Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation

THE PATNI FAMILY FOUNDATION, INC.

A Employer identification number

61-1652581

Number and street (or P O box number if mail is not delivered to street address): 1105 N. MARKET STREET

Room/suite: 1300

B Telephone number

617-696-8900

City or town, state or province, country, and ZIP or foreign postal code

WILMINGTON, DE 19801

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust [X] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 3,469,789.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received	1,000,000.		N/A	
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	3,626.	3,626.		STATEMENT 1
	4	Dividends and interest from securities	41,392.	41,392.		STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	59,965.			
	b	Gross sales price for all assets on line 6a 784,994.				
	7	Capital gain net income (from Part IV, line 2)		59,965.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	**Total.** Add lines 1 through 11	1,104,983.	104,983.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees STMT 3	7,000.	0.		7,000.
	b	Accounting fees STMT 4	4,960.	0.		4,960.
	c	Other professional fees				
	17	Interest				
	18	Taxes STMT 5	169.	0.		169.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 6	28,901.	28,901.		0.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	41,030.	28,901.		12,129.
	25	Contributions, gifts, grants paid	9,005.			9,005.
	26	**Total expenses and disbursements.** Add lines 24 and 25	50,035.	28,901.		21,134.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	1,054,948.			
	b	**Net investment income** (if negative, enter -0-)		76,082.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	114,337.	93,225.	93,225.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	2,049,688.	3,376,564.	3,376,564.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,164,025.	3,469,789.	3,469,789.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,164,025.	3,469,789.	
	30 **Total net assets or fund balances**	2,164,025.	3,469,789.	
	31 **Total liabilities and net assets/fund balances**	2,164,025.	3,469,789.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,164,025.
2	Enter amount from Part I, line 27a	2	1,054,948.
3	Other increases not included in line 2 (itemize) ▶ INVESTMENTS AT FMV	3	250,816.
4	Add lines 1, 2, and 3	4	3,469,789.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,469,789.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	784,994.		725,029.	59,965.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				59,965.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	59,965.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	15,649.	1,517,834.	.010310
2011	81,001.	149,152.	.543077
2010			
2009			
2008			

2 **Total** of line 1, column (d)	2	.553387
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.276694
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,310,968.
5 Multiply line 4 by line 3	5	639,431.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	761.
7 Add lines 5 and 6	7	640,192.
8 Enter qualifying distributions from Part XII, line 4	8	21,134.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	1,522.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	1,522.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	1,522.
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 3,400.		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	3,400.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		8	4.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	1,874.
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 1,874. **Refunded** ▶		11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 8	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ THOMAS J O'CONNOR, CPA Telephone no. ▶ 617-696-8900

Located at ▶ C/O G. T. REILLY & CO 424 ADAMS STREET, MILTON, M ZIP+4 ▶ 02186

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 THE FOUNDATION ACCOMPLISHES ITS CHARITABLE PURPOSE SOLELY THROUGH A PROGRAM OF MAKING CHARITABLE GIFTS TO OTHER TAX-EXEMPT NOT FOR PROFIT ORGANIZATIONS	21,134.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	2,239,119.
b	Average of monthly cash balances			1b	107,041.
c	Fair market value of all other assets			1c	
d	**Total** (add lines 1a, b, and c)			1d	2,346,160.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	2,346,160.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	35,192.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	2,310,968.
6	**Minimum investment return.** Enter 5% of line 5			6	115,548.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	115,548.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,522.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,522.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	114,026.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	114,026.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	114,026.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	21,134.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	21,134.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	21,134.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					114,026.
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only				0.	
b Total for prior years: ______ , ______ , ______			0.		
3 Excess distributions carryover, if any, to 2013:					
a From 2008					
b From 2009					
c From 2010					
d From 2011	16,372.				
e From 2012					
f Total of lines 3a through e		16,372.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 21,134.					
a Applied to 2012, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2013 distributable amount					21,134.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)		16,372.			16,372.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					76,520.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		0.			
10 Analysis of line 9:					
a Excess from 2009					
b Excess from 2010					
c Excess from 2011					
d Excess from 2012					
e Excess from 2013					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 10

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 11

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SIDDHACHALAM INTERNATIONAL MAHAVIRA JAIN MISSION INC 111 HOPE ROAD BLAIRSTOWN, NJ 07825	NONE	EXEMPT ORG UNDER 501(C)(3)	GENERAL PURPOSE GRANT	8,004.
JAINA FEDERATION OF JAIN ASSOC IN NORTH AMERICA 73 BEEBE STREET STATEN ISLAND, NY 10301	NONE	EXEMPT ORG UNDER 501(C)(3)	GENERAL PURPOSE GRANT	1,001.
Total			▶ 3a	9,005.
b Approved for future payment NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	3,626.	
4 Dividends and interest from securities			14	41,392.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	59,965.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		104,983.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 104,983.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Amrinder Patni — Signature of officer or ~~trustee~~

Sept 10, 14 — Date

OFFICER — Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
ROSEANN SMITH	Roseann Smith	09/03/14		P00361337
Firm's name ▶ G. T. REILLY & COMPANY, INC.			Firm's EIN ▶ 04-2513210	
Firm's address ▶ 424 ADAMS STREET MILTON, MA 02186			Phone no. (617)696-8900	

Form **990-PF** (2013)

323622 10-10-13

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990.*

OMB No 1545-0047

2013

Name of the organization	Employer identification number
THE PATNI FAMILY FOUNDATION, INC.	61-1652581

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	[ ] 501(c)( ) (enter number) organization
	[ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	[ ] 527 political organization
Form 990-PF	[ ] 501(c)(3) exempt private foundation
	[ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation
	[X] 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule

[X] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

[ ] For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
THE PATNI FAMILY FOUNDATION, INC.	61-1652581

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	POONAM PATNI 2 AVERY STREET BOSTON, MA 02111	$ 1,000,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE PATNI FAMILY FOUNDATION, INC.	61-1652581

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	Employer identification number
THE PATNI FAMILY FOUNDATION, INC.	61-1652581

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once.) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions THE PATNI FAMILY FOUNDATION, INC.	Employer identification number (EIN) or 61-1652581
	Number, street, and room or suite no If a P O box, see instructions. 1105 N. MARKET STREET, NO. 1300	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions. WILMINGTON, DE 19801	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ THOMAS J O'CONNOR, CPA - C/O G. T. REILLY & CO 424 ADAMS STREET - MILTON, MA 02186

Telephone No ▶ 617-696-8900 Fax No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2014.

5 For calendar year 2013 , or other tax year beginning ______, and ending ______

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS REQUESTED IN ORDER TO PREPARE A COMPLETE AND ACCURATE RETURN

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	8a	$ 3,400.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 3,400.
c	**Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	$ 0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ Date ▶

323842
12-31-13

Form **8868**
(Rev. January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at *www.irs.gov/form8868*

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number

Type or print	Name of exempt organization or other filer, see instructions. THE PATNI FAMILY FOUNDATION, INC.	Employer identification number (EIN) or 61-1652581
File by the due date for filing your return See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. 1105 N. MARKET STREET, NO. 1300	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. WILMINGTON, DE 19801	

Enter the Return code for the return that this application is for (file a separate application for each return) **0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ THOMAS J O'CONNOR, CPA - C/O G. T. REILLY & CO 424 ADAMS STREET - MILTON, MA 02186

  Telephone No. ▶ 617-696-8900 Fax No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2014 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ [X] calendar year 2013 or

▶ [ ] tax year beginning ______ , and ending ______ .

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 3,400.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 0.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 3,400.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	U.S. TRUST SHORT TERM (SEE ATTD)	P		
b	U.S. TRUST SHORT TERM WASH SALES (SEE ATTD)	P		
c	U.S. TRUST LONG TERM (SEE ATTD)	P		
d	U.S. COMMODITY INDEX FD SEC 1256 (S/T)	P		
e	U.S. COMMODITY INDEX FD SEC 1256 (L/T)	P		
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	697,557.		650,657.	46,900.
b	8,465.		8,465.	0.
c	75,406.		62,625.	12,781.
d			1,313.	-1,313.
e			1,969.	-1,969.
f	3,566.			3,566.
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				46,900.
b				0.
c				12,781.
d				-1,313.
e				-1,969.
f				3,566.
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	59,965.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA (CHECKING ACCT)	21.	21.	
BANK OF AMERICA (U.S. TRUST INV ACCT)	3,555.	3,555.	
U.S. COMMODITIES INDEX FD	50.	50.	
TOTAL TO PART I, LINE 3	3,626.	3,626.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA (U.S. TRUST INV ACCT)	44,958.	3,566.	41,392.	41,392.	
TO PART I, LINE 4	44,958.	3,566.	41,392.	41,392.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	7,000.	0.		7,000.
TO FM 990-PF, PG 1, LN 16A	7,000.	0.		7,000.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,960.	0.		4,960.
TO FORM 990-PF, PG 1, LN 16B	4,960.	0.		4,960.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX ON INVESTMENT INCOME	169.	0.		169.
TO FORM 990-PF, PG 1, LN 18	169.	0.		169.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	27,881.	27,881.		0.
U.S. COMMODITY INDEX FD	1,020.	1,020.		0.
TO FORM 990-PF, PG 1, LN 23	28,901.	28,901.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT ACCT U.S. TRUST	3,376,564.	3,376,564.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,376,564.	3,376,564.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10 STATEMENT 8

NAME OF CONTRIBUTOR	ADDRESS
NARENDRA K. PATNI	2 AVERY STREET BOSTON, MA 02111
POONAM PATNI	2 AVERY STREET BOSTON, MA 02111

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 9

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
NARENDRA K PATNI C/O G.T. REILLY 424 ADAMS ST MILTON, MA 02186	DIRECTOR PRESIDENT SECRETA 1.00	0.	0.	0.
ANIRUDH PATNI C/O G.T. REILLY 424 ADAMS ST MILTON, MA 02186	DIRECTOR TREASURER 0.00	0.	0.	0.
POONAM PATNI C/O G.T. REILLY 424 ADAMS ST MILTON, MA 02186	DIRECTOR 0.00	0.	0.	0.
AMBIKA PATNI C/O G.T. REILLY 424 ADAMS ST MILTON, MA 02186	DIRECTOR 0.00	0.	0.	0.
KENNETH L. GRINNELL ONE INTERNATIONAL PLACE BOSTON, MA 02110	ASSISTANT SECRETARY 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF PART XV - LINE 1A LIST OF FOUNDATION MANAGERS STATEMENT 10

NAME OF MANAGER
NARENDRA K PATNI
POONAM PATNI

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION PART XV, LINES 2A THROUGH 2D STATEMENT 11

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ANIRUDH PATNI
C/O WILMINGTON TR P.O. BOX 8985
WILMINGTON, DE 19899

TELEPHONE NUMBER

617-696-8900

EMAIL ADDRESS

NONE

FORM AND CONTENT OF APPLICATIONS

LETTER FORMAT - NO SPECIFIC APPLICATION FORM

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE FOUNDATION WILL MAKE GRANTS TO CHARITABLE ORGANIZATIONS WITHIN THE MEANING OF IRC SECTION 501(C)(3) SELECTED BY THE DIRECTORS WITH THOSE GRANTS TO BE USED SOLELY FOR THE CHARITABLE PURPOSE OF THE RECIPIENT ORGANIZATION.

Proceeds From Broker Transactions

We have adjusted tax costs for amortization of premium paid for the acquisition of municipal bonds through date of sale and for non-taxable dividends. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. However, we have not made adjustments to the cost basis of all partnerships.

If applicable, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment. Rather, under IRC Section 988, they are either ordinary income or loss However, we have not made adjustments to the cost basis of all partnerships.

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
KOHLS CORP	KSS	500255104	9	08/30/12	01/02/13	$378 77	$477 00	$-98 23
ASHLAND INC NEW	ASH	044209104	2	08/30/12	01/02/13	$167 89	$144 60	$23 29
VERISIGN INC	VRSN	92343E102	2	08/30/12	01/02/13	$78 78	$94 32	$-15 54
CYTEC INDS INC	CYT	232820100	3	08/30/12	01/02/13	$212 08	$201 07	$11 01
ON ASSIGNMENT INC	ASGN	682159108	' 13	08/30/12	01/07/13	$291 90	$210 73	$81 17
PERRIGO CO	PRGO	714290103	2	08/30/12	01/07/13	$219 74	$219 74	$0 00
ASPEN TECHNOLOGY INC	AZPN	045327103	5	08/30/12	01/07/13	$137 79	$121 86	$15 93
TRW AUTOMOTIVE HLDGS CORP	TRW	87264S106	4	08/30/12	01/09/13	$214 60	$170 88	$43 72
BORG-WARNER AUTOMOTIVE INC	BWA	099724106	3	08/01/12	01/11/13	$214 70	$226 79	$-12 09
BORG-WARNER AUTOMOTIVE INC	BWA	099724106	5	07/07/12	01/11/13	$357 84	$401 17	$-43 33
COLOPLAST A/S - B	COLB IX	B8FMRX8#5	7	11/16/12	01/11/13	$354 11	$310 67	$43 44
CATAMARAN CORP	CTRX	148887102	2	08/30/12	01/11/13	$102 77	$85 99	$16 78
FEDERAL NATL MTG ASSN	FN3000000	3138M2A30	16676 6	10/05/12	01/11/13	$17,681 11	$17,772 88	$-91 77
FEDERAL NATL MTG ASSN	FN3000000	31419BCT0	707 99	10/03/12	01/11/13	$750 63	$750 63	$0 00
CATAMARAN CORP	CTRX	148887102	2	08/30/12	01/11/13	$103 02	$85 99	$17 03
COLOPLAST A/S - B	COLB IX	B8FMRX8#5	11	11/16/12	01/14/13	$557 99	$488 19	$69 80
ALBEMARLE CORP	ALB	012653101	2	08/30/12	01/15/13	$129 73	$108 79	$20 94
P P G INDS INC	PPG	693506107	3	08/30/12	01/15/13	$419 05	$325 68	$93 37

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
MARKETAXESS HLDGS INC	MKTX	57060D108	37	08/30/12	01/15/13	$1,303 55	$1,178 05	$125 50
BORG-WARNER AUTOMOTIVE INC	BWA	099724106	2	08/18/12	01/16/13	$152 51	$145 85	$6 66
CARDINAL FINL CORP	CFNL	14149F109	10	08/30/12	01/16/13	$163 69	$130 30	$33 39
OLAM INTL LTD		B4XDCR4#7	974	01/07/13	01/16/13	$69.39	$160.71	$-91 32
REGENERON PHARMACEUTICALS INC	REGN	75886F107	5	09/10/12	01/16/13	$865 63	$758 37	$107 26
BORG-WARNER AUTOMOTIVE INC	BWA	099724106	13	09/10/12	01/16/13	$991.35	$939 18	$52.17
BORG-WARNER AUTOMOTIVE INC	BWA	099724106	1	08/01/12	01/16/13	$76 26	$75 59	$0 67
ALBEMARLE CORP	ALB	012653101	3	08/30/12	01/16/13	$193 80	$163 18	$30 62
CYTEC INDS INC	CYT	232820100	6	08/30/12	01/17/13	$439 92	$402.13	$37 79
CBS CORP NEW CL B	CBS	124857202	8	08/30/12	01/17/13	$330 65	$287 74	$42 91
CROWN CASTLE INTL CORP	CCI	228227104	1	08/30/12	01/18/13	$74 33	$63 16	$11 17
SYMANTEC CORP	SYMC	871503108	13	10/03/12	01/18/13	$266 62	$233 49	$33 13
RACKSPACE HOSTING INC	RAX	750086100	3	08/30/12	01/22/13	$229 33	$177 20	$52 13
ACTIVISION BLIZZARD INC	ATVI	00507V109	11	08/30/12	01/23/13	$124 76	$128.81	$-4.05
TIM HORTONS INC	THI	88706M103	3	08/30/12	01/23/13	$151 17	$152 31	$-1 14
WESTERN DIGITAL CORP	WDC	958102105	2	08/30/12	01/24/13	$95 59	$84.28	$11 31
SEAGATE TECH	STX	G7945M107	3	08/30/12	01/24/13	$110.05	$96 15	$13 90
REGENERON PHARMACEUTICALS INC	REGN	75886F107	4	09/10/12	01/24/13	$693 87	$606 70	$87 17
HARRIS CORP	HRS	413875105	3	08/30/12	01/24/13	$148 42	$141 84	$6.58
COACH INC	COH	189754104	4	09/10/12	01/25/13	$204 53	$250 77	$-46 24
CROWN CASTLE INTL CORP	CCI	228227104	4	08/30/12	01/25/13	$293 97	$252 64	$41 33
ROSETTA RES INC	ROSE	777779307	5	08/30/12	01/25/13	$261 47	$212 30	$49 17
HERBALIFE LTD USD	HLF	G4412G101	7	08/30/12	01/28/13	$286 99	$341 25	$-54 26
SCHOOL SPECIALTY INC	SCHS	807863105	30	08/30/12	01/28/13	$3 80	$86.40	$-82 60
NU SKIN ASIA INC CL A	NUS	67018T105	4	08/30/12	01/28/13	$170 21	$165 36	$4 85
CROWN CASTLE INTL CORP	CCI	228227104	3	08/30/12	01/29/13	$218.09	$189 48	$28.61
ALTERA CORP	ALTR	021441100	4	08/30/12	01/29/13	$132.83	$146 16	$-13.33
VERISIGN INC	VRSN	92343E102	5	08/30/12	01/29/13	$213 99	$235 80	$-21 81

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ROPER INDS INC NEW	ROP	776696106	2	08/30/12	01/29/13	$237 92	$205 40	$32 52
LINKEDIN CORP	LNKD	53578A108	1	11/09/12	01/30/13	$124 36	$99 09	$25 27
LINKEDIN CORP	LNKD	53578A108	2	11/09/12	01/30/13	$248 72	$193 97	$54 75
COLOPLAST A/S - B	COLB IX	B8FMRX8#5	7	11/16/12	01/30/13	$356 93	$310 66	$46 27
ALTERA CORP	ALTR	021441100	3	08/30/12	01/30/13	$100 39	$109 62	$-9 23
COLOPLAST A/S - B	COLB IX	B8FMRX8#5	8	09/28/12	01/30/13	$407 91	$333 56	$74 35
ASPEN TECHNOLOGY INC	AZPN	045327103	21	08/30/12	01/30/13	$653 31	$511 81	$141 50
FEDERAL NATIONAL MORTGAGE	FN3745275	31403C6L0	220 48	09/06/12	01/31/13	$220 48	$242 11	$-21 63
FEDERAL NATL MTG ASSN	FN3995672	31416CCH7	867 15	08/30/12	01/31/13	$867 15	$932 32	$-65 17
ON ASSIGNMENT INC	ASGN	682159108	16	08/30/12	01/31/13	$391 75	$259.36	$132 39
FEDERAL NATL MTG ASSN	FN3000000	3138A46Y6	702 64	09/07/12	01/31/13	$702 64	$753 36	$-50 72
FEDERAL NATL MTG ASSN	FN3000000	3138MBMB9	63 94	11/07/12	01/31/13	$63 94	$63 94	$0 00
FEDERAL NATL MTG ASSN	FN3000000	31419BCT0	537 07	10/03/12	01/31/13	$537 07	$575 33	$-38 26
COLOPLAST A/S - B	COLB IX	B8FMRX8#5	27	09/28/12	02/01/13	$1,427 04	$1,125 75	$301 29
COLOPLAST A/S - B	COLB IX	B8FMRX8#5	44	09/10/12	02/01/13	$2,325 54	$1,792 02	$533 52
UNIVERSAL HEALTH SVCS INC CL B	UHS	913903100	1	12/14/12	02/01/13	$56 70	$46 02	$10 68
TRANSDIGM GROUP INC	TDG	893641100	3	08/30/12	02/01/13	$410 96	$414 78	$-3 82
UNIVERSAL HEALTH SVCS INC CL B	UHS	913903100	2	12/19/12	02/01/13	$113 39	$99 02	$14 37
MEAD JOHNSON NUTRITION CO	MJN	582839106	1	08/30/12	02/01/13	$75 38	$72 05	$3 33
COLOPLAST A/S - B	COLB IX	B8FMRX8#5	60	08/07/12	02/04/13	$3,142 75	$2,303 12	$839 63
COLOPLAST A/S - B	COLB IX	B8FMRX8#5	6	09/10/12	02/04/13	$314 28	$244 37	$69 91
ALBEMARLE CORP	ALB	012653101	2	08/30/12	02/05/13	$125 02	$108 79	$16 23
BED BATH & BEYOND INC	BBBY	075896100	4	08/30/12	02/05/13	$237 21	$268 76	$-31 55
MOODYS CORP	MCO	615369105	6	08/30/12	02/05/13	$293 51	$233 88	$59 63
UNIVERSAL HEALTH SVCS INC CL B	UHS	913903100	1	12/14/12	02/05/13	$56 42	$46 02	$10 40
BROADCOM CORP	BRCM	111320107	1	08/30/12	02/05/13	$32 45	$35 09	$-2 64
ICICI BK LTD ADR	IBN	45104G104	13	09/28/12	02/06/13	$586 64	$515 77	$70 87
MASTERCARD INC CL A	MA	57636Q104	1	11/16/12	02/06/13	$518 59	$460 00	$58 59

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
REGENERON PHARMACEUTICALS INC	REGN	75886F107	2	09/28/12	02/06/13	$338 68	$301 37	$37 31
REGENERON PHARMACEUTICALS INC	REGN	75886F107	1	07/27/12	02/06/13	$169 34	$151 07	$18 27
REGENERON PHARMACEUTICALS INC	REGN	75886F107	2	09/10/12	02/06/13	$338 68	$303 35	$35 33
ANHEUSER BUSCH INBEV SA/NV	BUD	03524A108	10	09/28/12	02/07/13	$864 44	$862 64	$1.80
NORDSTROM INC	JWN	655664100	2	08/30/12	02/07/13	$109 37	$115 78	$-6 41
ANHEUSER BUSCH INBEV SA/NV	BUD	03524A108	8	10/08/12	02/07/13	$691 55	$685 35	$6 20
ANHEUSER BUSCH INBEV SA/NV	BUD	03524A108	5	10/13/12	02/07/13	$432 22	$425 59	$6 63
BORG-WARNER AUTOMOTIVE INC	BWA	099724106	8	09/10/12	02/07/13	$584 96	$577 96	$7 00
BORG-WARNER AUTOMOTIVE INC	BWA	099724106	41	08/07/12	02/07/13	$2,997 95	$2,819 78	$178 17
BORG-WARNER AUTOMOTIVE INC	BWA	099724106	5	09/28/12	02/07/13	$365 60	$343 25	$22 35
BORG-WARNER AUTOMOTIVE INC	BWA	099724106	3	11/16/12	02/07/13	$219.36	$186 22	$33 14
ICICI BK LTD ADR	IBN	45104G104	18	09/28/12	02/07/13	$792 01	$714.15	$77 86
ICICI BK LTD ADR	IBN	45104G104	27	11/16/12	02/07/13	$1,188 02	$1,012 64	$175 38
ICICI BK LTD ADR	IBN	45104G104	59	08/07/12	02/07/13	$2,596.04	$2,099 09	$496.95
HANNOVER RUECKVERSICHERU AG	HNR1 GR	4511809#2	44	11/07/12	02/07/13	$3,322 29	$3,187 99	$134 30
HANNOVER RUECKVERSICHERU AG	HNR1 GR	4511809#2	8	11/16/12	02/07/13	$604 05	$566 59	$37 46
AKAMAI TECHNOLOGIES INC	AKAM	00971T101	4	02/01/13	02/07/13	$139 12	$167 88	$-28 76
AKAMAI TECHNOLOGIES INC	AKAM	00971T101	3	12/19/12	02/07/13	$104 34	$124 27	$-19 93
AKAMAI TECHNOLOGIES INC	AKAM	00971T101	1	12/19/12	02/07/13	$35 01	$41 42	$-6 41
AKAMAI TECHNOLOGIES INC	AKAM	00971T101	2	12/26/12	02/07/13	$70.02	$82 76	$-12 74
AKAMAI TECHNOLOGIES INC	AKAM	00971T101	3	12/26/12	02/07/13	$105 02	$123 63	$-18 61
AKAMAI TECHNOLOGIES INC	AKAM	00971T101	3	12/21/12	02/07/13	$105 02	$122 52	$-17 50
ANHEUSER BUSCH INBEV SA/NV	BUD	03524A108	1	10/02/12	02/07/13	$86 44	$85 96	$0.48
AIR PRODUCTS & CHEMICALS INC	APD	009158106	13	12/24/12	02/08/13	$1,147 10	$1,098 81	$48 29
AIR PRODUCTS & CHEMICALS INC	APD	009158106	18	09/10/12	02/08/13	$1,588.30	$1,508 96	$79 34
PERRIGO CO	PRGO	714290103	1	08/30/12	02/08/13	$107 76	$109 87	$-2.11
ICICI BK LTD ADR	IBN	45104G104	4	08/16/12	02/08/13	$173 96	$138 70	$35 26
ICICI BK LTD ADR	IBN	45104G104	51	09/10/12	02/08/13	$2,217 97	$1,740.38	$477 59

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ICICI BK LTD ADR	IBN	45104G104	1	08/07/12	02/08/13	$43 49	$35.58	$7 91
AIR PRODUCTS & CHEMICALS INC	APD	009158106	6	09/10/12	02/11/13	$530 36	$502 99	$27 37
AIR PRODUCTS & CHEMICALS INC	APD	009158106	24	08/08/12	02/11/13	$2,121 43	$2,004 32	$117 11
SCHEIN HENRY INC	HSIC	806407102	1	12/14/12	02/11/13	$89 21	$81 35	$7 86
LULULEMON ATHLETICA INC	LULU	550021109	3	08/30/12	02/11/13	$201 64	$193 86	$7 78
AIR PRODUCTS & CHEMICALS INC	APD	009158106	1	09/28/12	02/11/13	$88 39	$82 68	$5 71
AIR PRODUCTS & CHEMICALS INC	APD	009158106	22	09/28/12	02/12/13	$1,945 06	$1,818 93	$126 13
AIR PRODUCTS & CHEMICALS INC	APD	009158106	15	11/16/12	02/12/13	$1,326 18	$1,198 84	$127 34
ANNALY MTG MGMT INC	NLY	035710409	9	08/30/12	02/12/13	$135 56	$154 85	$-19 29
STAGE STORES INC NEW	SSI	85254C305	5	08/30/12	02/12/13	$122 30	$107 75	$14 55
ALEXION PHARMACEUTICALS INC	ALXN	015351109	2	08/30/12	02/12/13	$180 10	$212 02	$-31 92
AIR PRODUCTS & CHEMICALS INC	APD	009158106	2	11/01/12	02/12/13	$176 82	$157 77	$19 05
AIR PRODUCTS & CHEMICALS INC	APD	009158106	2	11/01/12	02/13/13	$177 21	$157 77	$19 44
AIR PRODUCTS & CHEMICALS INC	APD	009158106	15	10/31/12	02/13/13	$1,329 06	$1,161 70	$167 36
ROSETTA RES INC	ROSE	777779307	5	08/30/12	02/13/13	$252 72	$212 30	$40 42
AXIALL CORP	AXLL	05463D100	0 54	08/30/12	02/13/13	$29 46	$17 90	$11 56
ALEXION PHARMACEUTICALS INC	ALXN	015351109	2	08/30/12	02/13/13	$181 26	$212 02	$-30 76
AXIALL CORP	AXLL	05463D100	19	08/30/12	02/14/13	$1,123 44	$633 46	$489 98
COCA-COLA ENTERPRISES INC	CCE	19122T109	8	08/30/12	02/20/13	$291 88	$235 92	$55 96
SUNTRUST BANKS INC	STI	867914103	10	08/30/12	02/20/13	$277 78	$249 87	$27 91
MINERALS TECHNOLOGIES INC	MTX	603158106	3	11/13/12	02/20/13	$123 48	$103 85	$19 63
GROUPE CGI INC CL A SUB VTG	GIB	39945C109	5	08/30/12	02/20/13	$136 72	$129 30	$7 42
ALLETE INC NEW	ALE	018522300	8	08/30/12	02/21/13	$375 09	$330 00	$45 09
BELDEN CDT INC	BDC	077454106	3	08/30/12	02/21/13	$148 33	$103 29	$45 04
EQUINIX INC NEW	EQIX	29444U502	1	08/30/12	02/21/13	$213 17	$196 08	$17 09
EURONET SVCS INC	EEFT	298736109	5	08/30/12	02/21/13	$122 00	$88 25	$33 75
GENESEE & WYO INC CL A	GWR	371559105	2	08/30/12	02/21/13	$176 81	$127 14	$49 67
JARDEN CORP	JAH	471109108	1	08/30/12	02/21/13	$58 66	$48 12	$10 54

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
MARKETAXESS HLDGS INC	MKTX	57060D108	2	08/30/12	02/21/13	$80 39	$63 68	$16 71
MEDNAX INC	MD	58502B106	1	08/30/12	02/21/13	$85 74	$69 07	$16 67
SIGNATURE BK NEW YORK N Y	SBNY	82669G104	2	08/30/12	02/21/13	$150 03	$129 14	$20 89
STAGE STORES INC NEW	SSI	85254C305	5	08/30/12	02/21/13	$122.81	$107 75	$15 06
ASHLAND INC NEW	ASH	044209104	5	08/30/12	02/21/13	$376 26	$361 50	$14 76
GROUPE CGI INC CL A SUB VTG	GIB	39945C109	8	08/30/12	02/21/13	$215 12	$206.88	$8 24
MINERALS TECHNOLOGIES INC	MTX	603158106	1	11/13/12	02/21/13	$40 67	$34 61	$6 06
MINERALS TECHNOLOGIES INC	MTX	603158106	1	08/30/12	02/21/13	$40 66	$33 69	$6 97
WESCO INTL INC	WCC	95082P105	3	08/30/12	02/21/13	$218.91	$173 79	$45 12
RELIANCE STEEL & ALUM CO	RS	759509102	1	08/30/12	02/26/13	$65 34	$50 98	$14 36
RELIANCE STEEL & ALUM CO	RS	759509102	1	09/28/12	02/26/13	$65 35	$52.26	$13 09
ACTIVISION BLIZZARD INC	ATVI	00507V109	8	08/30/12	02/26/13	$113 36	$93 68	$19.68
KENNAMETAL INC	KMT	489170100	15	08/30/12	02/26/13	$584 31	$543 60	$40 71
ROSS STORES INC	ROST	778296103	4	08/30/12	02/26/13	$225 96	$278 32	$-52.36
JARDINE STRATEGIC HLDGS LTD	JS SP	6472960#0	22	08/08/12	02/26/13	$829 87	$775 44	$54 43
RELIANCE STEEL & ALUM CO	RS	759509102	1	08/30/12	02/27/13	$67 22	$50 98	$16 24
FEDERAL NATL MTG ASSN	FN3995672	31416CCH7	670 74	08/30/12	02/28/13	$670 74	$721 15	$-50 41
FEDERAL NATIONAL MORTGAGE	FN3745275	31403C6L0	182 65	09/06/12	02/28/13	$182 65	$199 82	$-17 17
FEDERAL NATL MTG ASSN	FN3000000	3138MBMB9	68 89	11/07/12	02/28/13	$68 89	$68 89	$0 00
FEDERAL NATL MTG ASSN	FN3000000	3138A46Y6	685.62	09/07/12	02/28/13	$685 62	$735 11	$-49 49
FEDERAL NATL MTG ASSN	FN3000000	31419BCT0	440.2	10/03/12	02/28/13	$440 20	$471 56	$-31 36
FTI CONSULTING INC	FCN	302941109	5	08/30/12	02/28/13	$174 34	$130 45	$43 89
AFC ENTERPRISES INC	AFCE	00104Q107	8	08/30/12	03/01/13	$259 50	$194 32	$65 18
ALTRA HLDGS INC	AIMC	02208R106	16	08/30/12	03/01/13	$410 72	$294 72	$116.00
ASPEN TECHNOLOGY INC	AZPN	045327103	24	08/30/12	03/01/13	$735 28	$584 93	$150 35
AVID TECHNOLOGY INC	AVID	05367P100	7	08/30/12	03/01/13	$46.90	$64 96	$-18.06
BARNES GROUP INC	B	067806109	4	08/30/12	03/01/13	$104 98	$95 32	$9.66
BIOMED RLTY TR INC	BMR	09063H107	5	08/30/12	03/01/13	$105 02	$92 75	$12.27

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
FIRST FINL BANCORP	FFBC	320209109	12	08/30/12	03/01/13	$184 92	$196 92		$-12 00
GARTNER GROUP INC NEW CL A	IT	366651107	3	08/30/12	03/01/13	$149 17	$148 38		$0 79
GENESEE & WYO INC CL A	GWR	371559105	1	08/30/12	03/01/13	$88 05	$63 57		$24 48
JARDEN CORP	JAH	471109108	5	08/30/12	03/01/13	$309 18	$240 62		$68 56
NATIONSTAR MTG HLDGS INC	NSM	63861C109	11	08/30/12	03/01/13	$430 97	$303 16		$127 81
NU SKIN ASIA INC CL A	NUS	67018T105	5	08/30/12	03/01/13	$206 45	$206 70		$-0 25
STAGE STORES INC NEW	SSI	85254C305	5	08/30/12	03/01/13	$125 43	$107 75		$17 68
WILEY JOHN & SONS INC CL A	JW A	968223206	2	08/20/12	03/01/13	$72 60	$105 36		$-32 76
ASSURED GUARANTY LTD	AGO	G0585R106	7	08/30/12	03/01/13	$132 23	$92 19		$40 04
HERBALIFE LTD USD	HLF	G4412G101	5	08/30/12	03/01/13	$202 20	$243 75		$-41 55
FRESH MKT INC	TFM	35804H106	3	08/30/12	03/01/13	$134 35	$173 10		$-38 75
FRESH MKT INC	TFM	35804H106	2	09/07/12	03/01/13	$89 57	$112 00		$-22 43
UNITED STATES TREAS NT	UNIT17	912828TW0	10000	12/13/12	03/01/13	$10,030 82	$10,039 85		$-9 03
FRESH MKT INC	TFM	35804H106	1	09/07/12	03/05/13	$42 96	$56 00		$-13 04
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	3	08/30/12	03/05/13	$209 68	$153 51		$56 17
FRESH MKT INC	TFM	35804H106	2	11/28/12	03/05/13	$85 91	$101 28		$-15 37
FRESH MKT INC	TFM	35804H106	2	11/28/12	03/05/13	$85 91	$102 27		$-16 36
UNITED STATES TREAS NT	UNIT17	912828TW0	10000	12/13/12	03/06/13	$10,008 98	$10,039 84		$-30 86
TW TELECOM INC	TWTC	87311L104	3	02/15/13	03/06/13	$74 98	$78 82		$-3 84
FAST RETAILING CO LTD	9983 JP	6332439#9	1	10/01/12	03/07/13	$306 47	$233 13		$73 34
XYLEM INC	XYL	98419M100	5	08/30/12	03/08/13	$142 23	$120 65		$21 58
FINISAR CORP	FNSR	31787A507	10	08/30/12	03/08/13	$146 41	$139 60		$6 81
FAST RETAILING CO LTD	9983 JP	6332439#9	1	10/01/12	03/11/13	$320 90	$233 13		$87 77
VALERO ENERGY (NEW)	VLO	91913Y100	3	10/08/12	03/11/13	$132.84	$94 77		$38 07
VALERO ENERGY (NEW)	VLO	91913Y100	16	10/03/12	03/11/13	$708 48	$508 15		$200 33
KIMCO RLTY CORP	KIM	49446R109	11	08/30/12	03/12/13	$241 42	$224 14	*	$17 28
DUKE REALTY INVESTMENTS INC NE	DRE	264411505	30	08/30/12	03/12/13	$492 73	$426 42	*	$66 31
CORNING INC	GLW	219350105	104	09/28/12	03/13/13	$1,312 85	$1,377 48		$-64 63

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
NVIDIA CORP	NVDA	67066G104	38	11/30/12	03/13/13	$484 92	$457 86	*	$27 06
CORNING INC	GLW	219350105	44	12/24/12	03/13/13	$555 44	$555.06		$0.38
CORNING INC	GLW	219350105	52	08/08/12	03/13/13	$656 42	$601.38		$55 04
TOWER GROUP INC	TWGP	891777104	18	08/30/12	03/13/13	$355 51	$334 08		$21 43
TOWER GROUP INC	TWGP	891777104	37	01/17/13	03/13/13	$730 77	$712 01		$18.76
TOWER GROUP INC	TWGP	891777104	9	03/01/13	03/13/13	$177 75	$168 03		$9.72
FAST RETAILING CO LTD	9983 JP	6332439#9	2	10/01/12	03/13/13	$619 55	$466 27		$153 28
FANUC	6954 JP	6356934#8	3	09/11/12	03/13/13	$439 82	$515 73		$-75.91
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	220	09/17/12	03/13/13	$205 64	$246.68		$-41.04
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	228	10/01/12	03/13/13	$213 11	$245 04		$-31 93
CABOT CORP	CBT	127055101	11	11/02/12	03/13/13	$391 09	$406 01		$-14.92
CABOT CORP	CBT	127055101	5	11/13/12	03/13/13	$177 77	$181 45		$-3 68
NVIDIA CORP	NVDA	67066G104	13	01/02/13	03/13/13	$165 89	$165.37	*	$0 52
CORNING INC	GLW	219350105	103	09/10/12	03/13/13	$1,300 22	$1,302 44		$-2 22
CORNING INC	GLW	219350105	54	08/08/12	03/14/13	$681 95	$624 51		$57 44
ROSETTA RES INC	ROSE	777779307	14	08/30/12	03/14/13	$692 39	$594 44		$97 95
HOLLYFRONTIER CORP	HFC	436106108	6	08/30/12	03/14/13	$314.12	$240 30		$73 82
CORNING INC	GLW	219350105	52	11/16/12	03/14/13	$656 69	$565 50		$91 19
EAST WEST BANCORP INC	EWBC	27579R104	8	08/30/12	03/15/13	$203 01	$175 84		$27 17
GEELY AUTOMOBILE HOLDINGS LTD	175 HK	6531827#6	452	02/19/13	03/18/13	$212.20	$273 79		$-61 59
GEELY AUTOMOBILE HOLDINGS LTD	175 HK	6531827#6	1951	11/13/12	03/18/13	$915 92	$921 17		$-5.25
GEELY AUTOMOBILE HOLDINGS LTD	175 HK	6531827#6	1132	12/03/12	03/18/13	$531 43	$539 17		$-7 74
GEELY AUTOMOBILE HOLDINGS LTD	175 HK	6531827#6	788	12/06/12	03/18/13	$369 93	$389.95		$-20.02
ELECTRONIC ARTS	EA	285512109	28	08/30/12	03/19/13	$482 49	$366.80		$115 69
LULULEMON ATHLETICA INC	LULU	550021109	5	08/30/12	03/19/13	$312.23	$323 10		$-10.87
GEELY AUTOMOBILE HOLDINGS LTD	175 HK	6531827#6	1095	11/19/12	03/21/13	$559 79	$511 78		$48.01
GEELY AUTOMOBILE HOLDINGS LTD	175 HK	6531827#6	4197	11/13/12	03/21/13	$2,145.61	$1,981 62		$163 99
V F CORP	VFC	918204108	4	08/30/12	03/22/13	$670 35	$609.14		$61.21

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
XYLEM INC	XYL	98419M100	6	08/30/12	03/22/13	$171 03	$144 78		$26 25
EXPEDITORS INTERNATIONAL WASH	EXPD	302130109	2	11/08/12	03/25/13	$71 84	$73 97		$-2 13
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	1	08/30/12	03/25/13	$74 27	$51 17		$23 10
EXPEDITORS INTERNATIONAL WASH	EXPD	302130109	3	08/30/12	03/25/13	$107 76	$108 78		$-1 02
ROCKWELL INTL CORP NEW	ROK	773903109	6	11/16/12	03/26/13	$506 33	$458 41		$47 92
EXPEDITORS INTERNATIONAL WASH	EXPD	302130109	5	08/30/12	03/26/13	$177 43	$181 30		$-3 87
JUNIPER NETWORKS INC	JNPR	48203R104	9	08/30/12	03/26/13	$167 16	$160 02		$7 14
AGCO CORP	AGCO	001084102	4	08/30/12	03/26/13	$203 64	$168 04		$35 60
HARRIS CORP	HRS	413875105	5	08/30/12	03/26/13	$224 71	$236 40		$-11 69
STANLEY BLACK & DECKER INC	SWK	854502101	4	08/30/12	03/26/13	$325 69	$264 16		$61 53
HUMANA INC	HUM	444859102	1	01/24/13	03/26/13	$66 58	$86 04		$-19 46
HUMANA INC	HUM	444859102	2	01/25/13	03/26/13	$133 17	$171 86		$-38 69
HUMANA INC	HUM	444859102	1	02/20/13	03/26/13	$66 58	$72 68		$-6 10
KIMCO RLTY CORP	KIM	49446R109	6	08/30/12	03/26/13	$133 09	$122 00	*	$11 09
OMNICOM GROUP INC	OMC	681919106	5	08/30/12	03/26/13	$291 87	$253 92		$37 95
HUMANA INC	HUM	444859102	2	01/02/13	03/26/13	$133 17	$198 28		$-65 11
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	443	10/01/12	03/27/13	$427 38	$476 11		$-48 73
XYLEM INC	XYL	98419M100	5	08/30/12	03/27/13	$136 91	$120 65		$16 26
FULLER H B CO	FUL	359694106	5	10/16/12	03/27/13	$196 72	$153 56		$43 16
CHECK POINT SOFTWARE TECH LTOR	CHKP	M22465104	1	01/23/13	03/27/13	$46 94	$48 67		$-1 73
CHECK POINT SOFTWARE TECH LTOR	CHKP	M22465104	2	01/24/13	03/27/13	$93 89	$99 01		$-5 12
FEDERAL HOME LN MTG CORP	FH5000000	3128M9D25	698 39	03/15/13	03/31/13	$698 39	$753 82		$-55 43
FEDERAL NATL MTG ASSN	FN3000000	3138A46Y6	473 11	09/07/12	03/31/13	$473 11	$507 26		$-34 15
FEDERAL NATL MTG ASSN	FN3000000	31419BCT0	368 21	10/03/12	03/31/13	$368 21	$394 44		$-26 23
FEDERAL NATIONAL MORTGAGE	FN3745275	31403C6L0	195 33	09/06/12	03/31/13	$195 33	$213 69		$-18 36
FEDERAL NATL MTG ASSN	FN3995672	31416CCH7	762 18	08/30/12	03/31/13	$762 18	$819 47		$-57 29
FEDERAL NATL MTG ASSN	FN3000000	3138MBMB9	59 88	11/07/12	03/31/13	$59 88	$59 88		$0 00
TOWER GROUP INTERNATIONAL LT	TWGP	G8988C105	0 51	03/13/13	04/01/13	$9 37	$8 93		$0 44

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	2	08/30/12	04/02/13	$151 96	$102 34		$49 62
ROCKWELL INTL CORP NEW	ROK	773903109	5	11/16/12	04/03/13	$421 22	$382.01		$39 21
NETFLIX COM INC	NFLX	64110L106	1	02/05/13	04/03/13	$166 93	$174.58		$-7 65
NETFLIX COM INC	NFLX	64110L106	1	02/13/13	04/03/13	$166 93	$183 80		$-16.87
EATON VANCE CORP	EV	278265103	8	08/30/12	04/04/13	$316 02	$212 96		$103 06
FAST RETAILING CO LTD	9983 JP	6332439#9	2	10/01/12	04/04/13	$720 79	$466.27		$254 52
FAST RETAILING CO LTD	9983 JP	6332439#9	2	10/01/12	04/08/13	$719 44	$466 27		$253 17
HOLLYFRONTIER CORP	HFC	436106108	6	08/30/12	04/09/13	$296 10	$240 30		$55.80
MASTERCARD INC CL A	MA	57636Q104	1	11/16/12	04/09/13	$524 64	$460.00		$64 64
LAS VEGAS SANDS CORP	LVS	517834107	13	09/28/12	04/09/13	$712 25	$605 08	*	$107 17
HOLLYFRONTIER CORP	HFC	436106108	4	08/30/12	04/10/13	$195 25	$160 20		$35.05
FANUC	6954 JP	6356934#8	3	09/11/12	04/10/13	$458 46	$515 73		$-57 27
JARDINE STRATEGIC HLDGS LTD	JS SP	6472960#0	16	08/08/12	04/10/13	$606 05	$563 95		$42 10
STAGE STORES INC NEW	SSI	85254C305	10	08/30/12	04/10/13	$276 52	$215 50		$61 02
FAST RETAILING CO LTD	9983 JP	6332439#9	3	10/01/12	04/10/13	$1,005.32	$699 40		$305 92
SEAGATE TECH	STX	G7945M107	6	08/30/12	04/11/13	$217 52	$192 30		$25 22
PETSMART INC	PETM	716768106	1	09/07/12	04/11/13	$66.36	$71 38		$-5 02
WESTERN DIGITAL CORP	WDC	958102105	2	08/30/12	04/11/13	$103 65	$84 28		$19 37
PETSMART INC	PETM	716768106	3	08/30/12	04/11/13	$199.07	$212 22		$-13 15
JUNIPER NETWORKS INC	JNPR	48203R104	6	08/30/12	04/11/13	$112 18	$106 68		$5 50
IDEX CORP	IEX	45167R104	4	08/30/12	04/11/13	$212 68	$158 28		$54.40
BIOMARIN PHARMACEUTICAL INC	BMRN	09061G101	2	08/30/12	04/11/13	$128 12	$75 40		$52 72
AMETEK AEROSPACE PRODS INC	AME	031100100	2	10/09/12	04/11/13	$83 02	$69 47		$13 55
FTI CONSULTING INC	FCN	302941109	12	08/30/12	04/11/13	$402 93	$313 08		$89 85
PRESTIGE BRANDS HLDGS INC	PBH	74112D101	3	08/30/12	04/11/13	$80 53	$48 03		$32 50
LAS VEGAS SANDS CORP	LVS	517834107	16	09/28/12	04/11/13	$878.20	$744 71	*	$133 49
NOBLE ENERGY INC	NBL	655044105	1	08/30/12	04/12/13	$115 83	$87 08		$28 75
PARKER-HANNIFIN CP	PH	701094104	3	08/30/12	04/12/13	$266.21	$238.41		$27 80

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
VALERO ENERGY (NEW)	VLO	91913Y100	5	10/08/12	04/12/13	$203.25	$157 95		$45 30
LAS VEGAS SANDS CORP	LVS	517834107	12	09/28/12	04/12/13	$659 78	$558 54	*	$101 24
NOBLE ENERGY INC	NBL	655044105	2	09/14/12	04/12/13	$231 65	$193 07		$38 58
OMNICOM GROUP INC	OMC	681919106	4	08/30/12	04/15/13	$235 88	$203 14		$32 74
AFC ENTERPRISES INC	AFCE	00104Q107	5	08/30/12	04/15/13	$165 78	$121 45		$44 33
FAST RETAILING CO LTD	9983 JP	6332439#9	2	10/01/12	04/15/13	$683 16	$466 26		$216 90
FTI CONSULTING INC	FCN	302941109	2	08/30/12	04/15/13	$62 39	$52 18		$10 21
SHINSEI BK LTD	8303 JP	6730936#2	201	11/19/12	04/15/13	$541 56	$327 60		$213 96
CAPITAL ONE FINL CORP	COF	14040H105	14	08/30/12	04/15/13	$745 29	$785 54		$-40 25
FANUC	6954 JP	6356934#8	3	09/11/12	04/15/13	$468 53	$515 73		$-47 20
GOOGLE INC CL A	GOOG	38259P508	1	09/28/12	04/16/13	$792 30	$754 18		$38 12
LAS VEGAS SANDS CORP	LVS	517834107	8	09/28/12	04/16/13	$435 78	$372 36	*	$63 42
VERISIGN INC	VRSN	92343E102	3	08/30/12	04/16/13	$138 21	$141 48		$-3 27
JUNIPER NETWORKS INC	JNPR	48203R104	6	08/30/12	04/16/13	$109 54	$106 68		$2 86
REGENERON PHARMACEUTICALS INC	REGN	75886F107	2	09/28/12	04/16/13	$433 97	$301 37		$132 60
TE CONNECTIVITY LTD	TEL	H84989104	8	08/30/12	04/17/13	$318 86	$278 64		$40 22
STAGE STORES INC NEW	SSI	85254C305	11	08/30/12	04/17/13	$300 77	$237 05		$63 72
WEYERHAEUSER CO	WY	962166104	25	12/24/12	04/17/13	$734 69	$704 38		$30 31
WEYERHAEUSER CO	WY	962166104	84	09/10/12	04/17/13	$2,468 57	$2,130 86		$337 71
WEYERHAEUSER CO	WY	962166104	6	11/16/12	04/17/13	$176 33	$150 56		$25 77
CHECK POINT SOFTWARE TECH LTOR	CHKP	M22465104	1	08/30/12	04/17/13	$45 81	$46 07		$-0 26
WEYERHAEUSER CO	WY	962166104	51	08/08/12	04/17/13	$1,515 54	$1,211 21		$304 33
FAST RETAILING CO LTD	9983 JP	6332439#9	2	10/01/12	04/17/13	$694 29	$466 27		$228 02
SHINSEI BK LTD	8303 JP	6730936#2	175	11/19/12	04/17/13	$462 51	$285 23		$177 28
CHECK POINT SOFTWARE TECH LTOR	CHKP	M22465104	3	01/23/13	04/17/13	$137 43	$145 99		$-8 56
WEYERHAEUSER CO	WY	962166104	24	11/16/12	04/17/13	$713 19	$602 22		$110 97
WEYERHAEUSER CO	WY	962166104	26	08/08/12	04/18/13	$758 54	$617 48		$141 06
ROCKWELL INTL CORP NEW	ROK	773903109	5	11/16/12	04/18/13	$413 73	$382 01		$31 72

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
WEYERHAEUSER CO	WY	962166104	20	08/08/12	04/18/13	$581 97	$474 98	$106 99
FAST RETAILING CO LTD	9983 JP	6332439#9	1	10/01/12	04/19/13	$341 04	$233 13	$107.91
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	481	10/01/12	04/19/13	$436 15	$516.96	$-80.81
FANUC	6954 JP	6356934#8	3	09/11/12	04/19/13	$457 14	$515 73	$-58 59
FAST RETAILING CO LTD	9983 JP	6332439#9	1	09/11/12	04/19/13	$341 04	$232 79	$108.25
ROCKWELL INTL CORP NEW	ROK	773903109	5	09/10/12	04/23/13	$422 25	$366.63	$55.62
FINISAR CORP	FNSR	31787A507	13	08/30/12	04/23/13	$171 45	$181 48	$-10.03
EXPEDITORS INTERNATIONAL WASH	EXPD	302130109	4	08/30/12	04/23/13	$141.20	$145 04	$-3 84
ALTERA CORP	ALTR	021441100	6	08/30/12	04/23/13	$189 68	$219.24	$-29 56
QUEST DIAGNOSTICS INC	DGX	74834L100	8	08/30/12	04/24/13	$448 78	$481 52	$-32 74
M & T BK CORP	MTB	55261F104	5	08/30/12	04/24/13	$499 11	$435 45	$63.66
KIMCO RLTY CORP	KIM	49446R109	6	08/30/12	04/24/13	$137 89	$122 00 *	$15 89
FAST RETAILING CO LTD	9983 JP	6332439#9	2	09/11/12	04/24/13	$677 15	$465 58	$211 57
EXPEDITORS INTERNATIONAL WASH	EXPD	302130109	5	08/30/12	04/25/13	$177.67	$181 30	$-3 63
HOLLYFRONTIER CORP	HFC	436106108	5	08/30/12	04/25/13	$257 79	$200 25	$57 54
RELIANCE STEEL & ALUM CO	RS	759509102	4	08/30/12	04/25/13	$257 02	$203 92	$53 10
LORILLARD INC	LO	544147101	6	08/30/12	04/25/13	$256.01	$252.80	$3 21
AMERISOURCEBERGEN CORP	ABC	03073E105	2	08/30/12	04/25/13	$108 52	$76.02	$32.50
BRUNSWICK CORPORATION	BC	117043109	10	08/30/12	04/25/13	$344.22	$237 20	$107 02
XYLEM INC	XYL	98419M100	4	08/30/12	04/25/13	$111 43	$96.52	$14 91
NOBLE ENERGY INC	NBL	655044105	3	08/30/12	04/29/13	$338.74	$261 24	$77 50
POPULAR INC	BPOP	733174700	16	08/30/12	04/29/13	$456 98	$253 60	$203 38
SM ENERGY CO	SM	78454L100	3	09/27/12	04/29/13	$184 48	$152 89	$31 59
SM ENERGY CO	SM	78454L100	2	08/30/12	04/29/13	$122 99	$92 00	$30.99
AMETEK AEROSPACE PRODS INC	AME	031100100	2	08/30/12	04/29/13	$81 09	$68.36	$12 73
OCEANEERING INTL INC	OII	675232102	3	03/01/13	04/29/13	$204 74	$188 37	$16.37
ON ASSIGNMENT INC	ASGN	682159108	4	03/01/13	04/29/13	$97 05	$89 31	$7 74
AMETEK AEROSPACE PRODS INC	AME	031100100	1	10/09/12	04/29/13	$40 54	$34 74	$5.80

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
CARTER INC	CRI	146229109	3	08/30/12	04/29/13	$196 05	$166 23		$29 82
SEAGATE TECH	STX	G7945M107	5	08/30/12	04/30/13	$182 10	$160 25		$21 85
FEDERAL HOME LN MTG CORP	FH5000000	3128M9D25	684 07	03/15/13	04/30/13	$684 07	$738 37		$-54 30
FEDERAL NATL MTG ASSN	FN3000000	3138A46Y6	593 55	09/07/12	04/30/13	$593 55	$636 39		$-42 84
FEDERAL NATL MTG ASSN	FN3000000	3138MBMB9	66 14	11/07/12	04/30/13	$66 14	$66 14		$0 00
FEDERAL NATIONAL MORTGAGE	FN3745275	31403C6L0	187 86	09/06/12	04/30/13	$187 86	$205 52		$-17 66
FEDERAL NATL MTG ASSN	FN3995672	31416CCH7	674 51	08/30/12	04/30/13	$674 51	$725 21		$-50 70
FLUOR CORP (NEW)-WI	FLR	343412102	4	10/11/12	04/30/13	$226 83	$227 80		$-0 97
ELECTRONICS FOR IMAGING INC	EFII	286082102	8	08/30/12	04/30/13	$214 60	$123 84		$90 76
CRIMSON WINE GROUP LTD	CWGL	22662X100	0 7	02/12/13	04/30/13	$6 32	$5 37		$0 95
FAST RETAILING CO LTD	9983 JP	6332439#9	1	09/11/12	04/30/13	$364 85	$232 79		$132 06
ALTERA CORP	ALTR	021441100	3	08/02/12	04/30/13	$95 93	$119 65		$-23 72
ALTERA CORP	ALTR	021441100	2	08/01/12	04/30/13	$63 96	$79 41		$-15 45
FLUOR CORP (NEW)-WI	FLR	343412102	1	09/13/12	04/30/13	$56 71	$58 29		$-1 58
FEDERAL NATL MTG ASSN	FN3000000	31419BCT0	301 33	10/03/12	04/30/13	$301 33	$322 79		$-21 46
AFC ENTERPRISES INC	AFCE	00104Q107	2	08/30/12	05/01/13	$62 80	$48 58		$14 22
ACI WORLDWIDE INC	ACIW	004498101	13	03/01/13	05/01/13	$609 89	$593 29		$16 60
GARTNER GROUP INC NEW CL A	IT	366651107	10	08/30/12	05/01/13	$575 92	$494 59		$81 33
GOVERNMENT PPTYS INCOME TR	GOV	38376A103	7	08/30/12	05/01/13	$180 81	$158 59	*	$22 22
NEUTRAL TANDEM INC	IQNT	64128B108	32	08/30/12	05/01/13	$141 37	$354 24		$-212 87
STAGE STORES INC NEW	SSI	85254C305	5	08/30/12	05/01/13	$136 96	$107 75		$29 21
ROBERT HALF INTERNATIONAL INC	RHI	770323103	6	08/30/12	05/01/13	$193 46	$159 10		$34 36
CRIMSON WINE GROUP LTD	CWGL	22662X100	3 9	02/06/13	05/01/13	$34 79	$28 64		$6 15
CRIMSON WINE GROUP LTD	CWGL	22662X100	3 5	12/19/12	05/01/13	$31 22	$24 18		$7 04
REGENERON PHARMACEUTICALS INC	REGN	75886F107	2	09/28/12	05/01/13	$486 52	$301 37		$185 15
ROCKWELL INTL CORP NEW	ROK	773903109	5	09/10/12	05/01/13	$416 19	$366 62		$49 57
VERTEX PHARMACEUTICALS INC	VRTX	92532F100	3	08/30/12	05/01/13	$233 20	$159 99		$73 21
ROBERT HALF INTERNATIONAL INC	RHI	770323103	7	08/25/12	05/01/13	$225 70	$188 99		$36 71

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
CRIMSON WINE GROUP LTD	CWGL	22662X100	1 6	02/12/13	05/01/13	$14 27	$12 29		$1 98
CRIMSON WINE GROUP LTD	CWGL	22662X100	0 4	12/19/12	05/02/13	$3 59	$2 76		$0 83
CRIMSON WINE GROUP LTD	CWGL	22662X100	2 4	12/12/12	05/02/13	$21 53	$16 33		$5 20
CRIMSON WINE GROUP LTD	CWGL	22662X100	6 2	12/12/12	05/02/13	$55 61	$42 11		$13 50
HOLOGIC INC	HOLX	436440101	6	08/30/12	05/02/13	$119 92	$118 80		$1 12
ALTERA CORP	ALTR	021441100	1	08/01/12	05/02/13	$32 28	$39 70		$-7 42
ALTERA CORP	ALTR	021441100	2	08/30/12	05/02/13	$64 56	$73 08		$-8.52
ALTERA CORP	ALTR	021441100	2	09/25/12	05/02/13	$64.56	$71 32		$-6.76
FAST RETAILING CO LTD	9983 JP	6332439#9	2	09/11/12	05/02/13	$731 39	$465 58		$265 81
ALLETE INC NEW	ALE	018522300	4	03/01/13	05/03/13	$205.78	$187.75		$18 03
DIAMOND OFFSHORE DRILLING INC	DO	25271C102	10	12/24/12	05/03/13	$704 66	$687 64	*	$17 02
DIAMOND OFFSHORE DRILLING INC	DO	25271C102	4	09/10/12	05/03/13	$281 86	$269 03	*	$12 83
DIAMOND OFFSHORE DRILLING INC	DO	25271C102	2	09/10/12	05/03/13	$140 25	$135.39	*	$4.86
KELLOGG CO	K	487836108	10	08/30/12	05/03/13	$631 45	$506 30		$125.15
CRIMSON WINE GROUP LTD	CWGL	22662X100	1 7	12/12/12	05/03/13	$15.08	$11.55		$3 53
CRIMSON WINE GROUP LTD	CWGL	22662X100	1 3	12/17/12	05/03/13	$11 53	$8 77		$2 76
HOLOGIC INC	HOLX	436440101	5	08/30/12	05/03/13	$100 14	$99 00		$1 14
EXXON MOBIL CORP	XOM	30231G102	49	09/28/12	05/03/13	$4,404.58	$4,476 89		$-72 31
DIAMOND OFFSHORE DRILLING INC	DO	25271C102	19	09/10/12	05/06/13	$1,326 62	$1,277.89	*	$48 73
CRIMSON WINE GROUP LTD	CWGL	22662X100	2 6	12/17/12	05/06/13	$23 20	$17 55		$5 65
RAYMOND JAMES FINL INC	RJF	754730109	4	04/25/13	05/06/13	$168 94	$166 17		$2 77
JUNIPER NETWORKS INC	JNPR	48203R104	11	08/30/12	05/06/13	$185 46	$195.58		$-10 12
CRIMSON WINE GROUP LTD	CWGL	22662X100	5 4	12/13/12	05/06/13	$48.19	$36 37		$11 82
DIAMOND OFFSHORE DRILLING INC	DO	25271C102	17	08/08/12	05/07/13	$1,195 82	$1,137 02	*	$58 80
DIAMOND OFFSHORE DRILLING INC	DO	25271C102	7	08/08/12	05/07/13	$492 74	$468 18	*	$24 56
REGENERON PHARMACEUTICALS INC	REGN	75886F107	2	09/28/12	05/07/13	$523 11	$301 37		$221 74
VALERO ENERGY (NEW)	VLO	91913Y100	6	11/05/12	05/07/13	$233.07	$158 68		$74.39
XYLEM INC	XYL	98419M100	4	08/30/12	05/07/13	$111 06	$96 52		$14 54

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
VALERO ENERGY (NEW)	VLO	91913Y100	1	10/08/12	05/07/13	$38 85	$28 99		$9 86
VALERO ENERGY (NEW)	VLO	91913Y100	8	10/10/12	05/07/13	$310 76	$215 95		$94 81
JUNIPER NETWORKS INC	JNPR	48203R104	7	08/30/12	05/07/13	$117 55	$124 46		$-6 91
DIAMOND OFFSHORE DRILLING INC	DO	25271C102	1	08/08/12	05/08/13	$70 49	$67 32	*	$3 17
DIAMOND OFFSHORE DRILLING INC	DO	25271C102	25	09/28/12	05/08/13	$1,762 32	$1,641 18	*	$121 14
DIAMOND OFFSHORE DRILLING INC	DO	25271C102	13	11/16/12	05/08/13	$916 41	$840 92	*	$75 49
TARGET CORP	TGT	87612E106	35	09/10/12	05/08/13	$2,441 14	$2,255 07		$186 07
TARGET CORP	TGT	87612E106	35	09/28/12	05/08/13	$2,441 13	$2,224 30		$216 83
XYLEM INC	XYL	98419M100	4	08/30/12	05/08/13	$112 17	$96 52		$15 65
TARGET CORP	TGT	87612E106	3	08/08/12	05/08/13	$209 06	$187 80		$21 26
FAST RETAILING CO LTD	9983 JP	6332439#9	1	09/11/12	05/08/13	$366 98	$232 79		$134 19
INTUIT	INTU	461202103	1	08/30/12	05/08/13	$60 26	$58 32		$1 94
KANSAS CITY SOUTHN INDS INC NE	KSU	485170302	2	08/30/12	05/08/13	$219 65	$153 81		$65 84
TARGET CORP	TGT	87612E106	4	08/08/12	05/08/13	$278 99	$250 39		$28 60
TARGET CORP	TGT	87612E106	11	08/08/12	05/09/13	$764 02	$688 58		$75 44
TARGET CORP	TGT	87612E106	18	08/08/12	05/09/13	$1,255 69	$1,126 78		$128 91
TARGET CORP	TGT	87612E106	17	11/16/12	05/09/13	$1,185 94	$1,062 14		$123 80
TARGET CORP	TGT	87612E106	15	12/24/12	05/09/13	$1,046 41	$892 98		$153 43
MASTERCARD INC CL A	MA	57636Q104	1	11/16/12	05/09/13	$554 67	$459 99		$94 68
CA INC	CA	12673P105	15	08/30/12	05/09/13	$404 89	$385 95		$18 94
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	7	09/10/12	05/09/13	$515 50	$417 03		$98 47
C H ROBINSON WORLDWIDE INC NEW	CHRW	12541W209	3	01/31/13	05/09/13	$170 15	$199 49		$-29 34
XYLEM INC	XYL	98419M100	4	08/30/12	05/09/13	$111 47	$96 52		$14 95
AGCO CORP	AGCO	001084102	3	08/30/12	05/09/13	$168 57	$126 03		$42 54
ROCKWELL INTL CORP NEW	ROK	773903109	5	09/10/12	05/09/13	$439 99	$366 63		$73 36
SHINSEI BK LTD	8303 JP	6730936#2	169	11/19/12	05/10/13	$447 82	$275 45		$172 37
FAST RETAILING CO LTD	9983 JP	6332439#9	1	09/11/12	05/10/13	$366 17	$232 79		$133 38
VERTEX PHARMACEUTICALS INC	VRTX	92532F100	1	08/30/12	05/14/13	$80 89	$53 33		$27 56

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
TEXTRON INC	TXT	883203101	7	02/27/13	05/14/13	$189 93	$201 34		$-11 41
CST BRANDS INC	CST	12646R105	0 89	10/10/12	05/14/13	$28.20	$19 41		$8 79
CST BRANDS INC	CST	12646R105	0 11	10/08/12	05/14/13	$3.52	$2.60		$0 92
NATIONSTAR MTG HLDGS INC	NSM	63861C109	6	08/30/12	05/15/13	$266 64	$165 36		$101 28
MAXIM INTEGRATED PRODS INC	MXIM	57772K101	3	04/23/13	05/15/13	$94 16	$98 12		$-3 96
MAXIM INTEGRATED PRODS INC	MXIM	57772K101	6	01/29/13	05/15/13	$187 74	$190 62		$-2.88
TW TELECOM INC	TWTC	87311L104	2	02/15/13	05/15/13	$58 90	$52 55		$6 35
P P G INDS INC	PPG	693506107	4	05/01/13	05/15/13	$625 74	$586.29		$39.45
TW TELECOM INC	TWTC	87311L104	3	09/28/12	05/15/13	$88 36	$78 12		$10 24
EQT CORP	EQT	26884L109	2	08/30/12	05/15/13	$154 79	$106 90		$47.89
NOBLE ENERGY INC	NBL	655044105	2	08/30/12	05/15/13	$233 53	$174.16		$59 37
TW TELECOM INC	TWTC	87311L104	1	09/27/12	05/15/13	$29 45	$26 10		$3 35
FINISAR CORP	FNSR	31787A507	9	08/30/12	05/16/13	$120 89	$125 64		$-4 75
SEAGATE TECH	STX	G7945M107	3	08/30/12	05/17/13	$123 60	$96 15		$27 45
DAVITA INC	DVA	23918K108	1	08/30/12	05/17/13	$127 90	$96 85		$31 05
WESTERN DIGITAL CORP	WDC	958102105	3	08/30/12	05/17/13	$180 21	$126.42		$53 79
BIOMED RLTY TR INC	BMR	09063H107	8	08/30/12	05/20/13	$181 99	$147 96		$34.03
HERBALIFE LTD USD	HLF	G4412G101	4	08/30/12	05/21/13	$201 75	$195.00		$6 75
SIGNATURE BK NEW YORK N Y	SBNY	82669G104	2	04/23/13	05/22/13	$154.57	$144 70		$9 87
DAVITA INC	DVA	23918K108	2	08/30/12	05/23/13	$251 59	$193 70		$57 89
PEOPLES UTD FINL INC	PBCT	712704105	11	03/01/13	05/23/13	$151 49	$145 21		$6 28
PEOPLES UTD FINL INC	PBCT	712704105	6	08/30/12	05/23/13	$82.63	$72 06		$10 57
PEOPLES UTD FINL INC	PBCT	712704105	60	08/30/12	05/24/13	$823 60	$720 60		$103 00
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	4	08/30/12	05/24/13	$331 09	$204.68		$126.41
FAST RETAILING CO LTD	9983 JP	6332439#9	2	09/11/12	05/27/13	$705 76	$465 58		$240 18
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	5	08/30/12	05/28/13	$471 92	$255 85		$216.07
SMITHFIELD FOODS INC	SFD	832248108	9	04/11/13	05/29/13	$292 13	$234 29		$57.84
GOVERNMENT PPTYS INCOME TR	GOV	38376A103	8	08/30/12	05/29/13	$196 57	$181 24	*	$15 33

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
SMITHFIELD FOODS INC	SFD	832248108	10	04/12/13	05/29/13	$324 58	$262 71	$61 87
XYLEM INC	XYL	98419M100	4	08/30/12	05/29/13	$112 08	$96 52	$15 56
UNITED STATES TREAS NT	UNIT23	912828UN8	3000	03/01/13	05/30/13	$2,978 32	$3,039 86	$-61 54
UNITED STATES TREAS NT	UNIT19	912828UF5	3000	01/11/13	05/30/13	$2,945 63	$2,971 88	$-26 25
UNITED STATES TREAS NT	UNIT17	912828TW0	3000	11/23/12	05/30/13	$2,982 89	$3,010 40	$-27 51
UNITED STATES TREAS NT	UNIT17	912828TW0	2000	12/13/12	05/30/13	$1,988 59	$2,007 97	$-19 38
UNITED STATES TREAS NT	UNIT22	912828TE0	4048 52	11/28/12	05/30/13	$4,176 62	$4,425 89	$-249 27
UNITED STATES TREAS NT	UNIT19	912828SH4	3000	08/30/12	05/30/13	$3,029 30	$3,075 23	$-45 93
UNITED STATES TREAS NT	UNIT21	912828RR3	2000	05/07/13	05/30/13	$2,024.06	$2,074 37	$-50 31
UNITED STATES TREAS BD	UNIT29	912810PZ5	0 06	12/07/12	05/30/13	$0 08	$0 09	$-0 01
UNITED STATES TREAS BDS	UNIT25	912810FR4	1234 79	12/07/12	05/30/13	$1,558 05	$1,695 87	$-137 82
FEDERAL NATL MTG ASSN	FN3000000	31419BCT0	2545 56	10/03/12	05/30/13	$2,637 04	$2,726 87	$-89 83
FEDERAL NATL MTG ASSN	FN3995672	31416CCH7	2594 08	08/30/12	05/30/13	$2,760 26	$2,789 06	$-28 80
FEDERAL NATIONAL MORTGAGE	FN3745275	31403C6L0	2488 13	09/06/12	05/30/13	$2,661 53	$2,722 04	$-60 51
FEDERAL NATL MTG ASSN	FN3000000	3138MBMB9	1924 3	11/07/12	05/30/13	$1,936 33	$2,023 21	$-86 88
FEDERAL NATL MTG ASSN	FN3000000	3138A46Y6	3689 07	09/07/12	05/30/13	$3,874 67	$3,955 34	$-80 67
ALLIANZ GLOBAL INVS MANAGED	FXIC X	01882B205	1093	08/30/12	05/30/13	$14,700 85	$14,941 31	$-240 46
ALLIANZ GLOBAL INVS MANAGED	FXIM X	01882B304	1558	08/30/12	05/30/13	$17,387 28	$17,340 54	$46 74
ALLIANZ GLOBAL INVS MANAGED	FXIC X	01882B205	816	08/30/12	05/31/13	$10,926 24	$11,154 72	$-228 48
ALLIANZ GLOBAL INVS MANAGED	FXIM X	01882B304	883	08/30/12	05/31/13	$9,801 30	$9,827 79	$-26 49
FEDERAL HOME LN MTG CORP	FH5000000	3128M9D25	963 01	03/15/13	05/31/13	$1,024 40	$1,039.44	$-15 04
FEDERAL HOME LN MTG CORP	FH5000000	3128M9D25	627 62	03/15/13	05/31/13	$627 62	$677 44	$-49 82
FEDERAL NATL MTG ASSN	FN3000000	3138A46Y6	2380 78	09/07/12	05/31/13	$2,500 56	$2,552 62	$-52 06
FEDERAL NATL MTG ASSN	FN3000000	3138A46Y6	530 53	09/07/12	05/31/13	$530 53	$568 82	$-38 29
FEDERAL NATL MTG ASSN	FN3000000	3138MBMB9	1900.77	11/07/12	05/31/13	$1,907 90	$1,998 47	$-90 57
FEDERAL NATL MTG ASSN	FN3000000	3138MBMB9	105.88	11/07/12	05/31/13	$105 88	$105 88	$0 00
FEDERAL NATIONAL MORTGAGE	FN3745275	31403C6L0	1011 12	09/06/12	05/31/13	$1,083 47	$1,106 17	$-22 70
FEDERAL NATIONAL MORTGAGE	FN3745275	31403C6L0	55 21	09/06/12	05/31/13	$55 21	$55 21	$0 00

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FEDERAL NATL MTG ASSN	FN3995672	31416CCH7	1319 11	08/30/12	05/31/13	$1,403 61	$1,418 25	$-14 64
FEDERAL NATL MTG ASSN	FN3995672	31416CCH7	575 07	08/30/12	05/31/13	$575 07	$618 29	$-43.22
FEDERAL NATL MTG ASSN	FN3000000	31419BCT0	1858 09	10/03/12	05/31/13	$1,921 96	$1,990.44	$-68.48
FEDERAL NATL MTG ASSN	FN3000000	31419BCT0	323 49	10/03/12	05/31/13	$323 49	$346 53	$-23 04
UNITED STATES TREAS BD	UNIT29	912810PZ5	2168 2	12/07/12	05/31/13	$2,840 34	$3,170 45	$-330 11
UNITED STATES TREAS NT	UNIT21	912828RR3	2000	05/07/13	05/31/13	$2,020 00	$2,074 38	$-54 38
UNITED STATES TREAS NT	UNIT19	912828SH4	1000	08/30/12	05/31/13	$1,008 36	$1,025.08	$-16 72
UNITED STATES TREAS NT	UNIT22	912828TE0	2024 3	11/28/12	05/31/13	$2,088 82	$2,212.99	$-124.17
UNITED STATES TREAS NT	UNIT17	912828TW0	3000	11/23/12	05/31/13	$2,979 61	$3,010 39	$-30.78
UNITED STATES TREAS NT	UNIT19	912828UF5	2000	01/11/13	05/31/13	$1,960 94	$1,981 25	$-20 31
UNITED STATES TREAS NT	UNIT23	912828UN8	2000	03/01/13	05/31/13	$1,981 72	$2,026 57	$-44.85
UNITED STATES TREAS NT	UNIT23	912828UN8	1000	04/02/13	05/31/13	$990.86	$1,012 81	$-21 95
PIMCO HIGH YIELD FUND	PHIY X	693390841	515 46	09/13/12	05/31/13	$5,000 00	$4,927 84	$72 16
PIMCO FOREIGN BD FD INSTL UNHE	PFUI X	722005220	429 55	09/26/12	05/31/13	$4,291 23	$5,000 00	$-708 77
PIMCO FOREIGN BD FD INSTL UNHE	PFUI X	722005220	860 59	09/13/12	05/31/13	$8,597.24	$10,000.00	$-1,402.76
PIMCO FOREIGN BD FD INSTL UNHE	PFUI X	722005220	1212 37	09/07/12	05/31/13	$12,111 53	$13,905 83	$-1,794 30
EXXON MOBIL CORP	XOM	30231G102	23	09/10/12	06/03/13	$2,092.89	$2,064 00	$28 89
COCA COLA	KO	191216100	30	08/08/12	06/03/13	$1,218.40	$1,196.04	$22.36
PHILIP MORRIS INTL INC	PM	718172109	24	08/08/12	06/03/13	$2,217 96	$2,187.60	$30 36
SMUCKER J M CO NEW	SJM	832696405	12	12/24/12	06/03/13	$1,222 65	$1,036 15	$186 50
SMUCKER J M CO NEW	SJM	832696405	10	09/10/12	06/03/13	$1,018 88	$860 34	$158 54
TE CONNECTIVITY LTD	TEL	H84989104	29	12/24/12	06/03/13	$1,300 52	$1,081 76	$218 76
TE CONNECTIVITY LTD	TEL	H84989104	8	08/12/12	06/03/13	$358 76	$294 52	$64 24
TE CONNECTIVITY LTD	TEL	H84989104	1	09/10/12	06/03/13	$44 85	$35 98	$8.87
OCCIDENTAL PETROLEUM CORP	OXY	674599105	24	08/08/12	06/03/13	$2,251 22	$2,177 95	$73 27
COCA COLA	KO	191216100	19	07/19/12	06/03/13	$771 65	$771 36	$0.29
COCA COLA	KO	191216100	5	08/02/12	06/03/13	$203 07	$205 43	$-2 36
VENTAS INC	VTR	92276F100	2	08/30/12	06/04/13	$141 60	$130 18	$11.42

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FINISAR CORP	FNSR	31787A507	8	08/30/12	06/04/13	$104 12	$111 68	$-7 56
TE CONNECTIVITY LTD	TEL	H84989104	11	09/10/12	06/04/13	$495 75	$395 77	$99 98
VENTAS INC	VTR	92276F100	5	05/01/13	06/04/13	$354 00	$401 48	$-47.48
NORDSTROM INC	JWN	655664100	3	08/30/12	06/05/13	$176 89	$173 67	$3 22
PIMCO HIGH YIELD FUND	PHIY X	693390841	530 56	09/13/12	06/05/13	$5,088 08	$5,072 16	$15 92
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	11	06/03/13	06/05/13	$926 99	$1,007 88	$-80 89
PIMCO HIGH YIELD FUND	PHIY X	693390841	1577 29	09/07/12	06/05/13	$15,126 18	$15,000 00	$126 18
PIMCO HIGH YIELD FUND	PHIY X	693390841	526 32	09/26/12	06/05/13	$5,047 37	$5,000 00	$47 37
PIMCO HIGH YIELD FUND	PHIY X	693390841	494 1	08/22/12	06/05/13	$4,738 37	$4,664 26	$74 11
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	83	10/01/12	06/06/13	$66 61	$89 20	$-22 59
NORDSTROM INC	JWN	655664100	3	08/30/12	06/06/13	$174 67	$173.67	$1 00
HOLLYFRONTIER CORP	HFC	436106108	2	08/30/12	06/10/13	$92 28	$80 10	$12 18
MAXIM INTEGRATED PRODS INC	MXIM	57772K101	1	01/29/13	06/10/13	$27.77	$31 77	$-4 00
MAXIM INTEGRATED PRODS INC	MXIM	57772K101	7	08/30/12	06/10/13	$194 42	$188 30	$6 12
SIGNATURE BK NEW YORK N Y	SBNY	82669G104	1	04/23/13	06/10/13	$78 12	$72 35	$5 77
ULTA SALON COSMETICS & FRAGRAN	ULTA	90384S303	1	08/30/12	06/10/13	$86 45	$93 95	$-7 50
MOODYS CORP	MCO	615369105	6	08/30/12	06/10/13	$391 05	$233 88	$157 17
TEXTRON INC	TXT	883203101	8	02/26/13	06/11/13	$216 04	$224 17	$-8 13
COCA-COLA ENTERPRISES INC	CCE	19122T109	7	08/30/12	06/11/13	$252 07	$206 43	$45 64
TEXTRON INC	TXT	883203101	15	01/24/13	06/11/13	$405 07	$422 19	$-17 12
HOLLYFRONTIER CORP	HFC	436106108	4	08/30/12	06/11/13	$181 47	$160 20	$21 27
CST BRANDS INC	CST	12646R105	0 67	11/05/12	06/12/13	$21 74	$14 26	$7 48
SM ENERGY CO	SM	78454L100	3	08/30/12	06/12/13	$185 01	$138 00	$47 01
MAXIM INTEGRATED PRODS INC	MXIM	57772K101	6	08/30/12	06/12/13	$161 14	$161 40	$-0 26
BECTON DICKINSON & COMPANY	BDX	075887109	9	12/24/12	06/12/13	$881 20	$707 99	$173 21
BECTON DICKINSON & COMPANY	BDX	075887109	20	09/28/12	06/12/13	$1,958 23	$1,571 10	$387 13
BECTON DICKINSON & COMPANY	BDX	075887109	3	09/28/12	06/13/13	$293 53	$235 67	$57 86
BECTON DICKINSON & COMPANY	BDX	075887109	23	09/10/12	06/13/13	$2,250 38	$1,787 84	$462 54

Short term transactions

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MAXIM INTEGRATED PRODS INC	MXIM	57772K101	4	08/30/12	06/13/13	$109 10	$107.60	$1 50
ALLIANZ GLOBAL INVS MANAGED	FXIM X	01882B304	720	08/30/12	06/13/13	$7,905.60	$8,013 60	$-108 00
ALLIANZ GLOBAL INVS MANAGED	FXIC X	01882B205	302	08/30/12	06/13/13	$3,971.30	$4,128.34	$-157 04
BECTON DICKINSON & COMPANY	BDX	075887109	24	08/08/12	06/13/13	$2,348 22	$1,810.06	$538 16
BECTON DICKINSON & COMPANY	BDX	075887109	12	11/16/12	06/13/13	$1,174 11	$912 74	$261 37
FIFTH THIRD BANCORP	FITB	316773100	15	08/30/12	06/17/13	$275 54	$226.95	$48 59
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	1	09/10/12	06/20/13	$84.42	$59 57	$24 85
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	8	06/03/13	06/20/13	$675 32	$733 00	$-57 68
NOVARTIS AG SPONSORED ADR	NVS	66987V109	13	12/24/12	06/20/13	$909 11	$812 96	$96 15
COCA COLA	KO	191216100	43	09/10/12	06/20/13	$1,680 65	$1,620 45	$60 20
COCA COLA	KO	191216100	41	09/28/12	06/20/13	$1,602 49	$1,566 40	$36 09
COCA COLA	KO	191216100	20	07/12/12	06/20/13	$781 70	$788 51	$-6 81
COCA COLA	KO	191216100	7	08/19/12	06/20/13	$273 60	$277.21	$-3 61
COCA COLA	KO	191216100	27	08/08/12	06/20/13	$1,055 30	$1,076 43	$-21 13
COCA COLA	KO	191216100	11	08/22/12	06/20/13	$429 94	$435 96	$-6 02
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	1090	09/29/12	06/21/13	$818 84	$1,196 81	$-377 97
NOVARTIS AG SPONSORED ADR	NVS	66987V109	12	09/28/12	06/21/13	$841 05	$739 38	$101 67
NOVARTIS AG SPONSORED ADR	NVS	66987V109	5	12/24/12	06/21/13	$350 44	$312.67	$37 77
COCA COLA	KO	191216100	18	09/22/12	06/21/13	$713 13	$667.67	$45 46
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	119	10/01/12	06/21/13	$89.40	$127 89	$-38 49
COCA COLA	KO	191216100	17	09/10/12	06/21/13	$673 52	$640 64	$32 88
ANHEUSER BUSCH INBEV SA/NV	BUD	03524A108	10	06/03/13	06/24/13	$853 66	$914 93	$-61.27
ANHEUSER BUSCH INBEV SA/NV	BUD	03524A108	1	10/13/12	06/24/13	$85.37	$85 12	$0.25
LEUCADIA NATIONAL CORP	LUK	527288104	32	06/03/13	06/24/13	$795.56	$1,007 84	$-212.28
LEUCADIA NATIONAL CORP	LUK	527288104	4	02/12/13	06/24/13	$99 45	$104.33	$-4 88
MONSANTO CO NEW	MON	61166W101	8	06/03/13	06/24/13	$809 80	$804 20	$5 60
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	8	09/10/12	06/24/13	$670 81	$476 60	$194 21
SMUCKER J M CO NEW	SJM	832696405	3	08/30/12	06/25/13	$301 64	$253 71	$47.93

Short term transactions

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NOBLE GROUP LTD	NOBL SP	B01CLC3#6	233	10/01/12	06/25/13	$176 99	$250 42	$-73 43
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	599	09/11/12	06/25/13	$455 02	$606 96	$-151 94
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	86	08/21/12	06/25/13	$65 33	$87 81	$-22 48
CORE LABORATORIES N V	CLB	N22717107	1	08/30/12	06/25/13	$151 17	$120 78	$30 39
FOSTER WHEELER AG	FWLT	H27178104	7	01/17/13	06/26/13	$159 86	$172 51	$-12 65
MONSANTO CO NEW	MON	61166W101	5	06/03/13	06/26/13	$505 70	$502 63	$3 07
FOSTER WHEELER AG	FWLT	H27178104	6	02/22/13	06/27/13	$133 09	$147 52	$-14 43
FOSTER WHEELER AG	FWLT	H27178104	4	01/17/13	06/27/13	$88 73	$98 58	$-9 85
FANUC	6954 JP	6356934#8	4	08/25/12	06/27/13	$559 74	$695 63	$-135 89
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	612	09/11/12	06/27/13	$471 54	$620 13	$-148 59
DAVITA INC	DVA	23918K108	1	08/30/12	06/27/13	$122 65	$96 85	$25 80
BLACKROCK INC CL A	BLK	09247X101	4	11/16/12	06/28/13	$1,028 83	$748 14	$280 69
BLACKROCK INC CL A	BLK	09247X101	4	12/24/12	06/28/13	$1,028 84	$824 72	$204 12
FEDERAL NATL MTG ASSN	FN3995672	31416CCH7	331 79	08/30/12	06/30/13	$331 79	$356 73	$-24 94
FEDERAL NATL MTG ASSN	FN3000000	3138MBMB9	52 9	11/07/12	06/30/13	$52 90	$52 90	$0 00
FEDERAL NATL MTG ASSN	FN3000000	3138A46Y6	420 06	09/07/12	06/30/13	$420 06	$450 38	$-30 32
FEDERAL HOME LN MTG CORP	FH5000000	3128M9D25	510 06	03/15/13	06/30/13	$510 06	$550 55	$-40 49
FEDERAL NATL MTG ASSN	FN3000000	31419BCT0	241.39	10/03/12	06/30/13	$241 39	$258 58	$-17 19
CA INC	CA	12673P105	2	01/23/13	07/01/13	$56 67	$50 12	$6 55
CA INC	CA	12673P105	3	08/30/12	07/01/13	$85 01	$77 19	$7 82
MONSANTO CO NEW	MON	61166W101	3	06/03/13	07/01/13	$292 95	$301 57	$-8 62
MONSANTO CO NEW	MON	61166W101	4	09/28/12	07/01/13	$390 59	$364 63	$25 96
COACH INC	COH	189754104	9	03/05/13	07/01/13	$513 83	$453 17	$60 66
TW TELECOM INC	TWTC	87311L104	2	09/24/12	07/02/13	$56 61	$51 56	$5 05
TW TELECOM INC	TWTC	87311L104	2	09/28/12	07/02/13	$56 62	$52 08	$4 54
MONSANTO CO NEW	MON	61166W101	5	09/28/12	07/02/13	$488.57	$455 78	$32 79
ANHEUSER BUSCH INBEV SA/NV	BUD	03524A108	5	09/10/12	07/02/13	$447 75	$419 98	$27 77
ANHEUSER BUSCH INBEV SA/NV	BUD	03524A108	2	10/13/12	07/02/13	$179 10	$170 24	$8 86

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
DAVITA INC	DVA	23918K108	5	08/30/12	07/02/13	$578 61	$484 25	$94 36
ON ASSIGNMENT INC	ASGN	682159108	69	08/30/12	07/12/13	$2,066 51	$1,118 49	$948 02
1 800 FLOWERS COM INC CL A	FLWS	68243Q106	170	08/30/12	07/12/13	$1,176 39	$623 44	$552.95
ORBITAL SCIENCES CORP	ORB	685564106	74	08/30/12	07/12/13	$1,348 99	$1,024 90	$324 09
PAREXEL INTL CORP	PRXL	699462107	34	08/30/12	07/12/13	$1,688.53	$971 84	$716.69
PRESTIGE BRANDS HLDGS INC	PBH	74112D101	28	08/30/12	07/12/13	$957 58	$448 28	$509.30
PRIVATEBANCORP INC	PVTB	742962103	5	03/01/13	07/12/13	$112 15	$89.45	$22 70
PRIVATEBANCORP INC	PVTB	742962103	83	08/30/12	07/12/13	$1,861 65	$1,344.60	$517 05
RADIOSHACK CORP	RSH	750438103	17	03/01/13	07/12/13	$48 11	$51 06	$-2.95
RADIOSHACK CORP	RSH	750438103	73	08/30/12	07/12/13	$206 58	$176 66	$29 92
RANGE RES CORP	RRC	75281A109	15	08/30/12	07/12/13	$1,155 49	$966 75	$188 74
ROGERS CORP	ROG	775133101	3	02/21/13	07/12/13	$149 58	$140 87	$8 71
ROGERS CORP	ROG	775133101	3	10/18/12	07/12/13	$149 58	$124 96	$24 62
ROGERS CORP	ROG	775133101	5	04/19/13	07/12/13	$249 29	$206 12	$43 17
ROGERS CORP	ROG	775133101	8	08/30/12	07/12/13	$398 87	$312 48	$86 39
ROSETTA RES INC	ROSE	777779307	32	08/30/12	07/12/13	$1,491 81	$1,358 72	$133 09
ROVI CORP	ROVI	779376102	5	03/01/13	07/12/13	$117 30	$89 54	$27 76
ROVI CORP	ROVI	779376102	31	08/30/12	07/12/13	$727 24	$461 59	$265 65
ROVI CORP	ROVI	779376102	2	10/17/12	07/12/13	$46 92	$28 37	$18 55
SELECT INCOME REIT	SIR	81618T100	4	03/01/13	07/12/13	$110 28	$110 23	$0 05
SELECT INCOME REIT	SIR	81618T100	32	12/07/12	07/12/13	$882 22	$768 96	$113 26
SOLAR CAP LTD	SLRC	83413U100	3	03/01/13	07/12/13	$72 39	$73.30	$-0 91
SOLAR CAP LTD	SLRC	83413U100	16	08/30/12	07/12/13	$386 07	$370 40	$15 67
SOLAR CAP LTD	SLRC	83413U100	4	08/24/12	07/12/13	$96 52	$91 69	$4 83
SOLAR CAP LTD	SLRC	83413U100	4	10/19/12	07/12/13	$96.51	$90.68	$5.83
STAGE STORES INC NEW	SSI	85254C305	15	08/30/12	07/12/13	$374 09	$323 24	$50.85
STERLING FINL CORP WASH	STSA	859319303	12	04/30/13	07/12/13	$292 43	$262 43	$30.00
STERLING FINL CORP WASH	STSA	859319303	13	01/16/13	07/12/13	$316 81	$276.00	$40.81

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
STERLING FINL CORP WASH	STSA	859319303	44	08/30/12	07/12/13	$1,072 26	$924 00		$148 26
TEXAS CAP BANCSHARES INC	TCBI	88224Q107	7	08/30/12	07/12/13	$330 88	$318 29		$12 59
TEXAS CAP BANCSHARES INC	TCBI	88224Q107	1	03/01/13	07/12/13	$47 27	$42 26		$5 01
URS CORP NEW	URS	903236107	3	04/10/13	07/12/13	$143 72	$135 33		$8 39
URS CORP NEW	URS	903236107	36	08/30/12	07/12/13	$1,724 69	$1,311 12		$413 57
URS CORP NEW	URS	903236107	1	10/18/12	07/12/13	$47 91	$35 61		$12 30
WILEY JOHN & SONS INC CL A	JW A	968223206	4	08/20/12	07/12/13	$175 72	$210 71		$-34 99
WILEY JOHN & SONS INC CL A	JW A	968223206	7	08/20/12	07/12/13	$307 50	$367 87		$-60 37
WILEY JOHN & SONS INC CL A	JW A	968223206	5	08/19/12	07/12/13	$219 65	$261 81		$-42 16
WILEY JOHN & SONS INC CL A	JW A	968223206	6	08/21/12	07/12/13	$263 57	$312 44		$-48 87
ASSURED GUARANTY LTD	AGO	G0585R106	33	08/30/12	07/12/13	$748 11	$434 61		$313 50
HERBALIFE LTD USD	HLF	G4412G101	30	08/30/12	07/12/13	$1,477 77	$1,462 50		$15 27
PLATINUM UNDERWRITERS HOLDING	PTP	G7127P100	2	11/02/12	07/12/13	$117 70	$88 46		$29 24
PLATINUM UNDERWRITERS HOLDING	PTP	G7127P100	13	08/30/12	07/12/13	$765 03	$515 97		$249 06
TOWER GROUP INTERNATIONAL LT	TWGP	G8988C105	41 92	03/13/13	07/12/13	$878 65	$730 77		$147 88
TOWER GROUP INTERNATIONAL LT	TWGP	G8988C105	19 88	03/13/13	07/12/13	$416 72	$346 58		$70 14
TOWER GROUP INTERNATIONAL LT	TWGP	G8988C105	10 2	03/13/13	07/12/13	$213 72	$177 75		$35 97
ALLIED WORLD ASSURANCE CO HLDX	AWH	H01531104	11	08/30/12	07/12/13	$1,040 91	$860 31		$180 60
AERCAP HOLDINGS N V SHS	AER	N00985106	52	08/30/12	07/12/13	$923 50	$654 68		$268 82
TRONOX LTD	TROX	Q9235V101	27	03/05/13	07/12/13	$559 43	$570 23		$-10 80
TRONOX LTD	TROX	Q9235V101	15	04/29/13	07/12/13	$310 79	$299 33		$11 46
GARTNER GROUP INC NEW CL A	IT	366651107	8	08/30/12	07/12/13	$486 79	$395 68		$91 11
GENESEE & WYO INC CL A	GWR	371559105	12	08/30/12	07/12/13	$1,094 38	$762 84		$331 54
GOVERNMENT PPTYS INCOME TR	GOV	38376A103	14	08/30/12	07/12/13	$366 37	$316 03	*	$50 34
HARSCO CORP	HSC	415864107	62	08/30/12	07/12/13	$1,549 97	$1,266 04		$283 93
HEICO CORP NEW CL A	HEI A	422806208	2	10/18/12	07/12/13	$77 52	$60 70		$16 82
HEICO CORP NEW CL A	HEI A	422806208	38	08/30/12	07/12/13	$1,472 85	$1,127 46		$345 39
HILLSHIRE BRANDS CO	HSH	432589109	4	03/01/13	07/12/13	$136 37	$130 75		$5 62

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
HILLSHIRE BRANDS CO	HSH	432589109	5	10/17/12	07/12/13	$170 46	$135 13	$35 33
HILLSHIRE BRANDS CO	HSH	432589109	14	08/30/12	07/12/13	$477.28	$362 46	$114 82
IAMGOLD INTL AFRICAN MNG GOLD	IAG	450913108	69	08/30/12	07/12/13	$296 69	$887 34	$-590 65
IDEX CORP	IEX	45167R104	3	03/01/13	07/12/13	$174 70	$152 22	$22 48
IDEX CORP	IEX	45167R104	27	08/30/12	07/12/13	$1,572 31	$1,068 39	$503.92
INGRAM MICRO INC CL A	IM	457153104	58	08/30/12	07/12/13	$1,173 31	$879.28	$294 03
INTERSIL HLDG CORP CL A	ISIL	46069S109	38	08/30/12	07/12/13	$315 77	$337 25	$-21.48
INTERSIL HLDG CORP CL A	ISIL	46069S109	28	10/17/12	07/12/13	$232 68	$198 52	$34 16
INVESTMENT TECHNOLOGY GROUP II	ITG	46145F105	17	05/01/13	07/12/13	$252.95	$181.37	$71 58
INVESTMENT TECHNOLOGY GROUP II	ITG	46145F105	48	08/30/12	07/12/13	$714.23	$404 16	$310 07
ITRON INC	ITRI	465741106	7	04/26/13	07/12/13	$297 91	$279 99	$17 92
ITRON INC	ITRI	465741106	8	04/29/13	07/12/13	$340 47	$313 03	$27 44
JARDEN CORP	JAH	471109108	33	08/30/12	07/12/13	$1,511 37	$1,058 71	$452 66
KAYDON CORP	KDN	486587108	17	08/30/12	07/12/13	$487.29	$379.27	$108 02
KRATON PERFORMANCE POLYMERS I	KRA	50077C106	7	03/01/13	07/12/13	$144 90	$168 88	$-23 98
KRATON PERFORMANCE POLYMERS I	KRA	50077C106	3	10/19/12	07/12/13	$62 10	$65 71	$-3 61
KRATON PERFORMANCE POLYMERS I	KRA	50077C106	26	08/30/12	07/12/13	$538.18	$537.94	$0 24
LIQUIDITY SVCS INC	LQDT	53635B107	10	04/10/13	07/12/13	$336 79	$331 83	$4 96
LIQUIDITY SVCS INC	LQDT	53635B107	11	04/30/13	07/12/13	$370 47	$361 79	$8 68
LIQUIDITY SVCS INC	LQDT	53635B107	4	04/15/13	07/12/13	$134.72	$127 02	$7 70
MATTHEWS INTL CORP CL A	MATW	577128101	2	05/03/13	07/12/13	$78 54	$76 22	$2 32
MATTHEWS INTL CORP CL A	MATW	577128101	1	04/15/13	07/12/13	$39 27	$33 50	$5 77
MATTHEWS INTL CORP CL A	MATW	577128101	3	10/19/12	07/12/13	$117.81	$89 76	$28 05
MATTHEWS INTL CORP CL A	MATW	577128101	39	08/30/12	07/12/13	$1,531 49	$1,161 03	$370 46
MEDNAX INC	MD	58502B106	14	08/30/12	07/12/13	$1,339 63	$966 98	$372.65
MERIT MED SYS INC	MMSI	589889104	127	08/30/12	07/12/13	$1,529 10	$1,809.75	$-280.65
NCR CORP NEW	NCR	62886E108	3	03/01/13	07/12/13	$104 41	$82.70	$21 71
NCR CORP NEW	NCR	62886E108	65	08/30/12	07/12/13	$2,262 11	$1,449 50	$812.61

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
NASDAQ STK MKT INC ACCREDITED	NDAQ	631103108	5	02/21/13	07/12/13	$171 35	$154 50	$16 85
NASDAQ STK MKT INC ACCREDITED	NDAQ	631103108	44	08/30/12	07/12/13	$1,507 88	$1,005 84	$502 04
NATIONAL BANK HOLDINGS CORP	NBHC	633707104	8	10/19/12	07/12/13	$156 00	$157 58	$-1 58
NATIONAL BANK HOLDINGS CORP	NBHC	633707104	28	10/26/12	07/12/13	$545 99	$531 78	$14 21
NATIONAL BANK HOLDINGS CORP	NBHC	633707104	15	10/31/12	07/12/13	$292 49	$284 05	$8 44
NATIONAL BANK HOLDINGS CORP	NBHC	633707104	10	11/29/12	07/12/13	$194 99	$184 90	$10 09
NATIONAL BANK HOLDINGS CORP	NBHC	633707104	2	04/15/13	07/12/13	$39 00	$36 90	$2 10
NATIONAL BANK HOLDINGS CORP	NBHC	633707104	17	04/30/13	07/12/13	$331 49	$307 44	$24 05
NATIONAL BANK HOLDINGS CORP	NBHC	633707104	9	03/01/13	07/12/13	$175 50	$162 73	$12 77
NATIONSTAR MTG HLDGS INC	NSM	63861C109	22	08/30/12	07/12/13	$930 14	$606 32	$323 82
NEUSTAR INC CL A	NSR	64126X201	33	08/30/12	07/12/13	$1,705 08	$1,239 48	$465 60
NU SKIN ASIA INC CL A	NUS	67018T105	20	08/30/12	07/12/13	$1,539 37	$826 80	$712 57
OFG BANCORP	OFG	67103X102	26	03/01/13	07/12/13	$467 73	$400 52	$67 21
OFG BANCORP	OFG	67103X102	81	08/30/12	07/12/13	$1,457 17	$862.64	$594 53
OCEANEERING INTL INC	OII	675232102	1	03/01/13	07/12/13	$78 89	$62 79	$16 10
OCEANEERING INTL INC	OII	675232102	30	08/30/12	07/12/13	$2,366 65	$1,587 90	$778 75
ON ASSIGNMENT INC	ASGN	682159108	4	03/01/13	07/12/13	$119 80	$89 30	$30 50
AGL RESOURCES INC	GAS	001204106	41	08/30/12	07/12/13	$1,834 39	$1,625 24	$209 15
ACI WORLDWIDE INC	ACIW	004498101	10	03/01/13	07/12/13	$476 44	$456 37	$20 07
ALBEMARLE CORP	ALB	012653101	2	03/01/13	07/12/13	$129 60	$128 58	$1 02
ALBEMARLE CORP	ALB	012653101	25	08/30/12	07/12/13	$1,619 96	$1,359 83	$260 13
ALLETE INC NEW	ALE	018522300	2	03/01/13	07/12/13	$103 52	$93 88	$9 64
ALLETE INC NEW	ALE	018522300	42	08/30/12	07/12/13	$2,173 88	$1,732 50	$441 38
ALPHA NAT RES INC	ANR	02076X102	15	03/01/13	07/12/13	$84 45	$115 75	$-31 30
ALPHA NAT RES INC	ANR	02076X102	32	08/30/12	07/12/13	$180 15	$191 04	$-10 89
ALTRA HLDGS INC	AIMC	02208R106	22	08/30/12	07/12/13	$668 34	$405.24	$263 10
AMERICAN EQUITY INVT LIFE HLDG	AEL	025676206	12	03/01/13	07/12/13	$204 84	$165 72	$39 12
AMERICAN EQUITY INVT LIFE HLDG	AEL	025676206	114	08/30/12	07/12/13	$1,945 95	$1,316 13	$629 82

U.S. TRUST
Bank of America Private Wealth Management

IM PATNI FAMILY FOUND-MFUNDS

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
AMSURG CORP	AMSG	03232P405	6	03/01/13	07/12/13	$232 68	$180 33		$52.35
AMSURG CORP	AMSG	03232P405	55	08/30/12	07/12/13	$2,132 85	$1,636 80		$496 05
APTARGROUP INC	ATR	038336103	20	08/30/12	07/12/13	$1,189 77	$1,012 00		$177 77
ARCH COAL INC	ACI	039380100	30	08/30/12	07/12/13	$121.19	$185 10		$-63 91
ARCH COAL INC	ACI	039380100	18	03/01/13	07/12/13	$72 72	$89 03		$-16 31
ASPEN TECHNOLOGY INC	AZPN	045327103	77	08/30/12	07/12/13	$2,440 08	$1,876 63		$563 45
AURICO GOLD INC	AUQ	05155C105	130	08/30/12	07/12/13	$621 38	$839 15		$-217 77
AVID TECHNOLOGY INC	AVID	05367P100	29	08/30/12	07/12/13	$179 21	$269.12		$-89 91
BANKUNITED INC	BKU	06652K103	7	03/01/13	07/12/13	$192 57	$196 89		$-4 32
BANKUNITED INC	BKU	06652K103	57	08/30/12	07/12/13	$1,568 03	$1,442 67		$125 36
BARNES GROUP INC	B	067806109	46	08/30/12	07/12/13	$1,515 66	$1,096 18		$419 48
BARNES GROUP INC	B	067806109	12	12/12/12	07/12/13	$395 39	$258.00		$137 39
BELDEN CDT INC	BDC	077454106	27	08/30/12	07/12/13	$1,510.49	$929.61		$580 88
BENEFICIAL MUT BANCORP INC	BNCL	08173R104	89	08/30/12	07/12/13	$764 49	$781 42		$-16 93
BERKSHIRE HILLS BANCORP INC	BHLB	084680107	40	08/30/12	07/12/13	$1,140 78	$895.60		$245.18
BIOMED RLTY TR INC	BMR	09063H107	8	08/30/12	07/12/13	$166 63	$147 95		$18 68
BOTTOMLINE TECHNOLOGIES DEL IN	EPAY	101388106	54	03/01/13	07/12/13	$1,602 15	$1,486 43		$115 72
CAMPUS CREST CMNTYS INC	CCG	13466Y105	14	03/01/13	07/12/13	$166 46	$170 54	*	$-4 08
CAMPUS CREST CMNTYS INC	CCG	13466Y105	9	10/19/12	07/12/13	$107.01	$93 34	*	$13.67
CAMPUS CREST CMNTYS INC	CCG	13466Y105	52	08/30/12	07/12/13	$618 26	$517 79	*	$100 47
CARDINAL FINL CORP	CFNL	14149F109	47	08/30/12	07/12/13	$743.52	$612 41		$131 11
CARTER INC	CRI	146229109	15	08/30/12	07/12/13	$1,119 13	$831 15		$287 98
CBEYOND COMMUNICATIONS INC	CBEY	149847105	55	08/30/12	07/12/13	$465 29	$445 50		$19 79
CHARLES RIV LABORATORIES INTL	CRL	159864107	21	08/30/12	07/12/13	$920 94	$762 72		$158.22
CHEMED CORP NEW	CHE	16359R103	3	03/01/13	07/12/13	$224 55	$232 37		$-7.82
CHEMED CORP NEW	CHE	16359R103	22	08/30/12	07/12/13	$1,646 66	$1,453.76		$192 90
COMSTOCK RES INC NEW	CRK	205768203	38	08/30/12	07/12/13	$626 98	$616 36		$10 62
CROSS CTRY HEALTHCARE INC	CCRN	227483104	51	08/30/12	07/12/13	$289 67	$202 98		$86 69

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
CUBIST PHARMACEUTICALS INC	CBST	229678107	10	08/30/12	07/12/13	$532 29	$463 30	$68 99
DEALERTRACK HLDGS INC	TRAK	242309102	3	03/01/13	07/12/13	$114 93	$87 05	$27 88
DEALERTRACK HLDGS INC	TRAK	242309102	40	08/30/12	07/12/13	$1,532.37	$1,119 60	$412 77
DIGITAL RIV INC	DRIV	25388B104	11	08/30/12	07/12/13	$214 39	$180 84	$33 55
DIGITAL RIV INC	DRIV	25388B104	16	03/01/13	07/12/13	$311 83	$226 75	$85 08
DIGITALGLOBE INC	DGI	25389M877	22	08/30/12	07/12/13	$696 28	$445 72	$250 56
DRESSER-RAND GROUP INC	DRC	261608103	4	03/01/13	07/12/13	$253 04	$231 88	$21 16
DRESSER-RAND GROUP INC	DRC	261608103	35	08/30/12	07/12/13	$2,214 08	$1,726 20	$487 88
DYCOM INDS INC	DY	267475101	89	08/30/12	07/12/13	$2,196 48	$1,326 99	$869 49
ELECTRONICS FOR IMAGING INC	EFII	286082102	56	08/30/12	07/12/13	$1,668 21	$866 88	$801 33
EMULEX CORP NEW	ELX	292475209	42	08/30/12	07/12/13	$348 35	$282 66	$65 69
EMULEX CORP NEW	ELX	292475209	6	10/18/12	07/12/13	$49 77	$40 12	$9 65
EMULEX CORP NEW	ELX	292475209	11	02/21/13	07/12/13	$91 24	$73 43	$17 81
EMULEX CORP NEW	ELX	292475209	66	03/01/13	07/12/13	$547 41	$426 44	$120 97
EQUINIX INC NEW	EQIX	29444U502	7	08/30/12	07/12/13	$1,403 42	$1,372 56	$30 86
EURONET SVCS INC	EEFT	298736109	2	03/01/13	07/12/13	$66 28	$49 39	$16 89
EURONET SVCS INC	EEFT	298736109	53	08/30/12	07/12/13	$1,756 38	$935 45	$820 93
FLIR SYS INC	FLIR	302445101	3	03/01/13	07/12/13	$87 60	$78 78	$8 82
FLIR SYS INC	FLIR	302445101	9	02/21/13	07/12/13	$262 79	$235 08	$27 71
FLIR SYS INC	FLIR	302445101	34	08/30/12	07/12/13	$992 78	$671 84	$320 94
FTI CONSULTING INC	FCN	302941109	3	03/01/13	07/12/13	$109 20	$101 20	$8 00
FTI CONSULTING INC	FCN	302941109	3	10/17/12	07/12/13	$109.20	$78 90	$30 30
FTI CONSULTING INC	FCN	302941109	27	08/30/12	07/12/13	$982 77	$704 43	$278 34
FIRST FINL BANCORP	FFBC	320209109	28	08/30/12	07/12/13	$436 51	$459 48	$-22 97
FULTON FINL CORP PA	FULT	360271100	54	01/14/13	07/12/13	$644 20	$552 64	$91 56
NORDSTROM INC	JWN	655664100	3	08/30/12	07/12/13	$188 00	$173 67	$14 33
PANERA BREAD COMPANY CL A	PNRA	69840W108	1	10/19/12	07/12/13	$189 42	$161 00	$28 42
AFC ENTERPRISES INC	AFCE	00104Q107	45	08/30/12	07/12/13	$1,726 16	$1,093 05	$633 11

Short term transactions

This category includes sales of assets held 12 months or less

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
AGCO CORP	AGCO	001084102	18	08/30/12	07/12/13	$971 08	$756.18	$214 90
AGL RESOURCES INC	GAS	001204106	4	02/21/13	07/12/13	$178 96	$158 79	$20 17
CHECK POINT SOFTWARE TECH LTOR	CHKP	M22465104	2	08/30/12	07/15/13	$107 45	$92 14	$15.31
OCCIDENTAL PETROLEUM CORP	OXY	674599105	14	08/08/12	07/16/13	$1,253 01	$1,270 47	$-17 46
OCCIDENTAL PETROLEUM CORP	OXY	674599105	11	09/10/12	07/16/13	$984 50	$957 28	$27 22
MEAD JOHNSON NUTRITION CO	MJN	582839106	1	09/07/12	07/16/13	$73 52	$69.85	$3 67
MEAD JOHNSON NUTRITION CO	MJN	582839106	2	09/12/12	07/16/13	$147 03	$139 27	$7 76
MEAD JOHNSON NUTRITION CO	MJN	582839106	18	12/17/12	07/16/13	$1,323 27	$1,184.38	$138 89
ENSCO PLC	ESV	G3157S106	2	12/19/12	07/16/13	$117 11	$118 99	$-1 88
ONYX PHARMACEUTICALS INC DEL	ONXX	683399109	1	06/03/13	07/16/13	$133.78	$90 98	$42 80
ONYX PHARMACEUTICALS INC DEL	ONXX	683399109	2	03/11/13	07/16/13	$267 56	$175 19	$92 37
CHECK POINT SOFTWARE TECH LTOR	CHKP	M22465104	2	08/30/12	07/16/13	$107 54	$92.14	$15.40
NOBLE ENERGY INC	NBL	655044105	2	08/30/12	07/16/13	$125 86	$87 08	$38 78
ENSCO PLC	ESV	G3157S106	3	02/27/13	07/16/13	$175 66	$179.98	$-4 32
MEAD JOHNSON NUTRITION CO	MJN	582839106	18	12/13/12	07/16/13	$1,323.27	$1,182 54	$140 73
MEAD JOHNSON NUTRITION CO	MJN	582839106	17	12/13/12	07/17/13	$1,237 01	$1,116 85	$120 16
MEAD JOHNSON NUTRITION CO	MJN	582839106	13	12/13/12	07/17/13	$939.09	$854.06	$85.03
NORDSTROM INC	JWN	655664100	3	08/30/12	07/17/13	$186.42	$173 67	$12 75
MEAD JOHNSON NUTRITION CO	MJN	582839106	10	12/24/12	07/17/13	$722 37	$655 85	$66.52
CROWN CASTLE INTL CORP	CCI	228227104	4	08/30/12	07/17/13	$303 56	$252 64	$50 92
MEAD JOHNSON NUTRITION CO	MJN	582839106	10	12/14/12	07/17/13	$722 38	$655 93	$66 45
AMERISOURCEBERGEN CORP	ABC	03073E105	2	08/30/12	07/18/13	$116 46	$76 02	$40 44
TESORO PETE CORP	TSO	881609101	2	03/14/13	07/18/13	$103 01	$113 33	$-10 32
MARATHON PETE CORP	MPC	56585A102	2	05/07/13	07/18/13	$137 72	$162.95	$-25.23
HUBBELL INC CL B	HUB B	443510201	1	06/11/13	07/18/13	$105 88	$96 42	$9.46
REINSURANCE GROUP AMER INC	RGA	759351604	2	07/10/13	07/19/13	$133 28	$142 30	$-9.02
CHIPOTLE MEXICAN GRILL INC CL	CMG	169656105	1	06/21/13	07/19/13	$405.91	$362 01	$43.90
VERTEX PHARMACEUTICALS INC	VRTX	92532F100	2	08/30/12	07/22/13	$175 09	$106.66	$68.43

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
WATERS CORP	WAT	941848103	1	12/12/12	07/23/13	$99 08	$87 90		$11 18
WESCO INTL INC	WCC	95082P105	2	08/30/12	07/23/13	$144 62	$115 86		$28 76
WATERS CORP	WAT	941848103	1	08/30/12	07/23/13	$99 08	$79 15		$19 93
TESCO CORP	TESO	88157K101	31	07/12/13	07/24/13	$419 19	$415 40		$3 79
FTI CONSULTING INC	FCN	302941109	3	06/20/13	07/24/13	$109 29	$102 38		$6 91
BROADCOM CORP	BRCM	111320107	15	08/30/12	07/24/13	$404 76	$523 05	*	$-118 29
BROADCOM CORP	BRCM	111320107	8	08/30/12	07/24/13	$218 56	$278 96	*	$-60 40
CAMERON INTL CORP	CAM	13342B105	4	08/30/12	07/26/13	$229 99	$212 47		$17 52
CAMERON INTL CORP	CAM	13342B105	3	01/03/13	07/26/13	$172 49	$174 97		$-2 48
COACH INC	COH	189754104	4	08/11/12	07/29/13	$229 88	$273 70		$-43 82
FOSTER WHEELER AG	FWLT	H27178104	4	02/26/13	07/29/13	$81 54	$93 23		$-11 69
COACH INC	COH	189754104	30	09/10/12	07/29/13	$1,733 33	$1,871 81		$-138 48
COACH INC	COH	189754104	14	12/24/12	07/29/13	$808 88	$806 25		$2 63
COACH INC	COH	189754104	42	08/08/12	07/29/13	$2,426 66	$2,332 21		$94 45
COACH INC	COH	189754104	42	09/28/12	07/29/13	$2,426 65	$2,268 63		$158 02
COACH INC	COH	189754104	20	11/16/12	07/29/13	$1,155 55	$1,071 88		$83 67
COACH INC	COH	189754104	32	03/13/13	07/29/13	$1,848 88	$1,569 04		$279 84
CROWN CASTLE INTL CORP	CCI	228227104	2	08/30/12	07/29/13	$145 75	$126 32		$19 43
IRON MTN INC PA	IRM	462846106	2	05/09/13	07/29/13	$55.72	$79 03		$-23 31
IRON MTN INC PA	IRM	462846106	0.13	08/11/12	07/29/13	$3 68	$4 52		$-0 84
IRON MTN INC PA	IRM	462846106	2 87	01/15/13	07/29/13	$79 90	$95 61		$-15 71
IRON MTN INC PA	IRM	462846106	0 13	01/15/13	07/29/13	$3 68	$4 40		$-0 72
IRON MTN INC PA	IRM	462846106	3 87	08/30/12	07/29/13	$107 76	$127 28		$-19 52
TW TELECOM INC	TWTC	87311L104	4	09/24/12	07/29/13	$117 84	$103 11		$14 73
FOSTER WHEELER AG	FWLT	H27178104	4	02/22/13	07/29/13	$81 53	$98 35		$-16 82
FOSTER WHEELER AG	FWLT	H27178104	14	01/16/13	07/29/13	$285 37	$342 91		$-57 54
COACH INC	COH	189754104	12	09/10/12	07/29/13	$689 62	$748 72		$-59 10
SANDISK CORP	SNDK	80004C101	6	02/15/13	07/30/13	$324 43	$310 41		$14 02

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
SANDISK CORP	SNDK	80004C101	1	05/01/13	07/30/13	$54 07	$51 30		$2 77
HERBALIFE LTD USD	HLF	G4412G101	3	08/30/12	07/30/13	$193 62	$146 25		$47 37
VERTEX PHARMACEUTICALS INC	VRTX	92532F100	2	08/30/12	07/30/13	$163 85	$106 66		$57 19
ULTIMATE SOFTWARE GROUP INC	ULTI	90385D107	1	02/06/13	07/30/13	$130 54	$96 45		$34 09
SIGNATURE BK NEW YORK N Y	SBNY	82669G104	12	07/12/13	07/31/13	$1,104 05	$1,062 60		$41 45
ULTIMATE SOFTWARE GROUP INC	ULTI	90385D107	1	02/06/13	07/31/13	$134 65	$96 44		$38 21
CAREFUSION CORP	CFN	14170T101	4	07/10/13	07/31/13	$154 86	$151 49		$3.37
CAREFUSION CORP	CFN	14170T101	4	08/30/12	07/31/13	$154 86	$106 00		$48 86
HUMANA INC	HUM	444859102	3	05/06/13	07/31/13	$274 68	$229 45		$45 23
FEDERAL NATL MTG ASSN	FN3000000	31419BCT0	173 78	10/03/12	07/31/13	$173 78	$186.16		$-12 38
WEATHERFORD INTL LTD	WFT	H27013103	12	09/27/12	07/31/13	$166 65	$150 85		$15 80
FEDERAL HOME LN MTG CORP	FH5000000	3128M9D25	488 77	03/15/13	07/31/13	$488.77	$527 57		$-38 80
FEDERAL NATL MTG ASSN	FN3000000	3138A46Y6	258 16	09/07/12	07/31/13	$258 16	$276.79		$-18 63
FEDERAL NATL MTG ASSN	FN3000000	3138MBMB9	34 95	11/07/12	07/31/13	$34 95	$34 95		$0 00
FEDERAL NATL MTG ASSN	FN3995672	31416CCH7	273 15	08/30/12	07/31/13	$273 15	$293 68		$-20 53
WEATHERFORD INTL LTD	WFT	H27013103	20	09/21/12	07/31/13	$277 75	$258 33		$19 42
SM ENERGY CO	SM	78454L100	5	08/30/12	08/01/13	$352 29	$230 01		$122 28
SOLARWINDS INC	SWI	83416B109	1	02/06/13	08/02/13	$37.00	$53 27		$-16 27
BROADCOM CORP	BRCM	111320107	2	08/23/12	08/02/13	$53 87	$83 08	*	$-29 21
BROADCOM CORP	BRCM	111320107	3	08/30/12	08/02/13	$80 81	$105 27	*	$-24 46
ROPER INDS INC NEW	ROP	776696106	1	08/30/12	08/02/13	$126 64	$102 70		$23 94
CROWN CASTLE INTL CORP	CCI	228227104	3	08/30/12	08/02/13	$208 49	$189 48		$19.01
PRICE T ROWE GROUP INC	TROW	74144T108	1	02/13/13	08/02/13	$76 11	$74 21		$1 90
PANERA BREAD COMPANY CL A	PNRA	69840W108	2	08/30/12	08/02/13	$339 81	$314 28		$25 53
SBA COMMUNICATIONS CORP	SBAC	78388J106	4	03/05/13	08/02/13	$296 82	$292.03		$4 79
SBA COMMUNICATIONS CORP	SBAC	78388J106	1	03/22/13	08/02/13	$74.21	$72 07		$2 14
SOLARWINDS INC	SWI	83416B109	1	04/16/13	08/02/13	$37.00	$51 27		$-14 27
PRICE T ROWE GROUP INC	TROW	74144T108	1	01/28/13	08/02/13	$76 11	$71 60		$4 51

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
SOLARWINDS INC	SWI	83416B109	3	02/19/13	08/02/13	$111 01	$161 99		$-50 98
KANSAS CITY SOUTHN INDS INC NE	KSU	485170302	2	08/30/12	08/05/13	$217 25	$153 81		$63 44
HARMAN INTL INDS INC NEW	HAR	413086109	5	01/09/13	08/05/13	$302 22	$244 31		$57 91
DAVITA INC	DVA	23918K108	4	08/30/12	08/05/13	$471 00	$387 40		$83 60
BROADCOM CORP	BRCM	111320107	5	04/24/13	08/05/13	$134 97	$175 40		$-40 43
BROADCOM CORP	BRCM	111320107	2	08/30/12	08/05/13	$53 99	$70 18	*	$-16 19
OREILLY AUTOMOTIVE INC	ORLY	67103H107	1	04/11/13	08/06/13	$126 86	$105 21		$21 65
ARCH CAPITAL GROUP LTD	ACGL	G0450A105	4	08/30/12	08/06/13	$215 71	$158 64		$57 07
HOLOGIC INC	HOLX	436440101	12	08/30/12	08/06/13	$271 13	$237 60		$33 53
SVB FINL GROUP	SIVB	78486Q101	2	07/12/13	08/07/13	$172 34	$174 00		$-1 66
KANSAS CITY SOUTHN INDS INC NE	KSU	485170302	2	08/30/12	08/07/13	$212 76	$153 80		$58 96
UMPQUA HLDGS CORP	UMPQ	904214103	23	07/12/13	08/07/13	$390 51	$364 53		$25 98
SOURCEFIRE INC	FIRE	83616T108	22	07/12/13	08/07/13	$1,658 51	$1,271 70		$386 81
DAVITA INC	DVA	23918K108	6	08/30/12	08/07/13	$676 57	$581 09		$95 48
VORNADO RLTY TR	VNO	929042109	8	08/30/12	08/09/13	$680 33	$646 96		$33 37
VORNADO RLTY TR	VNO	929042109	3	03/12/13	08/09/13	$255 13	$251 20		$3 93
CROWN CASTLE INTL CORP	CCI	228227104	1	08/30/12	08/09/13	$68.96	$63 16		$5 80
UNITED STATES TREAS NT	UNIT19	912828SH4	7000	08/30/12	08/09/13	$6,935 47	$7,175 55		$-240 08
MACYS INC	M	55616P104	4	06/26/13	08/14/13	$184 64	$189 32		$-4 68
SM ENERGY CO	SM	78454L100	2	08/30/12	08/14/13	$135 37	$92 00		$43 37
COBALT INTL ENERGY INC	CIE	19075F106	6	08/30/12	08/19/13	$153 61	$131 52		$22 09
SOUTHWESTERN ENERGY CO	SWN	845467109	2	02/13/13	08/19/13	$73 41	$67 14		$6.27
SOUTHWESTERN ENERGY CO	SWN	845467109	5	04/16/13	08/19/13	$183 51	$182 18		$1 33
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	12	09/10/12	08/20/13	$787 19	$630 90		$156 29
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	8	09/10/12	08/20/13	$813 38	$476 60		$336 78
SOUTHWESTERN ENERGY CO	SWN	845467109	4	02/13/13	08/21/13	$147 74	$134 29		$13 45
SM ENERGY CO	SM	78454L100	2	08/30/12	08/21/13	$133 78	$92.00		$41 78
STAPLES INC	SPLS	855030102	7	02/22/13	08/21/13	$100 24	$93 53		$6 71

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	8	09/10/12	08/22/13	$794 26	$476 60	$317 66
FEDERAL HOME LN MTG CORP	FH5000000	3128M9D25	5112 15	03/15/13	08/22/13	$5,420 48	$5,517 93	$-97.45
TORCHMARK CORP	TMK	891027104	2	07/10/13	08/27/13	$139 75	$136 56	$3 19
HOLOGIC INC	HOLX	436440101	6	08/30/12	08/27/13	$134.93	$118 80	$16 13
CA INC	CA	12673P105	7	01/23/13	08/27/13	$207 73	$175 43	$32 30
HARMAN INTL INDS INC NEW	HAR	413086109	2	01/09/13	08/27/13	$132.84	$97 73	$35 11
DISCOVER FINL SVCS	DFS	254709108	6	08/07/13	08/28/13	$281 85	$302 50	$-20 65
TORTOISE ENERGY INFRASTRUCTURI	TYG	89147L100	18	07/12/13	08/30/13	$794 78	$821 34	$-26 56
TORTOISE ENERGY INFRASTRUCTURI	TYG	89147L100	4	08/16/13	08/30/13	$176 62	$175 23	$1 39
FEDERAL NATL MTG ASSN	FN3000000	3138MBMB9	33 67	11/07/12	08/31/13	$33 67	$33 67	$0 00
FEDERAL NATL MTG ASSN	FN3000000	3138A46Y6	211 59	09/07/12	08/31/13	$211 59	$226.86	$-15 27
FEDERAL HOME LN MTG CORP	FH5000000	3128M9D25	124 44	03/15/13	08/31/13	$124 44	$124 44	$0 00
FEDERAL NATL MTG ASSN	FN3000000	31419BCT0	113 95	10/03/12	08/31/13	$113 95	$113 95	$0 00
AMPHENOL CORP NEW CL A	APH	032095101	2	07/18/13	09/06/13	$150.38	$157 08	$-6 70
SOLARWINDS INC	SWI	83416B109	2	01/15/13	09/06/13	$76 05	$122 12	$-46 07
SOLARWINDS INC	SWI	83416B109	1	04/16/13	09/06/13	$38 03	$51 27	$-13 24
DOMINION DIAMOND CORP	DDC	257287102	58	06/03/13	09/09/13	$744 88	$879 87	$-134 99
DOMINION DIAMOND CORP	DDC	257287102	3	11/01/12	09/09/13	$38 53	$43 60	$-5 07
DOMINION DIAMOND CORP	DDC	257287102	9	10/17/12	09/09/13	$115 58	$130 60	$-15 02
SOLARWINDS INC	SWI	83416B109	2	05/01/13	09/10/13	$78 89	$89 31	$-10 42
ONYX PHARMACEUTICALS INC DEL	ONXX	683399109	1	03/21/13	09/10/13	$123 65	$83 43	$40 22
ONYX PHARMACEUTICALS INC DEL	ONXX	683399109	1	03/20/13	09/10/13	$123 65	$84 47	$39 18
ONYX PHARMACEUTICALS INC DEL	ONXX	683399109	1	03/11/13	09/10/13	$123.58	$87.60	$35 98
CONCHO RES INC	CXO	20605P101	1	01/22/13	09/10/13	$99 94	$90 38	$9 56
AMPHENOL CORP NEW CL A	APH	032095101	2	07/18/13	09/10/13	$155 45	$157.09	$-1 64
DOMINION DIAMOND CORP	DDC	257287102	9	10/17/12	09/10/13	$116.76	$130.60	$-13 84
ONYX PHARMACEUTICALS INC DEL	ONXX	683399109	1	03/26/13	09/10/13	$123.58	$85.63	$37 95
SOLARWINDS INC	SWI	83416B109	1	04/16/13	09/10/13	$39.44	$51 27	$-11 83

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	6	07/01/13	09/11/13	$385 89	$275 96	$109 93
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	5	07/02/13	09/11/13	$321 58	$231 68	$89 90
DOMINION DIAMOND CORP	DDC	257287102	1	10/17/12	09/11/13	$13 11	$14 51	$-1 40
FAST RETAILING CO LTD	9983 JP	6332439#9	1	06/06/13	09/12/13	$351 17	$314 85	$36 32
FAST RETAILING CO LTD	9983 JP	6332439#9	5	06/04/13	09/12/13	$1,755 88	$1,652 28	$103 60
DOMINION DIAMOND CORP	DDC	257287102	10	10/24/12	09/12/13	$128 70	$139 48	$-10 78
DOMINION DIAMOND CORP	DDC	257287102	22	10/23/12	09/12/13	$283 13	$307 59	$-24 46
DOMINION DIAMOND CORP	DDC	257287102	1	11/30/12	09/12/13	$12 87	$14 03	$-1 16
DOMINION DIAMOND CORP	DDC	257287102	23	11/30/12	09/12/13	$295 61	$322 71	$-27 10
DOMINION DIAMOND CORP	DDC	257287102	25	12/07/12	09/12/13	$321 32	$352 20	$-30 88
DOMINION DIAMOND CORP	DDC	257287102	9	10/26/12	09/12/13	$115 68	$127 09	$-11 41
DOMINION DIAMOND CORP	DDC	257287102	12	10/25/12	09/12/13	$154 23	$169 86	$-15 63
DOMINION DIAMOND CORP	DDC	257287102	27	11/02/12	09/12/13	$347 02	$387 18	$-40 16
DOMINION DIAMOND CORP	DDC	257287102	38	10/17/12	09/12/13	$488 40	$551 43	$-63 03
SHINSEI BK LTD	8303 JP	6730936#2	368	06/04/13	09/12/13	$802 71	$793 04	$9 67
FTI CONSULTING INC	FCN	302941109	4	06/20/13	09/12/13	$140 91	$136 50	$4 41
ENSCO PLC	ESV	G3157S106	1	12/19/12	09/13/13	$55 84	$59 49	$-3 65
ENSCO PLC	ESV	G3157S106	2	03/14/13	09/13/13	$111 67	$118 48	$-6 81
SCHWAB CHARLES CORP NEW	SCHW	808513105	6	11/07/12	09/16/13	$130 76	$80 54	$50 22
DOMINION DIAMOND CORP	DDC	257287102	44	11/20/12	09/16/13	$570 73	$601 92	$-31 19
DOMINION DIAMOND CORP	DDC	257287102	5	12/05/12	09/16/13	$64 95	$69 55	$-4 60
DOMINION DIAMOND CORP	DDC	257287102	21	12/05/12	09/16/13	$272 39	$292 11	$-19 72
DOMINION DIAMOND CORP	DDC	257287102	11	10/24/12	09/16/13	$142 89	$153 43	$-10 54
OLAM INTL LTD	OLAM SP	B05Q3L4#0	349	06/04/13	09/17/13	$401 51	$481 15	$-79 64
DOMINION DIAMOND CORP	DDC	257287102	3	11/20/12	09/17/13	$39 06	$41 04	$-1 98
DOMINION DIAMOND CORP	DDC	257287102	8	11/16/12	09/17/13	$104 17	$109 20	$-5 03
CULLEN FROST BANKERS INC	CFR	229899109	4	09/28/12	09/17/13	$280 46	$230 22	$50 24
DOMINION DIAMOND CORP	DDC	257287102	9	11/16/12	09/17/13	$117 18	$122 85	$-5 67

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
PRICE T ROWE GROUP INC	TROW	74144T108	4	01/28/13	09/18/13	$290.93	$286 39	$4 54
DOMINION DIAMOND CORP	DDC	257287102	43	11/16/12	09/18/13	$563 09	$586 95	$-23 86
DOMINION DIAMOND CORP	DDC	257287102	9	10/18/12	09/18/13	$117 86	$122 72	$-4 86
PRICE T ROWE GROUP INC	TROW	74144T108	2	02/26/13	09/18/13	$145 47	$140.30	$5 17
HARMAN INTL INDS INC NEW	HAR	413086109	5	05/02/13	09/18/13	$331.48	$239 90	$91.58
HARMAN INTL INDS INC NEW	HAR	413086109	2	01/09/13	09/18/13	$132 59	$97 72	$34 87
AGILENT TECHNOLOGIES INC	A	00846U101	2	08/26/13	09/19/13	$103 09	$95 67	$7 42
AGILENT TECHNOLOGIES INC	A	00846U101	2	04/05/13	09/19/13	$103 08	$83 58	$19 50
AMPHENOL CORP NEW CL A	APH	032095101	1	07/18/13	09/20/13	$77 73	$78 54	$-0 81
TW TELECOM INC	TWTC	87311L104	4	12/13/12	09/23/13	$120 29	$101.84	$18 45
DOMINION DIAMOND CORP	DDC	257287102	11	10/18/12	09/24/13	$138 75	$149 99	$-11 24
TW TELECOM INC	TWTC	87311L104	4	11/08/12	09/24/13	$120 93	$99 84	$21 09
CA INC	CA	12673P105	7	01/23/13	09/24/13	$212 06	$175 43	$36.63
CA INC	CA	12673P105	8	02/20/13	09/24/13	$242 36	$199 70	$42 66
DOMINION DIAMOND CORP	DDC	257287102	7	10/18/12	09/25/13	$88 16	$95 45	$-7 29
HARRIS TEETER SUPERMARKETS INC	HTSI	414585109	7	08/16/13	09/25/13	$344.49	$344 54	$-0 05
HARRIS TEETER SUPERMARKETS INC	HTSI	414585109	37	07/12/13	09/25/13	$1,820 89	$1,825 21	$-4 32
CLEARBRIDGE ENERGY MLP FUND IN	CEM	184692101	17	07/12/13	09/25/13	$445 60	$477.36	$-31 76
CATERPILLAR INC	CAT	149123101	17	03/13/13	09/26/13	$1,430 29	$1,520 82	$-90.53
HUMANA INC	HUM	444859102	6	11/08/12	09/26/13	$560 16	$412.86	$147 30
HUMANA INC	HUM	444859102	1	02/20/13	09/26/13	$93 36	$72 67	$20 69
DOMINION DIAMOND CORP	DDC	257287102	6	10/18/12	09/26/13	$75 15	$81 74	$-6 59
DOMINION DIAMOND CORP	DDC	257287102	6	10/18/12	09/26/13	$75 15	$81 81	$-6 66
PROCTER & GAMBLE CO	PG	742718109	19	09/28/12	09/26/13	$1,479 81	$1,316 23	$163 58
CATERPILLAR INC	CAT	149123101	14	12/14/12	09/26/13	$1,177 88	$1,246 84	$-68 96
CATERPILLAR INC	CAT	149123101	14	05/08/13	09/26/13	$1,177 88	$1,258 96	$-81 08
CATERPILLAR INC	CAT	149123101	10	05/09/13	09/26/13	$841 35	$903.23	$-61.88
CLEARBRIDGE ENERGY MLP FUND IN	CEM	184692101	4	07/12/13	09/26/13	$105 03	$112 32	$-7 29

Short term transactions

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CLEARBRIDGE ENERGY MLP FUND IN	CEM	184692101	5	08/16/13	09/26/13	$131 29	$137 05	$-5 76
INTERNATIONAL PAPER CO	IP	460146103	6	03/26/13	09/27/13	$272 61	$272 02	$0 59
PROCTER & GAMBLE CO	PG	742718109	13	09/28/12	09/27/13	$1,002 45	$900 57	$101 88
PROCTER & GAMBLE CO	PG	742718109	14	12/24/12	09/27/13	$1,079 56	$960 89	$118 67
FEDERAL NATL MTG ASSN	FN3000000	3138MBMB9	33 82	11/07/12	09/30/13	$33 82	$33 82	$0 00
DOMINION DIAMOND CORP	DDC	257287102	16	10/16/12	09/30/13	$194 88	$217 66	$-22 78
FEDERAL NATL MTG ASSN	FN3000000	31419BCT0	77 12	10/03/12	09/30/13	$77 12	$77 12	$0 00
FEDERAL HOME LN MTG CORP	FH5000000	3128M9D25	87 94	03/15/13	09/30/13	$87 94	$87 94	$0 00
DOMINION DIAMOND CORP	DDC	257287102	10	10/18/12	09/30/13	$121 80	$136 22	$-14 42
FEDERAL HOME LN MTG CORP	FH5000000	3128MJS76	3 2	09/05/13	09/30/13	$3 20	$3 20	$0 00
DOMINION DIAMOND CORP	DDC	257287102	1	10/16/12	10/01/13	$12 07	$13 60	$-1 53
DOMINION DIAMOND CORP	DDC	257287102	8	11/13/12	10/01/13	$96 59	$108 64	$-12 05
DOMINION DIAMOND CORP	DDC	257287102	14	11/12/12	10/01/13	$169 03	$188 60	$-19 57
SYMANTEC CORP	SYMC	871503108	3	05/13/13	10/01/13	$73 98	$72 59	$1 39
DOMINION DIAMOND CORP	DDC	257287102	11	10/16/12	10/01/13	$132 81	$146 54	$-13 73
DOMINION DIAMOND CORP	DDC	257287102	21	10/15/12	10/01/13	$253 55	$278 43	$-24 88
SYMANTEC CORP	SYMC	871503108	9	03/13/13	10/01/13	$221 94	$220 29	$1 65
DOMINION DIAMOND CORP	DDC	257287102	22	11/15/12	10/01/13	$265 62	$294 58	$-28 96
DOMINION DIAMOND CORP	DDC	257287102	50	10/15/12	10/02/13	$609 79	$662 92	$-53 13
C H ROBINSON WORLDWIDE INC NEW	CHRW	12541W209	1	01/15/13	10/02/13	$59 03	$64 92	$-5 89
C H ROBINSON WORLDWIDE INC NEW	CHRW	12541W209	1	01/14/13	10/02/13	$59 02	$64 67	$-5 65
C H ROBINSON WORLDWIDE INC NEW	CHRW	12541W209	2	01/14/13	10/02/13	$117 96	$129 33	$-11 37
KANSAS CITY SOUTHN INDS INC NE	KSU	485170302	2	11/16/12	10/02/13	$221 93	$148 28	$73 65
PVH CORP	PVH	693656100	1	08/26/13	10/02/13	$115 25	$130 10	$-14.85
WHITING PETE CORP NEW	WLL	966387102	2	08/02/13	10/02/13	$122 74	$106 72	$16 02
SPDR S&P MIDCAP 400 ETF TR	MDY	78467Y107	1	09/26/13	10/02/13	$228 10	$226 76	$1 34
SPDR S&P MIDCAP 400 ETF TR	MDY	78467Y107	1	09/24/13	10/02/13	$228 10	$225 80	$2 30
SPDR S&P MIDCAP 400 ETF TR	MDY	78467Y107	1	07/19/13	10/02/13	$228 10	$224 32	$3 78

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SPDR S&P MIDCAP 400 ETF TR	MDY	78467Y107	1	07/18/13	10/02/13	$228 11	$224 22		$3 89
SPDR S&P MIDCAP 400 ETF TR	MDY	78467Y107	1	07/26/13	10/02/13	$228 10	$222 75		$5 35
SPDR S&P MIDCAP 400 ETF TR	MDY	78467Y107	1	08/02/13	10/02/13	$228 10	$227 73		$0 37
WHITING PETE CORP NEW	WLL	966387102	2	02/15/13	10/03/13	$124 56	$100.03		$24 53
SYMRISE AG	SY1 GR	B1JB4K8#3	11	06/03/13	10/08/13	$473 31	$447 96		$25 35
SYMRISE AG	SY1 GR	B1JB4K8#3	11	02/19/13	10/08/13	$473 31	$423 20		$50 11
SYMRISE AG	SY1 GR	B1JB4K8#3	11	03/18/13	10/08/13	$473 31	$431.03		$42.28
SYMRISE AG	SY1 GR	B1JB4K8#3	34	02/11/13	10/09/13	$1,435 21	$1,288 79		$146 42
SYMRISE AG	SY1 GR	B1JB4K8#3	16	02/14/13	10/09/13	$675 40	$604 08		$71 32
SYMRISE AG	SY1 GR	B1JB4K8#3	11	02/12/13	10/09/13	$464 33	$413 46		$50 87
HUNTINGTON INGALLS INDS INC	HII	446413106	5	03/13/13	10/09/13	$338 08	$264 48		$73 60
ELDORADO GOLD CORP NEW	EGO	284902103	11	02/07/13	10/09/13	$67 30	$125 28		$-57.98
CURTISS WRIGHT	CW	231561101	4	06/20/13	10/09/13	$179 60	$145 44		$34 16
SYMRISE AG	SY1 GR	B1JB4K8#3	21	02/08/13	10/09/13	$886.46	$782 08		$104 38
FTI CONSULTING INC	FCN	302941109	2	06/20/13	10/11/13	$77 71	$68 25		$9 46
TOWERS WATSON & CO	TW	891894107	1	11/06/12	10/11/13	$116 64	$54 92		$61 72
TOWERS WATSON & CO	TW	891894107	2	07/10/13	10/11/13	$233 27	$168.23		$65 04
CHIPOTLE MEXICAN GRILL INC CL	CMG	169656105	1	01/31/13	10/16/13	$436 82	$307.17		$129 65
LAS VEGAS SANDS CORP	LVS	517834107	20	06/03/13	10/16/13	$1,394 96	$1,159.93	*	$235 03
TERADATA CORP DEL	TDC	88076W103	3	11/02/12	10/17/13	$124 02	$187 70		$-63 68
COSTAR GROUP INC	CSGP	22160N109	3	08/16/13	10/18/13	$519 50	$464 57		$54 93
COSTAR GROUP INC	CSGP	22160N109	3	07/12/13	10/18/13	$519 51	$404 06		$115 45
GULFPORT ENERGY CORP NEW	GPOR	402635304	9	08/16/13	10/18/13	$596.27	$482 18		$114 09
II-VI INC	IIVI	902104108	7	07/12/13	10/18/13	$132 32	$120 82		$11.50
NORDSON CORP	NDSN	655663102	16	07/12/13	10/18/13	$1,193 78	$1,184 32		$9 46
II-VI INC	IIVI	902104108	11	08/16/13	10/18/13	$207 93	$213.07		$-5 14
GULFPORT ENERGY CORP NEW	GPOR	402635304	13	07/12/13	10/18/13	$861 28	$639 08		$222 20
II-VI INC	IIVI	902104108	46	07/12/13	10/21/13	$868 74	$793 96		$74 78

Short term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
PROCTER & GAMBLE CO	PG	742718109	15	11/16/12	10/21/13	$1,179 02	$997 79	$181 23
URBAN OUTFITTERS INC	URBN	917047102	3	03/20/13	10/22/13	$108 90	$119 72	$-10 82
COHU INC	COHU	192576106	18	07/12/13	10/23/13	$195 03	$238 68	$-43 65
CUBIST PHARMACEUTICALS INC	CBST	229678107	7	08/16/13	10/23/13	$448 01	$430 22	$17 79
SEAGATE TECH	STX	G7945M107	6	09/25/13	10/23/13	$290 43	$261 03	$29 40
AVNET INC	AVT	053807103	3	04/30/13	10/23/13	$124 26	$97 04	$27 22
AVNET INC	AVT	053807103	5	10/09/13	10/23/13	$207 10	$208 78	$-1 68
COHU INC	COHU	192576106	8	07/12/13	10/24/13	$87 90	$106 08	$-18 18
CULLEN FROST BANKERS INC	CFR	229899109	9	12/24/12	10/24/13	$641 67	$492 30	$149 37
TOWERS WATSON & CO	TW	891894107	3	11/06/12	10/24/13	$344 67	$164 75	$179 92
MCKESSON HBOC INC	MCK	58155Q103	1	02/27/13	10/24/13	$150 07	$105 53	$44 54
MCKESSON HBOC INC	MCK	58155Q103	1	02/20/13	10/24/13	$150 06	$103 55	$46 51
MCKESSON HBOC INC	MCK	58155Q103	2	10/09/13	10/24/13	$300 14	$266 26	$33 88
COHU INC	COHU	192576106	6	07/12/13	10/25/13	$64 95	$79 56	$-14 61
CULLEN FROST BANKERS INC	CFR	229899109	3	12/24/12	10/25/13	$214 18	$164 10	$50 08
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	1	08/26/13	10/25/13	$112 69	$100 04	$12 65
RHJ INTL	RHJI BB	B06S4F0#1	8	01/11/13	10/25/13	$42 44	$43 04	$-0 60
CULLEN FROST BANKERS INC	CFR	229899109	2	11/16/12	10/25/13	$142 78	$108 90	$33 88
COHU INC	COHU	192576106	5	07/12/13	10/28/13	$54 21	$66.30	$-12 09
CULLEN FROST BANKERS INC	CFR	229899109	10	11/16/12	10/28/13	$715 91	$544 52	$171 39
STERICYCLE INC	SRCL	858912108	1	11/08/12	10/28/13	$116 01	$92 50	$23 51
RHJ INTL	RHJI BB	B06S4F0#1	5	01/11/13	10/28/13	$26 78	$26 90	$-0 12
LAS VEGAS SANDS CORP	LVS	517834107	14	06/03/13	10/28/13	$992 65	$811 95 *	$180 70
CULLEN FROST BANKERS INC	CFR	229899109	2	11/16/12	10/29/13	$143 04	$108 90	$34 14
RHJ INTL	RHJI BB	B06S4F0#1	2	01/11/13	10/29/13	$10 71	$10 76	$-0 05
REGENERON PHARMACEUTICALS INC	REGN	75886F107	2	06/03/13	10/29/13	$599 82	$484 15	$115 67
PROS HLDGS INC	PRO	74346Y103	7	08/16/13	10/30/13	$242 96	$219 94	$23 02
TYLER TECHNOLOGIES INC	TYL	902252105	8	07/12/13	10/30/13	$782 82	$608 92	$173 90

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
U S ECOLOGY INC	ECOL	91732J102	10	07/12/13	10/30/13	$372 27	$296 00	$76 27
IAC / INTERACTIVECORP	IACI	44919P508	7	11/27/12	10/30/13	$352 98	$302 61	$50 37
C H ROBINSON WORLDWIDE INC NEW	CHRW	12541W209	4	01/10/13	10/30/13	$240 88	$257 49	$-16 61
SCHEIN HENRY INC	HSIC	806407102	1	08/26/13	10/30/13	$112 03	$103.81	$8 22
SCHEIN HENRY INC	HSIC	806407102	1	12/14/12	10/30/13	$112 03	$81 35	$30 68
RHJ INTL	RHJI BB	B06S4F0#1	5	01/11/13	10/30/13	$26 49	$26 90	$-0.41
FEDERAL HOME LN MTG CORP	FH5000000	3128MJS76	4 2	09/05/13	10/31/13	$4 20	$4 20	$0.00
FEDERAL NATL MTG ASSN	FN3000000	3138MBMB9	34 1	11/07/12	10/31/13	$34 10	$34 10	$0.00
FANUC	6954 JP	6356934#8	1	11/02/12	10/31/13	$160 43	$173 34	$-12 91
FANUC	6954 JP	6356934#8	1	11/19/12	10/31/13	$160 42	$171 34	$-10 92
ROCHE HLDGS AG-GENUSSCHEIN	ROG VX	7110388#9	2	04/17/13	10/31/13	$549 17	$495 19	$53 98
RHJ INTL	RHJI BB	B06S4F0#1	5	01/11/13	10/31/13	$25 99	$26.90	$-0 91
ROSETTA RES INC	ROSE	777779307	2	08/21/13	10/31/13	$121.59	$87 88	$33 71
FEDERAL HOME LN MTG CORP	FH5000000	3128M9D25	72 12	03/15/13	10/31/13	$72 12	$72 12	$0 00
RHJ INTL	RHJI BB	B06S4F0#1	1	01/11/13	11/01/13	$5 20	$5 38	$-0.18
BAXTER INTERNATIONAL INC	BAX	071813109	24	05/09/13	11/01/13	$1,578 12	$1,710 03	$-131 91
CATERPILLAR INC	CAT	149123101	11	12/14/12	11/01/13	$915 64	$979 66	$-64 02
BAXTER INTERNATIONAL INC	BAX	071813109	10	05/08/13	11/01/13	$657 55	$707 73	$-50 18
CATERPILLAR INC	CAT	149123101	18	12/13/12	11/01/13	$1,498.32	$1,588 98	$-90.66
MCKESSON HBOC INC	MCK	58155Q103	1	02/20/13	11/04/13	$159 13	$103 55	$55 58
FANUC	6954 JP	6356934#8	4	11/19/12	11/05/13	$627 88	$685 34	$-57 46
RHJ INTL	RHJI BB	B06S4F0#1	7	01/11/13	11/11/13	$35 82	$37 66	$-1 84
HOLOGIC INC	HOLX	436440101	8	08/02/13	11/12/13	$156 69	$181 06	$-24 37
STEINER LEISURE LTD ORD	STNR	P8744Y102	4	08/16/13	11/13/13	$219 50	$236 00	$-16.50
WD 40 CO	WDFC	929236107	3	08/16/13	11/13/13	$220 70	$175 53	$45 17
MICHAEL KORS HLDGS LTD	KORS	G60754101	2	02/21/13	11/13/13	$163 67	$121.67	$42 00
ICU MED INC	ICUI	44930G107	4	07/12/13	11/13/13	$247 76	$294 08	$-46 32
QUINTILES TRANSNATIONAL HLDGS	Q	74876Y101	1	05/10/13	11/14/13	$44 02	$42 21	$1 81

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
ILLUMINA INC	ILMN	452327109	1	09/05/13	11/15/13	$93 24	$79 51		$13 73
QUINTILES TRANSNATIONAL HLDGS	Q	74876Y101	3	05/10/13	11/15/13	$132 07	$126 63		$5 44
C H ROBINSON WORLDWIDE INC NEW	CHRW	12541W209	3	02/08/13	11/15/13	$179 42	$179 32		$0 10
MARATHON OIL CORP	MRO	565849106	3	04/29/13	11/18/13	$109 54	$97 03		$12 51
C H ROBINSON WORLDWIDE INC NEW	CHRW	12541W209	2	03/25/13	11/18/13	$120 85	$116 81		$4 04
C H ROBINSON WORLDWIDE INC NEW	CHRW	12541W209	1	02/08/13	11/18/13	$60 42	$59 77		$0 65
RHJ INTL	RHJI BB	B06S4F0#1	2	01/11/13	11/18/13	$10 20	$10 76		$-0 56
REGENERON PHARMACEUTICALS INC	REGN	75886F107	2	06/03/13	11/18/13	$562 15	$484 15		$78 00
UNIVERSAL HEALTH SVCS INC CL B	UHS	913903100	1	08/26/13	11/20/13	$79 58	$70 83		$8 75
HUBBELL INC CL B	HUB B	443510201	1	06/11/13	11/21/13	$107 60	$96 42		$11.18
BAXTER INTERNATIONAL INC	BAX	071813109	16	12/24/12	11/22/13	$1,084 43	$1,072 40		$12 03
RHJ INTL	RHJI BB	B06S4F0#1	2	01/11/13	11/22/13	$9 91	$10 76		$-0 85
BAXTER INTERNATIONAL INC	BAX	071813109	5	05/08/13	11/22/13	$338 88	$353 86		$-14 98
RHJ INTL	RHJI BB	B06S4F0#1	1	01/11/13	11/25/13	$5 13	$5 38		$-0 25
RHJ INTL	RHJI BB	B06S4F0#1	6	01/11/13	11/26/13	$30 98	$32 28		$-1 30
RHJ INTL	RHJI BB	B06S4F0#1	1	01/11/13	11/27/13	$5 17	$5 38		$-0 21
FEDERAL HOME LN MTG CORP	FH5000000	3128M9D25	69 4	03/15/13	11/30/13	$69 40	$69 40		$0 00
FEDERAL HOME LN MTG CORP	FH5000000	3128MJS76	3 7	09/05/13	11/30/13	$3 70	$3 70		$0 00
FEDERAL NATL MTG ASSN	FN3000000	3138X0Y36	3 05	11/15/13	11/30/13	$3 05	$3 05		$0 00
TAUBMAN CTRS INC	TCO	876664103	3	07/11/13	12/04/13	$195 92	$234 63	*	$-38 71
TAUBMAN CTRS INC	TCO	876664103	1	03/26/13	12/04/13	$65 30	$76 36	*	$-11 06
TAUBMAN CTRS INC	TCO	876664103	3	03/12/13	12/04/13	$195 92	$232 76	*	$-36 84
TAUBMAN CTRS INC	TCO	876664103	1	03/13/13	12/04/13	$65 30	$77 66	*	$-12 36
CATERPILLAR INC	CAT	149123101	19	04/17/13	12/06/13	$1,620 58	$1,542 25		$78 33
CATERPILLAR INC	CAT	149123101	8	04/18/13	12/06/13	$682 35	$643 81		$38 54
STAPLES INC	SPLS	855030102	11	02/22/13	12/06/13	$173 38	$146 97		$26 41
STAPLES INC	SPLS	855030102	2	02/14/13	12/06/13	$31 52	$25 75		$5 77
CATERPILLAR INC	CAT	149123101	37	12/13/12	12/06/13	$3,155 87	$3,266 23		$-110 36

U.S. TRUST

Bank of America Private Wealth Management

IM PATNI FAMILY FOUND-MFUNDS

2013 Tax Information Letter

Account Number 022002258937

Short term transactions

This category includes sales of assets held 12 months or less

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
CATERPILLAR INC	CAT	149123101	9	12/24/12	12/06/13	$767 64	$787 99	$-20 35
GULFPORT ENERGY CORP NEW	GPOR	402635304	20	07/12/13	12/09/13	$1,157 11	$983 20	$173 91
WHITING PETE CORP NEW	WLL	966387102	4	02/12/13	12/09/13	$227 60	$195 65	$31 95
WHITING PETE CORP NEW	WLL	966387102	2	02/13/13	12/09/13	$113 80	$98.07	$15 73
MCKESSON HBOC INC	MCK	58155Q103	1	02/20/13	12/10/13	$160.33	$103.54	$56 79
QUINTILES TRANSNATIONAL HLDGS	Q	74876Y101	3	05/10/13	12/11/13	$131 43	$126 63	$4 80
STAPLES INC	SPLS	855030102	32	02/14/13	12/12/13	$498 78	$412 01	$86 77
RHJ INTL	RHJI BB	B06S4F0#1	3	01/11/13	12/12/13	$15.56	$16 14	$-0 58
WHITING PETE CORP NEW	WLL	966387102	3	02/12/13	12/16/13	$180 19	$146 73	$33 46
TWITTER INC	TWTR	90184L102	6	11/08/13	12/16/13	$337 37	$257 63	$79.74
WHITING PETE CORP NEW	WLL	966387102	3	05/08/13	12/16/13	$181 17	$143.13	$38 04
3M CO	MMM	88579Y101	10	12/24/12	12/17/13	$1,306 59	$927.81	$378 78
RHJ INTL	RHJI BB	B06S4F0#1	3	01/11/13	12/17/13	$15 26	$16 14	$-0 88
PRAXAIR INC	PX	74005P104	17	02/13/13	12/17/13	$2,129 79	$1,895 28	$234 51
FLEXTRONICS INTL LTD	FLEX	Y2573F102	17	07/10/13	12/18/13	$124 40	$133 53	$-9 13
PERRIGO CO	PRGO	714290103	2	08/02/13	12/18/13	$310 70	$253 10	$57 60
PERRIGO CO	PRGO	714290103	2	05/23/13	12/18/13	$310 70	$236 51	$74 19
ROSETTA RES INC	ROSE	777779307	1	08/21/13	12/19/13	$46 25	$43 94	$2.31
ROSETTA RES INC	ROSE	777779307	2	05/03/13	12/19/13	$92 50	$85 64	$6 86
SYMANTEC CORP	SYMC	871503108	4	05/09/13	12/20/13	$89 96	$96 77	$-6.81
SIGNATURE BK NEW YORK N Y	SBNY	82669G104	5	08/16/13	12/20/13	$530 58	$449 29	$81 29
SIGNATURE BK NEW YORK N Y	SBNY	82669G104	3	07/12/13	12/20/13	$318 35	$265 65	$52 70
SYMANTEC CORP	SYMC	871503108	2	05/13/13	12/20/13	$44.98	$48.40	$-3.42
FEDERAL NATL MTG ASSN	FN3000000	3138X0Y36	3.93	11/15/13	12/31/13	$3 93	$3 93	$0 00
FEDERAL HOME LN MTG CORP	FH5000000	3128MJS76	3 97	09/05/13	12/31/13	$3 97	$3 97	$0 00
FEDERAL HOME LN MTG CORP	FH5000000	3128M9D25	69 66	03/15/13	12/31/13	$69.66	$69 66	$0.00
Total short term gain/loss from sales:						$697,556.56	$650,656 88	$46,899.68

Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Portion subject to 988	Net gain or loss / Wash sale loss disallowed
HERBALIFE LTD USD	HLF	G4412G101	6	08/30/12	01/09/13	$238 80	$292 50		$-53 70
								$0 00	$53.70
COACH INC	COH	189754104	4	09/10/12	01/23/13	$207 52	$250 86		$-43 34
								$0 00	$43 34
BROADCOM CORP	BRCM	111320107	3	08/30/12	02/05/13	$97 34	$105 27		$-7 93
								$0 00	$7 93
PERRIGO CO	PRGO	714290103	1	08/30/12	02/08/13	$107 76	$109 87		$-2 11
								$0 00	$2 11
HUMANA INC	HUM	444859102	2	02/06/13	03/01/13	$135 77	$162 18		$-26 41
								$0 00	$26 41
HUMANA INC	HUM	444859102	2	02/07/13	03/05/13	$135 45	$161 95		$-26 50
								$0 00	$26 50
HUMANA INC	HUM	444859102	1	02/06/13	03/05/13	$67 72	$81 09		$-13 37
								$0 00	$13 37
HUMANA INC	HUM	444859102	2	01/15/13	03/05/13	$135 44	$188 37		$-52 93
								$0 00	$52 93
ULTA SALON COSMETICS & FRAGRAN	ULTA	90384S303	2	10/19/12	04/11/13	$176 28	$188 83		$-12 55
								$0 00	$12 55
ULTA SALON COSMETICS & FRAGRAN	ULTA	90384S303	1	08/30/12	04/11/13	$88 14	$93 95		$-5 81
								$0 00	$5 81
ALTERA CORP	ALTR	021441100	6	08/30/12	04/23/13	$189 69	$219 24		$-29 55
								$0 00	$29 55
FLUOR CORP (NEW)-WI	FLR	343412102	3	09/13/12	04/30/13	$170 12	$174 86		$-4 74
								$0 00	$4 74

Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Portion subject to 988	Net gain or loss / Wash sale loss disallowed
ALLIANZ GLOBAL INVS MANAGED	FXIC X	01882B205	97	08/30/12	05/30/13	$1,304.65	$1,325 99		$-21 34
								$0 00	$21 34
UNITED STATES TREAS BD	UNIT29	912810PZ5	1084 03	12/07/12	05/30/13	$1,421 60	$1,585 13		$-163 53
								$0 00	$163 53
ALLIANZ GLOBAL INVS MANAGED	FXIM X	01882B304	114	08/30/12	05/31/13	$1,265.40	$1,268.82		$-3.42
								$0 00	$3 42
EXXON MOBIL CORP	XOM	30231G102	1	09/28/12	06/03/13	$91 00	$91 36		$-0 36
								$0 00	$0 36
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	1090	10/01/12	06/06/13	$874 69	$1,171 48		$-296 79
								$0 00	$296 79
FANUC	6954 JP	6356934#8	1	11/19/12	06/21/13	$144 22	$171 33		$-27 11
								$0 00	$27 11
FANUC	6954 JP	6356934#8	5	09/11/12	06/21/13	$721 11	$859 54		$-138 43
								$0 00	$138 43
BROADCOM CORP	BRCM	111320107	2	08/30/12	07/24/13	$54 64	$70 18 *		$-15 54
								$0 00	$15 54
BROADCOM CORP	BRCM	111320107	3	08/01/12	07/24/13	$81 96	$110 65 *		$-28 69
								$0 00	$28 69
SOLARWINDS INC	SWI	83416B109	2	02/06/13	08/02/13	$74 01	$106 54		$-32 53
								$0 00	$32 53
SVB FINL GROUP	SIVB	78486Q101	3	07/12/13	08/07/13	$258 52	$261 00		$-2 48
								$0 00	$2 48

Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Portion subject to 988	Net gain or loss / Wash sale loss disallowed
CANTEL MEDICAL CORP CL B CONV	CMN	138098108	12	10/24/13	11/20/13	$423 03	$430 32		$-7 29
								$0 00	$7 29
Total short term gain/loss from sales:						$8,464 86	$9,481 31		$-1,016 45
Total short term loss disallowed from wash sales:									$1,016 45
Total short term Section 988 translation gain/loss from securities subject to wash sale:								$0 00	

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
BROADCOM CORP	BRCM	111320107	3	07/25/12	08/02/13	$80 81	$129 99	*	$-49 18
FEDERAL NATL MTG ASSN	FN3995672	31416CCH7	206 7	08/30/12	08/31/13	$206 70	$222 24		$-15 54
GARTNER GROUP INC NEW CL A	IT	366651107	3	08/30/12	09/10/13	$174 56	$148 38		$26 18
HERBALIFE LTD USD	HLF	G4412G101	1	08/30/12	09/10/13	$67 59	$48 75		$18 84
HOLOGIC INC	HOLX	436440101	17	08/30/12	09/11/13	$347 04	$336 60		$10 44
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	24	09/10/12	09/11/13	$1,543 58	$1,261 80		$281 78
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	3	09/04/12	09/11/13	$192 95	$142 94		$50 01
OLAM INTL LTD	OLAM SP	B05Q3L4#0	1254	09/11/12	09/12/13	$1,435 68	$1,986 02		$-550 34
OLAM INTL LTD	OLAM SP	B05Q3L4#0	530	08/08/12	09/12/13	$606 78	$836 07		$-229 29
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	28	08/07/12	09/12/13	$1,789 27	$1,073 72		$715 55
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	3	08/13/12	09/12/13	$191 71	$117 09		$74 62
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	3	08/20/12	09/12/13	$191 71	$118 19		$73 52
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	3	08/16/12	09/12/13	$191 71	$118.43		$73 28
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	2	08/22/12	09/12/13	$127 81	$84 90		$42 91
IRON MTN INC PA	IRM	462846106	7	08/30/12	09/12/13	$183 41	$230 34		$-46 93

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
CULLEN FROST BANKERS INC	CFR	229899109	5	09/10/12	09/12/13	$348 05	$289 36	$58 69
CULLEN FROST BANKERS INC	CFR	229899109	5	09/10/12	09/13/13	$347 26	$289 36	$57 90
PANERA BREAD COMPANY CL A	PNRA	69840W108	1	08/30/12	09/13/13	$166 78	$157 14	$9 64
OLAM INTL LTD	OLAM SP	B05Q3L4#0	1135	08/08/12	09/13/13	$1,291 27	$1,790 46	$-499 19
CULLEN FROST BANKERS INC	CFR	229899109	6	09/10/12	09/16/13	$418 69	$347 23	$71 46
SYMETRA FINL CORP	SYA	87151Q106	11	08/30/12	09/16/13	$198 29	$135 85	$62 44
PANERA BREAD COMPANY CL A	PNRA	69840W108	1	08/30/12	09/16/13	$168 41	$157 14	$11 27
OLAM INTL LTD	OLAM SP	B05Q3L4#0	192	08/08/12	09/16/13	$221 71	$302 88	$-81 17
OLAM INTL LTD	OLAM SP	B05Q3L4#0	1	08/08/12	09/17/13	$1 15	$1 58	$-0 43
CULLEN FROST BANKERS INC	CFR	229899109	12	09/10/12	09/17/13	$841 39	$694 47	$146 92
HERBALIFE LTD USD	HLF	G4412G101	1	08/30/12	09/18/13	$73 06	$48 75	$24 31
ANNALY MTG MGMT INC	NLY	035710409	11	08/30/12	09/18/13	$131 09	$189 26	$-58 17
WESCO INTL INC	WCC	95082P105	4	08/30/12	09/18/13	$309 94	$231 72	$78 22
ANNALY MTG MGMT INC	NLY	035710409	12	08/30/12	09/18/13	$147 87	$206 46	$-58 59
ANNALY MTG MGMT INC	NLY	035710409	10	08/30/12	09/19/13	$122.30	$172 05	$-49 75
ROCK-TENN CO CL A	RKT	772739207	1	08/30/12	09/27/13	$104 94	$66 82	$38.12
PROCTER & GAMBLE CO	PG	742718109	13	09/10/12	09/27/13	$1,002 44	$889 33	$113 11
PANERA BREAD COMPANY CL A	PNRA	69840W108	1	08/30/12	09/30/13	$158 44	$157 14	$1 30
FEDERAL NATL MTG ASSN	FN3000000	3138A46Y6	90 53	09/07/12	09/30/13	$90.53	$90 53	$0 00
PANERA BREAD COMPANY CL A	PNRA	69840W108	2	08/30/12	09/30/13	$321 74	$314.28	$7.46
FEDERAL NATL MTG ASSN	FN3995672	31416CCH7	104 29	08/30/12	09/30/13	$104 29	$104 29	$0 00
KANSAS CITY SOUTHN INDS INC NE	KSU	485170302	3	08/30/12	10/02/13	$333 01	$230 71	$102 30
KANSAS CITY SOUTHN INDS INC NE	KSU	485170302	1	08/30/12	10/02/13	$110 97	$76 90	$34.07
HERBALIFE LTD USD	HLF	G4412G101	3	08/30/12	10/03/13	$206 72	$146 25	$60 47
HERBALIFE LTD USD	HLF	G4412G101	3	08/30/12	10/04/13	$210 55	$146 25	$64.30
ELDORADO GOLD CORP NEW	EGO	284902103	19	08/30/12	10/07/13	$121 15	$243.20	$-122.05
ELDORADO GOLD CORP NEW	EGO	284902103	19	08/30/12	10/08/13	$115.45	$243.20	$-127 75
ELDORADO GOLD CORP NEW	EGO	284902103	8	08/30/12	10/09/13	$48 95	$102 40	$-53 45

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
CURTISS WRIGHT	CW	231561101	3	08/30/12	10/09/13	$134 70	$90.52		$44 18
FTI CONSULTING INC	FCN	302941109	2	08/30/12	10/11/13	$77 71	$52 18		$25 53
TERADATA CORP DEL	TDC	88076W103	2	08/30/12	10/15/13	$88 51	$153 32		$-64 81
TERADATA CORP DEL	TDC	88076W103	1	10/09/12	10/16/13	$41 36	$72 25		$-30 89
STANLEY BLACK & DECKER INC	SWK	854502101	2	08/30/12	10/16/13	$152 75	$132 08		$20 67
TERADATA CORP DEL	TDC	88076W103	9	08/30/12	10/16/13	$372 29	$689 96		$-317 67
TERADATA CORP DEL	TDC	88076W103	1	10/09/12	10/17/13	$41 34	$72 25		$-30 91
ALLIANCE DATA SYS CORP	ADS	018581108	1	08/30/12	10/17/13	$226 56	$136 92		$89 64
PROCTER & GAMBLE CO	PG	742718109	1	09/10/12	10/21/13	$78 60	$68 41		$10 19
PROCTER & GAMBLE CO	PG	742718109	17	09/10/12	10/21/13	$1,339 07	$1,162 98		$176 09
PROCTER & GAMBLE CO	PG	742718109	32	08/08/12	10/21/13	$2,515 24	$2,119 08		$396 16
CULLEN FROST BANKERS INC	CFR	229899109	15	09/28/12	10/21/13	$1,070 65	$863 33		$207 32
CULLEN FROST BANKERS INC	CFR	229899109	3	08/08/12	10/22/13	$214 24	$166 66		$47 58
CULLEN FROST BANKERS INC	CFR	229899109	8	09/28/12	10/22/13	$571 32	$460 44		$110 88
URBAN OUTFITTERS INC	URBN	917047102	2	08/30/12	10/22/13	$72 60	$76 08		$-3 48
SM ENERGY CO	SM	78454L100	5	08/30/12	10/23/13	$409 88	$230 01		$179 87
CUBIST PHARMACEUTICALS INC	CBST	229678107	6	08/30/12	10/23/13	$384 01	$277 98		$106 03
CULLEN FROST BANKERS INC	CFR	229899109	6	08/08/12	10/23/13	$425 78	$333 31		$92 47
CULLEN FROST BANKERS INC	CFR	229899109	19	08/08/12	10/24/13	$1,354 64	$1,055 50		$299 14
SYMETRA FINL CORP	SYA	87151Q106	4	08/30/12	10/25/13	$73 14	$49 40		$23 74
AGCO CORP	AGCO	001084102	2	08/30/12	10/25/13	$125 53	$84 02		$41 51
TORCHMARK CORP	TMK	891027104	4	08/30/12	10/25/13	$293 52	$203 82		$89 70
ANNALY MTG MGMT INC	NLY	035710409	18	08/30/12	10/28/13	$216 98	$309 69		$-92 71
LAS VEGAS SANDS CORP	LVS	517834107	16	09/28/12	10/28/13	$1,134 45	$739 45	*	$395 00
SYMETRA FINL CORP	SYA	87151Q106	3	08/30/12	10/28/13	$54 56	$37 05		$17 51
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	9	09/10/12	10/29/13	$1,001 11	$536 18		$464 93
LAS VEGAS SANDS CORP	LVS	517834107	12	09/28/12	10/29/13	$850 18	$554 59	*	$295 59
VERTEX PHARMACEUTICALS INC	VRTX	92532F100	2	08/30/12	10/30/13	$143 92	$106 66		$37 26

Long term transactions

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	5	09/10/12	10/30/13	$543 85	$297 87		$245 98
ICON PLC	ICLR	G4705A100	3	08/30/12	10/30/13	$121 69	$69 06		$52 63
FEDERAL NATL MTG ASSN	FN3000000	31419BCT0	80 06	10/03/12	10/31/13	$80 06	$80 06		$0.00
FANUC	6954 JP	6356934#8	1	08/25/12	10/31/13	$160 42	$173 91		$-13 49
FEDERAL NATL MTG ASSN	FN3995672	31416CCH7	98.03	08/30/12	10/31/13	$98 03	$98.03		$0 00
FEDERAL NATL MTG ASSN	FN3000000	3138A46Y6	128 48	09/07/12	10/31/13	$128 48	$128 48		$0 00
AMERISOURCEBERGEN CORP	ABC	03073E105	2	08/30/12	10/31/13	$130 21	$76 02		$54.19
LAS VEGAS SANDS CORP	LVS	517834107	9	09/28/12	11/01/13	$627 43	$415 94	*	$211 49
LAS VEGAS SANDS CORP	LVS	517834107	14	09/10/12	11/01/13	$976 01	$617 57	*	$358 44
UNITED PARCEL SVC INC CL B	UPS	911312106	23	08/08/12	11/01/13	$2,252 60	$1,748 00		$504.60
AUTOMATIC DATA PROCESSING INC	ADP	053015103	32	09/10/12	11/01/13	$2,402.56	$1,896 55		$506 01
AMERISOURCEBERGEN CORP	ABC	03073E105	6	08/30/12	11/04/13	$398.85	$228 06		$170 79
GARTNER GROUP INC NEW CL A	IT	366651107	3	08/30/12	11/04/13	$177 00	$148 38		$28 62
CURTISS WRIGHT	CW	231561101	3	08/30/12	11/11/13	$153 50	$90.52		$62 98
HOLOGIC INC	HOLX	436440101	26	08/30/12	11/12/13	$517 06	$514.80		$2.26
WHOLE FOODS MKT INC	WFM	966837106	3	08/30/12	11/12/13	$174 93	$145 61		$29 32
HOLOGIC INC	HOLX	436440101	19	08/30/12	11/12/13	$372 14	$376 20		$-4 06
VENTAS INC	VTR	92276F100	3	08/30/12	11/13/13	$181.64	$195 27		$-13 63
ALEXION PHARMACEUTICALS INC	ALXN	015351109	2	08/04/12	11/18/13	$246 20	$245 51		$0 69
ALEXION PHARMACEUTICALS INC	ALXN	015351109	3	09/28/12	11/18/13	$369 29	$340 39		$28 90
TORCHMARK CORP	TMK	891027104	1	08/30/12	11/19/13	$74 78	$50 96		$23.82
UNIVERSAL HEALTH SVCS INC CL B	UHS	913903100	3	08/30/12	11/20/13	$238 72	$120 10		$118 62
BAXTER INTERNATIONAL INC	BAX	071813109	7	09/28/12	11/22/13	$474.94	$420 81		$54.13
EDISON INTERNATIONAL	EIX	281020107	5	08/30/12	11/22/13	$231 87	$219 75		$12 12
BAXTER INTERNATIONAL INC	BAX	071813109	20	09/28/12	11/22/13	$1,355 53	$1,202.30		$153 23
BAXTER INTERNATIONAL INC	BAX	071813109	19	11/16/12	11/22/13	$1,287.76	$1,255 16		$32 60
HERBALIFE LTD USD	HLF	G4412G101	3	08/30/12	11/22/13	$211 68	$146 25		$65 43
BAXTER INTERNATIONAL INC	BAX	071813109	39	09/10/12	11/25/13	$2,644 08	$2,306 66		$337 42

Long term transactions

This category includes sales of assets held more than 12 months

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
BAXTER INTERNATIONAL INC	BAX	071813109	18	08/08/12	11/25/13	$1,220 34	$1,054 69		$165 65
BAXTER INTERNATIONAL INC	BAX	071813109	12	09/28/12	11/25/13	$813 56	$721 38		$92 18
BAXTER INTERNATIONAL INC	BAX	071813109	2	08/08/12	11/26/13	$135.39	$117 19		$18 20
BAXTER INTERNATIONAL INC	BAX	071813109	19	08/08/12	11/26/13	$1,282 65	$1,113 29		$169 36
FEDERAL NATL MTG ASSN	FN3000000	31419BCT0	78 56	10/03/12	11/30/13	$78 56	$78 56		$0 00
FEDERAL NATL MTG ASSN	FN3995672	31416CCH7	75 86	08/30/12	11/30/13	$75 86	$75 86		$0 00
FEDERAL NATL MTG ASSN	FN3000000	3138MBMB9	34 44	11/07/12	11/30/13	$34 44	$34 44		$0 00
FEDERAL NATL MTG ASSN	FN3000000	3138A46Y6	108 93	09/07/12	11/30/13	$108 93	$108 93		$0 00
COBALT INTL ENERGY INC	CIE	19075F106	6	08/30/12	12/02/13	$116.36	$131 52		$-15 16
COBALT INTL ENERGY INC	CIE	19075F106	8	08/30/12	12/02/13	$147 81	$175 36		$-27 55
TOWERS WATSON & CO	TW	891894107	3	08/30/12	12/03/13	$344 09	$161 95		$182 14
CBS CORP NEW CL B	CBS	124857202	7	08/30/12	12/04/13	$405 97	$251 78		$154 19
TAUBMAN CTRS INC	TCO	876664103	7	08/30/12	12/04/13	$457 14	$553 50	*	$-96 36
L BRANDS INC	LB	501797104	2	08/30/12	12/05/13	$124 62	$95 92		$28 70
SCHLUMBERGER LTD	SLB	806857108	31	09/10/12	12/06/13	$2,736 37	$2,285 70		$450 67
CBS CORP NEW CL B	CBS	124857202	2	08/30/12	12/10/13	$117 94	$71 94		$46 00
MCKESSON HBOC INC	MCK	58155Q103	1	08/30/12	12/10/13	$160 32	$87 01		$73 31
ALLIANCE DATA SYS CORP	ADS	018581108	1	08/30/12	12/11/13	$244 77	$136 92		$107 85
VERISIGN INC	VRSN	92343E102	4	08/30/12	12/11/13	$225 05	$188 64		$36 41
IDEX CORP	IEX	45167R104	1	08/30/12	12/12/13	$70 00	$39 57		$30 43
VERISIGN INC	VRSN	92343E102	2	08/30/12	12/12/13	$112 86	$94 32		$18 54
CHEMED CORP NEW	CHE	16359R103	10	08/30/12	12/12/13	$757 13	$660 80		$96 33
FTI CONSULTING INC	FCN	302941109	9	08/30/12	12/12/13	$389 38	$234 81		$154 57
FLUOR CORP (NEW)-WI	FLR	343412102	3	09/14/12	12/13/13	$224 57	$173 05		$51.52
CBS CORP NEW CL B	CBS	124857202	4	08/30/12	12/13/13	$234 25	$143 87		$90 38
FLUOR CORP (NEW)-WI	FLR	343412102	1	11/02/12	12/13/13	$74 85	$53 10		$21 75
LSI LOGIC CORP	LSI	502161102	40	08/30/12	12/16/13	$438 59	$304 40		$134 19
HERBALIFE LTD USD	HLF	G4412G101	3	08/30/12	12/16/13	$225 27	$146 25		$79 02

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ECOLAB INC	ECL	278865100	2	08/30/12	12/16/13	$204 54	$128 84	$75 70
AGCO CORP	AGCO	001084102	7	08/30/12	12/17/13	$403 72	$294.07	$109 65
3M CO	MMM	88579Y101	12	11/16/12	12/17/13	$1,567.91	$1,069 98	$497 93
ECOLAB INC	ECL	278865100	1	08/30/12	12/17/13	$101 68	$64 42	$37.26
3M CO	MMM	88579Y101	24	09/10/12	12/17/13	$3,135 81	$2,189.47	$946 34
3M CO	MMM	88579Y101	25	08/08/12	12/17/13	$3,266 48	$2,298.67	$967 81
3M CO	MMM	88579Y101	24	09/28/12	12/17/13	$3,135.82	$2,225.64	$910 18
MCDONALDS CORP	MCD	580135101	8	09/10/12	12/17/13	$755 19	$731.52	$23 67
MCDONALDS CORP	MCD	580135101	16	09/28/12	12/17/13	$1,510.38	$1,468.08	$42 30
MCDONALDS CORP	MCD	580135101	12	09/28/12	12/17/13	$1,132 17	$1,101 06	$31 11
FLEXTRONICS INTL LTD	FLEX	Y2573F102	4	08/30/12	12/18/13	$29 27	$26.43	$2.84
PERRIGO CO	PRGO	714290103	1	08/22/12	12/18/13	$155 35	$101.74	$53.61
PERRIGO CO	PRGO	714290103	6	08/30/12	12/18/13	$932 10	$659 22	$272 88
IAC / INTERACTIVECORP	IACI	44919P508	5	11/27/12	12/19/13	$342 22	$216.14	$126 08
WESCO INTL INC	WCC	95082P105	2	08/30/12	12/20/13	$176 83	$115 86	$60 97
NV ENERGY INC	NVE	67073Y106	53	08/30/12	12/20/13	$1,258 75	$930 68	$328 07
SYMANTEC CORP	SYMC	871503108	5	10/03/12	12/20/13	$112.45	$89.81	$22.64
CURTISS WRIGHT	CW	231561101	6	08/30/12	12/23/13	$369 21	$181.03	$188 18
FEDERAL NATL MTG ASSN	FN3000000	3138A46Y6	107 32	09/07/12	12/31/13	$107.32	$107 32	$0 00
FEDERAL NATL MTG ASSN	FN3995672	31416CCH7	103 64	08/30/12	12/31/13	$103 64	$103 64	$0 00
FEDERAL NATL MTG ASSN	FN3000000	3138MBMB9	28 02	11/07/12	12/31/13	$28 02	$28 02	$0 00
FEDERAL NATL MTG ASSN	FN3000000	31419BCT0	75.95	10/03/12	12/31/13	$75 95	$75 95	$0.00
Total long term gain/loss from sales:						$75,405 58	$62,625 15	$12,780.43