

See a Social Security Number? Say Something! Report Privacy Problems to https://public.resource.org/privacy Or call the IRS Identity Theft Hotline at 1-800-908-4490



SCANNED APR 0 % 2.0

Form **990**

Department of the Treasury Internal Revenue Service **Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

2013

Do not enter Social Security numbers on this form as it may be made public.
 Information about Form 990 and its instructions is at www.irs.gov/form990.

Open to Public Inspection

For the 2013 calendar year, or tax year beginning and ending C Name of organization D Employer identification number Check if applicable LOUISE MARSHALL TR U/W FBO CABBAGE PATCH Address change SETTLEMENT HOUSE, INC. Name change 61-6128446 Doing Business As Initial Number and street (or P.O. box if mail is not delivered to street address) Room/suite E Telephone number Termin-502-426-5042 32 GLENWOOD ROAD Amende 1,069,316. City or town, state or province, country, and ZIP or foreign postal code G Gross receipts \$ Applica-tion LOUISVILLE, KY 40222 H(a) Is this a group return pending F Name and address of principal officer: JUNIUS W. PRINCE, Yes 🗓 No for subordinates? 32 GLENWOOD ROAD, LOUISVILLE, 40222 ⊥Yes L⊥No H(b) Are all subordinates included? Tax-exempt status: X 501(c)(3) 501(c) () ◀ (insert no.) 4947(a)(1) or If "No," attach a list (see instructions) J Website: ► N/A H(c) Group exemption number Corporation X Trust K Form of organization: Association Other > L Year of formation: 1997 M State of legal domicile: KY Part I | Summary Briefly describe the organization's mission or most significant activities. TO PROVIDE SUPPORT TO THE Activities & Governance CABBAGE PATCH SETTLEMENT HOUSE, INC. FOR ITS CHARITABLE PURPOSES. Check this box I if the organization discontinued its operations or disposed of more than 25% of its net assets. Number of voting members of the governing body (Part VI, line 1a) 3 Number of independent voting members of the governing body (Part VI, line 1b) 4 5 Total number of individuals employed in calendar year 2013 (Part V, line 2a) 0 5 0 6 Total number of volunteers (estimate if necessary) 6 0. 7 a Total unrelated business revenue from Part VIII, column (C), line 12 7a b Net unrelated business taxable income from Form 990-T, line 34 7h 0. **Prior Year Current Year** RECEIVED 0. 0. Contributions and grants (Part VIII, line 1h) 8 Revenue 0. 0. Program service revenue (Part VIII, line 2g) 3SO-S 10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) 7, 5, 1014 11 Other revenue (Part VIII, column (A), lines 5, 6d;38c, 9c, 10c, and 11e) 201,177 365,411. 1,672 1,978. Total revenue - add lines 8 through 11 (must equal-Part-VIII, column (A), line 12 202,849. 367,389. 12 137,823 Grants and similar amounts paid (Part IX, column (A), lines 1-31 N. 144,740. 0. Benefits paid to or for members (Part IX, column (A), line 4) 0. 14 0. 0. 15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) 16a Professional fundraising fees (Part IX, column (A), line 11e) 0 0. 0. b Total fundraising expenses (Part IX, column (D), line 25) 16,035 17,701. 17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) 153,858 Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25) 162,441. 48,991 204,948. 19 Revenue less expenses Subtract line 18 from line 12 Assets or Balances Beginning of Current Year **End of Year** 3,010,795. 460,900. 20 Total assets (Part X, line 16) 0. 21 Total liabilities (Part X, line 26) 0. 795 22 Net assets or fund balances Subtract line 21 from line 20 900. Part II | Signature Block Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and convolete. Declaration of prepare (other than officer) is based on all information of which preparer has any knowledge Sign UNIUS W. PRINCE, III, TRUSTEE Here Type or print name and title Date Check Print/Type preparer's name Preparer's signature Lenstoor Y Paid P01022180 CHRISTINE N KOENIG self-employed Firm's name DEMING MALONE LIVESAY & OSTROFF Firm's EIN 61-1064249 Preparer Firm's address > 9300 SHELBYVILLE RD STE 1100 Use Only LOUISVILLE, KY 40222-5187 Phone no. (502)426-9660 X Yes May the IRS discuss this return with the preparer shown above? (see instructions)

332001 10-29-13 LHA For Paperwork Reduction Act Notice, see the separate instructions.

Form 990 (2013)

4d	Other p	rogram serv	ices	(Describe in	Schedu	le O.)	
	1-						

Total program service expenses

(Expenses \$ including grants of \$

144 740

) (Revenue \$

144,740.

Form **990** (2013)

4e

Form 990 (2013) SETTLEMENT HOUSE, INC.

Part IV Checklist of Required Schedules

	•		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?			
	If "Yes," complete Schedule A	1_	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2		_X_
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for			
	public office? If "Yes," complete Schedule C, Part I	3_		X
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect			
	during the tax year? If "Yes," complete Schedule C, Part II	4		X
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or			
	sımılar amounts as defined ın Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5		<u>X</u>
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to			
	provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,			
	the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7_		_X_
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete			
	Schedule D, Part III	8_		_X_
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for			
	amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services?			
	If "Yes," complete Schedule D, Part IV	9		_X_
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent			
	endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	10		X
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VIII, IX, or X	1		
	as applicable			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D,			
	Part VI	11a		_X_
b	Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total			
	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b		_X_
С	Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total			
	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c		_X_
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in			
	Part X, line 16? If "Yes," complete Schedule D, Part IX	11d		<u>X</u>
е	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e		_X_
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses			
	the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f		<u> </u>
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete			
	Schedule D, Parts XI and XII	12a		<u>X</u>
b	Was the organization included in consolidated, independent audited financial statements for the tax year?			
	If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b		<u>X</u>
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		<u>X</u>
14a	Did the organization maintain an office, employees, or agents outside of the United States?	_14a		<u> </u>
b	3, 444, 444, 444, 444, 444, 444, 444, 4			
	investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000			v
4-	or more? If "Yes," complete Schedule F, Parts I and IV	14b		<u> </u>
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any			37
40	foreign organization? If "Yes," complete Schedule F, Parts II and IV	15		<u> </u>
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to			37
47	or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	_16		<u> </u>
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX,			v
40	column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I	17		_ <u>X</u> _
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines			v
40	1c and 8a? If "Yes," complete Schedule G, Part II	18	\dashv	<u> </u>
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes,"	40		v
20-	complete Schedule G, Part III Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	19		<u>X</u>
	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20a 20b	\dashv	
	in 199 to line 20a, aid the organization attach a copy of its addited financial statements to this return?		990 (2013\

Form 990 (2013)	SETTLEMENT HOUSE, INC.	<u>61-61284</u>
Part IV Checklist	of Required Schedules (continued)	-

	,		163	110
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or			ŀ
	government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	Х	
22	Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX,			
	column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current			
	and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete			٠,
	Schedule J	23		X
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the			
	last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete	04-		
h	Schedule K. If "No", go to line 25a Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24a		<u> X</u>
	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease	24b		
C	any tax-exempt bonds?	24c		
٨	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a	270		_
ZJa	disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		x
h	is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and	200		
	that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete			
	Schedule L, Part I	25b		x
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or			
	former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If so,			
	complete Schedule L, Part II	26		х
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial			
	contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member			
	of any of these persons? If "Yes," complete Schedule L, Part III	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV			
	instructions for applicable filing thresholds, conditions, and exceptions)			
а	A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28a		X_
b	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28b		X
C	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer,			
	director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV	28c		X
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation			<u> </u>
	contributions? If "Yes," complete Schedule M	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations?			
	If "Yes," complete Schedule N, Part I	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete			
	Schedule N, Part II	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations			х
	sections 301.7701·2 and 301 7701·3? If "Yes," complete Schedule R, Part I	33		
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	34		X
250	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		X
35a	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity	30a		- 21
D	within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?	000		
50	If "Yes," complete Schedule R, Part V, line 2	36		x
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization			 -
٠.	and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		х
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?			
	Note. All Form 990 filers are required to complete Schedule O	38	X	L
		Form		(2013)

Form 990 (2013) SETTLEMENT HOUSE, INC.

Part V Statements Regarding Other IRS Filings and Tax Compliance

Series the number reported in Box 3 of Form 1986. Enter 0-if not applicable 1a 0 1b 0 1b 0 1c 1c 0 1c 1c 0 1c		Check if Schedule O contains a response or note to any line in this Part V			
It from the number of Forms WGB included in the 1a. Enter Q-if not applicable				Yes	No
contributions from the comply with backup withholding rules for reportable payments to vendors and reportable gaming (gamining) withings to prize withings to the contributions of the contributions of the contributions of the contributions of the contributions and part of the contributions with the contributions and part of the contributions under section 170(c). If 'Yes, ' to line is a or 5b, did the organization that it was or is a party to a prohibited tax shelter transaction at any time during the calendary and the contributions and party to a prohibited tax shelter transaction at any time during the calendary and the contributions and party to a prohibited tax shelter transaction at any time during the calendary and the contributions and party to a prohibited tax shelter transaction at any time during the calendary and the contributions and party to a prohibited tax shelter transaction at any time during the calendary and the contributions and party for a prohibited tax shelter transaction at any time during the calendary and the contributions and party for goods and services provided to the page of the contributions that the were not tax deductible as charitable contributions under section 170(c). If 'Yes, ' to line organization are an analysis of \$75 made party as a contribution and party for goods and services provided to the page of the contribution of calendary and to the form 8899 a required to the form 8890 are required to the form 8890 a	1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable			
(gambling) winnings to prize winners? Enter the number of employees reported on Form W3, Transmittal of Wage and Tax Statements. filed for the calendar year ending with or within the year covered by this return by if at least one is reported on hine 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1 a and 2a is greater than 250, you may be required to e-file (see instructions) 3a	b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable 1b 0			l
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, fled for the calendary year ending with or within the year covered by this return. Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions) 3 bit if vegenatation have unrelated business gives present years of 1,000 or more during the year? 3 bit If vege, This it field a Form 990 T for this year? If Y/No. Yo line 3b, provide an explanation in Schedule O 4 bit If vegenatation are unrelated business gives some one of 15 in 1,000 or more during the calendary year, of the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account). 5 bit If vege, there the name of the foreign country (such as a bank account, securities account, or other financial account). 5 bit If vege, the thing requirements for Form TD F 902 21, Report of Foreign Bank and Financial Accounts. 5 bit If vege, the singular of the organization for the form 8886-17 or If Yes, to line 5 or 55b, did the organization that it was or is a party to a prohibited tax shelter transaction? 5 cit If Yes, to line 5 or 55b, did the organization that it was or is a party to a prohibited tax shelter transaction? 5 cit If Yes, the organization have annual gross recepts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? 5 cit If Yes, the organization that were not tax deductible as charitable contributions under section 170(c). 6 bit He organization start may receive deductible contributions under section 170(c). 7 bit If Yes, indicate the number of Forms 8282 filed during the year 8 bit If Yes, indicate the number of Forms 8282 filed during the year 9 bit If Yes, indicate the number of Forms 8282 filed during the year 10 ble the organization during the year, pay permitting, to pay premium	C	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming			
free for the calendary year ending with or within the year covered by this return		(gambling) winnings to prize winners?	1c		
b If a least one is reported on time 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions) 3a Did the organization have unrelated business gross income of \$1,000 or more during the year? 3b If "Yes," has it field a Form 990. To critis year? If "No," to line 3b, provide an explanation in Schedule O 3b A any time during the calendary year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial accountly? 4a X 5b If "Yes," and there the name of the foreign country. ► See instructions for filing requirements for Form TD F 90.22 1, Report of Foreign Bank and Financial Accounts. 5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? 5b If If "Yes," and the organization that it was or it as party to a prohibited tax shelter transaction? 5c If "Yes," to line 5a or 5b, did the organization file Form 8886:17 6c If "Yes," to line 5a or 5b, did the organization file Form 8886:17 6d Dess the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? 7c Organizations that may receive deductible contributions under section 170(c). 8d Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? 7a If Yes," did the organization notify the donor of the value of the goods or services provided? 7b If "Yes," did the organization receive a payment in excess of \$75 made partly as a contribution on a personal benefit contract? 7c If If Yes, and the organization receive a payment in excess of \$75 made partly as a contribution of undersective to the form 8202? 8d If Yes, and the organization receive any funds, directly or indirectly or indirectly on a personal benefit co	2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements,			
Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions) 3		filed for the calendar year ending with or within the year covered by this return			
3a Dit the organization have unrelated business gross income of \$1,000 or more during the year? 4b If Yes,* has it filed a Form 990-T for this year? If 'No,* to line 3b, provide an explanation in Schedule O 4b All any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? 5ch If 'Yes,* there the name of the foreign country See instructions for filing requirements for Form TD F 90/21, Report of Foreign Bank and Financial Accounts. 5ch Was the organization and the filing requirements for Form TD F 90/21, Report of Foreign Bank and Financial Accounts. 5ch Was the organization and the did the organization that if was or is a party to a prohibited tax shelter transaction? 6ch If 'Yes,* to line 5a or 5b, did the organization that if was or is a party to a prohibited tax shelter transaction? 6ch If 'Yes,* to line 5a or 5b, did the organization that if was or is a party to a prohibited tax shelter transaction? 6ch If 'Yes,* to line the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? 7ch Organizations that may receive deductible contributions under section 170(c). 8ch If 'Yes,* did the organization exceve a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? 8ch If 'Yes,* did the organization exceve any funds, directly or indirectly, to pay premiums on a personal benefit contract? 9ch If 'Yes,* did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? 9ch If 'Yes,* did the organization maintaining doner advised funds and services porting organizations. Did the supporting organizations maintaining doner advised funds and services porting organizations. Did the supporting organizations maintaining doner advised funds an account of the payor organizat	b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b		
b if "Yes," has it field a Form 990-T for this year? if "No," to line 3b, provide an explanation in Schedule O A lary time during the calendary year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? A lary time during the calendary year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts. Sa Was the organization a party to a prohibited tax shelter transaction? 5a Was the organization aparty to a prohibited tax shelter transaction? 5b LX of It "Yes," to line 5a or 5b, did the organization file Form 8886-T? 6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible? 6b LY'es," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? 7b Organizations that may receive deductible contributions under section 170(c). 8b If "Yes," did the organization notify the donor of the value of the goods or services provided? 8b If "Yes," indicate the number of Forms 8282 filed during the year 9b Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required? 7c X 7d If the organization during the year, pay premums, directly or indirectly, on a personal benefit contract? 7r Yes," indicate the number of Forms 8282 filed during the year 9b Did the organization maintaining donor advised funds and section 598(a)(3) supporting organizations. Did the supporting organization, or a donor advised funds. 9c Did the organization maintaining donor advised funds and section 598(a)(3) supportin		Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			
4a A tarry time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? b If "Yes," enter the name of the foreign country \boxed See instructions for filing requirements for Form TD F 90.22 1. Report of Foreign Bank and Financial Accounts. 5a Was the organization party to a prohibited tax shelter transaction at any time during the tax year? 5b Was the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as chantable contributions? 6a Does the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? 7 Organizations that may receive deductible contributions under section 170(c). a bd the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? 7 Organizations that may receive deductible contributions under section 170(c). a bd the organization notify the doring of the value of the goods or services provided to the payor? 5b If "Yes," did the organization notify the doring of the value of the goods or services provided to the payor? 7 Organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to the Form 8282? filed during the year 6b If the organization received a contribution of qualified intellectual property, did the organization file Form 8898 as required? 7 If X 7 If the organization received a contribution of cars, boats, arplanes, or other vehicles, did the organization file a Form 1096-C? 8 Spensoring organizations maintaining door advised funds and section 599(a)(3) supporting organizations. Did the supporting organizations maintaining door advised funds. 9 Did the organization make any taxable distribution to a door, donor advised funds. 1	За	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	_	X
the fire the name of the foreign country ► b fires,* enter the name of the foreign country ► see instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts. 5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? 5b Dod any stable party notify the organization that it was or as a party to a prohibited tax shelter transaction? 5b X X	b	If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O	3b		
b if "Yes," enter the name of the foreign country See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts. 5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? 5b Dd any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? 5c If "Yes," to line 5a of 5b, did the organization file Form 8886-7? 5b Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as chantable contributions? 5c If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? 7c Organizations that may receive deductible contributions under section 170(c). 8d bif "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? 7c Organizations that may receive deductible contributions under section 170(c). 8d bif were not tax deductible? 7d Did the organization notify the donor of the value of the goods or services provided? 8d bif "Yes," did the organization notify the donor of the value of the goods or services provided? 8d bif were not asset to the payor? 9d If "Yes," did the organization receive a payment in excess of \$75 made partly as a contribution of tangible personal property for which it was required to the Form 8282? 1d If "Yes," indicates the number of Forms 8282 filed during the year 1d If "Yes," indicates the number of Forms 8282 filed during the year 2d If "Yes," indicates the number of Forms 8282 filed during the year 2d If "Yes," indicates the number of rowns 8282 filed during the year 2d If we organization face a contribution of qualified intellectual property, did the organization file Form 8899 as required? 1d If the organization feed a contribution of qualified intellectual property, did th	4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a			
See instructions for filing requirements for Form TD F90.21 , Report of Foreign Bank and Financial Accounts. 5 a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? 5 b Did any taxable party notify the organization that it was or as party to a prohibited tax shelter transaction? 5 b C 1 "Yes," to line 5 a or 5b, did the organization file Form 8886-T? 5 Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? 5 b If "Yes," did the organization include with every solicitation an express statement that such contributions or grifts were not tax deductible? 7 organizations that may receive deductible contributions under section 170(c). 8 b If "Yes," did the organization notify the donor of the value of the goods or services provided? 8 b If "Yes," indicate the number of Forms 8282 filed during the year of the goods or services provided? 9 b If "Yes," indicate the number of Forms 8282 filed during the year 10 b dit the organization received a contribution of qualified intellectual property, did the organization file Form 8893 as required? 11 b If the organization received a contribution of qualified intellectual property, did the organization file Form 8893 as required? 12 Sponsoring organization maintaining door advised finds and section 59(a)(3) supporting organizations. Did the supporting organization maintaining door advised finds and section 59(a)(3) supporting organizations by the supporting organization in maintaining door advised funds. 12 D did the organization make any taxable distributions under section 4966? 13 Section 501(c)(2) organizations. Enter: 14 In the organization make any taxable distributions under section 4966? 15 Section 501(c)(2) organizations. Enter: 16 Gross income from there sources (D not not amounts due or received from them.) 18 Section 501(c)(12) organizations. Enter: 19 Gross		financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		<u> </u>
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? 5b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? 5c If "Yes," to line 5a or 5b, did the organization file Form 8886-17 6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not it ax deductible as chantable contributions? 6 If "Yes," did the organization include with every solicitation an express statement that such contributions or grifts were not tax deductible? 6 If "Yes," did the organization include with every solicitation an express statement that such contributions or grifts were not tax deductible? 6 If "Yes," did the organization include with every solicitation and express statement that such contributions or grifts were not tax deductible? 6 If "Yes," did the organization include with every solicitation and express statement that such contributions or grifts were not tax deductible? 6 If "Yes," did the organization notify the donor of the value of the goods or services provided? 7 If If the organization express expressed of the sale of the goods or services provided? 8 If "Yes," did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? 9 If the organization received a contribution of qualified intellectual property, did the organization file Form 8999 as required? 9 If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1099-C7? 1 If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1099-C7? 1 If the organization make any taxable distributions under section 4966? 9 If the organization make any taxable distributions under section 4966? 9 If the organization make any taxable distributions under section 4966? 9 If the organization m	þ				
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? 6 If "Yes," to line 5a or 5b, did the organization file Form 8886-17 8 Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? 8 If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? 7 Organizations that may receive deductible contributions under section 170(c). 8 Did the organization set a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? 8 If "Yes," did the organization notify the donor of the value of the goods or services provided? 9 Did the organization set, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? 10 If "Yes," indicate the number of Forms 8282 filed during the year 11 Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? 12 Did the organization received a contribution of qualified intellectual property, did the organization file a Form 1098-C? 13 Spensoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organizations, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? 13 Sponsoring organization make any taxable distributions under section 4966? 14 Did the organization make any atxable distributions under section 4966? 15 Did the organization make a distribution to a donor, donor advisor, or related person? 16 Gross recome from members or shareholders 17 Gross income from members or shareholders 18 Gross recome from there sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 19 Section					
c If "Yes," to line 5a or 5b, did the organization file Form 8886-T? 8 Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? 8 January 17 (*Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? 9 Organizations that may receive deductible contributions under section 170(c). 10 If "Yes," did the organization notify the donor of the value of the goods or services provided to the payor? 10 If "Yes," did the organization notify the donor of the value of the goods or services provided? 11 If "Yes," did the organization notify the donor of the value of the goods or services provided? 12 If "Yes," did the organization notify the donor of the value of the goods or services provided? 13 If "Yes," did the organization notify the donor of the value of the goods or services provided? 14 If "Yes," did the organization notify the donor of the value of the goods or services provided? 15 If "Yes," did the organization notify the donor of the value of the goods or services provided? 16 If the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? 17 If the organization received a contribution of qualified intellectual property, did the organization file a Form 1098-C? 17 If the organization received a contribution of qualified intellectual property, did the organization file a Form 1098-C? 18 Sponsoring organizations maintaining donor advised funds and section 59(a)(3) supporting organization file a Form 1098-C? 19 Sponsoring organizations maintaining donor advised funds. 10 If the organization make any taxable distributions under section 4966? 10 Did the organization make a distribution to a donor, donor advisor, or related person? 11 If "Good in the organization is considered the section 4966? 11 If "Yes," enter the amount of tax-exemp			5a		
Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? Organizations that may receive deductible contributions under section 170(c). In the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? If "Yes," did the organization notify the donor of the value of the goods or services provided? If "Yes," indicate the number of Forms 8282 filed during the year If did for organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? If but the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? If the organization received a contribution of cars, boats, anplanes, or other vehicles, did the organization file Form 8899 as required? Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organizations maintaining donor advised funds. Did the organization make any taxable distributions under section 4966? Did the organization make any taxable distributions under section 4966? Did the organization make any taxable distributions under section 4966? Did the organization make any taxable distributions or advised funds. Section 501(c)(12) organizations. Enter: In intuition fees and capital contributions included on Part VIII, line 12 Gross receipts, included on Form 990, Part VIII, line 12 Gross receipts, included on Form 990, Part VIII, line 12 Gross receipts, included on Form 990, Part VIII, line 12 Gross receipts, included on Form 990, Part VIII, line 12 Section					_X_
any contributions that were not tax deductible as chantable contributions? b Yes, " did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? 7 Organizations that may receive deductible contributions under section 170(c). a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? 7			5c		
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? 7 Organizations that may receive deductible contributions under section 170(c). a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? 5 bi I"Yes," did the organization notify the donor of the value of the goods or services provided? 5 Did the organization self, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? 6 If "Yes," indicate the number of Forms 8282 filed during the year 7 Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? 7 Did the organization receive any funds, directly or indirectly, on a personal benefit contract? 7 Did the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file Form 1098-C? 8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? 9 Sponsoring organizations maintaining donor advised funds. a Did the organization make a distribution to a donor, donor advisor, or related person? 9 Section 501(c)(7) organizations. Enter: a Initiation fees and capital contributions included on Part VIII, line 12 b Gross receipts, included on Form 990, Part VIII, line 12 c Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 11a Section 501(c)(7) organizations. Enter: a Gross income from other sources (Do not net amounts due or paid to other sources against amounts of tax-exempt interest received or accrued during the year 12b Section 501(c)(29) qualified nonprofit health insurance issuers. a is the organization illensed to issue	6a		_		7.5
were not tax deductible? 7 Organizations that may receive deductible contributions under section 170(c). 8 Did the organization self in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? 8 If "Yes," did the organization notify the donor of the value of the goods or services provided? 9 Did the organization self, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? 9 If "Yes," indicate the number of Forms 8282 filed during the year or Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? 9 Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? 10 If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? 11 If the organization received a contribution of cars, boats, anipanes, or other vehicles, did the organization file Form 8899 as required? 12 Sponsoring organizations maintaining donor advised funds and section 509(3)(3) supporting organizations. Did the supporting organization greanizations maintaining donor advised funds. 12 Sponsoring organizations make any taxable distributions under section 4966? 13 Did the organization make any taxable distributions under section 4966? 14 Did the organization make any taxable distributions under section 4966? 15 Did the organization make any taxable distributions under section 4966? 16 Did the organization make any taxable distributions under section 4966? 17 Did the organization members or shareholders 18 Section 501(c)(2) organizations. Enter: 19 Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 19 Section 4947(a)(1) non-exempt charitable trusts, is the organization filing Form 990 in lieu of Form 1041? 19 Section 4947(a)(1) non-exempt interest received or accrued during the year 10 Section 49		·	ба		
7 Organizations that may receive deductible contributions under section 170(c). a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? 7 The bit "Yes," did the organization notify the donor of the value of the goods or services provided? C Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? C If "Yes," indicate the number of Forms 8282 filed during the year Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? To Did the organization received a contribution of qualified intellectual property, did the organization flerom 8999 as required? If the organization received a contribution of caris, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization or a donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization make any taxable distributions under section 4966? Did the organization make any taxable distribution sunder section 4966? Did the organization make any taxable distributions under section 4966? Did the organization make any taxable distributions under section 4966? Did the organization make any taxable distributions under section 4966? Did the organization make any taxable distribution of a donor, donor advised, or related person? Section 501(c)(7) organizations. Enter: Initiation fees and capital contributions included on Part Vill, line 12 Section 501(c)(7) organizations. Enter: Gross income from members or shareholders Bection 501(c)(7) organizations. Enter: Gross income from other sources (Do not net amounts due or pand to other sources against amounts due or received from them.) Section 501(c)(2) organizations incomed to its avexempt interest received or accrued during the year	D		6h		
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? b if "Yes," did the organization notify the donor of the value of the goods or services provided? c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? d if "Yes," indicate the number of Forms 8282 filed during the year Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? 7c	7	·	do		
b If "Yes," did the organization notify the donor of the value of the goods or services provided? c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? d If "Yes," indicate the number of Forms 8282 filed during the year e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? 7 Did the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? If the organization received a contribution of of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-07 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? Sponsoring organization make a distribution or advised funds. a Did the organization make a distribution to a donor, donor advised funds. b Did the organization make any taxable distributions under section 4966? b Did the organization make a distribution to a donor, donor advisor, or related person? 9a Did the organization make any taxable distribution and excess of club facilities 10a			72		x
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? 7c					
to file Form 8282? d if "Yes," indicate the number of Forms 8282 filed during the year Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? 1 Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 1 Did the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? Spensoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? Spensoring organizations maintaining donor advised funds. a Did the organization make any taxable distributions under section 4966? b Did the organization make any taxable distributions under section 4966? b Did the organization make a distribution to a donor, donor advisor, or related person? Section 501(c)(7) organizations. Enter: a Initiation fees and capital contributions included on Part VIII, line 12 b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities Did Gross income from members or shareholders B Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 11a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? b if "Yes," enter the amount of tax-exempt interest received or accrued during the year 13a Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization incensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is require					
d If "Yes," indicate the number of Forms 8282 filed during the year e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? 7e X 7 Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 7g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? 7g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? 7g If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file Form 1098-C? 8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organizations or advised funds and section 509(a)(3) supporting organizations. Did the supporting organizations maintaining donor advised funds. a Did the organization make any taxable distributions under section 4966? b Did the organization make a distribution to a donor, donor advisor, or related person? Section 501(c)(7) organizations. Enter: a Initiation fees and capital contributions included on Part VIII, line 12 b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10a Section 501(c)(12) organizations. Enter: a Gross income from members or shareholders b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 11b 11c 11c 11c 11c 11c 11d 11d	_		7c		Х
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? h If the organization received a contribution of qualified intellectual property, did the organization file a Form 1098-C? Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organizations, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? Sponsoring organizations maintaining donor advised funds. a Did the organization make any taxable distributions under section 4966? b Did the organization make any taxable distributions under section 4966? b Did the organization make any taxable distributions under section 4966? b Did the organization make any taxable distributions under section 4966? b Did the organization make any taxable distributions under section 4966? b Gross receipts, included on Form 990, Part VIII, line 12 c Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 11b Gross income from members or shareholders b Gross income from their sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? b If "Yes," enter the amount of tax-exempt interest received or accrued during the year 12b If "Yes," enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is requi	d	If "Yes," indicate the number of Forms 8282 filed during the year			
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? 8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organizations, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? 9 Sponsoring organizations maintaining donor advised funds. a Did the organization make any taxable distributions under section 4966? b Did the organization make a distribution to a donor, donor advisor, or related person? 10 Section 501(c)(7) organizations. Enter: a Initiation fees and capital contributions included on Part VIII, line 12 b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 110 Section 501(c)(12) organizations. Enter: a Gross income from members or shareholders b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 112 Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? b If "Yes," enter the amount of tax-exempt interest received or accured during the year 12b	е	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e_		X
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? Sponsoring organizations maintaining donor advised funds. a Did the organization make any taxable distributions under section 4966? b Did the organization make a distribution to a donor, donor advisor, or related person? Section 501(c)(7) organizations. Enter: a Initiation fees and capital contributions included on Part VIII, line 12 b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities Gross income from members or shareholders b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? b If "Yes," enter the amount of tax-exempt interest received or accrued during the year 1 Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans C Enter the amount of reserves on hand 13b Light Was in the day and the analytic point these payments? If "No," provide an explanation in Schedule O. 14b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		X
Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? Sponsoring organization make any taxable distributions under section 4966? bid the organization make a distribution to a donor, donor advisor, or related person? Section 501(c)(7) organizations. Enter: a Initiation fees and capital contributions included on Part VIII, line 12 b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b Section 501(c)(12) organizations. Enter: a Gross income from members or shareholders b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? b If "Yes," enter the amount of tax-exempt interest received or accrued during the year 13 Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans c Enter the amount of reserves on hand 13b 12c Liter the amount of reserves on hand 13d Interest the amount of reserves on hand 13d Interest field a Form 720 to report these payments? If "No," provide an explanation in Schedule O. 14a Did the organization is field a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	´ g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? 9 Sponsoring organizations maintaining donor advised funds. a Did the organization make any taxable distributions under section 4966? b Did the organization make a distribution to a donor, donor advisor, or related person? 9 b Did the organizations. Enter: a Initiation fees and capital contributions included on Part VIII, line 12 b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10	h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
9 Sponsoring organizations maintaining donor advised funds. a Did the organization make any taxable distributions under section 4966? b Did the organization make a distribution to a donor, donor advisor, or related person? 9 Section 501(c)(7) organizations. Enter: a Initiation fees and capital contributions included on Part VIII, line 12 b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b 11a 5 Section 501(c)(12) organizations. Enter: a Gross income from members or shareholders b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 11b 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? b If "Yes," enter the amount of tax-exempt interest received or accrued during the year 13 Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans c Enter the amount of reserves on hand 13b 13b 13c 14a 14b 16 "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O. 14b	8				
a Did the organization make any taxable distributions under section 4966? b Did the organization make a distribution to a donor, donor advisor, or related person? Section 501(c)(7) organizations. Enter: a Initiation fees and capital contributions included on Part VIII, line 12 b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b 11 Section 501(c)(12) organizations. Enter: a Gross income from members or shareholders b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? b If "Yes," enter the amount of tax-exempt interest received or accrued during the year 13 Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans c Enter the amount of reserves on hand 13b 13b 14a Did the organization receive any payments for indoor tanning services during the tax year? 14a X b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.			8		<u> X</u>
b Did the organization make a distribution to a donor, donor advisor, or related person? Section 501(c)(7) organizations. Enter: a Initiation fees and capital contributions included on Part VIII, line 12 b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b Section 501(c)(12) organizations. Enter: a Gross income from members or shareholders b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? b If "Yes," enter the amount of tax-exempt interest received or accrued during the year 13 Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans c Enter the amount of reserves on hand 13b c Enter the amount of reserves on hand 14a Did the organization receive any payments for indoor tanning services during the tax year? b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	9				
10 Section 501(c)(7) organizations. Enter: a Initiation fees and capital contributions included on Part VIII, line 12 b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 11 Section 501(c)(12) organizations. Enter: a Gross income from members or shareholders b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? b if "Yes," enter the amount of tax-exempt interest received or accrued during the year 13 Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans c Enter the amount of reserves on hand 13b c Enter the amount of reserves any payments for indoor tanning services during the tax year? 14a X b if "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.		·			
a Initiation fees and capital contributions included on Part VIII, line 12 b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b 11 Section 501(c)(12) organizations. Enter: a Gross income from members or shareholders b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? b If "Yes," enter the amount of tax-exempt interest received or accrued during the year 13 Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans c Enter the amount of reserves on hand 13b 13c 14a X b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O			9b		
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b Section 501(c)(12) organizations. Enter: a Gross income from members or shareholders b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? b if "Yes," enter the amount of tax-exempt interest received or accrued during the year 13 Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans c Enter the amount of reserves on hand 13b 14a Did the organization receive any payments for indoor tanning services during the tax year? b if "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O. 14b					
a Gross income from members or shareholders b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? b If "Yes," enter the amount of tax-exempt interest received or accrued during the year 13 Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans c Enter the amount of reserves on hand 13c 14a Did the organization receive any payments for indoor tanning services during the tax year? b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O					
a Gross income from members or shareholders b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? b If "Yes," enter the amount of tax-exempt interest received or accrued during the year 13 Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans c Enter the amount of reserves on hand 13b 13c 14a X b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O				İ	
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? 12a b If "Yes," enter the amount of tax-exempt interest received or accrued during the year 13 Section 501(c)(29) qualified nonprofit health insurance issuers. 13a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. 13b Interest the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans 13b Interest the amount of reserves on hand 13c Interest the amount of reserves on hand 13c Interest the amount of reserves any payments for indoor tanning services during the tax year? 14a X 14b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O					
amounts due or received from them.) 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? b If "Yes," enter the amount of tax-exempt interest received or accrued during the year 13 Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans c Enter the amount of reserves on hand 13b 13c 14a X b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		· · · · · · · · · · · · · · · · · · ·			
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year 13 Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans c Enter the amount of reserves on hand 13b c Enter the amount of reserves on hand 14a Did the organization receive any payments for indoor tanning services during the tax year? b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O					
13 Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans c Enter the amount of reserves on hand 13c 14a Did the organization receive any payments for indoor tanning services during the tax year? b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O 14b	12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans c Enter the amount of reserves on hand 13b 13b 13c 14a X b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O 14b	b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year 12b			
Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans c Enter the amount of reserves on hand 13c 14a Did the organization receive any payments for indoor tanning services during the tax year? b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O 14b	13	Section 501(c)(29) qualified nonprofit health insurance issuers.			
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans c Enter the amount of reserves on hand 13c 14a Did the organization receive any payments for indoor tanning services during the tax year? b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O 14b	а	Is the organization licensed to issue qualified health plans in more than one state?	13a		
organization is licensed to issue qualified health plans c Enter the amount of reserves on hand 13c 14a Did the organization receive any payments for indoor tanning services during the tax year? 14a X 15b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O 14b		Note. See the instructions for additional information the organization must report on Schedule O.			
c Enter the amount of reserves on hand 13c 14a Did the organization receive any payments for indoor tanning services during the tax year? b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O 14b	b	Enter the amount of reserves the organization is required to maintain by the states in which the			
14a Did the organization receive any payments for indoor tanning services during the tax year? b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O 14b		· · · · · · · · · · · · · · · · · · ·			
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O					
					<u>_X</u>
	b	it "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		000	(0040)

61-6128446

Page 6

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

	Check if Schedule O contains a response or note to any line in this Part VI					\mathbf{X}
Sec	tion A. Governing Body and Management					<u> </u>
<u> </u>	to the desired state of the sta				Yes	No
19	Enter the number of voting members of the governing body at the end of the tax year	1a	l 3		163	-110
14	If there are material differences in voting rights among members of the governing body, or if the governing	IG		1		
	body delegated broad authority to an executive committee or similar committee, explain in Schedule O.					
b	Enter the number of voting members included in line 1a, above, who are independent	1b	3			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship			i		
_	officer, director, trustee, or key employee?	p with	arry outlet	2		Х
3	Did the organization delegate control over management duties customarily performed by or under the	e direc	et sunervision			
•	of officers, directors, or trustees, or key employees to a management company or other person?	000	A Supervision	3		X
4	Did the organization make any significant changes to its governing documents since the prior Form	990 ws	is filed?	4		X
5	Did the organization become aware during the year of a significant diversion of the organization's as		is filed:	5		X
6	Did the organization have members or stockholders?			6		X
_	Did the organization have members, stockholders, or other persons who had the power to elect or a	nnoint	one or			
, ,	more members of the governing body?	ppomit		7a		Х
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, s	tockh	olders or	74		-11
	persons other than the governing body?	, tooki k	3140.0, 01	7b		х
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the ye	ar hv th	e following:			
_	The governing body?	ui by tii	o tonoving.	8a		Х
	Each committee with authority to act on behalf of the governing body?			8b		X
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be rea	ched :	at the	0.5		- 11
3	organization's mailing address? If "Yes," provide the names and addresses in Schedule O	ionica i	20 000	9		X
Sec	tion B. Policies (This Section B requests information about policies not required by the Internal R	evenue	Code)			
			,		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?			10a	700	X
	If "Yes," did the organization have written policies and procedures governing the activities of such c	hapter	s. affiliates.	100		
	and branches to ensure their operations are consistent with the organization's exempt purposes?	•	,	10b		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing boo	y befo	re filing the form?	11a		X
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990		•			
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13			12a		х
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise	to con	flicts?	12b		
С	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Y	es," de	escribe			
	in Schedule O how this was done			12c		
13	Did the organization have a written whistleblower policy?			13		Х
14	Did the organization have a written document retention and destruction policy?			14		Х
15	Did the process for determining compensation of the following persons include a review and approve	al by in	dependent			
	persons, comparability data, and contemporaneous substantiation of the deliberation and decision?					
а	The organization's CEO, Executive Director, or top management official		•	15a		Х
b	Other officers or key employees of the organization			15b		X
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)					
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrange	ment w	rith a			
	taxable entity during the year?			16a		X
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate	te its p	articipation			
	in joint venture arrangements under applicable federal tax law, and take steps to safeguard the orga	nızatıo	n's			
	exempt status with respect to such arrangements?			16b		
Sec	tion C. Disclosure					
17	List the states with which a copy of this Form 990 is required to be filed ►KY					
18	Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-1	(Sect	on 501(c)(3)s only) a	ıvaılab	le	
	for public inspection. Indicate how you made these available. Check all that apply					
	Own website Another's website X Upon request Other (explain	ın Sch	nedule O)			
19	Describe in Schedule O whether (and if so, how), the organization made its governing documents, co	nflict o	of interest policy, and	d finar	icial	
	statements available to the public during the tax year.					
20	State the name, physical address, and telephone number of the person who possesses the books a	nd rec	ords of the organizat	tion 🕨		
	JUNIUS W. PRINCE, III - 502-426-5042					
	32 GLENWOOD ROAD, LOUISVILLE, KY 40222					

SETTLEMENT HOUSE, INC. 61-6128446

Page 7

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated **Employees, and Independent Contractors**

Check if Schedule O	contains a	response	or note	to any	line in t	his Part VII
Olieck ii Ochedule O	CONTAINS	I CODOLIGE	01 11010	to ally	11110 III L	IIIO I alt VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year
- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's current key employees, if any See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors, institutional trustees, officers; key employees; highest compensated employees, and former such persons

X Check this box if neither the organization (A)	(B)	(C)						(D)	(E)	(F)
Name and Title	Average	Position					200	Reportable	Reportable	Estimated
	hours per	(do not check more than one box, unless person is both an				is bot	h an	compensation	compensation	amount of
	week	-	cer an	nd a d	recto	or/trus	tee)	from	from related	other
	(list any	ector						the	organizations	compensation
	hours for	声	es			ated		organization	(W-2/1099-MISC)	from the
	related	ustee	truste		يو	bens		(W-2/1099-MISC)		organization
	organizations	nal fri	onal		el e	E 29				and related
	below line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			organizations
(1) JUNIUS W. PRINCE, III	1.00	=		0		Ξ &	ш.	<u> </u>		·
TRUSTEE		х						0.	0.	0.
(2) DAVID A. WOMBWELL	1.00									
TRUSTEE		X						0.	0.	0.
(3) STUART FERGUSON	1.00							_		_
TRUSTEE		Х						0.	0.	0.
		-				┢				
	<u></u>									
	-									
	-									
								- -	·	
		لــــا				I				

482101 1

	compensation from the organization			,
			Yes	No
3	Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on			
	line 1a? If "Yes," complete Schedule J for such individual	3		X
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization			
	and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	4		X
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services			
	rendered to the organization? If "Yes," complete Schedule J for such person	5		X
Sec	ction B. Independent Contractors			

Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address NONE	(B) Description of services	(C) Compensation
2 Total number of independent contractors (including but not limited to those liss \$100,000 of compensation from the organization 0	ted above) who received more than	

Form 990 (2013)

Form 990 (2013) SETTLEMENT HOUSE, INC.

Part VIII | Statement of Revenue

L		Check if Schedule O con	tains a response	or note to any lin	e in this Part VIII			
	• •				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	Revenue excluded from tax under sections 512 - 514
nts nts	1 a	Federated campaigns	1a					
irar	b	Membership dues	1b					
A,G	С	Fundraising events	1c					
ar /		Related organizations	1d					,
s, G		Government grants (contribu	<u> </u>					
ion		All other contributions, gifts, gran	· -					
but		similar amounts not included abo						
Öğ	a	Noncash contributions included in line						
Contributions, Gifts, Grants and Other Similar Amounts	_	Total. Add lines 1a-1f						
				Business Code			-	
ice	2 a	L <u></u>				_		
ervi e	b	·						
Sugar	C	·						
ran lev	d	l						
Program Service Revenue	е	·						
ط	f	All other program service rev	enue					
	g	Total. Add lines 2a-2f		. ▶			. <u></u>	<u> </u>
	3	Investment income (ıncludıng	g dividends, intere	est, and				
		other sımılar amounts)		▶	83,201.	83,201.		
	4	Income from investment of ta	ax-exempt bond p	roceeds 🕨				
	5	Royalties		.				_
			(ı) Real	(II) Personal				
	6 a	Gross rents						
	b	Less. rental expenses						
	С	: Rental income or (loss)						
	d	Net rental income or (loss)		•	·····			
	7 a	Gross amount from sales of	(i) Securities	(II) Other				
		assets other than inventory	984,137.					
	b	Less: cost or other basis						
		and sales expenses	701,927.					
		: Gain or (loss)	282,210.	L	000 010			
		Net gain or (loss)		_	282,210.			282,210.
ne	8 a	Gross income from fundraisir	•					
Other Revenu		including \$	of					
Re		contributions reported on line	•	1				
Jer		Part IV, line 18	a					
₽	_	Less direct expenses	b					
		Net income or (loss) from fun	=					
	9 а	Gross income from gaming a						1
	L	Part IV, line 19 Less. direct expenses	a					1
		 Net income or (loss) from gan 	b bung activities					
		Gross sales of inventory, less						
	то а	and allowances						
	h	Less: cost of goods sold	a b					
		: Net income or (loss) from sale		•				
		Miscellaneous Revent		Business Code				
	11 2	MISCELLANEOUS		900099	3,653.			3,653.
		POWERSHARES K-1	<u> </u>	900099	<1,675.	> <1,675.	.	, -, -, -, -, -, -, -, -, -, -, -, -,
	C			70000				
	d					-		
	_	Total. Add lines 11a-11d		•	1,978.			
	12	Total revenue. See instructions.			367,389.	81,526.	0.	285,863.
33200 10-29	9							Form 990 (2013)

61-6128446 Page 10

Form 990 (2013) SETTLEMENT HOUSE, INC.

Part IX Statement of Functional Expenses

<u>sect</u>	ion 501(c)(3) and 501(c)(4) organizations must comp Check if Schedule O contains a respons			ompiete column (A).	
Do	not include amounts reported on lines 6b,	(A)	(B)	(C)	(D)
	8b, 9b, and 10b of Part VIII.	Total expenses	Program service expenses	Management and general expenses	Fundraising expenses
1	Grants and other assistance to governments and				
	organizations in the United States. See Part IV, line 21	144,740.	144,740.		
2	Grants and other assistance to individuals in				<u>.</u>
	the United States See Part IV, line 22	_			
3	Grants and other assistance to governments,				
	organizations, and individuals outside the				
	United States. See Part IV, lines 15 and 16				
4	Benefits paid to or for members				
5	Compensation of current officers, directors,				
	trustees, and key employees				
6	Compensation not included above, to disqualified				
	persons (as defined under section 4958(f)(1)) and				
	persons described in section 4958(c)(3)(B)				
7	Other salaries and wages				
8	Pension plan accruals and contributions (include				
	section 401(k) and 403(b) employer contributions)				
9	Other employee benefits				
10	Payroll taxes				
11	Fees for services (non-employees)				
а	Management				
b	Legal		 .		
С	Accounting	800.		800.	
d	Lobbying .		· · · · · · · · · · · · · · · · · · ·		
e	Professional fundraising services. See Part IV, line 17				
f	Investment management fees				
g	Other. (If line 11g amount exceeds 10% of line 25,				
	column (A) amount, list line 11g expenses on Sch 0.)				
12	Advertising and promotion				
13	Office expenses				
14	Information technology				
15	Royalties				
16	Occupancy				
17	Travel				
18	Payments of travel or entertainment expenses				
	for any federal, state, or local public officials				
19	Conferences, conventions, and meetings				
20	Interest				
21	Payments to affiliates				
22	Depreciation, depletion, and amortization				
23	Insurance				
24	Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule 0.)				
а	INVESTMENT ADVISORY FEE	16,190.	_	16,190.	
b	MISCELLANEOUS	711.		711.	-
c					
d					
-	All other expenses			-	
25	Total functional expenses. Add lines 1 through 24e	162,441.	144,740.	17,701.	0.
26	Joint costs. Complete this line only if the organization	-	•		
	reported in column (B) joint costs from a combined	İ	İ	İ	
	educational campaign and fundraising solicitation.				
	Check here If following SOP 98-2 (ASC 958-720)				

Form 990 (2013)
Part X Balance Sheet

SETTLEMENT_HOUSE, INC.

		Check if Schedule O contains a response or not	e to any line in this Part X			
	<u> </u>			(A) Beginning of year		(B) End of year
	1	Cash - non-interest-bearing			1	
	2	Savings and temporary cash investments	_	83,600.	2	150,149
	3	Pledges and grants receivable, net			3	
	4	Accounts receivable, net			4	
	5	Loans and other receivables from current and for	rmer officers, directors,			
		trustees, key employees, and highest compensation	ated employees. Complete			
		Part II of Schedule L		··	5	
	6	Loans and other receivables from other disquali	fied persons (as defined under			
		section 4958(f)(1)), persons described in section	4958(c)(3)(B), and contributing		İ	
		employers and sponsoring organizations of sect	ion 501(c)(9) voluntary		.	
}		employees' beneficiary organizations (see instr).	Complete Part II of Sch L		6	
	7	Notes and loans receivable, net			7	
١ ١	8	Inventories for sale or use			8	
	9	Prepaid expenses and deferred charges			9	
1	10a	Land, buildings, and equipment cost or other				
		basis Complete Part VI of Schedule D	10a			
	b	Less: accumulated depreciation	10b		10c	
1	11	Investments - publicly traded securities	_	2,927,195.	11	3,310,751
1	12	Investments - other securities See Part IV, line 1	1		12	
1	13	Investments - program-related. See Part IV, line	11		13	
1	14	Intangible assets	_		14	
1	15	Other assets. See Part IV, line 11	_		15	
1	16	Total assets. Add lines 1 through 15 (must equ	al line 34)	3,010,795.	16	3,460,900
1	17	Accounts payable and accrued expenses	ļ		17	
1	18	Grants payable	_		18	·-· · · · · · · · · · · · · · · · · · ·
1	19	Deferred revenue	<u> </u>		19	·- <u></u>
- 1	20	Tax-exempt bond liabilities	<u> </u>		20	
	21	Escrow or custodial account liability Complete I			21	_ ;=
3 2	22	Loans and other payables to current and former			- 1	
		key employees, highest compensated employee	es, and disqualified persons.			
		Complete Part II of Schedule L	-	-	22	
2		Secured mortgages and notes payable to unrela	· · · · · · · · · · · · · · · · · · ·		23	
	24	Unsecured notes and loans payable to unrelated	·		24	· · · · · · · · · · · · · · · · · · ·
2	25	Other liabilities (including federal income tax, pa	1			
		parties, and other liabilities not included on lines	17-24). Complete Part X of			
۔ ا		Schedule D	-	0.	25	
+	<u> 26</u>	Total liabilities. Add lines 17 through 25	Valuations N V and	U•	26	0
		Organizations that follow SFAS 117 (ASC 958				
!	7	complete lines 27 through 29, and lines 33 an Unrestricted net assets	a 34.	3,010,795.	07	3,460,900
	27	Temporarily restricted net assets	·	3,010,793.	27	3,400,900
	28 29	Permanently restricted net assets	-	··	28 29	-
] 1	29	Organizations that do not follow SFAS 117 (A	SC 059) shock bors	·	29	
:		and complete lines 30 through 34.	SC 936), Check here			
2 2 2 3 3 3 3	30	Capital stock or trust principal, or current funds			30	
} 3		Paid-in or capital surplus, or land, building, or eq	· Lunment fund		31	·
: 3	31 22	Retained earnings, endowment, accumulated in	· · · · · · · · · · · · · · · · · · ·		32	
: °	32 33	Total net assets or fund balances	Come, or other lunus	3,010,795.	33	3,460,900
1 3	, ,	TOTAL HEL ASSELS OF TUHO DAIGHCES	• -	3,010,795.	34	3,460,900

LOUISE MARSHALL TR U/W FBO CABBAGE PATCH

Form	990 (2013) SETTLEMENT HOUSE, INC.	61-6	5128446	Pag	ge 12
Pai	rt XI Reconciliation of Net Assets				
	Check if Schedule O contains a response or note to any line in this Part XI				
1	Total revenue (must equal Part VIII, column (A), line 12)	1			<u>89.</u>
2	Total expenses (must equal Part IX, column (A), line 25)	2			<u>41.</u>
3	Revenue less expenses Subtract line 2 from line 1	3			<u>48.</u>
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	3,010		
5	Net unrealized gains (losses) on investments	5	245	<u>, 1</u>	<u>57.</u>
6	Donated services and use of facilities	6			
7	Investment expenses	7			
8	Prior period adjustments .	8			
9	Other changes in net assets or fund balances (explain in Schedule O)	9			0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33,				
	column (B))	10	3,460	9,9	<u>00.</u>
Pa	rt XII Financial Statements and Reporting				
	Check if Schedule O contains a response or note to any line in this Part XII				للـــــــــــــــــــــــــــــــــــــ
				Yes	No
1	Accounting method used to prepare the Form 990 X Cash Accrual Other		_		
	If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule	Ο.			}
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	•	2a		X
1	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed	on a			
	separate basis, consolidated basis, or both.			Ì	
	Separate basis Consolidated basis Both consolidated and separate basis				
b	Were the organization's financial statements audited by an independent accountant?		2b		_X_
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separat	e basıs,			
	consolidated basis, or both:				
	Separate basis Consolidated basis Both consolidated and separate basis				
C	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the	e audıt,			
	review, or compilation of its financial statements and selection of an independent accountant?		2c		
	If the organization changed either its oversight process or selection process during the tax year, explain in Sch	edule O	1 1		
За	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Si	ngle Audı	t		
	Act and OMB Circular A-133?		3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the requi	ired audi	t		
	or audits, explain why in Schedule O and describe any steps taken to undergo such audits		3b		

Form **990** (2013)

SCHEDULE A

(Form 990 or 990-EZ)

Name of the organization

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Attach to Form 990 or Form 990-EZ.

2013

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

LOUISE MARSHALL TR U/W FBO CABBAGE PATCH

Employer identification number

			SELLIEM	ENI HOOSE, I	INC •		 				1-0140	44	<u> </u>
Pa	rt I	Reason	for Public Char	ity Status (All organiz	zations mu	st complet	te this par	t.) See ins	tructions	_			
Γhe	organ	ization is not a	a private foundation	because it is. (For lines	1 through	11, check	only one b	oox)					
1		A church, cor	nvention of churches	s, or association of chur	ches desc	ribed in se	ction 170	(b)(1)(A)(i).				
2		A school des	cribed in section 17	'0(b)(1)(A)(ii). (Attach Sc	hedule E)								
3		A hospital or	a cooperative hospi	tal service organization	described	ın section	170(b)(1)	(A)(iii).					
4		A medical res	search organization	operated in conjunction	with a hos	pital desci	ribed in se	ection 170	(b)(1)(A)(i	ii). Enter	the hospital	l's na	me,
		city, and stat	е							-			
5		An organizati	on operated for the	benefit of a college or u	niversity ov	wned or op	perated by	a govern	mental un	ıt describ	ed in		
			(b)(1)(A)(iv). (Comple		•		_	_					•
6				ent or governmental uni	t describe	d in sectio	n 170(b)(1)(A)(v).					
7			-	eives a substantial part					or from the	e general	public desc	ribed	ın
-		_	b)(1)(A)(vi). (Comple	•			9			90	p		
8				section 170(b)(1)(A)(vi).	(Complete	Part II \							
9	一			eives. (1) more than 33			rom contr	ibilitions n	nemhersh	ın foes a	ind arnee ra	ceinte	from
Ŭ		•		nctions - subject to certa		• •				•	•	•	
			•	axable income (less sec	•		•			• •	•		
			509(a)(2). (Complete	•		D, HOIH BU	0111033031	acquired L	y the orga	ai iizatioi i	arter ourie c	,0, 13	75.
10			• • • • • • • • • • • • • • • • • • • •	perated exclusively to te	est for publ	ic safety S	See sectio	n 509(a)(4)				
11	\mathbf{x}	•	-	perated exclusively for the	-				•	v out the	nurnoses (of one	or
• •		•	-	ations described in secti		-				•	• •		· Oi
				organization and compl	,	-		-, 000 30	5000	u)(O). On		· criqc	
		a Type	· · · · · · · · · · · · · · · · · · ·		ype III - Fu	_			Tvr	e III - No	n-functional	llv inte	egrated
_	\mathbf{x}		-	at the organization is not	• •	•	•					-	-
·		•	•	han one or more publicl		•	•	•		•	•		
f			=	ten determination from		=				υ(α)(1) Ο ί	30000011300	/(G)(Z)	•
٠		_	rganization, check th			at it is a 1y	pe i, Type	ii, oi Typi	5 111				
~			-	organization accepted ai	ny aift or c	 ontribution	from any	of the foll	owing per	eone?			
g		_		irectly controls, either a							,	Yes	No
				upported organization?	ione or tog	eulei wiul	persons	Jescribed	iii (ii) aiid	(III) DelOW		165	No X
		_		n described in (i) above?)						11g(i)	 	X
			· · · · · · · · · · · · · · · · · · ·	person described in (i)		2					11g(ii) 11g(iii)		X
h				about the supported or							[1 1 <u>9</u> (111)		
h		Frovide trie i	ollowing information	about the supported of	yarıızatıorı	(3)							
					(iv) le the c	organization	(v) Did vo	u notify the	(vi) !	s the			
(i)		of supported	(ii) EIN	(iii) Type of organization (described on lines 1-9		sted in your		ion in col.	organizati	on in col.	(vii) Amount		onetary
	orga	anization		above or IRC section		document?			(i) organi U.S	ea in the 5.7	i Sup	port	
				(see instructions))	Yes	No	Yes	No	Yes	No			
יבי	BBA	CF.	· · · · · · · · · · · · · · · · · · ·		100				1.00				
			61-0458359	a	x		х		х		11	1 -	740.
	1 (11	DELLE	01 0430333	<u> </u>	1 21		- 21					= , ,	1 10 .
									-				
			_	-				<u> </u>					
		·											
lota		1							1		14	4.5	740.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2013

332021 09-25-13

Schedule A (Form 990 or 990-EZ) 2013					_	Pag
Part II Support Schedule for	Organizations	Described in	Sections 170	(b)(1)(A)(iv) and	d 170(b)(1)(A)(vi)
(Complete only if you check fails to qualify under the test				on failed to qualify i	under Part III If the	e organization
Section A. Public Support						
Calendar year (or fiscal year beginning in)	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total

	MOIT / II UDIIO OUPPOIT						
Cale	ndar year (or fiscal year beginning in) 🕨	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1	Gifts, grants, contributions, and						
	membership fees received. (Do not						
	ınclude any "unusual grants.")						
2	Tax revenues levied for the organ-						
	ızatıon's benefit and either paid to						
	or expended on its behalf				<u> </u>		
3	The value of services or facilities						
	furnished by a governmental unit to						
	the organization without charge				<u> </u>		
	Total. Add lines 1 through 3					<u> </u>	
5	The portion of total contributions					ì	
	by each person (other than a						
	governmental unit or publicly						
	supported organization) included on line 1 that exceeds 2% of the						
	amount shown on line 11,						
	column (f)						
6	**		<u> </u>				
	Public support. Subtract line 5 from line 4	! <u> </u>		!	<u> </u>		<u> </u>
	ndar year (or fiscal year beginning in)	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
	Amounts from line 4	(4) 2000	(3) 20.0	(6) 25 / 1	10,10,12	(0) 2010	(1) 1014
8	Gross income from interest.		• -				
-	dividends, payments received on						
	securities loans, rents, royalties						
	and income from similar sources						
9	Net income from unrelated business						
	activities, whether or not the						
	business is regularly carried on .						
10	Other income. Do not include gain	İ		1			
	or loss from the sale of capital						
	assets (Explain in Part IV)				_		
11	Total support. Add lines 7 through 10						
	Gross receipts from related activities,	· ·	•			12	
13	First five years. If the Form 990 is for	=	s first, second, thir	d, fourth, or fifth t	ax year as a section	on 501(c)(3)	. —
800	organization, check this box and store ction C. Computation of Publ		rcentage			<u> </u>	
	<u> </u>			noluma (f)		44	
	Public support percentage for 2013 (I Public support percentage from 2012		•	column (i))		15	<u>%</u>
	33 1/3% support test - 2013. If the o			n line 13, and line	14 is 33 1/3% or i		
.00	stop here. The organization qualifies	-			11.000 17070 011	noro, oncon ano oc	⊳ □
b	33 1/3% support test - 2012. If the o		•	-	d line 15 is 33 1/3%		nis box
_	and stop here. The organization qual	-				· · · · · · · · · · · · · · · · ·	▶ □
17a	10% -facts-and-circumstances tes		•		e 13, 16a, or 16b,	and line 14 is 10%	or more,
	and if the organization meets the "fac	-					•
	meets the "facts-and-circumstances"			•	•	3	. ▶□
b	10% -facts-and-circumstances tes	=			=	17a, and line 15 is	10% or
	more, and if the organization meets th	ne "facts-and-circu	mstances" test, cl	heck this box and	stop here. Explai	n in Part IV how the	•
	organization meets the "facts-and-circ	cumstances" test	The organization o	qualifies as a publi	cly supported org	anization	▶
18	Private foundation. If the organization	n did not check a	box on line 13, 16	a, 16b, 17a, or 17l	b, check this box	and see instruction	<u>s</u> ▶□
					Sch	edule A (Form 990	or 990-EZ) 2013

332022 09-25-13

Part III | Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support	iow, picase com	piete i ait ii.j				
Calendar year (or fiscal year beginning in)	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and						
membership fees received (Do not						
include any "unusual grants ")				•		
2 Gross receipts from admissions,						
merchandise sold or services per-						
formed, or facilities furnished in						
any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that				•		
are not an unrelated trade or bus-						
iness under section 513						
4 Tax revenues levied for the organ-						
ization's benefit and either paid to						
or expended on its behalf					 	
5 The value of services or facilities						
furnished by a governmental unit to						
the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and						
3 received from disqualified persons						
b Amounts included on lines 2 and 3 received						
from other than disqualified persons that exceed the greater of \$5,000 or 1% of the						
amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6)						
Section B. Total Support						-
Calendar year (or fiscal year beginning in)	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6		, ,	•			
10a Gross income from interest,			·			-
dividends, payments received on						
securities loans, rents, royalties and income from similar sources		iii				
b Unrelated business taxable income						
(less section 511 taxes) from businesses						
acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business						
activities not included in line 10b,						
whether or not the business is						
regularly carried on 12 Other income. Do not include gain						
or loss from the sale of capital						
assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11, and 12)		<u> </u>		j		
14 First five years. If the Form 990 is for t	he organization's	s first, second, thir	d, fourth, or fifth ta	ax year as a section	on 501(c)(3) organız	ation,
check this box and stop here						>
Section C. Computation of Public					F	*
15 Public support percentage for 2013 (lin		=	olumn (f))		15	%
16 Public support percentage from 2012 S		•••			16	%
Section D. Computation of Invest					r - r	
17 Investment income percentage for 201			ne 13, column (f))		17	%
18 Investment income percentage from 20					18	
19a 33 1/3% support tests - 2013. If the o	rganızatıon dıd r	not check the box	on line 14, and line	e 15 is more than :	33 1/3%, and line 1	7 is not
more than 33 1/3%, check this box and		-	-			
b 33 1/3% support tests - 2012. If the o	rganization did r	not check a box on	line 14 or line 19a	a, and line 16 is m	ore than 33 1/3%, a	and
line 18 is not more than 33 1/3%, chec	k this box and s	top here. The orga	nızatıon qualifies	as a publicly supp	orted organization	▶□
20 Private foundation. If the organization	did not check a	box on line 14, 19	a, or 19b, check th	nis box and see in	structions	▶□

LOUISE MARSHALL TR U/W FBO CABBAGE PATCH Schedule A (Form 990 or 990-EZ) 2013 SETTLEMENT HOUSE, INC. 61-6128446 Page 4 Part IV Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

SCHEDULE I (Form 990)

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

► Attach to Form 990.

2013

Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990. LOUISE MARSHALL TR U/W FBO CABBAGE PATCH

SETTLEMENT HOUSE,

Name of the organization

Department of the Treasury Internal Revenue Service

Open to Public Inspection.

Employer identification number

61-6128446

Part I General Information on Grants and Assistance	and Assistance							
1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection	s to substantiate the	amount of the grants	or assistance, the	grantees' eligibility	for the grants or ass	istance, and the select		
criteria used to award the grants or assistance?	sistance?				•		X Yes No	
2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.	rocedures for moni	oring the use of grant	funds in the United	States.				
Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any	Governments and	Organizations in the	United States. C	omplete if the orga	nization answered "Y	es" to Form 990, Part I	V, line 21, for any	
recipient that received more than \$5,000. Part II can be duplicated	\$5,000 Part II can	be duplicated if additi	if additional space is needed	led.				
1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance	
CABBAGE PATCH SETTLEMENT HOUSE, INC 1413 SOUTH SIXTH STREET - LOHISVILLE KY 40208	61-0458359	501(0)(3)	144 740	O			OPERATTING EXPENSES	
1								
								1
2 Enter total number of section 501(c)(3) and government organizations list	and government or	tions list	ted in the line 1 table				1.	
-1	ns listed in the line	1 table					.0	
LHA For Paperwork Reduction Act Notice, see the Instructions for Form	e, see the Instruct	ions for Form 990.					Schedule I (Form 990) (2013)	_

LOUISE MARSHALL TR U/W FBO CABBAGE PATCH

SETTLEMENT HOUSE, INC.

Schedule I (Form 990) (2013)

61-6128446 Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22 Part III can be duplicated if additional space is needed Part III

Page 2

(f) Description of non-cash assistance (e) Method of valuation (book, FMV, appraisal, other) Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information (d) Amount of non-cash assistance (c) Amount of cash grant (b) Number of recipients (a) Type of grant or assistance PART I, LINE 2:

EXPLANATION: GRANT FUNDS ARE AWARDED PER TERMS OF THE TRUST AGREEMENT.

Schedule I (Form 990) (2013) 18 332102 10-29-13

SCHEDULE 0

Internal Revenue Service

(Form 990 or 990-EZ) Department of the Treasury

Supplemental Information to Form 990 or 990-EZ complete to provide information for responses to specific questions on

Form 990 or 990-EZ or to provide any additional information.

OMB No 1545-0047

➤ Attach to Form 990 or 990-EZ. ► Information about Schedule Q (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990. Inspection

Name of the organization

LOUISE MARSHALL TR U/W FBO CABBAGE PATCH SETTLEMENT HOUSE TNC.

Employer identification number 61-6128446

FORM 990, PART VI, SECTION A, LINE 8A: EXPLANATION: NO MEETINGS OF GOVERNING BODY HELD OR WRITTEN ACTIONS
EXPLANATION: NO MEETINGS OF GOVERNING BODY HELD OR WRITTEN ACTIONS
UNDERTAKEN DURING THE YEAR BY THE GOVERNING BODY.
FORM 990, PART VI, SECTION A, LINE 8B:
EXPLANATION: THE GOVERNING BODY DOES NOT HAVE SUB COMMITTEES.
FORM 990, PART VI, SECTION B, LINE 11:
EXPLANATION: CURRENTLY, NO REVIEW OF FORM 990 BY THE GOVERNING BODY PRIOR
TO FILING.
FORM 990, PART VI, SECTION C, LINE 19:
EXPLANATION: GOVERNING DOCUMENTS AND FINANCIAL STATEMENTS ARE NOT MADE
AVAILABLE TO THE PUBLIC.