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Form 990-PF



Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

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OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation Frank E Duckwall Foundation		<b>A Employer identification number</b>  59-6773462	
Number and street (or P O box number if mail is not delivered to street address) PO Box 3351		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code Tampa, FL 33601		<b>B Telephone number</b> (see instructions)  (813) 634-4172	
<b>G</b> Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		<b>C</b> If exemption application is pending, check here ▶ <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>D 1.</b> Foreign organizations, check here ▶ <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 8,381,017		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments	126,307	126,307		
	4 Dividends and interest from securities. . . . .	142,761	142,761		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	368,213			
	b Gross sales price for all assets on line 6a 2,701,079				
	7 Capital gain net income (from Part IV, line 2) . . .		368,213		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	637,281	637,281		
	13 Compensation of officers, directors, trustees, etc	24,000	12,000		12,000
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	 5,225			
	c Other professional fees (attach schedule) . . . . .	 50,808	50,808		
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions)	 1,535	1,535		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .	7,375			7,375
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	 12,142			12,142
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	101,085	64,343		31,517
	25 Contributions, gifts, grants paid . . . . .	440,628			440,628
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	541,713	64,343		472,145
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	95,568			
	b <b>Net investment income</b> (if negative, enter -0-)		572,938		
	c <b>Adjusted net income</b> (if negative, enter -0-) . . .				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	76,734	184,768	184,768
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	3,382,808	3,387,137	4,931,789
	c	Investments—corporate bonds (attach schedule). . . . .	3,233,812	3,214,732	3,264,460
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	6,693,354	6,786,637	8,381,017	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	6,693,354	6,786,637	
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	6,693,354	6,786,637	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	6,693,354	6,786,637	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	6,693,354
2	Enter amount from Part I, line 27a . . . . .	2	95,568
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	6,788,922
5	Decreases not included in line 2 (itemize) ▶ _____	5	2,285
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	6,786,637

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	368,213
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))	
2012	457,066	7,707,370	0 05930	
2011	341,475	7,461,051	0 04577	
2010	378,269	7,093,329	0 05333	
2009	391,790	6,722,903	0 05828	
2008	472,817	8,879,444	0 05325	
2	Total of line 1, column (d). . . . .		2	0 26992
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .		3	0 05398
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .		4	8,048,541
5	Multiply line 4 by line 3. . . . .		5	434,492
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .		6	5,729
7	Add lines 5 and 6. . . . .		7	440,221
8	Enter qualifying distributions from Part XII, line 4. . . . .		8	472,145
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions				

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)					
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	5,729		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)					
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		2			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)					
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)				2	
3 Add lines 1 and 2. . . . .				3	5,729
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4			
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	5,729		
6 Credits/Payments		7	6,400		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a			6,400	
b Exempt foreign organizations—tax withheld at source . . . . .	6b				
c Tax paid with application for extension of time to file (Form 8868)	6c				
d Backup withholding erroneously withheld . . . . .	6d				
7 Total credits and payments Add lines 6a through 6d. . . . .		7	6,400		
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	671		
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 671 Refunded		11			

Part VII-A Statements Regarding Activities				
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?. . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?. . . . .	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶Sandra Tolle Telephone no ▶(813) 634-4172 Located at ▶2914 Ponderosa Trail Wimauma FL ZIP +4 ▶335987519			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?. . . . .	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	2b		No
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> ). . . . .	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		No
	Organizations relying on a current notice regarding disaster assistance check here.			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Frank J Rief III	Trustee	12,000		
2611 Bayshore Blvd 907 Tampa, FL 336297433	1 00			
G Lowe Morrison	Trustee	12,000		
PO Box 49942 Sarasota, FL 34230	1 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . .		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . .	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	7,967,094
b	Average of monthly cash balances. . . . .	1b	204,014
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	8,171,108
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	8,171,108
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	122,567
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	8,048,541
6	Minimum investment return. Enter 5% of line 5. . . . .	6	402,427

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	402,427
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	5,729
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	5,729
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	396,698
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	396,698
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	396,698

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	472,145
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	472,145
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	5,729
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	466,416
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				396,698
2	Undistributed income, if any, as of the end of 2013				
a	Enter amount for 2012 only. . . . .				
b	Total for prior years 20____, 20____, 20____				
3	Excess distributions carryover, if any, to 2013				
a	From 2008. . . . .				34,147
b	From 2009. . . . .				57,425
c	From 2010. . . . .				31,381
d	From 2011. . . . .				
e	From 2012. . . . .				77,918
f	Total of lines 3a through e. . . . .	200,871			
4	Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 472,145				
a	Applied to 2012, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c	Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
d	Applied to 2013 distributable amount. . . . .				396,698
e	Remaining amount distributed out of corpus	75,447			
5	Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6	Enter the net total of each column as indicated below:				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	276,318			
b	Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d	Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e	Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	34,147			
9	Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	242,171			
10	Analysis of line 9				
a	Excess from 2009. . . .				57,425
b	Excess from 2010. . . .				31,381
c	Excess from 2011. . . .				
d	Excess from 2012. . . .				77,918
e	Excess from 2013. . . .				75,447

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Sandra Tolle  
PO Box 3351  
Tampa, FL 336013351  
(813) 634-4172

b

The form in which applications should be submitted and information and materials they should include

Application explaining need and intended use

c

Any submission deadlines

None

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Charitable, Medical, Cultural, Educational, Literacy & Scientific Purposes in the Tampa Bay, Florida area

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<div>a</div> <div>Paid during the year</div> <div>See Additional Data TableSee Additional Data Table</div>				
<div>Total . . . . .</div>			<div>▶ 3a</div>	440,628
<div>b</div> <div>Approved for future payment</div>				
<div>Total . . . . .</div>			<div>▶ 3b</div>	


Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes


Form **990-PF** (2013)




Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
The Chisellers Inc 801 S Orleans Avenue Tampa,FL 33606	N/A	Pub char	Ongoing support	2,500
Community Foundation of Tampa Bay Inc 550 N Reo Street Suite 301 Tampa,FL 33609	N/A	Pub char	Ongoing commitment	10,000
Junior League of Tampa 87 Columbia Drive Tampa,FL 33606	N/A	Pub char	Funding for Children Literacy Project	5,000
H Lee Moffitt Cancer Center 12902 Magnolia Drive Tampa,FL 33612	N/A	Pub char	Summer student intern program	25,000
Museum of Fine Arts 255 Beach Drive NE St Petersburg,FL 33701	N/A	Pub char	Funding for holiday lights	1,500
Tampa Bay Watch 3000 Pinellas Bayway South Tierra Verde,FL 33715	N/A	Pub char	Annual Tarpon Rodeo	5,000
Tampa Bay History Center 801 Old Water Street Tampa,FL 33602	N/A	Pub char	Ongoing commitment for capital campaign	85,000
Henry B Plant Museum 401 W Kennedy Blvd Tampa,FL 33606	N/A	Pub char	Funding to update Doris Weatherford's "Real Women" book	3,500
St Josephs Hospitals Foundation 2700 W MLK Jr Blvd Ste 310 Tampa,FL 33607	N/A	Pub char	Sponsorhip of Golf Classic	2,600
Meals on Wheels 550 W Hillsborough Avenue Tampa,FL 33603	N/A	Pub char	Ongoing support	3,000
Trail of Floridas Indian Heritage PO Box 778 Dunedin,FL 34697	N/A	Pub char	Printing of 7th edition of the Trail brochure	5,000
Society of St Andrew PO Box 536842 Orlando,FL 32853	N/A	Pub char	Gleaning network and potato project in Hillsborough and Pinellas counties	5,000
Mote Marine Laboratory 1600 Ken Thompson Parkway Sarasota,FL 34236	N/A	Pub char	To renovate the Marine's Education Resource Center to add additional classrooms	15,000
The Dali Museum One Dali Blvd St Petersburg,FL 33701	N/A	Pub Char	Challenge grant - 3rd installment	50,000
MORE HEALTH Inc 3821 Henderson Blvd Tampa,FL 33629	N/A	Pub Char	To support the Nutrition Continuum	5,000
<b>Total . . . . .</b>  <b>3a</b>				440,628

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Tampa Bay Watch 3000 Pinellas Bayway South Tierra Verde,FL 33715	N/A	Pub Char	Funding to be used for Bay Tank Aquarium Display	5,000
Community Foundation of Tampa Bay I 550 N Reo Street Suite 301 Tampa,FL 33609	N/A	Pub Char	Funding for development of the Community Platform for the website	10,000
Ruth Eckerd Hall 1111 McMullen Booth Road Clearwater,FL 33759	N/A	Pub Char	Funding for its 2014 Annual membership at the Dress Circle level	1,500
Academy Prep Center of Tampa 1407 E Columbus Drive Tampa,FL 33605	N/A	Pub Char	Funding to be used to purchase locks for the doors and installing adequate lighting to increase security	5,000
Frameworks of Tampa Bay Inc 402 East Oak Avenue Tampa,FL 33602	N/A	Pub Char	Funding to be used to purchase a laptop computer, camcorder and digital camera	3,928
Tampa Theatre 711 North Franklin Street Tampa,FL 33602	N/A	Pub Char	Funding to be used to upgrade film presentation technology to industry compliant digital cinema projection equipment	10,000
The Children's Home Inc 10909 Memorial Highway Tampa,FL 33615	N/A	Pub Char	Funding to be used to repair the Boardwalk for Davis Elementary	5,000
Westcoast Black Theatre Troupe PO Box 1086 Sarasota,FL 34230	N/A	Pub Char	Funding to purchase theatre building	25,000
Sarasota Military Academy 801 N Orange Avenue Sarasota,FL 34236	N/A	Pub Char	Funding to purchase two active boards to assist in outfitting the new classroom building	6,200
The Hermitage Artist Retreat 6660 Manasota Key Road Englewood,FL 34223	N/A	Pub Char	Funding to underwrite the costs for the stay of a finalist for the Greenfield Prize Award at The Hermitage	5,000
Make A Difference Media Inc 140 Island Way 259 Clearwater,FL 33767	N/A	Pub Char	Funding for 2014 Festival/Summit in St Petersburg	25,000
Tampa Bay History Center 801 Old Water Street Tampa,FL 33602	N/A	Pub Char	Funding to be used for Map Collection show	50,000
Florida Museum of Photographic Arts 400 North Ashley Drive Cube 200 Tampa,FL 33602	N/A	Pub Char	Funding to purchase equipment	4,400
Tampa Bay Times NIE 490 First Avenue South St Petersburg,FL 33701	N/A	Pub Char	Funding to be used toward the Newspaper in Education program	2,500
Tampa General Hospital PO Box 1289 Tampa,FL 33601	N/A	Pub Char	Funding to assist in the remodeling and renovation of two Davis Islands homes to convert into transplant houses	10,000
<b>Total . . . . .</b>  <b>3a</b>				440,628

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Critter Adoption Rescue Effort Inc 1528 27th Street SE Ruskin,FL 33570	N/A	Pub Char	Funding for the large dog kennel renovation project	2,000
Community Stepping Stones Inc 1101 E River Cove Street Tampa,FL 33604	N/A	Pub Char	Funding to purchase artwork entitled "Mann Wagnon Memorial Park on the Hillsborough River"	4,500
Connected By 25 405 East Palm Avenue Tampa,FL 33602	N/A	Pub Char	Funding to be used to purchase additional computers and technology upgrades for adult basic education and GED curriculum	5,000
Science Technology Education Innovation 7701 22nd Avenue North St Petersburg,FL 33710	N/A	Pub Char	Funding to be used to update bathrooms	5,000
Foundation for Dreams Inc 16110 Dream Oaks Place Bradenton,FL 34212	N/A	Pub Char	Funding to be used for roof repairs for cabins	7,500
ALPHA House of Pinellas County 701 5th Avenue North St Peterburg,FL 33701	N/A	Pub Char	Funding to be used to pay for the training of staff members as mandated by DCF for licensure	4,000
American Stage PO Box 1560 St Petersburg,FL 33731	N/A	Pub Char	Funding to cover the expenses of a new roof and exterior painting for the actor housing complex	5,000
Lighthouse of Pinellas 6925 112th Circle N Suite 103 Largo,FL 33773	N/A	Pub Char	Funding to purchase equipment to upgrade lab	5,000
Community Foundation of Tampa Bay Inc 550 N Reo Street Suite 301 Tampa,FL 33609	N/A	Pub Char	Funding for the Lewis Abraham Lacoochee Boys & Girls Club and endowment for the Home Association's agency	8,000
Chapters Health System 12973 N Telecom Parkway Suite 100 Temple Terrace,FL 33637	N/A	Pub Char	Funding for Lifepath Hospice care in Hillsborough County	3,000
<b>Total . . . . .</b>  <b>3a</b>				440,628

## TY 2013 Accounting Fees Schedule

**Name:** Frank E Duckwall Foundation

**EIN:** 59-6773462

**Software ID:** 13000170

**Software Version:** 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting Fees	5,225	0	0	0

TY 2013 Investments Corporate

Bonds Schedule

**Name:** Frank E Duckwall Foundation

**EIN:** 59-6773462

**Software ID:** 13000170

**Software Version:** 2013v3.1

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Federal Home Loan Mtg Note 3% 8/15/25		
Federal Home Loan Mtg Corp 2% 9/9/25		
Emerson Electric 4.875% 10/15/19	196,800	223,752
General Electric Cap 5.65% 6/9/14	203,416	204,528
Goldman Sachs Grp Inc 4.75% 7/15/13		
JP Morgan Chase 4.65% 6/1/14	199,920	203,502
Pitney Bowes Inc 5% 3/15/15	202,058	208,208
SBC Communications Inc 5.625% 6/15/16	204,950	220,716
Verizon Communications 5.5% 4/1/17	204,836	225,466
Wells Fargo & Co 3.75% 10/1/14	206,315	205,096
AT&T Inc Gbl Nt 4.45% 5/15/21	204,667	210,566
Allergan Inc Notes 3.375% 9/15/20	209,507	204,568
BMO Bank of Montreal 2.5% 1/11/17	202,239	206,018
Goldman Sachs Group Inc 4% 8/3/27		
Federal National Mortgage3/29/2027 2.25%		
Federal National Mortgage 2/8/2027 2.25%		
Federal National Mortgage 4/19/2027 3%		
Goldman Sachs Group Inc. 3% 4/29/28	148,875	130,068
Hewlett-Packward Co Nt 3% 9/15/16	207,507	208,044
Morgan Stanley Float Nt 2.7366% 8/31/17	209,007	207,664
Oracle Corp Nt 2.375% 1/15/19	201,821	201,790
Pepsico Inc Nt 2.25% 1/7/19	200,637	200,596
Western Union Co Nt 3.65% 8/22/18	212,177	203,878

TY 2013 Investments Corporate

Stock Schedule

Name:

Frank E Duckwall Foundation

EIN:

59-6773462

Software ID:

13000170

Software Version:

2013v3.1

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3M Co	65,936	161,287
Abbott Laboratories		
Air Products And Chemicals		
AT&T Inc	61,871	86,142
Chevron Corp	108,797	187,365
Duke Energy Corp	95,339	156,377
Health Care Reit Inc	79,501	139,282
Intel Corp	137,175	199,854
International Business Machines Corp	60,826	79,717
Johnson & Johnson	88,067	141,964
McDonalds Corp	86,459	143,119
Microsoft Corp	132,985	209,496
Paychex Inc	97,461	161,632
Pepsico Inc	96,811	153,439
Rayonier Inc	76,408	113,670
Spectra Energy Corp	93,612	190,567
Sysco Corp		
United Technologies Corp	57,149	130,870
Waste Management Inc		
Novartis Ag Adr	110,786	184,874
United Parcel Service	66,642	120,842
Royal Dutch Shell Plc Adr	191,896	202,797
BB&T Corp	109,460	151,146
Bank of Montreal		
Blackrock Inc	85,318	166,147
Mattel Inc	82,598	123,708
Target Corp	149,614	145,521
VF Corp	74,259	124,680
General Mills Inc.	104,936	138,500
Baxter International Inc	122,219	142,577

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Cisco Systems Inc	131,937	153,085
Du Pont E I De Nemours & Co	139,056	181,916
Vodafone Group Plc	101,418	147,413
Unilever Plc Sponsored	164,693	168,920
Wells Fargo & Co	142,881	152,998
Abbvie Inc Com	105,883	174,273
General Electric Company	165,144	197,611

**TY 2013 Other Decreases Schedule****Name:** Frank E Duckwall Foundation**EIN:** 59-6773462**Software ID:** 13000170**Software Version:** 2013v3.1

Description	Amount
Book/Tax Differences	424
Estimated Tax Payments	1,861

**TY 2013 Other Expenses Schedule****Name:** Frank E Duckwall Foundation**EIN:** 59-6773462**Software ID:** 13000170**Software Version:** 2013v3.1

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	10,056			10,056
Agent Fees	86			86
Dues	2,000			2,000

**TY 2013 Other Professional Fees Schedule****Name:** Frank E Duckwall Foundation**EIN:** 59-6773462**Software ID:** 13000170**Software Version:** 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Mgmt Fees	50,808	50,808	0	0

**TY 2013 Taxes Schedule****Name:** Frank E Duckwall Foundation**EIN:** 59-6773462**Software ID:** 13000170**Software Version:** 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Foreign Taxes	1,535	1,535		