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Form **990-PF****Return of Private Foundation**

OMB No. 1545-0052

2013Department of the Treasury
Internal Revenue Serviceor Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter Social Security numbers on this form as it may be made publicInformation about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

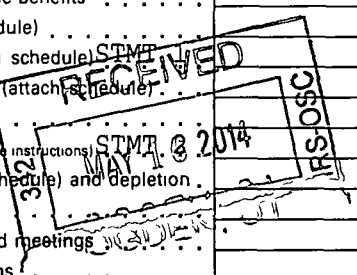
Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation STOCK YARDS BANK FOUNDATION INC 1050002967		A Employer identification number 61-1380342
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 34290		B Telephone number (see instructions) 502-625-2282
City or town, state or province, country, and ZIP or foreign postal code LOUISVILLE, KY 40232-4290		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply. <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 453,556.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)		200,120.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		10,762.	9,721.		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		24,317.			
b Gross sales price for all assets on line 6a 346,999.					
7 Capital gain net income (from Part IV, line 2)			24,317.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)		244.			
12 Total. Add lines 1 through 11		235,443.	34,038.		
13 Compensation of officers, directors, trustees, etc.					
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule)		750.	750.	NONE	NONE
c Other professional fees (attach schedule)					
17 Interest					
18 Taxes (attach schedule) (see instructions)		77.	77.		
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule)					
24 Total operating and administrative expenses. Add lines 13 through 23		827.	827.	NONE	NONE
25 Contributions, gifts, grants paid		164,950.			164,950.
26 Total expenses and disbursements. Add lines 24 and 25		165,777.	827.	NONE	164,950.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		69,666.			
b Net investment income (if negative, enter -0-)			33,211.		
c Adjusted net income (if negative, enter -0-)					

SCANNED MAY 23 2014



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	15,571.	1,815.	1,815.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule) .			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)	369,465.	451,741.	451,741.
	14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	385,036.	453,556.	453,556.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons .			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)		NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/>				
	check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	385,036.	453,556.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds . .			
30	Total net assets or fund balances (see instructions)	385,036.	453,556.		
31	Total liabilities and net assets/fund balances (see instructions)	385,036.	453,556.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	385,036.
2	Enter amount from Part I, line 27a	2	69,666.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	454,702.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 3	5	1,146.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	453,556.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 346,999.		322,682.	24,317.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			24,317.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	24,317.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	206,178.	406,120.	0.507678
2011	175,790.	289,583.	0.607045
2010	223,667.	365,840.	0.611379
2009	191,356.	334,659.	0.571794
2008	185,975.	542,192.	0.343006
2 Total of line 1, column (d)			2 2.640902
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.528180
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 463,651.
5 Multiply line 4 by line 3			5 244,891.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 332.
7 Add lines 5 and 6			7 245,223.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 164,950.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)	1	664.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2	3	664.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	664.
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	72.
b Exempt foreign organizations - tax withheld at source	6b	NONE
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	72.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	592.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> NONE Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> KY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <u>N/A</u>				
14	The books are in care of <u>STOCK YARDS BANK & TRUST</u> Telephone no <u>(502) 625-2282</u>			
	Located at <u>P O BOX 34290, LOUISVILLE, KY</u> ZIP+4 <u>40232-4290</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <u></u>			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	
Organizations relying on a current notice regarding disaster assistance check here <u></u>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐ **5b****c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID P. HEINTZMAN 1040 EAST MAIN ST, LOUISVILLE KY 40206,	PRESIDENT 1	-0-	-0-	-0-
NANCY B. DAVIS 1040 EAST MAIN ST, LOUISVILLE KY 40206,	SECR/TREAS 1	-0-	-0-	-0-
KATHY C. THOMPSON 1040 EAST MAIN ST, LOUISVILLE KY 40206,	DIRECTOR 1	-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	466,311.
b	Average of monthly cash balances	1b	4,401.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	470,712.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	470,712.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	7,061.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	463,651.
6	Minimum investment return. Enter 5% of line 5	6	23,183.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	23,183.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	664.
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	664.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	22,519.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	22,519.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	22,519.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	164,950.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	164,950.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	164,950.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				22,519.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			NONE	
b Total for prior years 20 <u>11</u> , 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2013				
a From 2008	159,141.			
b From 2009	174,829.			
c From 2010	185,995.			
d From 2011	159,581.			
e From 2012	186,016.			
f Total of lines 3a through e	865,562.			
4 Qualifying distributions for 2013 from Part XII, line 4. ► \$ <u>164,950.</u>				
a Applied to 2012, but not more than line 2a . . .			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2013 distributable amount				22,519.
e Remaining amount distributed out of corpus . .	142,431.			
5 Excess distributions carryover applied to 2013 . (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,007,993.			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . .	NONE			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	159,141.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	848,852.			
10 Analysis of line 9				
a Excess from 2009 . . .	174,829.			
b Excess from 2010 . . .	185,995.			
c Excess from 2011 . . .	159,581.			
d Excess from 2012 . . .	186,016.			
e Excess from 2013 . . .	142,431.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT 11				164,950.
Total			3a	164,950.
b Approved for future payment				
Total			3b	

Part XVI-A	Analysis of Income-Producing Activities
-------------------	------------------------------------------------

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	10,762.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	24,317.		
9 Net income or (loss) from special events . . .						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b <u>EXCISE TAX REFUND</u>			1	244.		
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)				35,323.		
13 Total. Add line 12, columns (b), (d), and (e)					13	35,323.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:				
(1) Cash	1a(1)			X
(2) Other assets	1a(2)			X
b Other transactions:				
(1) Sales of assets to a noncharitable exempt organization	1b(1)			X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)			X
(3) Rental of facilities, equipment, or other assets	1b(3)			X
(4) Reimbursement arrangements	1b(4)			X
(5) Loans or loan guarantees	1b(5)			X
(6) Performance of services or membership or fundraising solicitations	1b(6)			X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c			X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.				

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Ted Snowden
Signature of officer or trustee

05/07/2014
Date

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

WESLEY HIRSCHBERG

Preparer's signature

Wesley K. K. K.
U.S. LLP

Date	
------	--

05/07/2014

Check <input type="checkbox"/>	if
self-employed	

PTIN	
------	--

P00162244

Firm's name ▶ ERNST & YOUNG U.S. LLP

Firm's EIN ▶ 34-6565596

Firm's address ► 155 NORTH WACKER DRIVE
CHICAGO. IL

Phone no 312-879-2000

Schedule B(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2013▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990**

Name of the organization

Employer identification number

STOCK YARDS BANK FOUNDATION INC 1050002967

61-1380342

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization

STOCK YARDS BANK FOUNDATION INC 1050002967

Employer identification number

61-1380342

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	STOCK YARDS BANK P O BOX 34290 LOUISVILLE, KY 40232	\$ 200,120.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2013

Name of estate or trust STOCK YARDS BANK FOUNDATION INC 1050002967	Employer identification number 61-1380342
------------------------------------------------------------------------------	-----------------------------------------------------

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below

This form may be easier to complete if you round off cents to whole dollars

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked	266,731.	255,919.	7.	10,819.
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824			4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts			5	
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet			6	()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶			7	10,819.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked				
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked	72,254.	66,919.		5,335.
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824			11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts			12	
13 Capital gain distributions			13	8,163.
14 Gain from Form 4797, Part I			14	
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet			15	()
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶			16	13,498.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

JSA
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33 -

Part III Summary of Parts I and II**Caution:** Read the instructions before completing this part.

	(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
17 Net short-term gain or (loss)	17		10,819.
18 Net long-term gain or (loss).			
a Total for year	18a		13,498.
b Unrecaptured section 1250 gain (see line 18 of the wrksht.)	18b		
c 28% rate gain	18c		
19 Total net gain or (loss). Combine lines 17 and 18a. ▶	19		24,317.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
 a The loss on line 19, column (3) or b \$3,000 **20** ()

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if.

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . .	21		
22 Enter the smaller of line 18a or 19 in column (2) but not less than zero	22		
23 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . .	23		
24 Add lines 22 and 23	24		
25 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	25		
26 Subtract line 25 from line 24. If zero or less, enter -0-	26		
27 Subtract line 26 from line 21. If zero or less, enter -0-	27		
28 Enter the smaller of the amount on line 21 or \$2,450	28		
29 Enter the smaller of the amount on line 27 or line 28	29		
30 Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶	30		
31 Enter the smaller of line 21 or line 26	31		
32 Subtract line 30 from line 26.	32		
33 Enter the smaller of line 21 or \$11,950	33		
34 Add lines 27 and 30	34		
35 Subtract line 34 from line 33. If zero or less, enter -0-	35		
36 Enter the smaller of line 32 or line 35	36		
37 Multiply line 36 by 15%. ▶	37		
38 Enter the amount from line 31	38		
39 Add lines 30 and 36	39		
40 Subtract line 39 from line 38. If zero or less, enter -0-	40		
41 Multiply line 40 by 20% ▶	41		
42 Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42		
43 Add lines 37, 41, and 42	43		
44 Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44		
45 Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶	45		

Schedule D (Form 1041) 2013

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	750.	750.		
TOTALS	750.	750.	NONE	NONE
	=====	=====	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES ON QUALIFIED FOR	37.	37.
FOREIGN TAXES ON NONQUALIFIED	40.	40.
	-----	-----
TOTALS	77.	77.
	=====	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
INCOME POSTED IN 2013 EFFECTIVE 2012	1,141.
ROUNDING	5.

TOTAL	1,146.
	=====

RECIPIENT NAME:
UNIVERSITY OF LOUISVILLE
ADDRESS:
ATHLETIC BUSINESS OFFICE
LOUISVILLE, KY 40292
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PLEDGE CONTRIBUTION
FOUNDATION STATUS OF RECIPIENT:
SUNK
AMOUNT OF GRANT PAID 20,000.

RECIPIENT NAME:
JUNIOR ACHIEVEMENT OF
KENTUCKIANA
ADDRESS:
P O BOX 24403
LOUISVILLE, KY 40224-4403
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SUPPORT THE JA ECONOMIC PROGRAMS
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:
BELLARMINE UNIVERSITY
ADDRESS:
2001 NEWBURG ROAD
LOUISVILLE, KY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PLEDGE CONTRIBUTION
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:
KENTUCKY OPERA
ADDRESS:
101 SOUTH EIGHTH STREET
LOUISVILLE, KY 40202-4016
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
OPERA & CORPORATE SPONSORSHIP
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:
SPALDING UNIVERSITY
ADDRESS:
851 SOUTH FOURTH STREET
LOUISVILLE, KY 40203-2188
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PLEDGE CONTRIBUTION
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:
GOVERNOR'S SCHOLARS
PROGRAM
ADDRESS:
1024 CAPITAL CENTER DRIVE SUITE 2
FRANKFORT, KY 40601
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SPONSORSHIP
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 2,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

HOME OF THE INNOCENTS

ADDRESS:

1100 EAST MARKET STREET

LOUISVILLE, KY 40206

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

PLEDGE CONTRIBUTION

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

FUND FOR THE ARTS

ADDRESS:

623 WEST MAIN STREET

LOUISVILLE, KY 40202

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CAPITAL CAMPAIGN

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 20,000.

RECIPIENT NAME:

MERCY ACADEMY

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EDUCATIONAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 5,000.

=====

RECIPIENT NAME:

CATHOLIC EDUCATION FOUNDATION

ADDRESS:

325 W MAIN ST - SUITE 1806

LOUISVILLE, KY 40202

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

RELIGIOUS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 25,000.

RECIPIENT NAME:

CLOUT

ADDRESS:

P O BOX 5853

ATHENS, OH 45701

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EDUCATIONAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,500.

RECIPIENT NAME:

SCHOOL CHOICE SCHOLARSHIPS INC

ADDRESS:

P O BOX 221546

LOUISVILLE, KY 40252

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EDUCATIONAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:
MASONIC HOMES OF KENTUCKY
J SCOTT JUDY
ADDRESS:
3761 JOHNSON HALL DRIVE
MASONIC HOME, KY 40041
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL PROGRAMS
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
BOYS & GIRLS CLUB OF KENTUCKIANA
ADDRESS:
P O BOX 4989
LOUISVILLE, KY 40204
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL PROGRAMS
FOUNDATION STATUS OF RECIPIENT:
SO-I
AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:
21ST CENTURY PARKS
ADDRESS:
471 W. MAIN ST., STE 202
LOUISVILLE, KY 40202
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PLEDGE
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 10,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

Kammerer Middle School

ADDRESS:

7315 WESBORO ROAD

Louisville, KY 40222

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 200.

RECIPIENT NAME:

YMCA of Greater Louisville

Bullitt Co Family Branch

ADDRESS:

409 JOE B HALL AVENUE

Shepherdsville, KY 40165

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

Liberty Bible Academy

ADDRESS:

4900 OLD IRWIN-SIMPSON ROAD

Mason, OH 45040

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 250.

RECIPIENT NAME:

Kentucky Society of CPAs Educ Fndn

ADDRESS:

1735 ALLIANT AVENUE
Louisville, KY 40299

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

NC

AMOUNT OF GRANT PAID 8,500.

RECIPIENT NAME:

The Housing Partnership, Inc.

ADDRESS:

1797 WILART DRIVE
Louisville, KY 40210

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

Kiwanis Club of Louisville Char Fdn

ADDRESS:

PO BOX 965
Louisville, KY 40201

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 1,000.

STOCK YARDS BANK FOUNDATION INC 1050002967 61-1380342
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:

St Joseph's Childrens Home

ADDRESS:

2823 FRANKFORT AVENUE

Louisville, KY 40206

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 20,000.

TOTAL GRANTS PAID: 164,950.

=====

STOCK YARDS TRUST CO
200 SOUTH FIFTH STREET
LOUISVILLE KY 40202

ACCOUNT STATEMENT

ACCOUNT NUMBER 1050002967

DECEMBER 01, 2013 TO DECEMBER 31, 2013

STOCK YARDS BANK & TRUST COMPANY
ATTN: CYNTHIA WALTERS
TRUST COMPLIANCE OFFICER
PO BOX 34290
LOUISVILLE, KY 40232-4290




ACCOUNT NAME STOCK YARDS BANK FOUNDATION
AGENT UNDER AGREEMENT DTD
5/30/01

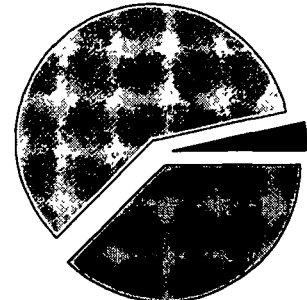
ACCOUNT NUMBER 1050002967

WEALTH
ADVISOR JEFFREY C FILCIK
502-625-9130
jeffrey.filcik@syb.com

INVESTMENT
ADVISOR RICHARD ROBBEN
502-625-2487
Rich.Robben@syb.com

INVESTMENT PORTFOLIO SUMMARY

MARKET VALUE AS OF	12/01/2013	12/31/2013	% OF ACCOUNT
 CASH AND EQUIVALENTS	1,718.73	17,156.60	3.4%
 EQUITIES	331,553.13	300,851.61	59.1%
 FIXED INCOME	195,266.09	190,564.83	37.5%
Total	528,537.95	508,573.04	100.0%



ACCOUNT ACTIVITY SUMMARY

	THIS PERIOD	YEAR TO DATE	REALIZED CAPITAL GAINS / LOSSES	
			THIS PERIOD	YEAR TO DATE
BEGINNING MARKET VALUE	528,537.95	396,234.18		
INTEREST & DIVIDENDS	10,262.62	17,706.19		
RECEIPTS	2,000.00	211,364.50	LONG TERM	8,122.65
PURCHASES & SALES	35,175.25	50,785.21	SHORT TERM	5,280.06
DISBURSEMENTS	32,000.00	176,700.00	TOTAL GAINS / LOSSES	13,402.71
MISCELLANEOUS	15,437.87	3,853.07		
CHANGE IN MARKET VALUE	19,964.91	114,606.45		
ENDING MARKET VALUE	508,573.04	508,573.04		

ACCOUNT STATEMENT

PAGE 2

ACCOUNT NUMBER. 1050002967

DECEMBER 01, 2013 TO DECEMBER 31, 2013

ASSET DETAIL

DESCRIPTION			MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
CASH AND EQUIVALENTS						
MONEY MARKET FUNDS						
SYB MONEY MARKET FUND			15,341 88 15,341 88	1 00 1 00	1 63	0 01
TOTAL MONEY MARKET FUNDS		SUB-TOTAL	15,341 88 15,341 88		1 63	0 01
TOTAL CASH AND EQUIVALENTS			15,341 88 15,341 88		1 63	0 01
EQUITIES						
MUTUAL FUNDS - EQUITY						
MF EQUITY - LARGE CAP BLEND						
GABELLI EQUITY INCOME FUND AAA	GABEX	2,844 310	81,290 38 62,057 30	28 58 21 82	2,218 56	2 73
TOTAL MF EQUITY - LARGE CAP BLEND		SUB-TOTAL	81,290 38 62,057 30		2,218 56	2 73
MF EQUITY - LARGE CAP GROWTH						
MFS GROWTH FUND	MFEGX	1,549 530	101,354 76 83,891 53	65 41 54 14		
TOTAL MF EQUITY - LARGE CAP GROWTH		SUB-TOTAL	101,354 76 83,891 53		0 00	0 00
MF EQUITY - MID CAP VALUE						
ASTON /FAIRPOINTE MID CAP FUND	CHTTX	949 798	41,762 62 32,978 43	43 97 34 72	289 69	0 69
TOTAL MF EQUITY - MID CAP VALUE		SUB-TOTAL	41,762 62 32,978 43		289 69	0 69
MF EQUITY - SMALL CAP GROWTH						
MFS NEW DISCOVERY FUND	MNDAX	568 000	15,290 56 11,549 88	26 92 20 33		
TOTAL MF EQUITY - SMALL CAP GROWTH		SUB-TOTAL	15,290 56 11,549 88		0 00	0 00
MF EQUITY - SMALL CAP VALUE						
INVESCO SMALL CAP VALUE FUND	VSCAX	700 723	15,177 66 11,966 40	21 66 17 08	2 80	0 02
TOTAL MF EQUITY - SMALL CAP VALUE		SUB-TOTAL	15,177 66 11,966 40		2 80	0 02
MF INTERNATIONAL - GROWTH						
HARBOR INTERNATIONAL FUND	HIINX	219 265	15,420 91 13,043 60	70 33 59 49	274 74	1 78

ACCOUNT STATEMENT

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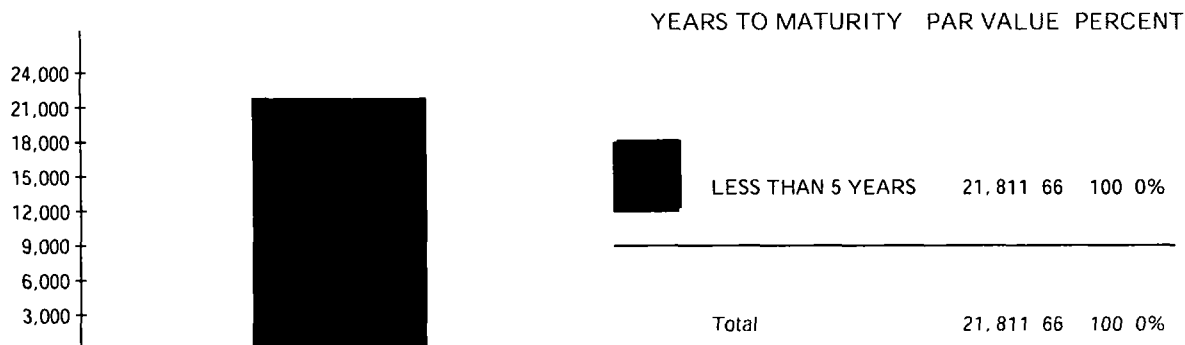
ACCOUNT NUMBER 1050002967

DECEMBER 01, 2013 TO DECEMBER 31, 2013

ASSET DETAIL (CONTINUED)

DESCRIPTION	TICKER	SHARES	MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
MF INTERNATIONAL - GROWTH						
HENDERSON INTERNATIONAL OPPORTUNITIES FUND	HFOAX	566 000	15,270 68 11,417 70	26 98 20 17	52 07	0 34
TOTAL MF INTERNATIONAL - GROWTH		SUB- TOTAL	30 691 59 24 461 30		326 81	1 06
MF INTERNATIONAL - EMERGING MKTS						
OPPENHEIMER DEVELOPING MARKETS	ODMAX	402 000	15,284 04 14,393 76	38 02 35 81	14 47	0 09
TOTAL MF INTERNATIONAL - EMERGING MKTS		SUB- TOTAL	15 284 04 14,393 76		14 47	0 09
TOTAL MUTUAL FUNDS - EQUITY		SUB- TOTAL	300,851 61 241,298 60		2,852 33	0 95
TOTAL EQUITIES			300 851 61 241,298 60		2,852 33	0 95

BOND MATURITY SUMMARY



AVERAGE TIME TO MATURITY 0 0 YEARS

CURRENT YIELD 2 99%

DESCRIPTION	RATING	PAR VALUE	MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	YIELD CURRENT/ MATURITY
FIXED INCOME						
MUTUAL FUNDS						
BAIRD CORE PLUS BOND FUND		4,485 000	50,052 60 51,417 57	11 16 11 46	1,417 26	2 83
DOUBLELINE TOTAL RETURN BOND FUND		2,785 000	30,022 30 31,536 69	10 78 11 32	1,473 27	4 91
FEDERATED TOTAL RETURN BOND FUND		4,587 660	49,959 62 51,584 28	10 89 11 24	1,555 22	3 11

ACCOUNT STATEMENT

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ACCOUNT NUMBER 1050002967

DECEMBER 01, 2013 TO DECEMBER 31, 2013

ASSET DETAIL (CONTINUED)

DESCRIPTION	RATING	PAR VALUE	MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	YIELD CURRENT/ MATURITY
MUTUAL FUNDS						
T ROWE PRICE SHORT TERM BOND FUND		8,415 000	40,307 85 40,139 55	4 79 4 77	521 73	1 29
TEMPLETON GLOBAL BOND FUND A		1,539 000	20,222 46 20,422 90	13 14 13 27	738 72	3 65
TOTAL MUTUAL FUNDS		SUB- TOTAL	190,564 83 195,100 99		5,706 20	2 99
TOTAL FIXED INCOME			190,564 83 195,100 99		5,706 20	2 99
TOTAL PRINCIPAL ASSETS			506 758 32 451,741 47		8,560 16	1 69

ACCOUNT STATEMENT

PAGE 5

ACCOUNT NUMBER 1050002967

DECEMBER 01, 2013 TO DECEMBER 31, 2013

ASSET DETAIL - INCOME ASSETS

DESCRIPTION	MARKET VALUE/ TAX COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
CASH AND EQUIVALENTS				
MONEY MARKET FUNDS				
SYB MONEY MARKET FUND	1,814 72 1,814 72	1 00 1 00	0 19	0 01
TOTAL MONEY MARKET FUNDS	1,814 72		0 19	0 01
	SUB-TOTAL 1,814 72			
TOTAL CASH AND EQUIVALENTS	1,814 72 1,814 72		0 19	0 01
TOTAL INCOME ASSETS	1,814 72 1,814 72		0 19	0 01

ACCOUNT STATEMENT

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ACCOUNT NUMBER 1050002967

DECEMBER 01, 2013 TO DECEMBER 31, 2013

TRANSACTION DETAIL

DATE	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST	GAIN / LOSS
	BEGINNING BALANCE	0 00	0 00	468 784 42	
	INTEREST & DIVIDENDS				
	INTEREST				
12/02/13	INTEREST ON SYB MONEY MARKET FUND PAYABLE 12/02/2013		0 07		
12/02/13	INTEREST ON FEDERATED TOTAL RETURN BOND FUND PAYABLE 12/03/2013		147 04		
	TOTAL INTEREST	0 00	147 11	0 00	0 00
	DIVIDENDS				
12/02/13	DIVIDEND ON 8,508 SHS T ROWE PRICE SHORT TERM BOND FUND AT 0047809979 PER SHARE PAYABLE 11/29/2013 EX DATE 11/29/2013		40 68		
12/03/13	DIVIDEND ON 2,813 SHS DOUBLELINE TOTAL RETURN BOND FUND AT 0439308 PER SHARE PAYABLE 11/29/2013 EX DATE 11/29/2013		123 58		
12/09/13	DIVIDEND ON 443 SHS OPPENHEIMER DEVELOPING MARKETS AT 0364029939 PER SHARE PAYABLE 12/09/2013 EX DATE 12/09/2013		16 13		
12/18/13	DIVIDEND ON 233 265 SHS HARBOR INTERNATIONAL FUND AT 1 25349 PER SHARE PAYABLE 12/17/2013 EX DATE 12/17/2013		292 40		
12/18/13	DIVIDEND ON 1,584 SHS TEMPLETON GLOBAL BOND FUND A AT 0875 PER SHARE PAYABLE 12/18/2013 EX DATE 12/16/2013		138 60		
12/24/13	DIVIDEND ON 3,096 31 SHS GABELLI EQUITY INCOME FUND AAA AT 0 10 PER SHARE PAYABLE 12/23/2013 EX DATE 12/23/2013		309 63		
12/27/13	DIVIDEND ON 4,582 SHS BAIRD CORE PLUS BOND FUND AT 0431219488 PER SHARE PAYABLE 12/27/2013 EX DATE 12/26/2013		197 58		
12/30/13	DIVIDEND ON 566 SHS HENDERSON INTERNATIONAL OPPORTUNITIES FUND AT 09182 PER SHARE PAYABLE 12/30/2013 EX DATE 12/27/2013		51 97		
12/31/13	DIVIDEND ON 4,587 66 SHS FEDERATED TOTAL RETURN BOND FUND AT 0112000007 PER SHARE PAYABLE 12/31/2013 EX DATE 12/30/2013		51 38		
	TOTAL DIVIDENDS	0 00	1,221.95	0 00	0 00

ACCOUNT STATEMENT

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ACCOUNT NUMBER 1050002967

DECEMBER 01, 2013 TO DECEMBER 31, 2013

TRANSACTION DETAIL (CONTINUED)

DATE	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST	GAIN / LOSS
ST GAIN DIVIDENDS					
12/11/13	SHORT TERM CAPITAL GAINS DIVIDEND ON 578 SHS MFS NEW DISCOVERY FUND AT 1 265790003 PER SHARE PAYABLE 12/10/2013 EX DATE 12/10/2013		731 63		731 63
12/27/13	SHORT TERM CAPITAL GAINS DIVIDEND ON 4.582 SHS BAIRD CORE PLUS BOND FUND AT 0000600008 PER SHARE PAYABLE 12/27/2013 EX DATE 12/26/2013		0 27		0 27
TOTAL ST GAIN DIVIDENDS		0 00	731 90	0 00	731 90
LT GAIN DIVIDENDS					
12/09/13	LONG TERM CAPITAL GAINS DIVIDEND ON 443 SHS OPPENHEIMER DEVELOPING MARKETS AT 179136311 PER SHARE PAYABLE 12/09/2013 EX DATE 12/09/2013	79 36			79 36
12/13/13	LONG TERM CAPITAL GAINS DIVIDEND ON 578 SHS MFS NEW DISCOVERY FUND AT 1 183450004 PER SHARE PAYABLE 12/10/2013 EX DATE 12/10/2013	684 03			684 03
12/16/13	LONG TERM CAPITAL GAINS DIVIDEND ON 731 723 SHS INVESCO SMALL CAP VALUE FUND AT 1 83189034 PER SHARE PAYABLE 12/13/2013 EX DATE 12/12/2013	1,340 44			1,340 44
12/18/13	LONG TERM CAPITAL GAINS DIVIDEND ON 1,755 53 SHS MFS GROWTH FUND AT 9986298718 PER SHARE PAYABLE 12/17/2013 EX DATE 12/17/2013	1,753 12			1,753 12
12/18/13	LONG TERM CAPITAL GAINS DIVIDEND ON 1,584 SHS TEMPLETON GLOBAL BOND FUND A AT 0031 PER SHARE PAYABLE 12/18/2013 EX DATE 12/16/2013	4 91			4 91
12/27/13	LONG TERM CAPITAL GAINS DIVIDEND ON 4,582 SHS BAIRD CORE PLUS BOND FUND AT 00734 PER SHARE PAYABLE 12/27/2013 EX DATE 12/26/2013	33 63			33 63
12/31/13	LONG TERM CAPITAL GAINS DIVIDEND ON 949 798 SHS ASTON /FAIRPOINTE MID CAP FUND AT 4 2652 PER SHARE PAYABLE 12/30/2013 EX DATE 12/30/2013	4,051 08			4,051 08

ACCOUNT STATEMENT

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ACCOUNT NUMBER 1050002967

DECEMBER 01, 2013 TO DECEMBER 31, 2013

TRANSACTION DETAIL (CONTINUED)

DATE	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST	GAIN / LOSS
12/31/13	LONG TERM CAPITAL GAINS DIVIDEND ON 4.587 66 SHS FEDERATED TOTAL RETURN BOND FUND AT 0468843106 PER SHARE PAYABLE 12/31/2013 EX DATE 12/30/2013	215 09			215 09
	TOTAL LT GAIN DIVIDENDS	8,161 66	0 00	0 00	8,161 66
	TOTAL INTEREST & DIVIDENDS	8,161 66	2,100 96	0 00	8,893 56
	RECEIPTS				
12/20/13	TRANSFERRED FROM INCOME	2,000 00			
	TOTAL RECEIPTS	2,000 00	0 00	0 00	0 00
	PURCHASES & SALES				
	SALES				
12/27/13	SOLD 122 SHS ASTON /FAIRPOINTE MID CAP FUND ON 12/26/2013 AT 47 81 THRU STN FUNDS	5,832 82		4,623 80-	1,209 02
12/27/13	SOLD 31 SHS INVESCO SMALL CAP VALUE FUND ON 12/26/2013 AT 21 55 THRU STN FUNDS	668 05		578 77-	89 28
12/27/13	SOLD 97 SHS BAIRD CORE PLUS BOND FUND ON 12/26/2013 AT 11 15 THRU STN FUNDS	1,081 55		1,128 11-	46 56-
12/27/13	SOLD 28 SHS DOUBLELINE TOTAL RETURN BOND FUND ON 12/26/2013 AT 10 83 THRU STN FUNDS	303 24		317 80-	14 56-
12/27/13	SOLD 83 SHS FEDERATED TOTAL RETURN BOND FUND ON 12/26/2013 AT 10 95 THRU STN FUNDS	908 85		947 86-	39 01-
12/27/13	SOLD 252 SHS GABELLI EQUITY INCOME FUND AAA ON 12/26/2013 AT 28 39 THRU STN FUNDS	7,154 28		6,378 12-	776 16
12/27/13	SOLD 14 SHS HARBOR INTERNATIONAL FUND ON 12/26/2013 AT 69 35 THRU STN FUNDS	970 90		874 30-	96 60
12/27/13	SOLD 75 SHS HENDERSON INTERNATIONAL OPPORTUNITIES FUND ON 12/26/2013 AT 26 75 THRU STN FUNDS	2,006 25		1,638 00-	368 25
12/27/13	SOLD 10 SHS MFS NEW DISCOVERY FUND ON 12/26/2013 AT 26 64 THRU STN FUNDS	266 40		234 90-	31 50
12/27/13	SOLD 206 SHS MFS GROWTH FUND ON 12/26/2013 AT 65 13 THRU STN FUNDS	13,416 78		11,411 59-	2,005 19

ACCOUNT STATEMENT

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ACCOUNT NUMBER 1050002967

DECEMBER 01, 2013 TO DECEMBER 31, 2013

TRANSACTION DETAIL (CONTINUED)

DATE	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST	GAIN / LOSS
12/27/13	SOLD 41 SHS OPPENHEIMER DEVELOPING MARKETS ON 12/26/2013 AT 37 39 THRU STN FUNDS	1,532 99		1,481 74-	51 25
12/27/13	SOLD 93 SHS T ROWE PRICE SHORT TERM BOND FUND ON 12/26/2013 AT 4 78 THRU STN FUNDS	444 54		443 61-	0 93
12/27/13	SOLD 45 SHS TEMPLETON GLOBAL BOND FUND A ON 12/26/2013 AT 13 08 THRU STN FUNDS	588 60		607 50-	18 90-
TOTAL SALES		35,175 25	0 00	30,666 10	4,509 15
TOTAL PURCHASES & SALES		35,175 25	0 00	30 666 10-	4 509 15
DISBURSEMENTS					
12/20/13	TRANSFERRED TO PRINCIPAL		2,000 00-		
12/20/13	REMITTANCE TO BOYS & GIRLS CLUB FOR FINAL PLEDGE PAYMENT ON BEHALF OF STOCK YARDS BANK	5,000 00-			
12/27/13	REMITTANCE TO MERCY ACADEMY FOR STUDENT SPONSORSHIP 2013 CHARITABLE CONTRIBUTION ON BEHALF OF STOCK YARDS BANK	5,000 00-			
12/27/13	REMITTANCE TO ST JOSEPHS CHILDRENS HOME PLEDGE PAYMENT TO SUPPORT THE CAPITAL CAMPAIGN 2013 CHARITABLE CONTRIBUTION ON BEHALF OF STOCK YARDS BANK	20,000 00-			
TOTAL DISBURSEMENTS		30,000 00-	2,000 00-	0 00	0 00
NET CASH MANAGEMENT		15,336 91-	100 96-	15,437 87	
TOTAL		15,336 91-	100 96-	15,437 87	0 00
ENDING BALANCE		0 00	0 00	453,556 19	13,402 71

ACCOUNT STATEMENT

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ACCOUNT NUMBER 1050002967

DECEMBER 01, 2013 TO DECEMBER 31, 2013

DISCLOSURES

STOCK YARDS TRUST COMPANY MAY INVEST OR REINVEST ASSETS IN INTEREST BEARING MONEY MARKET AND MUTUAL FUNDS, INCLUDING THE BANK'S OWN INTEREST BEARING ACCOUNTS, FROM WHICH STOCK YARDS BANK MAY RECEIVE SERVICING FEES EITHER DIRECTLY OR INDIRECTLY FROM THE MUTUAL FUNDS FOR ADMINISTRATIVE SERVICES IT PROVIDES TO THESE MUTUAL FUNDS. FOR MORE INFORMATION, PLEASE CONTACT YOUR ADVISOR.

STOCK YARDS TRUST COMPANY MAY UTILIZE BROKERS FOR SECURITY TRANSACTIONS THAT PAY FOR CERTAIN SERVICES ON BEHALF OF THE INSTITUTION. WHEN DETERMINING WHERE TO DIRECT BROKERAGE, STOCK YARDS TRUST COMPANY WILL SEEK TO OBTAIN BEST EXECUTION AND MINIMIZE TRANSACTION COSTS. TIME OF EXECUTION WILL BE FURNISHED IF REQUESTED IN WRITING BY A CUSTOMER ENTITLED THERETO UNDER REGULATIONS. STOCK YARDS TRUST COMPANY MAY CHARGE A REASONABLE FEE FOR PROVIDING THIS INFORMATION.

WHEN PARTIAL SALES OF A SECURITY HOLDING WITH MULTIPLE TAX LOTS ARE MADE, IT IS THE PRACTICE OF STOCK YARDS TRUST COMPANY TO SELECT THE HIGHEST COST TAX LOT(S) (HIFO) FOR DETERMINING CAPITAL GAINS OR LOSSES ON THE SECURITY SALE. THIS SELECTION GENERALLY RESULTS IN THE MOST ADVANTAGEOUS TAX TREATMENT FOR THE ACCOUNT AND CLIENT. HOWEVER, THERE MAY BE OTHER FACTORS THAT CAUSE STOCK YARDS TRUST COMPANY TO MAKE A DIFFERENT SELECTION. STOCK YARDS TRUST COMPANY SHALL USE THE HIFO METHOD UNLESS THERE IS A FINANCIAL OR TAX REASON TO USE AN ALTERNATIVE SELECTION METHOD OR THE CLIENT INSTRUCTS THE USE OF AN ALTERNATIVE SELECTION METHOD.

FAIR VALUES OF STOCKS, MUTUAL FUNDS AND EXCHANGE TRADED FUNDS ARE DETERMINED USING PUBLIC QUOTATIONS, WHEN AVAILABLE. FAIR VALUES OF PUBLIC BONDS AND THOSE PRIVATE SECURITIES THAT ARE ACTIVELY TRADED IN THE SECONDARY MARKET HAVE BEEN DETERMINED THROUGH THE USE OF THIRD-PARTY PRICING SERVICES USING MARKET OBSERVABLE INPUTS. THE INFORMATION PRESENTED HEREIN IS TAKEN FROM SOURCES WHICH WE BELIEVE TO BE RELIABLE.

FOR FDIC INSURANCE PURPOSES, STOCK YARDS TRUST COMPANY DOES NOT MONITOR BALANCES OF OTHER CASH DEPOSITS WITH STOCK YARDS BANK THAT ARE NOT HELD IN THIS ACCOUNT. THE CLIENT IS RESPONSIBLE FOR MONITORING THESE BALANCES. INFORMATION REGARDING FDIC INSURANCE IS AVAILABLE AT WWW.FDIC.GOV OR BY CONTACTING YOUR ADVISOR.

SECURITIES ARE NOT BANK DEPOSITS AND ARE NOT FDIC INSURED, NOR ARE THEY OBLIGATIONS OF OR GUARANTEED BY STOCK YARDS BANK.

Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949**2013**Attachment
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D

Name(s) shown on return

STOCK YARDS BANK FOUNDATION INC 1050002967

Social security number or taxpayer identification number

61-1380342

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g).
						(f) Code(s) from instructions	(g) Amount of adjustment	
40.	ASTON /FAIRPOINTE MID	09/21/2012	02/19/2013	1,488.00	1,374.00			114.00
71.	INVESCO SMALL CAP VALU	04/17/2012	02/19/2013	1,322.00	1,259.00			63.00
1508.	BAIRD CORE PLUS BON	06/13/2012	02/19/2013	17,463.00	17,221.00			242.00
1446.	FEDERATED TOTAL RETU	04/17/2012	02/19/2013	16,383.00	16,513.00			-130.00
48.	GABELLI EQUITY INCOME	04/17/2012	02/19/2013	1,182.00	1,054.00			128.00
118.	735 HARBOR INTERNATIONAL	04/17/2012	02/19/2013	7,580.00	6,948.00			632.00
368.	HENDERSON INTERNATIONAL OPPORTUNITIES FU	04/17/2012	02/19/2013	8,125.00	7,504.00			621.00
47	MFS NEW DISCOVERY FUND	04/17/2012	02/19/2013	1,097.00	925.00			172.00
65.	ASTON /FAIRPOINTE MID	09/21/2012	02/20/2013	2,376.00	2,233.00			143.00
43	INVESCO SMALL CAP VALU	04/17/2012	02/20/2013	783.00	762.00			21.00
217.	BAIRD CORE PLUS BOND	06/13/2012	02/20/2013	2,515.00	2,478.00			37.00
35	BLACKROCK INFLATION P BOND PORT	04/17/2012	02/20/2013	412.00	414.00			-2.00
113.	DOUBLELINE TOTAL RETU	02/19/2013	02/20/2013	1,283.00	1,283.00			
222.	FEDERATED TOTAL RETUR	04/17/2012	02/20/2013	2,517.00	2,535.00			-18.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked). ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA
3X2615 2 000

Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

2013Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D

Attachment
Sequence No **12A**

Name(s) shown on return

STOCK YARDS BANK FOUNDATION INC 1050002967

Social security number or taxpayer identification number

61-1380342

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr.)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g).
						(f) Code(s) from instructions	(g) Amount of adjustment	
176	GABELLI EQUITY INCOM	04/17/2012	02/20/2013	4,277.00	3,865.00			412.00
12.	HARBOR INTERNATIONAL F	04/17/2012	02/20/2013	756.00	702.00			54.00
34.	HENDERSON INTERNATIONAL OPPORTUNITIES FU	04/17/2012	02/20/2013	747.00	693.00			54.00
32.	MFS NEW DISCOVERY FUND	04/17/2012	02/20/2013	731.00	630.00			101.00
17.	OPPENHEIMER DEVELOPING	02/19/2013	02/20/2013	611.00	614.00			-3.00
112.	T ROWE PRICE NEW AMER FUND	02/19/2013	02/20/2013	4,148.00	3,912.00			236.00
98.	TEMPLETON GLOBAL BOND	02/19/2013	02/20/2013	1,322.00	1,323.00			-1.00
66	ASTON /FAIRPOINTE MID	09/21/2012	02/22/2013	2,412.00	2,268.00			144.00
43.	INVESCO SMALL CAP VALU	04/17/2012	02/22/2013	781.00	762.00			19.00
399.	BAIRD CORE PLUS BOND	06/13/2012	02/22/2013	4,632.00	4,557.00			75.00
56.	BLACKROCK INFLATION P BOND PORT	02/19/2013	02/22/2013	661.00	663.00			-2.00
202.	DOUBLELINE TOTAL RETU	02/19/2013	02/22/2013	2,295.00	2,293.00			2.00
408.	FEDERATED TOTAL RETUR	04/17/2012	02/22/2013	4,631.00	4,659.00			-28.00
225.	GABELLI EQUITY INCOM	04/17/2012	02/22/2013	5,483.00	4,941.00			542.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Form **8949****Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949**2013**Attachment
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

STOCK YARDS BANK FOUNDATION INC 1050002967

61-1380342

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	13. HARBOR INTERNATIONAL F	04/17/2012	02/22/2013	821.00	761.00			60.00
	42. HENDERSON INTERNATIONAL OPPORTUNITIES FU	04/17/2012	02/22/2013	911.00	856.00			55.00
	34. MFS NEW DISCOVERY FUND	04/17/2012	02/22/2013	775.00	669.00			106.00
	27. OPPENHEIMER DEVELOPING	02/19/2013	02/22/2013	964.00	976.00			-12.00
	145. T ROWE PRICE NEW AMER FUND	04/17/2012	02/22/2013	5,372.00	5,058.00			314.00
	160. TEMPLETON GLOBAL BOND	02/19/2013	02/22/2013	2,154.00	2,160.00			-6.00
	1199. T ROWE PRICE NEW AME FUND	04/01/2013	06/12/2013	46,341.00	43,931.00			2,410.00
	164. ASTON /FAIRPOINTE MID	04/01/2013	06/21/2013	6,603.00	6,216.00			387.00
	82. INVESCO SMALL CAP VALU	04/01/2013	06/21/2013	1,575.00	1,531.00			44.00
	309. BAIRD CORE PLUS BOND	04/01/2013	06/21/2013	3,464.00	3,594.00			-130.00
	10. BLACKROCK INFLATION P BOND PORT	04/01/2013	06/21/2013	109.00	119.00			-10.00
	195. DOUBLELINE TOTAL RETU	02/19/2013	06/21/2013	2,163.00	2,213.00			-50.00
	321. GABELLI EQUITY INCOM	04/01/2013	06/21/2013	8,173.00	8,125.00			48.00
	17. HARBOR INTERNATIONAL F	04/01/2013	06/21/2013	1,043.00	1,062.00			-19.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions

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Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

2013Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D

Attachment
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

STOCK YARDS BANK FOUNDATION INC 1050002967

61-1380342

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions **not** reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
69.	HENDERSON INTERNATIONAL OPPORTUNITIES FU	04/01/2013	06/21/2013	1,517.00	1,507.00			10.00
72.	MFS NEW DISCOVERY FUND	04/01/2013	06/21/2013	1,751.00	1,691.00			60.00
164.	MFS GROWTH FUND	06/12/2013	06/21/2013	8,769.00	8,879.00			-110.00
6.	OPPENHEIMER DEVELOPING	02/19/2013	06/21/2013	198.00	217.00			-19.00
91	TEMPLETON GLOBAL BOND	02/19/2013	06/21/2013	1,163.00	1,229.00			-66.00
49	ASTON /FAIRPOINTE MID	04/01/2013	09/05/2013	2,100.00	1,857.00			243.00
54.	INVESCO SMALL CAP VALU	04/01/2013	09/05/2013	1,132.00	1,008.00			124.00
763.	BAIRD CORE PLUS BOND	04/01/2013	09/05/2013	8,408.00	8,874.00			-466.00
362.	BLACKROCK INFLATION BOND PORT	04/01/2013	09/05/2013	3,881.00	4,297.00			-416.00
458	GABELLI EQUITY INCOM	04/01/2013	09/05/2013	12,032.00	11,592.00			440.00
11.	HARBOR INTERNATIONAL F	04/01/2013	09/05/2013	717.00	687.00			30.00
39.	HENDERSON INTERNATIONAL OPPORTUNITIES FU	04/01/2013	09/05/2013	930.00	852.00			78.00
38.	MFS NEW DISCOVERY FUND	04/01/2013	09/05/2013	1,010.00	893.00			117.00
16.	OPPENHEIMER DEVELOPING	02/19/2013	09/05/2013	556.00	578.00			-22.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

Department of the Treasury
Internal Revenue Service
Name(s) shown on return► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2013
Attachment
Sequence No **12A**

STOCK YARDS BANK FOUNDATION INC 1050002967

Social security number or taxpayer identification number
61-1380342

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☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

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☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
769.	TEMPLETON GLOBAL BOND	02/19/2013	09/05/2013	9,820.00	10,382.00			-562.00
122.	ASTON /FAIRPOINTE MID	04/01/2013	12/26/2013	5,833.00	4,624.00			1,209.00
31.	INVESCO SMALL CAP VALU	04/01/2013	12/26/2013	668.00	579.00			89.00
97.	BAIRD CORE PLUS BOND	04/01/2013	12/26/2013	1,082.00	1,128.00			-46.00
9.	DOUBLELINE TOTAL RETURN	02/19/2013	12/26/2013	97.00	102.00	W	5.00	
19.	DOUBLELINE TOTAL RETUR	02/19/2013	12/26/2013	206.00	216.00			-10.00
252.	GABELLI EQUITY INCOM	04/01/2013	12/26/2013	7,154.00	6,378.00			776.00
14	HARBOR INTERNATIONAL F	04/01/2013	12/26/2013	971.00	874.00			97.00
75	HENDERSON INTERNATIONAL OPPORTUNITIES FU	04/01/2013	12/26/2013	2,006.00	1,638.00			368.00
10.	MFS NEW DISCOVERY FUND	04/01/2013	12/26/2013	266.00	235.00			31.00
206	MFS GROWTH FUND	09/05/2013	12/26/2013	13,417.00	11,412.00			2,005.00
41.	OPPENHEIMER DEVELOPING	02/19/2013	12/26/2013	1,533.00	1,482.00			51.00
93	T ROWE PRICE SHORT TER	09/05/2013	12/26/2013	445.00	444.00			1.00
4.	TEMPLETON GLOBAL BOND F	02/19/2013	12/26/2013	52.00	54.00	W	2.00	
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

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Social security number or taxpayer identification number

61-1380342

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions)

X	(F) Long-term transactions not reported to you on Form 1099-B
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Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.