Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation
LG&E and KU Foundation Inc.

Number and street (or P O box number if mail is not delivered to street address) — Room/suite
220 W. Main Street

City or town, state or province, country, and ZIP or foreign postal code
Louisville, KY 40202

A Employer identification number
61-1257368

B Telephone number (see instructions)
502-627-4037

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 12,533,481

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	32	32		
	4	Dividends and interest from securities	322,148	322,148		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	716,641			
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		716,641		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	1,038,821	1,038,821		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	6,556			
	c	Other professional fees (attach schedule)	13,661	13,661		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	15,000			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23				
	25	Contributions, gifts, grants paid	839,948			839,948
	26	**Total expenses and disbursements.** Add lines 24 and 25	875,165	13,661		839,948
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	163,656			
	b	**Net investment income** (if negative, enter -0-)		1,025,160		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	77,050	118,315	141,411
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)	5,697,034	5,122,684	4,902,756
	b	Investments—corporate stock (attach schedule)	5,143,914	5,840,655	7,489,314
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	10,917,998	11,081,654	12,533,481
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		***Foundations that follow SFAS 117, check here*** ▶ ☐ ***and complete lines 24 through 26 and lines 30 and 31.***			
	24	Unrestricted	10,917,998	11,081,654	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ ***and complete lines 27 through 31.***			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	10,917,998	11,081,654	
	31	**Total liabilities and net assets/fund balances** (see instructions)	10,917,998	11,081,654	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,917,998
2	Enter amount from Part I, line 27a	2	163,656
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	11,081,654
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	11,081,654

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr.)
1a	See Attached Schedule	All		
b	Schedule 1025	P		
c	Asset Disposition Schedule	Purchased	Various	Various
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Attached	Not subject to Depreciation	See Attached	716,641
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	N/A acquired after 1969			716,641
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	716,641
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	761,537	11,647,302	0.065383
2011	678,550	11,614,424	0.058423
2010	665,920	10,123,734	0.065778
2009	767,591	9,013,725	0.085158
2008	873,108	10,989,241	0.079451

2	**Total** of line 1, column (d)	2	0.354193
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.070839
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	12,085,181
5	Multiply line 4 by line 3	5	856,102
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	10,252
7	Add lines 5 and 6	7	866,354
8	Enter qualifying distributions from Part XII, line 4	8	839,948

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

√

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	20,503
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	20,503
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	20,503
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 16,679		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	16,679
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	3,824
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ Kentucky			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	

Website address ▶ http://www.lge-ku.com/foudation/default.asp

14 The books are in care of ▶ Dawn M. Lyons Telephone no. ▶ 502-627-4037
Located at ▶ 220 W. Main Street, Lousiville, KY ZIP+4 ▶ 40202

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		✓
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20 N/A			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		✓
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		✓
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Schedule				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				
Total number of other employees paid over $50,000 ▶				

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A - No activities and expenses other than direct contributions to charities listed in Part XV.	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 None	
2	
All other program-related investments See instructions. 3	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	12,098,003
b	Average of monthly cash balances	1b	171,216
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	12,269,219
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	12,269,219
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	184,038
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,085,181
6	**Minimum investment return.** Enter 5% of line 5	6	604,259

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	604,259
2a	Tax on investment income for 2013 from Part VI, line 5	2a	20,503		
b	Income tax for 2013. (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	20,503
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	583,756
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	583,756
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	583,756

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	839,948
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	839,948
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	839,948

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7					583,756
2	Undistributed income, if any, as of the end of 2013.					
a	Enter amount for 2012 only					
b	Total for prior years: 20___,20___,20___					
3	Excess distributions carryover, if any, to 2013:					
a	From 2008	329,560				
b	From 2009	321,159				
c	From 2010	165,962				
d	From 2011	109,849				
e	From 2012	194,250				
f	**Total** of lines 3a through e		1,120,780			
4	Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 839,948					
a	Applied to 2012, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required—see instructions)					
c	Treated as distributions out of corpus (Election required—see instructions)					
d	Applied to 2013 distributable amount					583,756
e	Remaining amount distributed out of corpus		256,192			
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6	**Enter the net total of each column as indicated below:**					
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		1,376,972			
b	Prior years' undistributed income. Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b. Taxable amount—see instructions					
e	Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions					
f	Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		326,590			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		1,047,412			
10	Analysis of line 9:					
a	Excess from 2009	321,159				
b	Excess from 2010	165,962				
c	Excess from 2011	109,849				
d	Excess from 2012	194,250				
e	Excess from 2013	256,192				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . ▶ N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter ²/₃ of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None.

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Grants Administrator, LG&E and KU Foundation, P.O. Box 32030, Louisville, KY 40232

b The form in which applications should be submitted and information and materials they should include:

A completed "Corporate Contribution Request Form' which may be obtained at the above address.

c Any submission deadlines:

None.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Applicant must be a 501 (c) (3) organization.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Attached Schedule				**839,948**
Total . . . . ▶ **3a**				
b *Approved for future payment*				
See Attached Schedule				**539,076**
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					32
4 Dividends and interest from securities					322,148
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					716,641
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue. a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					1,038,821

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 1,038,821

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
3	Exempt function income set aside for the purpose defined in Section 170 (c) (4).
4	See above explanation of line 3.
8	See above explanation of line 3.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

[signature] | 3/25/14 | Vice President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no	

Part I - Line 16 (b) Column (a) - Accounting fees

Strothman & Company PSC	$	6,556
	$	6,556

Part I - Line 16 (c) Column (a) & (b) - Other Professional fees

MD Sass, Investment Management Fees	$	8,555
MD Sass, Trust Management Fees		1,271
MFS Inventory, Trust Management Fees		1,106
BlackRock, Trust Management Fees		383
Harbor, Trust Management Fees		541
Vanguard, Trust Management Fees		1,243
Vanguard Growth, Trust Management Fees		562
	$	13,661

Part I - Line 18 Column (a) - Taxes

Federal Excise Tax - Estimated Payment	$	15,000
	$	15,000

61-1257368, LG&E and KU Foundation Inc. Form 990-PF 2013

Part VIII - Line 1 - Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

(a) Name and Address	(b) Title, and average hours per week devoted to position	(c) Contributions to Employee benefit plans and deferred compensation	(d) Expense account, other allowances	(e) Compensation
Victor A. Staffieri 220 W. Main Street Louisville, KY 40202	President and Director 1/2 hour/week	0	0	0
S Bradford Rives 220 W. Main Street Louisville, KY 40202	Vice President and Director 1/2 hour/week	0	0	0
Gerald A. Reynolds 220 W Main Street Louisville, KY 40202	Vice-President and Secretary, Director 1/2 hour/week	0	0	0
Mary C. Whelan 220 W. Main Street Louisville, KY 40202	Director 1/2 hour/week	0	0	0
Laura M Douglas 220 W Main Street Louisville, KY 40202	Vice-President 1/2 hour/week	0	0	0
Kent W. Blake 220 W Main Street Louisville, KY 40202	Director 1/2 hour/week	0	0	0
Daniel K Arbough 220 W. Main Street Louisville, KY 40202	Treasurer 1/2 hour/week	0	0	0

Part X - Minimum Investment Return

Market Value at End of Month
(Page 1 - Investment Summary - Trust Statement)

	Stocks	Bonds	Cash	Total		Error Check
01/31/13	6,241,474	5,939,884	100,485	12,281,844	12,281,844	0
02/28/13	6,260,970	5,876,719	52,222	12,189,910	12,189,910	0
03/31/13	5,202,234	6,410,593	1,272,548	12,885,375	12,885,375	0
04/30/13	6,271,934	6,115,003	79,550	12,466,487	12,466,487	0
05/31/13	6,117,380	6,060,122	5,204	12,182,706	12,182,706	0
06/30/13	6,209,703	5,449,447	31,695	11,690,844	11,690,844	0
07/31/13	6,540,213	5,587,823	33,740	12,161,776	12,161,776	(0)
08/31/13	6,376,204	5,428,451	39,469	11,844,123	11,844,123	0
09/30/13	6,561,634	5,485,378	50,986	12,097,998	12,097,998	0
10/31/13	6,806,115	5,362,436	218,555	12,387,106	12,387,106	(0)
11/30/13	7,560,325	4,919,931	51,823	12,532,080	12,532,080	0
12/31/13	7,489,314	4,902,756	118,315	12,510,385	12,510,385	0
Total	77,637,498	67,538,543	2,054,592	147,230,633	0	
Monthly Average	**6,469,791**	**5,628,212**	**171,216**	**12,269,219**		

12,098,003
Part X 1a Average fair market value of securities

171,216
Part X 1b average of monthly cash balances

LG&E and KU Foundation, Inc. 61-1257368
Form 990-PF 2013
Part XV(a) & (b) Grants & Contributions Paid During 2013 and Approved for Future Payments

Organization Name	Program Name	Address	City, State, ZIP	Amount	Balance
21st Century Parks, Inc	Five Year Commitment	471 W Main Street, Ste 202	Louisville, KY 40202	75,000	0
Bellarmine University	Annual Fund	2001 Newburg Road	Louisville, KY 40205	15,000	0
Cumberland Trails United Way	Employee Match	P O Box 2092	Middlesboro, KY 40965	5,190	0
LG&E and KU Foundation Matching Gift Program	Foundation Program	Various	Various	23,525	18,600
LG&E and KU Foundation Scholarship Program	Foundation Program	Various	Various	38,100	37,200
Family Scholar House	Learning for Life	403 Reg Smith Cir	Louisville, KY 40208	10,000	0
Fund for the Arts	Employee Match	623 West Main Street	Louisville, KY 40202	40,000	40,000
Governor's Scholars Program, Inc	Operating Funds	1024 Capital Center Drive Suite 23(	Frankfort, KY 40601	2,500	0
Heart of Kentucky United Way	Employee Match	118 N 3rd St	Danville, KY 40422	17,116	0
Kentucky Council on Economic Education	ECON Extravaganza	11601 Bluegrass Parkway	Louisville, KY 40299	1,000	0
Kentucky Independent College Foundation	Program Support	484 Chenault Rd	Frankfort, KY 40601	50,000	0
Leadership Kentucky Foundation, Inc	Program Support	464 Chenault Road	Frankfort, KY 40601	2,500	0
Leadership Louisville	Annual Fund	732 West Main Street	Louisville, KY 40202	2,500	0
Louisville Free Public Library Foundation	Summer Reading Program	301 York St	Louisville, KY 40203	2,500	0
Louisville Free Public Library Foundation	Campaign for Libraries	301 York St	Louisville, KY 40204	125,000	0
Metro United Way	Employee Match	334 East Broadway	Louisville, KY 40202	296,545	0
Ohio Valley United Charities Inc	Employee Match	523 Highland Avenue	Carrollton, KY 41008	14,031	0
St George's Community Center	Mirror/Mirror	1205 S 26th St	Louisville, KY 40210	2,500	0
Teach Kentucky, Inc	Operating Funds	2205 Lowell Avenue	Louisville, KY 40205	2,000	0
United Way of Central Kentucky, Inc	Employee Match	1111 N Dixie Hwy, Suite 9B	Elizabethtown, KY 42701	6,042	0
United Way of Eastern Kentucky	Employee Match	P O Box 1446	Prestonsburg, KY 41653	676	0
United Way of Franklin County	Employee Match	P O Box 1544	Frankfort, KY 40602	1,505	0
United Way of Greater Cincinnati-Northern Kentucky	Employee Match	11 Shelby St	Florence, KY 41042	248	0
United Way of Henderson County	Employee Match	P O Box 1097	Henderson, KY 42419	1,061	0
United Way of Jefferson County	Employee Match	301 E Main St	Madison, IN 47250	1,163	0
United Way of Laurel County, Inc	Employee Match	P O Box 5004	London, KY 40745	978	0
United Way of Mason County	Employee Match	103 E 2nd St	Maysville, KY 41056-0327	1,158	0
United Way of Nelson County	Employee Match	P O Box 28 321 South Third	Bardstown, KY 40004	2,096	0
United Way of Northeast Kentucky	Employee Match	536 Winchester Ave , Ste 14	Ashland, KY 41101	41	0
United Way of Paducah/McCracken County	Employee Match	333 Broadway, Ste 502	Paducah, KY 42001-6868	317	0
United Way of Perry County Inc	Employee Match	PO Box 73	Tell City, KY 47586	172	0
United Way of South Central Kentucky, Inc	Employee Match	P O Box 861	Somerset, KY 42502	3,990	0
United Way of Southeastern Kentucky	Employee Match	P O Box 7373	Hazard, KY 41702	544	0
United Way of Southern Kentucky	Employee Match	P O Box 3330	Bowling Green, KY 42102	413	0
United Way of Southwest Virginia	Employee Match	P O Box 1225	Gate City, VA 24251	2,163	0
United Way of Southwestern Indiana	Employee Match	P O Box 18	Evansville, IN 47701	196	0
United Way of the Bluegrass	Employee Match	2480 Fortune Drive #250	Lexington, KY 40509	49,138	0
United Way of the Coalfield	Employee Match	P O Box 366	Madisonville, KY 42431	5,723	0
United Way of the Ohio Valley	Employee Match	P O Box 705	Owensboro, KY 42302	3,930	0
United Way of the Pennyrile	Employee Match	P O Box 587	Hopkinsville, KY 42240	887	0
Urban League of Louisville	Program Support-2004	1535 West Broadway	Louisville, KY 40203	10,000	0
Volunteers of America	Family Emergency Shelter	933 Goss Avenue	Louisville, KY 40217-9943	2,500	0
WHAS Crusade for Children	Program Support-2004	P O Box 1100	Louisville, KY 40202	20,000	20,000
ALL UNITED WAYS	Employee "Match"	Various	Various	see amounts above	423,276
TOTAL				839,948	539,076

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. - MFS INV
CUSTODY STATEMENT
Account number 21-75-080-4479641
January 1, 2013 - December 31, 2013

Detail

Portfolio - income

Cash and cash equivalents

Mutual funds - money market

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
PNC MONEY MARKET FUND FUND #417	$15,387.93 39,911.810	$39,911.81 $1.0000	1.05 %	$39,911.81 $1.00		0.05 %	$15.97	$1.93

Portfolio - principal

Cash and cash equivalents

Mutual funds - money market

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
PNC MONEY MARKET FUND FUND #417	$32,441.26 50,018.490	$50,018.49 $1.0000	1.31 %	$50,018.49 $1.00		0.05 %	$20.01	$2.30

Equities

Mutual funds - equity

Description (Symbol)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
MFS VALUE FUND CLASS I (MEIIX)	$2,320,753.19 110,924.773	$3,700,450.43 $33.3600	96.60 %	$2,483,669.34 $22.39	$1,216,781.09	1.67 %	$61,785.10	

Detail

Alternative investments

Other alternative investments

Description (Symbol)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
LOUISVILLE DEV BANCORP INC () CLASS A (MARKET VALUE AS OF 12/31/12)	$17,100.00 4,500	$18,225.00 $4.0500	0.48 %	$45,000.00 $10.00	- $26,775.00			
LOUISVILLE DEV BANCORP INC () CLASS B (MARKET VALUE AS OF 12/31/12)	55,000.00 5,500	22,275.00 4.0500	0.59 %	55,000.00 10.00	- 32,725.00			
Total other alternative investments		**$40,500.00**	**1.06 %**	**$100,000.00**	**- $59,500.00**			
Total alternative investments		**$40,500.00**	**1.06 %**	**$100,000.00**	**- $59,500.00**			
Total portfolio		**$3,830,880.73**	**100.00 %**	**$2,673,599.64**	**$1,157,281.09**	**1.61%**	**$61,821.08**	**$4.23**

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2013 - December 31, 2013

Detail

Portfolio

Cash and cash equivalents

Uninvested cash

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
UNINVESTED CASH	- $541,750.68 - 541,750.680	- $541,750.68 $1.0000	- 22.30 %	- $541,750.68 $1.00				
UNINVESTED CASH	541,750.68 541,750.680	541,750.68 1.0000	22.30 %	541,750.68 1.00				
Total uninvested cash		**$0.00**						

Mutual funds - money market

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
PNC MONEY MARKET FUND FUND #417	$28,040.55 28,040.550	$28,040.55 $1.0000	1.16 %	$28,040.55 $1.00		0.05 %	$11.22	$4.11
Total cash and cash equivalents		**$28,040.55**	**1.15 %**	**$28,040.55**		**0.04 %**	**$11.22**	**$4.11**

Fixed income

Treasury bonds

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
USA TREASURY BOND 06.250% DUE 08/15/2023 RATING: AAA (912810EQ7)	$42,159.38 30,000	$38,535.90 $128.4530	1.59 %	$42,159.38 $140.53	- $3,623.48	4.87 %	$1,875.00	$708.22

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT

Account number 21-75-080-4479659
January 1, 2013 - December 31, 2013

Detail

Fixed income
Treasury bonds

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
USA TREASURY BD 07.500% DUE 11/15/2024 RATING: AAA (912810ES3)	23,887.50 15,000	21,189.90 141.2660	0.88 %	22,231.79 148.21	- 1,041 89	5.31 %	1,125.00	146.06
USA TREASURY BOND 06.875% DUE 08/15/2025 RATING: AAA (912810EV6)	203,287.76 135,000	183,810.60 136.1560	7.57 %	203,351.81 150.63	- 19,541.21	5.05 %	9,281.25	3,505.69
USA TREASURY BOND 06.000% DUE 02/15/2026 RATING: AAA (912810EW4)	51,096.87 40,000	51,062.40 127.6560	2.11 %	51,096.87 127.74	- 34.47	4.71 %	2,400.00	906.52
USA TREASURY BD 05.375% DUE 02/15/2031 RATING: AAA (912810FP8)	64,031.19 45,000	55,146.15 122.5470	2.27 %	54,837.31 121.86	308 84	4.39 %	2,418.75	913.60
USA TREASURY NOTE 04.500% DUE 02/15/2036 RATING: AAA (912810FT0)	44,747.06 34,000	37,830.44 111.2660	1.56 %	42,803.41 125.89	- 4,972.97	4.05 %	1,530.00	577.91
USA TREASURY NOTES 02.625% DUE 08/15/2020 RATING: AAA (912828NT3)	104,322.35 95,000	96,929.45 102.0310	3.99 %	106,348.05 111.95	- 9,418.60	2.58 %	2,493.75	941.93
Total treasury bonds		$484,504.84	19.94 %	$522,828.62	- $38,323.78	4.36 %	$21,123.75	$7,699.93

PNC INSTITUTIONAL INVESTMENTS

Detail

Agency bonds

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
FEDERAL HOME LOAN MTG CORP GOLD POLD #E89496 06.000% DUE 04/01/2017 RATING: N/A (3128GSRR0)	$10,313.16 9,499.090	$9,922.27 $104.4550	0.41 %	$9,982.94 $105.09	- $60.67	5.75 %	$569.95	$49.08
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05.000% DUE 08/01/2018 RATING: N/A (3128H6TT1)	16,502.03 15,338.150	16,241.57 105.8900	0.67 %	15,910.91 103.73	330.66	4.73 %	766.91	66.04
FEDERAL HOME LN MTG CORP GOLD POOL # G01989 06.000% DUE 12/01/2035 RATING: N/A (3128LXF62)	15,303.97 13,903.220	15,525.73 111.6700	0.64 %	14,589.68 104.94	936.05	5.38 %	834.19	71.83
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05.500% DUE 12/01/2024 RATING: N/A (3128MCVG7)	20,047.34 18,468.461	20,083.53 108.7450	0.83 %	20,000.76 108.30	82.77	5.06 %	1,015.77	87.47
FEDERAL HOME LOAN MTG CORP GOLD POOL #G04888 07.000% DUE 10/01/2038 RATING: N/A (3128M6XR4)	19,913.82 17,661.930	19,352.00 109.5690	0.80 %	19,913.82 112.75	- 561.82	6.39 %	1,236.34	106.46
FEDERAL HOME LN MTG CORP GOLD POOL #B12990 04.500% DUE 03/01/2019 RATING: N/A (312965KB1)	35,539.51 33,013.640	34,999.74 106.0160	1.45 %	33,988.54 102.95	1,011.20	4.25 %	1,485.61	127.93
FEDERAL HOME LN BANK BNDS 05.375% DUE 05/18/2016 RATING: AAA (3133XFJF4)	45,276.48 40,000	44,534.00 111.3350	1.84 %	45,276.48 113.19	- 742.48	4.83 %	2,150.00	256.81

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2013 - December 31, 2013

Detail

Agency bonds

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90690 05.000% DUE 07/01/2023 RATING: N/A (31335HXT5)	20,377.00 18,936.680	20,637.57 108.9820	0.85 %	19,528.43 103.12	1,109.14	4.59 %	946.83	81.53
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90849 04.000% DUE 05/01/2024 RATING: N/A (31335H5J8)	15,279.23 14,167.250	14,559.97 102.7720	0.60 %	14,246.94 100.56	313.03	3.90 %	566.69	48.80
FEDERAL NATL MTG ASSN BONDS 01.250% DUE 09/28/2016 RATING: AAA (3135G0CM3)	121,663.80 120,000	121,713.60 101.4280	5.01 %	121,663.80 101.39	49.80	1.24 %	1,500.00	387.50
FEDERAL NATL MTG ASSN NTS 05.000% DUE 05/11/2017 RATING: AAA (31359M7X5)	70,995.60 60,000	67,778.40 112.9640	2.79 %	69,191.05 115.32	- 1,412.65	4.43 %	3,000.00	416.67
FEDERAL HOME LOAN MTG CORP NOTES 01.250% DUE 05/12/2017 RATING: AAA (3137EADF3)	102,317.00 100,000	100,686.00 100.6860	4.15 %	99,724.70 99.72	961.30	1.25 %	1,250.00	170.14
FEDERAL NATL MTG ASSN POOL # 252348 06.500% DUE 03/01/2019 RATING: N/A (31371HHZ4)	27,967.09 25,118.640	27,920.62 111.1550	1.15 %	26,649.32 106.09	1,271.30	5.85 %	1,632.71	140.59
FEDERAL NATL MTG ASSN POOL #254797 05.000% DUE 06/01/2023 RATING: N/A (31371K7J4)	17,058.54 15,523.712	16,842.76 108.4970	0.70 %	16,566.71 106.72	276.05	4.61 %	776.19	66.84

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Agency bonds

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
FEDERAL NATL MTG ASSN POOL #255176 04.500% DUE 04/01/2019 RATING: N/A (31371LMZ9)	19,648.80 18,476.690	19,659.20 106.4000	0.81 %	19,648.80 106.34	10.40	4.23 %	831.45	71.60
FEDERAL NATL MTG ASSN POOL #255627 05.000% DUE 02/01/2025 RATING: N/A (31371L4L0)	21,868.50 19,946.283	21,640.72 108.4950	0.90 %	21,242.76 106.50	397.96	4.61 %	997.31	85.88
FEDERAL NATL MTG ASSN POOL #255668 05.500% DUE 03/01/2025 RATING: N/A (31371L6D6)	28,132.49 25,685.201	28,458.43 110.7970	1.18 %	27,940.69 108.78	517.74	4.97 %	1,412.69	121.65
FEDERAL NATL MTG ASSN POOL #535206 07.000% DUE 02/01/2015 RATING: N/A (31384VR38)	1,722.36 1,698.660	1,723.36 101.4540	0.08 %	1,785.71 105.12	- 62.35	6.90 %	118.91	10.24
FEDERAL NATL MTG ASSN POOL #545696 6.00% DUE 6/1/2022 RATING: N/A (31385JGD4)	23,329.12 21,357.600	23,680.88 110.8780	0.98 %	22,525.56 105.47	1,155.32	5.42 %	1,281.46	110.35
FEDERAL NATL MTG ASSN POOL #571868 6% DUE 5/01/2014 RATING: N/A (31386SJM0)	82.56 81.680	81.71 100.0380	0.01 %	85.62 104.82	- 3.91	6.00 %	4.90	0.42
FEDERAL NATL MTG ASSN POOL #720070 05.500% DUE 07/01/2023 RATING: N/A (31401V6F3)	25,198.61 22,670.210	25,374.99 111.9310	1.05 %	23,987.92 105.81	1,387.07	4.92 %	1,246.86	107.37

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Agency bonds

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
FEDERAL NATL MTG ASSN POOL #725425 05.500% DUE 04/01/2034 RATING: N/A (31402C4J8)	24,875.34 22,603.671	25,028.59 110.7280	1.04 %	24,574.42 108.72	454.17	4.97 %	1,243.20	107.05
FEDERAL NATL MTG ASSN POOL # 725606 06.000% DUE 03/01/2018 RATING: N/A (31402DDK3)	21,099.96 19,853.746	20,852.79 105.0320	0.86 %	21,380.00 107.69	- 527.21	5.72 %	1,191.22	102.58
FEDERAL NATL MTG ASSN POOL 735238 06.500% DUE 12/01/2019 RATING: N/A (31402QZB0)	25,157.96 23,348.450	24,865.17 106.4960	1.03 %	25,157.96 107.75	- 292.79	6.11 %	1,517.65	130.69
FEDERAL NATL MTG ASSN POOL 735649 06.000% DUE 12/01/2032 RATING: N/A (31402RH28)	21,129.45 19,186.790	21,533.14 112.2290	0.89 %	21,129.45 110.12	403.69	5.35 %	1,151.21	99.13
FEDERAL NATL MTG ASSN POOL #735734 05.500% DUE 07/01/2025 RATING: N/A (31402RLP2)	31,227.08 28,478.090	31,268.37 109.7980	1.29 %	30,702.92 107.81	565.45	5.01 %	1,566.29	134.88
FEDERAL NATL MTG ASSN POOL #959738 06.000% DUE 11/01/2022 RATING: N/A (31413YV73)	18,416.31 16,741.800	18,271.33 109.1360	0.76 %	17,659.96 105.48	611.37	5.50 %	1,004.51	86.50
FEDERAL NATL MTG ASSN POOL #995160 05.000% DUE 09/01/2022 RATING: N/A (31416BQM3)	23,354.00 22,019.092	23,456.72 106.5290	0.97 %	23,353.99 106.06	102.73	4.70 %	1,100.95	94.80

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Agency bonds

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
FEDERAL NATL MTG ASSN POOL #AD0250 05.500% DUE 04/01/2035 RATING: N/A (31418MH47)	38,603.67 35,225.527	38,843.89 110.2720	1.60 %	38,302.25 108.73	541.64	4.99 %	1,937.40	166.83
FEDERAL NATL MTG ASSN POOL #AE0364 05.500% DUE 04/01/2037 RATING: N/A (31419AMN4)	20,696.33 18,819.810	20,737.36 110.1890	0.86 %	20,313.62 107.94	423.74	5.00 %	1,035.09	89.13
FEDERAL NATL MTG ASSN POOL #AE0671 05.500% DUE 09/01/2036 RATING: N/A (31419AW94)	27,158.17 24,781.612	27,309.09 110.1990	1.13 %	27,248.15 109.95	60.94	5.00 %	1,362.99	117.37
GOVT NATL MTG ASSN I POOL #569433 06.000% DUE 05/15/2017 RATING: N/A (36200QSW5)	14,416.97 13,221.610	13,806.40 104.4230	0.57 %	14,213.21 107.50	- 406.81	5.75 %	793.30	68.31
Total agency bonds		**$917,389.90**	**37.75 %**	**$908,487.07**	**$8,902.83**	**4.09 %**	**$37,528.58**	**$3,782.47**

Mortgages

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
FEDERAL HOME LN MTG CORP SERIES 2390 CLASS CH 05.500% DUE 12/15/2016 RATING: N/A (31339LQ37)	$12,340.37 11,670.380	$12,133.81 $103.9710	0.50 %	$12,450.81 $106.69	- $317.00	5.29 %	$641.87	$53.49

PNC INSTITUTIONAL INVESTMENTS

Detail

Mortgages

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07.000% DUE 02/25/2023 RATING: N/A (31359QPC2)	31,894.05 28,088.850	31,751.07 113.0380	1.31 %	29,545.92 105.19	2,205.15	6.20 %	1,966.22	163.85
FEDERAL NATL MTG ASSN SERIES 2012-M2 CLASS X 00.803% DUE 11/25/2021 RATING: N/A (3136A4TZ2)	15,452.12 273,780.080	13,108.59 4.7880	0.54 %	15,470.18 5.65	- 2,361.59	16.71 %	2,190.24	182.52
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00.000 DUE 05/15/2041 RATING: N/A (3137AAQV8)	40,786.76 42,627.868	39,015.16 91.5250	1.61 %	39,880.37 93.55	- 865.21			
FHLMC MULTIFAM STRUCTURED P SERIES K018 CLASS X1 VAR% DUE 01/25/2022 RATING: N/A (3137APPA2)	9,959.05 98,331.930	8,611.91 8.7580	0.36 %	10,107.23 10.28	- 1,495.32	16.63 %	1,431.69	119.31
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02.000% DUE 10/15/2042 RATING: N/A (3137AVAM9)	27,351.86 26,851.872	26,251.73 97.7650	1.09 %	27,095.20 100.91	- 843.47	2.05 %	537.04	44.75
FEDERAL HOME LOAN MTG CORP SERIES K023 CLASS X1 01.316% DUE 08/25/2022 RATING: N/A (3137AWQJ7)	149,263.35 149,263.350	12,900.83 8.6430	0.54 %	14,832.15 9.94	- 1,931.32	15.21 %	1,960.95	163.41
FEDERAL HOME LOAN MTG CORP SERIES K024 CLASS X1 00.906 DUE 09/25/2022 RATING: AAA (3137AXHQ9)	12,142.37 179,064.560	10,699.11 5.9750	0.45 %	12,142.37 6.78	- 1,443.26	15.12 %	1,617.08	134.76

PNC INSTITUTIONAL INVESTMENTS

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Mortgages

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
FEDERAL HOME LOAN MTG CORP SERIES 3688 CLASS BC 04.500% DUE 07/15/2040 RATING: N/A (3137A0MB8)	85,434.00 75,000	79,953.00 106.6040	3.30 %	82,593.75 110.13	- 2,640.75	4.23 %	3,375.00	281.25
FHLMC MULTIFAMILY STRUCTURED P SERIES KSMC CLASS X1 00.887% DUE 01/25/2023 RATING: N/A (3137B04Z4)	17,486.40 300,000	15,606.00 5.2020	0.65 %	17,486.40 5.83	- 1,880.40	14.63 %	2,282.25	190.19
FHLMC MULTIFAMILY STRUCTURED SERIES K026 CLASS X1 01.177% DUE 11/25/2022 RATING: N/A (3137B1BT8)	7,162.27 89,788.630	6,415.40 7.1450	0.27 %	7,162.27 7.98	- 746.87	14.74 %	945.36	78.78
FEDERAL NATL MTG ASSN SERIES 2002-63 CLASS LB 05.500% DUE 10/25/2017 RATING: N/A (31392ED72)	25,250.06 23,655.880	25,124.20 106.2070	1.04 %	25,370.90 107.25	- 246.70	5.18 %	1,301.07	108.42
FEDERAL NATL MTG ASSN SERIES 2003-17 CLASS ED 04.250% DUE 09/25/2022 RATING: N/A (31392JK57)	1,468.81 1,444.300	1,445.57 100.0880	0.06 %	1,492.59 103.34	- 47.02	4.25 %	61.38	5.12
FEDERAL NATL MTG ASSN SERIES 2003-16 CLASS LJ 05.000% DUE 03/25/2018 RATING: N/A (31392JQH5)	17,693.20 16,558.750	17,598.47 106.2790	0.73 %	17,976.59 108.56	- 378.12	4.71 %	827.94	68.99
FEDERAL NATL MTG ASSN SERIES 2003-44 CLASS CL 04.000% DUE 06/25/2033 RATING: N/A (31393CUT8)	22,571.80 20,000	20,913.00 104.5650	0.87 %	22,300.00 111.50	- 1,387.00	3.83 %	800.00	66.67

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LG&E AND KU FDN, INC. -MD SASS
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Mortgages

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
FEDERAL HOME LOAN MTG CORP SERIES 2574 CLASS JM 05.000% DUE 12/15/2022 RATING: N/A (31393KZ40)	6,986.11 6,696.350	6,917.46 103.3020	0.29 %	7,089.74 105.87	- 172.28	4.85 %	334.82	27.90
FEDERAL HOME LN MTG CORP SERIES 2602 CLASS EU 05.000% DUE 04/15/2018 RATING: N/A (31393PHP2)	31,873.19 29,279.230	31,137.00 106.3450	1.29 %	31,873.18 108.86	- 736.18	4.71 %	1,463.96	122.00
FEDERAL HOME LN MTG CORP SER 2744 CL JH 05.000% 02/15/2034 RATING: N/A (31394PQS5)	78,768.20 70,000	75,518.80 107.8840	3.11 %	74,987.50 107.13	531.30	4.64 %	3,500.00	291.67
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036 RATING: N/A (31395DPX1)	32,694.99 35,432.510	34,462.37 97.2620	1.42 %	29,940.47 84.50	4,521.90			
FEDERAL HOME LOAN MTG CORP SERIES 2835 CLASS TB 04.500% DUE 08/15/2034 RATING: N/A (31395F3L6)	33,264.20 30,415.320	33,101.91 108.8330	1.37 %	33,264.20 109.37	- 162.29			
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020 RATING: N/A (31395MCQ0)	13,091.14 13,786.820	13,352.54 96.8500	0.55 %	12,270.47 89.00	1,082.07			
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035 RATING: N/A (31395TX74)	31,233.45 32,624.895	29,860.91 91.5280	1.23 %	27,812.70 85.25	2,048.21			

PNC INSTITUTIONAL INVESTMENTS

Detail

Mortgages

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
FEDERAL HOME LN MTG CORP SERIES 3010 CLASS WB 04.500% DUE 07/15/2020 RATING: N/A (31395WDM6)	54,668.00 50,000	53,391.50 106.7830	2.20 %	54,531.25 109.06	- 1,139.75	4.22 %	2,250.00	187.50
FEDERAL NATL MTG ASSN SERIES 2006-112 CLASS QC 05.500% DUE 11/25/2036 RATING: N/A (31396LPU8)	76,741.60 65,000	71,061.90 109.3260	2.93 %	70,078.13 107.81	983.77	5.04 %	3,575.00	297.92
FEDERAL NATL MTG ASSN SERIES 2008-72 CLASS BX 05.500% DUE 08/25/2038 RATING: N/A (31397MJJ7)	43,970.40 39,865.490	43,424.28 108.9270	1.79 %	43,970.40 110.30	- 546.12	5.05 %	2,192.60	182.72
FEDERAL NATL MTG ASSN SERIES 2011-9 CLASS AV 05.000% DUE 04/25/2020 RATING: N/A (31397QNG9)	32,074.52 29,082.259	31,430.94 108.0760	1.30 %	31,108.91 106.97	322.03	4.63 %	1,454.11	121.18
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04.000% DUE 06/25/2041 RATING: N/A (31397UNL9)	41,304.76 39,105.100	40,899.63 104.5890	1.69 %	41,304.75 105.62	- 405.12	3.83 %	1,564.20	130.35
FEDERAL NATL MTG ASSN SERIES 2010-123 CLASS BP 04.500% DUE 11/25/2040 RATING: N/A (31398NU85)	57,893.50 50,000	52,112.50 104.2250	2.15 %	57,562.50 115.13	- 5,450.00	4.32 %	2,250.00	187.50
GOVT NATL MTG ASSN SERIES 2004-38 CLASS AZ 05.000% DUE 05/20/2034 RATING: N/A (38374GRF1)	18,573.87 16,131.232	17,254.77 106.9650	0.72 %	16,669.53 103.34	585.24	4.68 %	806.56	67.21

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Mortgages

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
GOVT NATL MTG ASSN SERIES 2007-24 CLASS KE 05.500% DUE 04/20/2037 RATING: N/A (38375J4U6)	34,762.50 30,000	32,211.90 107.3730	1.33 %	34,762.50 115.88	- 2,550.60	5.13 %	1,650.00	137.50
GOVT NATL MTG ASSN SERIES 2011-22 CLASS PL 05.000% DUE 02/20/2041 RATING: N/A (38377QRF6)	46,555.86 39,000	41,277.99 105.8410	1.70 %	47,153.44 120.91	- 5,875.45	4.73 %	1,950.00	162.50
GOVT NATL MTG ASSN SERIES 2012-123 CLASS IO 00.953% DUE 12/16/2051 RATING: N/A (38378BQ77)	15,348.48 195,628	14,449.08 7.3860	0.60 %	15,348.48 7.85	- 899.40	12.92 %	1,866.29	155.52
GOVERNMENT NATIONAL MORTGAGE A SERIES 2013 40 CLASS IO 01.084% DUE 06/16/2054 RATING: N/A (38378KCX5)	7,379.99 98,811.573	7,039.34 7.1240	0.29 %	7,379.99 7.47	- 340.65	15.15 %	1,066.18	88.85
GOVT NATL MTG ASSN SERIES 2013-45 CLASS IO VAR % DUE 10/16/2040 RATING: N/A (38378KEX3)	21,876.80 295,382.910	20,145.11 6.8200	0.83 %	21,876.80 7.41	- 1,731.69	15.78 %	3,178.32	264.86
GOVT NATL MTG ASSN SERIES 2013-55 CLASS IO 01.035% DUE 09/16/2054 RATING: N/A (38378KHN2)	8,957.81 118,671.510	8,144.43 6.8630	0.34 %	8,957.81 7.55	- 813.38	15.00 %	1,221.13	101.76
GOVT NATL MTG ASSN SERIES 2013-78 CLASS IO 00.9336% DUE 01/01/2055 RATING: N/A (38378KRX9)	7,232.43 98,976.750	6,698.75 6.7680	0.28 %	7,232.43 7.31	- 533.68	13.79 %	923.45	76.95

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. -MD SASS
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Mortgages

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
GOVT NATL MTG ASSN SERIES 2013-80 CLASS IO 00.918% DUE 03/16/2052 RATING: N/A (38378KSD2)	17,444.04 99,005.160	8,263.96 8.3470	0.35 %	8,678.41 8.77	- 414.45	11.03 %	910.85	75.90
GOVERNMENT NATIONAL MORTGAGE A SERIES 2013 105 CLASS IO 00.716% DUE 06/16/2054 (38378KYB9) RATING: N/A	6,456.34 129,126.800	6,275.56 4.8600	0.26 %	6,456.32 5.00	- 180.76	14.72 %	923.26	76.94
Total mortgages		**$999,960.48**	**41.15 %**	**$1,026,216.64**	**- $26,256.16**	**5.30 %**	**$53,018.82**	**$4,418.24**
Total fixed income		**$2,401,855.22**	**98.85 %**	**$2,457,532.33**	**- $55,677.11**	**4.65 %**	**$111,671.15**	**$15,900.64**
Total portfolio		**$2,429,896.77**	**100.00 %**	**$2,485,672.88**	**- $55,677.11**	**4.60 %**	**$111,682.37**	**$15,904.75**

PNC INSTITUTIONAL INVESTMENTS

LG&E KU FDN, INC. HARBOR
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Portfolio - income

Cash and cash equivalents

Uninvested cash

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
UNINVESTED CASH	- 660.510	- $660 51 $1.0000	- 0 06 %	- $660.51 $1.00				

Portfolio - principal

Cash and cash equivalents

Uninvested cash

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
UNINVESTED CASH	660.510	$660.51 $1.0000	0.06 %	$660.51 $1.00				

Mutual funds - money market

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
PNC MONEY MARKET FUND FUND #417	$379.99 339.490	$339.49 $1.0000	0.03 %	$339.49 $1.00		0.05 %	$0.14	$0.01
Total cash and cash equivalents		$1,000.00	0.08 %	$1,000.00		0.01 %	$0.14	$0.01

PNC INSTITUTIONAL INVESTMENTS

LG&E KU FDN, INC. HARBOR
CUSTODY STATEMENT
Account number 21-75-080-4780426
January 1, 2013 - December 31, 2013

Detail

Equities

Mutual funds - equity

Description (Symbol)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
HARBOR INTERNATIONAL FUND (HAINX) CLASS INS FD # 2011	$1,263,414.08 17,754.930	$1,260,777.58 $71.0100	99.98 %	$987,217.18 $55.60	$273,560.40	2.11 %	$26,543 62	
Total portfolio		**$1,261,117.07**	**100.00 %**	**$987,556.67**	**$273,560.40**	**2.11 %**	**$26,543.76**	**$0.01**

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. VANGUARD
CUSTODY STATEMENT
Account number 21-75-080-4780434
January 1, 2013 - December 31, 2013

Detail

Portfolio - income

Cash and cash equivalents
Uninvested cash

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
UNINVESTED CASH		- $1,542.80	- 0.07 %	- $1,542.80				
	- 1,542.800	$1.0000		$1 00				

Fixed income
Mutual funds - fixed income

Description (Symbol)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
VANGUARD INTM TERM INVESTMENT (VFIDX)		$11,670.97	0.47 %	$12,428.91	- $757.94	3.39 %	$394.66	
GRADE ADMR FD#571	1,206.926	$9.6700		$10.30				

Portfolio - principal

Cash and cash equivalents
Uninvested cash

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
UNINVESTED CASH		$1,542 80	0.07 %	$1,542.80				
	1,542.800	$1.0000		$1.00				

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PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. VANGUARD
CUSTODY STATEMENT

Account number 21-75-080-4780434
January 1, 2013 - December 31, 2013

Detail

Mutual funds - money market

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
PNC MONEY MARKET FUND FUND #417	$200.11 2.200	$2.20 $1.0000	0.01 %	$2.20 $1.00				
Total cash and cash equivalents		**$1,545.00**	**0.06 %**	**$1,545.00**				

Fixed income

Mutual funds - fixed income

Description (Symbol)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg. original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
VANGUARD INTM TERM INVESTMENT (VFIDX) GRADE ADMR FD#571	$2,897,457.21 257,417.777	$2,489,229.90 $9.6700	99.54 %	$2,652,722.89 $10.31	- $163,492.99	3.39 %	$84,175.61	$7,187.56
Total portfolio		**$2,500,903.07**	**100.00 %**	**$2,665,154.80**	**-$164,250.93**	**3.38 %**	**$84,570.27**	**$7,187.56**

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PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN VANGUARD GROWTH
CUSTODY STATEMENT
Account number 21-75-080-4768868
March 25, 2013 - December 31, 2013

Detail

Portfolio

Cash and cash equivalents

Mutual funds - money market

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
PNC MONEY MARKET FUND FUND #417	$0.93 0.930	$0 93 $1 0000	0.01 %	$0 93 $1 00				

Equities

Mutual funds - equity

Description (Symbol)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
VANGUARD GROWTH INDEX (VIGSX) FUND # 1347 SIGNAL CLASS	$1,053,685.27 28,613.888	$1,268,167.52 $44 3200	100 00 %	$1,053,685 27 $36 82	$214,482 25	1 20 %	$15,136 75	
Total portfolio		**$1,268,168.45**	**100.00 %**	**$1,053,686.20**	**$214,482.25**	**1.19 %**	**$15,136.75**	

PNC INSTITUTIONAL INVESTMENTS

LG&E & KU FDN INC PNC SMALL CAP
INVESTMENT MANAGEMENT STATEMENT
Account number 21-75-080-4795518
November 8, 2013 - December 31, 2013

Detail

Portfolio - income

Cash and cash equivalents
Mutual funds - money market

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total tax cost / Avg tax cost per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
PNC ADVANTAGE INSTITUTIONAL MONEY MARKET # 133 A	1 640	$1 64 $1 0000	0 01 %	$1.64 $1 00				

Portfolio - principal

Equities
Mutual funds - equity

Description (Symbol)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total tax cost / Avg tax cost per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
PNC SMALL CAP FUND (PPCIX) CLASS I FUND #426	58,710.544	$1,219,418.00 $20 7700	100.00 %	$1,216,083.14 $20.71	$3,334 86			
Total portfolio		**$1,219,419.64**	**100.00 %**	**$1,216,084.78**	**$3,334.86**			

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. - MFS INV
CUSTODY STATEMENT
Account number 21-75-080-4479641
January 1, 2013 - December 31, 2013

Detail

Account to account transfers

Activity	Description	Post date	Quantity	Amount per unit	Cash-income	Cash-principal	Original value at PNC / Market value
Trust transfer	TRANSFER INCOME CASH TO 21-75-080-3611753 LG&E AND KU FDN, INC.-BLACKROCK	04/17/13			- $0.56		
Total disbursements					- $99,035.66	- $620,073.15	$1,276,587.09 $1,276,587.09
Ending cash balance						$0.00	
Change in cash					-	-	

Realized gain/loss detail

Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
MFS VALUE FUND CLASS I	6,875.806	$17.80576	- $122,428.95	04/01/13	$28.33	$194,791.68	$72,362.73
MFS VALUE FUND CLASS I	5,986.052	17.80576	- 106,586.21	05/17/13	30.47	182,395.00	75,808.79
MFS VALUE FUND CLASS I	274.509	18.60012	- 5,105.90	07/29/13	31.03	8,518.00	3,412.10
MFS VALUE FUND CLASS I	70.310	18.60020	- 1,307.78	08/08/13	31.29	2,200.00	892.22
MFS VALUE FUND CLASS I	247.117	18.60014	- 4,596.41	08/19/13	30.35	7,500.00	2,903.59
MFS VALUE FUND CLASS I	553.724	18.60013	- 10,299.34	09/04/13	30.34	16,800.00	6,500.66
MFS VALUE FUND CLASS I	160.875	18.60016	- 2,992.30	09/25/13	31.08	5,000.00	2,007.70
MFS VALUE FUND CLASS I	571.386	22.39057	- 12,793.66	11/22/13	33.34	19,050.00	6,256.34
MFS VALUE FUND CLASS I	1,932.377	22.39057	- 43,267.03	12/16/13	32.04	61,913.34	18,646.31
Total			- $309,377.58			$498,168.02	$188,790.44

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2013 - December 31, 2013

Detail

Securities delivered

Activity	Description	Date	Quantity	Original value at PNC	Market Value
Adjustment	GOVERNMENT NATIONAL MORTGAGE A SERIES 2013 105 CLASS IO 00.716% DUE 06/16/2054 REDUCE UNITS TO REFLECT DEPRECIATION OF 10/16/13 PRINCIPAL	10/16/13	174.540	- 8.73	- 8.73
Adjustment	GOVERNMENT NATIONAL MORTGAGE A SERIES 2013 105 CLASS IO 00.716% DUE 06/16/2054 REDUCE UNITS TO REFLECT DEPRECIATION OF 11/16/13 PRINCIPAL	11/18/13	174.960	- 8.75	- 8.75
Adjustment	GOVERNMENT NATIONAL MORTGAGE A SERIES 2013 105 CLASS IO 00.716% DUE 06/16/2054 REDUCE UNITS TO REFLECT DEPRECIATION OF 12/16/13 PRINCIPAL	12/16/13	175.900	- 8.80	- 8.79
Total securities delivered				**- $10,077.78**	**- $1,969.30**
Total non-cash transactions				**- $10,077.78**	**- $1,969.30**

Realized gain/loss detail

Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL HOME LOAN MTG CORP GOLD POLD #E89496 06.000% DUE 04/01/2017	370.520	$105.09284	- $389.39	12/31/12	$1.00	$370.52	- $18.87
FEDERAL HOME LOAN MTG CORP GOLD POLD #E89496 06.000% DUE 04/01/2017	359.720	105.09285	- 378.04	01/31/13	1.00	359.72	- 18.32
FEDERAL HOME LOAN MTG CORP GOLD POLD #E89496 06.000% DUE 04/01/2017	1,777.570	105.09403	- 1,868.12	02/28/13	1.00	1,777.57	- 90.55

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2013 - December 31, 2013

Detail

Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL HOME LOAN MTG CORP GOLD POLD #E89496 06.000% DUE 04/01/2017	358.570	105.09524	- 376.84	03/31/13	1.00	358.57	- 18.27
FEDERAL HOME LOAN MTG CORP GOLD POLD #E89496 06.000% DUE 04/01/2017	812.110	105.09414	- 853.48	04/30/13	1.00	812.11	- 41.37
FEDERAL HOME LOAN MTG CORP GOLD POLD #E89496 06.000% DUE 04/01/2017	286.440	105.09356	- 301.03	05/31/13	1.00	286.44	- 14.59
FEDERAL HOME LOAN MTG CORP GOLD POLD #E89496 06.000% DUE 04/01/2017	288.680	105.09561	- 303.39	06/30/13	1.00	288.68	- 14.71
FEDERAL HOME LOAN MTG CORP GOLD POLD #E89496 06.000% DUE 04/01/2017	305.510	105.09312	- 321.07	07/31/13	1.00	305.51	- 15.56
FEDERAL HOME LOAN MTG CORP GOLD POLD #E89496 06.000% DUE 04/01/2017	1,217.090	105.09412	- 1,279.09	08/31/13	1.00	1,217.09	- 62.00
FEDERAL HOME LOAN MTG CORP GOLD POLD #E89496 06.000% DUE 04/01/2017	271.430	105.09524	- 285.26	09/30/13	1.00	271 43	- 13.83
FEDERAL HOME LOAN MTG CORP GOLD POLD #E89496 06.000% DUE 04/01/2017	272.750	105.09258	- 286.64	10/31/13	1.00	272.75	- 13.89
FEDERAL HOME LOAN MTG CORP GOLD POLD #E89496 06.000% DUE 04/01/2017	290.840	105.09559	- 305.66	11/30/13	1.00	290.84	- 14.82
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05.000% DUE 08/01/2018	830.170	103.73417	- 861.17	12/31/12	1.00	830.17	- 31.00
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05.000% DUE 08/01/2018	788.150	103.73406	- 817.58	01/31/13	1.00	788.15	- 29.43

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2013 - December 31, 2013

Detail

Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05.000% DUE 08/01/2018	877.400	103.73490	- 910.17	02/28/13	1.00	877.40	- 32.77
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05.000% DUE 08/01/2018	602.050	103.73391	- 624.53	03/31/13	1.00	602.05	- 22.48
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05.000% DUE 08/01/2018	581.700	103.73388	- 603.42	04/30/13	1.00	581.70	- 21.72
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05.000% DUE 08/01/2018	453.520	103.73523	- 470.46	05/31/13	1.00	453.52	- 16.94
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05.000% DUE 08/01/2018	482.230	103.73473	- 500.24	06/30/13	1.00	482.23	- 18.01
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05.000% DUE 08/01/2018	852.320	103.73451	- 884.15	07/31/13	1.00	852.32	- 31.83
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05.000% DUE 08/01/2018	353.950	103.73499	- 367.17	08/31/13	1.00	353.95	- 13.22
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05.000% DUE 08/01/2018	727.370	103.73400	- 754.53	09/30/13	1.00	727.37	- 27.16
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05.000% DUE 08/01/2018	401.330	103.73508	- 416.32	10/31/13	1.00	401.33	- 14.99
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05.000% DUE 08/01/2018	1,129.320	103.73411	- 1,171.49	11/30/13	1.00	1,129.32	- 42.17
FEDERAL HOME LN MTG CORP GOLD POOL # G01989 06.000% DUE 12/01/2035	480.250	104.93701	- 503.96	12/31/12	1.00	480.25	- 23.71

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2013 - December 31, 2013

Detail

Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL HOME LN MTG CORP GOLD POOL # G01989 06.000% DUE 12/01/2035	627.270	104.93727	- 658.24	01/31/13	1.00	627.27	- 30.97
FEDERAL HOME LN MTG CORP GOLD POOL # G01989 06.000% DUE 12/01/2035	681.820	104.93825	- 715.49	02/28/13	1.00	681.82	- 33.67
FEDERAL HOME LN MTG CORP GOLD POOL # G01989 06.000% DUE 12/01/2035	964.760	104.93802	- 1,012.40	03/31/13	1.00	964.76	- 47.64
FEDERAL HOME LN MTG CORP GOLD POOL # G01989 06.000% DUE 12/01/2035	761.510	104.93756	- 799.11	04/30/13	1.00	761.51	- 37.60
FEDERAL HOME LN MTG CORP GOLD POOL # G01989 06.000% DUE 12/01/2035	666.440	104.93818	- 699.35	05/31/13	1.00	666.44	- 32.91
FEDERAL HOME LN MTG CORP GOLD POOL # G01989 06.000% DUE 12/01/2035	941.390	104.93738	- 987.87	06/30/13	1.00	941.39	- 46.48
FEDERAL HOME LN MTG CORP GOLD POOL # G01989 06.000% DUE 12/01/2035	907.530	104.93758	- 952.34	07/31/13	1.00	907.53	- 44.81
FEDERAL HOME LN MTG CORP GOLD POOL # G01989 06.000% DUE 12/01/2035	139.730	104.93809	- 146 63	08/31/13	1.00	139.73	- 6.90
FEDERAL HOME LN MTG CORP GOLD POOL # G01989 06.000% DUE 12/01/2035	484.190	104.93814	- 508.10	09/30/13	1.00	484.19	- 23.91
FEDERAL HOME LN MTG CORP GOLD POOL # G01989 06.000% DUE 12/01/2035	552.740	104.93722	- 580.03	10/31/13	1.00	552.74	- 27.29
FEDERAL HOME LN MTG CORP GOLD POOL # G01989 06.000% DUE 12/01/2035	234.010	104.93569	- 245.56	11/30/13	1.00	234.01	- 11.55

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2013 - December 31, 2013

Detail

Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05.500% DUE 12/01/2024	1,061.930	108.29716	- 1,150.04	12/31/12	1.00	1,061.93	- 88.11
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05.500% DUE 12/01/2024	995.410	108.29708	- 1,078.00	01/31/13	1.00	995.41	- 82.59
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05.500% DUE 12/01/2024	925.670	108.29669	- 1,002.47	02/28/13	1.00	925.67	- 76.80
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05.500% DUE 12/01/2024	981.980	108.29650	- 1,063.45	03/31/13	1.00	981.98	- 81.47
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05.500% DUE 12/01/2024	1,057.340	108.29724	- 1,145.07	04/30/13	1.00	1,057.34	- 87.73
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05.500% DUE 12/01/2024	786.850	108.29637	- 852.13	05/31/13	1.00	786.85	- 65.28
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05.500% DUE 12/01/2024	835.790	108.29634	- 905.13	06/30/13	1.00	835.79	- 69.34
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05.500% DUE 12/01/2024	876.630	108.29654	- 949.36	07/31/13	1.00	876.63	- 72.73
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05.500% DUE 12/01/2024	740.540	108.29665	- 801.98	08/31/13	1.00	740.54	- 61.44
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05.500% DUE 12/01/2024	663.250	108.29702	- 718.28	09/30/13	1.00	663.25	- 55.03
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05.500% DUE 12/01/2024	576.850	108.29678	- 624.71	10/31/13	1.00	576.85	- 47.86

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2013 - December 31, 2013

Detail

Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05.500% DUE 12/01/2024	537.530	108.29721	- 582.13	11/30/13	1.00	537.53	- 44.60
FEDERAL HOME LOAN MTG CORP GOLD POOL G12277 06.000% DUE 08/01/2021	295.710	107.03054	- 316.50	12/31/12	1.00	295.71	- 20.79
FEDERAL HOME LOAN MTG CORP GOLD POOL G12277 06.000% DUE 08/01/2021	465.340	107.03142	- 498.06	01/31/13	1.00	465.34	- 32.72
FEDERAL HOME LOAN MTG CORP GOLD POOL G12277 06.000% DUE 08/01/2021	244.460	107.03183	- 261.65	02/28/13	1.00	244.46	- 17.19
FEDERAL HOME LOAN MTG CORP GOLD POOL G12277 06.000% DUE 08/01/2021	564.420	107.03200	- 604.11	03/31/13	1.00	564.42	- 39.69
FEDERAL HOME LOAN MTG CORP GOLD POOL G12277 06.000% DUE 08/01/2021	277.530	107.02987	- 297.04	04/30/13	1.00	277.53	- 19.51
FEDERAL HOME LOAN MTG CORP GOLD POOL G12277 06.000% DUE 08/01/2021	345.550	107.03227	- 369.85	05/31/13	1.00	345.55	- 24.30
FEDERAL HOME LOAN MTG CORP GOLD POOL G12277 06.000% DUE 08/01/2021	10,431.140	107.03106	- 11,164.56	06/24/13	108.63	11,330.83	166.27
FEDERAL HOME LOAN MTG CORP GOLD POOL #G04888 07.000% DUE 10/01/2038	724.320	112.74989	- 816.67	05/31/13	1.00	724.32	- 92.35
FEDERAL HOME LOAN MTG CORP GOLD POOL #G04888 07.000% DUE 10/01/2038	1,177.390	112.75024	- 1,327.51	06/30/13	1.00	1,177.39	- 150.12
FEDERAL HOME LOAN MTG CORP GOLD POOL #G04888 07.000% DUE 10/01/2038	402.460	112.74909	- 453.77	07/31/13	1.00	402.46	- 51.31

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2013 - December 31, 2013

Detail

Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL HOME LOAN MTG CORP GOLD POOL #G04888 07.000% DUE 10/01/2038	290.750	112.74979	- 327.82	08/31/13	1.00	290.75	- 37.07
FEDERAL HOME LOAN MTG CORP GOLD POOL #G04888 07.000% DUE 10/01/2038	1,453.160	112.75014	- 1,638.44	09/30/13	1.00	1,453.16	- 185.28
FEDERAL HOME LOAN MTG CORP GOLD POOL #G04888 07.000% DUE 10/01/2038	1,150.340	112.75014	- 1,297.01	10/31/13	1.00	1,150.34	- 146.67
FEDERAL HOME LOAN MTG CORP GOLD POOL #G04888 07.000% DUE 10/01/2038	575.510	112.75043	- 648.89	11/30/13	1.00	575.51	- 73.38
FEDERAL HOME LN MTG CORP GOLD POOL #B12990 04.500% DUE 03/01/2019	707.500	102.95265	- 728.39	12/31/12	1.00	707.50	- 20.89
FEDERAL HOME LN MTG CORP GOLD POOL #B12990 04.500% DUE 03/01/2019	1,033.580	102.95284	- 1,064.10	01/31/13	1.00	1,033.58	- 30.52
FEDERAL HOME LN MTG CORP GOLD POOL #B12990 04.500% DUE 03/01/2019	1,014.580	102.95295	- 1,044.54	02/28/13	1.00	1,014.58	- 29.96
FEDERAL HOME LN MTG CORP GOLD POOL #B12990 04.500% DUE 03/01/2019	1,737.030	102.95332	- 1,788.33	03/31/13	1.00	1,737.03	- 51.30
FEDERAL HOME LN MTG CORP GOLD POOL #B12990 04.500% DUE 03/01/2019	628.830	102.95310	- 647.40	04/30/13	1.00	628.83	- 18.57
FEDERAL HOME LN MTG CORP GOLD POOL #B12990 04.500% DUE 03/01/2019	656.820	102.95363	- 676.22	05/31/13	1.00	656.82	- 19.40
FEDERAL HOME LN MTG CORP GOLD POOL #B12990 04.500% DUE 03/01/2019	984.080	102.95301	- 1,013.14	06/30/13	1.00	984.08	- 29.06

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FEDERAL HOME LN MTG CORP GOLD POOL #B12990 04.500% DUE 03/01/2019	1,581.380	102.95312	- 1,628.08	07/31/13	1.00	1,581.38	- 46.70
FEDERAL HOME LN MTG CORP GOLD POOL #B12990 04.500% DUE 03/01/2019	1,107.190	102.95342	- 1,139.89	08/31/13	1.00	1,107.19	- 32.70
FEDERAL HOME LN MTG CORP GOLD POOL #B12990 04.500% DUE 03/01/2019	676.060	102.95240	- 696.02	09/30/13	1.00	676.06	- 19.96
FEDERAL HOME LN MTG CORP GOLD POOL #B12990 04.500% DUE 03/01/2019	575.230	102.95360	- 592.22	10/31/13	1.00	575.23	- 16.99
FEDERAL HOME LN MTG CORP GOLD POOL #B12990 04.500% DUE 03/01/2019	1,353.630	102.95280	- 1,393.60	11/30/13	1.00	1,353.63	- 39.97
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90690 05.000% DUE 07/01/2023	890.510	103.12518	- 918.34	12/31/12	1.00	890.51	- 27.83
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90690 05.000% DUE 07/01/2023	899.130	103.12524	- 927.23	01/31/13	1.00	899.13	- 28.10
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90690 05.000% DUE 07/01/2023	907.010	103.12455	- 935.35	02/28/13	1.00	907.01	- 28.34
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90690 05.000% DUE 07/01/2023	1,079.950	103.12514	- 1,113.70	03/31/13	1.00	1,079.95	- 33.75
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90690 05.000% DUE 07/01/2023	1,112.280	103.12511	- 1,147.04	04/30/13	1.00	1,112.28	- 34.76
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90690 05.000% DUE 07/01/2023	898.860	103.12507	- 926.95	05/31/13	1.00	898.86	- 28.09

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FEDERAL HOME LOAN MTG CORP GOLD POOL #C90690 05.000% DUE 07/01/2023	771.480	103.12516	- 795.59	06/30/13	1.00	771.48	- 24.11
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90690 05.000% DUE 07/01/2023	795.080	103.12547	- 819.93	07/31/13	1.00	795.08	- 24.85
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90690 05.000% DUE 07/01/2023	723.540	103.12491	- 746.15	08/31/13	1.00	723.54	- 22.61
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90690 05.000% DUE 07/01/2023	614.190	103.12444	- 633.38	09/30/13	1.00	614.19	- 19.19
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90690 05.000% DUE 07/01/2023	397.210	103.12429	- 409.62	10/31/13	1.00	397.21	- 12.41
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90690 05.000% DUE 07/01/2023	483.450	103.12545	- 498.56	11/30/13	1.00	483.45	- 15.11
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90849 04.000% DUE 05/01/2024	1,826.200	100.56237	- 1,836.47	12/31/12	1.00	1,826.20	- 10.27
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90849 04.000% DUE 05/01/2024	1,312.880	100.56288	- 1,320.27	01/31/13	1.00	1,312.88	- 7.39
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90849 04.000% DUE 05/01/2024	931.950	100.56226	- 937.19	02/28/13	1.00	931.95	- 5.24
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90849 04.000% DUE 05/01/2024	1,332.380	100.56290	- 1,339.88	03/31/13	1.00	1,332.38	- 7.50
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90849 04.000% DUE 05/01/2024	1,204.790	100.56275	- 1,211.57	04/30/13	1.00	1,204.79	- 6.78

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FEDERAL HOME LOAN MTG CORP GOLD POOL #C90849 04.000% DUE 05/01/2024	833.360	100.56278	- 838.05	05/31/13	1.00	833.36	- 4.69
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90849 04.000% DUE 05/01/2024	1,025.950	100.56241	- 1,031.72	06/30/13	1.00	1,025.95	- 5.77
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90849 04.000% DUE 05/01/2024	745.330	100.56217	- 749.52	07/31/13	1.00	745.33	- 4.19
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90849 04.000% DUE 05/01/2024	678.100	100.56334	- 681.92	08/31/13	1.00	678.10	- 3.82
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90849 04.000% DUE 05/01/2024	209.160	100.56416	- 210.34	09/30/13	1.00	209.16	- 1.18
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90849 04.000% DUE 05/01/2024	117.410	100.56213	- 118.07	10/31/13	1.00	117.41	- 0.66
FEDERAL HOME LOAN MTG CORP GOLD POOL #C90849 04.000% DUE 05/01/2024	277.850	100.56145	- 279.41	11/30/13	1.00	277.85	- 1.56
FEDERAL HOME LN MTG CORP SERIES 2390 CLASS CH 05.500% DUE 12/15/2016	937.110	106.68758	- 999.78	01/01/13	1.00	937.11	- 62.67
FEDERAL HOME LN MTG CORP SERIES 2390 CLASS CH 05.500% DUE 12/15/2016	767.810	106.68785	- 819.16	02/01/13	1.00	767.81	- 51.35
FEDERAL HOME LN MTG CORP SERIES 2390 CLASS CH 05.500% DUE 12/15/2016	735.720	106.68733	- 784.92	03/01/13	1.00	735.72	- 49.20
FEDERAL HOME LN MTG CORP SERIES 2390 CLASS CH 05.500% DUE 12/15/2016	813.500	106.68715	- 867.90	04/01/13	1.00	813.50	- 54.40

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FEDERAL HOME LN MTG CORP SERIES 2390 CLASS CH 05.500% DUE 12/15/2016	773.780	106.68795	- 825.53	05/01/13	1.00	773.78	- 51.75
FEDERAL HOME LN MTG CORP SERIES 2390 CLASS CH 05.500% DUE 12/15/2016	870.960	106.68802	- 929.21	06/01/13	1.00	870.96	- 58.25
FEDERAL HOME LN MTG CORP SERIES 2390 CLASS CH 05.500% DUE 12/15/2016	906.200	106.68727	- 966.80	07/01/13	1.00	906.20	- 60.60
FEDERAL HOME LN MTG CORP SERIES 2390 CLASS CH 05.500% DUE 12/15/2016	602.470	106.68747	- 642.76	08/01/13	1.00	602.47	- 40.29
FEDERAL HOME LN MTG CORP SERIES 2390 CLASS CH 05.500% DUE 12/15/2016	720.900	106.68747	- 769.11	09/01/13	1.00	720.90	- 48.21
FEDERAL HOME LN MTG CORP SERIES 2390 CLASS CH 05.500% DUE 12/15/2016	556.220	106.68800	- 593.42	10/01/13	1.00	556.22	- 37.20
FEDERAL HOME LN MTG CORP SERIES 2390 CLASS CH 05.500% DUE 12/15/2016	763.460	106.68797	- 814.52	11/01/13	1.00	763.46	- 51.06
FEDERAL HOME LN MTG CORP SERIES 2390 CLASS CH 05.500% DUE 12/15/2016	647.890	106.68786	- 691.22	12/01/13	1.00	647.89	- 43.33
FEDERAL NATL MTG ASSN NTS 05.000% DUE 05/11/2017	60,000	115.31843	- 69,191.06	03/05/13	117.58	70,548.00	1,356.94
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07.000% DUE 02/25/2023	1,250.430	105.18782	- 1,315.30	01/01/13	1.00	1,250.43	- 64.87
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07.000% DUE 02/25/2023	432.490	105.18856	- 454.93	02/01/13	1.00	432.49	- 22.44

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FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07.000% DUE 02/25/2023	865.330	105.18762	- 910.22	03/01/13	1.00	865.33	- 44.89
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07.000% DUE 02/25/2023	360.230	105.18835	- 378.92	04/01/13	1.00	360.23	- 18.69
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07.000% DUE 02/25/2023	583.520	105.18748	- 613.79	05/01/13	1.00	583.52	- 30.27
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07.000% DUE 02/25/2023	579.180	105.18664	- 609.22	06/01/13	1.00	579.18	- 30.04
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07.000% DUE 02/25/2023	559.670	105.18699	- 588.70	07/01/13	1.00	559.67	- 29.03
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07.000% DUE 02/25/2023	286.350	105.18596	- 301.20	08/01/13	1.00	286.35	- 14.85
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07.000% DUE 02/25/2023	616.410	105.18811	- 648.39	09/01/13	1.00	616.41	- 31.98
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07.000% DUE 02/25/2023	538.160	105.18805	- 566.08	10/01/13	1.00	538.16	- 27.92
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07.000% DUE 02/25/2023	615.900	105.18753	- 647.85	11/01/13	1.00	615.90	- 31.95
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07.000% DUE 02/25/2023	403.290	105.18733	- 424.21	12/01/13	1.00	403.29	- 20.92
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00.000 DUE 05/15/2041	4,249.890	93.55466	- 3,975.97	01/01/13	1.00	4,249.89	273.92

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FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00.000 DUE 05/15/2041	3,919.420	93.55466	- 3,666.80	02/01/13	1.00	3,919.42	252.62
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00.000 DUE 05/15/2041	3,898.910	93.55461	- 3,647.61	03/01/13	1.00	3,898.91	251.30
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00.000 DUE 05/15/2041	4,222.160	93.55472	- 3,950.03	04/01/13	1.00	4,222.16	272.13
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00.000 DUE 05/15/2041	4,297.520	93.55465	- 4,020.53	05/01/13	1.00	4,297.52	276.99
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00.000 DUE 05/15/2041	3,698.750	93.55458	- 3,460.35	06/01/13	1.00	3,698.75	238.40
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00.000 DUE 05/15/2041	3,089.370	93.55467	- 2,890.25	07/01/13	1.00	3,089.37	199.12
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00.000 DUE 05/15/2041	3,326.360	93.55482	- 3,111.97	08/01/13	1.00	3,326.36	214.39
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00.000 DUE 05/15/2041	2,769.250	93.55457	- 2,590.76	09/01/13	1.00	2,769.25	178.49
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00.000 DUE 05/15/2041	2,392.550	93.55458	- 2,238.34	10/01/13	1.00	2,392.55	154.21
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00.000 DUE 05/15/2041	2,076.700	93.55468	- 1,942.85	11/01/13	1.00	2,076.70	133.85
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00.000 DUE 05/15/2041	2,089.580	93.55469	- 1,954.90	12/01/13	1.00	2,089.58	134.68

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FHLMC MULTIFAMILY STRUCTURED P SERIES K501-X1A VAR% DUE 08/25/2016	158,115.700	5.83850	- 9,231.58	03/07/13	4.55	7,189.32	- 2,042.26
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02.000% DUE 10/15/2042	279.900	100.90747	- 282.44	01/01/13	1.00	279.90	- 2.54
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02.000% DUE 10/15/2042	165.180	100.90810	- 166.68	02/01/13	1.00	165.18	- 1.50
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02.000% DUE 10/15/2042	249.100	100.90727	- 251.36	03/01/13	1.00	249.10	- 2.26
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02.000% DUE 10/15/2042	219.640	100.90603	- 221.63	04/01/13	1.00	219.64	- 1.99
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02.000% DUE 10/15/2042	227.820	100.90861	- 229.89	05/01/13	1.00	227.82	- 2.07
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02.000% DUE 10/15/2042	235.870	100.90728	- 238.01	06/01/13	1.00	235.87	- 2.14
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02.000% DUE 10/15/2042	131.240	100.90674	- 132.43	07/01/13	1.00	131.24	- 1.19
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02.000% DUE 10/15/2042	296.180	100.90486	- 298.86	08/01/13	1.00	296.18	- 2.68
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02.000% DUE 10/15/2042	211.380	100.90832	- 213.30	09/01/13	1.00	211.38	- 1.92
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02.000% DUE 10/15/2042	112.330	100.90804	- 113.35	10/01/13	1.00	112.33	- 1.02

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FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02.000% DUE 10/15/2042	409.790	100.90534	- 413.50	11/01/13	1.00	409.79	- 3.71
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02.000% DUE 10/15/2042	334.550	100.90569	- 337.58	12/01/13	1.00	334.55	- 3.03
FEDERAL HOME LOAN MTG CORP SERIES 3715 CLASS PB 04.500% DUE 02/15/2039	100,000	108.42188	- 108,421.88	06/17/13	106.75	106,750.00	- 1,671.88
FEDERAL HOME LOAN MTG CORP NTS 01.375% DUE 02/25/2014	10,000	101.53210	- 10,153.21	05/24/13	100.91	10,090.70	- 62.51
FEDERAL HOME LOAN MTG CORP NTS 01.375% DUE 02/25/2014	10,000	101.53210	- 10,153.21	05/31/13	100.89	10,089.43	- 63.78
FEDERAL HOME LOAN MTG CORP NTS 01.375% DUE 02/25/2014	110,000	101.53208	- 111,685.29	06/18/13	100.84	110,921.25	- 764.04
FEDERAL HOME LOAN MTG CORP NTS 01.375% DUE 02/25/2014	100,000	101.53209	- 101,532.09	10/23/13	100.43	100,430.90	- 1,101.19
FEDERAL NATL MTG ASSN POOL # 252348 06.500% DUE 03/01/2019	1,469.500	106.09391	- 1,559.05	12/31/12	1.00	1,469.50	- 89.55
FEDERAL NATL MTG ASSN POOL # 252348 06.500% DUE 03/01/2019	953.140	106.09354	- 1,011.22	01/31/13	1.00	953.14	- 58.08
FEDERAL NATL MTG ASSN POOL # 252348 06.500% DUE 03/01/2019	1,084.600	106.09349	- 1,150.69	02/28/13	1.00	1,084.60	- 66.09
FEDERAL NATL MTG ASSN POOL # 252348 06.500% DUE 03/01/2019	1,209.920	106.09379	- 1,283.65	03/31/13	1.00	1,209.92	- 73.73

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FEDERAL NATL MTG ASSN POOL # 252348 06.500% DUE 03/01/2019	802.160	106.09355	- 851.04	04/30/13	1.00	802.16	- 48.88
FEDERAL NATL MTG ASSN POOL # 252348 06.500% DUE 03/01/2019	967.100	106.09348	- 1,026.03	05/31/13	1.00	967.10	- 58.93
FEDERAL NATL MTG ASSN POOL # 252348 06.500% DUE 03/01/2019	667.740	106.09369	- 708.43	06/30/13	1.00	667.74	- 40.69
FEDERAL NATL MTG ASSN POOL # 252348 06.500% DUE 03/01/2019	502.140	106.09392	- 532.74	07/31/13	1.00	502.14	- 30.60
FEDERAL NATL MTG ASSN POOL # 252348 06.500% DUE 03/01/2019	1,396.900	106.09421	- 1,482.03	08/31/13	1.00	1,396.90	- 85.13
FEDERAL NATL MTG ASSN POOL # 252348 06.500% DUE 03/01/2019	523.920	106.09444	- 555.85	09/30/13	1.00	523.92	- 31.93
FEDERAL NATL MTG ASSN POOL # 252348 06.500% DUE 03/01/2019	1,538.680	106.09418	- 1,632.45	10/31/13	1.00	1,538.68	- 93.77
FEDERAL NATL MTG ASSN POOL # 252348 06.500% DUE 03/01/2019	599.080	106.09434	- 635.59	11/30/13	1.00	599.08	- 36.51
FEDERAL NATL MTG ASSN POOL #254797 05.000% DUE 06/01/2023	808.130	106.71922	- 862.43	12/31/12	1.00	808.13	- 54.30
FEDERAL NATL MTG ASSN POOL #254797 05.000% DUE 06/01/2023	663.290	106.71953	- 707.86	01/31/13	1.00	663.29	- 44.57
FEDERAL NATL MTG ASSN POOL #254797 05.000% DUE 06/01/2023	736.230	106.71937	- 785.70	02/28/13	1.00	736.23	- 49.47

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FEDERAL NATL MTG ASSN POOL #254797 05.000% DUE 06/01/2023	878.760	106.71856	- 937.80	03/31/13	1.00	878.76	- 59.04
FEDERAL NATL MTG ASSN POOL #254797 05.000% DUE 06/01/2023	877.320	106.71819	- 936.26	04/30/13	1.00	877.32	- 58.94
FEDERAL NATL MTG ASSN POOL #254797 05.000% DUE 06/01/2023	851.370	106.71858	- 908.57	05/31/13	1.00	851.37	- 57.20
FEDERAL NATL MTG ASSN POOL #254797 05.000% DUE 06/01/2023	795.600	106.71820	- 849.05	06/30/13	1.00	795.60	- 53.45
FEDERAL NATL MTG ASSN POOL #254797 05.000% DUE 06/01/2023	623.500	106.71852	- 665.39	07/31/13	1.00	623.50	- 41.89
FEDERAL NATL MTG ASSN POOL #254797 05.000% DUE 06/01/2023	471.820	106.71866	- 503.52	08/31/13	1.00	471.82	- 31.70
FEDERAL NATL MTG ASSN POOL #254797 05.000% DUE 06/01/2023	511.310	106.71804	- 545.66	09/30/13	1.00	511.31	- 34.35
FEDERAL NATL MTG ASSN POOL #254797 05.000% DUE 06/01/2023	341.800	106.72030	- 364.77	10/31/13	1.00	341.80	- 22.97
FEDERAL NATL MTG ASSN POOL #254797 05.000% DUE 06/01/2023	386.130	106.71794	- 412.07	11/30/13	1.00	386.13	- 25.94
FEDERAL NATL MTG ASSN POOL #254972 06.000% DUE 10/01/2023	948.910	105.93734	- 1,005.25	12/31/12	1.00	948.91	- 56.34
FEDERAL NATL MTG ASSN POOL #254972 06.000% DUE 10/01/2023	968.750	105.93755	- 1,026.27	01/31/13	1.00	968.75	- 57.52

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FEDERAL NATL MTG ASSN POOL #254972 06.000% DUE 10/01/2023	335.900	105.93629	- 355.84	02/28/13	1.00	335.90	- 19.94
FEDERAL NATL MTG ASSN POOL #254972 06.000% DUE 10/01/2023	262.030	105.93825	- 277.59	03/31/13	1.00	262.03	- 15.56
FEDERAL NATL MTG ASSN POOL #254972 06.000% DUE 10/01/2023	442.410	105.93793	- 468.68	04/30/13	1.00	442.41	- 26.27
FEDERAL NATL MTG ASSN POOL #254972 06.000% DUE 10/01/2023	383.250	105.93868	- 406.01	05/31/13	1.00	383.25	- 22.76
FEDERAL NATL MTG ASSN POOL #254972 06.000% DUE 10/01/2023	21,015.060	105.93736	- 22,262.80	06/25/13	109.75	23,064.03	801.23
FEDERAL NATL MTG ASSN POOL #255176 04.500% DUE 04/01/2019	451.750	106.34422	- 480.41	10/31/13	1.00	451.75	- 28.66
FEDERAL NATL MTG ASSN POOL #255176 04.500% DUE 04/01/2019	737.440	106.34357	- 784.22	11/30/13	1.00	737.44	- 46.78
FEDERAL NATL MTG ASSN POOL #255627 05.000% DUE 02/01/2025	1,017.980	106.50013	- 1,084.15	12/31/12	1.00	1,017.98	- 66.17
FEDERAL NATL MTG ASSN POOL #255627 05.000% DUE 02/01/2025	1,293.840	106.50003	- 1,377.94	01/31/13	1.00	1,293.84	- 84.10
FEDERAL NATL MTG ASSN POOL #255627 05.000% DUE 02/01/2025	1,271.750	106.49971	- 1,354.41	02/28/13	1.00	1,271.75	- 82.66
FEDERAL NATL MTG ASSN POOL #255627 05.000% DUE 02/01/2025	1,226.200	106.49976	- 1,305.90	03/31/13	1.00	1,226.20	- 79.70

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FEDERAL NATL MTG ASSN POOL #255627 05.000% DUE 02/01/2025	1,174.570	106.50025	- 1,250.92	04/30/13	1.00	1,174.57	- 76.35
FEDERAL NATL MTG ASSN POOL #255627 05.000% DUE 02/01/2025	862.410	106.50039	- 918.47	05/31/13	1.00	862.41	- 56.06
FEDERAL NATL MTG ASSN POOL #255627 05.000% DUE 02/01/2025	750.550	106.50057	- 799.34	06/30/13	1.00	750.55	- 48.79
FEDERAL NATL MTG ASSN POOL #255627 05.000% DUE 02/01/2025	1,154.780	106.49994	- 1,229.84	07/31/13	1.00	1,154.78	- 75.06
FEDERAL NATL MTG ASSN POOL #255627 05.000% DUE 02/01/2025	730.390	106.50064	- 777.87	08/31/13	1.00	730.39	- 47.48
FEDERAL NATL MTG ASSN POOL #255627 05.000% DUE 02/01/2025	581.080	106.49997	- 618.85	09/30/13	1.00	581.08	- 37.77
FEDERAL NATL MTG ASSN POOL #255627 05.000% DUE 02/01/2025	574.320	106.49986	- 611.65	10/31/13	1.00	574.32	- 37.33
FEDERAL NATL MTG ASSN POOL #255627 05.000% DUE 02/01/2025	529.500	106.50047	- 563.92	11/30/13	1.00	529.50	- 34.42
FEDERAL NATL MTG ASSN POOL #255668 05.500% DUE 03/01/2025	976.530	108.78109	- 1,062.28	12/31/12	1.00	976.53	- 85.75
FEDERAL NATL MTG ASSN POOL #255668 05.500% DUE 03/01/2025	1,307.640	108.78147	- 1,422.47	01/31/13	1.00	1,307.64	- 114.83
FEDERAL NATL MTG ASSN POOL #255668 05.500% DUE 03/01/2025	1,341.500	108.78122	- 1,459.30	02/28/13	1.00	1,341.50	- 117.80

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Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL NATL MTG ASSN POOL #255668 05.500% DUE 03/01/2025	1,399.750	108.78157	- 1,522.67	03/31/13	1.00	1,399.75	- 122.92
FEDERAL NATL MTG ASSN POOL #255668 05.500% DUE 03/01/2025	1,037.960	108.78165	- 1,129.11	04/30/13	1.00	1,037.96	- 91.15
FEDERAL NATL MTG ASSN POOL #255668 05.500% DUE 03/01/2025	714.600	108.78114.	- 777.35	05/31/13	1.00	714.60	- 62.75
FEDERAL NATL MTG ASSN POOL #255668 05.500% DUE 03/01/2025	1,149.270	108.78123	- 1,250.19	06/30/13	1.00	1,149.27	- 100.92
FEDERAL NATL MTG ASSN POOL #255668 05.500% DUE 03/01/2025	1,045.100	108.78098	- 1,136.87	07/31/13	1.00	1,045.10	- 91.77
FEDERAL NATL MTG ASSN POOL #255668 05.500% DUE 03/01/2025	411.350	108.78084	- 447.47	08/31/13	1.00	411.35	- 36.12
FEDERAL NATL MTG ASSN POOL #255668 05.500% DUE 03/01/2025	784.560	108.78072	- 853.45	09/30/13	1.00	784.56	- 68.89
FEDERAL NATL MTG ASSN POOL #255668 05.500% DUE 03/01/2025	462.350	108.78123	- 502.95	10/31/13	1.00	462.35	- 40.60
FEDERAL NATL MTG ASSN POOL #255668 05.500% DUE 03/01/2025	715.480	108.78152	- 778.31	11/30/13	1.00	715.48	- 62.83
FEDERAL NATL MTG ASSN POOL #535206 07.000% DUE 02/01/2015	673.570	105.12493	- 708.09	12/31/12	1.00	673.57	- 34.52
FEDERAL NATL MTG ASSN POOL #535206 07.000% DUE 02/01/2015	678.460	105.12484	- 713.23	01/31/13	1.00	678.46	- 34.77

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FEDERAL NATL MTG ASSN POOL #535206 07.000% DUE 02/01/2015	725.140	105.12453	- 762.30	02/28/13	1.00	725.14	- 37.16
FEDERAL NATL MTG ASSN POOL #535206 07.000% DUE 02/01/2015	553.870	105.12575	- 582.26	03/31/13	1.00	553.87	- 28.39
FEDERAL NATL MTG ASSN POOL #535206 07.000% DUE 02/01/2015	474.170	105.12474	- 498.47	04/30/13	1.00	474.17	- 24.30
FEDERAL NATL MTG ASSN POOL #535206 07.000% DUE 02/01/2015	499.180	105.12440	- 524.76	05/31/13	1.00	499.18	- 25.58
FEDERAL NATL MTG ASSN POOL #535206 07.000% DUE 02/01/2015	399.810	105.12493	- 420.30	06/30/13	1.00	399.81	- 20.49
FEDERAL NATL MTG ASSN POOL #535206 07.000% DUE 02/01/2015	403.630	105.12598	- 424.32	07/31/13	1.00	403.63	- 20.69
FEDERAL NATL MTG ASSN POOL #535206 07.000% DUE 02/01/2015	343.200	105.12529	- 360.79	08/31/13	1.00	343.20	- 17.59
FEDERAL NATL MTG ASSN POOL #535206 07.000% DUE 02/01/2015	313.210	105.12436	- 329.26	09/30/13	1.00	313.21	- 16.05
FEDERAL NATL MTG ASSN POOL #535206 07.000% DUE 02/01/2015	305.730	105.12544	- 321.40	10/31/13	1.00	305.73	- 15.67
FEDERAL NATL MTG ASSN POOL #535206 07.000% DUE 02/01/2015	294.580	105.12594	- 309.68	11/30/13	1.00	294.58	- 15.10
FEDERAL NATL MTG ASSN POOL #545696 6.00% DUE 6/1/2022	1,255.850	105.46881	- 1,324.53	12/31/12	1.00	1,255.85	- 68.68

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FEDERAL NATL MTG ASSN POOL #545696 6.00% DUE 6/1/2022	821.580	105.46873	- 866.51	01/31/13	1.00	821.58	- 44.93
FEDERAL NATL MTG ASSN POOL #545696 6.00% DUE 6/1/2022	760.180	105.46844	- 801.75	02/28/13	1.00	760.18	- 41.57
FEDERAL NATL MTG ASSN POOL #545696 6.00% DUE 6/1/2022	668.980	105.46952	- 705.57	03/31/13	1.00	668.98	- 36.59
FEDERAL NATL MTG ASSN POOL #545696 6.00% DUE 6/1/2022	1,142.250	105.46903	- 1,204.72	04/30/13	1.00	1,142.25	- 62.47
FEDERAL NATL MTG ASSN POOL #545696 6.00% DUE 6/1/2022	1,244.810	105.46911	- 1,312.89	05/31/13	1.00	1,244.81	- 68.08
FEDERAL NATL MTG ASSN POOL #545696 6.00% DUE 6/1/2022	339.300	105.47009	- 357.86	06/30/13	1.00	339.30	- 18.56
FEDERAL NATL MTG ASSN POOL #545696 6.00% DUE 6/1/2022	565.860	105.46955	- 596.81	07/31/13	1.00	565.86	- 30.95
FEDERAL NATL MTG ASSN POOL #545696 6.00% DUE 6/1/2022	576.930	105.46860	- 608.48	08/31/13	1.00	576.93	- 31.55
FEDERAL NATL MTG ASSN POOL #545696 6.00% DUE 6/1/2022	392.010	105.46925	- 413.45	09/30/13	1.00	392.01	- 21.44
FEDERAL NATL MTG ASSN POOL #545696 6.00% DUE 6/1/2022	252.800	105.47073	- 266.63	10/31/13	1.00	252.80	- 13.83
FEDERAL NATL MTG ASSN POOL #545696 6.00% DUE 6/1/2022	238.690	105.46734	- 251.74	11/30/13	1.00	238.69	- 13.05

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FEDERAL NATL MTG ASSN POOL #571868 6% DUE 5/01/2014	718.650	104.82711	- 753.34	12/31/12	1.00	718.65	- 34.69
FEDERAL NATL MTG ASSN POOL #571868 6% DUE 5/01/2014	664.830	104.82830	- 696.93	01/31/13	1.00	664.83	- 32.10
FEDERAL NATL MTG ASSN POOL #571868 6% DUE 5/01/2014	574.180	104.82775	- 601.90	02/28/13	1.00	574.18	- 27.72
FEDERAL NATL MTG ASSN POOL #571868 6% DUE 5/01/2014	281.040	104.82849	- 294.61	03/31/13	1.00	281.04	- 13.57
FEDERAL NATL MTG ASSN POOL #571868 6% DUE 5/01/2014	348.650	104.82719	- 365.48	04/30/13	1.00	348.65	- 16.83
FEDERAL NATL MTG ASSN POOL #571868 6% DUE 5/01/2014	322.520	104.82761	- 338.09	05/31/13	1.00	322.52	- 15.57
FEDERAL NATL MTG ASSN POOL #571868 6% DUE 5/01/2014	230.080	104.82876	- 241.19	06/30/13	1.00	230.08	- 11.11
FEDERAL NATL MTG ASSN POOL #571868 6% DUE 5/01/2014	226.170	104.82823	- 237.09	07/31/13	1.00	226.17	- 10.92
FEDERAL NATL MTG ASSN POOL #571868 6% DUE 5/01/2014	241.150	104.82687	- 252.79	08/31/13	1.00	241.15	- 11.64
FEDERAL NATL MTG ASSN POOL #571868 6% DUE 5/01/2014	70.290	104.82288	- 73.68	09/30/13	1.00	70.29	- 3.39
FEDERAL NATL MTG ASSN POOL #571868 6% DUE 5/01/2014	40.340	104.83391	- 42.29	10/31/13	1.00	40.34	- 1.95

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FEDERAL NATL MTG ASSN POOL #571868 6% DUE 5/01/2014	40.540	104.83473	- 42.50	11/30/13	1.00	40.54	- 1.96
FEDERAL NATL MTG ASSN SERIES 2002-63 CLASS LB 05.500% DUE 10/25/2017	948.230	107.25035	- 1,016.98	01/01/13	1.00	948.23	- 68.75
FEDERAL NATL MTG ASSN SERIES 2002-63 CLASS LB 05.500% DUE 10/25/2017	1,282	107.25039	- 1,374.95	02/01/13	1.00	1,282.00	- 92.95
FEDERAL NATL MTG ASSN SERIES 2002-63 CLASS LB 05.500% DUE 10/25/2017	1,586.560	107.25028	- 1,701.59	03/01/13	1.00	1,586.56	- 115.03
FEDERAL NATL MTG ASSN SERIES 2002-63 CLASS LB 05.500% DUE 10/25/2017	2,965.500	107.25004	- 3,180.50	04/01/13	1.00	2,965.50	- 215.00
FEDERAL NATL MTG ASSN SERIES 2002-63 CLASS LB 05.500% DUE 10/25/2017	1,324.800	107.25015	- 1,420.85	05/01/13	1.00	1,324.80	- 96.05
FEDERAL NATL MTG ASSN SERIES 2002-63 CLASS LB 05.500% DUE 10/25/2017	1,182.130	107.24963	- 1,267.83	06/01/13	1.00	1,182.13	- 85.70
FEDERAL NATL MTG ASSN SERIES 2002-63 CLASS LB 05.500% DUE 10/25/2017	1,352.040	107.24979	- 1,450.06	07/01/13	1.00	1,352.04	- 98.02
FEDERAL NATL MTG ASSN SERIES 2002-63 CLASS LB 05.500% DUE 10/25/2017	1,443.940	107.25030	- 1,548.63	08/01/13	1.00	1,443.94	- 104.69
FEDERAL NATL MTG ASSN SERIES 2002-63 CLASS LB 05.500% DUE 10/25/2017	740.230	107.25045	- 793.90	09/01/13	1.00	740.23	- 53.67
FEDERAL NATL MTG ASSN SERIES 2002-63 CLASS LB 05.500% DUE 10/25/2017	879.430	107.25015	- 943.19	10/01/13	1.00	879.43	- 63.76

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FEDERAL NATL MTG ASSN SERIES 2002-63 CLASS LB 05.500% DUE 10/25/2017	737.190	107.25051	- 790.64	11/01/13	1.00	737.19	- 53.45
FEDERAL NATL MTG ASSN SERIES 2002-63 CLASS LB 05.500% DUE 10/25/2017	1,305.250	107.24995	- 1,399.88	12/01/13	1.00	1,305.25	- 94.63
FEDERAL NATL MTG ASSN SERIES 2003-17 CLASS ED 04.250% DUE 09/25/2022	1,948.780	103.34363	- 2,013.94	01/01/13	1.00	1,948.78	- 65.16
FEDERAL NATL MTG ASSN SERIES 2003-17 CLASS ED 04.250% DUE 09/25/2022	1,755.390	103.34399	- 1,814.09	02/01/13	1.00	1,755.39	- 58.70
FEDERAL NATL MTG ASSN SERIES 2003-17 CLASS ED 04.250% DUE 09/25/2022	1,549.060	103.34396	- 1,600.86	03/01/13	1.00	1,549.06	- 51.80
FEDERAL NATL MTG ASSN SERIES 2003-17 CLASS ED 04.250% DUE 09/25/2022	1,906.250	103.34374	- 1,969.99	04/01/13	1.00	1,906.25	- 63.74
FEDERAL NATL MTG ASSN SERIES 2003-17 CLASS ED 04.250% DUE 09/25/2022	1,876.070	103.34369	- 1,938.80	05/01/13	1.00	1,876.07	- 62.73
FEDERAL NATL MTG ASSN SERIES 2003-17 CLASS ED 04.250% DUE 09/25/2022	1,483.220	103.34340	- 1,532.81	06/01/13	1.00	1,483.22	- 49.59
FEDERAL NATL MTG ASSN SERIES 2003-17 CLASS ED 04.250% DUE 09/25/2022	1,425.300	103.34386	- 1,472.96	07/01/13	1.00	1,425.30	- 47.66
FEDERAL NATL MTG ASSN SERIES 2003-17 CLASS ED 04.250% DUE 09/25/2022	1,480.690	103.34371	- 1,530.20	08/01/13	1.00	1,480.69	- 49.51
FEDERAL NATL MTG ASSN SERIES 2003-17 CLASS ED 04.250% DUE 09/25/2022	1,340.320	103.34398	- 1,385.14	09/01/13	1.00	1,340.32	- 44.82

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Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL NATL MTG ASSN SERIES 2003-17 CLASS ED 04.250% DUE 09/25/2022	1,060.150	103.34387	- 1,095.60	10/01/13	1.00	1,060.15	- 35.45
FEDERAL NATL MTG ASSN SERIES 2003-17 CLASS ED 04.250% DUE 09/25/2022	852.530	103.34299	- 881.03	11/01/13	1.00	852.53	- 28.50
FEDERAL NATL MTG ASSN SERIES 2003-17 CLASS ED 04.250% DUE 09/25/2022	748.600	103.34357	- 773.63	12/01/13	1.00	748.60	- 25.03
FEDERAL NATL MTG ASSN SERIES 2003-16 CLASS LJ 05.000% DUE 03/25/2018	1,124.080	108.56256	- 1,220.33	01/01/13	1.00	1,124.08	- 96.25
FEDERAL NATL MTG ASSN SERIES 2003-16 CLASS LJ 05.000% DUE 03/25/2018	1,060.410	108.56273	- 1,151.21	02/01/13	1.00	1,060.41	- 90.80
FEDERAL NATL MTG ASSN SERIES 2003-16 CLASS LJ 05.000% DUE 03/25/2018	971.210	108.56251	- 1,054.37	03/01/13	1.00	971.21	- 83.16
FEDERAL NATL MTG ASSN SERIES 2003-16 CLASS LJ 05.000% DUE 03/25/2018	904.380	108.56277	- 981.82	04/01/13	1.00	904.38	- 77 44
FEDERAL NATL MTG ASSN SERIES 2003-16 CLASS LJ 05.000% DUE 03/25/2018	928.240	108.56244	- 1,007.72	05/01/13	1.00	928.24	- 79.48
FEDERAL NATL MTG ASSN SERIES 2003-16 CLASS LJ 05.000% DUE 03/25/2018	863.320	108.56229	- 937.24	06/01/13	1.00	863.32	- 73.92
FEDERAL NATL MTG ASSN SERIES 2003-16 CLASS LJ 05.000% DUE 03/25/2018	791.570	108.56273	- 859.35	07/01/13	1.00	791.57	- 67.78
FEDERAL NATL MTG ASSN SERIES 2003-16 CLASS LJ 05.000% DUE 03/25/2018	889.270	108.56208	- 965.41	08/01/13	1.00	889.27	- 76.14

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FEDERAL NATL MTG ASSN SERIES 2003-16 CLASS LJ 05.000% DUE 03/25/2018	757.460	108.56283	- 822.32	09/01/13	1.00	757.46	- 64.86
FEDERAL NATL MTG ASSN SERIES 2003-16 CLASS LJ 05.000% DUE 03/25/2018	720.760	108.56318	- 782.48	10/01/13	1.00	720.76	- 61.72
FEDERAL NATL MTG ASSN SERIES 2003-16 CLASS LJ 05.000% DUE 03/25/2018	617.600	108.56218	- 670.48	11/01/13	1.00	617.60	- 52.88
FEDERAL NATL MTG ASSN SERIES 2003-16 CLASS LJ 05.000% DUE 03/25/2018	589.470	108.56193	- 639.94	12/01/13	1.00	589.47	- 50.47
FEDERAL NATL MTG ASSN SERIES 2001-28 CLASS Z 06.000% DUE 07/25/2031	1,728.430	113.01586	- 1,953.40	01/01/13	1.00	1,728.43	- 224.97
FEDERAL NATL MTG ASSN SERIES 2001-28 CLASS Z 06.000% DUE 07/25/2031	121,481.046	113.01562	- 137,292.56	02/07/13	113.75	138,184.69	892.13
FEDERAL NATL MTG ASSN SERIES 2001-28 CLASS Z 06.000% DUE 07/25/2031	2,943.246	113.01570	- 3,326.33	02/01/13	1.00	2,943.24	- 383.09
FEDERAL NATL MTG ASSN SERIES 2003-43 CLASS EX 04.500% DUE 10/25/2017	1,407.040	102.40647	- 1,440.90	01/01/13	1.00	1,407.04	- 33.86
FEDERAL NATL MTG ASSN SERIES 2003-43 CLASS EX 04.500% DUE 10/25/2017	1,612.320	102.40647	- 1,651.12	02/01/13	1.00	1,612.32	- 38.80
FEDERAL NATL MTG ASSN SERIES 2003-43 CLASS EX 04.500% DUE 10/25/2017	1,476.670	102.40609	- 1,512.20	03/01/13	1.00	1,476.67	- 35.53
FEDERAL NATL MTG ASSN SERIES 2003-43 CLASS EX 04.500% DUE 10/25/2017	1,642.130	102.40602	- 1,681.64	04/01/13	1.00	1,642.13	- 39.51

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FEDERAL NATL MTG ASSN SERIES 2003-43 CLASS EX 04.500% DUE 10/25/2017	1,641.130	102.40627	- 1,680.62	05/01/13	1.00	1,641.13	- 39.49
FEDERAL NATL MTG ASSN SERIES 2003-43 CLASS EX 04.500% DUE 10/25/2017	1,555.810	102.40646	- 1,593.25	06/01/13	1.00	1,555.81	- 37.44
FEDERAL NATL MTG ASSN SERIES 2003-43 CLASS EX 04.500% DUE 10/25/2017	13,922.640	102.40630	- 14,257.66	06/24/13	101.00	14,061.88	- 195.78
FEDERAL HOME LOAN MTG CORP SERIES 2574 CLASS JM 05.000% DUE 12/15/2022	777.230	105.87471	- 822.89	01/01/13	1.00	777.23	- 45.66
FEDERAL HOME LOAN MTG CORP SERIES 2574 CLASS JM 05.000% DUE 12/15/2022	906.390	105.87495	- 959.64	02/01/13	1.00	906.39	- 53.25
FEDERAL HOME LOAN MTG CORP SERIES 2574 CLASS JM 05.000% DUE 12/15/2022	821.180	105.87447	- 869.42	03/01/13	1.00	821.18	- 48.24
FEDERAL HOME LOAN MTG CORP SERIES 2574 CLASS JM 05.000% DUE 12/15/2022	775.660	105.87500	- 821.23	04/01/13	1.00	775.66	- 45.57
FEDERAL HOME LOAN MTG CORP SERIES 2574 CLASS JM 05.000% DUE 12/15/2022	722.990	105.87560	- 765.47	05/01/13	1.00	722.99	- 42.48
FEDERAL HOME LOAN MTG CORP SERIES 2574 CLASS JM 05.000% DUE 12/15/2022	655.610	105.87544	- 694.13	06/01/13	1.00	655.61	- 38.52
FEDERAL HOME LOAN MTG CORP SERIES 2574 CLASS JM 05.000% DUE 12/15/2022	738.440	105.87455	- 781.82	07/01/13	1.00	738.44	- 43.38
FEDERAL HOME LOAN MTG CORP SERIES 2574 CLASS JM 05.000% DUE 12/15/2022	628.880	105.87552	- 665.83	08/01/13	1.00	628.88	- 36.95

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FEDERAL HOME LOAN MTG CORP SERIES 2574 CLASS JM 05.000% DUE 12/15/2022	500.920	105.87519	- 530.35	09/01/13	1.00	500.92	- 29.43
FEDERAL HOME LOAN MTG CORP SERIES 2574 CLASS JM 05.000% DUE 12/15/2022	608.120	105.87549	- 643.85	10/01/13	1.00	608.12	- 35.73
FEDERAL HOME LOAN MTG CORP SERIES 2574 CLASS JM 05.000% DUE 12/15/2022	319.940	105.87610	- 338.74	11/01/13	1.00	319.94	- 18.80
FEDERAL HOME LOAN MTG CORP SERIES 2574 CLASS JM 05.000% DUE 12/15/2022	340.170	105.87353	- 360.15	12/01/13	1.00	340.17	- 19.98
FEDERAL HOME LN MTG CORP SERIES 2602 CLASS EU 05.000% DUE 04/15/2018	12.990	108.85296	- 14.14	11/01/13	1.00	12.99	- 1.15
FEDERAL HOME LN MTG CORP SERIES 2602 CLASS EU 05.000% DUE 04/15/2018	707.780	108.86010	- 770.49	12/01/13	1.00	707.78	- 62.71
FEDERAL NATL MTG ASSN SERIES 2005-79 CLASS LB 05.500% DUE 09/25/2035	65,000	111.81251	- 72,678.13	02/06/13	119.94	77,959.38	5,281.25
FEDERAL HOME LOAN MTG CORP SERIES 2717 CLASS HP 04.500% DUE 12/15/2013	1,213.230	102.07792	- 1,238.44	01/01/13	1.00	1,213.23	- 25.21
FEDERAL HOME LOAN MTG CORP SERIES 2717 CLASS HP 04.500% DUE 12/15/2013	1,107.130	102.07835	- 1,130.14	02/01/13	1.00	1,107.13	- 23.01
FEDERAL HOME LOAN MTG CORP SERIES 2717 CLASS HP 04.500% DUE 12/15/2013	1,144.100	102.07849	- 1,167.88	03/01/13	1.00	1,144.10	- 23.78
FEDERAL HOME LOAN MTG CORP SERIES 2717 CLASS HP 04.500% DUE 12/15/2013	937.520	102.07782	- 957.00	04/01/13	1.00	937.52	- 19.48

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FEDERAL HOME LOAN MTG CORP SERIES 2717 CLASS HP 04.500% DUE 12/15/2013	814.560	102.07842	- 831.49	05/01/13	1.00	814.56	- 16.93
FEDERAL HOME LOAN MTG CORP SERIES 2717 CLASS HP 04.500% DUE 12/15/2013	729.850	102.07851	- 745.02	06/01/13	1.00	729.85	- 15.17
FEDERAL HOME LOAN MTG CORP SERIES 2717 CLASS HP 04.500% DUE 12/15/2013	631.920	102.07779	- 645.05	07/01/13	1.00	631.92	- 13.13
FEDERAL HOME LOAN MTG CORP SERIES 2717 CLASS HP 04.500% DUE 12/15/2013	544.270	102.07801	- 555.58	08/01/13	1.00	544.27	- 11.31
FEDERAL HOME LOAN MTG CORP SERIES 2717 CLASS HP 04.500% DUE 12/15/2013	441.320	102.07786	- 450.49	09/01/13	1.00	441.32	- 9.17
FEDERAL HOME LOAN MTG CORP SERIES 2717 CLASS HP 04.500% DUE 12/15/2013	231.020	102.07774	- 235.82	10/01/13	1.00	231.02	- 4.80
FEDERAL HOME LOAN MTG CORP SERIES 2717 CLASS HP 04.500% DUE 12/15/2013	122.970	102.07368	- 125.52	11/01/13	1.00	122.97	- 2.55
FEDERAL HOME LOAN MTG CORP SERIES 2717 CLASS HP 04.500% DUE 12/15/2013	42.420	102.07449	- 43.30	12/01/13	1.00	42.42	- 0.88
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	1,186.390	84.50004	- 1,002.50	01/01/13	1.00	1,186.39	183.89
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	1,178.040	84.49968	- 995.44	02/01/13	1.00	1,178.04	182.60
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	1,148.940	84.49963	- 970.85	03/01/13	1.00	1,148.94	178.09

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FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	1,120.560	84.49971	- 946.87	04/01/13	1.00	1,120.56	173.69
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	1,092.860	84.50030	- 923.47	05/01/13	1.00	1,092.86	169.39
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	1,065.850	84.49970	- 900.64	06/01/13	1.00	1,065.85	165.21
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	1,039.490	84.50009	- 878.37	07/01/13	1.00	1,039.49	161.12
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	1,013.780	84.49960	- 856.64	08/01/13	1.00	1,013.78	157.14
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	988.690	84.49969	- 835.44	09/01/13	1.00	988.69	153.25
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	964.220	84.50043	- 814.77	10/01/13	1.00	964.22	149.45
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	940.340	84.50029	- 794.59	11/01/13	1.00	940.34	145.75
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	917.060	84.50047	- 774.92	12/01/13	1.00	917.06	142.14
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	598.860	89.00244	- 533.00	01/01/13	1.00	598.86	65.86
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	626.370	89.00171	- 557.48	02/01/13	1.00	626.37	68.89

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FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	395.540	89.00238	- 352.04	03/01/13	1.00	395.54	43.50
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	525.210	89.00249	- 467.45	04/01/13	1.00	525.21	57.76
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	380.430	89.00192	- 338.59	05/01/13	1.00	380.43	41.84
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	372.960	89.00150	- 331.94	06/01/13	1.00	372.96	41.02
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	405.230	89.00131	- 360.66	07/01/13	1.00	405.23	44.57
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	445.190	89.00245	- 396.23	08/01/13	1.00	445.19	48.96
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	261.860	89.00176	- 233.06	09/01/13	1.00	261.86	28.80
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	372.730	89.00276	- 331.74	10/01/13	1.00	372.73	40.99
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	342.920	89.00035	- 305.20	11/01/13	1.00	342.92	37.72
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	352.940	89.00096	- 314.12	12/01/13	1.00	352.94	38.82
FEDERAL HOME LN MTG CORP SERIES 2927 CLASS TA 04.500% DUE 01/15/2019	272.680	104.01570	- 283.63	01/01/13	1.00	272.68	- 10.95

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FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	574.710	85.24995	- 489.94	01/01/13	1.00	574.71	84.77
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	571.730	85.25003	- 487.40	02/01/13	1.00	571.73	84.33
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	734.580	85.25007	- 626.23	03/01/13	1.00	734.58	108.35
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	1,182.440	85.24999	- 1,008.03	04/01/13	1.00	1,182.44	174.41
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	507.760	85.25091	- 432.87	05/01/13	1.00	507.76	74.89
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	1,504.920	85.24971	- 1,282.94	06/01/13	1.00	1,504.92	221.98
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	238.460	85.25120	- 203.29	07/01/13	1.00	238.46	35.17
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	601.100	85.25037	- 512.44	08/01/13	1.00	601.10	88.66
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	882.010	85.24960	- 751.91	09/01/13	1.00	882.01	130.10
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	2,539.570	85.24987	- 2,164.98	10/01/13	1.00	2,539.57	374.59
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	391.930	85.24992	- 334.12	11/01/13	1.00	391.93	57.81

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FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	141.610	85.24822	- 120.72	12/01/13	1.00	141.61	20.89
FEDERAL NATL MTG ASSN SERIES 2008-72 CLASS BX 05.500% DUE 08/25/2038	1,068.090	110.29689	- 1,178.07	06/01/13	1.00	1,068.09	- 109.98
FEDERAL NATL MTG ASSN SERIES 2008-72 CLASS BX 05.500% DUE 08/25/2038	1,918.050	110.29692	- 2,115.55	07/01/13	1.00	1,918.05	- 197.50
FEDERAL NATL MTG ASSN SERIES 2008-72 CLASS BX 05.500% DUE 08/25/2038	1,965.390	110.29668	- 2,167.76	08/01/13	1.00	1,965.39	- 202.37
FEDERAL NATL MTG ASSN SERIES 2008-72 CLASS BX 05.500% DUE 08/25/2038	1,608.470	110.29674	- 1,774.09	09/01/13	1.00	1,608.47	- 165.62
FEDERAL NATL MTG ASSN SERIES 2008-72 CLASS BX 05.500% DUE 08/25/2038	1,335.880	110.29658	- 1,473.43	10/01/13	1.00	1,335.88	- 137.55
FEDERAL NATL MTG ASSN SERIES 2008-72 CLASS BX 05.500% DUE 08/25/2038	1,299.070	110.29660	- 1,432.83	11/01/13	1.00	1,299.07	- 133.76
FEDERAL NATL MTG ASSN SERIES 2008-72 CLASS BX 05.500% DUE 08/25/2038	939.560	110.29737	- 1,036.31	12/01/13	1.00	939.56	- 96.75
FEDERAL NATL MTG ASSN SERIES 2011-9 CLASS AV 05.000% DUE 04/25/2020	319.530	106.96961	- 341.80	01/01/13	1.00	319.53	- 22.27
FEDERAL NATL MTG ASSN SERIES 2011-9 CLASS AV 05.000% DUE 04/25/2020	320.860	106.96877	- 343.22	02/01/13	1.00	320.86	- 22.36
FEDERAL NATL MTG ASSN SERIES 2011-9 CLASS AV 05.000% DUE 04/25/2020	322.200	106.96772	- 344.65	03/01/13	1.00	322.20	- 22.45

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FEDERAL NATL MTG ASSN SERIES 2011-9 CLASS AV 05.000% DUE 04/25/2020	323.540	106.96977	- 346.09	04/01/13	1.00	323.54	- 22.55
FEDERAL NATL MTG ASSN SERIES 2011-9 CLASS AV 05.000% DUE 04/25/2020	324.890	106.96851	- 347.53	05/01/13	1.00	324.89	- 22.64
FEDERAL NATL MTG ASSN SERIES 2011-9 CLASS AV 05.000% DUE 04/25/2020	326.250	106.97011	- 348.99	06/01/13	1.00	326.25	- 22.74
FEDERAL NATL MTG ASSN SERIES 2011-9 CLASS AV 05.000% DUE 04/25/2020	327.610	106.96865	- 350.44	07/01/13	1.00	327.61	- 22.83
FEDERAL NATL MTG ASSN SERIES 2011-9 CLASS AV 05.000% DUE 04/25/2020	328.970	106.97024	- 351.90	08/01/13	1.00	328.97	- 22.93
FEDERAL NATL MTG ASSN SERIES 2011-9 CLASS AV 05.000% DUE 04/25/2020	330.340	106.96858	- 353.36	09/01/13	1.00	330.34	- 23.02
FEDERAL NATL MTG ASSN SERIES 2011-9 CLASS AV 05.000% DUE 04/25/2020	331.720	106.96973	- 354.84	10/01/13	1.00	331.72	- 23.12
FEDERAL NATL MTG ASSN SERIES 2011-9 CLASS AV 05.000% DUE 04/25/2020	333.100	106.96788	- 356.31	11/01/13	1.00	333.10	- 23.21
FEDERAL NATL MTG ASSN SERIES 2011-9 CLASS AV 05.000% DUE 04/25/2020	334.490	106.96882	- 357.80	12/01/13	1.00	334.49	- 23.31
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04.000% DUE 06/25/2041	2,346.990	105.62508	- 2,479.01	04/01/13	1.00	2,346.99	- 132.02
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04.000% DUE 06/25/2041	2,286.230	105.62498	- 2,414.83	05/01/13	1.00	2,286.23	- 128.60

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FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04.000% DUE 06/25/2041	2,421.730	105.62490	- 2,557.95	06/01/13	1.00	2,421.73	- 136.22
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04.000% DUE 06/25/2041	2,103.350	105.62484	- 2,221.66	07/01/13	1.00	2,103.35	- 118.31
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04.000% DUE 06/25/2041	2,191.980	105.62505	- 2,315.28	08/01/13	1.00	2,191.98	- 123.30
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04.000% DUE 06/25/2041	1,677.960	105.62528	- 1,772.35	09/01/13	1.00	1,677.96	- 94.39
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04.000% DUE 06/25/2041	1,338.220	105.62538	- 1,413.50	10/01/13	1.00	1,338.22	- 75.28
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04.000% DUE 06/25/2041	1,193.750	105.62513	- 1,260.90	11/01/13	1.00	1,193.75	- 67.15
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04.000% DUE 06/25/2041	1,092.040	105.62525	- 1,153.47	12/01/13	1.00	1,092.04	- 61.43
FEDERAL NATL MTG ASSN POOL #720070 05.500% DUE 07/01/2023	755.670	105.81206	- 799.59	12/31/12	1.00	755.67	- 43.92
FEDERAL NATL MTG ASSN POOL #720070 05.500% DUE 07/01/2023	1,186.640	105.81221	- 1,255.61	01/31/13	1.00	1,186.64	- 68.97
FEDERAL NATL MTG ASSN POOL #720070 05.500% DUE 07/01/2023	199.620	105.81104	- 211.22	02/28/13	1.00	199.62	- 11.60
FEDERAL NATL MTG ASSN POOL #720070 05.500% DUE 07/01/2023	2,554.170	105.81246	- 2,702.63	03/31/13	1.00	2,554.17	- 148.46

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FEDERAL NATL MTG ASSN POOL #720070 05.500% DUE 07/01/2023	689.960	105.81193	- 730.06	04/30/13	1.00	689.96	- 40.10
FEDERAL NATL MTG ASSN POOL #720070 05.500% DUE 07/01/2023	658.310	105.81337	- 696.58	05/31/13	1.00	658.31	- 38.27
FEDERAL NATL MTG ASSN POOL #720070 05.500% DUE 07/01/2023	712.730	105.81286	- 754.16	06/30/13	1.00	712.73	- 41.43
FEDERAL NATL MTG ASSN POOL #720070 05.500% DUE 07/01/2023	689.730	105.81242	- 729.82	07/31/13	1.00	689.73	- 40.09
FEDERAL NATL MTG ASSN POOL #720070 05.500% DUE 07/01/2023	175.160	105.81183	- 185.34	08/31/13	1.00	175.16	- 10.18
FEDERAL NATL MTG ASSN POOL #720070 05.500% DUE 07/01/2023	175.810	105.81309	- 186.03	09/30/13	1.00	175.81	- 10.22
FEDERAL NATL MTG ASSN POOL #720070 05.500% DUE 07/01/2023	177.030	105.81257	- 187.32	10/31/13	1.00	177.03	- 10.29
FEDERAL NATL MTG ASSN POOL #720070 05.500% DUE 07/01/2023	182.980	105.81484	- 193.62	11/30/13	1.00	182.98	- 10.64
FEDERAL NATL MTG ASSN POOL #725425 05.500% DUE 04/01/2034	1,537.730	108.71870	- 1,671.80	12/31/12	1.00	1,537.73	- 134.07
FEDERAL NATL MTG ASSN POOL #725425 05.500% DUE 04/01/2034	1,541.700	108.71895	- 1,676.12	01/31/13	1.00	1,541.70	- 134.42
FEDERAL NATL MTG ASSN POOL #725425 05.500% DUE 04/01/2034	1,474.380	108.71892	- 1,602.93	02/28/13	1.00	1,474.38	- 128.55

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Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL NATL MTG ASSN POOL #725425 05.500% DUE 04/01/2034	1,547.590	108.71872	- 1,682.52	03/31/13	1.00	1,547.59	- 134.93
FEDERAL NATL MTG ASSN POOL #725425 05.500% DUE 04/01/2034	1,327.020	108.71878	- 1,442.72	04/30/13	1.00	1,327.02	- 115.70
FEDERAL NATL MTG ASSN POOL #725425 05.500% DUE 04/01/2034	1,395.650	108.71852.	- 1,517.33	05/31/13	1.00	1,395.65	- 121.68
FEDERAL NATL MTG ASSN POOL #725425 05.500% DUE 04/01/2034	1,239.830	108.71894	- 1,347.93	06/30/13	1.00	1,239.83	- 108.10
FEDERAL NATL MTG ASSN POOL #725425 05.500% DUE 04/01/2034	1,232.600	108.71897	- 1,340.07	07/31/13	1.00	1,232.60	- 107.47
FEDERAL NATL MTG ASSN POOL #725425 05.500% DUE 04/01/2034	1,070.360	108.71856	- 1,163.68	08/31/13	1.00	1,070 36	- 93.32
FEDERAL NATL MTG ASSN POOL #725425 05.500% DUE 04/01/2034	867.410	108.71906	- 943.04	09/30/13	1.00	867.41	- 75.63
FEDERAL NATL MTG ASSN POOL #725425 05.500% DUE 04/01/2034	787.610	108.71878	- 856.28	10/31/13	1.00	787.61	- 68.67
FEDERAL NATL MTG ASSN POOL #725425 05.500% DUE 04/01/2034	616.890	108.71954	- 670.68	11/30/13	1.00	616.89	- 53.79
FEDERAL NATL MTG ASSN POOL # 725606 06.000% DUE 03/01/2018	1,250.620	107.68739	- 1,346.76	12/31/12	1.00	1,250.62	- 96.14
FEDERAL NATL MTG ASSN POOL # 725606 06.000% DUE 03/01/2018	1,179.340	107.68735	- 1,270.00	01/31/13	1.00	1,179.34	- 90.66

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FEDERAL NATL MTG ASSN POOL # 725606 06.000% DUE 03/01/2018	1,132.190	107.68776	- 1,219.23	02/28/13	1.00	1,132.19	- 87.04
FEDERAL NATL MTG ASSN POOL # 725606 06.000% DUE 03/01/2018	1,170.330	107.68758	- 1,260.30	03/31/13	1.00	1,170.33	- 89.97
FEDERAL NATL MTG ASSN POOL # 725606 06.000% DUE 03/01/2018	1,009.150	107.68766	- 1,086.73	04/30/13	1.00	1,009.15	- 77.58
FEDERAL NATL MTG ASSN POOL # 725606 06.000% DUE 03/01/2018	1,374.240	107.68716	- 1,479.88	05/31/13	1.00	1,374.24	- 105.64
FEDERAL NATL MTG ASSN POOL # 725606 06.000% DUE 03/01/2018	971.680	107.68772	- 1,046.38	06/30/13	1.00	971.68	- 74.70
FEDERAL NATL MTG ASSN POOL # 725606 06.000% DUE 03/01/2018	1,035.450	107.68748	- 1,115.05	07/31/13	1.00	1,035.45	- 79.60
FEDERAL NATL MTG ASSN POOL # 725606 06.000% DUE 03/01/2018	1,178.060	107.68721	- 1,268.62	08/31/13	1.00	1,178.06	- 90.56
FEDERAL NATL MTG ASSN POOL # 725606 06.000% DUE 03/01/2018	971.260	107.68795	- 1,045.93	09/30/13	1.00	971.26	- 74.67
FEDERAL NATL MTG ASSN POOL # 725606 06.000% DUE 03/01/2018	914.750	107.68735	- 985.07	10/31/13	1.00	914.75	- 70.32
FEDERAL NATL MTG ASSN POOL # 725606 06.000% DUE 03/01/2018	773.740	107.68734	- 833.22	11/30/13	1.00	773.74	- 59.48
FEDERAL NATL MTG ASSN POOL 735238 06.500% DUE 12/01/2019	874.050	107.75013	- 941.79	10/31/13	1.00	874.05	- 67.74

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Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL NATL MTG ASSN POOL 735238 06.500% DUE 12/01/2019	1,120.160	107.74979	- 1,206.97	11/30/13	1.00	1,120.16	- 86.81
FEDERAL NATL MTG ASSN POOL 735649 06.000% DUE 12/01/2032	1,154.380	110.12492	- 1,271.26	03/31/13	1.00	1,154.38	- 116.88
FEDERAL NATL MTG ASSN POOL 735649 06.000% DUE 12/01/2032	1,085.760	110.12471	- 1,195.69	04/30/13	1.00	1,085.76	- 109.93
FEDERAL NATL MTG ASSN POOL 735649 06.000% DUE 12/01/2032	802.730	110.12545	- 884.01	05/31/13	1.00	802.73	- 81.28
FEDERAL NATL MTG ASSN POOL 735649 06.000% DUE 12/01/2032	799.120	110.12489	- 880.03	06/30/13	1.00	799.12	- 80.91
FEDERAL NATL MTG ASSN POOL 735649 06.000% DUE 12/01/2032	1,328.090	110.12507	- 1,462.56	07/31/13	1.00	1,328.09	- 134.47
FEDERAL NATL MTG ASSN POOL 735649 06.000% DUE 12/01/2032	876.700	110.12547	- 965.47	08/31/13	1.00	876.70	- 88.77
FEDERAL NATL MTG ASSN POOL 735649 06.000% DUE 12/01/2032	812.850	110.12487	- 895.15	09/30/13	1.00	812.85	- 82.30
FEDERAL NATL MTG ASSN POOL 735649 06.000% DUE 12/01/2032	598.870	110.12574	- 659.51	10/31/13	1.00	598.87	- 60.64
FEDERAL NATL MTG ASSN POOL 735649 06.000% DUE 12/01/2032	548.880	110.12425	- 604.45	11/30/13	1.00	548.88	- 55.57
FEDERAL NATL MTG ASSN POOL #735734 05.500% DUE 07/01/2025	1,558.800	107.81242	- 1,680.58	12/31/12	1.00	1,558.80	- 121.78

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FEDERAL NATL MTG ASSN POOL #735734 05.500% DUE 07/01/2025	1,121.170	107.81237	- 1,208.76	01/31/13	1.00	1,121.17	- 87.59
FEDERAL NATL MTG ASSN POOL #735734 05.500% DUE 07/01/2025	913.530	107.81255	- 984.90	02/28/13	1.00	913.53	- 71.37
FEDERAL NATL MTG ASSN POOL #735734 05.500% DUE 07/01/2025	1,063.810	107.81249	- 1,146.92	03/31/13	1.00	1,063.81	- 83.11
FEDERAL NATL MTG ASSN POOL #735734 05.500% DUE 07/01/2025	913.150	107.81252	- 984.49	04/30/13	1.00	913.15	- 71.34
FEDERAL NATL MTG ASSN POOL #735734 05.500% DUE 07/01/2025	1,498.950	107.81280	- 1,616.06	05/31/13	1.00	1,498.95	- 117.11
FEDERAL NATL MTG ASSN POOL #735734 05.500% DUE 07/01/2025	1,264.460	107.81282	- 1,363.25	06/30/13	1.00	1,264.46	- 98.79
FEDERAL NATL MTG ASSN POOL #735734 05.500% DUE 07/01/2025	2,001.080	107.81228	- 2,157.41	07/31/13	1.00	2,001.08	- 156.33
FEDERAL NATL MTG ASSN POOL #735734 05.500% DUE 07/01/2025	817.150	107.81252	- 880.99	08/31/13	1.00	817.15	- 63.84
FEDERAL NATL MTG ASSN POOL #735734 05.500% DUE 07/01/2025	671.780	107.81208	- 724.26	09/30/13	1.00	671.78	- 52.48
FEDERAL NATL MTG ASSN POOL #735734 05.500% DUE 07/01/2025	793.120	107.81218	- 855.08	10/31/13	1.00	793.12	- 61.96
FEDERAL NATL MTG ASSN POOL #735734 05.500% DUE 07/01/2025	902.730	107.81297	- 973.26	11/30/13	1.00	902.73	- 70.53

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FEDERAL NATL MTG ASSN POOL #959738 06.000% DUE 11/01/2022	815.500	105.48498	- 860.23	12/31/12	1.00	815.50	- 44.73
FEDERAL NATL MTG ASSN POOL #959738 06.000% DUE 11/01/2022	191.580	105.48596	- 202.09	01/31/13	1.00	191.58	- 10.51
FEDERAL NATL MTG ASSN POOL #959738 06.000% DUE 11/01/2022	911.450	105.48467	- 961.44	02/28/13	1.00	911.45	- 49.99
FEDERAL NATL MTG ASSN POOL #959738 06.000% DUE 11/01/2022	430.320	105.48429	- 453.92	03/31/13	1.00	430.32	- 23.60
FEDERAL NATL MTG ASSN POOL #959738 06.000% DUE 11/01/2022	491.450	105.48377	- 518.40	04/30/13	1.00	491.45	- 26.95
FEDERAL NATL MTG ASSN POOL #959738 06.000% DUE 11/01/2022	946.970	105.48486	- 998.91	05/31/13	1.00	946.97	- 51.94
FEDERAL NATL MTG ASSN POOL #959738 06.000% DUE 11/01/2022	520.490	105.48522	- 549.04	06/30/13	1.00	520.49	- 28.55
FEDERAL NATL MTG ASSN POOL #959738 06.000% DUE 11/01/2022	490.960	105.48517	- 517.89	07/31/13	1.00	490.96	- 26.93
FEDERAL NATL MTG ASSN POOL #959738 06.000% DUE 11/01/2022	640.820	105.48360	- 675.96	08/31/13	1.00	640.82	- 35.14
FEDERAL NATL MTG ASSN POOL #959738 06.000% DUE 11/01/2022	578.380	105.48428	- 610.10	09/30/13	1.00	578.38	- 31.72
FEDERAL NATL MTG ASSN POOL #959738 06.000% DUE 11/01/2022	369.380	105.48487	- 389.64	10/31/13	1.00	369.38	- 20.26

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FEDERAL NATL MTG ASSN POOL #959738 06.000% DUE 11/01/2022	437.490	105.48355	- 461.48	11/30/13	1.00	437.49	- 23.99
FEDERAL NATL MTG ASSN POOL #984262 06.000% DUE 6/01/2023	602.420	105.57750	- 636.02	12/31/12	1.00	602.42	- 33.60
FEDERAL NATL MTG ASSN POOL #984262 06.000% DUE 6/01/2023	481.850	105.57850	- 508.73	01/31/13	1.00	481.85	- 26.88
FEDERAL NATL MTG ASSN POOL #984262 06.000% DUE 6/01/2023	408.470	105.57936	- 431.26	02/28/13	1.00	408.47	- 22.79
FEDERAL NATL MTG ASSN POOL #984262 06.000% DUE 6/01/2023	646.460	105.57807	- 682.52	03/31/13	1.00	646.46	- 36.06
FEDERAL NATL MTG ASSN POOL #984262 06.000% DUE 6/01/2023	404.250	105.57823	- 426.80	04/30/13	1.00	404.25	- 22.55
FEDERAL NATL MTG ASSN POOL #984262 06.000% DUE 6/01/2023	23,903.030	105.57808	- 25,236.36	06/03/13	113.53	27,137.41	1,901.05
FEDERAL NATL MTG ASSN POOL #984262 06.000% DUE 6/01/2023	594.050	105.57865	- 627.19	05/31/13	1.00	594.05	- 33.14
FEDERAL NATL MTG ASSN POOL #995160 05.000% DUE 09/01/2022	918.380	106.06285	- 974.06	07/31/13	1.00	918.38	- 55.68
FEDERAL NATL MTG ASSN POOL #995160 05.000% DUE 09/01/2022	942.230	106.06221	- 999.35	08/31/13	1.00	942.23	- 57.12
FEDERAL NATL MTG ASSN POOL #995160 05.000% DUE 09/01/2022	925.050	106.06238	- 981.13	09/30/13	1.00	925.05	- 56.08

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FEDERAL NATL MTG ASSN POOL #995160 05.000% DUE 09/01/2022	931.050	106.06305	- 987.50	10/31/13	1.00	931.05	- 56.45
FEDERAL NATL MTG ASSN POOL #995160 05.000% DUE 09/01/2022	798.470	106.06285	- 846 88	11/30/13	1.00	798.47	- 48.41
FEDERAL NATL MTG ASSN POOL #AD0250 05.500% DUE 04/01/2035	2,369.400	108.73428	- 2,576.35	12/31/12	1.00	2,369.40	- 206.95
FEDERAL NATL MTG ASSN POOL #AD0250 05.500% DUE 04/01/2035	1,690.130	108.73424	- 1,837.75	01/31/13	1.00	1,690.13	- 147.62
FEDERAL NATL MTG ASSN POOL #AD0250 05.500% DUE 04/01/2035	1,897.270	108.73466	- 2,062.99	02/28/13	1.00	1,897.27	- 165.72
FEDERAL NATL MTG ASSN POOL #AD0250 05.500% DUE 04/01/2035	1,650.820	108.73445	- 1,795.01	03/31/13	1.00	1,650.82	- 144.19
FEDERAL NATL MTG ASSN POOL #AD0250 05.500% DUE 04/01/2035	2,496.800	108.73438	- 2,714.88	04/30/13	1.00	2,496.80	- 218.08
FEDERAL NATL MTG ASSN POOL #AD0250 05.500% DUE 04/01/2035	1,611.760	108.73455	- 1,752.54	05/31/13	1.00	1,611.76	- 140.78
FEDERAL NATL MTG ASSN POOL #AD0250 05.500% DUE 04/01/2035	1,810.160	108.73459	- 1,968.27	06/30/13	1.00	1,810.16	- 158.11
FEDERAL NATL MTG ASSN POOL #AD0250 05.500% DUE 04/01/2035	1,496.390	108.73435	- 1,627.09	07/31/13	1.00	1,496.39	- 130.70
FEDERAL NATL MTG ASSN POOL #AD0250 05.500% DUE 04/01/2035	1,750.260	108.73413	- 1,903.13	08/31/13	1.00	1,750.26	- 152.87

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FEDERAL NATL MTG ASSN POOL #AD0250 05.500% DUE 04/01/2035	1,150.500	108.73446	- 1,250.99	09/30/13	1.00	1,150.50	- 100.49
FEDERAL NATL MTG ASSN POOL #AD0250 05.500% DUE 04/01/2035	684.280	108.73473	- 744.05	10/31/13	1.00	684.28	- 59.77
FEDERAL NATL MTG ASSN POOL #AD0250 05.500% DUE 04/01/2035	658.230	108.73403	- 715.72	11/30/13	1.00	658.23	- 57.49
FEDERAL NATL MTG ASSN POOL #AE0364 05.500% DUE 04/01/2037	1,244.090	107.93753	- 1,342.84	12/31/12	1.00	1,244.09	- 98.75
FEDERAL NATL MTG ASSN POOL #AE0364 05.500% DUE 04/01/2037	1,449.980	107.93735	- 1,565.07	01/31/13	1.00	1,449.98	- 115.09
FEDERAL NATL MTG ASSN POOL #AE0364 05.500% DUE 04/01/2037	1,075.110	107.93779	- 1,160.45	02/28/13	1.00	1,075.11	- 85.34
FEDERAL NATL MTG ASSN POOL #AE0364 05.500% DUE 04/01/2037	1,450.660	107.93777	- 1,565.81	03/31/13	1.00	1,450.66	- 115.15
FEDERAL NATL MTG ASSN POOL #AE0364 05.500% DUE 04/01/2037	1,127.960	107.93734	- 1,217.49	04/30/13	1.00	1,127.96	- 89.53
FEDERAL NATL MTG ASSN POOL #AE0364 05.500% DUE 04/01/2037	1,154.270	107.93748	- 1,245.89	05/31/13	1.00	1,154.27	- 91.62
FEDERAL NATL MTG ASSN POOL #AE0364 05.500% DUE 04/01/2037	1,068.310	107.93777	- 1,153.11	06/30/13	1.00	1,068.31	- 84.80
FEDERAL NATL MTG ASSN POOL #AE0364 05.500% DUE 04/01/2037	834.160	107.93733	- 900.37	07/31/13	1.00	834.16	- 66.21

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January 1, 2013 - December 31, 2013

Detail

Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL NATL MTG ASSN POOL #AE0364 05.500% DUE 04/01/2037	721.510	107.93752	- 778.78	08/31/13	1.00	721.51	- 57.27
FEDERAL NATL MTG ASSN POOL #AE0364 05.500% DUE 04/01/2037	663.880	107.93818	- 716.58	09/30/13	1.00	663.88	- 52.70
FEDERAL NATL MTG ASSN POOL #AE0364 05.500% DUE 04/01/2037	534.820	107.93725	- 577.27	10/31/13	1.00	534.82	- 42.45
FEDERAL NATL MTG ASSN POOL #AE0364 05.500% DUE 04/01/2037	568.900	107.93813	- 614.06	11/30/13	1.00	568.90	- 45.16
FEDERAL NATL MTG ASSN POOL #AE0616 06.000% DUE 03/01/2040	822.900	110.10937	- 906.09	03/31/13	1.00	822.90	- 83.19
FEDERAL NATL MTG ASSN POOL #AE0616 06.000% DUE 03/01/2040	628.410	110.10964	- 691.94	04/30/13	1.00	628.41	- 63.53
FEDERAL NATL MTG ASSN POOL #AE0616 06.000% DUE 03/01/2040	793.590	110.10975	- 873.82	05/31/13	1.00	793.59	- 80.23
FEDERAL NATL MTG ASSN POOL #AE0616 06.000% DUE 03/01/2040	679.260	110.10953	- 747.93	06/30/13	1.00	679.26	- 68.67
FEDERAL NATL MTG ASSN POOL #AE0616 06.000% DUE 03/01/2040	664.980	110.11008	- 732.21	07/31/13	1.00	664.98	- 67.23
FEDERAL NATL MTG ASSN POOL #AE0616 06.000% DUE 03/01/2040	644.100	110.10868	- 709.21	08/31/13	1.00	644.10	- 65.11
FEDERAL NATL MTG ASSN POOL #AE0616 06.000% DUE 03/01/2040	12,124.918	110.10936	- 13,350.67	09/26/13	109.41	13,266.37	- 84.30

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2013 - December 31, 2013

Detail

Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL NATL MTG ASSN POOL #AE0616 06.000% DUE 03/01/2040	490.104	110.10928	- 539.65	09/30/13	1.00	490.10	- 49.55
FEDERAL NATL MTG ASSN POOL #AE0671 05.500% DUE 09/01/2036	1,524.150	109.95309	- 1,675.85	12/31/12	1.00	1,524.15	- 151.70
FEDERAL NATL MTG ASSN POOL #AE0671 05.500% DUE 09/01/2036	1,556.520	109.95297	- 1,711.44	01/31/13	1.00	1,556.52	- 154.92
FEDERAL NATL MTG ASSN POOL #AE0671 05.500% DUE 09/01/2036	1,551.850	109.95328	- 1,706.31	02/28/13	1.00	1,551.85	- 154.46
FEDERAL NATL MTG ASSN POOL #AE0671 05.500% DUE 09/01/2036	1,380.500	109.95292	- 1,517.90	03/31/13	1.00	1,380.50	- 137.40
FEDERAL NATL MTG ASSN POOL #AE0671 05.500% DUE 09/01/2036	1,213.700	109.95304	- 1,334.50	04/30/13	1.00	1,213.70	- 120.80
FEDERAL NATL MTG ASSN POOL #AE0671 05.500% DUE 09/01/2036	1,567.830	109.95325	- 1,723.88	05/31/13	1.00	1,567.83	- 156.05
FEDERAL NATL MTG ASSN POOL #AE0671 05.500% DUE 09/01/2036	1,165.080	109.95296	- 1,281.04	06/30/13	1.00	1,165.08	- 115.96
FEDERAL NATL MTG ASSN POOL #AE0671 05.500% DUE 09/01/2036	1,098.070	109.95292	- 1,207.36	07/31/13	1.00	1,098.07	- 109.29
FEDERAL NATL MTG ASSN POOL #AE0671 05.500% DUE 09/01/2036	995.500	109.95279	- 1,094.58	08/31/13	1.00	995.50	- 99.08
FEDERAL NATL MTG ASSN POOL #AE0671 05.500% DUE 09/01/2036	1,090.270	109.95350	- 1,198.79	09/30/13	1.00	1,090.27	- 108.52

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2013 - December 31, 2013

Detail

Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL NATL MTG ASSN POOL #AE0671 05.500% DUE 09/01/2036	812.760	109.95374	- 893.66	10/31/13	1.00	812.76	- 80.90
FEDERAL NATL MTG ASSN POOL #AE0671 05.500% DUE 09/01/2036	578.600	109.95334	- 636.19	11/30/13	1.00	578.60	- 57.59
GOVT NATL MTG ASSN I POOL #569433 06.000% DUE 05/15/2017	379.480	107.49974	- 407.94	12/31/12	1.00	379.48	- 28.46
GOVT NATL MTG ASSN I POOL #569433 06.000% DUE 05/15/2017	381.500	107.49934	- 410.11	01/31/13	1.00	381.50	- 28.61
GOVT NATL MTG ASSN I POOL #569433 06.000% DUE 05/15/2017	382.360	107.50078	- 411.04	02/28/13	1.00	382.36	- 28.68
GOVT NATL MTG ASSN I POOL #569433 06.000% DUE 05/15/2017	387.780	107.49910	- 416.86	03/31/13	1.00	387.78	- 29.08
GOVT NATL MTG ASSN I POOL #569433 06.000% DUE 05/15/2017	406.480	107.50098	- 436.97	04/30/13	1.00	406.48	- 30.49
GOVT NATL MTG ASSN I POOL #569433 06.000% DUE 05/15/2017	395.760	107.49949	- 425.44	05/31/13	1.00	395.76	- 29.68
GOVT NATL MTG ASSN I POOL #569433 06.000% DUE 05/15/2017	384.170	107.49928	- 412.98	06/30/13	1.00	384.17	- 28.81
GOVT NATL MTG ASSN I POOL #569433 06.000% DUE 05/15/2017	965.930	107.49951	- 1,038.37	07/31/13	1.00	965.93	- 72.44
GOVT NATL MTG ASSN I POOL #569433 06.000% DUE 05/15/2017	569	107.50088	- 611.68	08/31/13	1.00	569.00	- 42.68

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2013 - December 31, 2013

Detail

Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
GOVT NATL MTG ASSN I POOL #569433 06.000% DUE 05/15/2017	384.420	107.49961	- 413.25	09/30/13	1.00	384.42	- 28.83
GOVT NATL MTG ASSN I POOL #569433 06.000% DUE 05/15/2017	382.070	107.50124	- 410.73	10/31/13	1.00	382.07	- 28.66
GOVT NATL MTG ASSN I POOL #569433 06.000% DUE 05/15/2017	453.550	107.50083	- 487.57	11/30/13	1.00	453.55	- 34.02
GOVT NATL MTG ASSN SERIES 2010-4 CLASS QJ 05.000% DUE 01/16/2040	100,000	101.93750	- 101,937.50	02/06/13	119.19	119,187.50	17,250.00
USA TREASURY BD 07.500% DUE 11/15/2024	20,000	148.21195	- 29,642.39	09/27/13	145.86	29,171.09	- 471.30
USA TREASURY BOND 06.875% DUE 08/15/2025	10,000	150.63100	- 15,063.10	02/26/13	151.72	15,172.27	109.17
USA TREASURY BD 05.375% DUE 02/15/2031	50,000	121.86070	- 60,930.35	10/23/13	129.17	64,585.94	3,655.59
USA TREASURY NOTE 04.500% DUE 02/15/2036	10,000	125.89240	- 12,589.24	03/27/13	127.61	12,760.94	171.70
Total			-$1,413,618.14			$1,419,753.20	$6,135.06

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN, INC.-BLACKROCK
CUSTODY STATEMENT
Account number 21-75-080-3611753
December 31, 2012 - July 31, 2013

Detail

Realized gain/loss detail

Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
BLACKROCK CAPITAL APPRECIATION FUND INSTITUTIONAL CL FUND #388	46,171.604	$24.46201	- $1,129,450.33	03/26/13	$25.99	$1,200,000.00	$70,549.67
BLACKROCK CAPITAL APPRECIATION FUND INSTITUTIONAL CL FUND #388	47,664.214	24.46201	- 1,165,962.57	04/04/13	25.89	1,234,026.50	68,063.93
Total			$2,295,412.90			$2,434,026.50	$138,613.60

PNC INSTITUTIONAL INVESTMENTS

LG&E KU FDN, INC. HARBOR
CUSTODY STATEMENT
Account number 21-75-080-4780426
January 1, 2013 - December 31, 2013

Detail

Realized gain/loss detail

Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
HARBOR INTERNATIONAL FUND CLASS INS FD # 2011	1,526.252	$55.33668	- $84,457.72	06/17/13	$65.52	$100,000.00	$15,542.28
HARBOR INTERNATIONAL FUND CLASS INS FD # 2011	7.734	55.33618	- 427 97	07/12/13	64.65	500.00	72.03
HARBOR INTERNATIONAL FUND CLASS INS FD # 2011	1,433.486	55 33668	- 79,324.36	11/08/13	69.76	100,000.00	20,675.64
Total			**- $164,210.05**			**$200,500.00**	**$36,289.95**

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN VANGUARD GROWTH
CUSTODY STATEMENT

Account number 21-75-080-4768868
March 25, 2013 - December 31, 2013

Detail

Other disbursements

Activity	Description	Post date	Quantity	Amount per unit	Cash	Original value at PNC / Market value
Total other disbursements					- $296,552.50	
Total disbursements					- $5,569,332.28	$3,772,216.77 $3,772,216.77
Ending cash balance					$0.00	
Change in cash					-	
Net gain/loss on current holdings						$214,482.25
Ending balances						$1,053,686.20 $1,268,168.45

Realized gain/loss detail

Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
VANGUARD GROWTH INDEX FUND # 1347 SIGNAL CLASS	1,888.822	$36.76418	- $69,441.00	05/17/13	$39 25	$74,136.25	$4,695 25
VANGUARD GROWTH INDEX FUND # 1347 SIGNAL CLASS	12.765	36.78496	- 469.56	07/12/13	39.17	500 00	30 44
VANGUARD GROWTH INDEX FUND # 1347 SIGNAL CLASS	1,884.596	36.78486	- 69,324.60	09/05/13	39.34	74,140.00	4,815.40

PNC INSTITUTIONAL INVESTMENTS

LG&E AND KU FDN VANGUARD GROWTH
CUSTODY STATEMENT

Account number 21-75-080-4768868
March 25, 2013 - December 31, 2013

Detail

Description	Quantity	Avg. original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
VANGUARD GROWTH INDEX FUND # 1347 SIGNAL CLASS	1.256	36.79936	- 46.22	10/15/13	40.61	51.00	4.78
VANGUARD GROWTH INDEX FUND # 1347 SIGNAL CLASS	16,674.607	36.79776	- 613,588.18	11/11/13	41.98	700,000 00	86,411 82
VANGUARD GROWTH INDEX FUND # 1347 SIGNAL CLASS	19,070.322	36.79776	- 701,745.13	11/12/13	41.95	800,000 00	98,254.87
VANGUARD GROWTH INDEX FUND # 1347 SIGNAL CLASS	1,730.538	36.79776	- 63,679.92	12/16/13	42.84	74,136.25	10,456 33
Total			**- $1,518,294.61**			**$1,722,963.50**	**$204,668.89**