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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.**2013**

Open to Public Inspection

For calendar year 2013 or tax year beginning 12/30/12, 2013, and ending 12/28, 2013

Name of foundation YUM! Brands Foundation, Inc.		A Employer identification number 61-1327140
Number and street (or P O box number if mail is not delivered to street address) c/o YUM! Tax Department, P.O. Box 35910		B Telephone number (see instructions) 502-874-8300
City or town, state or province, country, and ZIP or foreign postal code Louisville, KY 40232		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply	<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change	<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 10,158,048		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc. received (attach schedule)		9,293,643			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		10,764	10,764	10,764	
4 Dividends and interest from securities					
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10					
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)					
8 Net short-term capital gain					
9 Income modifications				10,000	
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11		9,304,407	10,764	20,764	
13 Compensation of officers, directors, trustees, etc.					
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)		52,330		52,330	
b Accounting fees (attach schedule)					
c Other professional fees (attach schedule)		7,288		7,288	
17 Interest					
18 Taxes (attach schedule) (see instructions)		393		393	
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule)		2,758		2,758	
24 Total operating and administrative expenses. Add lines 13 through 23		62,769		62,769	
25 Contributions, gifts, grants paid		7,623,691			7,623,691
26 Total expenses and disbursements. Add lines 24 and 25		7,686,460		62,769	7,623,691
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		1,617,947			
b Net investment income (if negative, enter -0-)			10,764		
c Adjusted net income (if negative, enter -0-)				0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule) .			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶ (attach schedule)			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)	8,530,101	10,158,048	10,158,048
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation ▶ (attach schedule)			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	8,530,101	10,158,048	10,158,048
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons .			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds . .		0	
	30	Total net assets or fund balances (see instructions)	8,530,101	10,158,048	
	31	Total liabilities and net assets/fund balances (see instructions)	8,530,101	10,158,048	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,530,101
2	Enter amount from Part I, line 27a	2	1,617,947
3	Other increases not included in line 2 (itemize) ▶ PY grants returned or recovered in CY	3	10,000
4	Add lines 1, 2, and 3	4	10,158,048
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,158,048

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 5px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> </div>		2		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 5px;"> If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 </div> </div>		3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	8,316,992		
2011	8,632,881		
2010	7,267,801		
2009	4,027,174		
2008	6,761,784		
2 Total of line 1, column (d)			2 0
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 6,585,948
5 Multiply line 4 by line 3			5
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 108
7 Add lines 5 and 6			7 108
8 Enter qualifying distributions from Part XII, line 4			8 7,623,691

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	108
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	108
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	108
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		108
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> Kentucky		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		x
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		x
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	x	
Website address <input type="text"/>				
14	The books are in care of <input type="text"/> Yum! Brands, Inc. Tax Department Telephone no <input type="text"/> 502-874-8300 Located at <input type="text"/> 1900 Colonel Sanders Ln, Louisville, KY ZIP+4 <input type="text"/> 40213			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/>	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="text"/>				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/>	1b	
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? <input type="checkbox"/>	1c	x
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <input type="text"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) <input type="checkbox"/>	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="text"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) <input type="checkbox"/>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/>	4a	x
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? <input type="checkbox"/>	4b	x

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here. ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED				

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 _____	

2 _____	

3 _____	

4 _____	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 _____	

2 _____	

All other program-related investments. See instructions.	
3 _____	

Total. Add lines 1 through 3. ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	6,686,242
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	6,686,242
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	6,686,242
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	100,294
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	6,585,948
6	Minimum investment return. Enter 5% of line 5	6	329,297

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	329,297
2a	Tax on investment income for 2013 from Part VI, line 5	2a	108
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	108
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	329,189
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	329,189
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	329,189

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,623,691
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	7,623,691
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	108
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	7,623,583

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				329,189
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008 6,481,671				
b From 2009 3,863,100				
c From 2010 7,051,240				
d From 2011 8,100,829				
e From 2012 7,781,085				
f Total of lines 3a through e	33,277,925			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 7,623,691				
a Applied to 2012, but not more than line 2a . . .				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				329,189
e Remaining amount distributed out of corpus . .	7,294,502			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	40,572,427			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . .				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	6,481,671			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	34,090,756			
10 Analysis of line 9				
a Excess from 2009 . . . 3,863,100				
b Excess from 2010 . . . 7,051,240				
c Excess from 2011 . . . 8,100,829				
d Excess from 2012 . . . 7,781,085				
e Excess from 2013 . . . 7,294,502				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test - enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ANGELA OSTING 1441 GARDINER LANE, LOUISVILLE, KY 40213 (502) 874-2203

b The form in which applications should be submitted and information and materials they should include

MATCHING GIFT FORM AND PROOF OF 501(C)(3)

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

MUST BE GREATER THAN \$25 AND 501(C)(3) ORGANIZATION

JSA

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED			UNRESTRICTED CONTRIBUTION TO 501(C)(3)	7,086,501
SEE ATTACHED			UNRESTRICTED CONTRIBUTION TO NON 501(C)(3) CHARITABLE ORGANIZATIONS	357,500
SEE ATTACHED	NONE		DISASTER RELIEF CONTRIBUTIONS TO INDIVIDUALS FOR DISASTER RELIEF	20,500
Total ► 3a				7,464,501
b Approved for future payment SEE ATTACHED			UNRESTRICTED CONTRIBUTIONS TO 501(C)(3)	157,690
SEE ATTACHED	NONE		UNRESTRICTED CONTRIBUTIONS TO INDIVIDUALS FOR DISASTER RELIEF	1,500
Total ► 3b				159,190

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

▼

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2013▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.**Name of the organization****Employer identification number**

YUM! Brands Foundation, Inc.

61-1327140

Organization type (check one)**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization

YUM! Brands Foundation, Inc.

Employer identification number

61-1327140

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	YUM! BRANDS, INC. 1900 COLONEL SANDERS LANE LOUISVILLE, KY 40213	\$ 9,174,643	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	DAVID NOVAK 1441 GARDINER LN LOUISVILLE, KY 40213	\$ 119,000	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

YUM! BRANDS FOUNDATION, INC
2013 ATTACHMENT TO FORM 990PF
EIN: 61-1327140

PART I -

	<u>COLUMN (A)</u>	<u>COLUMN (C)</u>	<u>COLUMN (D)</u>
CONTRIBUTIONS RECEIVED			
PART I, LINE 1			
DAVID NOVAK	119,000		
1441 GARDINER LN			
LOUISVILLE, KY 40213			
YUM! BRANDS, INC	<u>9,174,643</u>		
1900 COLONEL SANDERS LANE			
LOUISVILLE, KY 40213			
TOTAL CONTRIBUTIONS	<u><u>9,293,643</u></u>		
LEGAL FEES, LINE 16a			
LEGAL FEES, LINE 16a	52,330	52,330	-
OTHER PROFESSIONAL FEES, LINE 16c			
PUBLIC RELATIONS FEES	1,288	1,288	-
PROFESSIONAL ASSOCIATION FEES	6,000	6,000	-
TAXES, LINE 18			
SECTION 4940(e) TAX ON INVESTMENT INCOME	393	393	-
OTHER EXPENSES, LINE 23			
BANK CHARGES	<u>2,758</u>	<u>2,758</u>	-
Total Operating and Administrative Expenses - Line 24	<u><u>62,769</u></u>	<u><u>62,769</u></u>	-

PART II -

	Book Beginning of Year	Book End of Year	FMV End of Year
INVESTMENTS, LINE 13			
INVESTMENT SWEEP ACCOUNT PNC BANK			
(FKA NATIONAL CITY BANK)	<u>8,530,101</u>	<u>10,158,048</u>	<u>10,158,048</u>
TOTAL	<u><u>8,530,101</u></u>	<u><u>10,158,048</u></u>	<u><u>10,158,048</u></u>

PART VII-A

SUBSTANTIAL CONTRIBUTIONS, LINE 10

YUM! BRANDS, INC
1900 COLONEL SANDERS LANE
LOUISVILLE, KY 40213

ATTACHMENT TO FORM 990PF

2013

YUM! BRANDS FOUNDATION, INC

EIN: 61-1327140

Form 990-PF

Page 6, Part VIII, Line 1

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contribution to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Novak, David 1441 Gardiner Lane Louisville, KY 40213	Director <i>Chairman of the Board & CEO</i> < 0 5	None	None	None
Blum, Jonathan 1441 Gardiner Lane Louisville, KY 40213	Director Vice Chairman < 0 5	None	None	None
Gathoff, W Lawrence 1441 Gardiner Lane Louisville, KY 40213	Chief Financial Officer < 0 5	None	None	None
Campbell, Christian 1441 Gardiner Lane Louisville, KY 40213	Director Vice-President & Secretary < 0 5	None	None	None
Pat Grismer 1441 Gardiner Lane Louisville, KY 40213	Director Vice-President & Treasurer < 0 5	None	None	None
Phillips, Donald 1441 Gardiner Lane Louisville, KY 40213	Vice-President < 0 5	None	None	None
Melilo Barnum, Laura 1441 Gardiner Lane Louisville, KY 40213	Executive Director & Administrator < 0 5	None	None	None
Neat, Linda J 1441 Gardiner Lane Louisville, KY 40213	Assistant Secretary < 0 5	None	None	None
Byerlein, Anne 1441 Gardiner Lane Louisville, KY 40213	Director < 0 5	None	None	None
Eaton, Roger 1441 Gardiner Lane Louisville, KY 40213	Director < 0 5	None	None	None

Yum! Brands Foundation

Tax year 2013

Part XV 3a

Unrestricted Contributions to 501(c)(3) Organizations

Organization	Amount
21st Century Parks Total	31,000.00
A Childs Hope International Total	1,000.00
Action Against Hunger ACF International Total	2,000.00
Actors Theatre of Louisville Total	4,000.00
Addison Rotary Foundation Total	3,000.00
After I Get Done with Cancer Total	2,500.00
Alley Cat Advocates, Inc Total	2,200.00
ALS Association, San Antonio Total	425.00
Alzheimer's Association, Chicago Total	75.00
Alzheimer's Association, Kentucky Total	1,550.00
American Cancer Society, OK City Total	75.00
American Cancer Society Total	500.00
American Cancer Society Birmingham Total	410.00
American Cancer Society, AL Total	50.00
American Cancer Society, BG KY Total	199.00
American Cancer Society, Louisville KY Total	3,120.00
American Cancer Society, MD2 Total	50.00
American Cancer Society, Oakland Total	50.00
American Cancer Society, Oakland, CA Total	50.00
American Cancer Society, Owensboro Total	50.00
American Cancer Society, Tampa Total	100.00
American Diabetes Association, KY Total	1,000.00
American Diabetes Association, VA Total	480.00
American Foundation for Suicide Prevention Total	65.00
American Heart Assn., Topeka, KS Total	25.00
American Heart Association - Texas	
American Heart Association - Dallas Total	50.00
American Heart Association of Columbus Total	755.00
American Heart Association, Glen Allen, VA Total	25.00
American Heart Association, Louisville Total	525.00
American Heart Association, NC Total	250.00
American Heart Association, NH Total	55.00
American Heart Association, Ohio Total	64.00
American Italian Cancer Foundation Total	5,000.00
American Kidney Fund Total	25.00
American Lung Association of Kentucky Total	3,050.00
American Red Cross Total	5,560.00
American Red Cross - Louisville, KY Total	12,500.00
American Red Cross, DC Total	1,685.00
American Red Cross, Fort Worth Total	50.00
American Society for the Prevention of Cruelty to Animals Total	885.00
Americana Community Center Total	1,000.00
Americans for the Arts Total	2,500.00
Anchorage Public School Total	2,500.00
Animal Refuge Center-KY Total	910.00
Archdiocese of Louisville Total	200.00
Arlington Food Assistance Center Total	500.00
Asbury College Total	2,500.00
Asia Institute Total	2,700.00
AT&T Pioneers Total	1,000.00
Autism Speaks Total	60.00
Avon Products Foundation Total	858.60
Barren Heights Christian Retreat Center Total	1,000.00
Be the Match Foundation Total	25.00
Belmont Food Pantry. Peach Lutheran Church Total	1,000.00
Berrytown YMCA Total	500.00
Big Brothers/ Big Sisters of Kentuckiana Total	5,540.00
Big Cat Rescue Total	350.00
Blessings in a Backpack Total	2,000.00
Blue Grass Community Foundation Total	52.50

Yum! Brands Foundation**Tax year 2013****Part XV 3a****Unrestricted Contributions to 501(c)(3) Organizations**

Boca Helping Hands Total	5,000 00
Boy Scouts of America, Lincoln Heritage Total	50 00
Boys & Girls Club of Alachua County Total	1,000 00
Boys & Girls Club of Kentuckiana Total	500.00
Boys & Girls Club of Metro Atlanta Total	2,500 00
Boys & Girls Club of Santa Ana, Inc. Total	5,000 00
Boys & Girls Club of the Harbor Area Total	1,586 00
Boys & Girls Clubs of Capistrano Valley Total	2,500 00
Boys & Girls Clubs of Wayne County - Richard E. Jeffers Unit Total	1,000 00
Boys and Girls Club of Hollywood Total	1,000 00
Bread For The World Total	1,000 00
Bridgehaven Mental Health Services Total	5,850.00
Broome County Council of Churches Total	1,000.00
Buck Creek Valley Rescue Farm Total	600 00
Bullitt County Family YMCA Total	50 00
Cabbage Patch Settlement House Total	10,000.00
Cadasil Association Total	500 00
Camp John Marc Total	1,000.00
Cane Run Elementary School Total	20,000 00
Capital Area Food Bank of Texas Total	1,000 00
Capital Area Food Bank Total	1,500 00
Cathedral of the Assumption Total	1,500.00
Catholic Education Foundation Total	2,000 00
Catholic Relief Services Total	1,000 00
Cedar Lake Foundation Total	2,000 00
Center for Alaskan Coastal Studies Total	2,500.00
Center for Women and Families Total	7,000 00
Cerebral Palsy KIDS Center Total	200.00
Cerebral Palsy School of Louisville Foundation Total	2,100 00
Chamberlain Ballet Total	250 00
Chance School Total	8,000 00
Charlotte County Meals on Wheels Total	1,000 00
chILD Foundation Total	75.00
Childfund International Total	100 00
Children's Cancer Fund of Dallas, Inc. Total	300 00
Children's Home Society Total	20,000.00
Children's Hospital Foundation Total	51,180 00
Children's Meal Mission Total	1,000.00
Children's Medical Center Total	3,100 00
CHOC Foundation Total	25 00
Christian Found for Children and Aging Total	30.00
Chuck Olmstead Memorial Fund Total	5,000 00
Church of God in Christ Jesus Total	1,000 00
City Harvest Total	4,000 00
Coalition for the Homeless Total	1,000 00
Columbus Affiliate of the Susan G. Komen Total	325 00
Community Foundation of Louisville Total	7,351.00
Congressional Hunger Center Total	5,000 00
Covenant House Texas Total	100.00
Cross Catholic Outreach Total	2,000 00
Cumberland Community Action Program Total	1,000 00
CURE Epilepsy Total	6,000.00
Curesearch National Childhood Cancer Fndt Total	125 00
Cystic Fibrosis Foundation Total	1,000 00
Cystic Fibrosis Foundation - Forth Worth Total	2,375 00
Cystic Fibrosis Foundation - Louisville Total	800.00
Daniel's Music Foundation Total	200.00
Dare to Care Total	5,410.00
Dare to Care Food Bank Total	45,492.90
Darrell Griffith Foundation Total	2,500 00
Degage Ministries Total	3,000 00

Yum! Brands Foundation

Tax year 2013

Part XV 3a

Unrestricted Contributions to 501(c)(3) Organizations

Direct Relief International Total	100 00
Disabled American Veterans, KY Total	75.00
Dixie Youth Baseball Total	25.00
Don Bosco Community Center of Port Chester Total	5,000 00
Donorschoose org Total	750 00
Doorways for Women and Families Total	1,000 00
Down Syndrome Association of Greater Toledo Total	100 00
Down Syndrome of Louisville Total	300 00
Down&Derby Total	3,000.00
Dreams with Wings, Inc. Total	500.00
Dunn Elementary School PTA Total	500 00
Eastern Area Community Ministries Total	1,000 00
Eastern Shore Chapel Food Pantry Total	1,000 00
EDGE Outreach DBA Water Step Total	2,000 00
El Pasoans Fighting Hunger Total	1,000 00
ElderServe, Inc Total	750.00
Elijah's Promise Total	5,000 00
Emmaus Mission Center Total	20,000 00
Epilepsy Foundation, NE Total	500.00
F.E.A S T Total	10,000.00
Family & Children's Place Total	20,000.00
Family Point Total	500 00
Family Scholar House Total	5,600 00
FBI - LEEDA Total	5,000 00
Feed My Starving Children Total	1,000.00
Feed the Children, Oklahoma Total	5,000 00
Feeding America Total	6,000.00
Fern Creek Highview United Ministries Total	500 00
First Dallas Media Inc Total	1,000.00
FISH of McHenry Food Pantry Total	1,000 00
Florida State University Foundation Total	1,000.00
Fond du Lac Arts Council Total	1,000 00
Food Bank for New York City Total	1,000 00
Food Bank of South Eastern Virginia Total	1,000 00
Food for the Poor, Inc Total	4,892 30
Food Link Total	2,000.00
FoodBank of Monmouth and Ocean Counties Total	1,000 00
Foodbank of Southeastern Virginia Total	1,000 00
Football Club Athletic Total	100.00
Foundation Fighting Blindness - Vernon Hills Total	500 00
Foundation for Lovejoy Schools Total	500 00
Freestore Foodbank Total	2,000.00
Fresno First Baptist Church Total	1,000 00
Friends of Irvington Library Total	1,000 00
Friends of the Bell County Animal Shelter Total	125 00
Friends of WFP Total	3,428,250.00
Fund for the Arts Total	735,892 65
George Bush Presidential Library Foundation Total	1,000.00
Gilda's Club of Louisville Total	4,744 92
Gilmore Lane PTA Total	170 00
Girls on the Run of Louisville Total	2,200 00
Girls on the Run, Louisville Total	500 00
Give Direct, Inc. Total	300 00
Give2TheTroops, Inc Total	1,125.00
God's Kitchen Total	1,000 00
God's Pantry Inc Total	1,000 00
Goodwill Industries of Southern California Total	2,500.00
Governor's Scholars Program Total	500.00
Greater Chicago Food Depository Total	2,000.00
Greater LA Af-Am Chmbr of Commerce Ed Fund Total	1,250.00
Greater Louisville Foundation Total	60,000 00

Yum! Brands Foundation

Tax year 2013

Part XV 3a

Unrestricted Contributions to 501(c)(3) Organizations

Green Hill Therapy, Inc Total	1,050 00
Grenville Baker Boys and Girls Club Total	1,000 00
Habitat for Humanity of Northern Virginia Total	250.00
Habitat for Humanity, Louisville Total	5,135 00
Hand in Hand Ministries, Inc Total	650 00
Harbor House of Louisville, Inc Total	500 00
Harlem YMCA Total	1,000.00
Harrods Creek Land Trust, Inc , dba Oldham Ahead Total	6,000 00
Hatboro-Horsham School District Total	1,000 00
Heather French Foundation for Veterans Total	1,000 00
Heifer International Total	1,000.00
Heifer Project International Total	5,000 00
Heuser Hearing & Language Academy Total	2,500 00
Holy Trinity Parish School Total	500 00
Home of the Innocents Total	20,600.00
Honorable Order of Kentucky Colonels Total	50 00
HOPE Center Total	1,000 00
Hope For Ariang Foundation Total	1,000 00
Hope Scarves Total	2,600 00
Hoping Hearts Foundation Total	500 00
Hosparus Foundation of Louisville Total	5,900 00
Houston Food Bank Total	1,000.00
Humane Society of the United States Total	1,075 00
Hurricane Sandy NJ Relief Fund, Inc Total	100 00
Idema Food Pantry at Calvin Thological Seminary Total	1,000.00
In Sync Exotics Total	125 00
Indian Summer Camp for Kids with Cancer Total	4,000 00
Indiana University Foundation Total	100.00
Intelligent Change Initiative, Inc. Total	2,500 00
Ironman Foundation Total	775 00
Irvington Historical Society Total	5,000.00
Jacksonville Humane Society Total	450 00
JDRF, Irvine Total	6,115 00
JDRF, Louisville Total	54,485 00
JDRF, NH Total	500 00
JDRF, Raleigh NC Total	75.00
Jefferson County Public Education Foundation, Inc Total	1,125 00
Jefferson County Search Dog Association Total	25.00
Jefferson Street Baptist Center Total	250 00
Jenesse Center, Inc. Total	1,250 00
Jewish Family and Career Services, Louisville Total	125 00
Jimmy Ruch Fund Total	2,500 00
Junior Achievement of Kentuckiana, Inc Total	5,300 00
Junior League of Louisville Total	8,174 70
KentuckianaWorks Foundation Total	35,000 00
Kentucky Association of Food Banks Total	5,000.00
Kentucky Center for African American Heritage Total	15,000 00
Kentucky Center for the Arts Total	2,000 00
Kentucky Educational Television Total	1,200 00
Kentucky Harvest Total	607.50
Kentucky Humane Society - Louisville Total	2,555.00
Kentucky Humane Society Animal Rescue League Total	6,520 00
Kentucky Junior Golf Foundation, Inc Total	1,600.00
Kentucky Museum of Art & Craft Total	2,500 00
Kentucky Opera Total	3,500.00
Kentucky Public Radio Total	210 00
Kentucky Shakespeare Festival Total	1,000 00
KentuckyOne Health Frazier Rehab Total	1,000 00
KentuckyShow! Total	2,500.00
KenyaRelief org Total	25.00
Kidney Health Alliance of Kentucky Inc Total	550 00

Yum! Brands Foundation**Tax year 2013****Part XV 3a****Unrestricted Contributions to 501(c)(3) Organizations**

Kids Against Hunger Total	2,000 00
Kids Against Hunger, Louisville Total	1,710 00
Kids Center for Pediatric Therapies Total	50 00
Kids Food Basket Total	12,000.00
Kids' Meals Total	25,000.00
Klothe-A-Kid Total	21,657.00
KnowledgeBeat Total	10,000 00
Kosair Charities Total	12,600 00
KY Hands & Voices Total	500 00
Lanakila Meals on Wheels Total	20,000.00
Leadership Kentucky Total	5,000 00
Leadership Louisville Foundation, Inc Total	27,300 00
Legal Aid Society Total	1,300 00
Leukemia & Lymphoma Society KY & So Indiana Chapter Total	5,575 00
Leukemia & Lymphoma Society, Dallas Total	100 00
Leukemia & Lymphoma Society, Metairie, LA Total	865 00
Leukemia & Lymphoma Society, VA Total	250 00
Leukemia and Lymphoma Society, Wilton Total	250.00
Lexington Humane Society Total	500 00
Library Foundation Total	5,075.00
Lifesong for Orphans Total	25.00
Lincoln Heritage Council, B S A Total	190.00
Long Beach AIDS Foundation Total	25 00
Louisville Ballet Total	12,500 00
Louisville Central Community Center Total	6,900.00
Louisville Children's Museum Total	125 00
Louisville Film Society Total	2,500 00
Louisville Metro Parks Foundation Total	1,000.00
Louisville Metro Police Foundation Total	2,500 00
Louisville Science Center Total	11,600 00
Louisville Seahawks, Inc Total	17,400 00
Louisville Sports Commission Total	7,000 00
Louisville Urban League Total	5,750 00
Louisville Youth Choir Total	5,000 00
Louisville Youth Training Center Total	1,000 00
Louisville Zoo Total	2,550.00
Make A Wish of Greater Ohio Kentucky and Indiana Inc Total	50 00
Make-A-Wish Foundation Total	100 00
Make-A-Wish, Tustin Total	1,635 00
March of Dimes, Louisville Total	2,500 00
Marina Orth Foundation Total	1,000.00
Marion FFA Total	1,000 00
Marshall County Community Kitchen Total	5,000.00
Maryhurst Total	20,197 00
Maryhurst, Inc. Total	5,500 00
Matthew 25 Ministries Total	1,000 00
Mattingly Center Total	25 00
Memorial Sloan-Kettering Cancer Center Total	1,000 00
Mental Health Clinic of Passaic Total	1,000 00
Meraquas of Irvine Total	25.00
Metro United Way, Louisville Total	869,385.87
Mills College Total	1,500.00
Milwaukee Animal Rescue Center Inc. Total	100 00
Minnies Food Pantry, Inc Total	50 00
Muhammad Ali Center Total	110,000.00
Multicultural Foodservice & Hospitality Alliance Total	30,000 00
MUSCL Total	700 00
Muscular Dystrophy Association, Louisville Total	75.00
National Gardening Association Total	50,000.00
National Kidney Foundation, KY Total	100 00
National Minority Supplier Dev Council Total	23,000 00

Yum! Brands Foundation**Tax year 2013****Part XV 3a****Unrestricted Contributions to 501(c)(3) Organizations**

National MS Society - KY/SE IN Total	1,300 00
National MS Society - Lone Star Chapter Total	300 00
National Multiple Sclerosis Society, Denv Total	150 00
National Parkinson Foundation Total	1,000 00
National Restaurant Association Educational Foundation Total	2,500.00
Nativity Academy at St Boniface Total	2,500.00
NAWBO Louisville Foundation, Inc. Total	2,500.00
Neighborhood House, Inc. Total	5,890 00
NephCure Foundation Total	100 00
Network for Good Total	600 00
New Beginnings for Animals Total	10,000 00
New England Amateur Skating Foundation Total	25 00
New Hope Food Bank Total	1,000.00
New World Bilingual Institute Toastmasters Club Total	5,000.00
New York City Coalition Against Hunger Total	1,000 00
NJ FMBA Foundation Total	50 00
No Kid Hungry, Share Our Strength North Carolina Total	1,000 00
No Kill Louisville Total	25 00
North Texas Food Bank Total	2,050 00
Northwestern University Total	1,000.00
Norton Healthcare Foundation Total	10,000 00
Ocean Bay Community Development Total	1,000 00
Open Door Mission Total	1,000 00
Operation Fly Total	1,000 00
Operation Kindness Total	125 00
Operation Open Arms Inc Total	5,000 00
Orange County Council B S A Total	150 00
Orange Public Library Foundation Total	5,000 00
Pan Massachusetts Challenge Trust Total	250.00
Pancreatic Cancer Action Network, Inc. Total	1,050 00
Pan-Massachusetts Challenge Total	100 00
Parkinson Support Center Total	3,900 00
Partnership Rapid City Total	5,000 00
Pathways Core Training, Inc Total	1,500 00
Pavilion Emergency Food Pantry Total	1,000 00
Pediatric Cancer Research Foundation Total	1,280 00
Peruvian Project Inc Total	1,000 00
Pitt Academy Total	1,200 00
Positive Is The Way To Live, Inc Total	10,000 00
Potter's House Total	2,000 00
Project Warm Total	1,000 00
PRSA Foundation Total	25,000 00
Pulaski Community Food Pantry Total	1,000 00
Raptor Rehabilitation of Kentucky Total	400 00
Reaching Out Community Services Total	1,000 00
Red Bird Mission Total	50.00
Regional Food Bank of Northeastern New York Total	1,000 00
Riley Children's Foundation Total	500 00
Rio Area Food Pantry Total	10,000 00
River City Food Bank Total	1,000.00
Robert E Lee Memorial Association Total	50 00
Ronald McDonald House, Orange County Total	25 00
Roosters Foundation Total	25 00
Sacred Heart Model School Total	1,500 00
Sacred Heart Schools Inc Total	5,100 00
Sailing for a Cure Total	1,000 00
Saint John's Missionary Baptist Church Total	3,000 00
Saint Vincent De Paul Society/Blessed Sacrament Chapter Total	4,000 00
Salvation Army Total	250 00
Salvation Army, Louisville Total	100.00
Salvation Army, Louisville (Brook St) Total	350 00

Yum! Brands Foundation**Tax year 2013****Part XV 3a****Unrestricted Contributions to 501(c)(3) Organizations**

Salvation Army, Louisville 2 Total	65,000.00
Samaritan's Purse Total	560 00
Santa Barbara Museum of Art Total	10,000 00
Sarah's Kitchen Total	11,000 00
Second Harvest Food Bank of Greater New Orleans & Acadiana Total	2,500 00
Service for Peace Total	1,000 00
Shamrock Foundation, Inc. Total	25 00
Shepherd's Hill International dba Give a Goat Total	1,000 00
Shively Area Ministries Total	6,150 00
Sickle Cell Association of Kentuckiana Total	500 00
Sigma Pi Educational Foundation Total	300 00
Smile Train Total	250 00
Snowball Express Total	15,075 00
So Others Might Eat Total	500.00
Society of St Vincent de Paul Total	6,600.00
SODA Total	2,500 00
South Coast Repertory Total	100.00
South East Associated Ministries, Inc Total	2,500 00
South Louisville Community Ministries Total	500 00
Southern California Public Radio Total	240 00
Southside Community Mission Total	1,000.00
Special Olympics - Frankfort Total	250 00
Special Olympics Frankfort Total	1,600 00
Special Olympics of MD Howard County Total	250 00
Speed Art Museum Total	5,000 00
Spina Bifida Association of Kentucky Total	500.00
St. Baldrick's Foundation Total	1,975 00
St Elizabeth Catholic Charities Total	3,000.00
St Francis Animal Rescue of Venice Total	200 00
St. John Center Inc, for Homeless Men Total	2,250 00
St Joseph Children's Home Total	200 00
St. Jude Children's Research Total	750 00
St Jude Children's Research Hospital Total	1,000.00
St Kevin Church Total	1,000 00
St Mary's Food Bank Alliance Total	2,000 00
St. Matthews Area Ministries Total	150 00
St. Matthews Rotary Charitable Foundation Inc Total	500 00
St. Peter's Kitchen Total	2,000.00
St Xavier High School Total	500 00
Stage One Total	5,100 00
Stanford University Total	10,000 00
STOP Coalition Total	2,500 00
Stray Animal Adoption Program Total	300 00
Streams of Hope Food Pantry Total	1,000 00
Sunrise Childrens Services Total	2,000 00
Susan G Komen - 3 Day for the Cure Total	1,730 00
Susan G. Komen - North Texas Total	25 00
Susan G Komen Breast Cancer Fndt , Lou Total	7,500.00
Susan G Komen, Ft Worth Total	25 00
Taco Bell Foundation Total	130,805 70
Thayne Community Food Bank Total	1,000 00
The ALS Association Ky Chapter Total	50 00
The Childhood League, Inc Total	5,000.00
The Church of God in Christ Jesus of the Apostles Faith, Inc Total	1,000 00
The Church of the Holy Eucharist Vincent DePaul Society Total	1,000 00
The Family Place Total	1,000 00
The First Tee of Louisville Total	100 00
The Gunnery Total	10,000 00
The Healing Place Inc Total	15,500 00
The Honor Society of Phi Kappa Phi Total	35 00
The Lawton Food Bank Total	5,000 00

Yum! Brands Foundation

Tax year 2013

Part XV 3a

Unrestricted Contributions to 501(c)(3) Organizations

The Left Foundation Total	1,000 00
The Lord's Kitchen Total	150.00
The Morton Center Total	800.00
The Olmsted Parks Conservancy Total	1,000 00
The Salvation Army Total	1,000 00
The United Leukodystrophy Foundation Total	200 00
Thumbs Up for Lane Goodwin Childhood Cancer Research Foundation Total	2,650 00
Town Center PTO Total	80 00
Trinity Episcopal Church Total	1,000.00
Trinity High School Foundation Total	200.00
Trooper Island Camp Total	1,000 00
Turning Point for Women and Families Total	2,500 00
Ugandan Orphanage Relief Fund Total	1,000 00
Unikids, Inc Total	195 00
Union Mission Ministries Total	1,000 00
United States Fund for UNICEF Total	25 00
United Way Total	1,000 00
University of California - Los Angeles Foundation Total	4,500.00
University of Chicago Total	500.00
University of Louisville Total	3,500.00
University of Louisville Foundation Total	10,375 00
Up With People Total	2,000 00
Uspiritus Total	11,075 00
Vineyard Community Church Food Pantry Total	1,000 00
Visually Impaired Preschool Services Total	4,500 00
Vogel Alcove Childcare for the Homeless Total	700 00
Volunteers of America, KY Total	22,775 00
Volunteers of America, Louisville Total	1,200.00
Walden Theater Total	5,000 00
Waterfront Development Corp. Total	10,000 00
Wesley House Total	110 00
West End School Total	12,500 00
WFP USA Total	70,334.00
WHAS Crusade for Children Total	2,585 00
Whitehall House & Gardens Total	5,000 00
WhyHunger Total	1,000.00
Winter Hayven Total	500 00
Wipe out Kids Cancer Total	1,000 00
Women For Women Inc Total	3,500 00
Women's Foodservice Forum Total	55,000 00
Woodland Wildlife, Inc Total	940.00
World Food Program USA Total	1,000 00
World Vision Total	2,500 00
Wounded Warrior Project Total	2,100 00
Yew Dell Gardens Total	1,250 00
YMCA of Greater Louisville Total	7,550 00
YMCA of Greater Louisville - Safe Place Total	800 00
YMCA of Harrison County Total	25 00
Younger Woman's Club of Louisville Total	1,500.00
Youth Villages Total	25 00
YPALCares Total	12,500 00
Total	7,086,500 64

Yum! Brands Foundation

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Part XV 3a

Unrestricted Contribution to Non 501(c)(3) Charitable Organization

Organization	Amount
China Youth Development Foundation	<u>357,500.00</u>

Part XV 3a

Unrestricted Contribution to Individuals for Disaster Relief

Individual	Amount
Diane Meyers	2,500.00
Tawanna Robinson	3,000.00
Andra Ekhoﬀ	1,500.00
Justin Hopkins	500.00
Rachael Beck	5,000.00
Jonathan Long	5,000.00
Sarah Howard	1,500.00
Kristy Ekhoﬀ	<u>1,500.00</u>
Total	<u><u>20,500.00</u></u>

Part XV 3b
Unrestricted Contributions to 501(c)(3) Organizations

Organization	Amount
Addison Rotary Foundation Total	3,300 00
Akron-Canton Regional Food Bank Total	1,000 00
American Cancer Society, Louisville KY Total	85 00
American Heart Association, San Antonio Total	50 00
American Red Cross Total	400 00
Asia Institute Total	2,400 00
Bear Necessities Pediatric Cancer Foundation Total	100 00
Blessings in a Backpack Total	1,000 00
Christian Service Center for Central Florida, Inc Total	1,000 00
Coalition for the Homeless Total	2,500 00
Cure JM Foundation - Encinitas, CA Total	1,000 00
Dare to Care Food Bank Total	1,000 00
Down Syndrome Association of Greater Toledo Total	500 00
Dream Factory of Louisville Total	2,000 00
Dress for Success Total	100 00
Family Scholar House Total	2,500 00
Friends of Irvington Library Total	2,500 00
Friends of Mkombozi Total	1,000 00
Gilda's Club of Louisville Total	10,000 00
Girls on the Run, Louisville Total	100 00
Greenpoint Reform Church-Reformed Church in America Total	1,000 00
Hope Scarves Total	1,000 00
Irvington Historical Society Total	2,500 00
Jack & Jill of America Fndt, Inc Total	2,500 00
JDRF, Irvine Total	50 00
JDRF, NY Total	50 00
Jefferson County Public Education Foundation, Inc Total	25,000 00
Junior Achievement of Kentuckiana, Inc Total	5,000 00
Kentucky Harvest Total	1,000 00
Kentucky Humane Society - Louisville Total	2,500 00
Kentucky Opera Total	1,250 00
Kids Against Hunger, Louisville Total	250 00
Legal Aid Society Total	1,000 00
Leukemia & Lymphoma Society, LA Total	250 00
Leukemia & Lymphoma Society, Prit MA Total	25 00
Louisville Scottish Rite Foundation, Inc Total	120 00
Louisville Zoo Total	3,500 00
Make-A-Wish, Tustin Total	2,251 36
March of Dimes, (New York) Total	200 00
Maryhurst Total	1,000 00
Missouri Western State University Foundation Total	200 00
Neighborhood House, Inc Total	2,215 00
North Texas Food Bank Total	200 00
Orange Catholic Foundation Total	1,500 00
Rotary Foundation of Coto De Caza Trabuco Canyon Total	25 00
Sacred Heart Schools Total	100 00
Sacred Heart Schools Inc Total	2,500 00
Salvation Army, Louisville (Brook St) Total	2,500 00
San Francisco Aids Foundation Total	500 00
Service for Peace Total	1,000 00
Sheriff's Meadow Foundation Total	10,000 00
St Charles Preparatory School Total	5,000 00
St Jude Children's Research Total	200 00
Stanford University Total	10,000 00
Stop Hunger Now Total	1,000 00
Taco Bell Foundation Total	7,269 08
The Empty Stocking Fund Total	2,500 00
The Leukemia & Lymphoma Society - Pittsfield Total	100 00
The Retired Enlisted Association Memorial Foundation Total	100 00
Unicef Total	1,000 00
University of California - San Diego Foundation Total	700 00
USA Today Charitable Foundation Total	20,000 00
WFP USA Total	4,100 00
Yellowstone Association Total	2,000 00
Total	157,690 44

Yum! Brands Foundation
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Part XV 3b

Unrestricted Contribution to Individuals for Disaster Relief

Individual	Amount
Jose Sanchez	<u>1,500.00</u>