

R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

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☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
41. TARGET CORP		VAR	02/11/2013	2,552.00	2,683.00			-131.00
14. 3M CO		01/14/2013	02/11/2013	1,434.00	1,360.00			74.00
43. UNITED TECHNOLOGIES CO		VAR	02/11/2013	3,851.00	3,335.00			516.00
6047 144 VANGUARD FXD INCM CORP*		06/13/2012	02/11/2013	61,983.00	61,499.00			484.00
121. WELLS FARGO & CO NEW		VAR	02/11/2013	4,274.00	3,970.00			304.00
81. WILLIAMS COS INC		VAR	02/11/2013	2,901.00	2,739.00			162.00
30. ENSCO PLC		VAR	02/11/2013	1,892.00	1,498.00			394.00
54. INVESCO LTD SHS		VAR	02/11/2013	1,481.00	1,376.00			105.00
30. ACE LTD NEW		VAR	02/11/2013	2,588.00	2,116.00			472.00
22. PENTAIR LTD		VAR	02/11/2013	1,129.00	1,115.00			14.00
27. TYCO INTERNATIONAL LTD		06/15/2012	02/11/2013	835.00	718.00			117.00
26. ASML HOLDING N V		10/26/2012	02/11/2013	1,947.00	1,867.00			80.00
6. GENERAL MLS INC		04/12/2012	02/13/2013	257.00	232.00			25.00
41. GENERAL MLS INC		04/12/2012	02/13/2013	1,760.00	1,587.00			173.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

3X2615 2 000

DBC539 501G

R74254005

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Form **8949**Department of the Treasury
Internal Revenue Service**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013Attachment
Sequence No **12A**

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
11.	HALLIBURTON CO	01/07/2013	02/13/2013	451.00	403.00			48.00
65.	HALLIBURTON CO	VAR	02/13/2013	2,653.00	2,377.00			276.00
731.	SPDR TR UNIT SER 1	VAR	02/15/2013	110,801.00	99,905.00			10,896.00
6.	WELLS FARGO & CO NEW	06/05/2012	03/04/2013	213.00	182.00			31.00
3.	WELLS FARGO & CO NEW	06/05/2012	03/04/2013	106.00	91.00			15.00
4.	WELLS FARGO & CO NEW	06/05/2012	03/04/2013	143.00	122.00			21.00
4.	WELLS FARGO & CO NEW	06/05/2012	03/04/2013	142.00	122.00			20.00
2.	PRUDENTIAL FINL INC	06/05/2012	03/05/2013	113.00	92.00			21.00
3.	PRUDENTIAL FINL INC	06/05/2012	03/05/2013	172.00	138.00			34.00
2.	PRUDENTIAL FINL INC	06/05/2012	03/05/2013	114.00	92.00			22.00
2.	PRUDENTIAL FINL INC	06/05/2012	03/05/2013	114.00	92.00			22.00
1.	PRUDENTIAL FINL INC	06/05/2012	03/06/2013	57.00	46.00			11.00
1.	PRUDENTIAL FINL INC	06/05/2012	03/06/2013	57.00	46.00			11.00
2.	PRUDENTIAL FINL INC	06/05/2012	03/06/2013	114.00	92.00			22.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See **Column (g)** in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

DBC539 501G

R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

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☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
7.	QUALCOMM INC	12/14/2012	03/06/2013	466.00	422.00			44.00
4	CBS CORP	12/03/2012	03/19/2013	187.00	144.00			43.00
6	CBS CORP	12/04/2012	03/19/2013	274.00	214.00			60.00
4	CBS CORP	12/04/2012	03/19/2013	186.00	143.00			43.00
9	CBS CORP	12/04/2012	03/19/2013	412.00	322.00			90.00
2	CBS CORP	12/04/2012	03/19/2013	91.00	71.00			20.00
6.	CBS CORP	12/04/2012	03/19/2013	274.00	214.00			60.00
3	CBS CORP	12/04/2012	03/19/2013	137.00	107.00			30.00
7.	CBS CORP	12/04/2012	03/20/2013	325.00	250.00			75.00
2.	CBS CORP	12/04/2012	03/20/2013	93.00	71.00			22.00
7.	CBS CORP	12/04/2012	03/20/2013	324.00	250.00			74.00
9	CBS CORP	12/04/2012	03/25/2013	409.00	322.00			87.00
18	CBS CORP	12/04/2012	03/25/2013	819.00	643.00			176.00
6.	CBS CORP	12/05/2012	03/25/2013	272.00	214.00			58.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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For Paperwork Reduction Act Notice, see your tax return instructions.

JSA
3X2615 2 000Form **8949** (2013)

DBC539 501G

R74254005

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Form **8949**Department of the Treasury
Internal Revenue Service**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013Attachment
Sequence No **12A**

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

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☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
6	CBS CORP	12/05/2012	03/26/2013	275.00	214.00			61.00
6.	CBS CORP	12/05/2012	03/26/2013	273.00	214.00			59.00
4.	CBS CORP	12/05/2012	03/26/2013	181.00	143.00			38.00
7	CBS CORP	12/05/2012	03/28/2013	327.00	250.00			77.00
4.	CBS CORP	12/05/2012	03/28/2013	186.00	143.00			43.00
4	CBS CORP	12/05/2012	04/01/2013	186.00	143.00			43.00
4.	CBS CORP	VAR	04/01/2013	183.00	143.00			40.00
3.	CBS CORP	12/05/2012	04/01/2013	137.00	107.00			30.00
3.	CBS CORP	12/05/2012	04/01/2013	137.00	107.00			30.00
4.	CBS CORP	12/05/2012	04/02/2013	182.00	142.00			40.00
6	CBS CORP	12/05/2012	04/03/2013	269.00	214.00			55.00
1.	BAIDU.COM-ADR	09/26/2012	04/04/2013	85.00	113.00			-28.00
10	CBS CORP	12/05/2012	04/04/2013	450.00	356.00			94.00
27.	CAMERON INTERNATIONAL	VAR	04/04/2013	1,653.00	1,737.00			-84.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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For Paperwork Reduction Act Notice, see your tax return instructions.

3X2615 2 000

Form **8949** (2013)

DBC539 501G

R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

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☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
53.	CHENIERE ENERGY INC	VAR	04/04/2013	1,343.00	1,136.00			207.00
10	CAMERON INTERNATIONAL	VAR	04/05/2013	608.00	578.00			30.00
35.	CHENIERE ENERGY INC	VAR	04/05/2013	900.00	572.00			328.00
1.	CAREFUSION CORPORATION	09/10/2012	04/08/2013	34.00	28.00			6.00
1	CAREFUSION CORPORATION	09/10/2012	04/08/2013	34.00	28.00			6.00
30.	CAREFUSION CORPORATION	VAR	04/08/2013	1,028.00	783.00			245.00
4	CAREFUSION CORPORATION	VAR	04/08/2013	137.00	104.00			33.00
1	PRUDENTIAL FINL INC	06/05/2012	04/08/2013	55.00	46.00			9.00
22.	PRUDENTIAL FINL INC	06/05/2012	04/08/2013	1,222.00	1,010.00			212.00
7	CAREFUSION CORPORATION	VAR	04/09/2013	243.00	182.00			61.00
12	CAREFUSION CORPORATION	VAR	04/09/2013	415.00	311.00			104.00
7.	CAREFUSION CORPORATION	VAR	04/09/2013	242.00	181.00			61.00
3.	CAREFUSION CORPORATION	VAR	04/10/2013	104.00	78.00			26.00
15	CAREFUSION CORPORATION	VAR	04/10/2013	520.00	388.00			132.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

DBC539 501G

R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2	CAREFUSION CORPORATION	04/20/2012	04/10/2013	69.00	52.00			17.00
2.	EMERSON ELEC CO	VAR	04/12/2013	112.00	110.00			2.00
2039.949	MFS INTL VALUE-I	09/28/2012	04/16/2013	63,850.00	57,057.00			6,793.00
8.	CME GROUP INC	06/05/2012	04/19/2013	471.00	429.00			42.00
40.	3M CO	VAR	04/22/2013	4,227.00	3,877.00			350.00
23	CISCO SYS INC	VAR	04/25/2013	474.00	487.00			-13.00
10.	CISCO SYS INC	01/16/2013	04/25/2013	207.00	211.00			-4.00
43.	CISCO SYS INC	01/16/2013	04/25/2013	889.00	908.00			-19.00
70.	CISCO SYS INC	01/16/2013	04/25/2013	1,445.00	1,478.00			-33.00
25.	MERCK & CO INC	03/12/2013	05/01/2013	1,144.00	1,130.00			14.00
22.	MERCK & CO INC	03/12/2013	05/01/2013	998.00	994.00			4.00
22.	AMERICAN INTL GROUP IN	VAR	05/07/2013	984.00	862.00			122.00
64.	AMERICAN INTL GROUP IN	VAR	05/07/2013	2,848.00	2,484.00			364.00
5.	CISCO SYS INC	12/05/2012	05/07/2013	102.00	97.00			5.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

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Form **8949**Department of the Treasury
Internal Revenue Service**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013Attachment
Sequence No **12A**

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	11. VMWARE INC-CLASS A	VAR	05/07/2013	844.00	934.00			-90.00
	66. CISCO SYS INC	VAR	05/08/2013	1,359.00	1,279.00			80.00
	43. CISCO SYS INC	VAR	05/08/2013	890.00	832.00			58.00
	9. CME GROUP INC	06/05/2012	05/09/2013	550.00	483.00			67.00
	25. CME GROUP INC	VAR	05/09/2013	1,521.00	1,324.00			197.00
	9 CME GROUP INC	VAR	05/10/2013	554.00	461.00			93.00
	11. CME GROUP INC	VAR	05/10/2013	668.00	561.00			107.00
	3. TEXAS INSTRS INC	03/07/2013	05/14/2013	110.00	106.00			4.00
	2. TEXAS INSTRS INC	03/07/2013	05/15/2013	73.00	70.00			3.00
	3. TEXAS INSTRS INC	03/07/2013	05/15/2013	110.00	106.00			4.00
	2. TEXAS INSTRS INC	03/07/2013	05/15/2013	74.00	70.00			4.00
	1 TEXAS INSTRS INC	03/07/2013	05/16/2013	37.00	35.00			2.00
	3. TEXAS INSTRS INC	03/07/2013	05/16/2013	111.00	106.00			5.00
	4. TEXAS INSTRS INC	03/07/2013	05/16/2013	146.00	141.00			5.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.
JSA
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Form **8949** (2013)

DBC539 501G

R74254005

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Form **8949**Department of the Treasury
Internal Revenue Service**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013Attachment
Sequence No **12A**

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
4	TEXAS INSTRS INC	03/07/2013	05/16/2013	147.00	141.00			6.00
1.	CHENIERE ENERGY INC	10/22/2012	05/17/2013	31.00	16.00			15.00
1	CHENIERE ENERGY INC	10/22/2012	05/17/2013	31.00	16.00			15.00
6.	CHENIERE ENERGY INC	VAR	05/17/2013	184.00	95.00			89.00
3	CHENIERE ENERGY INC	10/24/2012	05/17/2013	92.00	47.00			45.00
2.	CHENIERE ENERGY INC	10/24/2012	05/17/2013	61.00	32.00			29.00
10.	TEXAS INSTRS INC	VAR	05/17/2013	366.00	352.00			14.00
2.	CHENIERE ENERGY INC	10/24/2012	05/20/2013	62.00	32.00			30.00
1	CHENIERE ENERGY INC	10/24/2012	05/20/2013	31.00	16.00			15.00
1.	CHENIERE ENERGY INC	10/24/2012	05/20/2013	31.00	16.00			15.00
1.	CHENIERE ENERGY INC	10/24/2012	05/20/2013	31.00	16.00			15.00
1	CHENIERE ENERGY INC	10/24/2012	05/20/2013	31.00	16.00			15.00
1.	CHENIERE ENERGY INC	10/24/2012	05/20/2013	31.00	16.00			15.00
10	TEXAS INSTRS INC	03/06/2013	05/20/2013	367.00	351.00			16.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked).								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.
JSA
3X2615 2 000

Form **8949** (2013)

Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	CHENIERE ENERGY INC	10/24/2012	05/21/2013	31.00	16.00			15.00
4.	CHENIERE ENERGY INC	10/24/2012	05/21/2013	122.00	63.00			59.00
1.	CHENIERE ENERGY INC	10/24/2012	05/21/2013	31.00	16.00			15.00
5.	TEXAS INSTRS INC	03/06/2013	05/21/2013	183.00	176.00			7.00
1.	CHENIERE ENERGY INC	10/23/2012	05/22/2013	30.00	16.00			14.00
1.	CHENIERE ENERGY INC	10/23/2012	05/22/2013	30.00	16.00			14.00
1.	CHENIERE ENERGY INC	10/23/2012	05/22/2013	31.00	16.00			15.00
3	CHENIERE ENERGY INC	10/23/2012	05/22/2013	92.00	47.00			45.00
1	CHENIERE ENERGY INC	10/23/2012	05/22/2013	31.00	16.00			15.00
8.	TEXAS INSTRS INC	03/06/2013	05/22/2013	287.00	281.00			6.00
3.	CHENIERE ENERGY INC	10/23/2012	05/23/2013	88.00	47.00			41.00
2	CHENIERE ENERGY INC	10/23/2012	05/23/2013	58.00	32.00			26.00
1.	CHENIERE ENERGY INC	10/23/2012	05/23/2013	29.00	16.00			13.00
51	TEXAS INSTRS INC	VAR	05/23/2013	1,831.00	1,789.00			42.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.
JSA
3X2615 2 000

Form **8949** (2013)

Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

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☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
21	TEXAS INSTRS INC	VAR	05/23/2013	755.00	735.00			20.00
35.	VMWARE INC-CLASS A	VAR	05/30/2013	2,502.00	2,952.00			-450.00
139.	SPDR GOLD TRUST	06/13/2012	06/05/2013	18,822.00	21,911.00			-3,089.00
70.	SPDR GOLD TRUST	VAR	06/05/2013	9,493.00	9,477.00			16.00
139.	SPDR GOLD TRUST	04/16/2013	06/05/2013	18,862.00	18,528.00			334.00
19.	SPDR GOLD TRUST	04/16/2013	06/05/2013	2,584.00	2,533.00			51.00
1.	WILLIAMS COS INC	01/10/2013	06/07/2013	35.00	34.00			1.00
10.	WILLIAMS COS INC	01/11/2013	06/07/2013	352.00	336.00			16.00
2	WILLIAMS COS INC	01/10/2013	06/07/2013	70.00	67.00			3.00
4.	WILLIAMS COS INC	VAR	06/10/2013	140.00	134.00			6.00
3.	WILLIAMS COS INC	01/11/2013	06/10/2013	106.00	101.00			5.00
6.	WILLIAMS COS INC	01/11/2013	06/10/2013	210.00	202.00			8.00
4	WILLIAMS COS INC	01/11/2013	06/10/2013	140.00	134.00			6.00
16.	WILLIAMS COS INC	01/11/2013	06/13/2013	538.00	537.00			1.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

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R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

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- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
15.	WILLIAMS COS INC	VAR	06/13/2013	503.00	500.00			3.00
13.	WILLIAMS COS INC	12/21/2012	06/13/2013	433.00	424.00			9.00
16.	OCCIDENTAL PETE CORP	VAR	06/21/2013	1,438.00	1,497.00			-59.00
3.	ALLERGAN INC	05/07/2013	06/24/2013	253.00	314.00			-61.00
2.	ALLERGAN INC	05/07/2013	06/24/2013	168.00	209.00			-41.00
5.	ALLERGAN INC	05/07/2013	06/24/2013	418.00	523.00			-105.00
3.	ALLERGAN INC	05/22/2013	06/24/2013	257.00	296.00			-39.00
3.	ALLERGAN INC	05/22/2013	06/24/2013	251.00	296.00			-45.00
1.	ALLERGAN INC	05/22/2013	06/24/2013	85.00	99.00			-14.00
2.	ALLERGAN INC	05/22/2013	06/24/2013	164.00	197.00			-33.00
2.	ALLERGAN INC	05/22/2013	06/24/2013	172.00	197.00			-25.00
1.	ALLERGAN INC	05/22/2013	06/25/2013	85.00	99.00			-14.00
3.	ONYX PHARMACEUTICALS IN	04/01/2013	07/01/2013	393.00	274.00			119.00
6.	ONYX PHARMACEUTICALS IN	VAR	07/01/2013	787.00	540.00			247.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Form **8949**Department of the Treasury
Internal Revenue Service**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013Attachment
Sequence No **12A**

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
5.	ONYX PHARMACEUTICALS IN	03/28/2013	07/01/2013	659.00	446.00			213.00
1	ONYX PHARMACEUTICALS IN	03/28/2013	07/01/2013	131.00	89.00			42.00
26.	PHILLIPS 66	VAR	07/02/2013	1,483.00	1,328.00			155.00
4	APACHE CORP	05/20/2013	07/03/2013	323.00	336.00			-13.00
1	APACHE CORP	05/20/2013	07/03/2013	81.00	84.00			-3.00
1.	APACHE CORP	05/20/2013	07/03/2013	80.00	84.00			-4.00
12.	ADOBE SYS INC	05/09/2013	07/05/2013	562.00	530.00			32.00
5.	ALEXION PHARMACEUTICALS	05/07/2013	07/05/2013	480.00	483.00			-3.00
2	ALLIANCE DATA SYSTEMS C	05/10/2013	07/05/2013	360.00	354.00			6.00
5.	APACHE CORP	05/20/2013	07/05/2013	413.00	420.00			-7.00
30.	APPLIED MATLS INC	VAR	07/05/2013	450.00	442.00			8.00
14	ARCHER DANIELS MIDLAND	VAR	07/05/2013	487.00	487.00			
1.	AUTOZONE INC	09/19/2012	07/05/2013	423.00	372.00			51.00
12	AXIAL CORPORATION	04/12/2013	07/05/2013	492.00	687.00			-195.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

DBC539 501G

R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

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☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	30. BRISTOL MYERS SQUIBB C	04/15/2013	07/05/2013	1,319.00	1,230.00			89.00
	8. BROADCOM CORP CL A	08/13/2012	07/05/2013	267.00	279.00			-12.00
	13. CVS CORP	VAR	07/05/2013	756.00	754.00			2.00
	8. CAMERON INTERNATIONAL C	10/16/2012	07/05/2013	503.00	439.00			64.00
	11. CAPITAL ONE FINL CORP	03/13/2013	07/05/2013	710.00	603.00			107.00
	11. CHENIERE ENERGY INC	VAR	07/05/2013	316.00	174.00			142.00
	44. CISCO SYS INC	05/30/2013	07/05/2013	1,067.00	1,071.00			-4.00
	21. CITIGROUP INC NEW	04/16/2013	07/05/2013	1,009.00	973.00			36.00
	7. CITRIX SYS INC	12/12/2012	07/05/2013	438.00	453.00			-15.00
	4. DAVITA INC	VAR	07/05/2013	454.00	446.00			8.00
	12. DISH NETWORK CORP	05/17/2013	07/05/2013	514.00	468.00			46.00
	25. DOW CHEM CO	VAR	07/05/2013	810.00	833.00			-23.00
	13. FLUOR CORP	07/02/2013	07/05/2013	750.00	758.00			-8.00
	2. GOOGLE INC	VAR	07/05/2013	1,780.00	1,811.00			-31.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

DBC539 501G

R74254005

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Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

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- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	10. HARTFORD FINL SVCS GRO	05/07/2013	07/05/2013	310.00	293.00			17.00
	16 HONEYWELL INTL INC	04/12/2013	07/05/2013	1,267.00	1,187.00			80.00
	3. INTERCONTINENTAL EXCHAN	06/21/2013	07/05/2013	527.00	516.00			11.00
	1. INTUITIVE SURGICAL INC	03/01/2013	07/05/2013	503.00	553.00			-50.00
	26. JOHNSON & JOHNSON	VAR	07/05/2013	2,271.00	2,223.00			48.00
	20. JOHNSON CTLS INC	05/20/2013	07/05/2013	714.00	754.00			-40.00
	11. LAM RESEARCH CORPORATI	VAR	07/05/2013	501.00	467.00			34.00
	8. LINKEDIN CORP - A	05/07/2013	07/05/2013	1,527.00	1,434.00			93.00
	34. MASCO CORP	VAR	07/05/2013	662.00	451.00			211.00
	2. MASTERCARD INC - CLASS	05/09/2013	07/05/2013	1,175.00	1,104.00			71.00
	14. METLIFE INC	04/08/2013	07/05/2013	657.00	509.00			148.00
	3. METTLER TOLEDO INTERNAT	VAR	07/05/2013	625.00	640.00			-15.00
	29. MORGAN STANLEY DEAN WI NEW	01/16/2013	07/05/2013	705.00	598.00			107.00
	6. NEXTERA ENERGY INC	VAR	07/05/2013	476.00	474.00			2.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

DBC539 501G

R74254005

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Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

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Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

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- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
17	NISOURCE INC	04/05/2013	07/05/2013	483.00	506.00			-23.00
9.	PHILLIPS 66	VAR	07/05/2013	506.00	421.00			85.00
1.	PRICELINE.COM INC	04/02/2013	07/05/2013	843.00	705.00			138.00
10.	STATE STR CORP	VAR	07/05/2013	666.00	606.00			60.00
13.	TARGET CORP	VAR	07/05/2013	908.00	906.00			2.00
16.	TIME WARNER INC	VAR	07/05/2013	967.00	907.00			60.00
17.	UNITEDHEALTH GROUP INC	VAR	07/05/2013	1,112.00	1,097.00			15.00
11.	WILLIAMS COS INC	12/21/2012	07/05/2013	359.00	359.00			
8	XILINX INC	05/08/2013	07/05/2013	320.00	308.00			12.00
13.	ENSCO PLC	VAR	07/05/2013	749.00	648.00			101.00
6	PENTAIR LTD	02/07/2013	07/05/2013	349.00	303.00			46.00
7	ASML HOLDING N.V.	10/26/2012	07/05/2013	566.00	503.00			63.00
32.	AVAGO TECHNOLOGIES LTD	VAR	07/05/2013	1,225.00	1,217.00			8.00
3444.74	JPMORGAN SHORT DUR FUND	05/15/2013	07/08/2013	37,513.00	37,789.00			-276.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

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☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	75000. CS REN HSCEI 02/2	02/01/2013	07/09/2013	54,863.00	74,250.00			-19,387.00
2	GOOGLE INC	VAR	07/16/2013	1,836.00	1,697.00			139.00
1.	GOOGLE INC	09/26/2012	07/17/2013	919.00	754.00			165.00
1.	GOOGLE INC	09/26/2012	07/17/2013	918.00	754.00			164.00
1.	GOOGLE INC	09/26/2012	07/17/2013	918.00	754.00			164.00
1.	WALTER INDS INC	09/07/2012	07/23/2013	14.00	35.00			-21.00
4.	WALTER INDS INC	09/07/2012	07/23/2013	57.00	138.00			-81.00
1.	WALTER INDS INC	09/07/2012	07/23/2013	14.00	35.00			-21.00
3	WALTER INDS INC	09/07/2012	07/24/2013	37.00	104.00			-67.00
10.	WALTER INDS INC	09/07/2012	07/24/2013	120.00	345.00			-225.00
2.	WALTER INDS INC	09/07/2012	07/25/2013	23.00	69.00			-46.00
5421.515	JPMORGAN INTERNAT CURRENCY	02/11/2013	07/26/2013	60,233.00	60,965.00			-732.00
8.	LINKEDIN CORP - A	VAR	07/29/2013	1,621.00	1,403.00			218.00
4.	JOHNSON CTLS INC	05/20/2013	07/30/2013	165.00	151.00			14.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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For Paperwork Reduction Act Notice, see your tax return instructions.
JSA
3X2615 2 000

Form **8949** (2013)

DBC539 501G

R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

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Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1	JOHNSON CTLS INC	05/20/2013	07/30/2013	41.00	38.00			3.00
1.	JOHNSON CTLS INC	05/20/2013	07/30/2013	41.00	38.00			3.00
1668	ISHARES MSCI EMERGIN INDEX	11/08/2012	07/31/2013	65,023.00	69,234.00			-4,211.00
5.	JOHNSON CTLS INC	05/20/2013	07/31/2013	202.00	189.00			13.00
1.	JOHNSON CTLS INC	05/20/2013	07/31/2013	40.00	38.00			2.00
2	ONYX PHARMACEUTICALS IN	04/03/2013	07/31/2013	265.00	176.00			89.00
2.	ONYX PHARMACEUTICALS IN	04/03/2013	07/31/2013	264.00	176.00			88.00
3.	ONYX PHARMACEUTICALS IN	VAR	07/31/2013	396.00	261.00			135.00
4203	976 VIRTUS EMERGING M	02/11/2013	07/31/2013	41,241.00	43,721.00			-2,480.00
3.	WALTER INDS INC	09/07/2012	07/31/2013	35.00	104.00			-69.00
4.	JOHNSON CTLS INC	05/20/2013	08/01/2013	164.00	151.00			13.00
2.	JOHNSON CTLS INC	05/20/2013	08/01/2013	82.00	75.00			7.00
8	ONYX PHARMACEUTICALS IN	VAR	08/01/2013	1,067.00	692.00			375.00
15	WALTER INDS INC	09/07/2012	08/01/2013	174.00	518.00			-344.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
3.	JOHNSON CTLS INC	05/20/2013	08/02/2013	124.00	113.00			11.00
1	JOHNSON CTLS INC	05/20/2013	08/02/2013	41.00	38.00			3.00
11.	XILINX INC	05/08/2013	08/02/2013	508.00	424.00			84.00
1.	JOHNSON CTLS INC	05/20/2013	08/05/2013	41.00	38.00			3.00
4.	XILINX INC	VAR	08/05/2013	184.00	154.00			30.00
9.	XILINX INC	05/08/2013	08/05/2013	415.00	346.00			69.00
4.	WALTER INDS INC	09/07/2012	08/06/2013	43.00	138.00			-95.00
3.	WALTER INDS INC	09/07/2012	08/06/2013	31.00	104.00			-73.00
15.	XILINX INC	05/08/2013	08/06/2013	688.00	576.00			112.00
7	XILINX INC	05/08/2013	08/06/2013	320.00	269.00			51.00
3.	WALTER INDS INC	09/07/2012	08/07/2013	31.00	104.00			-73.00
9.	WALTER INDS INC	09/07/2012	08/07/2013	91.00	311.00			-220.00
1.	XILINX INC	05/08/2013	08/07/2013	46.00	38.00			8.00
7.	XILINX INC	VAR	08/07/2013	320.00	268.00			52.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

DBC539 501G

R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

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☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	XILINX INC	05/07/2013	08/07/2013	91.00	76.00			15.00
7.	XILINX INC	05/07/2013	08/08/2013	319.00	267.00			52.00
3.	XILINX INC	05/07/2013	08/09/2013	136.00	115.00			21.00
1	XILINX INC	05/07/2013	08/09/2013	45.00	38.00			7.00
8.	NISOURCE INC	VAR	08/12/2013	244.00	237.00			7.00
1	XILINX INC	05/07/2013	08/12/2013	46.00	38.00			8.00
1.	XILINX INC	05/07/2013	08/12/2013	45.00	38.00			7.00
2.	XILINX INC	VAR	08/12/2013	91.00	76.00			15.00
38	NISOURCE INC	VAR	08/13/2013	1,170.00	1,097.00			73.00
1	XILINX INC	05/08/2013	08/13/2013	45.00	38.00			7.00
1.	XILINX INC	05/08/2013	08/13/2013	45.00	38.00			7.00
1.	NISOURCE INC	02/27/2013	08/14/2013	31.00	27.00			4.00
7	NISOURCE INC	02/27/2013	08/14/2013	215.00	192.00			23.00
2	TARGET CORP	04/23/2013	08/14/2013	141.00	139.00			2.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

DBC539 501G

R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

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Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1	TARGET CORP	04/23/2013	08/14/2013	70.00	70.00			
1.	TARGET CORP	04/23/2013	08/14/2013	70.00	70.00			
5.	TARGET CORP	VAR	08/14/2013	350.00	348.00			2.00
1.	XILINX INC	05/08/2013	08/14/2013	45.00	38.00			7.00
5	CERNER CORP	01/15/2013	08/15/2013	236.00	201.00			35.00
11	CERNER CORP	VAR	08/15/2013	518.00	441.00			77.00
2.	NISOURCE INC	02/27/2013	08/15/2013	61.00	55.00			6.00
1.	TARGET CORP	04/24/2013	08/15/2013	69.00	70.00			-1.00
2.	TARGET CORP	VAR	08/15/2013	138.00	138.00			
8.	TARGET CORP	VAR	08/15/2013	554.00	536.00			18.00
12.	ASML HOLDING N.V.	10/26/2012	08/15/2013	1,096.00	862.00			234.00
19.	CERNER CORP	VAR	08/16/2013	896.00	758.00			138.00
6.	CERNER CORP	11/07/2012	08/19/2013	286.00	239.00			47.00
8	NISOURCE INC	VAR	08/19/2013	238.00	218.00			20.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

DBC539 501G

R74254005

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Sales and Other Dispositions of Capital Assets

OMB No. 1545-0074

2013Attachment
Sequence No. **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

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☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
9	EDISON INTL	VAR	09/11/2013	406.00	429.00			-23.00
1.	EDISON INTL	06/17/2013	09/11/2013	45.00	47.00			-2.00
17.	ASML HOLDING N.V.	VAR	09/11/2013	1,517.00	1,207.00			310.00
3	EDISON INTL	VAR	09/12/2013	134.00	142.00			-8.00
19.	EDISON INTL	VAR	09/12/2013	847.00	897.00			-50.00
1	EDISON INTL	06/10/2013	09/12/2013	45.00	47.00			-2.00
39.	DOW CHEM CO	VAR	09/13/2013	1,546.00	1,266.00			280.00
4.	EDISON INTL	VAR	09/13/2013	180.00	188.00			-8.00
17	EDISON INTL	VAR	09/13/2013	765.00	784.00			-19.00
16.	ASML HOLDING N.V.	VAR	09/18/2013	1,501.00	1,103.00			398.00
4	CVS CORP	04/11/2013	09/19/2013	239.00	232.00			7.00
3.	CVS CORP	04/11/2013	09/19/2013	179.00	174.00			5.00
1.	CVS CORP	04/11/2013	09/19/2013	60.00	58.00			2.00
8	CVS CORP	VAR	09/19/2013	479.00	463.00			16.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

DBC539 501G

R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

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☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1	CVS CORP	04/11/2013	09/19/2013	60.00	58.00			2.00
63	HEWLETT PACKARD CO	VAR	09/19/2013	1,346.00	1,708.00			-362.00
128.	HEWLETT PACKARD CO	VAR	09/19/2013	2,754.00	3,446.00			-692.00
11.	CVS CORP	VAR	09/20/2013	653.00	634.00			19.00
36.	CVS CORP	VAR	09/20/2013	2,104.00	2,061.00			43.00
1	HEWLETT PACKARD CO	08/09/2013	09/20/2013	21.00	27.00			-6.00
6	INTUITIVE SURGICAL INC	VAR	09/26/2013	2,204.00	3,215.00			-1,011.00
8	AXIAL CORPORATION	04/12/2013	10/02/2013	305.00	458.00			-153.00
12	AXIAL CORPORATION	04/12/2013	10/02/2013	458.00	687.00			-229.00
4	TARGET CORP	10/26/2012	10/02/2013	254.00	256.00			-2.00
35.	AXIAL CORPORATION	VAR	10/03/2013	1,327.00	1,585.00			-258.00
22	AXIAL CORPORATION	01/18/2013	10/04/2013	838.00	962.00			-124.00
11	AXIAL CORPORATION	01/18/2013	10/04/2013	421.00	481.00			-60.00
4.	DTE ENERGY CO	08/09/2013	10/17/2013	265.00	283.00			-18.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

DBC539 501G

R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

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LOUISE HEAD DUNCAN TR U/W R74254005

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Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
4.	DTE ENERGY CO	VAR	10/17/2013	268.00	280.00			-12.00
11.	DTE ENERGY CO	VAR	10/17/2013	734.00	748.00			-14.00
2.	DTE ENERGY CO	VAR	10/17/2013	134.00	134.00			
1.	DTE ENERGY CO	08/26/2013	10/17/2013	67.00	67.00			
2	DTE ENERGY CO	VAR	10/17/2013	132.00	134.00			-2.00
5	AVAGO TECHNOLOGIES LTD	05/31/2013	10/17/2013	224.00	190.00			34.00
2	AVAGO TECHNOLOGIES LTD	05/31/2013	10/17/2013	90.00	76.00			14.00
4.	DTE ENERGY CO	VAR	10/18/2013	269.00	268.00			1.00
2	DTE ENERGY CO	VAR	10/18/2013	135.00	134.00			1.00
2	DTE ENERGY CO	VAR	10/18/2013	135.00	134.00			1.00
1.	DTE ENERGY CO	09/11/2013	10/18/2013	67.00	67.00			
5	AVAGO TECHNOLOGIES LTD	05/31/2013	10/18/2013	229.00	190.00			39.00
1.	DTE ENERGY CO	09/11/2013	10/21/2013	67.00	67.00			
3.	DTE ENERGY CO	09/11/2013	10/21/2013	200.00	200.00			
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

DBC539 501G

R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

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☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
3	DTE ENERGY CO	09/11/2013	10/21/2013	200.00	200.00			
9	AVAGO TECHNOLOGIES LTD	05/31/2013	10/21/2013	421.00	342.00			79.00
46.	BAXTER INTL INC	VAR	10/22/2013	3,004.00	3,338.00			-334.00
8	BAXTER INTL INC	VAR	10/22/2013	522.00	563.00			-41.00
4.	DTE ENERGY CO	09/11/2013	10/22/2013	270.00	267.00			3.00
4.	DTE ENERGY CO	VAR	10/22/2013	270.00	266.00			4.00
2	AVAGO TECHNOLOGIES LTD	05/31/2013	10/22/2013	93.00	76.00			17.00
9.	BAXTER INTL INC	VAR	10/23/2013	587.00	628.00			-41.00
7	CHENIERE ENERGY INC	10/24/2012	10/23/2013	268.00	111.00			157.00
45.	EATON CORP PLC	VAR	10/23/2013	3,048.00	2,997.00			51.00
5.	EATON CORP PLC	08/05/2013	10/23/2013	339.00	332.00			7.00
1	AVAGO TECHNOLOGIES LTD	05/31/2013	10/23/2013	45.00	38.00			7.00
27.	CAMERON INTERNATIONAL	11/05/2012	10/24/2013	1,456.00	1,405.00			51.00
1.	CAMERON INTERNATIONAL C	11/05/2012	10/24/2013	54.00	52.00			2.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

DBC539 501G

R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

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☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
32.	EATON CORP PLC	VAR	10/24/2013	2,179.00	2,116 00			63.00
39.	BROADCOM CORP CL A	VAR	10/25/2013	1,031.00	1,207.00			-176 00
23.	BROADCOM CORP CL A	VAR	10/25/2013	608.00	698 00			-90.00
13.	BROADCOM CORP CL A	11/16/2012	10/25/2013	345.00	391.00			-46 00
10	BROADCOM CORP CL A	VAR	10/25/2013	264 00	300 00			-36.00
3.	AVAGO TECHNOLOGIES LTD	05/31/2013	10/25/2013	136.00	114 00			22.00
1	AVAGO TECHNOLOGIES LTD	05/31/2013	10/25/2013	46 00	38 00			8.00
6.	AVAGO TECHNOLOGIES LTD	VAR	10/25/2013	273 00	227.00			46.00
27.	ADOBE SYS INC	VAR	10/28/2013	1,453 00	1,072 00			381.00
15	ALEXION PHARMACEUTICAL	VAR	10/28/2013	1,841 00	1,695 00			146.00
5	ALLIANCE DATA SYSTEMS C	VAR	10/28/2013	1,160.00	884 00			276.00
19	APACHE CORP	VAR	10/28/2013	1,718 00	1,618 00			100.00
90.	APPLIED MATLS INC	VAR	10/28/2013	1,597.00	1,309.00			288.00
42.	ARCHER DANIELS MIDLAND	VAR	10/28/2013	1,673 00	1,409.00			264.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

DBC539 501G

R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

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Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

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☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
202	BANK OF AMERICA CORPO	VAR	10/28/2013	2,887.00	2,511.00			376.00
86	BRISTOL MYERS SQUIBB C	VAR	10/28/2013	4,459.00	3,822.00			637.00
30.	CBS CORP	VAR	10/28/2013	1,771.00	1,585.00			186.00
50.	CSX CORP	07/02/2013	10/28/2013	1,333.00	1,155.00			178.00
20	CVS CORP	VAR	10/28/2013	1,242.00	1,137.00			105.00
27.	CAPITAL ONE FINL CORP	VAR	10/28/2013	1,916.00	1,478.00			438.00
155.	CISCO SYS INC	VAR	10/28/2013	3,477.00	3,794.00			-317.00
14.	CITIGROUP INC NEW	09/19/2013	10/28/2013	704.00	732.00			-28.00
26.	DAVITA INC	VAR	10/28/2013	1,470.00	1,529.00			-59.00
32.	DISNEY WALT CO	VAR	10/28/2013	2,211.00	2,182.00			29.00
34.	DISH NETWORK CORP	VAR	10/28/2013	1,655.00	1,298.00			357.00
69.	DOW CHEM CO	VAR	10/28/2013	2,709.00	2,476.00			233.00
45.	EBAY INC	VAR	10/28/2013	2,325.00	2,564.00			-239.00
24.	EMERSON ELEC CO	09/19/2013	10/28/2013	1,609.00	1,596.00			13.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

DBC539 501G

R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

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☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
53.	FLUOR CORP	VAR	10/28/2013	4,037.00	3,522.00			515.00
90.	FREEPORT-MCMORAN COPPE B	VAR	10/28/2013	3,355.00	3,186.00			169.00
17.	GENERAL MOTORS CO	09/19/2013	10/28/2013	607.00	634.00			-27.00
42.	HARTFORD FINL SVCS GRO	09/18/2013	10/28/2013	1,408.00	1,353.00			55.00
28.	HOME DEPOT INC	VAR	10/28/2013	2,133.00	2,196.00			-63.00
44.	HONEYWELL INTL INC	VAR	10/28/2013	3,830.00	3,730.00			100.00
11.	INTERCONTINENTAL EXCHA	VAR	10/28/2013	2,129.00	2,087.00			42.00
87.	JOHNSON & JOHNSON	VAR	10/28/2013	8,056.00	7,043.00			1,013.00
33.	LAM RESEARCH CORPORATI	VAR	10/28/2013	1,789.00	1,399.00			390.00
5.	LINKEDIN CORP - A	03/19/2013	10/28/2013	1,204.00	868.00			336.00
35.	MARATHON OIL CORP	VAR	10/28/2013	1,253.00	1,263.00			-10.00
29.	MARSH & MCLENNAN COS I	09/20/2013	10/28/2013	1,347.00	1,283.00			64.00
62.	MASCO CORP	09/19/2013	10/28/2013	1,300.00	1,380.00			-80.00
46.	METLIFE INC	VAR	10/28/2013	2,228.00	1,667.00			561.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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For Paperwork Reduction Act Notice, see your tax return instructions.
JSA
3X2615 2 000

Form **8949** (2013)

DBC539 501G

R74254005

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

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Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
4.	METTLER TOLEDO INTERNAT	VAR	10/28/2013	988.00	846.00			142.00
209.	MICROSOFT CORP	VAR	10/28/2013	7,416.00	6,932.00			484.00
9	MONSANTO CO NEW	10/02/2013	10/28/2013	971.00	932.00			39.00
85	MORGAN STANLEY DEAN WI NEW	VAR	10/28/2013	2,467.00	1,749.00			718.00
26.	NEXTERA ENERGY INC	VAR	10/28/2013	2,224.00	2,121.00			103.00
43.	NISOURCE INC	VAR	10/28/2013	1,387.00	1,338.00			49.00
2	OCCIDENTAL PETE CORP	05/31/2013	10/28/2013	193.00	185.00			8.00
15	PEPSICO INC	07/02/2013	10/28/2013	1,263.00	1,225.00			38.00
32.	PHILLIPS 66	VAR	10/28/2013	2,037.00	2,006.00			31.00
1	PRICELINE.COM INC	04/02/2013	10/28/2013	1,066.00	705.00			361.00
21	QUALCOMM INC	09/20/2013	10/28/2013	1,440.00	1,465.00			-25.00
27.	STATE STR CORP	VAR	10/28/2013	1,858.00	1,628.00			230.00
10.	TIME WARNER CABLE INC	08/09/2013	10/28/2013	1,179.00	1,155.00			24.00
12	UNITED TECHNOLOGIES CO	10/23/2013	10/28/2013	1,277.00	1,275.00			2.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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For Paperwork Reduction Act Notice, see your tax return instructions.
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Form **8949** (2013)

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Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No. 1545-0074

2013Attachment
Sequence No. **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
61	UNITEDHEALTH GROUP INC	VAR	10/28/2013	4,088.00	4,190.00			-102.00
32.	WILLIAMS COS INC	09/19/2013	10/28/2013	1,188.00	1,177.00			11.00
23.	PENTAIR LTD	VAR	10/28/2013	1,528.00	1,436.00			92.00
7	ASML HOLDING N.V.	12/14/2012	10/28/2013	660.00	445.00			215.00
6.	AVAGO TECHNOLOGIES LTD	06/14/2013	10/28/2013	273.00	226.00			47.00
10.	AVAGO TECHNOLOGIES LTD	VAR	10/29/2013	460.00	375.00			85.00
5.	DOW CHEM CO	04/22/2013	11/07/2013	195.00	154.00			41.00
1.	DOW CHEM CO	04/22/2013	11/07/2013	39.00	31.00			8.00
1.	DOW CHEM CO	04/22/2013	11/07/2013	39.00	31.00			8.00
2.	DOW CHEM CO	04/22/2013	11/08/2013	79.00	62.00			17.00
1.	DOW CHEM CO	04/22/2013	11/11/2013	40.00	31.00			9.00
3.	DOW CHEM CO	04/22/2013	11/11/2013	119.00	93.00			26.00
2.	ARCHER DANIELS MIDLAND	VAR	11/12/2013	81.00	66.00			15.00
1.	DOW CHEM CO	04/22/2013	11/12/2013	39.00	31.00			8.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

DBC539 501G

R74254005

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Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
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► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

LOUISE HEAD DUNCAN TR U/W R74254005

Social security number or taxpayer identification number

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

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☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1	DOW CHEM CO	04/22/2013	11/12/2013	40.00	31.00			9.00
2.	ARCHER DANIELS MIDLAND	02/20/2013	11/13/2013	82.00	65.00			17.00
2	ARCHER DANIELS MIDLAND	02/20/2013	11/13/2013	82.00	65.00			17.00
1.	DOW CHEM CO	04/22/2013	11/13/2013	39.00	31.00			8.00
11.	DOW CHEM CO	04/22/2013	11/13/2013	433.00	340.00			93.00
1.	DOW CHEM CO	04/22/2013	11/13/2013	39.00	31.00			8.00
4	ARCHER DANIELS MIDLAND	02/20/2013	11/14/2013	167.00	131.00			36.00
5	DOW CHEM CO	04/22/2013	11/14/2013	200.00	154.00			46.00
17.	ASML HOLDING N.V.	12/14/2012	11/14/2013	1,508.00	1,081.00			427.00
3.	ARCHER DANIELS MIDLAND	02/20/2013	11/15/2013	122.00	98.00			24.00
2.	ARCHER DANIELS MIDLAND	02/20/2013	11/15/2013	81.00	65.00			16.00
1.	ARCHER DANIELS MIDLAND	02/20/2013	11/18/2013	41.00	33.00			8.00
2.	ARCHER DANIELS MIDLAND	02/20/2013	11/18/2013	82.00	65.00			17.00
2.	ARCHER DANIELS MIDLAND	02/20/2013	11/19/2013	82.00	65.00			17.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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Form **8949** (2013)

3X2615 2 000

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OMB No 1545-0074

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Social security number or taxpayer identification number

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☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	ARCHER DANIELS MIDLAND	02/15/2013	11/19/2013	41.00	33.00			8.00
5	UNITEDHEALTH GROUP INC	06/13/2013	11/19/2013	357.00	320.00			37.00
3.	ARCHER DANIELS MIDLAND	02/15/2013	11/20/2013	121.00	98.00			23.00
20	ARCHER DANIELS MIDLAND	VAR	11/21/2013	821.00	650.00			171.00
17.	STRYKER CORP	VAR	11/25/2013	1,273.00	1,264.00			9.00
8	STRYKER CORP	VAR	11/26/2013	599.00	592.00			7.00
9.	STRYKER CORP	VAR	11/26/2013	674.00	664.00			10.00
5	STRYKER CORP	VAR	11/27/2013	374.00	366.00			8.00
21	CERNER CORP	VAR	12/02/2013	1,212.00	1,183.00			29.00
39.	QEP RESOURCES INC	VAR	12/02/2013	1,261.00	1,299.00			-38.00
78.	AVAGO TECHNOLOGIES LTD	VAR	12/02/2013	3,532.00	2,773.00			759.00
2.	APPLIED MATLS INC	04/29/2013	12/13/2013	33.00	29.00			4.00
60	APPLIED MATLS INC	VAR	12/13/2013	1,002.00	854.00			148.00
98	APPLIED MATLS INC	VAR	12/13/2013	1,636.00	1,387.00			249.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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Form **8949** (2013)JSA
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Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

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Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

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68	LENNAR CORP	10/21/2011	01/10/2013	2,787.00	1,129.00			1,658.00
18.	LENNAR CORP	10/21/2011	01/10/2013	737.00	299.00			438.00
33.	PROCTER & GAMBLE CO	07/31/2007	01/15/2013	2,303.00	2,068.00			235.00
.	DELL INC		01/16/2013	5.00				5.00
1.	GOLDMAN SACHS GROUP INC	09/18/2008	01/16/2013	141.00	103.00			38.00
7.	GOLDMAN SACHS GROUP INC	09/18/2008	01/16/2013	978.00	721.00			257.00
7	GOLDMAN SACHS GROUP INC	VAR	01/16/2013	987.00	687.00			300.00
7.	GOLDMAN SACHS GROUP INC	10/17/2011	01/16/2013	987.00	673.00			314.00
2.	GOLDMAN SACHS GROUP INC	10/17/2011	01/16/2013	279.00	192.00			87.00
4.	GOLDMAN SACHS GROUP INC	10/17/2011	01/16/2013	557.00	385.00			172.00
5	ORACLE CORP	12/07/2010	01/16/2013	174.00	146.00			28.00
6	ORACLE CORP	12/07/2010	01/16/2013	209.00	175.00			34.00
12	ORACLE CORP	12/07/2010	01/16/2013	418.00	350.00			68.00
2	ORACLE CORP	12/07/2010	01/16/2013	70.00	58.00			12.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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3.	ORACLE CORP	12/07/2010	01/16/2013	104.00	88.00			16.00
8.	ORACLE CORP	12/07/2010	01/17/2013	277.00	233.00			44.00
39.	ORACLE CORP	12/07/2010	01/17/2013	1,354.00	1,138.00			216.00
65.	JOHNSON CTLS INC	06/26/2007	01/18/2013	1,998.00	2,467.00			-469.00
11.	JOHNSON CTLS INC	06/26/2007	01/18/2013	338.00	417.00			-79.00
55000.	DB 95% PPN FX BASKE	07/29/2011	02/01/2013	52,250.00	55,038.00			-2,788.00
15.	MERCK & CO INC	04/18/2011	02/05/2013	616.00	509.00			107.00
20.	MERCK & CO INC	04/18/2011	02/05/2013	826.00	679.00			147.00
12.	MERCK & CO INC	04/18/2011	02/05/2013	496.00	407.00			89.00
3.	PFIZER INC	09/14/2009	02/05/2013	82.00	49.00			33.00
24.	PFIZER INC	09/14/2009	02/05/2013	659.00	393.00			266.00
4.	PFIZER INC	09/14/2009	02/05/2013	110.00	65.00			45.00
13.	MERCK & CO INC	VAR	02/06/2013	534.00	434.00			100.00
11.	MERCK & CO INC	09/14/2009	02/06/2013	451.00	362.00			89.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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	21. PFIZER INC	09/14/2009	02/06/2013	574.00	344.00			230.00
	4. PFIZER INC	09/14/2009	02/06/2013	109.00	65.00			44.00
	47. JOHNSON CTLS INC	06/26/2007	02/07/2013	1,440.00	1,784.00			-344.00
	12. MERCK & CO INC	09/14/2009	02/07/2013	492.00	395.00			97.00
	1 PFIZER INC	09/14/2009	02/07/2013	27.00	16.00			11.00
	5. PFIZER INC	09/14/2009	02/07/2013	135.00	82.00			53.00
	16 JOHNSON CTLS INC	VAR	02/08/2013	496.00	580.00			-84.00
	34. MERCK & CO INC	09/14/2009	02/08/2013	1,395.00	1,118.00			277.00
	9 NETAPP INC	09/20/2011	02/08/2013	320.00	321.00			-1.00
	2. PFIZER INC	09/14/2009	02/08/2013	54.00	33.00			21.00
	8. PFIZER INC	09/14/2009	02/08/2013	214.00	131.00			83.00
	5. PFIZER INC	09/14/2009	02/08/2013	134.00	82.00			52.00
	19 PFIZER INC	09/14/2009	02/08/2013	510.00	311.00			199.00
	1. PFIZER INC	09/14/2009	02/08/2013	27.00	16.00			11.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (a)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	12801.418 EDGEWOOD GROWTH	01/06/2011	02/11/2013	187,797.00	147,472.00			40,325.00
	23. ALLERGAN INC	01/19/2012	02/11/2013	2,457.00	2,001.00			456.00
	17. AMAZON COM INC	VAR	02/11/2013	4,380.00	2,643.00			1,737.00
	32. ANADARKO PETE CORP	VAR	02/11/2013	2,666.00	2,565.00			101.00
	24. APPLE COMPUTER INC	VAR	02/11/2013	11,597.00	7,176.00			4,421.00
	313. BANK OF AMERICA CORPO	VAR	02/11/2013	3,709.00	6,957.00			-3,248.00
	21. BIOGEN IDEC INC	VAR	02/11/2013	3,442.00	1,505.00			1,937.00
	57. CARDINAL HEALTH INC	VAR	02/11/2013	2,571.00	2,305.00			266.00
	32. CELGENE CORP.	VAR	02/11/2013	3,220.00	1,812.00			1,408.00
	176. CISCO SYS INC	VAR	02/11/2013	3,736.00	3,791.00			-55.00
	97. CITIGROUP INC NEW	VAR	02/11/2013	4,191.00	3,945.00			246.00
	3. COGNIZANT TECHNOLOGY SO	06/17/2010	02/11/2013	233.00	161.00			72.00
	5. EOG RESOURCES INC	01/19/2012	02/11/2013	663.00	529.00			134.00
	40. EMERSON ELEC CO	07/13/2011	02/11/2013	2,292.00	2,266.00			26.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

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LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

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						(f) Code(s) from instructions	(g) Amount of adjustment	
57.	EXXON MOBIL CORP	VAR	02/11/2013	5,040.00	1,934.00			3,106.00
16.	FREEPORT-MCMORAN COPPE B	02/09/2009	02/11/2013	565.00	239.00			326.00
114	GENERAL MOTORS CO	VAR	02/11/2013	3,241.00	3,986.00			-745.00
15.	GOLDMAN SACHS GROUP IN	VAR	02/11/2013	2,289.00	1,336.00			953.00
7	HONEYWELL INTL INC	VAR	02/11/2013	490.00	322.00			168.00
22.	HUMANA INC	VAR	02/11/2013	1,774.00	1,803.00			-29.00
52	JOHNSON CTLS INC	VAR	02/11/2013	1,616.00	1,722.00			-106.00
7137.65	JPM MID CAP CORE F	11/28/2011	02/11/2013	139,327.00	109,349.00			29,978.00
8109.077	JPMORGAN GROWTH A FUND	01/06/2011	02/11/2013	86,929.00	72,657.00			14,272.00
6306.064	JPMORGAN STRATEGI OPPTYS FUND SEL	02/10/2009	02/11/2013	75,042.00	63,880.00			11,162.00
5700.826	JPMORGAN SHORT DU FUND	VAR	02/11/2013	62,652.00	60,998.00			1,654.00
68	JUNIPER NETWORKS INC	VAR	02/11/2013	1,491.00	1,417.00			74.00
30.	LENNAR CORP	VAR	02/11/2013	1,190.00	425.00			765.00
107.	LOWES COS INC	VAR	02/11/2013	4,171.00	2,467.00			1,704.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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84	MERCK & CO INC	09/14/2009	02/11/2013	3,472.00	2,763.00			709.00
98.	METLIFE INC	VAR	02/11/2013	3,612.00	4,477.00			-865.00
231.	MICROSOFT CORP	VAR	02/11/2013	6,396.00	5,915.00			481.00
20.	MONDELEZ INTERNATIONAL	VAR	02/11/2013	554.00	452.00			102.00
51.	NETAPP INC	09/20/2011	02/11/2013	1,810.00	1,818.00			-8.00
26.	OCCIDENTAL PETE CORP	VAR	02/11/2013	2,274.00	2,130.00			144.00
40.	ORACLE CORP	01/06/2012	02/11/2013	1,392.00	1,063.00			329.00
29.	PACCAR INC	08/07/2008	02/11/2013	1,380.00	1,233.00			147.00
6.	PFIZER INC	09/14/2009	02/11/2013	162.00	98.00			64.00
21.	PFIZER INC	09/14/2009	02/11/2013	569.00	344.00			225.00
1.	PFIZER INC	09/14/2009	02/11/2013	27.00	16.00			11.00
3.	PFIZER INC	09/14/2009	02/11/2013	82.00	49.00			33.00
1.	PFIZER INC	09/14/2009	02/11/2013	27.00	16.00			11.00
3	PFIZER INC	09/14/2009	02/11/2013	81.00	49.00			32.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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1	PFIZER INC	09/14/2009	02/11/2013	27.00	16.00			11.00
2.	PFIZER INC	09/14/2009	02/11/2013	54.00	33.00			21.00
10	PIONEER NAT RES CO	01/19/2012	02/11/2013	1,268.00	1,009.00			259.00
51.	PROCTER & GAMBLE CO	VAR	02/11/2013	3,863.00	3,145.00			718.00
46.	PRUDENTIAL FINL INC	VAR	02/11/2013	2,641.00	2,311.00			330.00
6012	954 RS INTERNATIONAL	10/20/2011	02/11/2013	112,202.00	93,982.00			18,220.00
61.	SCHLUMBERGER LTD	05/12/2011	02/11/2013	4,771.00	5,108.00			-337.00
49.	TD AMERITRADE HOLDING	08/02/2007	02/11/2013	968.00	823.00			145.00
133.	TIME WARNER INC	VAR	02/11/2013	6,916.00	4,328.00			2,588.00
30	UNITED TECHNOLOGIES CO	12/05/2011	02/11/2013	2,686.00	2,327.00			359.00
54.	UNITEDHEALTH GROUP INC	VAR	02/11/2013	3,094.00	2,780.00			314.00
71.	VERIZON COMMUNICATIONS	04/27/2006	02/11/2013	3,140.00	2,114.00			1,026.00
30.	VERTEX PHARMACEUTICALS	11/28/2011	02/11/2013	1,379.00	818.00			561.00
38	WALTER INDS INC	02/02/2012	02/11/2013	1,404.00	2,820.00			-1,416.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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	100. WELLS FARGO & CO NEW	VAR	02/11/2013	3,532.00	3,166.00			366.00
	50. YUM BRANDS INC	VAR	02/11/2013	3,233.00	1,777.00			1,456.00
	40. COVIDIEN PLC NEW	VAR	02/11/2013	2,529.00	2,018.00			511.00
	36. INVESCO LTD SHS	10/28/2011	02/11/2013	987.00	750.00			237.00
	12. ACE LTD NEW	01/09/2012	02/11/2013	1,035.00	840.00			195.00
	28. TYCO INTERNATIONAL LTD	01/13/2011	02/11/2013	866.00	623.00			243.00
	4. PFIZER INC	09/14/2009	02/12/2013	108.00	65.00			43.00
	15. PFIZER INC	09/14/2009	02/12/2013	406.00	245.00			161.00
	2. PFIZER INC	09/14/2009	02/12/2013	54.00	33.00			21.00
	1. PFIZER INC	09/14/2009	02/12/2013	27.00	16.00			11.00
	4. PFIZER INC	09/14/2009	02/12/2013	108.00	65.00			43.00
	2. PFIZER INC	09/14/2009	02/12/2013	54.00	33.00			21.00
	8. PFIZER INC	09/14/2009	02/12/2013	216.00	131.00			85.00
	1. PFIZER INC	09/14/2009	02/12/2013	27.00	16.00			11.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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3.	PFIZER INC	09/14/2009	02/12/2013	81.00	49.00			32.00
29.	GENERAL MLS INC	VAR	02/13/2013	1,245.00	1,061.00			184.00
3	PFIZER INC	09/14/2009	02/13/2013	81.00	49.00			32.00
9.	PFIZER INC	09/14/2009	02/13/2013	243.00	147.00			96.00
6	PFIZER INC	VAR	02/13/2013	161.00	97.00			64.00
22.	PFIZER INC	06/10/2010	02/13/2013	592.00	325.00			267.00
.	AXIALL CORPORATION		02/20/2013	38.00				38.00
8	PIONEER NAT RES CO	01/19/2012	02/26/2013	968.00	807.00			161.00
21.	PIONEER NAT RES CO	VAR	02/26/2013	2,547.00	1,616.00			931.00
1.	PIONEER NAT RES CO	08/10/2011	02/26/2013	122.00	74.00			48.00
4	PIONEER NAT RES CO	08/10/2011	02/26/2013	489.00	298.00			191.00
2	PIONEER NAT RES CO	08/10/2011	02/26/2013	247.00	149.00			98.00
4	PIONEER NAT RES CO	08/10/2011	02/26/2013	494.00	298.00			196.00
81.	FREEPORT-MCMORAN COPPE B	02/09/2009	03/01/2013	2,542.00	1,210.00			1,332.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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						(f) Code(s) from instructions	(g) Amount of adjustment	
6.	PRUDENTIAL FINL INC	12/11/2009	03/04/2013	331.00	293.00			38.00
1.	PRUDENTIAL FINL INC	12/11/2009	03/04/2013	55.00	49.00			6.00
3.	PRUDENTIAL FINL INC	12/11/2009	03/04/2013	166.00	147.00			19.00
4.	PRUDENTIAL FINL INC	12/11/2009	03/04/2013	219.00	196.00			23.00
4	PRUDENTIAL FINL INC	12/11/2009	03/05/2013	228.00	196.00			32.00
3	WELLS FARGO & CO NEW	09/23/2004	03/05/2013	108.00	88.00			20.00
3	WELLS FARGO & CO NEW	09/23/2004	03/05/2013	109.00	88.00			21.00
1	WELLS FARGO & CO NEW	09/23/2004	03/05/2013	36.00	29.00			7.00
5	WELLS FARGO & CO NEW	09/23/2004	03/05/2013	180.00	146.00			34.00
4	WELLS FARGO & CO NEW	09/23/2004	03/05/2013	144.00	117.00			27.00
115000	HSBC BREN SPX 03/0	02/17/2012	03/06/2013	129,145.00	113,850.00			15,295.00
28.	QUALCOMM INC	VAR	03/06/2013	1,865.00	1,396.00			469.00
9.	QUALCOMM INC	09/29/2008	03/06/2013	601.00	370.00			231.00
2.	WELLS FARGO & CO NEW	09/23/2004	03/06/2013	72.00	58.00			14.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

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1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
8	WELLS FARGO & CO NEW	09/23/2004	03/06/2013	288.00	234.00			54.00
11	QUALCOMM INC	VAR	03/07/2013	732.00	445.00			287.00
10.	QUALCOMM INC	03/24/2010	03/08/2013	669.00	402.00			267.00
270.	PFIZER INC	VAR	03/12/2013	7,583.00	3,879.00			3,704.00
14	WELLS FARGO & CO NEW	09/23/2004	03/12/2013	514.00	409.00			105.00
26	WELLS FARGO & CO NEW	09/23/2004	03/12/2013	954.00	760.00			194.00
75000	CS BREN EAFE 03/13	02/24/2012	03/13/2013	79,127.00	74,250.00			4,877.00
17.	PFIZER INC	02/17/2009	03/13/2013	476.00	243.00			233.00
27.	PFIZER INC	02/17/2009	03/13/2013	756.00	387.00			369.00
9.	PFIZER INC	02/17/2009	03/13/2013	252.00	129.00			123.00
9.	WELLS FARGO & CO NEW	09/23/2004	03/13/2013	329.00	263.00			66.00
7	WELLS FARGO & CO NEW	09/23/2004	03/13/2013	257.00	205.00			52.00
25	JUNIPER NETWORKS INC	10/05/2011	03/14/2013	511.00	462.00			49.00
15	JUNIPER NETWORKS INC	VAR	03/14/2013	307.00	262.00			45.00
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						(f) Code(s) from instructions	(g) Amount of adjustment	
	29. JUNIPER NETWORKS INC	03/23/2009	03/14/2013	594.00	475.00			119.00
	34. PFIZER INC	02/17/2009	03/14/2013	953.00	487.00			466.00
	5. PFIZER INC	02/17/2009	03/14/2013	140.00	72.00			68.00
	7. PFIZER INC	02/17/2009	03/14/2013	195.00	100.00			95.00
	27. JUNIPER NETWORKS INC	VAR	03/15/2013	549.00	436.00			113.00
	87. JUNIPER NETWORKS INC	02/09/2009	03/15/2013	1,756.00	1,387.00			369.00
	39. MICROSOFT CORP	05/16/2005	03/15/2013	1,093.00	995.00			98.00
	13. PFIZER INC	02/17/2009	03/15/2013	363.00	186.00			177.00
	14. PFIZER INC	02/17/2009	03/15/2013	389.00	200.00			189.00
	7695.125 RS INTERNATIONAL	10/20/2011	03/15/2013	146,977.00	120,275.00			26,702.00
	5. CME GROUP INC	VAR	03/18/2013	313.00	290.00			23.00
	10. CME GROUP INC	02/14/2012	03/18/2013	628.00	579.00			49.00
	3. CME GROUP INC	02/14/2012	03/18/2013	188.00	174.00			14.00
	19. PFIZER INC	02/17/2009	03/18/2013	533.00	272.00			261.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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4.	CME GROUP INC	02/14/2012	03/19/2013	249.00	232.00			17.00
11	CME GROUP INC	02/14/2012	03/19/2013	676.00	637.00			39.00
13.	PFIZER INC	VAR	03/19/2013	363.00	185.00			178.00
13.	PFIZER INC	02/25/2009	03/19/2013	364.00	170.00			194.00
5	CME GROUP INC	02/14/2012	03/20/2013	308.00	290.00			18.00
1.	CME GROUP INC	02/14/2012	03/20/2013	62.00	58.00			4.00
1	CME GROUP INC	02/14/2012	03/20/2013	62.00	58.00			4.00
6.	CME GROUP INC	02/14/2012	03/20/2013	371.00	348.00			23.00
8.	CME GROUP INC	02/14/2012	03/20/2013	496.00	463.00			33.00
2.	CME GROUP INC	02/14/2012	03/20/2013	124.00	116.00			8.00
1.	CME GROUP INC	02/14/2012	03/20/2013	62.00	58.00			4.00
2	CME GROUP INC	02/14/2012	03/20/2013	123.00	116.00			7.00
24.	PFIZER INC	02/25/2009	03/20/2013	677.00	314.00			363.00
17.	PFIZER INC	VAR	03/20/2013	480.00	217.00			263.00

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►

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35	PFIZER INC	03/04/2009	03/21/2013	986.00	423.00			563.00
17.	PFIZER INC	03/04/2009	03/22/2013	480.00	205.00			275.00
8.	PFIZER INC	03/04/2009	03/22/2013	226.00	97.00			129.00
10	PFIZER INC	03/04/2009	03/22/2013	283.00	121.00			162.00
1	VERTEX PHARMACEUTICALS	11/28/2011	03/28/2013	55.00	27.00			28.00
5	VERTEX PHARMACEUTICALS	11/28/2011	03/28/2013	275.00	136.00			139.00
1	VERTEX PHARMACEUTICALS	11/28/2011	03/28/2013	55.00	27.00			28.00
2.	VERTEX PHARMACEUTICALS	11/28/2011	03/28/2013	110.00	55.00			55.00
1.	VERTEX PHARMACEUTICALS	11/28/2011	04/01/2013	55.00	27.00			28.00
3.	VERTEX PHARMACEUTICALS	11/28/2011	04/01/2013	161.00	82.00			79.00
2.	VERTEX PHARMACEUTICALS	11/28/2011	04/01/2013	108.00	55.00			53.00
1.	VERTEX PHARMACEUTICALS	11/28/2011	04/02/2013	54.00	27.00			27.00
2.	VERTEX PHARMACEUTICALS	11/28/2011	04/02/2013	109.00	55.00			54.00
7.	VERTEX PHARMACEUTICALS	11/28/2011	04/03/2013	370.00	191.00			179.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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30.	BAIDU.COM-ADR	09/21/2010	04/04/2013	2,554.00	2,765.00			-211.00
3.	VERTEX PHARMACEUTICALS	11/28/2011	04/04/2013	158.00	82.00			76.00
1.	CARDINAL HEALTH INC	07/02/2010	04/08/2013	43.00	34.00			9.00
10.	CARDINAL HEALTH INC	07/02/2010	04/08/2013	425.00	340.00			85.00
1.	CARDINAL HEALTH INC	07/02/2010	04/08/2013	42.00	34.00			8.00
41.	CARDINAL HEALTH INC	VAR	04/08/2013	1,743.00	1,381.00			362.00
31.	PRUDENTIAL FINL INC	06/02/2009	04/08/2013	1,721.00	1,295.00			426.00
5.	PRUDENTIAL FINL INC	06/02/2009	04/08/2013	277.00	209.00			68.00
4	PRUDENTIAL FINL INC	06/02/2009	04/08/2013	222.00	167.00			55.00
31.	PRUDENTIAL FINL INC	VAR	04/08/2013	1,718.00	898.00			820.00
10.	COVIDIEN PLC NEW	07/25/2011	04/08/2013	670.00	502.00			168.00
4.	PRUDENTIAL FINL INC	04/02/2009	04/09/2013	224.00	91.00			133.00
3.	COVIDIEN PLC NEW	07/25/2011	04/09/2013	202.00	151.00			51.00
1	COVIDIEN PLC NEW	07/25/2011	04/10/2013	68.00	50.00			18.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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4	COVIDIEN PLC NEW	VAR	04/10/2013	272.00	200.00			72.00
1.	COVIDIEN PLC NEW	08/16/2011	04/11/2013	69.00	50.00			19.00
2.	COVIDIEN PLC NEW	08/16/2011	04/11/2013	137.00	100.00			37.00
2	COVIDIEN PLC NEW	08/16/2011	04/11/2013	137.00	100.00			37.00
1	COVIDIEN PLC NEW	08/16/2011	04/11/2013	68.00	50.00			18.00
42	EMERSON ELEC CO	05/18/2011	04/12/2013	2,349.00	2,275.00			74.00
21.	EMERSON ELEC CO	VAR	04/12/2013	1,171.00	1,090.00			81.00
3	COVIDIEN PLC NEW	08/16/2011	04/12/2013	203.00	150.00			53.00
6.	BIOGEN IDEC INC	03/22/2010	04/15/2013	1,202.00	359.00			843.00
1.	COVIDIEN PLC NEW	08/16/2011	04/15/2013	66.00	50.00			16.00
28.	COGNIZANT TECHNOLOGY S A	VAR	04/16/2013	2,054.00	1,496.00			558.00
2.	COGNIZANT TECHNOLOGY SO	05/13/2010	04/16/2013	147.00	105.00			42.00
7004.32	JPM TR I MLT SC IN SEL	09/20/2011	04/16/2013	72,215.00	69,973.00			2,242.00
7932.349	JPMORGAN HIGH YIE	06/26/2007	04/16/2013	65,918.00	63,288.00			2,630.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

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Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

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☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
3.	COVIDIEN PLC NEW	08/16/2011	04/16/2013	200.00	150.00			50.00
2	COVIDIEN PLC NEW	08/16/2011	04/16/2013	133.00	100.00			33.00
1.	COVIDIEN PLC NEW	08/16/2011	04/16/2013	66.00	50.00			16.00
150000	DB BREN SPX 04/17/	03/30/2012	04/17/2013	165,150.00	148,500.00			16,650.00
1.	COVIDIEN PLC NEW	08/16/2011	04/17/2013	66.00	50.00			16.00
1.	COVIDIEN PLC NEW	08/16/2011	04/17/2013	66.00	50.00			16.00
1.	COVIDIEN PLC NEW	08/16/2011	04/17/2013	66.00	50.00			16.00
10.	GOLDMAN SACHS GROUP IN	10/31/2008	04/18/2013	1,394.00	880.00			514.00
1.	COVIDIEN PLC NEW	08/16/2011	04/18/2013	66.00	50.00			16.00
18.	CME GROUP INC	02/14/2012	04/19/2013	1,059.00	1,043.00			16.00
32.	GOLDMAN SACHS GROUP IN	VAR	04/19/2013	4,435.00	2,458.00			1,977.00
75000.	HSBC BREN EAFE 04/2	04/05/2012	04/24/2013	86,720.00	74,250.00			12,470.00
3.	CISCO SYS INC	11/12/2010	04/25/2013	62.00	60.00			2.00
7	COGNIZANT TECHNOLOGY SO	05/13/2010	04/25/2013	436.00	369.00			67.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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LOUISE HEAD DUNCAN TR U/W R74254005

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- ☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
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- ☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
6	COGNIZANT TECHNOLOGY SO	05/13/2010	04/25/2013	368.00	316.00			52.00
26.	CISCO SYS INC	11/12/2010	04/26/2013	535.00	524.00			11.00
9.	CISCO SYS INC	11/12/2010	04/26/2013	185.00	181.00			4.00
3.	CISCO SYS INC	11/12/2010	04/26/2013	62.00	60.00			2.00
1.	CISCO SYS INC	11/12/2010	04/26/2013	21.00	20.00			1.00
24	CISCO SYS INC	11/12/2010	04/26/2013	494.00	483.00			11.00
5.	COGNIZANT TECHNOLOGY SO	VAR	04/26/2013	309.00	259.00			50.00
20.	COGNIZANT TECHNOLOGY S A	02/26/2010	04/26/2013	1,240.00	964.00			276.00
1.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	62.00	48.00			14.00
2	COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	124.00	96.00			28.00
3	COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	186.00	145.00			41.00
2.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	124.00	96.00			28.00
1.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	62.00	48.00			14.00
13	CISCO SYS INC	11/12/2010	04/29/2013	271.00	262.00			9.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (a) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	126.00	96.00			30.00
2.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	125.00	96.00			29.00
3.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	188.00	145.00			43.00
1.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00			15.00
2	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	126.00	96.00			30.00
4.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	250.00	193.00			57.00
3	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	189.00	145.00			44.00
2.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	125.00	96.00			29.00
1.	COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00			15.00
1	MERCK & CO INC	09/14/2009	05/01/2013	45.00	33.00			12.00
9	MERCK & CO INC	09/14/2009	05/01/2013	411.00	296.00			115.00
11.	MERCK & CO INC	09/14/2009	05/01/2013	495.00	362.00			133.00
11.	MERCK & CO INC	09/14/2009	05/01/2013	506.00	362.00			144.00
2.	MERCK & CO INC	09/14/2009	05/01/2013	92.00	66.00			26.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

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☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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3.	MERCK & CO INC	09/14/2009	05/01/2013	137.00	99.00			38.00
3.	MERCK & CO INC	09/14/2009	05/01/2013	137.00	99.00			38.00
3.	MERCK & CO INC	09/14/2009	05/01/2013	135.00	99.00			36.00
1.	MERCK & CO INC	09/14/2009	05/01/2013	46.00	33.00			13.00
2.	MERCK & CO INC	09/14/2009	05/01/2013	91.00	66.00			25.00
4.	MERCK & CO INC	09/14/2009	05/01/2013	180.00	132.00			48.00
2.	MERCK & CO INC	09/14/2009	05/01/2013	91.00	66.00			25.00
3.	BIOGEN IDEC INC	03/22/2010	05/07/2013	641.00	180.00			461.00
1.	BIOGEN IDEC INC	03/22/2010	05/07/2013	213.00	60.00			153.00
1.	BIOGEN IDEC INC	03/22/2010	05/07/2013	215.00	60.00			155.00
17.	CELGENE CORP.	12/17/2007	05/07/2013	2,084.00	848.00			1,236.00
21.	CISCO SYS INC	11/12/2010	05/07/2013	427.00	423.00			4.00
5.	MICROSOFT CORP	05/16/2005	05/09/2013	164.00	128.00			36.00
8.	MICROSOFT CORP	05/16/2005	05/09/2013	262.00	204.00			58.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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3	MICROSOFT CORP	05/16/2005	05/10/2013	98.00	77.00			21.00
25.	MICROSOFT CORP	05/16/2005	05/10/2013	813.00	638.00			175.00
53.	MERCK & CO INC	09/14/2009	05/13/2013	2,445.00	1,743.00			702.00
49.	MICROSOFT CORP	VAR	05/13/2013	1,607.00	1,246.00			361.00
1.	QUALCOMM INC	03/24/2010	05/14/2013	65.00	40.00			25.00
115000	BNP CONT BUFF EQ S	04/27/2012	05/15/2013	132,250.00	113,850.00			18,400.00
1	QUALCOMM INC	03/24/2010	05/15/2013	65.00	40.00			25.00
1.	QUALCOMM INC	03/24/2010	05/16/2013	66.00	40.00			26.00
1.	QUALCOMM INC	03/24/2010	05/16/2013	66.00	40.00			26.00
9.	EOG RESOURCES INC	VAR	05/17/2013	1,213.00	719.00			494.00
2	QUALCOMM INC	03/24/2010	05/17/2013	132.00	80.00			52.00
6.	BIOGEN IDEC INC	03/22/2010	05/20/2013	1,352.00	359.00			993.00
19	EXXON MOBIL CORP	12/30/1990	05/20/2013	1,757.00	244.00			1,513.00
2.	QUALCOMM INC	03/24/2010	05/20/2013	133.00	80.00			53.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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1.	QUALCOMM INC	03/24/2010	05/21/2013	66.00	40.00			26.00
6	AMAZON COM INC	08/13/2010	05/22/2013	1,598.00	756.00			842.00
4.	AMAZON COM INC	08/13/2010	05/22/2013	1,060.00	504.00			556.00
5.	AMAZON COM INC	08/13/2010	05/22/2013	1,328.00	630.00			698.00
2	QUALCOMM INC	03/24/2010	05/22/2013	130.00	80.00			50.00
14.	QUALCOMM INC	03/24/2010	05/24/2013	898.00	562.00			336.00
75000	GS BREN EAFE 05/3	05/11/2012	05/30/2013	89,325.00	74,250.00			15,075.00
14.	EXXON MOBIL CORP	12/30/1990	05/31/2013	1,284.00	180.00			1,104.00
1300.	POWERSHARES DB COMMO TRACKING FUND	VAR	06/05/2013	34,162.00	30,330.00			3,832.00
1245.	POWERSHARES DB COMMO TRACKING FUND	06/11/2010	06/05/2013	32,727.00	27,222.00			5,505.00
50.	SPDR GOLD TRUST	05/28/2008	06/05/2013	6,801.00	4,456.00	C		2,345.00
115.	SPDR GOLD TRUST	05/28/2008	06/05/2013	15,611.00	10,248.00	C		5,363.00
64.	MICROSOFT CORP	05/04/2005	06/06/2013	2,225.00	1,617.00			608.00
37.	METLIFE INC	VAR	06/13/2013	1,651.00	1,521.00			130.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	ALLERGAN INC	01/19/2012	06/25/2013	85.00	87.00			-2.00
7.	ALLERGAN INC	VAR	06/25/2013	589.00	608.00			-19.00
1.	INVESCO LTD SHS	10/28/2011	06/28/2013	32.00	21.00			11.00
3.	INVESCO LTD SHS	10/28/2011	06/28/2013	95.00	62.00			33.00
2.	INVESCO LTD SHS	10/28/2011	06/28/2013	64.00	42.00			22.00
4.	INVESCO LTD SHS	10/28/2011	06/28/2013	127.00	83.00			44.00
3.	INVESCO LTD SHS	10/28/2011	06/28/2013	96.00	62.00			34.00
1.	INVESCO LTD SHS	10/28/2011	07/01/2013	32.00	21.00			11.00
1.	INVESCO LTD SHS	10/28/2011	07/02/2013	32.00	21.00			11.00
.	TYCO INTL LTD NEW		07/03/2013	49.00				49.00
1.	INVESCO LTD SHS	10/28/2011	07/03/2013	32.00	21.00			11.00
7.	ALLERGAN INC	12/21/2011	07/05/2013	596.00	603.00			-7.00
3.	AMAZON COM INC	08/13/2010	07/05/2013	847.00	378.00			469.00
8.	ANADARKO PETE CORP	09/20/2011	07/05/2013	700.00	610.00			90.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

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6	APPLE COMPUTER INC	05/07/2010	07/05/2013	2,504.00	1,445.00			1,059.00
107	BANK OF AMERICA CORPO	VAR	07/05/2013	1,385.00	1,653.00			-268.00
4.	BIOGEN IDEC INC	03/22/2010	07/05/2013	884.00	239.00			645.00
11.	CARDINAL HEALTH INC	VAR	07/05/2013	520.00	365.00			155.00
9	CAREFUSION CORPORATION	VAR	07/05/2013	337.00	232.00			105.00
9	CELGENE CORP	12/17/2007	07/05/2013	1,097.00	449.00			648.00
7.	CITIGROUP INC NEW	08/10/2009	07/05/2013	336.00	279.00			57.00
31.	COMCAST CORP NEW CL A	VAR	07/05/2013	1,287.00	891.00			396.00
2.	COSTCO WHSL CORP NEW	06/15/2012	07/05/2013	222.00	182.00			40.00
3	EOG RESOURCES INC	07/01/2009	07/05/2013	418.00	203.00			215.00
12.	EMERSON ELEC CO	02/28/2012	07/05/2013	662.00	606.00			56.00
13	EXXON MOBIL CORP	12/30/1990	07/05/2013	1,180.00	167.00			1,013.00
13.	GENERAL MLS INC	01/10/2011	07/05/2013	635.00	467.00			168.00
29.	GENERAL MOTORS CO	VAR	07/05/2013	998.00	1,002.00			-4.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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	12. HOME DEPOT INC	03/20/2012	07/05/2013	931.00	593.00			338.00
	5. HUMANA INC	05/20/2011	07/05/2013	414.00	400.00			14.00
	8. LENNAR CORP	09/30/2011	07/05/2013	269.00	110.00			159.00
	24. LOWES COS INC	VAR	07/05/2013	1,018.00	511.00			507.00
	12. MERCK & CO INC	09/14/2009	07/05/2013	561.00	395.00			166.00
	15. METLIFE INC	06/10/2010	07/05/2013	704.00	599.00			105.00
	54. MICROSOFT CORP	05/04/2005	07/05/2013	1,813.00	1,365.00			448.00
	26. MONDELEZ INTERNATIONAL	09/19/2011	07/05/2013	746.00	587.00			159.00
	32. ORACLE CORP	09/13/2010	07/05/2013	982.00	812.00			170.00
	17. PACCAR INC	08/07/2008	07/05/2013	909.00	723.00			186.00
	11. PHILIP MORRIS INTERNAT	06/21/2012	07/05/2013	959.00	943.00			16.00
	13. PROCTER & GAMBLE CO	06/26/2007	07/05/2013	1,014.00	795.00			219.00
	12. QUALCOMM INC	VAR	07/05/2013	724.00	482.00			242.00
	16. SCHLUMBERGER LTD	05/12/2011	07/05/2013	1,175.00	1,340.00			-165.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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14	TD AMERITRADE HOLDING	08/02/2007	07/05/2013	352.00	235.00			117.00
24.	TIME WARNER INC	12/17/2010	07/05/2013	1,450.00	756.00			694.00
19.	UNITED TECHNOLOGIES CO	VAR	07/05/2013	1,818.00	1,401.00			417.00
19.	VERIZON COMMUNICATIONS	04/27/2006	07/05/2013	958.00	566.00			392.00
4.	VERTEX PHARMACEUTICALS	11/28/2011	07/05/2013	325.00	109.00			216.00
52.	WELLS FARGO & CO NEW	09/23/2004	07/05/2013	2,173.00	1,520.00			653.00
5.	WILLIAMS COS INC	05/05/2011	07/05/2013	163.00	125.00			38.00
12	YUM BRANDS INC	VAR	07/05/2013	851.00	421.00			430.00
3	ENSCO PLC	07/03/2012	07/05/2013	173.00	146.00			27.00
1	INVESCO LTD SHS	10/28/2011	07/05/2013	32.00	21.00			11.00
7.	MALLINCKRODT PUB LTD CO	VAR	07/05/2013	302.00	195.00			107.00
12.	ACE LTD NEW	01/09/2012	07/05/2013	1,069.00	840.00			229.00
14.	TYCO INTERNATIONAL LTD	01/13/2011	07/05/2013	480.00	312.00			168.00
4330.103	EATON VANCE MUT F	VAR	07/08/2013	39,534.00	39,373.00			161.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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	10229.881 JPMORGAN TR I FL 4 24%	VAR	07/08/2013	102,094.00	97,916.00			4,178.00
	20599.695 JPMORGAN SHORT D FUND	VAR	07/08/2013	224,331.00	219,799.00			4,532.00
	7124.804 VANGUARD FXD INCM CORP*	06/13/2012	07/08/2013	69,324.00	72,459.00			-3,135.00
	1. INVESCO LTD SHS	10/28/2011	07/08/2013	32.00	21.00			11.00
	1. INVESCO LTD SHS	10/28/2011	07/09/2013	32.00	21.00			11.00
	3. INVESCO LTD SHS	10/28/2011	07/09/2013	96.00	62.00			34.00
	3. INVESCO LTD SHS	10/28/2011	07/10/2013	94.00	63.00			31.00
	6. INVESCO LTD SHS	10/28/2011	07/11/2013	192.00	125.00			67.00
	2. INVESCO LTD SHS	10/28/2011	07/11/2013	64.00	42.00			22.00
	6. PHILIP MORRIS INTERNATI	VAR	07/12/2013	536.00	508.00			28.00
	1. PHILIP MORRIS INTERNATI	02/22/2012	07/12/2013	90.00	83.00			7.00
	22. TIME WARNER INC	VAR	07/12/2013	1,349.00	692.00			657.00
	3. TIME WARNER INC	07/28/2010	07/12/2013	185.00	94.00			91.00
	1. INVESCO LTD SHS	10/28/2011	07/12/2013	32.00	21.00			11.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1.	INVESCO LTD SHS	10/28/2011	07/12/2013	32.00	21.00			11.00
9.	INVESCO LTD SHS	10/28/2011	07/12/2013	287.00	187.00			100.00
13.	PHILIP MORRIS INTERNAT	VAR	07/15/2013	1,167.00	1,072.00			95.00
13.	TIME WARNER INC	07/28/2010	07/15/2013	799.00	409.00			390.00
2.	TIME WARNER INC	07/28/2010	07/15/2013	123.00	63.00			60.00
1.	INVESCO LTD SHS	07/22/2010	07/15/2013	32.00	19.00			13.00
2.	INVESCO LTD SHS	07/22/2010	07/15/2013	64.00	39.00			25.00
1.	INVESCO LTD SHS	07/22/2010	07/15/2013	32.00	19.00			13.00
14.	TIME WARNER INC	07/28/2010	07/16/2013	857.00	440.00			417.00
1.	INVESCO LTD SHS	07/22/2010	07/16/2013	32.00	19.00			13.00
1.	INVESCO LTD SHS	07/22/2010	07/17/2013	32.00	19.00			13.00
5.	AMAZON COM INC	VAR	07/18/2013	1,521.00	502.00			1,019.00
1.	INVESCO LTD SHS	07/22/2010	07/18/2013	32.00	19.00			13.00
3.	INVESCO LTD SHS	07/22/2010	07/18/2013	98.00	58.00			40.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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						(f) Code(s) from instructions	(g) Amount of adjustment	
2	INVESCO LTD SHS	07/22/2010	07/18/2013	65.00	39.00			26.00
1.	INVESCO LTD SHS	07/22/2010	07/18/2013	33.00	19.00			14.00
1.	INVESCO LTD SHS	07/22/2010	07/19/2013	32.00	19.00			13.00
4.	INVESCO LTD SHS	07/22/2010	07/19/2013	130.00	77.00			53.00
1	INVESCO LTD SHS	07/22/2010	07/19/2013	32.00	19.00			13.00
1	INVESCO LTD SHS	07/22/2010	07/19/2013	32.00	19.00			13.00
3.	WALTER INDS INC	02/02/2012	07/22/2013	41.00	223.00			-182.00
1.	WALTER INDS INC	02/02/2012	07/22/2013	14.00	74.00			-60.00
3.	WALTER INDS INC	02/02/2012	07/22/2013	40.00	223.00			-183.00
2.	WALTER INDS INC	02/02/2012	07/22/2013	27.00	148.00			-121.00
1.	INVESCO LTD SHS	07/22/2010	07/22/2013	33.00	19.00			14.00
1	INVESCO LTD SHS	07/22/2010	07/22/2013	33.00	19.00			14.00
2.	INVESCO LTD SHS	07/22/2010	07/22/2013	66.00	39.00			27.00
2.	INVESCO LTD SHS	07/22/2010	07/22/2013	66.00	39.00			27.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

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☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

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☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	1. INVESCO LTD SHS	07/22/2010	07/22/2013	33.00	19.00			14.00
	1 INVESCO LTD SHS	07/22/2010	07/22/2013	33.00	19.00			14.00
	1. INVESCO LTD SHS	07/22/2010	07/22/2013	33.00	19.00			14.00
	9. WALTER INDS INC	VAR	07/23/2013	128.00	652.00			-524.00
	1 WALTER INDS INC	02/10/2012	07/23/2013	14.00	72.00			-58.00
	1 WALTER INDS INC	02/10/2012	07/23/2013	14.00	72.00			-58.00
	2. WALTER INDS INC	02/10/2012	07/23/2013	29.00	143.00			-114.00
	6. WALTER INDS INC	02/10/2012	07/23/2013	86.00	430.00			-344.00
	4. WALTER INDS INC	02/10/2012	07/23/2013	56.00	286.00			-230.00
	960 282 JPMORGAN INTERNATI CURRENCY	03/11/2010	07/26/2013	10,669.00	10,405.00			264.00
	. MALLINCKRODT PUB LTD CO		07/29/2013	27.00				27.00
	75000. BARC BRENT CBEN 07/	07/20/2012	07/31/2013	85,463.00	74,250.00			11,213.00
	2989 942 JPM MID CAP CORE	VAR	07/31/2013	65,629.00	49,174.00			16,455.00
	3453 425 JPMORGAN EMERGING FUND	VAR	07/31/2013	75,354.00	70,037.00			5,317.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	CAREFUSION CORPORATION	04/13/2012	08/02/2013	36.00	26.00			10.00
12.	CAREFUSION CORPORATION	VAR	08/02/2013	433.00	308.00			125.00
68.	CAREFUSION CORPORATION	VAR	08/02/2013	2,473.00	1,733.00			740.00
1	JOHNSON CTLS INC	08/09/2010	08/05/2013	41.00	30.00			11.00
1.	COVIDIEN PLC NEW	08/16/2011	08/08/2013	64.00	50.00			14.00
2	COVIDIEN PLC NEW	VAR	08/08/2013	128.00	86.00			42.00
1	COVIDIEN PLC NEW	07/01/2009	08/08/2013	64.00	37.00			27.00
2.	APPLE COMPUTER INC	05/07/2010	08/09/2013	910.00	482.00			428.00
4	APPLE COMPUTER INC	VAR	08/09/2013	1,833.00	903.00			930.00
1	APPLE COMPUTER INC	01/08/2008	08/09/2013	458.00	180.00			278.00
3	APPLE COMPUTER INC	01/08/2008	08/09/2013	1,370.00	540.00			830.00
32	VERIZON COMMUNICATIONS	04/27/2006	08/09/2013	1,573.00	953.00			620.00
1.	COVIDIEN PLC NEW	07/01/2009	08/09/2013	64.00	37.00			27.00
1	COVIDIEN PLC NEW	07/01/2009	08/12/2013	64.00	37.00			27.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo., day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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1.	COVIDIEN PLC NEW	07/01/2009	08/12/2013	64.00	37.00			27.00
1	COVIDIEN PLC NEW	07/01/2009	08/13/2013	64.00	37.00			27.00
1.	COVIDIEN PLC NEW	07/01/2009	08/13/2013	64.00	37.00			27.00
2.	COVIDIEN PLC NEW	07/01/2009	08/13/2013	127.00	73.00			54.00
1	COVIDIEN PLC NEW	07/01/2009	08/14/2013	63.00	37.00			26.00
1.	COVIDIEN PLC NEW	07/01/2009	08/14/2013	63.00	37.00			26.00
31.	TYCO INTERNATIONAL LTD	VAR	08/14/2013	1,069.00	624.00			445.00
1.	COVIDIEN PLC NEW	07/01/2009	08/15/2013	62.00	37.00			25.00
58	TYCO INTERNATIONAL LTD	VAR	08/15/2013	1,981.00	1,081.00			900.00
17.	TYCO INTERNATIONAL LTD	06/02/2010	08/15/2013	581.00	306.00			275.00
27	TYCO INTERNATIONAL LTD	06/02/2010	08/16/2013	923.00	486.00			437.00
3107.134	JPMORGAN LARGE CA FUND	08/24/2011	08/19/2013	83,893.00	62,609.00			21,284.00
2250.15	MFS INTL VALUE-I	09/20/2011	08/19/2013	74,435.00	55,399.00			19,036.00
1.	MALLINCKRODT PUB LTD CO	06/08/2009	08/21/2013	43.00	27.00			16.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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- ☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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1.	COVIDIEN PLC NEW	07/01/2009	08/22/2013	61.00	37.00			24.00
2.	COVIDIEN PLC NEW	07/01/2009	08/22/2013	121.00	73.00			48.00
1.	COVIDIEN PLC NEW	07/01/2009	08/23/2013	61.00	37.00			24.00
2.	COVIDIEN PLC NEW	07/01/2009	08/23/2013	122.00	73.00			49.00
2.	COVIDIEN PLC NEW	07/01/2009	08/23/2013	121.00	73.00			48.00
1.	COVIDIEN PLC NEW	07/01/2009	08/26/2013	61.00	37.00			24.00
1.	COVIDIEN PLC NEW	07/01/2009	08/26/2013	61.00	37.00			24.00
1.	COVIDIEN PLC NEW	07/01/2009	08/26/2013	61.00	37.00			24.00
1.	COVIDIEN PLC NEW	07/01/2009	08/27/2013	60.00	37.00			23.00
1.	COVIDIEN PLC NEW	07/01/2009	08/27/2013	60.00	37.00			23.00
1.	MALLINCKRODT PUB LTD CO	06/08/2009	08/27/2013	42.00	27.00			15.00
2.	COVIDIEN PLC NEW	11/18/2008	08/28/2013	120.00	68.00			52.00
1.	COVIDIEN PLC NEW	11/18/2008	08/28/2013	60.00	34.00			26.00
	INTERNATIONAL GAME TECHN		08/29/2013	10.00				10.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo., day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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	WASHINGTON MUT INC		08/29/2013	21.00				21.00
4.	COVIDIEN PLC NEW	11/18/2008	08/29/2013	241.00	136.00			105.00
1.	COVIDIEN PLC NEW	11/18/2008	08/30/2013	59.00	34.00			25.00
29.	CARDINAL HEALTH INC	VAR	09/03/2013	1,459.00	906.00			553.00
17.	CARDINAL HEALTH INC	VAR	09/03/2013	853.00	459.00			394.00
7	CARDINAL HEALTH INC	06/09/2009	09/03/2013	351.00	152.00			199.00
29.	VERIZON COMMUNICATIONS	04/27/2006	09/03/2013	1,327.00	863.00			464.00
4.	COVIDIEN PLC NEW	11/18/2008	09/03/2013	239.00	136.00			103.00
2.	COVIDIEN PLC NEW	11/18/2008	09/03/2013	119.00	68.00			51.00
17	CARDINAL HEALTH INC	06/09/2009	09/04/2013	858.00	368.00			490.00
2	CARDINAL HEALTH INC	06/09/2009	09/04/2013	101.00	43.00			58.00
1	CARDINAL HEALTH INC	06/09/2009	09/04/2013	51.00	22.00			29.00
1	COVIDIEN PLC NEW	11/18/2008	09/04/2013	61.00	34.00			27.00
2	COVIDIEN PLC NEW	11/18/2008	09/04/2013	122.00	68.00			54.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1.	COVIDIEN PLC NEW	11/18/2008	09/04/2013	60.00	34.00			26.00
7.	COVIDIEN PLC NEW	11/18/2008	09/04/2013	425.00	237.00			188.00
1.	COVIDIEN PLC NEW	06/08/2009	09/04/2013	60.00	33.00			27.00
1	COVIDIEN PLC NEW	06/08/2009	09/04/2013	60.00	33.00			27.00
21.	CARDINAL HEALTH INC	06/09/2009	09/05/2013	1,058.00	455.00			603.00
2	COVIDIEN PLC NEW	06/08/2009	09/05/2013	121.00	65.00			56.00
1.	COVIDIEN PLC NEW	06/08/2009	09/05/2013	61.00	33.00			28.00
3.	COVIDIEN PLC NEW	06/08/2009	09/06/2013	183.00	98.00			85.00
3	COVIDIEN PLC NEW	06/08/2009	09/09/2013	183.00	98.00			85.00
1	COVIDIEN PLC NEW	06/08/2009	09/09/2013	61.00	33.00			28.00
2.	COVIDIEN PLC NEW	06/08/2009	09/09/2013	122.00	65.00			57.00
2.	COVIDIEN PLC NEW	06/08/2009	09/10/2013	123.00	65.00			58.00
1	COVIDIEN PLC NEW	06/08/2009	09/10/2013	61.00	33.00			28.00
2	APPLE COMPUTER INC	06/26/2007	09/11/2013	937.00	238.00			699.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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LOUISE HEAD DUNCAN TR U/W R74254005

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- ☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
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- ☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	CELGENE CORP	12/17/2007	09/11/2013	147.00	50.00			97.00
1	CELGENE CORP.	12/17/2007	09/11/2013	149.00	50.00			99.00
4.	CELGENE CORP.	12/17/2007	09/11/2013	595.00	199.00			396.00
1.	COVIDIEN PLC NEW	06/08/2009	09/11/2013	62.00	33.00			29.00
3	COVIDIEN PLC NEW	06/08/2009	09/12/2013	185.00	98.00			87.00
2.	COVIDIEN PLC NEW	06/08/2009	09/12/2013	123.00	65.00			58.00
1.	COVIDIEN PLC NEW	06/08/2009	09/12/2013	61.00	33.00			28.00
50000	BARC 93 1/2 PPN CURREN 9/13/13	09/09/2011	09/13/2013	46,500.00	51,504.00			-5,004.00
13.	COSTCO WHSL CORP NEW	06/15/2012	09/13/2013	1,524.00	1,184.00			340.00
3	COSTCO WHSL CORP NEW	06/15/2012	09/13/2013	354.00	273.00			81.00
1.	COVIDIEN PLC NEW	06/08/2009	09/13/2013	61.00	33.00			28.00
12.	COSTCO WHSL CORP NEW	06/15/2012	09/16/2013	1,417.00	1,093.00			324.00
1.	APPLE COMPUTER INC	06/26/2007	09/19/2013	473.00	119.00			354.00
76.	LENNAR CORP	09/30/2011	09/19/2013	2,756.00	1,049.00			1,707.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

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1.	TD AMERITRADE HOLDING C	08/02/2007	09/19/2013	27.00	17.00			10.00
9	TD AMERITRADE HOLDING C	08/02/2007	09/19/2013	239.00	151.00			88.00
13	TD AMERITRADE HOLDING	08/02/2007	09/19/2013	345.00	218.00			127.00
3.	TD AMERITRADE HOLDING C	08/02/2007	09/19/2013	79.00	50.00			29.00
4	TD AMERITRADE HOLDING C	08/02/2007	09/19/2013	106.00	67.00			39.00
3.	TD AMERITRADE HOLDING C	08/02/2007	09/19/2013	79.00	50.00			29.00
3	ENSCO PLC	07/03/2012	09/19/2013	167.00	146.00			21.00
4.	ENSCO PLC	07/03/2012	09/19/2013	222.00	194.00			28.00
3.	APPLE COMPUTER INC	06/26/2007	09/20/2013	1,416.00	357.00			1,059.00
1.	TD AMERITRADE HOLDING C	08/02/2007	09/20/2013	27.00	17.00			10.00
2.	TD AMERITRADE HOLDING C	08/02/2007	09/20/2013	53.00	34.00			19.00
15.	TD AMERITRADE HOLDING	VAR	09/20/2013	399.00	239.00			160.00
11	ENSCO PLC	07/03/2012	09/20/2013	605.00	534.00			71.00
.	CIT GROUP INC NEW		10/02/2013	32.00				32.00
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83.	TD AMERITRADE HOLDING	VAR	10/02/2013	2,170.00	1,261.00			909.00
32	TARGET CORP	VAR	10/02/2013	2,032.00	2,062.00			-30.00
75000.	BARC PARTICIPATING	09/28/2012	10/09/2013	55,310.00	74,250.00			-18,940.00
6.	AMAZON COM INC	09/10/2009	10/17/2013	1,856.00	500.00			1,356.00
65.	INVESCO LTD SHS	07/22/2010	10/17/2013	2,103.00	1,256.00			847.00
12.	CHENIERE ENERGY INC	10/22/2012	10/23/2013	459.00	189.00			270.00
16.	CAMERON INTERNATIONAL	10/16/2012	10/24/2013	868.00	879.00			-11.00
24	CAMERON INTERNATIONAL	VAR	10/24/2013	1,294.00	1,315.00			-21.00
80.	SPDR GOLD TRUST	05/28/2008	10/24/2013	10,406.00	7,129.00	C		3,277.00
57.	BROADCOM CORP CL A	08/13/2012	10/25/2013	1,507.00	1,979.00			-472.00
31.	SPDR GOLD TRUST	05/28/2008	10/25/2013	4,027.00	2,762.00	C		1,265.00
2.	ADOBE SYS INC	08/03/2012	10/28/2013	108.00	64.00			44.00
12.	ALLERGAN INC	12/21/2011	10/28/2013	1,110.00	1,034.00			76.00
5.	AMAZON COM INC	09/10/2009	10/28/2013	1,802.00	417.00			1,385.00
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23.	ANADARKO PETE CORP	VAR	10/28/2013	2,216.00	1,736.00			480.00
13.	APPLE COMPUTER INC	06/26/2007	10/28/2013	6,847.00	1,549.00			5,298.00
4.	AUTOZONE INC	VAR	10/28/2013	1,724.00	1,153.00			571.00
113.	BANK OF AMERICA CORPO	VAR	10/28/2013	1,615.00	1,505.00			110.00
12.	BIOMERIE INC	03/22/2010	10/28/2013	3,066.00	718.00			2,348.00
48.	CSX CORP	VAR	10/28/2013	1,280.00	1,028.00			252.00
17.	CELGENE CORP.	12/17/2007	10/28/2013	2,648.00	848.00			1,800.00
25.	CHENIERE ENERGY INC	VAR	10/28/2013	972.00	394.00			578.00
71.	CITIGROUP INC NEW	VAR	10/28/2013	3,569.00	2,784.00			785.00
13.	CITRIX SYS INC	09/28/2011	10/28/2013	750.00	754.00			-4.00
93.	COMCAST CORP NEW CL A	VAR	10/28/2013	4,481.00	2,359.00			2,122.00
4	DAVITA INC	VAR	10/28/2013	226.00	222.00			4.00
10	EOG RESOURCES INC	VAR	10/28/2013	1,825.00	654.00			1,171.00
7904.114	EATON VANCE MUT F	07/29/2011	10/28/2013	72,481.00	71,216.00			1,265.00
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	18. EMERSON ELEC CO	02/28/2012	10/28/2013	1,207.00	909.00			298.00
	36. EXXON MOBIL CORP	12/30/1990	10/28/2013	3,170.00	463.00			2,707.00
	32. GENERAL MLS INC	VAR	10/28/2013	1,628.00	1,011.00			617.00
	73. GENERAL MOTORS CO	VAR	10/28/2013	2,607.00	2,395.00			212.00
	6 GOOGLE INC	VAR	10/28/2013	6,110.00	3,938.00			2,172.00
	18. HOME DEPOT INC	03/20/2012	10/28/2013	1,371.00	890.00			481.00
	15. HONEYWELL INTL INC	03/22/2010	10/28/2013	1,306.00	654.00			652.00
	17 HUMANA INC	VAR	10/28/2013	1,556.00	1,250.00			306.00
	44. JOHNSON CTLS INC	08/09/2010	10/28/2013	1,886.00	1,328.00			558.00
	9642.606 JPMORGAN HIGH YIE	06/26/2007	10/28/2013	79,552.00	76,933.00			2,619.00
	71. LOWES COS INC	VAR	10/28/2013	3,557.00	1,449.00			2,108.00
	48. MASCO CORP	07/30/2012	10/28/2013	1,006.00	636.00			370.00
	4. MASTERCARD INC - CLASS	VAR	10/28/2013	2,895.00	1,315.00			1,580.00
	34 MERCK & CO INC	09/14/2009	10/28/2013	1,543.00	1,118.00			425.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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32	METLIFE INC	VAR	10/28/2013	1,550.00	1,013.00			537.00
37.	MICROSOFT CORP	05/04/2005	10/28/2013	1,313.00	935.00			378.00
75.	MONDELEZ INTERNATIONAL	VAR	10/28/2013	2,519.00	1,688.00			831.00
52.	OCCIDENTAL PETE CORP	VAR	10/28/2013	5,022.00	3,797.00			1,225.00
94.	ORACLE CORP	VAR	10/28/2013	3,126.00	2,160.00			966.00
52	PACCAR INC	VAR	10/28/2013	3,041.00	2,061.00			980.00
20	PEPSICO INC	VAR	10/28/2013	1,683.00	1,448.00			235.00
25	PHILIP MORRIS INTERNAT	VAR	10/28/2013	2,241.00	2,057.00			184.00
4	PHILLIPS 66	10/16/2012	10/28/2013	255.00	187.00			68.00
38.	PROCTER & GAMBLE CO	06/26/2007	10/28/2013	3,101.00	2,324.00			777.00
21	QUALCOMM INC	01/14/2008	10/28/2013	1,440.00	841.00			599.00
46.	SCHLUMBERGER LTD	VAR	10/28/2013	4,263.00	3,843.00			420.00
18	TARGET CORP	VAR	10/28/2013	1,161.00	1,138.00			23.00
99.	TIME WARNER INC	VAR	10/28/2013	6,947.00	3,099.00			3,848.00
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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
48	UNITED TECHNOLOGIES CO	VAR	10/28/2013	5,108.00	2,930.00			2,178.00
39.	VERIZON COMMUNICATIONS	04/27/2006	10/28/2013	1,964.00	1,161.00			803.00
11.	VERTEX PHARMACEUTICALS	11/28/2011	10/28/2013	854.00	300.00			554.00
137.	WELLS FARGO & CO NEW	VAR	10/28/2013	5,881.00	3,802.00			2,079.00
19.	WILLIAMS COS INC	05/05/2011	10/28/2013	705.00	475.00			230.00
37.	YUM BRANDS INC	03/05/2010	10/28/2013	2,473.00	1,287.00			1,186.00
22.	ENSCO PLC	07/03/2012	10/28/2013	1,269.00	1,068.00			201.00
35	INVESCO LTD SHS	07/22/2010	10/28/2013	1,148.00	676.00			472.00
33.	ACE LTD NEW	VAR	10/28/2013	3,171.00	2,263.00			908.00
1	BIOGEN IDEC INC	03/22/2010	10/31/2013	247.00	60.00			187.00
1	TARGET CORP	10/25/2012	10/31/2013	66.00	63.00			3.00
1.	TARGET CORP	10/25/2012	10/31/2013	65.00	63.00			2.00
1	TARGET CORP	10/25/2012	10/31/2013	66.00	63.00			3.00
3	TARGET CORP	10/25/2012	10/31/2013	195.00	189.00			6.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

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LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

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Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

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You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1	TARGET CORP	10/24/2012	10/31/2013	66.00	63.00			3.00
2	BIOGEN IDEC INC	03/22/2010	11/01/2013	485.00	120.00			365.00
1.	TARGET CORP	10/24/2012	11/01/2013	65.00	63.00			2.00
1.	BIOGEN IDEC INC	03/22/2010	11/04/2013	243.00	60.00			183.00
1.	BIOGEN IDEC INC	06/18/2010	11/04/2013	242.00	50.00			192.00
3.	TARGET CORP	10/24/2012	11/04/2013	195.00	188.00			7.00
1	BIOGEN IDEC INC	06/18/2010	11/05/2013	240.00	50.00			190.00
2	TARGET CORP	10/24/2012	11/05/2013	130.00	126.00			4.00
1	TARGET CORP	10/24/2012	11/06/2013	66.00	63.00			3.00
3.	TARGET CORP	10/24/2012	11/06/2013	196.00	188.00			8.00
3.	TARGET CORP	10/24/2012	11/07/2013	196.00	188.00			8.00
1	TARGET CORP	10/24/2012	11/08/2013	65.00	63.00			2.00
3.	TARGET CORP	10/24/2012	11/08/2013	195.00	188.00			7.00
1.	TARGET CORP	10/24/2012	11/11/2013	66.00	63.00			3.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
1.	TARGET CORP	10/24/2012	11/11/2013	65.00	63.00			2.00
1.	TARGET CORP	10/24/2012	11/12/2013	65.00	63.00			2.00
1.	TARGET CORP	10/24/2012	11/12/2013	66.00	63.00			3.00
1	TARGET CORP	10/24/2012	11/12/2013	65.00	63.00			2.00
32.	INVESCO LTD SHS	VAR	11/12/2013	1,063.00	602.00			461.00
2.	TARGET CORP	10/24/2012	11/13/2013	133.00	126.00			7.00
4	TARGET CORP	10/24/2012	11/13/2013	266.00	251.00			15.00
1.	TARGET CORP	10/24/2012	11/13/2013	67.00	63.00			4.00
37.	CITRIX SYS INC	VAR	11/14/2013	2,040.00	2,132.00			-92.00
3.	TARGET CORP	VAR	11/14/2013	200.00	188.00			12.00
2.	TARGET CORP	10/24/2012	11/14/2013	133.00	126.00			7.00
7.	DAVITA INC	VAR	11/15/2013	413.00	388.00			25.00
1.	DAVITA INC	10/19/2012	11/15/2013	59.00	55.00			4.00
18.	DAVITA INC	VAR	11/15/2013	1,060.00	993.00			67.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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1.	DAVITA INC	10/15/2012	11/18/2013	59.00	55.00			4.00
7.	DAVITA INC	10/15/2012	11/18/2013	418.00	384.00			34.00
1.	DAVITA INC	10/10/2012	11/18/2013	59.00	55.00			4.00
5.	DAVITA INC	VAR	11/19/2013	292.00	274.00			18.00
2.	DAVITA INC	10/04/2012	11/19/2013	117.00	108.00			9.00
20.	MICROSOFT CORP	05/04/2005	11/19/2013	737.00	505.00			232.00
9.	UNITEDHEALTH GROUP INC	09/16/2011	11/19/2013	643.00	449.00			194.00
1.	DAVITA INC	10/04/2012	11/20/2013	59.00	54.00			5.00
2.	DAVITA INC	10/04/2012	11/20/2013	116.00	108.00			8.00
1.	DAVITA INC	10/04/2012	11/20/2013	58.00	54.00			4.00
70000.	GS BREN EAFE 11/20/	11/02/2012	11/20/2013	81,578.00	69,300.00			12,278.00
3.	DAVITA INC	10/04/2012	11/21/2013	171.00	163.00			8.00
2.	DAVITA INC	VAR	11/21/2013	114.00	108.00			6.00
3.	DAVITA INC	10/08/2012	11/22/2013	169.00	162.00			7.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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1.	DAVITA INC	10/05/2012	11/22/2013	56.00	54.00			2.00
3.	DAVITA INC	10/05/2012	11/25/2013	180.00	162.00			18.00
9.	DAVITA INC	VAR	11/25/2013	549.00	486.00			63.00
1.	DAVITA INC	10/09/2012	11/25/2013	60.00	54.00			6.00
2.	DAVITA INC	10/09/2012	11/25/2013	122.00	108.00			14.00
1.	ENSCO PLC	07/03/2012	11/25/2013	59.00	49.00			10.00
1.	ENSCO PLC	07/03/2012	11/25/2013	60.00	49.00			11.00
1.	ENSCO PLC	07/03/2012	11/25/2013	59.00	49.00			10.00
9.	ENSCO PLC	VAR	11/25/2013	537.00	436.00			101.00
4.	ENSCO PLC	VAR	11/25/2013	237.00	189.00			48.00
2.	ENSCO PLC	07/02/2012	11/25/2013	120.00	94.00			26.00
1.	ENSCO PLC	07/02/2012	11/25/2013	60.00	47.00			13.00
1.	ENSCO PLC	07/02/2012	11/26/2013	59.00	47.00			12.00
2.	ENSCO PLC	07/02/2012	11/26/2013	118.00	94.00			24.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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8.	ENSCO PLC	07/02/2012	11/26/2013	475.00	374.00			101.00
2.	ENSCO PLC	07/02/2012	11/26/2013	120.00	94.00			26.00
5.	ENSCO PLC	07/02/2012	11/26/2013	296.00	234.00			62.00
8.	ENSCO PLC	07/02/2012	11/26/2013	479.00	374.00			105.00
70000.	CS BREN ASIA BASKET	11/09/2012	11/27/2013	78,120.00	69,300.00			8,820.00
1.	ENSCO PLC	07/02/2012	11/27/2013	60.00	47.00			13.00
1.	ENSCO PLC	07/02/2012	11/27/2013	60.00	47.00			13.00
2.	ENSCO PLC	07/02/2012	11/27/2013	119.00	94.00			25.00
3.	CERNER CORP	11/07/2012	12/02/2013	173.00	120.00			53.00
10.	EOG RESOURCES INC	07/07/2009	12/03/2013	1,652.00	620.00			1,032.00
2.	OCCIDENTAL PETE CORP	04/30/2009	12/05/2013	187.00	113.00			74.00
3.	OCCIDENTAL PETE CORP	04/30/2009	12/05/2013	282.00	170.00			112.00
3.	OCCIDENTAL PETE CORP	04/30/2009	12/06/2013	282.00	170.00			112.00
2.	OCCIDENTAL PETE CORP	04/30/2009	12/06/2013	185.00	113.00			72.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

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						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	OCCIDENTAL PETE CORP	04/30/2009	12/06/2013	186.00	113.00			73.00
1.	OCCIDENTAL PETE CORP	04/30/2009	12/09/2013	93.00	57.00			36.00
1	OCCIDENTAL PETE CORP	04/30/2009	12/09/2013	93.00	57.00			36.00
1.	OCCIDENTAL PETE CORP	06/26/2007	12/09/2013	94.00	56.00			38.00
1.	OCCIDENTAL PETE CORP	06/26/2007	12/09/2013	93.00	56.00			37.00
1.	OCCIDENTAL PETE CORP	06/26/2007	12/09/2013	93.00	56.00			37.00
1	OCCIDENTAL PETE CORP	06/26/2007	12/09/2013	93.00	56.00			37.00
14.	EMERSON ELEC CO	02/28/2012	12/13/2013	927.00	707.00			220.00
2.	PENTAIR LTD	06/15/2012	12/13/2013	140.00	80.00			60.00
5	EMERSON ELEC CO	VAR	12/16/2013	335.00	252.00			83.00
4	PENTAIR LTD	06/15/2012	12/16/2013	286.00	160.00			126.00
5.	EMERSON ELEC CO	VAR	12/17/2013	333.00	250.00			83.00
5.	EMERSON ELEC CO	07/28/2011	12/19/2013	340.00	249.00			91.00
45.	MICROSOFT CORP	05/04/2005	12/19/2013	1,637.00	1,137.00			500.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

LOUISE HEAD DUNCAN TR U/W R74254005

61-6183556

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	48. MONDELEZ INTERNATIONAL	VAR	12/19/2013	1,641.00	1,076.00			565.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►				3,535,689.	3,056,487.			479,202.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.



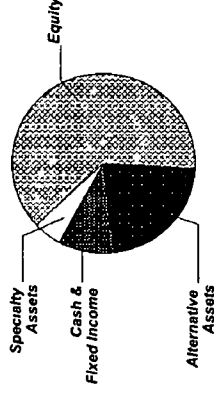
T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	4,843,431.65	5,157,895.90	314,464.25	56,464.39	63%
Alternative Assets	1,779,305.04	1,772,916.73	(6,388.31)	12,034.94	22%
Cash & Fixed Income	948,007.84	800,128.76	(147,879.08)	18,188.63	10%
Specialty Assets	350,002.00	350,002.00	0.00		5%
Market Value	\$7,920,746.53	\$8,080,943.39	\$160,196.86	\$86,687.96	100%

Asset Allocation



PRINCIPAL

Portfolio Activity

	Current Period Value	Year-to-Date Value
Beginning Market Value	7,920,746.53	7,402,458.58
Additions		66,382.59
Withdrawals & Fees	(210,185.77)	(429,923.50)
Net Additions/Withdrawals	(\$210,185.77)	(\$363,540.91)
Income		841.22
Change In Investment Value	370,382.63	1,041,184.50
Ending Market Value	\$8,080,943.39	\$8,080,943.39

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T/W LOUISE HEAD DUNCAN ACCT. R74254005
For the Period 10/1/13 to 12/31/13

Account Summary CONTINUED

Tax Summary	Current Period Value	Year-to-Date Value
Original Issue Discount		841.22
Taxable Income		\$841.22

	Current Period Value	Year-to-Date Value
LT Capital Gain Distributions	91,463.01	91,983.12
ST Realized Gain/Loss	11,175.62	19,229.34
LT Realized Gain/Loss	69,013.22	579,975.77
Realized Gain/Loss	\$171,651.85	\$691,188.23

	To-Date Value
Unrealized Gain/Loss	\$1,061,925.47

Cost Summary	Cost
Equity	4,071,511.49
Cash & Fixed Income	807,832.58
Total	\$4,879,344.07

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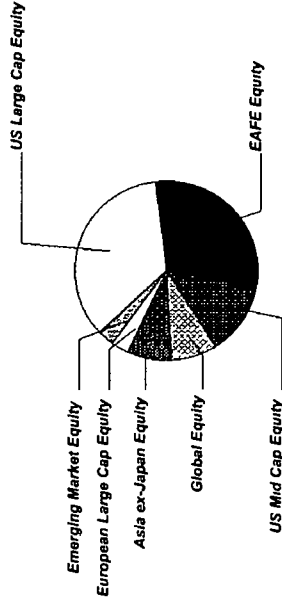


T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	1,937,215.32	1,851,676.30	(85,539.02)	22%
US Mid Cap Equity	620,396.77	632,158.75	11,761.98	8%
EAFE Equity	1,289,648.21	1,569,921.88	280,273.67	19%
European Large Cap Equity	85,196.25	163,475.53	78,279.28	2%
Asia ex-Japan Equity	365,550.25	365,547.89	(2.36)	5%
Emerging Market Equity	151,624.46	154,342.34	2,717.88	2%
Global Equity	393,800.39	420,773.21	26,972.82	5%
Total Value	\$4,843,431.65	\$5,157,895.90	\$314,464.25	63%

Asset Categories



Equity as a percentage of your portfolio - 63 %

Market Value/Cost	Current Period Value
Market Value	5,157,895.90
Tax Cost	4,071,511.49
Unrealized Gain/Loss	1,086,384.41
Estimated Annual Income	56,464.39
Yield	1.09%

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T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

Note P indicates position adjusted for Pending Trade Activity

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
ACE LTD NEW H0023R-10-5 ACE	103 53	78 000	8,075 34	3,636.57	4,438 77	196 56	2 43 %
ADOBE SYSTEMS INC 00724F-10-1 ADBE	59 88	68 000	4,071 77	2,172.38	1,899 39		
ALEXION PHARMACEUTICALS INC 015351-10-9 ALXN	132 88	33 000	4,385 17	3,373 89	1,011 28		
ALLERGAN INC 018490-10-2 AGN	111 08	30 000	3,332 40	2,585 01	747 39	6 00	0 18 %
ALLIANCE DATA SYSTEMS CORP 018581-10-8 ADS	262 93	11 000	2,892 23	1,940 18	952 05		
AMAZON COM INC 023135-10-6 AMZN	398 79	11 000	4,386 69	917 14	3,469 55		
ANADARKO PETROLEUM CORP 032511-10-7 APC	79 32	54 000	4,283 28	3,745 63	537 65	38 88	0 91 %
APACHE CORP 037411-10-5 APA	85 94	42 000	3,609 48	3,151.61	457 87	33 60	0 93 %
APPLE INC. 037833-10-0 AAPL	561 02	31 000	17,391 62	3,692 72	13,698 90	378 20	2 17 %
ARCHER DANIELS MIDLAND CO 039483-10-2 ADM	43 40	55 000	2,387 00	1,734 78	652 22	52 80	2 21 %
AUTOZONE INC 053332-10-2 AZO	477 94	8 000	3,823 52	2,290 91	1,532 61		

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T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div	Yield
US Large Cap Equity							
AVAGO TECHNOLOGIES LTD Y0486S-10-4 AVGO	52 88	185 000	9,782 62	6,142 05	3,640 57	185 00	1 89 %
BANK OF AMERICA CORP 060505-10-4 BAC	15 57	749 000	11,661 93	6,418 55	5,243 38	29 96	0 26 %
BARC REN SPX 09/04/14 2 XLEV- 7 85%CAP- 15 70% MAXPYMT INITIAL LEVEL-08/16/13 SPX 1655 83 06741T-D4-6	109 43	95,000 000	103,958 50	94,050 00	9,908 50		
BIOGEN IDEC INC 09062X-10-3 BIIB	279 57	23 000	6,430 16	1,147 27	5,282 89		
BRISTOL MYERS SQUIBB CO 110122-10-8 BMY	53 15	210 000	11,161 50	8,270 61	2,890 89	302 40	2 71 %
CAPITAL ONE FINANCIAL CORP 14040H-10-5 COF	76 61	67 000	5,132 87	3,583 54	1,549 33	80 40	1 57 %
CBS CORP CLASS B W/I 124857-20-2 CBS	63 74	70 000	4,461 80	3,688 94	772 86	33 60	0 75 %
CELGENE CORPORATION 151020-10-4 CELG	168 97	39 000	6,589 75	1,682 20	4,907 55		
CERNER CORP 156782-10-4 CERN	55 74	58 000	3,232 92	2,289 54	943 38		
CHENIERE ENERGY INC 16411R-20-8 LNG	43 12	57 000	2,457 84	889 37	1,568 47		
CISCO SYSTEMS INC 17275R-10-2 CSCO	22 43	360 000	8,074 80	6,074 54	2,000 26	244 80	3 03 %
CITIGROUP INC NEW 172967-42-4 C	52 11	205 000	10,682 55	6,594 61	4,087 94	8 20	0 08 %

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T/W LOUISE HEAD DUNCAN ACCT. R74254005
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
COCA-COLA CO 191216-10-0 KO	41 31	115 000	4,750 65	4,579 02	171 63	128 80	2 71 %
COMCAST CORP CL A 20030N-10-1 CMCS A	51 97	218 000	11,328 37	3,782 20	7,546 17	170 04	1 50 %
COSTCO WHOLESALE CORP 22160K-10-5 COST	119 02	23 000	2,737 46	2,798 55	(61 09)	28 52	1 04 %
CSX CORP 126408-10-3 CSX	28 77	173 000	4,977 21	3,682.98	1,294 23	103 80	2 09 %
CVS CAREMARK CORPORATION 126650-10-0 CVS	71 57	44 000	3,149 08	2,474 31	674 77	48 40	1 54 %
DISH NETWORK CORP CL A 25470M-10-9 DISH	57 92	81 000	4,691 52	2,970 05	1,721 47		
DOW CHEMICAL CO 260543-10-3 DOW	44 40	126,000	5,594 40	3,808 83	1,785 57	161 28	2 88 %
EATON CORP PLC G29183-10-3 ETN	76 12	40 000	3,044 80	2,978 98	65 82	67 20	2 21 %
EBAY INC 278642-10-3 EBAY	54 87	125 000	6,858 13	6,717 27	140 86		
EMERSON ELECTRIC CO 291011-10-4 EMR	70 18	67 000	4,702 06	3,132 74	1,569 32	115 24	2 45 %
EOG RESOURCES INC 26875P-10-1 EOG	167 84	11 000	1,846 24	682 36	1,163 88	8 25	0 45 %
EXXON MOBIL CORP 30231G-10-2 XOM	101 20	99 000	10,018 80	2,344.49	7,674 31	249 48	2 49 %

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T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
FLUOR CORP 343412-10-2 FLR	80.29	125,000	10,036.25	6,245.70	3,790.55	80.00	0.80%
FREEMPORT-MCMORAN COPPER & GOLD INC CL B 35671D-85-7 FCX	37.74	174,000	6,566.76	5,676.80	889.96	217.50	3.31%
GENERAL MILLS INC 370334-10-4 GIS	49.91	76,000	3,793.16	2,019.03	1,774.13	115.52	3.05%
GENERAL MOTORS CO 37045V-10-0 GM	40.87	218,000	8,909.66	5,649.47	3,260.19		
GOOGLE INC CL A 38259P-50-8 GOOG	1,120.71	14,000	15,689.94	8,478.49	7,211.45		
HARTFORD FINANCIAL SERVICES GROUP INC 416515-10-4 HIG	36.23	102,000	3,695.46	2,994.56	700.90	61.20	1.66%
HOME DEPOT INC 437076-10-2 HD	82.34	107,000	8,810.38	3,724.25	5,086.13	166.92	1.89%
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	91.37	138,000	12,609.06	5,689.73	6,919.33	248.40	1.97%
HUMANA INC 444859-10-2 HUM	103.22	39,000	4,025.58	2,731.18	1,294.40	42.12	1.05%
INTERCONTINENTAL EXCHANGE GROUP, INC 45866F-10-4 ICE	224.92	26,000	5,847.92	4,205.25	1,642.67	67.60	1.16%
INVESCO LTD G491BT-10-8 IVZ	36.40	49,000	1,783.60	874.92	908.68	44.10	2.47%
JOHNSON & JOHNSON 478160-10-4 JNJ	91.59	207,000	18,959.13	15,162.96	3,796.17	546.48	2.88%

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T/W LOUISE HEAD DUNCAN ACCT. R74254005
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div	Yield
US Large Cap Equity							
JOHNSON CONTROLS INC 478366-10-7 JCI	51 30	102 000	5,232 60	2,080 36	3,152 24	89 76	1 72 %
JPM LARGE CAP GROWTH FD - SEL FUND 3118 4812C0-53-0 SEEG X	31 78	5,514.395	175,247 47	111,115 06	64,132 41		
JPM US LARGE CAP CORE PLUS FD - SEL FUND 1002 4812A2-38-9 JLPS X	27 74	13,117 367	363,875 76	289,243 54	74,632 22	1,508 49	0 41 %
KLA-TENCOR CORP 482480-10-0 KLAC	64 46	27 000	1,740 42	1,653 30	87 12	48 60	2 79 %
LAM RESEARCH CORP 512807-10-8 LRCX	54 45	78 000	4,247 10	3,273 14	973 96		
LOWES COMPANIES INC 548661-10-7 LOW	49 55	167 000	8,274 85	3,282 15	4,992 70	120 24	1 45 %
MARATHON OIL CORP 565849-10-6 MRO	35 30	104 000	3,671 20	3,757 54	(86.34)	79 04	2 15 %
MARATHON PETROLEUM CORPORATION 56585A-10-2 MPC	91 73	20 000	1,834 60	1,741 51	93 09	33 60	1 83 %
MARSH & MCLENNAN COMPANIES INC 571748-10-2 MMC	48 36	68 000	3,288 48	2,968 53	319 95	68 00	2 07 %
MASCO CORP 574599-10-6 MAS	22 77	256 000	5,829 12	3,327.37	2,501 75	76 80	1 32 %
MASTERCARD INC - CLASS A 57636Q-10-4 MA	835 46	11 000	9,190 06	2,010 94	7,179 12	48 40	0 53 %
MCKESSON CORP 58155Q-10-3 MCK	161 40	26 000	4,196 40	4,205 65	(9 25)	24 96	0 59 %

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T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
MERCK AND CO INC 58933Y-10-5 MRK	50 05	80 000	4,004 00	2,584 28	1,419 72	140 80	3 52 %
METLIFE INC 59156R-10-8 MET	53 92	186 000	10,029.12	5,350 75	4,678 37	204 60	2 04 %
METTLER TOLEDO INTERNATIONAL INC 592688-10-5 MTD	242 59	12 000	2,911 08	2,492 69	418 39		
MICROSOFT CORP 594918-10-4 MSFT	37 41	432 000	16,161 12	9,675 81	6,485 31	483 84	2 99 %
MONDELEZ INTERNATIONAL-W/I 609207-10-5 MDLZ	35 30	129 000	4,553 70	2,876 56	1,677 14	72.24	1 59 %
MONSANTO CO 61166W-10-1 MON	116 55	51 000	5,944 05	5,530 22	413 83	87.72	1 48 %
MORGAN STANLEY 617446-44-8 MS	31 36	243 000	7,620 48	5,361 19	2,259 29	48.60	0 64 %
NEUBERGER BER MU/C OPP-INS 64122Q-30-9 NMUL X	15 35	20,269 169	311,131 74	262,475 62	48,656 12	2,189 07	0 70 %
NEXTERA ENERGY INC 65339F-10-1 NEE	85 62	59 000	5,051 58	4,581 98	469 60	155 76	3 08 %
NISOURCE INC 65473P-10-5 NI	32 88	101 000	3,320 88	2,733 21	587 67	101 00	3 04 %
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	95 10	76 000	7,227 60	4,047.20	3,180 40	194 56	2 69 %
ORACLE CORP 68389X-10-5 ORCL	38 26	224 000	8,570 24	4,696 99	3,873 25	107 52	1 25 %
PACCAR INC 693718-10-8 PCAR	59 17	122 000	7,218 74	3,898 60	3,320 14	97 60	1 35 %

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T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
PENTAIR LTD SHS H6169Q-10-8 PNR	77 67	42 000	3,262 14	1,243 93	2,018 21	42 00	1 29 %
PEPSICO INC 713448-10-8 PEP	82 94	62 000	5,142 28	4,462 84	679 44	140 74	2 74 %
PERRIGO CO LTD G97822-10-3 PRGO	153 46	32 000	4,910 72	4,870 56	40 16		
PHILIP MORRIS INTERNATIONAL 718172-10-9 PM	87 13	59 000	5,140 67	4,836 56	304 11	221 84	4 32 %
PHILLIPS 66 718546-10-4 PSX	77 13	96 000	7,404 48	4,757 61	2,646 87	149 76	2 02 %
PRICELINE.COM INC 741503-40-3 PCLN	1,162 40	4 000	4,649 60	2,777 80	1,871 80		
PROCTER & GAMBLE CO 742718-10-9 PG	81 41	90 000	7,326 90	5,318.30	2,008 60	216 54	2 96 %
QEP RESOURCES INC 74733V-10-0 QEP	30 65	93 000	2,850 45	2,997 99	(147 54)	7 44	0 26 %
QUALCOMM INC 747525-10-3 QCOM	74 25	122 000	9,058 50	5,606 26	3,452 24	170 80	1 89 %
SCHLUMBERGER LTD 806857-10-8 SLB	90 11	152 000	13,696 72	12,537 00	1,159 72	190 00	1 39 %
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	184 69	1,685 000	311,202 65	251,234 54	59,968 11	5,646 43	1 81 %
STATE STREET CORP 857477-10-3 STT	73 39	65 000	4,770 35	3,805 36	964 99	67 60	1 42 %

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T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div	Yield
US Large Cap Equity							
TIME WARNER INC NEW 887317-30-3 TWX	69 72	231 000	16,105 32	4,793 16	11,312 16	265 65	1 65%
TIME WARNER CABLE INC 88732J-20-7 TWC	135 50	23 000	3,116 50	2,583 40	533 10	59 80	1 92%
UNITEDHEALTH GROUP INC 91324P-10-2 UNH	75 30	127 000	9,563 10	5,135 28	4,427 82	142 24	1 49%
UNITED TECHNOLOGIES CORP 913017-10-9 UTX	113 80	140 000	15,932 00	7,546 58	8,385 42	330 40	2 07%
UNITED CONTINENTAL HOLDINGS INC. 910047-10-9 UAL	37 83	73 000	2,761 59	2,812 01	(50 42)		
VERIZON COMMUNICATIONS INC 92343V-10-4 VZ	49 14	86 000	4,226 04	2,560 59	1,665 45	182 32	4 31%
VERTEX PHARMACEUTICALS INC 92532F-10-0 VRTX	74 30	27 000	2,006 10	736 58	1,269 52		
WALT DISNEY CO 254687-10-6 DIS	76 40	73 000	5,577 20	4,800 65	776 55	62 78	1 13%
WELLS FARGO & CO 949746-10-1 WFC	45 40	323 000	14,664 20	6,481 66	8,182 54	387 60	2 64%
WILLIAMS COMPANIES INC 969457-10-0 WMB	38 57	121 000	4,666 97	2,932 18	1,734 79	183 92	3 94%
YUM BRANDS INC 988498-10-1 YUM	75 61	87 000	6,578 07	2,966 75	3,611 32	128 76	1 96%
Total US Large Cap Equity			\$1,851,676.30	\$1,366,237.94	\$485,438.36	\$18,839.07	1.02 %

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T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Mid Cap Equity							
ASTON/FAIRPOINTE MID CAP-I 00078H-15-8 ABMI X	44 67	6,114 201	273,121 36	170,937 57	102,183 79	372 96	0 14 %
ISHARES CORE S&P MID-CAP ETF 464287-50-7 IJH	133.81	1,400 000	187,334 00	95,520 18	91,813 82	2,419 20	1 29 %
JPM MID CAP GROWTH FD - SEL FUND 3120 4812C1-71-0 HLGE X	27 95	6,143 234	171,703 39	98,025 12	73,678 27		
Total US Mid Cap Equity			\$632,158.75	\$364,482.87	\$267,675.88	\$2,792.16	0.44 %
EAFE Equity							
BARC BREN MXEA 04/16/14 10%BUFFER-1 50 XLEV- 6 60%CAP 9 9%MAXRTRN INITIAL LEVEL-03/28/13 MXEA 1674 60 06741T-RT-6	108 59	75,000 000	81,442 50	74,250 00	7,192 50		
CAPITAL PRIVATE CLIENT SVCS CAP NON US EQT 14042Y-60-1 CNUS X	11 93	33,047 121	394,252 15	365,336 60	28,915 55	2,974 24	0 75 %
CS LEV CONT BUFF EQ MXEA 9/4/14 90% CONTIN BARRIER- 8%CAP 2X LEV - 16 % MAXPYMT INITIAL LEVEL-08/16/13 MXEA:1765 470 22547Q-7K-6	107 34	80,000 000	85,868 00	79,200 00	6,668 00		
DODGE & COX INTL STOCK FUND 256206-10-3 DODF X	43 04	10,192 165	438,670 78	306,994 70	131,676 08	7,083 55	1 61 %

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T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
EAFE Equity							
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	67 10	4,897 000	328,564 22	302,838 33	25,725 89	8,339 59	2 54 %
MFS INTL VALUE-I 55273E-82-2 MINI X	35 18	4,657 698	163,857 82	128,998 87	34,858 95	3,078 73	1 88 %
OAKMARK INTERNATIONAL FD-I 413838-20-2 OAKI X	26 32	2,935 654	77,266 41	77,941 62	(675 21)	1,279 94	1 66 %
Total EAFE Equity			\$1,569,921.88	\$1,335,560.12	\$234,361.76	\$22,756.05	1.45 %

European Large Cap Equity

DB MARKET PLUS SX5E 03/19/14 80% CONTIN BARRIER- 7 6%CPN .UNCAPPED INITIAL LEVEL-09/14/12 SX5E 2594 56 2515A1-LR-0	120 09	75,000 000	90,064 50	74,062 50	16,002 00		
JPM INTREPID EUROPEAN FD - INSTL FUND 1300 4812A0-68-0 JFEI X	26 73	2,746 391	73,411 03	69,923 11	3,487 92	233 44	0 32 %
Total European Large Cap Equity			\$163,475.53	\$143,985.61	\$19,489.92	\$233.44	0.14 %

Asia ex-Japan Equity

COLUMBIA ASIA PAX X-JPN-R5 19763P-57-2 TAPR X	13 51	12,396 055	167,470 70	156,945 85	10,524 85	2,169 30	1 30 %
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T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
Asia ex-Japan Equity							
T ROWE PRICE NEW ASIA 77956H-50-0 PRAS X	16 01	12,372 092	198,077 19	187,087 80	10,989 39	1,855 81	0 94 %
Total Asia ex-Japan Equity			\$365,547.89	\$344,033.65	\$21,514.24	\$4,025.11	1.10 %
Emerging Market Equity							
JPM CHINA REGION FD - SEL FUND 3810 4812A3-52-8 JCHS X	21 24	3,157 973	67,075 35	57,633 00	9,442 35	334 74	0 50 %
VIRTUS EMERGING MKTS OPPOR-I 92828T-88-9 HIEM X	9 55	9,137 905	87,266 99	95,034 21	(7,767 22)	959 48	1 10 %
Total Emerging Market Equity			\$154,342.34	\$152,667.21	\$1,675.13	\$1,294.22	0.84 %
Global Equity							
ISHARES MSCI ACWI INDEX FUND 464288-25-7 ACWI	57 62	4,377 000	252,202 74	218,853 06	33,349 68	4,775 30	1 89 %
JPM GLOBAL RES ENH INDEX FD - SEL FUND 3457 46637K-51-3 JEIT X	17 83	9,454 317	168,570 47	145,691.03	22,879 44	1,749 04	1 04 %
Total Global Equity			\$420,773.21	\$364,544.09	\$56,229.12	\$6,524.34	1.55 %

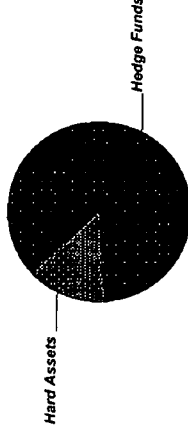
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T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	1,468,944 11	1,531,505 29	62,561 18	19%
Hard Assets	310,360 93	241,411 44	(68,949 49)	3%
Total Value	\$1,779,305.04	\$1,772,916.73	(\$6,388.31)	22%



Alternative Assets as a percentage of your portfolio - 22 %

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T/W LOUISE HEAD DUNCAN ACCT. R74254005
For the Period 10/1/13 to 12/31/13

Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
BLACKSTONE PARTNERS OFFSHORE FUND LTD CLASS H2 - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS - LEAD SERIES N/O Client 092911-96-5	1,319.37 11/29/13	463,720	611,818.26	500,000.00
EATON VANCE GLOBAL MACRO-I 277923-72-8 EIGM X	9.42	14,249,043	134,225.99	143,664.31
GATEWAY FUND-Y 367829-88-4 GTEY X	28.99	9,518,353	275,937.05	263,149.82
INV BALANCED-RISK ALLOC-Y 00141V-69-7 ABRY X	11.89	11,482,585	136,527.94	146,058.48
JPM ACCESS MULTI-STRATEGY FUND RIC 07-08 N/O Client 475891-91-7	1.08 11/29/13	150,000,000	162,633.90	150,000.00
PIMCO UNCONSTRAINED BOND-P 72201M-45-3 PUCP X	11.09	18,968,634	210,362.15	219,087.72
Total Hedge Funds			\$1,531,505.29	\$1,421,960.33

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T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Estimated Value	Cost	Est Annual Income	
					Accrued	Income
Hard Assets						
PIMCO COMMODITYPL STRAT-P 72201P-16-7 PCLP X	10 67	18,800 697	200,603 44	203,659 36		639 22
SG CONT BUFF EQ BRENT 08/12/14 LNKD TO CO1 80% BARRIER- 4 6%CPN 15 00%CAP INITIAL LEVEL-08/01/13 CO1 109 43 78423E-HT-4	102 02	40,000 000	40,808 00	39,600 00		
Total Hard Assets			\$241,411.44	\$243,259.36		\$639.22

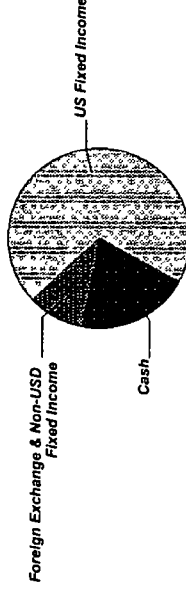
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T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	215,557 20	189,157 77	(26,399 43)	2%
US Fixed Income	656,091 54	534,954 30	(121,137 24)	7%
Foreign Exchange & Non-USD Fixed Income	76,359 10	76,016 69	(342 41)	1%
Total Value	\$948,007.84	\$800,128.76	(\$147,879.08)	10%



Market Value/Cost	Current Period Value
Market Value	800,128 76
Tax Cost	807,832 58
Unrealized Gain/Loss	(7,703 82)
Estimated Annual Income	18,188.63
Yield	2.27 %

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months ¹	800,128 76	100%

¹ The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	189,157 77	23%
Mutual Funds	610,970 99	77%
Total Value	\$800,128.76	100%

Cash & Fixed Income as a percentage of your portfolio - 10 %

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T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
Cash							
JPM US GOVT PREMIER SWEEP FD #1086 7-Day Annualized Yield 0.1%	1 00	189,615 49	189,615 49	189,615 49		18 96	0 01 %
COST OF PENDING PURCHASES	1 00	(457 72)	(457 72)	(457 72)			
Total Cash			\$189,157.77	\$189,157.77	\$0.00	\$18.96	0.01 %
US Fixed Income							
DOUBLELINE TOTAL RET BD-I 258620-10-3	10 78	14,074 70	151,725 28	158,006 02	(6,280 74)	7,839 60	5 17 %
JPM STRAT INCOME OPPORT FD - SEL FUND 3844 4812A4-35-1	11 89	13,046 57	155,123 75	154,532 84	590 91	4,031 39	2 60 %
JPM CORE BOND FD - SEL FUND 3720 4812C0-38-1	11 47	19,887 12	228,105 27	231,029 33	(2,924 06)	6,264 44	2 75 %
Total US Fixed Income			\$534,954.30	\$543,568.19	(\$8,613.89)	\$18,135.43	3.39 %
Foreign Exchange & Non-USD Fixed Income							
JPM INTL CURR INCOME FD - SEL FUND 3831 4812A3-29-6	11 10	6,848 35	76,016 69	75,106 62	910 07	34 24	0 05 %

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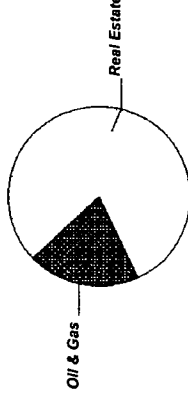


T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

Specialty Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Real Estate	350,000.00	350,000.00	0.00	4%
Oil & Gas	2.00	2.00	0.00	1%
Total Value	\$350,002.00	\$350,002.00	\$0.00	5%

Asset Categories



Specialty Assets as a percentage of your portfolio - 5 %

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T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

Specialty Assets Detail

Interest Type	Percentage of Ownership	Estimated Value	Estimated Cost
Real Estate			
108 CRYSTAL DRIVE U S POST OFFICE LAGRANGE, KY 40031 COMM-NNN Bearer 934R00-18-0	100.00 %	350,000.00	410,831.00
SURFACE-REAL ESTATE			

Estimated Value Estimated Cost	Gross Income	Production Tax	Expenses	Net Income	Depletion	Net Income After Depletion
LEE CO KY MINERAL INTEREST Bearer 997707-62-3	1.00 1.00 3,317.40	(149.30)		3,168.10		3,168.10
1800097 NANNIE BRYANT 6-N-70, 300' FNL & 200' FEL, BIG SINKING FIELD, LEE COUNTY, KY	3,162.61	(142.33)		3,020.28		3,020.28
1800106 MPG & WELLS AND J LAIRSO WELLS BEATTYVILLE DIST	154.79	(6.97)		147.82		147.82
Total Value	\$3,317.40	(\$149.30)		\$3,168.10		\$3,168.10

Oil & Gas

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T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

	Estimated Value Estimated Cost	Gross Income	Production Tax	Expenses	Net Income	Depletion	Net Income After Depletion
Oil & Gas							
US DOLLAR INCOME							
VARIOUS MI IN MAGOFFIN CO KY	1 00	153 13	(33 57)	(0 08)	119 48		119 48
MINERAL INTEREST Bearer 997707-62-4	1 00						
1800008 D M WHEELER MAGOFFIN CO, KY		10 52	(0 47)		10 05		10 05
1800017 B I WHEELER MAGOFFIN CO, KY		48 29	(2 14)		46 15		46 15
1800019 JACK HUNLEY MAGOFFIN COUNTY, KENTUCKY		40 11	(29 28)	(0 08)	10 75		10 75
1800104 H C GRACE MAGOFFIN CO, KY		54 21	(1 68)		52 53		52 53
1800119 OSCAR WHEELER MCGOFFIN COUNTY, KY							
Total Value	\$153.13		(\$33.57)	(\$0.08)	\$119.48		\$119.48
Total Oil & Gas							
	\$2.00	\$3,470.53	(\$182.87)	(\$0.08)	\$3,287.58	\$0.00	\$3,287.58
	\$2.00						

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T/W LOUISE HEAD DUNCAN ACCT R74254005
For the Period 10/1/13 to 12/31/13

Portfolio Activity Summary

Transactions	Current Period Value	Year-To-Date Value*
Beginning Cash Balance	0.00	--
INFLOWS		
Contributions		66,382.59
Total Inflows	\$0.00	\$66,382.59
OUTFLOWS **		
Withdrawals	(195,869.00)	(364,626.08)
Fees & Commissions	(9,970.37)	(38,741.02)
Tax Payments	(759.00)	(12,259.00)
Expenses	(3,587.40)	(14,297.40)
Total Outflows	(\$210,185.77)	(\$429,923.50)
SWEEP ACCOUNT ACTIVITY		
Sweep Account Sales	507,092.21	2,519,339.74
Sweep Account Purchases	(481,267.16)	(2,477,360.30)
Total Sweep Account Activity	\$25,825.05	\$41,979.44
TRADE ACTIVITY		
Settled Sales/Maturities/Redemptions	793,739.76	4,606,856.70
Settled Securities Purchased	(609,379.04)	(4,285,295.23)
Total Trade Activity	\$184,360.72	\$321,561.47
Ending Cash Balance	\$0.00	--

* Year to date information is calculated on a calendar year basis

** Your account's standing instructions use a HIGH COST method for relieving assets from your position

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Cost Adjustments

Accretion	Current Period Value	Year-To-Date Value*
Specialty Asset Cost Basis Adj		841.22
Total Cost Adjustments	\$0.00	\$15,831.47



T/W LOUISE HEAD DUNCAN ACCT R74254104
For the Period 10/1/13 to 12/31/13

Account Summary

INCOME

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Cash & Fixed Income	187,401.65	244,169.53	56,767.88	24.39	100%
Market Value	\$187,401.65	\$244,169.53	\$56,767.88	\$24.39	100%
Accruals	3,216.89	1,676.89	(1,540.00)		
Market Value with Accruals	\$190,618.54	\$245,846.42	\$55,227.88		

INCOME

Portfolio Activity	Current Period Value	Year-to-Date Value
Beginning Market Value	187,401.65	181,802.51
Withdrawals & Fees	(9,720.55)	(93,160.31)
Net Additions/Withdrawals	(\$9,720.55)	(\$93,160.31)
Income	66,455.97	155,463.25
Change In Investment Value	32.46	64.08
Ending Market Value	\$244,169.53	\$244,169.53
Accruals	1,676.89	1,676.89
Market Value with Accruals	\$245,846.42	\$245,846.42

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T/W LOUISE HEAD DUNCAN ACCT R74254104
For the Period 10/1/13 to 12/31/13

Account Summary CONTINUED

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	51,422.80	100,295.46
Foreign Dividends	162.64	1,058.13
Taxable Income	\$51,585.44	\$101,353.59
Specialty Asset Income	14,870.53	54,109.66
Other Income & Receipts	\$14,870.53	\$54,109.66
Cost Summary		Cost
Cash & Fixed Income		244,169.53
Total		\$244,169.53

	Current Period Value	Year-to-Date Value
LT Realized Gain/Loss	32.46	64.08
Realized Gain/Loss	\$32.46	\$64.08

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T/W LOUISE HEAD DUNCAN ACCT R74254104
For the Period 10/1/13 to 12/31/13

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	0 00	0 00	0 00	
European Large Cap Equity	0 00	0 00	0 00	
Concentrated & Other Equity	0 00	0 00	0 00	
Total Value	\$0.00	\$0.00	\$0.00	

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
European Large Cap Equity							
JPM INTREPID EUROPEAN FD - INSTL FUND 1300 4812A0-68-0 JFEI	26 73			0 00		14 64	0 32 %
Concentrated & Other Equity							
BRISTOL MYERS SQUIBB CO 110122-10-8 BMY	53 15			0 00		75 60	2 71 %
CBS CORP CLASS B W/I 124857-20-2 CBS	63 74			0 00		8 40	0 75 %
COMCAST CORP CL A 20030N-10-1 CMCS	51 97			0 00		42 51	1 50 %

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T/W LOUISE HEAD DUNCAN ACCT R74254104
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
Concentrated & Other Equity							
DOW CHEMICAL CO 260543-10-3 DOW	44 40			0 00		40 32	2 88%
FLUOR CORP 343412-10-2 FLR	80 29			0 00		20 00	0 80%
HARTFORD FINANCIAL SERVICES GROUP INC 416515-10-4 HIG	36 23			0 00		15 30	1 66%
HUMANA INC 444859-10-2 HUM	103 22			0 00		10 53	1 05%
JOHNSON CONTROLS INC 478366-10-7 JCI	51 30			0 00		22 44	1 72%
MCKESSON CORP 58155Q-10-3 MCK	161 40			0 00		6 24	0 59%
MERCK AND CO INC 58933Y-10-5 MRK	50 05			0 00		35 20	3 52%
MONDELEZ INTERNATIONAL-W/I 609207-10-5 MDLZ	35 30			0 00		18 06	1 59%
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	95 10			0 00		56 96	2 69%
PACCAR INC 693718-10-8 PCAR	59 17			0 00		109 80	1 35%
PEPSICO INC 713448-10-8 PEP	82 94			0 00		35 19	2 74%
PHILIP MORRIS INTERNATIONAL 718172-10-9 PM	87 13			0 00		55 46	4 32%
SCHLUMBERGER LTD 806857-10-8 SLB	90 11			0 00		34 38	1 39%

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T/W LOUISE HEAD DUNCAN ACCT R74254104
For the Period 10/1/13 to 12/31/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
Concentrated & Other Equity							
STATE STREET CORP 857477-10-3 STT	73 39			0 00		16 90	1 42 %
WALT DISNEY CO 254687-10-6 DIS	76 40			0 00		62 78	1 13 %
Total Concentrated & Other Equity			\$0.00	\$0.00	\$0.00	\$0.00 \$666.07	0.00 %

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T/W LOUISE HEAD DUNCAN ACCT. R74254104
For the Period 10/1/13 to 12/31/13

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	187,401.65	244,169.53	56,767.88	100%
US Fixed Income	0.00	0.00	0.00	
Total Value	\$187,401.65	\$244,169.53	\$56,767.88	100%

Market Value/Cost	Current Period Value
Market Value	244,169.53
Tax Cost	244,169.53
Estimated Annual Income	24.39
Accrued Interest	996.18
Yield	

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months ¹	244,169.53	100%

¹ The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	244,169.53	100%

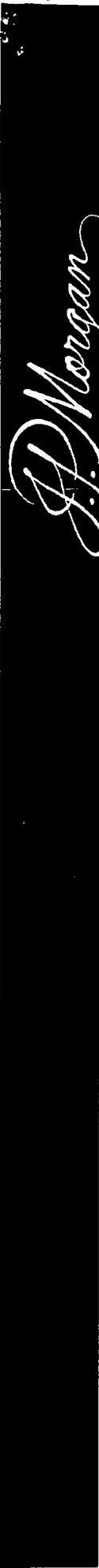


T/W LOUISE HEAD DUNCAN ACCT R74254104
For the Period 10/1/13 to 12/31/13

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
Cash							
US DOLLAR	1 00	218 40	218 40	218 40			
JPM US GOVT PREMIER SWEEP FD #1086	1 00	243,951 13	243,951 13	243,951 13		24 39	0 01 %
7-Day Annualized Yield 01%						7 53	
Total Cash			\$244,169.53	\$244,169.53	\$0.00	\$24.39 \$7.53	0.01 %
US Fixed Income							
JPM STRAT INCOME OPPORT FD - SEL	11 89			0 00		352 26	2 48 %
FUND 3844							
4812A4-35-1							
JPM CORE BOND FD - SEL	11 47			0 00		636 39	2 76 %
FUND 3720							
4812C0-38-1							
Total US Fixed Income			\$0.00	\$0.00	\$0.00	\$0.00 \$988.65	0.00 %

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T/W LOUISE HEAD DUNCAN ACCT R74254104
For the Period 10/1/13 to 12/31/13

Portfolio Activity Summary

Transactions	Current Period Value	Year-To-Date Value*
Beginning Cash Balance	0.00	--
INFLOWS		
Income	66,455.97	155,463.25
Total Inflows	\$66,455.97	\$155,463.25
OUTFLOWS **		
Withdrawals	(2,807.95)	(86,667.03)
Fees & Commissions	(1,848.16)	(6,244.25)
Tax Payments	(5,064.44)	(249.03)
Total Outflows	(\$9,720.55)	(\$93,160.31)
SWEEP ACCOUNT ACTIVITY		
Sweep Account Sales	7,125.85	164,399.98
Sweep Account Purchases	(63,675.33)	(226,548.60)
Total Sweep Account Activity	(\$56,549.48)	(\$62,148.62)
TRADE ACTIVITY		
Settled Sales/Maturities/Redemptions	32.46	64.08
Total Trade Activity	\$32.46	\$64.08
Ending Cash Balance	\$218.40	--

* Year to date information is calculated on a calendar year basis

** Your account's standing instructions use a HIGH COST method for relieving assets from your position

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