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Form **990-PF**Department of the Treasury

Internal Revenue Service

S

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.
 Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

and ending For calendar year 2013 or tax year beginning A Employer identification number Name of foundation 59-3650218 THE JOANNE & JOHN DALLEPEZZE FOUNDATION Room/suite B Telephone number Number and street (or P O box number if mail is not delivered to street address) 1701 239-331-3243 81 SEAGATE DRIVE City or town, state or province, country, and ZIP or foreign postal code C If exemption application is pending, check here 34103 NAPLES, FL mital return of a former public cliarity D 1. Foreign organizations, check here G Check all that apply: Initial return Final return Foreign organizations meeting the 85% test, check here and attach computation Name change Address change H Check type of organization: Section 501(c)(3) exempt private foundation E If private foundation status was terminated Other taxable private foundation under section 507(b)(1)(A), check here Section 4947(a)(1) nonexempt charitable trust X Cash Accrual I Fair market value of all assets at end of year J Accounting method: F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here (from Part II, col. (c), line 16) Other (specify) (Part I, column (d) must be on cash basis.) ▶\$ 4,028,501. Part | Analysis of Revenue and Expenses (d) Disbursements for charitable purposes (c) Adjusted net (b) Net investment (a) Revenue and (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) expenses per books income income (cash basis only) N/A Contributions, gifts, grants, etc., received Check X if the foundation is not required to attach Sch B Interest on savings and temporary cash investments 109,285 109,285 STATEMENT 4 Dividends and interest from securities 5a Gross rents b Net rental income or (loss) STATEMENT 53,795 6a Net gain or (loss) from sale of assets not on line 10 1,234,468. 55,382 Capital gain net income (from Part IV, line 2) 8 Net short-term capital gain Income modifications 10a Gross sales less returns and allowances b Less Cost of goods sold c Gross profit or (loss) 11 Other income 164,667 163,080 12 Total. Add lines 1 through 11 0. Compensation of officers, directors, trustees, etc Other employee salaries and wages 14 15 Pension plans, employee benefits Expenses 16a Legal fees **b** Accounting fees 760. 760 0 . STMT 3 c Other professional fees Administrative 17 Interest 7,501 0. 7,501 STMT 4 18 Taxes 19 Depreciation and depletion 20 Occupancy 3,419. 3,419 0 21 Travel, conferences, and meetings 22 Printing and publications 23 Other expenses 24 Total operating and administrative 4,179. 11,680 7,501 expenses. Add lines 13 through 23 603,455 25 603,455 Contributions, gifts, grants paid 26 Total expenses and disbursements. 607,634 615,135 7,501 Add lines 24 and 25 27 Subtract line 26 from line 12: <452,055.b 2 Excess of revenue over expenses and disbursements 157,166 b Net investment income (if negative, enter -0-) N/A Adjusted net income (if negative, enter -0-)

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2013)

| For | <u>m 99</u> | 0-PF (2013) THE JOANNE & JOHN DALLE | PEZZE FOUNDATION | ON 59-3 | 3650218 Page 2 |
|-----------------------------|-------------|---|--------------------|----------------|---------------------------|
| Б | art | Balance Sheets Attached schedules and amounts in the description | Beginning of year | End of | |
| _ | art | column should be for end-of-year amounts only | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| | 1 | Cash - non-interest-bearing | 178,114. | 596,188. | <u>596,188.</u> |
| | 2 | Savings and temporary cash investments | | | |
| | 3 | Accounts receivable ► | | | |
| | | Less: allowance for doubtful accounts ▶ | 5,165. | | |
| | 4 | Pledges receivable ► | | | |
| | | Less: allowance for doubtful accounts | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other | | J | |
| | | disqualified persons | | | |
| | 7 | Other notes and loans receivable | 1 | | |
| | | Less: allowance for doubtful accounts | | | |
| Assets | | Inventories for sale or use | | | |
| 1556 | • | Prepaid expenses and deferred charges | | | |
| • | l | Investments - U.S. and state government obligations | | 1 10 2 2 2 | |
| | b | Investments - corporate stock STMT 5 | 1,297,527. | 1,183,290. | 2,409,179. |
| | C | Investments - corporate bonds STMT 6 | 1,009,598. | 393,498. | 409,656. |
| | 11 | tovestments - land, buildings, and equipment basis | | | |
| | | Less accumulated depreciation | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other STMT 7 | 650,000. | 515,373. | 613,478. |
| | 14 | Land, buildings, and equipment: basis | | | |
| | | Less accumulated depreciation | | | |
| | | Other assets (describe) | | | |
| | 16 | Total assets (to be completed by all filers - see the | 2 1 4 0 4 0 4 | 0 600 040 | 4 000 501 |
| | | instructions. Also, see page 1, item 1) | 3,140,404. | 2,688,349. | 4,028,501. |
| | | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| ies | 19 | Deferred revenue | | | |
| Liabilities | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| E. | 21 | Mortgages and other notes payable | | | |
| _ | 22 | Other liabilities (describe | | | |
| | | Table 11 ab 1814 as (odd linno 17 through 00) | 0. | 0. | |
| | 23_ | Total liabilities (add lines 17 through 22) Foundations that follow SFAS 117, check here | - 0. | | |
| | | and complete lines 24 through 26 and lines 30 and 31. | : | | |
| es | 24 | Unrestricted | | | |
| auc | 25 | Temporarily restricted | | | |
| Bali | 26 | · · · · · | | | |
| 둳 | | Foundations that do not follow SFAS 117, check here | | | |
| Ē | | and complete lines 27 through 31. | | | |
| ŏ | 27 | Capital stock, trust principal, or current funds | 0. | 0. | |
| šets | 28 | | 0. | 0. | |
| Net Assets or Fund Balances | 29 | Retained earnings, accumulated income, endowment, or other funds | 3,140,404. | 2,688,349. | |
| <u>f</u> et | 30 | Total net assets or fund balances | 3,140,404. | 2,688,349. | |
| ~ | | | | | |
| | 31 | Total liabilities and net assets/fund balances | 3,140,404. | 2,688,349. | |
| | | | | | |
| | art | Allalysis of Orlanges in Net Assets of Fund B | | | |
| 1 | Tota | I net assets or fund balances at beginning of year - Part II, column (a), line | 30 | | |
| | (mu | st agree with end-of-year figure reported on prior year's return) | | 1 | <u>3,140,404.</u> |
| 2 | | r amount from Part I, line 27a | | 2 | <452,055.> |
| 3 | | r increases not included in line 2 (itemize) | | | 0. |
| | | lines 1, 2, and 3 | | 4 | 2,688,349. |
| | | eases not included in line 2 (itemize) | | 5 | 0. |
| <u>6</u> | Tota | net assets or fund balances at end of year (line 4 minus line 5) - Part II, co | olumn (b), line 30 | | 2,688,349. |
| | | | | | Form 990-PF (2013) |

| Form 990-PF (2013) THE | <u>JOANNE & JOHN I</u> | DALLEPE | <u>ZZE FOUN</u> | <u>IDAI</u> | NOI | 5 | <u>9-365</u> | <u>0218</u> | Page 3 |
|--|--|---|--------------------------------------|----------------|-------------------------------------|------------------|----------------------------------|-----------------------------------|--------------|
| Part IV Capital Gains | and Losses for Tax on I | nvestment | t Income | - | | | | | |
| | ribe the kind(s) of property sold (e.garehouse; or common stock, 200 sh | | | (b) H | ow acquired Purchase Donation | (c) Date (mo., d | acquired lay, yr.) | (d) Dat (mo., d | |
| 1a | | <u> </u> | | <u> </u> | Bondion | | | | |
| b SEE ATTACHED | STATEMENT | | | | | | | | |
| C | | | | | | | | · <u> </u> | |
| d | | | - | | | | | | |
| е | | | - | 1 | | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | | st or other basis expense of sale | T | ··· | | ain or (loss) s (f) minus (| | |
| 8 | | | | | | | | | |
| <u> </u> | | † · · · · · · · · · · · · · · · · · · · | | \neg | | | | | |
| C | | | | | | | | | |
| d | | | | | | | | | |
| 4 004 460 | | | 1,179,08 | 6 | | | | 5.5 | ,382. |
| | l ng gain in column (h) and owned by | | | • | | N Gaine (C | 'ol (h) gain | | , 302. |
| Complete only for assets shown | , , , , , , , , , , , , , , , , , , , | 1 | | \dashv | | | Col. (h) gain i not less than | | |
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | | cess of col. (I) col. (J), If any | | | Losses | (from col. (f | n)) | |
| a | | ļ | | | | | | | |
| b | | | | | | | | | <u> </u> |
| c | | | | | | | | | |
| d | | | | | | | | | |
| e | | | | | | | | 55 | ,382. |
| | C If goin also ente | r in Port I line | 7 | 7 | | | | | |
| 2 Capital gain net income or (net ca | apital loss) $ \begin{cases} If gain, also ente \\ If (loss), enter -0 \end{cases} $ |)- in Part I, line | 7 |) | 2 | | | 55 | <u>,382.</u> |
| 3 Net short-term capital gain or (lo if gain, also enter in Part I, line 8, | column (c). | nd (6): | | } | E | | /- | | |
| If (loss), enter -0- in Part I, line 8 | 1040(-) 6 | . D . J | Tana an NaA | <u> </u> | 3 | | N/A | | |
| Part V Qualification L | Inder Section 4940(e) for | r Reduced | l lax on Net | inve | stment inc | come | | | |
| (For optional use by domestic privat | e foundations subject to the section | 4940(a) tax on | net investment in | come. |) | | | | |
| If section 4940(d)(2) applies, leave t | his part blank. | | | | | | | | |
| Was the foundation liable for the sec | | | | lod? | | | | Yes | X No |
| If "Yes," the foundation does not qua | | | | | | | | | |
| | each column for each year; see the | instructions be | fore making any e | ntries. | | | | (4) | |
| (a) Base period years Calendar year (or tax year beginni | ing in) Adjusted qualifying dis | stributions | Net value of no | (c) ncharii | table-use assets | , | Distribi (col. (b) divi | (d) ution ratio ded by col. | (c)) |
| 2012 | 45 | 6,795. | | 4, | 222,740 |). | | .1 | 08175 |
| 2011 | | 51,831. | | | 127,366 | | | .0 | 63438 |
| 2010 | | 1,260. | | | 947,508 | | | | 61117 |
| 2009 | | 3,053. | | | 590,636 | | | | 59336 |
| 2008 | | 4,339. | | | 049,427 | | | | 60339 |
| 2006 | 23 | E | | <u> </u> | 042,427 | • | | | <u> </u> |
| 2 Total of line 1, column (d) | | | | | | 2 | | .3 | 52405 |
| 3 Average distribution ratio for the the foundation has been in existe | | on line 2 by 5, | or by the number | of yea | rs | 3 | | .0' | 70481 |
| | · | luna F | | | | 4 | | 3,828 | |
| 4 Enter the net value of noncharital | ble-use assets for 2013 from Part X, | ille 5 | | | | 4 | <u> </u> | | |
| 5 Multiply line 4 by line 3 | | | | | | 5 | | 269 | <u>,817.</u> |
| 6 Enter 1% of net investment incor | me (1% of Part I, line 27b) | | | | | 6 | | 1 | <u>,572.</u> |
| 7 Add lines 5 and 6 | | | | | | 7 | | 271 | ,389. |
| 8 Enter qualifying distributions from | m Part XII, line 4 | | | | | 8 | | 607 | ,634. |
| If line 8 is equal to or greater tha See the Part VI instructions. | n line 7, check the box in Part VI, line | e 1b, and comp | olete that part usin | g a 1% | tax rate. | | | | |
| , | | | | | | | | 000 | DE (0040) |

| | 990-PF (2013) THE JOANNE & JOHN DALLEPEZZE FOUNDATION 59-3650 rt VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see | <u>) 218</u> instru | ıctio | Page 4 |
|----|--|------------------------|-------------|-------------------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1. | | | |
| | Date of ruling or determination letter: (attach copy of letter if necessary-see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here 🕨 🔀 and enter 1% | | <u>1,5</u> | <u>72.</u> |
| | of Part I, line 27b | | | |
| | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | ^ |
| | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 1 - | 0. |
| - | Add lines 1 and 2 | | <u> 1,5</u> | 72. |
| | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | 1 5 | $\frac{0.}{572.}$ |
| | | | <u> 1,3</u> | 14. |
| | Credits/Payments: 2013 estimated tax payments and 2012 overpayment credited to 2013 6a 4,240. | | | |
| | 2013 estimated tax payments and 2012 overpayment credited to 2013 Exempt foreign organizations - tax withheld at source 6b | | | |
| | Tax paid with application for extension of time to file (Form 8868) 6c | | | |
| | Backup withholding erroneously withheld 6d | | | |
| | Total credits and payments. Add lines 6a through 6d | | 4.2 | 40. |
| | Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 8 | | -/- | |
| | Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed | | | |
| | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | | 2.6 | 68. |
| | Enter the amount of line 10 to be: Credited to 2014 estimated tax 2,668. Refunded 11 | | | 0. |
| | rt VII-A Statements Regarding Activities | | | |
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in | | Yes | No |
| | any political campaign? | 1a _ | | X |
| b | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? | 1b | | X |
| | If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or | | | |
| | distributed by the foundation in connection with the activities. | | ĺ | |
| C | Did the foundation file Form 1120-POL for this year? | 10 | | <u>X</u> |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: | | | |
| | (1) On the foundation. > \$ O . (2) On foundation managers. > \$ O . | | | |
| е | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation | | | |
| | managers. ► \$O. | | l | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? | 2 | | <u>X</u> |
| | If "Yes," attach a detailed description of the activities. | | ĺ | 1 |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or | | | |
| | bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3 | _ | X |
| | Did the foundation have unrelated business gross income of \$1,000 or more during the year? | 48 | | |
| | If "Yes," has it filed a tax return on Form 990-T for this year? N/A | 4b 5 | | X |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "You " attach the statement required by General Instruction T. | 3 | | <u> </u> |
| 6 | If "Yes," attach the statement required by General Instruction T. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: | | | Ì |
| U | By language in the governing instrument, or | | | |
| | By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law | | | |
| | remain in the governing instrument? | 6 | х | |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV | 7 | X | |
| • | and filly foundation have actioned desired at any mine dating the jets. | | | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) | | | |
| | FL | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) | | | |
| | of each state as required by General Instruction G? If "No," attach explanation | 8b | Х | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar | | | |
| | year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV | 9 | <u> </u> | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |
| | For | т 990 | -PF | (2013) |

10-10-13

| 11 A lary time during the year, do the foundation, directly or indirectly, own a controlled entity within the meaning of section \$120,113 (PT levs, "table should (see instructions) 12 Dot the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? 13 Dot the foundation comby with the public inspection requirements for its annual returns and exemption application? 14 Nebodes are noted of \$\int \omega \om | | 990-PF (2013) THE JOANNE & JOHN DALLEPEZZE FOUNDATION 59-365 rt VII-A Statements Regarding Activities (continued) | 0218 | | Page 5 |
|---|----|--|---------------|--|-------------|
| If Yes, attach statement (see natruchons) 12 X 3 3 5 bit the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 X 3 3 3 3 3 3 3 3 | | section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | <u>x</u> |
| 13 Did the foundation comply with the public respection requirements for ris annual returns and exemption application? 13 X Website address N / A | 12 | | 12 | | x |
| 14. The books are in care of ▶ JOANNE & JOHN DALLEPEZZE FOUNDATION Telephone no. ▶ 239-331-3245 Located at ▶ 81 SEAGATE DRIVE #1701, NAPILES, FL 179-18 15 Section 4947(a)(1) nonexempt charibble trusts ling Form 990-PF in leu of Form 1041-Check here and enter the amount of lax-exempt interest received or accrued during the year 16. All any time during clained year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16. All any time during clained year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16. All any time during clained year 2013, did the foundation (anther directly or indirectly): 179-Ret VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 10. Bigrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (2) Bigrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or remburs the expenses of, 3 disqualified person? (5) Transfer any incomer or assets to a dequalified person (or make any of either available for the benefit or use of a disqualified person) (respectively) and of either available for the benefit or use of a disqualified person) (respectively) and of either available for the benefit or use of a disqualified person) (respectively) | 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | | Х | |
| 15 Section 4947(a)(1) nonexempt charizable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 16 Al any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form 10 F 90-22.1. If 'Yes,' enter the name of the foreign country. Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year oid the foundation (either directly or indirectly): 15 Rogare in the sale or exchange, or lessing of property with a disqualified person? 16 Borrow money from, lend money to, or otherwise extend credit to (or accept it from) 27 a disqualified person? 28 Borrow money from, lend money to, or otherwise extend credit to (or accept it from) 39 a Structure goods, services, or facilities to (or accept them from) a disqualified person? 40 Pay compensation to, or pay or remburse the expenses of, a disqualified person? 41 Pay compensation to, or pay or remburse the expenses of, a disqualified person? 42 Farson ya morey or property to a government official? (Exception, Check 'No' if the foundation of government exerve, it termination of government service, it termination of government service, it termination of povernment service, it termination of povernment service, it termination with 90 disys.) 43 Farson failure to distribute income (section 4942) (dos) or in a current notice regarding disaster assistance (see instructions)? 44 Organizations selving on a current notice regarding disaster assistance (see instructions)? 55 Farson failure to distribute income (section 4942) (dos not apply for years the foundation was a private operating foundation defined in section 4942(a) and the foundation is not applying the provisions of | 14 | The books are in care of ▶ JOANNE & JOHN DALLEPEZZE FOUNDATION Telephone no. ▶ 239-3 | | | |
| and enter the amount of tax-exempt interest received or accrued during the year N | | | <u>4103</u> | | |
| 16 A tary time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If Yes," enter the name of the foreign country. Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any Item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly); (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person, or otherwise extend credit to (or accept it from) a stigualified person, or (a) Furnish goods, services, or facilities to (or accept them from) a disqualified person or (yes X) No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or remitures the expenses of, a disqualified person? (5) Agrace to pay money or property to a government official? (Exception, Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 disps. (5) Agrace to pay money or property to a government official? (Exception, Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 disps. (5) Agrace to pay money or property to a government official? (Exception, Check "No" if the foundation service, if terminating within 90 disps. (6) Agrace to pay money or property to a government official? (Exception, Check "No" if the foundation service, if terminating within 90 disps. (7) Agrace to a fall (1)-6, did any of the acts fail to qualify under the exceptions described in Regulations section 34.04 (3) of a fall (1)-6, did | 15 | | N. | - | |
| Securities, or other financial account in a foreign country? See the instructions for exceptions and filling requirements for Form TD F 90-22.1. If Yes, "enter the name of the foreign country" Part VII-B Statements Regardling Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 18 During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lean money to, or Indirectly or indirectly): (3) Furnish podds, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Furnish podds, services, or facilities to (or accept them from) a disqualified person? (6) Agree to pay money or property to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception, Check 'No' (7) If the foundation agreed or make a grant to rot be employ the official for a period after termination of government service, if terminating within 90 days.) 10 If any answer is 'Yes' to 1a(1)-16), did any of the acts fait qualify under the exceptions described in Regulations section 53.4941(6)-3 or in a current notice regarding disaster assistance (see instructions)? 10 Traces on faultire to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(1)(3) or 4942(1)(5): 10 A the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? 11 Yes, I last the year's undistributed income? (if applying section 4942(a)(2) relating to incorrect valuation of assets) to the year's undistributed income? (if applying section 4942(a)(2) to all years listed, answer "No" and attach sistement - | 16 | · · · · · · · · · · · · · · · · · · · | | , | No |
| See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 19 | 10 | · | 16 | .05 | |
| Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required | | · · · · · · · · · · · · · · · · · · · | " | <u>'</u> | |
| File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly): in unique place in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the forundation appreced to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.491(0)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance (see instructions)? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(a)(3) or 4942(i)(5):): a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? if 'Yes' Ist the years beginning in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings accurred by grift or bequest; or (3) the lapse of the | | | | | |
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| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?4aXb Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?4bX | | | | | |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? 4b X | | · | | | |
| had not been removed from jeopardy before the first day of the tax year beginning in 2013? | | | _ <u>4a</u> _ | | <u>X</u> _ |
| | D | | AL | | Y |
| | | | |)-PF | |

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| orm 990-PF (2013) THE JOANNE & JOHN DALLER Part VII-B Statements Regarding Activities for Which | | | 59-36502 ued) | 18 Page 6 |
|---|--|---|---|---------------------------------------|
| 5a During the year did the foundation pay or incur any amount to: Carry on propaganda, or otherwise attempt to influence legislation (section). Influence the outcome of any specific public election (see section 4955); any voter registration drive? Provide a grant to an individual for travel, study, or other similar purposes. Provide a grant to an organization other than a charitable, etc., organization 509(a)(1), (2), or (3), or section 4940(d)(2)? Provide for any purpose other than religious, charitable, scientific, literary the prevention of cruelty to children or animals? If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify un section 53.4945 or in a current notice regarding disaster assistance (see instruorganizations relying on a current notice regarding disaster assistance check in the answer is "Yes" to question 5a(4), does the foundation claim exemption to expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.494 | or to carry on, directly or indirectly or described indirectly or indire | ectly, Ye Ye for Ye In Regulations | es X No N/A N/A | 5 b |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to a personal benefit contract? b Did the foundation, during the year, pay premiums, directly or indirectly, on a lif "Yes" to 6b, file Form 8870. 7a At any time during the tax year, was the foundation a party to a prohibited tax bif Yes," did the foundation receive any proceeds or have any net income attrib Part VIII Information About Officers, Directors, Trust | pay premiums on personal benefit contract? shelter transaction? utable to the transaction? | Ye | es X No N/A | 6b X |
| Paid Employees, and Contractors | | | · | |
| List all officers, directors, trustees, foundation managers and their (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deterred compensation | (e) Expense account, other allowances |
| JOHN R. DALLEPEZZE 81 SEAGATE DRIVE #1701 NAPLES, FL 34103 | PRESIDENT, SE | CR, & TRE | AS. 0. | 0. |
| GEORGIA D. DALLEPEZZE B1 SEAGATE DRIVE #1701 NAPLES, FL 34103 | DIRECTOR 6.00 | 0. | 0. | 0. |
| PETER A. DALLEPEZZE 307 GREENBELT DR HOUSTON, TX 77079 | DIRECTOR 6.00 | 0. | 0. | 0. |
| 2 Compensation of five highest-paid employees (other than those in | cluded on line 1). If none, | enter "NONE." | | |
| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| NONE | | | | |
| Total number of other employees paid over \$50,000 | | | ▶ | 0 |

| | <u>59-3650</u> |)218 Page 7 |
|--|----------------|-------------------------|
| Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued) | ' | |
| 3 Five highest-paid independent contractors for professional services. If none, enter "NONE." | | |
| (a) Name and address of each person paid more than \$50,000 (b) Type of servi | ce | (c) Compensation |
| NONE | - | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services Part IX-A Summary of Direct Charitable Activities | | 0 |
| | | |
| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | | Expenses |
| 1 N/A | | |
| | | |
| | | |
| 2 | | |
| | | |
| | | |
| 3 | | |
| | - | |
| 4 | | |
| * | | |
| | | |
| Part IX-B Summary of Program-Related Investments | | |
| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | | Amount |
| 1 N/A | | |
| | | |
| | | |
| 2 | | |
| | | |
| All other program-related investments. See instructions. | | |
| 3 | | |
| <u> </u> | $\overline{}$ | |
| | | |
| Total, Add lines 1 through 3 | • | 0. |
| | For | rm 990-PF (2013) |

| | | 50 | 2650210 Dans |
|--------------|---|------------|---------------------------------------|
| | m 990-PF (2013) THE JOANNE & JOHN DALLEPEZZE FOUNDATION art X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations are completed. | | 3650218 Page 8 see instructions.) |
| - | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | · · · · · · · · · · · · · · · · · · · |
| a | Average monthly fair market value of securities | 1a | 3,776,736. |
| | Average of monthly cash balances | 1b | 109,781. |
| | Fair market value of all other assets | 1c | |
| | Total (add lines 1a, b, and c) | 1d | 3,886,517. |
| | Reduction claimed for blockage or other factors reported on lines 1a and | | |
| • | 1c (attach detailed explanation) 1e 0 | , | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 3,886,517. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 58,298. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 3,828,219. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 191,411. |
| P | art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations a | nd certain | |
| | foreign organizations check here and do not complete this part.) | | |
| 1 | Minimum investment return from Part X, line 6 | 1 | 191,411. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | .] [| |
| b | Income tax for 2013. (This does not include the tax from Part VI.) |] | |
| C | Add lines 2a and 2b | 2c | 1,572. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 189,839. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 189,839. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7_ | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | <u> 189,839.</u> |
| P | art XII Qualifying Distributions (see instructions) | | |
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| а | Expenses, contributions, gifts, etc total from Part I, column (d), line 26 | 1a | 607,634. |
| b | Program-related investments - total from Part IX-B | 1b | <u> </u> |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| | Suitability test (prior IRS approval required) | 3a | |
| þ | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying dietributions, Add lines, 13 through 3h. Enter here and on Part V. line 8, and Part VIII, line 4 | 1 4 1 | 607 63 <i>4</i> |

Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment

income. Enter 1% of Part I, line 27b

Adjusted qualifying distributions Subtract line 5 from line 4

4940(e) reduction of tax in those years.

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1,572.

606,062.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section

Page 9

Undistributed Income (see instructions) Part XIII

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|--|---------------|----------------------------|-------------|---------------------------|
| 1 Distributable amount for 2013 from Part XI, | | | | 189,839. |
| line 7 | | | | 103,033. |
| 2 Undistributed income, if any, as of the end of 2013 | | | 0. | |
| a Enter amount for 2012 only | | | | |
| b Total for prior years: | | ο. | | |
| 3 Excess distributions carryover, if any, to 2013: | | | | |
| a From 2008 | | | | |
| b From 2009 | | | | |
| c From 2010 | | | | |
| d From 2011 20,746. | | | ! | |
| e From 2012 461,017. | | | | |
| f Total of lines 3a through e | 481,763. | | | |
| 4 Qualifying distributions for 2013 from | | | | |
| Part XII, line 4: ►\$607,634. | | | | |
| a Applied to 2012, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior | | | | |
| years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus | | | | |
| (Election required - see instructions) | 0. | | | |
| d Applied to 2013 distributable amount | | | | 189,839. |
| e Remaining amount distributed out of corpus | 417,795. | | | |
| 5 Excess distributions carryover applied to 2013 | 0. | | | 0. |
| (If an amount appears in column (d), the same amount must be shown in column (a)) | | | | |
| 6 Enter the net total of each column as indicated below: | | | | |
| & Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 899,558. | | | |
| b Prior years' undistributed income. Subtract | | | | |
| line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' | | | | |
| undistributed income for which a notice of deficiency has been issued, or on which | | | | |
| the section 4942(a) tax has been previously | | | | |
| assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable | | _ | | |
| amount - see instructions | | 0. | | |
| e Undistributed income for 2012. Subtract line | | | _ | |
| 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2013. Subtract | | | | |
| lines 4d and 5 from line 1. This amount must | | | | ^ |
| be distributed in 2014 | | | | 0. |
| 7 Amounts treated as distributions out of | | | | |
| corpus to satisfy requirements imposed by | 0. | | | |
| section 170(b)(1)(F) or 4942(g)(3) | | | | |
| 8 Excess distributions carryover from 2008 | 0. | | | |
| not applied on line 5 or line 7 | | | | |
| 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a | 899,558. | | | |
| 10 Analysis of line 9: | 33373331 | | | |
| a Excess from 2009 | | | | |
| b Excess from 2010 | | | | |
| c Excess from 2011 20,746. | | | | |
| d Excess from 2012 461,017. | | | | |
| e Excess from 2013 417,795. | | . <u></u> | | |
| | | | | Form 990-PF (2013) |

THE JOANNE & JOHN DALLEPEZZE FOUNDATION

| Form 990-PF (2013) THE JOA | NNE & JOHN | <u>DALLEPEZZE</u> | FOUNDATION | | 550218 Page 10 |
|---|---------------------------------------|-----------------------------|-----------------------------|---------------------------------------|---------------------------|
| Part XIV Private Operating Fo | oundations (see ins | structions and Part V | II-A, question 9) | N/A | |
| 1 a If the foundation has received a ruling or | determination letter that | it is a private operating | | | |
| foundation, and the ruling is effective for | 2013, enter the date of t | he ruling | ▶ L | | |
| b Check box to indicate whether the found | ation is a private operatir | ig foundation described | ın section | 4942(j)(3) or4 | 942(j)(5) |
| 2 a Enter the lesser of the adjusted net | Tax year | | Prior 3 years | · · · · · · · · · · · · · · · · · · · | _] |
| income from Part I or the minimum | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | (e) Total |
| investment return from Part X for | | | | | |
| each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, | | | | | |
| line 4 for each year listed | | | | | |
| d Amounts included in line 2c not | | | | | |
| · · · · · · · · · · · · · · · · · · · | | | | | |
| used directly for active conduct of | | | | | |
| exempt activities | | | <u> </u> | | |
| e Qualifying distributions made directly | | | | | |
| for active conduct of exempt activities. | | | | | |
| Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test - enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter | | | | | |
| 2/3 of minimum investment return | | | | | |
| shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: | | | | | |
| , | | | | | |
| Total support other than gross investment income (interest, | | | | | |
| dividends, rents, payments on | | | | | |
| securities loans (section | | | | | |
| 512(a)(5)), or royalties) | | | <u> </u> | | |
| (2) Support from general public | | | | | |
| and 5 or more exempt organizations as provided in | | | | | |
| section 4942(j)(3)(B)(III) | | | | | |
| (3) Largest amount of support from | | | | | |
| an exempt organization | , | | | | |
| (4) Gross investment income | | | | | |
| Part XV Supplementary Info | | | if the foundatio | n had \$5,000 or m | ore in assets |
| at any time during t | he year-see instr | ructions.) | | <u>-</u> | |
| 1 Information Regarding Foundation | n Managers: | | | | |
| a List any managers of the foundation wh year (but only if they have contributed n | o have contributed more | than 2% of the total cor | ntributions received by th | ne foundation before the cl | ose of any tax |
| | 1010 111411 40,000). (0000 | 30 | | | |
| JOHN R. DALLEPEZZE b List any managers of the foundation wh | a sum 100/ or more of th | a atack of a paragration | /or on aqually large per | tion of the ownership of a | nartnarahin ar |
| other entity) of which the foundation has | | | (or all equally large por | tion of the ownership of a | partifership of |
| NONE | | | | | |
| 2 Information Regarding Contributi | | | | | |
| Check here X if the foundation o | nly makes contributions t | to preselected charitable | e organizations and does | not accept unsolicited req | uests for funds. If |
| the foundation makes gifts, grants, etc. | (see instructions) to indiv | riduals or organizations | under other conditions, | complete items 2a, b, c, ar | id d. |
| a The name, address, and telephone num | ber or e-mail address of t | he person to whom app | lications should be addr | essed: | |
| | | | | | |
| b The form in which applications should b | e submitted and information | tion and materials they | should include: | | |
| c Any submission deadlines: | | | | | |
| d Any restrictions or limitations on award | s, such as by geographic | al areas, charitable fields | s, kinds of institutions, o | r other factors: | |
| | | | | | |
| 323801 10-10-13 | · · · · · · · · · · · · · · · · · · · | · | | | Form 990-PF (2013) |

| 3 Grants and Contributions Paid During the Y | | Payment | | |
|---|--|----------------------|--|--------------------------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager | Foundation status of | Purpose of grant or contribution | Amount |
| Name and address (home or business) | or substantial contributor | recipient | | |
| a Paid during the year | | | | |
| AMERICAN CANCER SOCIETY 5020 TAMIAMI TRAIL, STE. 108 NAPLES, FL 34103 | N/A | PUBLIC CHARITY | TO SUPPORT CANCER RESEARCH | 10,000. |
| AMERICAN HEART ASSOCIATION PO BOX 42150 ST PETERSBURG, FL 33742-4150 | N/A | PUBLIC CHARITY | TO SUPPORT HEART DISEASE RESEARCH | 5,000. |
| AMERICAN RED CROSS 6310 TECHSTER BLVD., STE. 7 FORT MYERS, FL 33966 | N/A | PUBLIC CHARITY | TO SUPPORT HEALTH, HUMAN SERV & PUBLIC WELFARE PROGR | 5,000. |
| CHILDRENS MUSEUM OF HOUSTON 1500 BINZ HOUSTON, TX 77004 | N/A | PUBLIC CHARITY | TO SUPPORT HUMAN SEVICES AND EDUCATION | 6,000, |
| CITY HARVEST 575 EIGHT AVE. 4TH FLOOR NEW YORK NY 10018 | N/A | PUBLIC CHARITY | TO SUPPORT HUMAN SERVICE & WELFARE PROGRAMS | 5,000. |
| Total SEE CO | NTINUATION SHEE | ET(S) | ▶ 3a | 603,455. |
| NONE | | | | |
| | | | | |
| | | | | |
| Total | | | ▶ 3b | 0, orm 990-PF (2013) |

| Part XVI-A | Analysis of | Income-Producing | Activities |
|------------|-------------|------------------|-------------------|
|------------|-------------|------------------|-------------------|

| Enter gross amounts unless otherwise indicated. | Unrelated | business income | Exclud | ded by section 512, 513, or 514 | (e) |
|--|--------------------|---|-----------------------|---------------------------------|--|
| citter gross amounts unless otherwise indicated. | (a) Business | (b) Amount | (C) Exclu- sion | (d) Amount | Related or exempt function income |
| 1 Program service revenue: | code | AIIIOUIII | code | Amount | TOTICION INCOME |
| 8 | ļ | | - | | |
| b | | | +- | | |
| C | | | - | | |
| d | ļ | | + | | |
| e | | | | | |
| f | | | \vdash | | |
| g Fees and contracts from government agencies | | | - | | |
| 2 Membership dues and assessments | | · · · · · · · · · · · · · · · · · · · | + | | · · · · · · · · · · · · · · · · · · · |
| 3 Interest on savings and temporary cash | 1 | | | | |
| investments | | | 14 | 109,285. | · |
| 4 Dividends and interest from securities | | | 14 | 109,200. | |
| 5 Net rental income or (loss) from real estate: | | | + | | |
| a Debt-financed property | | | + - | | |
| b Not debt-financed property | | , "_ , "_ , | | | ·· · |
| 6 Net rental income or (loss) from personal | | | | | |
| property | | | + | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other | | | 14 | 53,795. | |
| than inventory | | | 1 1 3 | | |
| Net income or (loss) from special events Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| | | | | | |
| a b | · | | | | .= : : : : : : : : : : : : : : : : : : : |
| | | | | - | ·· |
| c | 1 | | 1 | | · · · · · · · · · · · · · · · · · · · |
| · | · | | 1 | | |
| A | | | + | 163 000 | |
| e | | 0. | , | 103,000. | 0. |
| e | | 0. | | 163,080. 13_ | |
| 13 Total Add line 12, columns (b), (d), and (e) | | 0. | | | |
| | to the Acco | | | 13 | |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |
| 13 Total Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities Line No Explain below how each activity for which income | ome is reported in | mplishment of Example 1 and 1 | xemp | t Purposes | 163,080. |

Form **990-PF** (2013)

607-936-3785

Phone no.

Use Only

Firm's address ▶ P.O. BOX 1424

CORNING, NY 14830

1 OF

Part IV Capital Gains and Losses for Tax on Investment Income (b) How acquired P - Purchase D - Donation (c) Date acquired (mo., day, yr.) (d) Date sold (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) 10/05/04|03/20/13 1a 100 APPLE, INC 05/27/0303/20/13 1500 VANGUARD GROWTH & INCOME FD P c 1000 ISHARES TR BARCLAYS TIPS P 05/26/1101/30/13 P 03/06/1206/24/13 ISHARES S&P US PFD FD d 3024 11/25/0906/05/13 e 27223.23 PIMCO TOTAL RETURN FD 01/06/09|12/24/13 f AMERICAN EXPRESS BANK 4.75% 01/06/09|12/24/13 g GE MONEY BANK 4.75% h SALLIE MAE BANK 3.4% 06/02/09|06/10/13 MORGAN STANLEY 48 |07/05/11|07/30/13 CAPITAL GAINS DIVIDENDS k m n 0 (g) Cost or other basis (h) Gain or (loss) (f) Depreciation allowed (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 801 44,407. 45,208 а 34,627 15,323. 49,950. b 110,815 9,433. 120,248. C 115,912. 116,743. <831.> d 300,000 2,882. 302,882 е 207,400. 200,000. <7,400.> f 207,400 <7,400.> 200,000. g 100,000. 100,000. 0. h 101,300 <1,300.> 100,000 268. 268. k 1 m n 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (I) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any 44,407. а 15,323. b 9,433. C <831.> d 2,882. е <7,400.> f <7,400.> g 0. h <1,300.> 268. k 1 m n 0 { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } 55,382. Capital gain net income or (net capital loss) Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). N/A If (loss), enter "-0-" in Part I, line 8

THE JOANNE & JOHN DALLEPEZZE FOUNDATION

THE JOANNE & JOHN DALLEPEZZE FOUNDATION 59-3650218

Part XV Supplementary Information 3 · Grants and Contributions Paid During the Year (Continuation) If recipient is an individual, Recipient show any relationship to Foundation Purpose of grant or Amount status of contribution any foundation manager Name and address (home or business) or substantial contributor recipient COLUMBUS MUSEUM OF ART N/A PUBLIC CHARITY TO SUPPORT PUBLIC ART 480 EAST BROAD STREET PROGRAMS COLUMBUS OH 43215-3886 2,500. CONSERVANCY OF SOUTHWEST FLORIDA N/A PUBLIC CHARITY TO SUPPORT 1450 MERRIHUE DR. CONSERVATION 5,000. NAPLES, FL 34102 PUBLIC CHARITY TO SUPPORT HIGHER FLORIDA GULF COAST UNIVERSITY N/A 10501 FGCU BLVD. SOUTH EDUCATION 30,000. FORT MYERS, FL 33965 FRIENDS OF THE LIBRARY PUBLIC CHARITY TO SUPPORT HUMAN N/A 650 CENTRAL AVENUE SERVICE & WELFARE NAPLES, FL 34102 PROGRAMS 1,000. PUBLIC CHARITY TO SUPPORT HUMAN GILDA'S CLUB N/A 195 WEST HOUSTON STREET SERVICE PROGRAMS NEW YORK NY 10014-4803 5,000, GUADALUPE CENTER N/A PUBLIC CHARITY TO SUPPORT HUMAN SERVICES AND EDUCATION 509 HOPE CIRCLE 5,000. IMMOKOLEE, FL 34142 HARRY CHAPIN FOOD BANK PUBLIC CHARITY TO SUPPORT HUMAN N/A 3760 FOWLER STREET SERVICES PROGRAMS 5,000. FORT MYERS, FL 33901 HOPE HOSPICE N/A PUBLIC CHARITY TO SUPPORT MEDICAL AND HUMAN SERVICES 9470 HEALTH PARK CIRCLE PROGRAMS 15,000, FORT MYERS, FL 33908 HOUSTON ZOO N/A PUBLIC CHARITY TO SUPPORT HUMAN 1513 CAMBRIDGE STREET SERVICES AND EDUCATIONAL PROGRAMS 7,500. HOUSTON, TX 77030 JAMES CANCER HOSPITAL N/A PUBLIC CHARITY TO SUPPORT HEALTH CARE PROGRAMS PO BOX 183112 COLUMBUS OH 43218-3112 61,670. 572 455. Total from continuation sheets

THE JOANNE & JOHN DALLEPEZZE FOUNDATION 59-3650218

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| COLUMBUS OH 43215 | | | | | |
| Total from continuation sheets | COLUMBUS, OH 43215 | | 1 | | 10,000 |

| 3 • Grants and Contributions Paid During the Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of | Purpose of grant or | |
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| | any foundation manager | | Purpose of grant or | |
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| YORK NY 10023 | ļ |] | PROGRAMS | 5,000 |
| EW TORK, NI 10025 | | | FROGRAMS | 3,000 |
| PRINCETON UNIVERSITY | N/A | PUBLIC CHARITY | TO SUPPORT HIGHER | |
| PO BOX 5357 | | | EDUCATION PROGRAMS | |
| RINCETON NJ 08543-5357 | | | | 10,000 |
| | | | | |
| HELTER FOR ABUSED WOMEN | N/A | PUBLIC CHARITY | TO SUPPORT HEALTH, | |
| O BOX 10102 | | | HUMAN SERV & PUBLIC | |
| IAPLES, FL 34101 | | | WELFARE PROGR | 34,830 |
| | | | | |
| EXAS CHILDREN'S HOSPITAL | N/A | PUBLIC CHARITY | TO SUPPORT HEALTH CARE | |
| 621 FANNIN STREET | | | PROGRAMS | |
| OUSTON, TX 77030 | | | | 7,500 |
| | | } | | |
| THE DOE FUND | N/A | PUBLIC CHARITY | TO SUPPORT HUMAN | |
| 32 EAST 84TH STREET | [,, | | SERVICE & WELFARE | |
| IEW YORK, NY 10028 | | | PROGRAMS | 5,000 |
| | | | | |
| NITED WAY OF HOUSTON | N/A | PUBLIC CHARITY | TO SUPPORT HEALTH, | |
| PO BOX 3247 | | | HUMAN SERVICES AND | |
| IOUSTON, TX 77253 | | ļ | WELFARE PROGRAMS | 10,000 |
| | | | | |
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| | | 1 | | |
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| | | | | |
| | | | | |
| Total from continuation sheets | | L | | |

| FORM 99 | 00-PF | GAIN OR (LOSS) F | ROM SALE | OF A | ASSETS | S | TATEMENT 1 |
|---------|-----------------------------|-------------------------------|------------------------|------|-------------------|-----------------|-------------------|
| DESCRIF | (A) PTION OF PROPERT | ΥΥ | | | MANNER CQUIRED | DATE ACQUIRE | D DATE SOLD |
| 100 APF | PLE, INC | | | | | 10/05/0 | 4 03/20/13 |
| | (B) | (C) | (D) | | (E) | | (F) |
| | GROSS SALES PRICE | VALUE AT TIME OF ACQ. | EXPENSE SALE | OF | DEPRE | C. GA | IN OR LOSS |
| | 45,208. | 2,388. | | 0. | • | 0. | 42,820. |
| DESCRIE | (A) PTION OF PROPERT | ΥΥ | | | MANNER CQUIRED | DATE ACQUIRE | D DATE SOLD |
| 1500 VA | ANGUARD GROWTH & | INCOME FD | | | | 05/27/0 | 3 03/20/13 |
| | (B) | (C) | (D) | | (E) | | (F) |
| | GROSS SALES PRICE | COST OR OTHER BASIS | EXPENSE SALE | OF | DEPRE | C. GA | IN OR LOSS |
| | 49,950. | 34,627. | | 0. | | 0. | 15,323. |
| DESCRIE | (A) PTION OF PROPERT | ΥΥ | | | MANNER CQUIRED | DATE ACQUIRE | D DATE SOLD |
| 1000 IS | SHARES TR BARCLA | YS TIPS | | | | 05/26/1 | 1 01/30/13 |
| | (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE SALE | OF | (E) DEPRE | C. GA | (F) IN OR LOSS |
| | 120,248. | | OFILE | 0. | | $\frac{0}{0}$ | 9,433. |

| DESCRIPT | (A) ION OF PROPERTY | _ | | | MANNER CQUIRED | DATE | | DATE SOLD |
|----------|-------------------------|------------------------|-----------------|------|-------------------|----------------|------|-----------|
| 3024 ISH | ARES S&P US PFD | FD | | | | 03/06/ | /12 | 06/24/13 |
| | (B) | (C) | (D) | 0.11 | (E) | | | (F) |
| | GROSS SALES PRICE | COST OR OTHER BASIS | EXPENSE SALE | OF | DEPRE | c. (| GAIN | OR LOSS |
| | 115,912. | 116,743. | | 0. | | 0. | | <831. |
| DESCRIPT | (A) TION OF PROPERTY | | | | MANNER CQUIRED | DATE ACQUIE | | DATE SOLD |
| 27223.23 | PIMCO TOTAL RE | TURN FD | | | | 11/25/ | /09 | 06/05/13 |
| | (B) GROSS | (C) COST OR | (D) EXPENSE | OF | (E) | | | (F) |
| _ | SALES PRICE | OTHER BASIS | SALE | | DEPRE | | BAIN | OR LOSS |
| | 302,882. | 300,000. | | 0. | | 0. | | 2,882. |
| DESCRIPT | (A) TION OF PROPERTY | | | | MANNER CQUIRED | DATE ACQUIE | | DATE SOLD |
| AMERICAN | EXPRESS BANK 4 | .75% | | | | 01/06/ | /09 | 12/24/13 |
| | (B) | (C) | (D) | 0.7 | (E) | | (| (F) |
| | GROSS SALES PRICE | COST OR OTHER BASIS | EXPENSE SALE | OF. | DEPRE | c. 6 | GAIN | OR LOSS |
| | 200,000. | 207,400. | | 0. | | 0. | | <7,400.> |
| DESCRIPT | (A) TION OF PROPERTY | | | | MANNER CQUIRED | DATE ACQUIF | _ | DATE SOLD |
| GE MONEY | BANK 4.75% | _ | | | - | 01/06/ | /09 | 12/24/13 |
| | (B) | (C) | (D) | 0.5 | (E) | | (| (F) |
| | GROSS SALES PRICE | COST OR OTHER BASIS | EXPENSE SALE | OF | DEPRE | c. e | SAIN | OR LOSS |
| _ | 200,000. | 207,400. | | 0. | | <u> </u> | • | <7,400.> |

| (A) DESCRIPTION OF | PROPERTY | | | | | MANNER ACQUIRED | DA ACQU | | DATE SO | OLD |
|--|--------------------|------------------------------|-----|-------------------------|----------|--------------------|------------------|------|----------------------|------|
| SALLIE MAE BANK | x 3.4% | _ | | | | | 06/0 | 2/09 | 06/10 | /13 |
| GRO | B) DSS PRICE | (C) COST OR OTHER BASI | S | (D) EXPENSE SALE | OF | (E) DEPRE | c. | GAIN | (F) | S |
| | 100,000. | 100,0 | | | 0 | | 0. | | | 0. |
| (A) DESCRIPTION OF | PROPERTY | _ | | | | MANNER ACQUIRED | DA ACQU | | DATE SO | OLD |
| MORGAN STANLEY | 4% | | | | | | 07/0 | 5/11 | 07/30 | /13 |
| GRO | B) OSS PRICE | (C) COST OR OTHER BASI | S | (D) EXPENSE SALE | OF | (E) DEPRE | c. | GAIN | (F) OR LOS | S |
| | 100,000. | 101,3 | 00. | | 0 | • | 0. | | <1,30 | 00.> |
| CAPITAL GAINS I | DIVIDENDS | FROM PART | IV | | | | | | 20 | 58. |
| TOTAL TO FORM | 990-PF, P. | ART I, LINE | 6A | | | | | | 53,79 | 95. |
| FORM 990-PF | DI | VIDENDS AND | INT | EREST FRO | MC | SECURITIE | S | STA | TEMENT | |
| SOURCE | | | GRO | SS AMOUNT | | CAPITAL (| | | LUMN (A) |) |
| CHARLES SCHWAB CHARLES SCHWAB CHARLES SCHWAB HUNTINGTON-INTI | -INTEREST | | | 268 69,053 40,003 | 3. 3. | | 268. 0. 0. | | 69,05 40,00 22 | |
| TOTAL TO FM 990 | 0-PF, PAR | T I, LN 4 | | 109,553 | 3. | <u></u> | 268. | | 109,28 | 35. |

| FORM 990-PF | | STATEMENT | 3 | | | |
|---|------------------------------|-----------|------------|--------------|-----------------------------|-----|
| DESCRIPTION | (A) EXPENSES PER BOOKS | | T - | ADJUSTEI | CHARITA | |
| PROFESSIONAL FEES | 760. | | 0. | | 7 | 60. |
| TO FORM 990-PF, PG 1, LN 16C | 760. | | 0. | | 7 | 60. |
| FORM 990-PF | TAX | ES | | | STATEMENT | 4 |
| DESCRIPTION | | NET INVES | T - | ADJUSTEL | (D) CHARITA ME PURPOS | |
| FL. FOUNDATION TAX FOREIGN TAXES PAID US INCOME TAX | | 5 6,8 | 70. | | | 0. |
| TO FORM 990-PF, PG 1, LN 18 = | 7,501. | 7,5 | 01. | | | 0. |
| FORM 990-PF | CORPORAT | E STOCK | | | STATEMENT | 5 |
| DESCRIPTION | | | воо | K VALUE | FAIR MARKE VALUE | T |
| PUBLICLY TRADED STOCKS | | _ | 1 | ,183,290. | 2,409,1 | 79. |
| TOTAL TO FORM 990-PF, PART II | , LINE 10B | | 1 | ,183,290. | 2,409,1 | 79. |
| FORM 990-PF | CORPORAT | E BONDS | | | STATEMENT | 6 |
| DESCRIPTION | | | воо | K VALUE | FAIR MARKE | т |
| CORPORATE BONDS | | 393,498. | | 409,6 | 56. | |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | | | | 393,498. 409 | | |

THÉ JOANNE & JOHN DALLEPEZZE FOUNDATION

| FORM 990-PF OTHER | INVESTMENTS | | STATEMENT 7 |
|-------------------------------------|---------------------|------------|----------------------|
| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
| MUTUAL FUNDS | COST | 515,373. | 613,478. |
| TOTAL TO FORM 990-PF, PART II, LINE | 13 | 515,373. | 613,478. |