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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation ROGERS FAMILY FOUNDATION, INC.		A Employer identification number 59-2969938
Number and street (or P.O. box number if mail is not delivered to street address) 215 N. JOANNA AVENUE	Room/suite	B Telephone number 352-343-2225
City or town, state or province, country, and ZIP or foreign postal code TAVARES, FL 32778-2317		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,278,092. (Part I, column (d) must be on cash basis.)	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		4.	4.		STATEMENT 1
4 Dividends and interest from securities		108,117.	108,117.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		127,087.			
b Gross sales price for all assets on line 6a 776,200.					
7 Capital gain net income (from Part IV, line 2)			127,087.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		2,116.	2,116.		STATEMENT 3
12 Total. Add lines 1 through 11		237,324.	237,324.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 4		15,269.	7,635.		7,634.
b Accounting fees STMT 5		4,795.	2,398.		2,397.
c Other professional fees					
17 Interest					
18 Taxes STMT 6		4,779.	4,579.		200.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		18,159.	16,729.		1,430.
24 Total operating and administrative expenses. Add lines 13 through 23		43,002.	31,341.		11,661.
25 Contributions, gifts, grants paid		309,603.			309,603.
26 Total expenses and disbursements. Add lines 24 and 25		352,605.	31,341.		321,264.
27 Subtract line 26 from line 12		-115,281.			
a Excess of revenue over expenses and disbursements			205,983.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	214.	24.	24.
	2 Savings and temporary cash investments	254,472.	28,847.	28,847.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 8	1,654,152.	1,562,307.	2,575,903.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶	168,381.		
Less accumulated depreciation ▶	165,017.	168,381.	168,381.	
12 Investments - mortgage loans				
13 Investments - other STMT 9	1,682,735.	1,883,176.	2,502,310.	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ STATEMENT 10)	12,425.	10,979.	2,627.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	3,769,015.	3,653,714.	5,278,092.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	3,769,015.	3,653,714.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 Total net assets or fund balances	3,769,015.	3,653,714.		
31 Total liabilities and net assets/fund balances	3,769,015.	3,653,714.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,769,015.
2 Enter amount from Part I, line 27a	2	-115,281.
3 Other increases not included in line 2 (itemize) ▶ NON DIVIDEND DISTRIBUTIONS	3	83.
4 Add lines 1, 2, and 3	4	3,653,817.
5 Decreases not included in line 2 (itemize) ▶ FEDERAL INCOME TAX PAYMENTS	5	103.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,653,714.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 776,200.		649,113.	127,087.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			127,087.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	127,087.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	226,476.	4,583,838.	.049408
2011	217,015.	4,537,470.	.047827
2010	187,048.	4,263,652.	.043870
2009	248,163.	3,759,131.	.066016
2008	152,872.	4,996,579.	.030595

2 Total of line 1, column (d)	2	.237716
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047543
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	5,008,033.
5 Multiply line 4 by line 3	5	238,097.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,060.
7 Add lines 5 and 6	7	240,157.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	321,264.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	2,060.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	2,060.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	2,060.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 840.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 1,800.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	2,640.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	580.
11 Enter the amount of line 10 to be Credited to 2014 estimated tax	580. Refunded	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions)	NONE	
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	SEE STATEMENT 11	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X		
14	The books are in care of ► BILL CAUTHEN Located at ► 215 N. JOANNA AVENUE, TAVARES, FL	Telephone no ► 352-343-2225 ZIP+4 ► 32778-2317		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►		Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM CAUTHEN 215 N. JOANNA AVE. TAVARES, FL 32778	PRESIDENT 2.00	0.	0.	0.
HOWARD HEWITT 2928 PORTO BELLO AVENUE LEESBURG, FL 34748	SECRETARY, TREASURER 1.00	0.	0.	0.
PHIL BRAUN 3939 CR 48 OKAHUMPKA, FL 34762	VICE PRESIDENT 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3

0.

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	4,867,459.
b	Average of monthly cash balances	1b	48,457.
c	Fair market value of all other assets	1c	168,381.
d	Total (add lines 1a, b, and c)	1d	5,084,297.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,084,297.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	76,264.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,008,033.
6	Minimum investment return. Enter 5% of line 5	6	250,402.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	250,402.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,060.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,060.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	248,342.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	248,342.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	248,342.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	321,264.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	321,264.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,060.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	319,204.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				248,342.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			209,603.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 321,264.				
a Applied to 2012, but not more than line 2a			209,603.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				111,661.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				136,681.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

N/A

►

☐ 4942(I)(3) or ☐ 4942(I)(5)

(4) Gross investment income

[illegible]

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
FLORIDA SOUTHERN COLLEGE 111 LAKE HOLLINGSWORTH DRIVE LAKELAND, FL 33801	N/A	PUBLIC	CONSTRUCTION OF NEW BUSINESS AND ECONOMICS BUILDING	200,000.
COMMUNITY MEDICAL CARE CENTER 1210 WEST MAIN STREET LEESBURG, FL 34748	N/A	PUBLIC	EQUIPMENT, FURNITURE & PAINTING	7,500.
LAKE SUMTER CHILDREN'S ADVOCACY CENTER 300 S CANAL STREET LEESBURG, FL 34748	N/A	PUBLIC	GENERAL SUPPORT	25,000.
LAKE SUMTER COMMUNITY COLLEGE FOUNDATION 9501 US HIGHWAY 411 LEESBURG, FL 34748	N/A	PUBLIC	SCHOLARSHIPS	10,000.
LEESBURG FOOD BANK 1305 SUNSHINE AVENUE LEESBURG, FL 34748	N/A	PUBLIC	GENERAL SUPPORT	25,302.
Total	SEE CONTINUATION SHEET(S)			3a 309,603.
b Approved for future payment				
NONE				
Total				3b 0.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BEACON COLLEGE 105 EAST MAIN STREET LEESBURG, FL 34748	N/A	PUBLIC	CAPITAL CONSTRUCTION	15,000.
LEESBURG HIGH SCHOOL 1401 W. MEADOWS AVE LEESBURG, FL 34768	N/A	PUBLIC	PROJECT GRADUATION	1,500.
LEESBURG HUMANE SOCIETY 41250 EMERALDA ISLAND ROAD LEESBURG, FL 34748	N/A	PUBLIC	GENERAL SUPPORT	5,301.
MORRISON UNITED METHODIST CHURCH 1005 W MAIN STREET LEESBURG, FL 34748	N/A	PUBLIC	PAYOUT OF EXISTING MORTGAGE	5,000.
SALVATION ARMY PO BOX 491265 LEESBURG, FL 34749	N/A	PUBLIC	FOOD AND SOCIAL SERVICES TO THOSE IN NEED	15,000.
Total from continuation sheets				41,801.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WELLS FARGO "PUBLICLY TRADED SECURITIES"		VARIOUS	VARIOUS
b	WELLS FARGO "PUBLICLY TRADED SECURITIES"		VARIOUS	VARIOUS
c	WELLS FARGO "PUBLICLY TRADED SECURITIES"		VARIOUS	VARIOUS
d	LPL FINANCIAL "PUBLICLY TRADED SECURITIES"		VARIOUS	VARIOUS
e	LPL FINANCIAL "PUBLICLY TRADED SECURITIES"		VARIOUS	VARIOUS
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 149,031.		148,511.	520.
b 83,365.		83,771.	-406.
c 375,598.		264,800.	110,798.
d 98,316.		100,000.	-1,684.
e 60,000.		52,031.	7,969.
f 9,890.			9,890.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			520.
b			-406.
c			110,798.
d			-1,684.
e			7,969.
f			9,890.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	127,087.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
K-1 COLONIAL PINES - LIMITED PARTNER	2.	2.	
K-1 COLONIAL PINES - LIMITED PARTNER- LOSS CARRYFORWARD	-15.	-15.	
PASSTHROUGH INTEREST - COLONIAL PINES LTD	15.	15.	
WELLS FARGO	2.	2.	
TOTAL TO PART I, LINE 3	4.	4.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
LPL FINANCIAL 1533-3740	31,239.	7,952.	23,287.	23,287.	
LPL FINANCIAL 7039-5010	21,259.	1,761.	19,498.	19,498.	
WELLS FARGO	65,509.	177.	65,332.	65,332.	
TO PART I, LINE 4	118,007.	9,890.	108,117.	108,117.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
K-1 RENTAL INCOME FROM COLONIAL PINES APTS, LTD - GP #20-3704686	3,521.	3,521.	
K-1 RENTAL INCOME FROM COLONIAL PINES APTS, LTD - LIMITED PARTNER	35,206.	35,206.	
K-1 LIMITED PARTNER LOSS CARRYFORWARD	-35,206.	-35,206.	
K-1 ORD INCOME FROM ENTERPRISE	-1,396.	-1,396.	
K-1 1231 GAIN FROM ENTERPRISE	-9.	-9.	
TOTAL TO FORM 990-PF, PART I, LINE 11	2,116.	2,116.	

FORM 990-PF	LEGAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	15,269.	7,635.		7,634.	
TO FM 990-PF, PG 1, LN 16A	15,269.	7,635.		7,634.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING	4,795.	2,398.		2,397.	
TO FORM 990-PF, PG 1, LN 16B	4,795.	2,398.		2,397.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
REAL ESTATE TAXES	3,634.	3,634.		0.	
ANNUAL REPORT FEE	200.	0.		200.	
FOREIGN TAXES ON DIVIDENDS	945.	945.		0.	
TO FORM 990-PF, PG 1, LN 18	4,779.	4,579.		200.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	1,430.	0.		1,430.	
INVESTMENT FEES	12,432.	12,432.		0.	
MISCELLANEOUS	8.	8.		0.	
PASSTHROUGH SPECIAL DEPRECIATION FROM COLONIAL PINES APTS, LTD	4,289.	4,289.		0.	

K-1 COLONIAL PINES - LIMITED PARTNER DEPRECIATION	4,539.	4,539.	0.
K-1 COLONIAL PINES - LIMITED PARTNER DEPRECIATION LOSS CARRYFORWARD	-4,539.	-4,539.	0.
TO FORM 990-PF, PG 1, LN 23	18,159.	16,729.	1,430.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
550 SH ABBOTT LAB	12,035.	21,082.
500 SH APACHE CORP	39,967.	42,970.
150 SH APPLE IN	23,377.	84,153.
1700 SH AT & T	42,521.	59,772.
550 SH AUTOMATIC DATA PROCESSING	23,004.	44,439.
300 SH BAKER HUGHES	25,096.	16,578.
400 SH BB & T	10,360.	14,928.
500 SH BED BATH & BEYOND	17,688.	40,150.
500 SH BEMIS CO	0.	0.
525 SH CHEVRON	42,754.	65,578.
1100 CISCO SYSTEMS	0.	0.
300 SH COCA COLA	14,904.	24,786.
250 SH COLGATE PALMOLIVE	4,560.	32,605.
2100 SH CSX CORP	29,969.	60,417.
700 SH CVS CAREMARK	26,879.	50,099.
700 SH DEERE & CO	0.	0.
200 SH DIAGEO PLC	13,969.	26,484.
600 SH DOMINION RES	0.	0.
500 SH EDISON INTL	0.	0.
525 SH EXXON MOBIL	36,950.	53,130.
240 SH FRONTIER COMMUNICATIONS	1,963.	1,116.
700 SH GENERAL MILLS	21,304.	34,937.
200 SH GOLDMAN SACHS	28,933.	35,452.
500 SH ILLINOIS TOOL	20,486.	42,040.
1800 SH INTEL CORP	36,739.	46,719.
350 SH INTL BUSINESS MACHINE	38,964.	65,650.
675 SH JOHNSON & JOHNSON	25,424.	61,823.
850 SH JP MORGAN CHASE	49,753.	67,252.
550 SH KELLOGG CO	28,361.	33,589.
300 SH KIMBERLY CLARK	17,412.	31,338.
450 SH LOCKHEED MARTIN	0.	0.
500 SH LOWES CO	9,964.	24,775.
550 SH MACDONALDS CORP	31,430.	53,367.
700 SH MERCK & CO	25,549.	35,035.
600 SH METLIFE	18,615.	32,352.
1100 SH MICROSOFT	25,834.	41,151.
300 SH NEXTRA ENERGY	0.	0.
500 SH NIKE INC	26,687.	78,640.

900 SH NORFOLK SOUTHERN CORP	38,974.	83,547.
525 SH NORTHERN TRUST CORP	0.	0.
600 SH NOVARTIS AG	28,403.	48,228.
300 SH OCCIDENTAL PETE	21,078.	28,530.
1100 SH ORACLE CORP	23,677.	42,086.
300 SH PEPSICO INC	22,987.	24,882.
591 SH PFIZER INC	10,351.	18,102.
300 SH PROCTOR & GAMBLE	22,198.	24,423.
550 SH SPDR S & P MIDCAP	64,337.	134,310.
1625 SH SPDR S & P TRUST ET	174,414.	300,121.
600 SH TARGET CORP	0.	0.
400 SH THE SOUTHERN COMPANY	0.	0.
400 SH UNITED TECH CORP	22,067.	45,520.
250 SH VANGUARD MATERIALS	16,823.	25,813.
1000 SH VERIZON COM	29,134.	49,140.
425 SH WAL MART STORES	21,530.	33,443.
1200 SH WASTE MANAGEMENT	34,982.	53,844.
400 SH YUM BRANDS	16,964.	30,244.
1000 SH BERKSHIRE HATHAWAY	86,000.	118,560.
550.000 SH ABBVIE INC	13,051.	29,045.
250.000 DISNEY WALT	16,242.	19,100.
400.000 SH EMERSON ELECTRIC	22,566.	28,072.
300.000 SH ENTERPRISE PRODUCTS	16,354.	19,890.
200.000 SH HANESBRAND	13,983.	14,054.
185.000 SH MONSANTO	19,664.	21,562.
300.000 SH MEDTRONIC	15,680.	17,217.
250.000 SH QUALCOMM	18,085.	18,563.
150.000 SH UNION PACIFIC	21,312.	25,200.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,562,307.	2,575,903.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
5268.734 SH TEMPLETON FDS INC TR GLB	COST	65,462.	68,968.
1722.404 SH VANGUARD INDEX FDS	COST	44,033.	80,385.
3703.466 SH VANGUARD WORLD FD	COST	58,476.	86,439.
2110.595 SH IVY FDS INC	COST	50,000.	68,130.
3842.496 SH LOOMIS SAYLES	COST	49,194.	58,252.
3660 SH VANGUARD DIV APP FD	COST	179,905.	275,378.
1665 SH VANGUARD EMERGING MARKETS	COST	75,262.	68,087.
3222.802 SH VANGUARD WELLINGTON	COST	108,916.	130,505.
7297.744 SH VANGUARD BD INDEX	COST	76,322.	80,932.
9706.128 SH VANGUARD HIGH YIELD	COST	49,901.	58,528.
6000.074 SH VANGUARD INDEX GROWTH	COST	177,382.	287,224.
5089.462 SH VANGUARD SM CAP GROWTH	COST	94,037.	174,925.
2223.406 SH VANGUARD INL EQUITY	COST	68,520.	57,497.
2431.817 SH VANGUARD TOTAL INTL	COST	45,418.	40,733.

ROGERS' FAMILY FOUNDATION, INC.

59-2969938

5384.671 SH VANGUARD VALUE	COST	99,559.	160,356.
1710.791 SH VANGUARD MID CAP VALUE	COST	33,692.	53,685.
7300.880 SH VANGUARD MID CAP STOCK	COST	119,423.	219,172.
10702.055 SH HARTFORD ALL ASSET	COST	125,000.	133,348.
670.000 SH SPDR S&P	COST	35,061.	48,655.
771.278 SH VANGUARD ENERGY INVST	COST	55,273.	51,930.
665.429 SH VANGUARD FIXED INCOME	COST	7,094.	7,120.
2132.308 SH FIRST EAGLE	COST	105,201.	114,761.
2712.000 SH POWERSHARES	COST	100,045.	116,833.
6674.082 SH RIDGEWORTH	COST	60,000.	60,467.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,883,176.	2,502,310.

FORM 990-PF	OTHER ASSETS	STATEMENT	10
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
COLONIAL PINES APARTMENTS GENERAL PARTNERSHIP INTEREST	9,118.	8,352.	0.
DIVIDEND IN TRANSIT	3,307.	2,627.	2,627.
TO FORM 990-PF, PART II, LINE 15	12,425.	10,979.	2,627.

FORM 990-PF	EXPLANATION CONCERNING PART VII-A, LINE 8B	STATEMENT	11
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EXPLANATION

NOT REQUIRED BY THE STATE OF FLORIDA

Application for Extension of Time To File an Exempt Organization Return

► **File a separate application for each return.**
► **Information about Form 8868 and its instructions is at www.irs.gov/form8868**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	ROGERS FAMILY FOUNDATION, INC.	59-2969938
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	215 N. JOANNA AVENUE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	TAVARES, FL 32778-2317	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

BILL CAUTHEN

- The books are in the care of ► 215 N. JOANNA AVENUE - TAVARES, FL 32778-2317

Telephone No. ► 352-343-2225

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for.
► ☒ calendar year 2013 or
► ☐ tax year beginning _____, and ending _____

2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	2,640.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	840.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	1,800.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions