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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation Sam Berman Charitable Foundation		A Employer identification number 59-2812513	
% SHERYL GREENWALD		B Telephone number (see instructions) (954) 505-3493	
Number and street (or P O box number if mail is not delivered to street address) 1920 East Hallandale Beach Blvd Suite 606		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code Hallandale Beach, FL 33009		C If exemption application is pending, check here	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 13,325,583		J Accounting method ☒ Cash ☐ Accrual Other (specify) (Part I, column (d) must be on cash basis.)	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	84,330	78,291		
	4 Dividends and interest from securities.	310,160	310,142		
	5a Gross rents	406,402	406,402		
	b Net rental income or (loss) 243,090				
	6a Net gain or (loss) from sale of assets not on line 10	7,866			
	b Gross sales price for all assets on line 6a 4,203,708				
	7 Capital gain net income (from Part IV, line 2)		7,866		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 28,001	14,673		
	12 Total. Add lines 1 through 11	836,759	817,374		
	13 Compensation of officers, directors, trustees, etc	102,025	77,025		25,000
	14 Other employee salaries and wages	52,801	35,905		16,896
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).	 17,578	8,790	0	8,788
	b Accounting fees (attach schedule).	 6,000	3,000	0	3,000
	c Other professional fees (attach schedule)	 960	960		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	 131,882	128,211		3,671
	19 Depreciation (attach schedule) and depletion	 116,127	116,127		
	20 Occupancy	10,290	10,290		
	21 Travel, conferences, and meetings	287	287		
	22 Printing and publications				
	23 Other expenses (attach schedule).	 204,446	188,937		15,303
	24 Total operating and administrative expenses. Add lines 13 through 23	642,396	569,532	0	72,658
	25 Contributions, gifts, grants paid	522,245			522,245
	26 Total expenses and disbursements. Add lines 24 and 25	1,164,641	569,532	0	594,903
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-327,882			
	b Net investment income (if negative, enter -0-)		247,842		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	279,763	371,031	371,031
	2	Savings and temporary cash investments	109,669	354,914	354,914
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	130,651	☒ 104,702	108,421
	b	Investments—corporate stock (attach schedule)	8,607,011	☒ 7,803,021	8,688,388
	c	Investments—corporate bonds (attach schedule).	871,494	☒ 1,215,838	1,377,635
	11	Investments—land, buildings, and equipment basis ▶ _____ 3,855,443 Less accumulated depreciation (attach schedule) ▶ _____ 696,762	3,274,808	☒ 3,158,681	2,200,000
	12	Investments—mortgage loans	280,059	225,194	225,194
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	☒ 5,370	☒ 0	☒ 0	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	13,558,825	13,233,381	13,325,583	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	☒ 54,333	☒ 56,771	
	23	Total liabilities (add lines 17 through 22)	54,333	56,771	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	13,504,492	13,176,610	
	30	Total net assets or fund balances (see page 17 of the instructions)	13,504,492	13,176,610	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	13,558,825	13,233,381	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 13,504,492
2	Enter amount from Part I, line 27a	2 -327,882
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 13,176,610
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 13,176,610

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	7,866
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	579,760	12,258,830	0 047293
2011	522,472	11,755,135	0 044446
2010	442,942	10,262,957	0 043159
2009	802,685	11,730,843	0 068425
2008	79,051	12,838,197	0 006157

2 Total of line 1, column (d).	2	0 20948
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 041896
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	12,890,131
5 Multiply line 4 by line 3.	5	540,045
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	2,478
7 Add lines 5 and 6.	7	542,523
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	594,903

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	2,478
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	2,478
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,478
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	5,609
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	5,609
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,131
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ 3,131 Refunded ☐	11	

Part VII-A **Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☒	1a		Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b			No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>				
c	Did the foundation file Form 1120-POL for this year?	1c			No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____				
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____				
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2			No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i> ☒	3			No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes		
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> ☒	5			No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes		
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes		
8a	Enter the states to which the foundation reports or with which it is registered (see instructions): ☒ FL _____				
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes		
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9			No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10			No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of SHERYL GREENWALD Telephone no (954) 505-3493 Located at 1920 EAST HALLANDALE BEACH BLVD 6 Hallandale FL ZIP+4 33009			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.		15	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Carole Berman	Director	0		
1920 East Hallandale Beach Blvd Hallandale Beach, FL 33009	10			
Sheryl Greenwald	President and Director	102,025		
1920 East Hallandale Beach Blvd Hallandale Beach, FL 33009	400			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SUSAN WHEELER	OFFICE MANAGER	52,801		0
1920 E HALLANDALE BEACH BLVD HALLANDALE BEACH, FL 33009	400			
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	10,356,467
b	Average of monthly cash balances.	1b	529,960
c	Fair market value of all other assets (see instructions).	1c	2,200,000
d	Total (add lines 1a, b, and c).	1d	13,086,427
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	13,086,427
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	196,296
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	12,890,131
6	Minimum investment return. Enter 5% of line 5.	6	644,507

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	644,507
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	2,478
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,478
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	642,029
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	642,029
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	642,029

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	594,903
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	594,903
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	2,478
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	592,425
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				642,029
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			522,245	
b Total for prior years 2011 , 2010 , 2009				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				0
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 594,903				
a Applied to 2012, but not more than line 2a			522,245	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				72,658
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:	0			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				569,371
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .	0			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon.					
a	"Assets" alternative test—enter					
	(1) Value of all assets.					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization.					
	(4) Gross investment income.					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NA

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	522,245
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes

No

a

Transfers from the reporting foundation to a noncharitable exempt organization of

(1)

Cash.

1a(1)

No

(2)

Other assets.

1a(2)

No

b

Other transactions

(1)

Sales of assets to a noncharitable exempt organization.

1b(1)

No

(2)

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

(3)

Rental of facilities, equipment, or other assets.

1b(3)

No

(4)

Reimbursement arrangements.

1b(4)

No

(5)

Loans or loan guarantees.

1b(5)

No

(6)

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes

☒ No

b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

2014-11-15

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes

☐ No

Paid Preparer Use Only

Print/Type preparer's name Adam Cohen CPA	Preparer's Signature	Date	Check if self-employed ☐	PTIN P00541985
Firm's name	BERKOWITZ POLLACK BRANT LLP			Firm's EIN
Firm's address	200 S BISCAYNE BLVD SIXTH FLOOR MIAMI, FL 33131			Phone no (954) 505-3493

Form 990-PF (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SEE ATTACHMENT A	P		
SEE ATTACHMENT B	P	2012-03-30	2013-02-06
SEE ATTACHMENT C	P		
SEE ATTACHMENT D	P		
SEE ATTACHMENT E	P		
SEE ATTACHMENT F	P		
SEE ATTACHMENT G	P		
SEE ATTACHMENT H	P		
SEE ATTACHMENT I	P		
SEE ATTACHMENT J	P		
SEE ATTACHMENT K	P		
13,044 000 SHS FEDERATED STRAT INCOME CL F	P	2011-01-10	2013-11-04
46,820 000 SHS FRANKLIN INCOME CL C	P		2013-11-05
18,796 992 SHS JOHN HANCOCK HIGH YIELD	P	2011-01-07	2013-11-06
12,181 000 SHS JP MORGAN HIGH YIELD	P	2011-01-07	2013-11-07
12,392 000 SHS PRINCIPAL HIGH YIELD	P	2011-01-07	2013-11-08
4,790 000 SHS TRANSAMERICA SHORT TERM BOND	P	2011-01-07	2013-11-09
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
771,213		802,927	-31,714
37,872		29,469	8,403
211,574		221,770	-10,196
397,733		393,333	4,400
318,172		325,000	-6,828
22,552		23,632	-1,080
4,769		5,241	-472
7,398		7,662	-264
327,655		294,326	33,329
820,363		839,338	-18,971
692,143		709,082	-16,939
118,397		120,010	-1,613
112,816		99,019	13,797
72,912		75,005	-2,093
99,742		100,011	-269
99,116		100,008	-892
49,940		50,013	-73
			39,341

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-31,714
			8,403
			-10,196
			4,400
			-6,828
			-1,080
			-472
			-264
			33,329
			-18,975
			-16,939
			-1,613
			13,797
			-2,093
			-269
			-892
			-73

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Jewish Federation of Broward County 5890 South Pine Island Road Davie,FL 33328	NONE	PC	Donation	122,745
National Multiple Sclerosis Society 7933 NW 53rd Street Miami,FL 33166	NONE	PC	Donation	15,000
Junior Achievement of Greater Miami Inc 13490 NW 7th Ave Miami,FL 33161	NONE	PC	Donation	40,000
Miami Lighthouse for the Blind 601 SW 8th Avenue Miami,FL 33130	NONE	PC	Donation	15,000
University School of Nova SE university 3375 SW 75 Avenue Fort Lauderdale,FL 33314	NONE	PC	Donation	20,000
Holocaust Documentation & Educa Center 2031 Harrison Street Hollywood,FL 33020	NONE	PC	Donation	50,000
Boys & Girls Clubs of Broward County 877 NW 61st Street Fort Lauderdale,FL 33309	NONE	PC	Donation	50,000
Temple Sinai of North Dade 18801 NE 22nd Ave Miami,FL 33180	NONE	PC	Donation	12,000
Jewish Adoption & Foster Care Options 4200 North University Drive Sunrise,FL 33351	NONE	PC	Donation	10,000
Jewish Family & Community Service 6261 Dupont Station Court East Jacksonville,FL 32217	NONE	PC	Donation	10,000
PACE Center for Girls 2225 North Andrews Avenue Wilton Manors,FL 33311	NONE	PC	Donation	12,500
Orloff CAJE of Broward County Inc 5890 South Pine Island Road Davie,FL 33328	NONE	PC	DONATIONS	7,500
Syracuse University 900 South Crouse Avenue Syracuse,NY 13244	NONE	PC	DONATIONS	25,000
University of Florida Foundation Inc 3205 College Ave Davie,FL 33314	NONE	PC	DONATIONS	40,000
University of Miami 1320 S Dixie Hwy Coral Gables,FL 33146	NONE	PC	DONATIONS	10,000
Total  3a				522,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Cystic Fibrosis Foundation 3443 NW 55TH STREET BUILDING 1 FORT LAUDERDALE,FL 33309	NONE	PC	DONATIONS	5,000
Jewish Community Services of South Florida 735 NE 125TH STREET NORTH MIAMI,FL 33161	NONE	PC	DONATIONS	62,500
JEWISH RECONSTRUCTIONIST CAMPING CORPORATION 101 GREENWOOD AVENUE SUITE 430 JENKINTOWN,PA 19046	NONE	PC	DONATIONS	10,000
THE VICTORY CENTER 18900 NE 25TH AVE MIAMI,FL 33180	NONE	PC	DONATIONS	5,000
Total ▶ 3a				522,245

TY 2013 Accounting Fees Schedule

Name: Sam Berman Charitable Foundation

EIN: 59-2812513

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BERKOWITZ POLLACK & BRANT CPA	6,000	3,000		3,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: Sam Berman Charitable Foundation

EIN: 59-2812513

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
LAND 16115 52AVE	2007-12-27	446,000		L					
BLDG 16115 NW 52AV	2007-12-27	3,023,365	387,595	M39		77,519			
IMPROV 16115 52ND	2007-12-27	386,078	193,040	SL	10	38,608			

TY 2013 Investments Corporate Bonds Schedule

Name: Sam Berman Charitable Foundation

EIN: 59-2812513

Name of Bond	End of Year Book Value	End of Year Fair Market Value
MORGAN STANLEY- 113330	28,364	26,527
MORGAN STANLEY- 113329	97,758	130,118
MORGAN STANLEY- 113206	439,716	437,960
MERRILL LYNCH- 02114	650,000	783,030

**TY 2013 Investments Corporate
Stock Schedule****Name:** Sam Berman Charitable Foundation**EIN:** 59-2812513

Name of Stock	End of Year Book Value	End of Year Fair Market Value
LPL FINANCIAL	0	0
MORGAN STANLEY- 113330	659,140	733,618
MORGAN STANLEY- 113329	2,203,709	2,365,297
MORGAN STANLEY- 113206	649,609	659,104
MERRILL LYNCH- 02114	4,190,563	4,826,369
MORGAN STANLEY- 113816	100,000	104,000

TY 2013 Investments Government Obligations Schedule

Name: Sam Berman Charitable Foundation

EIN: 59-2812513

**US Government Securities - End of
Year Book Value:**

**US Government Securities - End of
Year Fair Market Value:**

**State & Local Government
Securities - End of Year Book
Value:**

104,702

**State & Local Government
Securities - End of Year Fair
Market Value:**

108,421

TY 2013 Investments - Land Schedule**Name:** Sam Berman Charitable Foundation**EIN:** 59-2812513

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
LAND 16115 52AVE	446,000		446,000	
BLDG 16115 NW 52AV	3,023,365		2,558,251	
IMPROV 16115 52ND	386,078		154,430	

TY 2013 Land, Etc. Schedule**Name:** Sam Berman Charitable Foundation**EIN:** 59-2812513

TY 2013 Legal Fees Schedule**Name:** Sam Berman Charitable Foundation**EIN:** 59-2812513

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MJ STONE & ASSOCIATES PA	15,527	7,764		7,763
GRAY ROBINSON ATTORNEYS AT LAW	1,637	819		818
GREENSPOON & MARDER, P A	414	207		207

TY 2013 Other Assets Schedule

Name: Sam Berman Charitable Foundation

EIN: 59-2812513

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
SECURITIES SOLD NOT REPORTED			
ON 1099	5,370	0	0

TY 2013 Other Expenses Schedule

Name: Sam Berman Charitable Foundation

EIN: 59-2812513

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADVERTISING EXPENSE	1,250	1,250		
COMPUTER EXPENSE	75	75		
DUES AND SUBSCRIPTIONS	725	725		
INSURANCE	61,160	61,160		
OFFICE EXPENSE	900	450		450
OFFICE SUPPLIES	950	475		475
POSTAGE	1,553	777		776
REPAIRS AND MAINTENANCE	2,311	2,311		
TELEPHONES	2,206	1,103		1,103
PERMITS	557	557		
FINES	24			
ADVISORY FEES	90,534	90,534		
MEALS & ENTERTAINMENT	367	184		
SEC 59(E)(2) EXPENSES (K-1)	537	537		
HEALTH INSURANCE	40,318	27,820		12,499
DOMESTIC PRODUCTION ACT (K-1)	979	979		

TY 2013 Other Income Schedule**Name:** Sam Berman Charitable Foundation**EIN:** 59-2812513

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MS- 113329	5,568	5,568	
MS- 113816	263	263	
MS- 113330	56	56	
Non-dividend Distributions	18,206		
Other Income	6,708	6,708	
Royalties	92	92	
Ordinary income/loss form k-1's			
Vanguard Natural Resources, LLC	1,986	1,986	
UNREALIZED GAIN/(LOSS)	-4,878		

TY 2013 Other Liabilities Schedule**Name:** Sam Berman Charitable Foundation**EIN:** 59-2812513

Description	Beginning of Year - Book Value	End of Year - Book Value
SECURITY DEPOSITS	51,933	51,933
PAYROLL TAX LIABILITIES	84	84
SALES TAX PAYABLE	2,316	4,754

TY 2013 Other Professional Fees Schedule

Name: Sam Berman Charitable Foundation

EIN: 59-2812513

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ARCHITECT FEES	960	960		

TY 2013 Taxes Schedule

Name: Sam Berman Charitable Foundation

EIN: 59-2812513

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	11,843	8,172		3,671
LICENSES	65	65		
FOREIGN TAXES	3,179	3,179		
TAXES	976	976		
REAL ESTATE TAXES	115,819	115,819		

SAM BERMAN CHARITABLE FOUNDATION
FORM 990-PF
EIN# 59-2812513
TAX YEAR 2013
ATTACHMENT A

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CENTURYLINK INC		CUSIP: 156700106	Symbol (Box 1d): CTL					
	710.000	02/14/13	12/09/13	\$22,452.29	\$23,613.18	\$0.00	(\$1,160.89)	\$0.00
CLEARBRIDGE AMERN ENGY MLP FND		CUSIP: 184691103	Symbol (Box 1d): CBA					
	5.000.000	06/25/13	12/03/13	\$87,283.48	\$98,500.00	\$0.00	(\$11,216.52)	\$0.00
E V LOW DURATION GOVT INC I		CUSIP: 277923561	Symbol (Box 1d): EILDIX					
	7.812.500	12/21/12	04/03/13	\$69,453.13	\$70,000.00	\$0.00	(\$546.87)	\$0.00
	2.964	12/31/12	04/03/13	\$26.34	\$26.56	\$0.00	(\$0.21)	\$0.00
	23.196	01/31/13	04/03/13	\$206.21	\$206.44	\$0.00	(\$0.23)	\$0.00
	20.992	02/28/13	04/03/13	\$186.62	\$187.04	\$0.00	(\$0.42)	\$0.00
	23.358	03/28/13	04/03/13	\$207.66	\$207.65	\$0.00	\$0.01	\$0.00
Security Subtotal	7,883.010			\$70,079.96	\$70,627.69	\$0.00	(\$547.72)	\$0.00
ING GLOBAL REAL ESTATE FD I		CUSIP: 44980Q302	Symbol (Box 1d): IGLIX					
	5,844.846	04/03/13	12/18/13	\$105,908.61	\$109,999.94	\$0.00	(\$4,091.33)	\$0.00
INVESCO SHORT TERM BOND Y		CUSIP: 00142C136	Symbol (Box 1d): STBYX					
	8,000.000	12/21/12	04/03/13	\$70,000.00	\$70,000.00	\$0.00	\$0.00	\$0.00
	2.313	12/31/12	04/03/13	\$20.24	\$20.24	\$0.00	\$0.00	\$0.00
	15.215	01/31/13	04/03/13	\$133.13	\$132.98	\$0.00	\$0.15	\$0.00
	13.376	02/28/13	04/03/13	\$117.04	\$117.04	\$0.00	\$0.00	\$0.00
	12.130	03/28/13	04/03/13	\$106.14	\$106.02	\$0.00	\$0.12	\$0.00
Security Subtotal	8,043.034			\$70,376.55	\$70,376.28	\$0.00	\$0.27	\$0.00
JOHN HANCOCK BOND I		CUSIP: 410223408	Symbol (Box 1d): JHBIX					
	22.559	09/04/12	07/19/13	\$360.01	\$365.88	\$5.87	(\$5.87)	\$0.00
	24.527	09/04/12	07/19/13	\$391.40	\$397.78	\$6.38	(\$6.38)	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

CONTINUED ON NEXT PAGE

Short Term - Covered Securities (Continued)

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
JOHN HANCOCK BOND I	1,206.047	09/04/12	07/19/13	\$19,248.59	\$19,562.16	\$0.00	(\$313.57)	\$0.00
	13.041	12/17/12	12/12/13	\$206.83	\$213.87	\$0.00	(\$7.04)	\$0.00
	24.280	12/31/12	12/12/13	\$385.08	\$398.68	\$0.00	(\$13.60)	\$0.00
	24.029	01/31/13	12/12/13	\$381.10	\$394.56	\$0.00	(\$13.46)	\$0.00
	23.917	02/28/13	12/12/13	\$379.32	\$394.16	\$0.00	(\$14.84)	\$0.00
	22.524	03/28/13	12/12/13	\$357.23	\$371.20	\$0.00	(\$13.97)	\$0.00
	23.275	04/30/13	12/12/13	\$369.14	\$387.53	\$0.00	(\$18.39)	\$0.00
	23.191	05/31/13	12/12/13	\$367.81	\$379.64	\$0.00	(\$11.83)	\$0.00
	20.531	08/30/13	12/12/13	\$325.62	\$322.33	\$0.00	\$3.29	\$0.00
	20.290	09/30/13	12/12/13	\$321.80	\$320.98	\$0.00	\$0.82	\$0.00
	19.786	10/31/13	12/12/13	\$313.81	\$316.78	\$0.00	(\$2.97)	\$0.00
	20.180	11/29/13	12/12/13	\$320.05	\$321.26	\$0.00	(\$1.21)	\$0.00
Security Subtotal	1,488.177			\$23,727.79	\$24,146.81	\$12.25	(\$419.02)	\$0.00
KAYNE ANDERSON ENERGY TOT	CUSIP 48660P104		Symbol (Box 1d) KYE		\$30,043.02	\$0.00	(\$1,483.34)	\$0.00
KKR INCOME OPPORTUNITIES FUND	CUSIP: 48249T106		Symbol (Box 1d) KIO		\$20,000.00	\$0.00	(\$2,500.31)	\$0.00
LORD ABBETT BOND DEB F	CUSIP: 544004609		Symbol (Box 1d):LBDFX		\$30,000.00	\$0.00	\$0.00	\$0.00
NEUBERGER BERMAN MLP INC FND	CUSIP 64129H104		Symbol (Box 1d) NML		\$49,475.00	\$0.00	(\$4,193.79)	\$0.00
NUVEEN FLEXIBLE INVT INCOME FD	CUSIP: 67075B104		Symbol (Box 1d):JPW					

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
NUVEEN FLEXIBLE INVT INCOME	1 250 000	06/25/13	12/03/13	\$20,374.77	\$25 000.00	\$0.00	(\$4,625.23)	\$0.00
POWERSHARES ETF TRUST FINL		CUSIP: 73935X229	Symbol (Box 1d): PGF					
	1,155 000	12/03/13	12/18/13	\$19,542.37	\$19,865.88	\$0.00	(\$323.51)	\$0.00
	300 000	12/09/13	12/18/13	\$5,075.95	\$5,119.53	\$0.00	(\$43.58)	\$0.00
Security Subtotal	1,455,000			\$24,618.32	\$24,985.41	\$0.00	(\$367.09)	\$0.00
POWERSHARES VRDO TAX-FREE WK		CUSIP: 73936T433	Symbol (Box 1d): PVI					
	1,000 000	12/12/13	12/26/13	\$24,982.76	\$24,986.70	\$0.00	(\$3.94)	\$0.00
SENTINEL SHORT MATURITY GOVT A		CUSIP: 817270853	Symbol (Box 1d): SSIGX					
	22,075 055	12/10/12	04/03/13	\$198,896.02	\$200,000.00	\$0.00	(\$1,103.98)	\$0.00
	31 746	12/20/12	04/03/13	\$286.03	\$286.98	\$0.00	(\$0.95)	\$0.00
	31 861	01/24/13	04/03/13	\$287.07	\$287.39	\$0.00	(\$0.32)	\$0.00
	34 438	02/21/13	04/03/13	\$310.29	\$309.94	\$0.00	\$0.35	\$0.00
	32 028	03/21/13	04/03/13	\$288.79	\$288.25	\$0.00	\$0.54	\$0.00
Security Subtotal	22,205,128			\$200,068.20	\$201,172.56	\$0.00	(\$1,104.36)	\$0.00
Total Short Term Covered Securities				\$771,213.31	\$802,926.59	\$12.25	(\$31,713.27)	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

SAM BERMAN CHARITABLE FOUNDATION
FORM 990-PF
EIN# 59-2812513
TAX YEAR 2013
ATTACHMENT B

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SEAGATE TECHNOLOGY PLC	1,100 000	03/30/12	02/06/13	\$37,872.26	\$29,469.00	\$0.00	\$8,403.26	\$0.00
CUSIP: G7945M107		Symbol (Box 1d)		STX				
				\$37,872.26	\$29,469.00	\$0.00	\$8,403.26	\$0.00
Total Short Term Noncovered Securities								

SAM BERMAN CHARITABLE FOUNDATION
FORM 990-PF
EIN# 59-2812513
TAX YEAR 2013
ATTACHMENT C

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
INVESCO MORTGAGE CAPITAL INC	1,140,000	07/17/12	12/03/13	\$16,897.69	\$21,664.67	\$0.00	(\$4,766.98)	\$0.00
JOHN HANCOCK BOND I	24,527	09/04/12	12/12/13	\$389.00	\$395.62	\$0.00	(\$6.62)	\$0.00
	4,912,094	09/04/12	12/12/13	\$77,905.81	\$79,674.16	\$0.00	(\$1,768.35)	\$0.00
	22,559	09/16/12	12/12/13	\$357.79	\$364.56	\$0.00	(\$6.77)	\$0.00
	18,665	09/28/12	12/12/13	\$296.03	\$305.17	\$0.00	(\$9.14)	\$0.00
	23,556	10/31/12	12/12/13	\$373.60	\$387.02	\$0.00	(\$13.42)	\$0.00
	24,314	11/30/12	12/12/13	\$385.62	\$399.48	\$0.00	(\$13.86)	\$0.00
Security Subtotal	5,025,715			\$79,707.85	\$81,526.01	\$0.00	(\$1,818.16)	\$0.00
POWERSHARES VRDO TAX-FREE WK	1,500,000	12/10/12	12/26/13	\$37,474.15	\$37,481.34	\$0.00	(\$7.19)	\$0.00
	2,500,000	12/10/12	12/26/13	\$62,456.91	\$62,468.89	\$17.84	(\$11.98)	\$0.00
Security Subtotal	4,000,000			\$99,931.06	\$99,950.23	\$17.84	(\$19.17)	\$0.00
SOUTHERN COPPER CORP	608,000	07/17/12	12/03/13	\$15,037.09	\$18,628.65	\$0.00	(\$3,591.56)	\$0.00
Total Long Term Covered Securities				\$211,573.69	\$221,769.56	\$17.84	(\$10,195.87)	\$0.00

SAM BERMAN CHARITABLE FOUNDATION
FORM 990-PF
EIN# 59-2812513
TAX YEAR 2013
ATTACHMENT D

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AMERICAN CAPITAL AGENCY	CUSIP: 02503X105	Symbol (Box 1d): AGNC						
	345 000	12/28/10	05/03/13	\$10,797 53	\$10,203 89	\$0 00	\$593 64	\$0 00
	1,000 000	01/26/11	05/03/13	\$31,297 20	\$28,598 00	\$0 00	\$2,699 20	\$0 00
	665 000	05/25/11	05/03/13	\$20,812 64	\$20,023 15	\$0 00	\$789 49	\$0 00
	200 000	06/01/11	05/03/13	\$6,259 44	\$6,065 56	\$0 00	\$193 88	\$0 00
Security Subtotal	2,210,000			\$69,166 81	\$64,890 60	\$0 00	\$4,276 21	\$0 00
BLACKROCK EQUITY DIVIDEND I	CUSIP: 09251M504	Symbol (Box 1d): MADVX						
	1,075 847	05/25/11	04/03/13	\$23,076 92	\$20,000 00	\$0 00	\$3,076 92	\$0 00
BT GP PLC SPON ADR	CUSIP: 05577E101	Symbol (Box 1d): BT						
	310 000	05/25/11	12/03/13	\$18,634 08	\$10,004 79	\$0 00	\$8 629 29	\$0 00
CENTURYLINK INC	CUSIP: 156700106	Symbol (Box 1d): CTL						
	290 000	08/12/11	12/09/13	\$9,170 65	\$10,001 46	\$0 00	(\$830 81)	\$0 00
CREDIT SUISSE GU 7 9%	CUSIP: 225448208	Symbol (Box 1d):						
	365 000	05/25/11	03/28/13	\$9 125 00	\$10,001 00	\$0 00	(\$876 00)	\$0 00
DIAMOND OFFSHORE DRILLING INC	CUSIP: 25271C102	Symbol (Box 1d): DO						
	135 000	05/25/11	12/03/13	\$8,059 81	\$9,605 78	\$0 00	(\$1,545 97)	\$0 00
GOLDMAN SACHS GRW OPPORT I	CUSIP: 38142Y401	Symbol (Box 1d): GGOIX						
	776 699	05/25/11	04/03/13	\$20,504 85	\$20,000 00	\$0 00	\$504 85	\$0 00
ING GLOBAL BOND I	CUSIP: 44980Q468	Symbol (Box 1d): IGBIX						
	842 460	08/12/11	12/03/13	\$9,090 14	\$9,570 84	\$0 00	(\$480 70)	\$0 00
ING GLOBAL REAL ESTATE FD I	CUSIP: 44980Q302	Symbol (Box 1d): IGLIX						
	587 889	05/25/11	12/18/13	\$10,652 55	\$9,915 82	\$0 00	\$736 73	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ING GROEP NV 8.50%		CUSIP: 456837806	Symbol (Box 1d): IGK					
	760 000	05/25/11	12/16/13	\$19,000 00	\$19,950 00	\$0 00	(\$950 00)	\$0 00
ISHARES MORTGAGE RE EST CP ETF		CUSIP: 464288539	Symbol (Box 1d): REM					
	2,180 000	03/30/12	12/09/13	\$25,026 18	\$29,491 04	\$0 00	(\$4,464 86)	\$0 00
ISHARES S&P US PFD STK IDX FD		CUSIP: 464288687	Symbol (Box 1d): PFF					
	755 000	03/30/12	12/09/13	\$28,402 60	\$29,511 06	\$0 00	(\$1,108 46)	\$0 00
POWERSHARES ETF TRUST FINL		CUSIP: 73935X229	Symbol (Box 1d): PGF					
	545 000	05/25/11	12/18/13	\$9,221 29	\$9,981 46	\$0 00	(\$760 17)	\$0 00
POWERSHARES PREFERRED PORT		CUSIP: 739361565	Symbol (Box 1d): PGX					
	155 000	08/17/10	12/09/13	\$2,108 12	\$2,210 93	\$0 00	(\$102 81)	\$0 00
	365 000	12/28/10	12/09/13	\$4,964 28	\$5,120 22	\$0 00	(\$155 94)	\$0 00
	1,355 000	01/26/11	12/09/13	\$18,429 03	\$19,089 24	\$0 00	(\$660 21)	\$0 00
	695 000	05/25/11	12/09/13	\$9,452 53	\$10,020 79	\$0 00	(\$568 26)	\$0 00
	275 000	06/01/11	12/09/13	\$3,740 21	\$3,967 65	\$0 00	(\$227 44)	\$0 00
Security Subtotal	2,845 000			\$38,694.17	\$40,408.83	\$0 00	(\$1,714.66)	\$0 00

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PUBLIC STORAGE 6 500 SERS Q	4,000.000	04/05/11	12/03/13	\$99,907.86	\$100,000.00	\$0.00	(\$92.14)	\$0.00
Total Long Term Noncovered Securities					\$393,332.68	\$0.00	\$4,400.23	\$0.00

SAM BERMAN CHARITABLE FOUNDATION
FORM 990-PF
EIN# 59-2812513
TAX YEAR 2013
ATTACHMENT E

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BAC STEP UP NOTE 5500 *27JA27	200,000.000	01/24/12	07/29/13	\$200,000.00	\$200,000.00	\$0.00	\$0.00	\$0.00
PUBLIC STORAGE 575% SER-T	1,000.000	03/06/12	03/25/13	\$25,809.42	\$25,000.00	\$0.00	\$809.42	\$0.00
QWEST CORP 7000 *52AP01	4,000.000	03/22/12	12/09/13	\$92,362.12	\$100,000.00	\$0.00	(\$7,637.88)	\$0.00
Total Long Term Noncovered Securities				\$318,171.54	\$325,000.00	\$0.00	(\$6,828.46)	\$0.00

SAM BERMAN CHARITABLE FOUNDATION
FORM 990-PF
EIN# 59-2812513
TAX YEAR 2013
ATTACHMENT F

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ALLSTATE CORP DEP	7 000	10/07/13	11/01/13	Symbol (Box 1d): ALL C	\$176 81	\$0 00	\$2 26	\$0 00	
AMERISOURCEBERGEN CORP	5 000	04/09/13	10/22/13	Symbol (Box 1d): ABC	\$319 29	\$0 00	\$54 29	\$0 00	
	5 000	04/23/13	10/22/13	\$319 29	\$283 46	\$0 00	\$35 83	\$0 00	
Security Subtotal	10 000			\$638 58	\$548 46	\$0 00	\$90 12	\$0 00	
AMGEN INC	2 000	04/16/13	04/30/13	Symbol (Box 1d): AMGN	\$207 92	\$0 00	(\$12 98)	\$0 00	
ANADARKO PETE	3 000	04/12/13	11/07/13	Symbol (Box 1d): APC	\$276 04	\$0 00	\$18 17	\$0 00	
	2 000	04/25/13	11/07/13	\$184 03	\$170 85	\$0 00	\$13 18	\$0 00	
	1 000	04/25/13	12/10/13	\$86 32	\$85 43	\$0 00	\$0 89	\$0 00	
	6 000	08/29/13	12/10/13	\$517 91	\$551 78	\$0 00	(\$33 87)	\$0 00	
	13 000	11/01/13	12/10/13	\$1 122 13	\$1 230 84	\$0 00	(\$108 71)	\$0 00	
	1 000	11/05/13	12/10/13	\$86 31	\$93 21	\$0 00	(\$6 90)	\$0 00	
Security Subtotal	26 000			\$2 272 74	\$2 389 98	\$0 00	(\$117 24)	\$0 00	
ASPEN INSURANCE HLDG LTD 5 95%	4 000	06/28/13	11/01/13	Symbol (Box 1d): AHL C	\$95 07	\$0 00	(\$5 52)	\$0 00	
AXIS CAPITAL HLDGS LTD 5 50%-D	5 000	05/28/13	11/01/13	Symbol (Box 1d): AXS D	\$99 19	\$0 00	(\$25 01)	\$0 00	
BB&T CORP 5 20%-G	13 000	05/06/13	11/01/13	Symbol (Box 1d): BBT G	\$261 56	\$0 00	(\$63 37)	\$0 00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
BB&T CORPORATION 5.2%-F	CUSIP: 054937602	Symbol	(Box 1d)	BBT F					
	3.000	07/05/13	11/01/13	\$59.90	\$68.76	\$0.00	(\$8.86)	\$0.00	
BLACKROCK INC	CUSIP: 09247X101	Symbol	(Box 1d)	BLK					
	1.000	11/07/12	06/24/13	\$245.57	\$189.51	\$0.00	\$56.06	\$0.00	
	1.000	11/21/12	06/24/13	\$245.57	\$193.72	\$0.00	\$51.85	\$0.00	
	1.000	01/17/13	06/24/13	\$245.57	\$232.56	\$0.00	\$13.01	\$0.00	
Security Subtotal	3.000			\$736.71	\$615.79	\$0.00	\$120.92	\$0.00	
BRISTOL MYERS SQUIBB CO	CUSIP: 110122108	Symbol	(Box 1d)	BMJ					
	7.000	07/10/12	01/09/13	\$233.99	\$244.30	\$0.00	(\$10.31)	\$0.00	
	17.000	07/18/12	01/09/13	\$568.26	\$612.84	\$0.00	(\$44.58)	\$0.00	
Security Subtotal	24.000			\$802.25	\$856.94	\$0.00	(\$54.69)	\$0.00	
CISCO SYS INC	CUSIP: 17275R102	Symbol	(Box 1d)	CSCO					
	14.000	05/02/13	10/22/13	\$315.42	\$289.24	\$0.00	\$26.18	\$0.00	
	14.000	05/02/13	11/15/13	\$300.21	\$289.24	\$0.00	\$10.97	\$0.00	
	12.000	05/17/13	11/15/13	\$257.31	\$285.87	\$28.55	(\$28.55)	\$0.00	
	11.000	06/05/13	11/15/13	\$235.88	\$270.83	\$34.95	(\$34.95)	\$0.00	
	12.000	05/17/13	11/18/13	\$258.12	\$297.91	\$0.00	(\$39.79)	\$0.00	
	1.000	06/05/13	11/18/13	\$21.51	\$24.62	\$0.00	(\$3.11)	\$0.00	
	11.000	06/05/13	11/18/13	\$236.61	\$281.86	\$0.00	(\$45.25)	\$0.00	
	5.000	06/17/13	11/18/13	\$107.55	\$123.25	\$0.00	(\$15.70)	\$0.00	
	17.000	11/01/13	11/18/13	\$365.66	\$381.60	\$0.00	(\$15.94)	\$0.00	
	5.000	11/05/13	11/18/13	\$107.54	\$115.85	\$0.00	(\$8.31)	\$0.00	
Security Subtotal	102.000			\$2,205.81	\$2,360.27	\$63.50	(\$154.45)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CITIGROUP INC 5.80% SERIES C	CUSIP: 172967366	Symbol (Box 1d): C C							
	9 000	04/17/13	11/01/13	\$190.56	\$227.31	\$0.00	(\$36.75)	\$0.00	
	10 000	04/18/13	11/01/13	\$211.73	\$252.70	\$0.00	(\$40.97)	\$0.00	
	4 000	04/23/13	11/01/13	\$84.70	\$100.90	\$0.00	(\$16.20)	\$0.00	
Security Subtotal	23 000			\$486.99	\$580.91	\$0.00	(\$93.92)	\$0.00	
CITIGRP INC 7.125%-J	CUSIP: 172967358	Symbol (Box 1d): C J							
	10 000	10/02/13	11/01/13	\$259.69	\$254.07	\$0.00	\$5.62	\$0.00	
CREDIT SUISSE GU 7.9%	CUSIP: 225448208	Symbol (Box 1d):							
	31 000	10/04/12	03/28/13	\$775.00	\$806.93	\$0.00	(\$31.93)	\$0.00	
DIGITAL REALTY TR INC 5.875%-G	CUSIP: 253868889	Symbol (Box 1d): DLR G							
	3 000	05/07/13	11/01/13	\$57.53	\$75.00	\$0.00	(\$17.47)	\$0.00	
ELI LILLY & CO	CUSIP: 532457108	Symbol (Box 1d): LLY							
	10 000	01/09/13	05/20/13	\$551.15	\$529.13	\$0.00	\$22.02	\$0.00	
FEDEX CORP	CUSIP: 31428X106	Symbol (Box 1d): FDX							
	5 000	02/26/13	03/20/13	\$499.56	\$516.22	\$0.00	(\$16.66)	\$0.00	
FREEPORT MCMORAN CP&GLD	CUSIP: 35671D857	Symbol (Box 1d): FCX							
	3 000	06/29/12	03/14/13	\$99.52	\$101.83	\$0.00	(\$2.31)	\$0.00	
	4 000	07/03/12	03/14/13	\$132.69	\$141.80	\$0.00	(\$9.11)	\$0.00	
	3 000	08/21/12	03/14/13	\$99.51	\$110.06	\$0.00	(\$10.55)	\$0.00	
	4 000	08/21/12	03/19/13	\$132.67	\$146.75	\$0.00	(\$14.08)	\$0.00	
	2 000	10/16/12	03/19/13	\$66.34	\$83.13	\$0.00	(\$16.79)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FREEPORT MCMORAN CP&GLD	5 000	10/16/12	06/20/13	\$138.62	\$207.83	\$0.00	(\$69.21)	\$0.00	
	9 000	11/01/12	06/20/13	\$249.52	\$362.51	\$0.00	(\$112.99)	\$0.00	
	4 000	01/03/13	06/20/13	\$110.89	\$139.24	\$0.00	(\$28.35)	\$0.00	
Security Subtotal	34 000			\$1,029.76	\$1,293.15	\$0.00	(\$263.39)	\$0.00	
CUSIP: 38141G104 Symbol (Box 1d): GS									
GOLDMAN SACHS GRP INC	1 000	10/11/12	02/26/13	\$148.08	\$122.50	\$0.00	\$25.58	\$0.00	
	1 000	12/21/12	10/23/13	\$157.08	\$128.64	\$0.00	\$28.44	\$0.00	
Security Subtotal	2 000			\$305.16	\$251.14	\$0.00	\$54.02	\$0.00	
CUSIP: 38145G308 Symbol (Box 1d): GS J									
GOLDMAN SACHS GRP INC 5 50%-J	1 000	05/13/13	11/01/13	\$22.74	\$26.12	\$0.00	(\$3.38)	\$0.00	
CUSIP: 38145G209 Symbol (Box 1d): GS I									
GOLDMAN SACHS GRP INC 5 95%-I	10 000	11/02/12	11/01/13	\$22.29	\$250.33	\$0.00	(\$28.04)	\$0.00	
CUSIP: 406216101 Symbol (Box 1d): HAL									
HALLIBURTON CO	8 000	08/02/12	03/19/13	\$312.12	\$261.16	\$0.00	\$50.96	\$0.00	
CUSIP: 456837509 Symbol (Box 1d): ISG									
ING GROEP NV 6 1250% SER	1 000	05/07/13	11/01/13	\$23.00	\$25.23	\$0.00	(\$2.23)	\$0.00	
CUSIP: 461070856 Symbol (Box 1d): IPL D									
INTERSTATE PWR & LT CO 5 10% D	4 000	06/12/13	11/01/13	\$86.39	\$97.64	\$0.00	(\$11.25)	\$0.00	
								CONTINUED ON NEXT PAGE	

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
JPMORGAN CHASE & CO 5.45%-P		CUSIP: 46637G124	Symbol (Box 1d): JPM A						
	14 000	03/01/13	11/01/13	\$297.99	\$348.91	\$0.00	(\$50.92)	\$0.00	\$0.00
	1 000	03/05/13	11/01/13	\$21.28	\$24.95	\$0.00	(\$3.67)	\$0.00	\$0.00
	6 000	03/05/13	12/12/13	\$119.26	\$149.70	\$0.00	(\$30.44)	\$0.00	\$0.00
Security Subtotal	21 000			\$438.53	\$523.56	\$0.00	(\$85.03)	\$0.00	\$0.00
KIMCO REALTY CORP 5.625-K		CUSIP: 49446R745	Symbol (Box 1d): KIM.K						
	5 000	12/14/12	11/01/13	\$102.84	\$123.85	\$0.00	(\$21.01)	\$0.00	\$0.00
LIBERTY GLOBAL PLC CL A NEW		CUSIP: G5480U104	Symbol (Box 1d): LBTYA						
	4 000	02/06/13	06/19/13	\$287.70	\$263.12	\$0.00	\$24.58	\$0.00	\$0.00
	1 000	02/26/13	06/19/13	\$71.93	\$65.91	\$0.00	\$6.02	\$0.00	\$0.00
Security Subtotal	5 000			\$359.63	\$329.03	\$0.00	\$30.60	\$0.00	\$0.00
LOWES COMPANIES INC		CUSIP: 548661107	Symbol (Box 1d): LOW						
	7 000	11/21/12	06/24/13	\$274.15	\$242.81	\$0.00	\$31.34	\$0.00	\$0.00
	1 000	12/18/12	06/24/13	\$39.16	\$35.92	\$0.00	\$3.24	\$0.00	\$0.00
Security Subtotal	8 000			\$313.31	\$278.73	\$0.00	\$34.58	\$0.00	\$0.00
MICROSOFT CORP		CUSIP: 594918104	Symbol (Box 1d): MSFT						
	8 000	05/21/13	07/23/13	\$255.80	\$280.20	\$0.00	(\$24.40)	\$0.00	\$0.00
	8 000	06/05/13	07/23/13	\$255.79	\$277.56	\$0.00	(\$21.77)	\$0.00	\$0.00
Security Subtotal	16 000			\$511.59	\$557.76	\$0.00	(\$46.17)	\$0.00	\$0.00
MONDELEZ INTL INC COM		CUSIP: 609207105	Symbol (Box 1d): MDLZ						
	9 000	05/18/12	02/15/13	\$242.13	\$224.92	\$0.00	\$17.21	\$0.00	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
MORGAN STANLEY 7 125%-E	CUSIP: 61762V200	Symbol (Box 1d): MS E							
	7 000	10/03/13	11/01/13	\$181.15	\$176.89	\$0.00	\$4.26	\$0.00	
NATIONAL RETAIL PROP 5 70%-E	CUSIP: 637417809	Symbol (Box 1d): NNN E							
	7 000	06/06/13	11/01/13	\$138.38	\$169.69	\$0.00	(\$31.31)	\$0.00	
NETAPP INC COM	CUSIP: 64110D104	Symbol (Box 1d): NTAP							
	8 000	12/07/12	05/22/13	\$300.49	\$264.85	\$0.00	\$35.64	\$0.00	
	8 000	12/20/12	05/22/13	\$300.48	\$272.76	\$0.00	\$27.72	\$0.00	
Security Subtotal	16 000			\$600.97	\$537.61	\$0.00	\$63.36	\$0.00	
ORACLE CORP	CUSIP: 68389X105	Symbol (Box 1d): ORCL							
	3 000	01/09/13	04/11/13	\$101.09	\$103.50	\$0.00	(\$2.41)	\$0.00	
	5 000	01/09/13	06/24/13	\$150.55	\$172.49	\$0.00	(\$21.94)	\$0.00	
	8 000	01/31/13	06/24/13	\$240.89	\$283.52	\$0.00	(\$42.63)	\$0.00	
Security Subtotal	16 000			\$492.53	\$559.51	\$0.00	(\$66.98)	\$0.00	
PARTNERRE LTD 5 875%-F	CUSIP: G68603128	Symbol (Box 1d): PRE F							
	7 000	02/20/13	11/01/13	\$150.07	\$174.80	\$0.00	(\$24.73)	\$0.00	
PEABODY ENERGY CORP	CUSIP: 704549104	Symbol (Box 1d): BTU							
	9 000	12/13/12	03/19/13	\$191.95	\$253.94	\$0.00	(\$61.99)	\$0.00	
PEPSICO INC NC	CUSIP: 713448108	Symbol (Box 1d): PEP							
	7 000	05/17/12	01/02/13	\$482.59	\$481.18	\$0.00	\$1.41	\$0.00	
	3 000	05/30/12	01/02/13	\$206.83	\$204.84	\$0.00	\$1.99	\$0.00	
Security Subtotal	10 000			\$689.42	\$686.02	\$0.00	\$3.40	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PS BUSINESS PARKS INC 5 70%-V	CUSIP: 69360J644	Symbol (Box 1d)	PSB V						
	3 000	03/19/13	11/01/13	\$59.27	\$74.31	\$0.00	(\$15.04)	\$0.00	
PUBLIC STORAGE 5 20%-W	CUSIP: 74460W875	Symbol (Box 1d)	PSA W						
	5 000	01/25/13	11/01/13	\$101.69	\$124.89	\$0.00	(\$23.20)	\$0.00	
PUBLIC STORAGE 5 20%-X	CUSIP: 74460W107	Symbol (Box 1d)	PSA X						
	6 000	03/19/13	11/01/13	\$121.97	\$148.68	\$0.00	(\$26.71)	\$0.00	
QUALCOMM INC	CUSIP: 747525103	Symbol (Box 1d)	QCOM						
	3 000	08/21/12	07/08/13	\$179.85	\$185.84	\$0.00	(\$5.99)	\$0.00	
	4 000	09/10/12	07/08/13	\$239.80	\$248.39	\$0.00	(\$8.59)	\$0.00	
	4 000	09/10/12	07/23/13	\$250.20	\$248.39	\$0.00	\$1.81	\$0.00	
	6,000	11/16/12	07/23/13	\$375.30	\$369.97	\$0.00	\$5.33	\$0.00	
Security Subtotal	17,000			\$1,045.15	\$1,052.59	\$0.00	(\$7.44)	\$0.00	
RENAISSANCE HLDS SER-E 5 375	CUSIP: G7498P119	Symbol (Box 1d)	RNRE						
	12 000	06/05/13	11/01/13	\$237.47	\$282.61	\$0.00	(\$45.14)	\$0.00	
	2,000	06/12/13	11/01/13	\$39.58	\$45.86	\$0.00	(\$6.28)	\$0.00	
Security Subtotal	14,000			\$277.05	\$328.47	\$0.00	(\$51.42)	\$0.00	
RENAISSANCE 6 08 SERIES C	CUSIP: G7498P309	Symbol (Box 1d)	RNRC						
	10 000	06/26/13	11/01/13	\$230.89	\$249.80	\$0.00	(\$18.91)	\$0.00	
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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
RIO TINTO PLC SPON ADR	CUSIP: 767204100		Symbol (Box 1d): RIO						
	5 000	12/14/12	02/20/13	\$277.71	\$274.92	\$0.00	\$2.79	\$0.00	
	4 000	12/18/12	02/20/13	\$222.17	\$232.04	\$0.00	(\$9.87)	\$0.00	
	9 000			\$499.88	\$506.96	\$0.00	(\$7.08)	\$0.00	
Security Subtotal									
STATE STREET CORP 5 25%-C	CUSIP: 857477509		Symbol (Box 1d): STT C						
	3 000	06/05/13	11/01/13	\$64.74	\$74.25	\$0.00	(\$9.51)	\$0.00	
SUNTRUST BANKS INC 5 875%-E	CUSIP: 867914889		Symbol (Box 1d): STIE						
	4 000	06/20/13	11/01/13	\$86.11	\$93.46	\$0.00	(\$7.35)	\$0.00	
TARGET CORPORATION	CUSIP: 87612E106		Symbol (Box 1d): TGT						
	4 000	11/15/12	01/02/13	\$234.79	\$248.21	\$0.00	(\$13.42)	\$0.00	
	10 000	11/21/12	01/03/13	\$599.77	\$637.73	\$0.00	(\$37.96)	\$0.00	
	14 000			\$834.56	\$885.94	\$0.00	(\$51.38)	\$0.00	
Security Subtotal									
TIME WARNER CABLE INC NEW	CUSIP: 88732J207		Symbol (Box 1d): TWC						
	3 000	07/11/12	02/06/13	\$263.72	\$248.60	\$0.00	\$15.12	\$0.00	
	1 000	07/18/12	02/06/13	\$87.91	\$84.79	\$0.00	\$3.12	\$0.00	
	5 000	09/26/12	02/06/13	\$439.52	\$470.00	\$0.00	(\$30.48)	\$0.00	
	9 000			\$791.15	\$803.39	\$0.00	(\$12.24)	\$0.00	
Security Subtotal									
US BANCORP 5 15%-H	CUSIP: 902973791		Symbol (Box 1d): USB O						
	5 000	05/08/13	11/01/13	\$106.14	\$126.45	\$0.00	(\$20.31)	\$0.00	
VORNADO REALTY TR SER-L 5 40%	CUSIP: 929042844		Symbol (Box 1d): VNO L						
	3 000	02/06/13	11/01/13	\$60.11	\$73.87	\$0.00	(\$13.76)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
WEINGARTEN REALTY 6 5 SER F	1 000	06/26/13	11/01/13	\$24 18	\$24 97	\$0 00	(\$0 79)	\$0 00	
WELLS FARGO & CO NEW		CUSIP 949746101	Symbol (Box 1d)	WFC					
	6 000	06/24/13	09/24/13	\$251 08	\$239 52	\$0 00	\$11 56	\$0 00	
	7 000	07/08/13	09/24/13	\$292 93	\$300 13	\$0 00	(\$7 20)	\$0 00	
Security Subtotal	13 000			\$544 01	\$539 65	\$0 00	\$4 36	\$0 00	
WELLS FARGO & COMPANY 5 25%-P		CUSIP 949746555	Symbol (Box 1d)	WFC P					
	5 000	06/26/13	11/01/13	\$107 49	\$118 64	\$0 00	(\$11 15)	\$0 00	
	3 000	06/26/13	11/14/13	\$63 17	\$71 19	\$0 00	(\$8 02)	\$0 00	
	4 000	07/02/13	11/14/13	\$84 22	\$95 17	\$0 00	(\$10 95)	\$0 00	
Security Subtotal	12 000			\$254 88	\$285 00	\$0 00	(\$30 12)	\$0 00	
WELLS FARGO 5 85-Q FIXD-TO-FLT		CUSIP 949746556	Symbol (Box 1d)	WFC Q					
	11 000	07/24/13	11/01/13	\$267 68	\$273 90	\$0 00	(\$6 22)	\$0 00	
	3 000	07/29/13	11/01/13	\$73 00	\$74 32	\$0 00	(\$1 32)	\$0 00	
Security Subtotal	14 000			\$340 68	\$348 22	\$0 00	(\$7 54)	\$0 00	
WILLIAMS CO INC		CUSIP 969457100	Symbol (Box 1d)	WMB					
	8 000	01/03/13	06/24/13	\$254 42	\$271 36	\$0 00	(\$16 94)	\$0 00	
	8 000	01/07/13	06/24/13	\$254 42	\$271 36	\$0 00	(\$16 94)	\$0 00	
Security Subtotal	16 000			\$508 84	\$542 72	\$0 00	(\$33 88)	\$0 00	
Total Short Term Covered Securities				\$22,551.72	\$23,632.33	\$63.50	(\$1,080.60)	\$0.00	

SAM BERMAN CHARITABLE FOUNDATION
FORM 990-PF
EIN# 59-2812513
TAX YEAR 2013
ATTACHMENT G

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
AFLAC INC 5.5% 5500 *52SP15	CUSIP: 001055300	Symbol (Box 1d): AFSD							
	10.000	09/28/12	06/26/13	\$241.97	\$249.41	\$0.00	(\$7.44)	\$0.00	
CITIGROUP CAPITAL 6350 *67MH15	CUSIP: 17311H209	Symbol (Box 1d): CE							
	1.000	09/05/13	11/01/13	\$24.77	\$24.85	\$0.00	(\$0.08)	\$0.00	
COMMONWEALTH REIT 5750 *42AU01	CUSIP: 203233705	Symbol (Box 1d): CWHO							
	4.000	07/31/12	02/06/13	\$98.49	\$98.95	\$0.00	(\$0.46)	\$0.00	
	5.000	07/31/12	07/08/13	\$105.46	\$123.69	\$0.00	(\$18.23)	\$0.00	
	2.000	07/31/12	07/25/13	\$43.90	\$49.48	\$0.00	(\$5.58)	\$0.00	
	1.000	10/08/12	07/25/13	\$21.95	\$24.57	\$0.00	(\$2.62)	\$0.00	
	3.000	10/08/12	07/26/13	\$65.65	\$73.70	\$0.00	(\$8.05)	\$0.00	
	4.000	10/08/12	08/01/13	\$83.81	\$98.27	\$0.00	(\$14.46)	\$0.00	
Security Subtotal	19.000			\$419.26	\$468.66	\$0.00	(\$49.40)	\$0.00	
COUNTRYWIDE CAP V 7000 *36NV01	CUSIP: 222388209	Symbol (Box 1d): CFCB							
	1.000	08/21/13	11/01/13	\$25.01	\$24.84	\$0.00	\$0.17	\$0.00	
CREDIT SUISSE GU 7.9%	CUSIP: 225448208	Symbol (Box 1d):							
	16.000	03/30/12	03/28/13	\$400.00	\$418.24	\$0.00	(\$18.24)	\$0.00	
DUKE ENERGY CORP 5125 *73JA15	CUSIP: 26441C303	Symbol (Box 1d): DUKH							
	8.000	01/17/13	11/01/13	\$168.33	\$201.51	\$0.00	(\$33.18)	\$0.00	
	2.000	01/25/13	11/01/13	\$42.08	\$49.88	\$0.00	(\$7.80)	\$0.00	
Security Subtotal	10.000			\$210.41	\$251.39	\$0.00	(\$40.98)	\$0.00	
ENTERGY ARKANSAS 4750 *63JN01	CUSIP: 29364D753	Symbol (Box 1d): EAE							
	10.000	06/12/13	11/01/13	\$191.41	\$220.17	\$0.00	(\$28.76)	\$0.00	

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ENERGY LA LLC 4700 *63JN01	4 000	06/04/13	11/01/13	Symbol (Box 1d): ELU \$75 49	\$93 87	\$0 00	(\$18 38)	\$0 00	
EVEREST RE CP II 6200 *34MH29	2 000	12/11/12	05/24/13	Symbol (Box 1d): \$50 00	\$49 93	\$0 00	\$0 07	\$0 00	
GECC 4 70%-A 4700 *53MY16	18 000	05/22/13	11/01/13	Symbol (Box 1d): GEK \$358 83	\$447 53	\$0 00	(\$88 70)	\$0 00	
GECC 4 875-A 4875 *53JA29	14 000	02/06/13	11/01/13	Symbol (Box 1d): GEH \$289 74	\$349 83	\$0 00	(\$60 09)	\$0 00	
GENERAL MTRS CO	5 000	03/08/12	01/16/13	Symbol (Box 1d): GM \$146 39	\$126 50	\$0 00	\$19 89	\$0 00	
INTEGRYS ENERGY 6000 *73AU01	17 000	08/22/13	11/01/13	Symbol (Box 1d): IEH \$411 59	\$409 11	\$0 00	\$2 48	\$0 00	
ISHARES GOLD TRUST	0 000		01/31/13	Symbol (Box 1d): \$6 41	\$0 00	\$0 00	\$0 00	\$0 00	CT
	0 000		02/28/13	\$5 80	\$0 00	\$0 00	\$0 00	\$0 00	CT
	0 000		03/31/13	\$6 17	\$0 00	\$0 00	\$0 00	\$0 00	CT
	0 000		04/30/13	\$7 81	\$0 00	\$0 00	\$0 00	\$0 00	CT
	0 000		05/31/13	\$7 50	\$0 00	\$0 00	\$0 00	\$0 00	CT
	0 000		06/30/13	\$6 94	\$0 00	\$0 00	\$0 00	\$0 00	CT
	0 000		07/31/13	\$6 62	\$0 00	\$0 00	\$0 00	\$0 00	CT
	0 000		08/31/13	\$6 93	\$0 00	\$0 00	\$0 00	\$0 00	CT

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ISHARES GOLD TRUST	0.000		09/30/13	\$6.76	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		10/31/13	\$6.88	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		11/30/13	\$3.51	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		12/31/13	\$3.53	\$0.00	\$0.00	\$0.00	\$0.00	CT
Security Subtotal	0.000			\$74.86	\$0.00	\$0.00	\$0.00	\$0.00	
KRAFT FOODS GROUP INC COM		CUSIP: 50076Q106	Symbol (Box 1d): KRFT						
	4.000	05/18/12	05/02/13	\$201.99	\$121.59	\$0.00	\$80.40	\$0.00	
ML PFD CAPITAL TR 7120 *28JN30		CUSIP: 59021G204	Symbol (Box 1d): MER E						
	3.000	01/16/13	05/08/13	\$75.76	\$75.32	\$0.00	\$0.44	\$0.00	
MORGAN ST CAP TR 6600 *66OC15		CUSIP: 61750K208	Symbol (Box 1d): MSZ						
	1.000	10/23/13	11/01/13	\$24.49	\$24.47	\$0.00	\$0.02	\$0.00	
MORGAN ST III CUM 6250 *33MH01		CUSIP: 617460209	Symbol (Box 1d): MWR						
	2.000	04/26/13	11/01/13	\$47.73	\$50.05	\$0.00	(\$2.32)	\$0.00	
MORGAN STAN V CUM 5750 *33JL15		CUSIP: 617466206	Symbol (Box 1d): MWO						
	1.000	06/11/13	11/01/13	\$23.42	\$24.64	\$0.00	(\$1.22)	\$0.00	
MORGAN STANLEY IV 6250 *33AP01		CUSIP: 617462205	Symbol (Box 1d): MWG						
	2.000	05/31/13	11/01/13	\$47.94	\$50.00	\$0.00	(\$2.06)	\$0.00	
NEXTERA ENERGY-J 5000 *73JA15		CUSIP: 65339K886	Symbol (Box 1d): NEE J						
	6.000	01/25/13	11/01/13	\$113.59	\$147.27	\$0.00	(\$33.68)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949, Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
NEXTERA 5.125%-11/15/25	12 000	11/27/12	11/01/13	\$229.66	\$295.22	\$0.00	(\$65.56)	\$0.00	
PPL CAPITAL FNDG 5900 *73AP30	6 000	03/19/13	11/01/13	\$128.12	\$149.92	\$0.00	(\$21.80)	\$0.00	
PRUDENTIAL FINL 5700 *53MH15	12 000	04/01/13	11/01/13	\$264.12	\$300.02	\$0.00	(\$35.90)	\$0.00	
PRUDENTIAL 5.75% 5750 *52DE15	4 000	12/14/12	11/01/13	\$88.54	\$99.92	\$0.00	(\$11.38)	\$0.00	
QWEST CORPORATION 6125 *53JN01	4 000	06/04/13	11/01/13	\$83.36	\$98.80	\$0.00	(\$15.44)	\$0.00	
TELE DATA 5.875% 5875 *61DE01	4 000	12/11/12	11/01/13	\$83.48	\$99.43	\$0.00	(\$15.95)	\$0.00	
VENTAS REALTY LP 5450 *43MH15	11 000	03/19/13	11/01/13	\$244.87	\$275.05	\$0.00	(\$30.18)	\$0.00	
	4 000	06/12/13	11/01/13	\$89.04	\$93.23	\$0.00	(\$4.19)	\$0.00	
	15 000			\$333.91	\$368.28	\$0.00	(\$34.37)	\$0.00	
W R BERKLEY CORP 5625 *53AP30	5 000	05/13/13	11/01/13	\$102.83	\$127.18	\$0.00	(\$24.35)	\$0.00	
Security Subtotal				\$4,768.67	\$ 5,241.30	\$0.00	(\$472.63)	\$0.00	
Total Short Term Noncovered Securities									

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

SAM BERMAN CHARITABLE FOUNDATION
FORM 990-PF
EIN# 59-2812513
TAX YEAR 2013
ATTACHMENT H

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
BANK NEW YORK MELLON 5 20%-C		CUSIP: 064058209	Symbol (Box 1d): BK C						
	8 000	09/25/12	11/01/13	\$165 15	\$198 40	\$0 00	(\$33 25)	\$0 00	\$0 00
	11 000	09/26/12	11/01/13	\$227 08	\$272 80	\$0 00	(\$45 72)	\$0 00	\$0 00
	6 000	09/28/12	11/01/13	\$123 86	\$148 91	\$0 00	(\$25 05)	\$0 00	\$0 00
	4 000	10/05/12	11/01/13	\$82 57	\$99 98	\$0 00	(\$17 41)	\$0 00	\$0 00
Security Subtotal	29 000			\$598 66	\$720 09	\$0 00	(\$121 43)	\$0 00	\$0 00
BB&T CORPORATION 5 625% SER-E		CUSIP: 054937404	Symbol (Box 1d): BBT E						
	13 000	08/02/12	11/01/13	\$273 37	\$328 75	\$55 38	(\$55 38)	\$0 00	\$0 00
	5 000	08/02/12	11/01/13	\$105 15	\$126 45	\$0 00	(\$21 30)	\$0 00	\$0 00
	8 000	08/07/12	11/01/13	\$168 23	\$201 20	\$0 00	(\$32 97)	\$0 00	\$0 00
	4 000	08/23/12	11/01/13	\$84 12	\$100 76	\$0 00	(\$16 64)	\$0 00	\$0 00
Security Subtotal	30 000			\$630 87	\$757 16	\$55 38	(\$126 29)	\$0 00	\$0 00
BB&T CORPORATION 5 85%		CUSIP: 054937206	Symbol (Box 1d): BBT D						
	7 000	05/07/12	11/01/13	\$157 84	\$175 24	\$0 00	(\$17 40)	\$0 00	\$0 00
BIOGEN IDEC INC		CUSIP: 09062X103	Symbol (Box 1d): BIIB						
	1 000	05/30/12	08/14/13	\$211 80	\$131 10	\$0 00	\$80 70	\$0 00	\$0 00
CAPITAL ONE FINANCIAL 6%-B		CUSIP: 14040H402	Symbol (Box 1d): COF P						
	13 000	08/24/12	11/01/13	\$285 62	\$323 52	\$0 00	(\$37 90)	\$0 00	\$0 00
	8 000	08/27/12	11/01/13	\$175 77	\$199 44	\$0 00	(\$23 67)	\$0 00	\$0 00
	3 000	08/31/12	11/01/13	\$65 91	\$74 93	\$0 00	(\$9 02)	\$0 00	\$0 00
	1 000	09/05/12	11/01/13	\$21 97	\$24 95	\$0 00	(\$2 98)	\$0 00	\$0 00
Security Subtotal	25 000			\$549 27	\$622 84	\$0 00	(\$73 57)	\$0 00	\$0 00

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CHARLES SCHWAB CORP 6% SER-B	CUSIP: 808513204		Symbol (Box 1d): SCHW B						
	8 000	06/13/12	11/01/13	\$187.35	\$200.06	\$0.00	(\$12.71)	\$0.00	
	2 000	06/14/12	11/01/13	\$46.84	\$50.04	\$0.00	(\$3.20)	\$0.00	
	10 000			\$234.19	\$250.10	\$0.00	(\$15.91)	\$0.00	
Security Subtotal									
CHEVRON CORP	CUSIP: 166764100		Symbol (Box 1d): CVX						
	1 000	05/22/12	10/10/13	\$115.59	\$100.29	\$0.00	\$15.30	\$0.00	
EBAY INC	CUSIP: 278642103		Symbol (Box 1d): EBAY						
	12 000	05/29/12	07/23/13	\$619.28	\$487.78	\$0.00	\$131.50	\$0.00	
EMC CORP MASS	CUSIP: 268648102		Symbol (Box 1d): EMC						
	11 000	09/10/12	10/23/13	\$257.95	\$306.45	\$0.00	(\$48.50)	\$0.00	
	10 000	09/19/12	10/23/13	\$234.49	\$276.61	\$0.00	(\$42.12)	\$0.00	
	21 000			\$492.44	\$583.06	\$0.00	(\$90.62)	\$0.00	
Security Subtotal									
GOLDMAN SACHS GRP INC	CUSIP: 38141G104		Symbol (Box 1d): GS						
	1 000	10/11/12	10/23/13	\$157.09	\$122.50	\$0.00	\$34.59	\$0.00	
	2 000	10/15/12	10/23/13	\$314.17	\$247.85	\$0.00	\$66.32	\$0.00	
	3 000			\$471.26	\$370.35	\$0.00	\$100.91	\$0.00	
Security Subtotal									
JPMORGAN CHASE & CO	CUSIP: 46625H100		Symbol (Box 1d): JPM						
	2 000	08/21/12	10/23/13	\$105.92	\$76.20	\$0.00	\$29.72	\$0.00	

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
JPMORGAN CHASE & CO 5.5%-Q		CUSIP: 48126E750	Symbol (Box 1d): JPM D						
	13.000	09/07/12	11/01/13	\$278.46	\$324.87	\$0.00	(\$46.41)	\$0.00	
	10.000	09/20/12	11/01/13	\$214.20	\$249.25	\$0.00	(\$35.05)	\$0.00	
	5.000	09/21/12	11/01/13	\$107.09	\$124.55	\$0.00	(\$17.46)	\$0.00	
Security Subtotal	28.000			\$599.75	\$698.67	\$0.00	(\$98.92)	\$0.00	
KIMCO REALTY CORP 5.50% SER J		CUSIP: 49446R778	Symbol (Box 1d): KIM J						
	4.000	07/30/12	11/01/13	\$81.59	\$98.50	\$0.00	(\$16.91)	\$0.00	
PNC FINL SERVICES GRP 5.375-Q		CUSIP: 693475832	Symbol (Box 1d): PNC Q						
	8.000	10/05/12	11/01/13	\$167.35	\$199.99	\$0.00	(\$32.64)	\$0.00	
	3.000	10/08/12	11/01/13	\$62.76	\$75.20	\$0.00	(\$12.44)	\$0.00	
	2.000	10/08/12	12/18/13	\$39.72	\$50.13	\$0.00	(\$10.41)	\$0.00	
	1.000	11/20/12	12/18/13	\$19.86	\$24.92	\$0.00	(\$5.06)	\$0.00	
Security Subtotal	14.000			\$289.69	\$350.24	\$0.00	(\$60.55)	\$0.00	
PNC FINL P 6.125% FLTS 5/01/22		CUSIP: 693475857	Symbol (Box 1d): PNC P						
	13.000	05/08/12	11/01/13	\$328.60	\$329.43	\$0.00	(\$0.83)	\$0.00	
	8.000	05/09/12	11/01/13	\$202.21	\$201.64	\$0.00	\$0.57	\$0.00	
	3.000	05/17/12	11/01/13	\$75.83	\$74.39	\$0.00	\$1.44	\$0.00	
Security Subtotal	24.000			\$606.64	\$605.46	\$0.00	\$1.18	\$0.00	
PS BUSINESS PARKS INC 5.75%-U		CUSIP: 69360J669	Symbol (Box 1d): PSB U						
	2.000	09/20/12	11/01/13	\$39.69	\$49.49	\$0.00	(\$9.80)	\$0.00	
PS BUSN PARKS 6.00% SER T		CUSIP: 69360J685	Symbol (Box 1d): PSB T						
	8.000	05/15/12	11/01/13	\$167.03	\$196.40	\$0.00	(\$29.37)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PUBLIC STORAGE 5 375%-V	CUSIP 74460W800	Symbol (Box 1d): PSA V							
	3 000	09/24/12	11/01/13	\$63 29	\$74 79	\$0 00	(\$11 50)	\$0 00	
ROYAL BK SCOTLAND GRP 6 125%	CUSIP: 780097747	Symbol (Box 1d): RBS R							
	5 000	07/18/12	11/01/13	\$105 64	\$95 99	\$0 00	\$9 65	\$0 00	
ROYAL BK SCOTLAND GP 6 40 PFD M	CUSIP: 780097796	Symbol (Box 1d): RBS M							
	6 000	07/17/12	11/01/13	\$130 91	\$114 07	\$0 00	\$16 84	\$0 00	
ROYAL BK SCOTLAND 6 3500% SER N	CUSIP: 780097770	Symbol (Box 1d): RBS N							
	19 000	07/06/12	11/01/13	\$411 34	\$331 54	\$0 00	\$79 80	\$0 00	
STATE STREET CORP 5 25%-C	CUSIP 857477509	Symbol (Box 1d): STT C							
	11 000	08/30/12	11/01/13	\$237 37	\$275 15	\$0 00	(\$37 78)	\$0 00	
TCF FINANCIAL CORP 7 5%-A	CUSIP: 872277207	Symbol (Box 1d): TCB B							
	4 000	07/09/12	11/01/13	\$100 23	\$104 51	\$0 00	(\$4 28)	\$0 00	
US BANCORP 6%-G FLTS 4/15/17	CUSIP: 902973817	Symbol (Box 1d): USB N							
	3 000	06/14/12	11/01/13	\$81 26	\$78 28	\$0 00	\$2 98	\$0 00	
US BANCORP-F 6 5% FLTS 1/15/22	CUSIP 902973833	Symbol (Box 1d): USB M							
	7 000	05/21/12	11/01/13	\$186 69	\$187 68	\$0 00	(\$0 99)	\$0 00	
	1 000	06/18/12	11/01/13	\$26 67	\$27 59	\$0 00	(\$0 92)	\$0 00	
	8 000			\$213 36	\$215 27	\$0 00	(\$1 91)	\$0 00	
Security Subtotal									
VORNADO REALTY TRUST 5 7%-K	CUSIP: 929042851	Symbol (Box 1d): VNO K							
	4 000	07/24/12	11/01/13	\$82 63	\$98 96	\$0 00	(\$16 33)	\$0 00	
Total Long Term Covered Securities				\$7,397.54	\$7,661.53	\$55.38	(\$263.99)	\$0.00	

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

SAM BERMAN CHARITABLE FOUNDATION
FORM 990-PF
EIN# 59-2812513
TAX YEAR 2013
ATTACHMENT I

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
AEGON NV SERIES 1									
	6,000	09/29/11	11/01/13	\$123.77	\$109.68	\$0.00	\$14.09	\$0.00	
AEGON NV 06.875									
	17,000	09/29/11	02/27/13	\$428.42	\$340.68	\$0.00	\$87.74	\$0.00	
	9,000	09/29/11	03/27/13	\$227.63	\$180.36	\$0.00	\$47.27	\$0.00	
	8,000	09/29/11	04/12/13	\$201.82	\$160.32	\$0.00	\$41.50	\$0.00	
	6,000	09/29/11	05/14/13	\$153.30	\$120.24	\$0.00	\$33.06	\$0.00	
	6,000	10/03/11	05/14/13	\$153.29	\$116.29	\$0.00	\$37.00	\$0.00	
Security Subtotal	46,000			\$1,164.46	\$917.89	\$0.00	\$246.57	\$0.00	
AEGON NV 6 3750% SERIES									
	5,000	09/29/11	04/11/13	\$131.11	\$95.35	\$0.00	\$35.76	\$0.00	
	28,000	09/29/11	11/01/13	\$675.62	\$533.96	\$0.00	\$141.66	\$0.00	
	3,000	09/29/11	12/04/13	\$72.62	\$57.21	\$0.00	\$15.41	\$0.00	
Security Subtotal	36,000			\$879.35	\$686.52	\$0.00	\$192.83	\$0.00	
AEGON NV 6 5000 SERIES									
	12,000	09/29/11	05/08/13	\$305.04	\$230.52	\$0.00	\$74.52	\$0.00	
	9,000	09/29/11	11/01/13	\$217.61	\$172.89	\$0.00	\$44.72	\$0.00	
Security Subtotal	21,000			\$522.65	\$403.41	\$0.00	\$119.24	\$0.00	
AEGON NV 8% 8000 *42FB15									
	6,000	02/13/12	11/01/13	\$161.59	\$149.94	\$0.00	\$11.65	\$0.00	
	5,000	03/08/12	11/01/13	\$134.66	\$127.63	\$0.00	\$7.03	\$0.00	
Security Subtotal	11,000			\$296.25	\$277.57	\$0.00	\$18.68	\$0.00	
AFFILIATED MAN 6380 *42AU15									
	2,000	08/15/12	11/01/13	\$46.80	\$50.54	\$0.00	(\$3.74)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
AFLAC INC 5 5% 5500 *52SP15	CUSIP: 001055300	Symbol (Box 1d)	AFSD						
	1 000	09/28/12	11/01/13	\$22.50	\$24.94	\$0.00	(\$2.44)	\$0.00	
	2 000	10/08/12	11/01/13	\$44.99	\$50.40	\$0.00	(\$5.41)	\$0.00	
	3.000			\$67.49	\$75.34	\$0.00	(\$7.85)	\$0.00	
Security Subtotal	CUSIP: 015271703	Symbol (Box 1d)	ARE E						
ALEXANDRIA REAL ESTATE EQU-E	3 000	03/19/12	11/01/13	\$68.87	\$73.63	\$0.00	(\$4.76)	\$0.00	
ALLIANZ SE 8 375%	CUSIP: 018805200	Symbol (Box 1d)	AZMAA						
	88 000	10/13/11	06/17/13	\$2 200.00	\$2,230.80	\$0.00	(\$30.80)	\$0.00	
AMAZON COM INC	CUSIP: 023135106	Symbol (Box 1d)	AMZN						
	1 000	09/15/11	05/02/13	\$251.92	\$223.18	\$0.00	\$28.74	\$0.00	
AMER FINL GRP 5750 *42AU25	CUSIP: 025932500	Symbol (Box 1d)	AFA						
	1 000	08/29/12	11/01/13	\$22.19	\$25.14	\$0.00	(\$2.95)	\$0.00	
AMER FINL GRP INC 7000 *50SP30	CUSIP: 025932302	Symbol (Box 1d)	AFQ						
	4 000	09/29/11	11/01/13	\$102.66	\$104.32	\$0.00	(\$1.66)	\$0.00	
AMERICAN EXPRESS CO	CUSIP: 025816109	Symbol (Box 1d)	AXP						
	5 000	06/17/11	10/10/13	\$370.34	\$242.90	\$0.00	\$127.44	\$0.00	
AMERICAN TOWER REIT COM	CUSIP: 03027X100	Symbol (Box 1d)	AMT						
	7 000	09/09/10	08/14/13	\$487.63	\$346.13	\$0.00	\$141.50	\$0.00	
AMERIPRISE FINL 7750 *39JN15	CUSIP: 03076C205	Symbol (Box 1d)	AMP A						
	7 000	09/29/11	11/01/13	\$179.55	\$188.86	\$0.00	(\$9.31)	\$0.00	

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
APPLE INC		CUSIP: 037833100	Symbol (Box 1d): AAPL						
	1 000	09/08/10	01/16/13	\$507.52	\$263.75	\$0.00	\$243.77	\$0.00	
	1 000	09/08/10	03/04/13	\$420.70	\$263.75	\$0.00	\$156.95	\$0.00	
	2 000	09/08/10	04/18/13	\$789.67	\$527.51	\$0.00	\$262.16	\$0.00	
	4 000			\$1,717.89	\$1,055.01	\$0.00	\$662.88	\$0.00	
Security Subtotal									
ARCH CAPITAL GRP LTD 6.7500% C		CUSIP: G0450A204	Symbol (Box 1d): ARHC						
	1 000	04/11/12	11/01/13	\$24.24	\$25.24	\$0.00	(\$1.00)	\$0.00	
	4 000	04/12/12	11/01/13	\$96.95	\$101.68	\$0.00	(\$4.73)	\$0.00	
	2 000	04/13/12	11/01/13	\$48.48	\$50.87	\$0.00	(\$2.39)	\$0.00	
	7 000			\$169.67	\$177.79	\$0.00	(\$8.12)	\$0.00	
Security Subtotal									
ARTISAN MIDCAP VALUE INV		CUSIP: 04314H709	Symbol (Box 1d): ARTQX						
	1 093.987	09/29/11	11/01/13	\$29,734.56	\$21,103.00	\$0.00	\$8,631.56	\$0.00	
	75.150	10/03/11	11/01/13	\$2,042.58	\$1,376.00	\$0.00	\$666.58	\$0.00	
	1,169.137			\$31,777.14	\$22,479.00	\$0.00	\$9,298.14	\$0.00	
Security Subtotal									
ASPEN INSURANCE HLDG LTD 7.25%		CUSIP: G05384147	Symbol (Box 1d): AHLB						
	6 000	04/13/12	11/01/13	\$150.11	\$149.40	\$0.00	\$0.71	\$0.00	
Security Subtotal									
AXIS CAPITAL HLDGS LTD 6.875%		CUSIP: G0692U307	Symbol (Box 1d): AXSC						
	7 000	03/28/12	11/01/13	\$170.58	\$178.34	\$0.00	(\$7.76)	\$0.00	
	2 000	03/29/12	11/01/13	\$48.74	\$51.00	\$0.00	(\$2.26)	\$0.00	
	9 000			\$219.32	\$229.34	\$0.00	(\$10.02)	\$0.00	
Security Subtotal									
BANK OF AMER 6.375 NON CUM-3		CUSIP: 060505617	Symbol (Box 1d): BMLI						
	13 000	09/29/11	11/01/13	\$310.95	\$240.89	\$0.00	\$70.06	\$0.00	
Security Subtotal									

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
BANK OF AMER 6 625 SERIES		CUSIP: 060505740	Symbol (Box 1d)	BAC I					
	21 000	09/29/11	11/01/13	\$526 88	\$414 54	\$0 00	\$112 34	\$0 00	
BANK OF AMERICA CORP PFD D		CUSIP: 060505831	Symbol (Box 1d)	BAC D					
	3 000	09/29/11	11/01/13	\$72 35	\$56 64	\$0 00	\$15 71	\$0 00	
BARCLAYS BANK PLC 7 75%		CUSIP: 06739H511	Symbol (Box 1d)	BCS C					
	1 000	09/29/11	04/11/13	\$25 52	\$22 00	\$0 00	\$3 52	\$0 00	
	13 000	09/29/11	11/01/13	\$329 93	\$286 00	\$0 00	\$43 93	\$0 00	
Security Subtotal	14 000			\$355.45	\$308.00	\$0 00	\$47.45	\$0 00	
BARCLAYS BANK PLC 8 125% ADR		CUSIP: 06739H362	Symbol (Box 1d)	BCS D					
	3 000	09/29/11	04/11/13	\$76 61	\$69 09	\$0 00	\$7 52	\$0 00	
	10 000	09/29/11	07/02/13	\$253 34	\$230 30	\$0 00	\$23 04	\$0 00	
	10 000	09/29/11	07/12/13	\$254 09	\$230 30	\$0 00	\$23 79	\$0 00	
	6 000	09/29/11	08/14/13	\$152 54	\$138 18	\$0 00	\$14 36	\$0 00	
	8 000	09/29/11	10/23/13	\$204 07	\$184 24	\$0 00	\$19 83	\$0 00	
	7 000	09/29/11	11/01/13	\$178 56	\$161 21	\$0 00	\$17 35	\$0 00	
	6 000	09/29/11	11/20/13	\$154 23	\$138 18	\$0 00	\$16 05	\$0 00	
Security Subtotal	50 000			\$1,273.44	\$1,151.50	\$0 00	\$121.94	\$0 00	
BARCLAYS BK PLC 6 625 SER2		CUSIP: 06739F390	Symbol (Box 1d)	BCS					
	11 000	09/29/11	11/01/13	\$268 94	\$214 39	\$0 00	\$54 55	\$0 00	
BARCLAYS BK PLC 7 1000 SER 3		CUSIP: 06739H776	Symbol (Box 1d)	BCS A					
	16 000	09/29/11	11/01/13	\$402 07	\$333 44	\$0 00	\$68 63	\$0 00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
BERKLEY WR CP 6750 *45JUL26		CUSIP 08449Q203	Symbol (Box 1d)	WRB A					
	44.000	09/29/11	05/28/13	\$1,100.00	\$1,074.48	\$0.00	\$25.52	\$0.00	
	4.000	10/03/11	05/28/13	\$100.00	\$100.20	\$0.00	(\$0.20)	\$0.00	
	2.000	10/07/11	05/28/13	\$50.00	\$49.72	\$0.00	\$0.28	\$0.00	
Security Subtotal	50.000			\$1,250.00	\$1,224.40	\$0.00	\$25.60	\$0.00	
BIOGEN IDEC INC		CUSIP 09062X103	Symbol (Box 1d)	BIIB					
	1.000	03/27/12	06/04/13	\$220.01	\$126.61	\$0.00	\$93.40	\$0.00	
	2.000	03/29/12	06/04/13	\$440.03	\$252.83	\$0.00	\$187.20	\$0.00	
	1.000	04/03/12	06/05/13	\$210.52	\$128.19	\$0.00	\$82.33	\$0.00	
	1.000	04/11/12	06/13/13	\$209.08	\$125.98	\$0.00	\$83.10	\$0.00	
	1.000	04/11/12	08/14/13	\$211.80	\$125.98	\$0.00	\$85.82	\$0.00	
Security Subtotal	6.000			\$1,291.44	\$759.59	\$0.00	\$531.85	\$0.00	
BLACKROCK HI YIELD BD PTF INST		CUSIP: 091929638	Symbol (Box 1d)	BHYIX					
	3.202	657	09/29/11	11/01/13	\$26,518.00	\$0.00	\$3,490.90	\$0.00	
CAPITAL ONE FINANCIAL CORP		CUSIP 14040H105	Symbol (Box 1d)	COF					
	2.000	09/08/10	01/18/13	\$113.89	\$78.60	\$0.00	\$35.29	\$0.00	
	6.000	04/27/11	01/22/13	\$336.71	\$329.22	\$0.00	\$7.49	\$0.00	
	4.000	09/15/11	01/22/13	\$224.47	\$172.07	\$0.00	\$52.40	\$0.00	
	5.000	09/15/11	02/15/13	\$276.51	\$215.08	\$0.00	\$61.43	\$0.00	
	7.000	10/13/11	02/15/13	\$387.12	\$292.82	\$0.00	\$94.30	\$0.00	
	2.000	11/10/11	02/15/13	\$110.60	\$89.90	\$0.00	\$20.70	\$0.00	
Security Subtotal	26.000			\$1,449.30	\$1,177.69	\$0.00	\$271.61	\$0.00	

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Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CITIGROUP INC NEW									
		CUSIP: 172967424	Symbol (Box 1d): C						
	4 000	10/03/11	02/26/13	\$164 64	\$94 07	\$0 00	\$70 57	\$0 00	
	4 000	10/18/11	02/26/13	\$164 64	\$117 56	\$0 00	\$47 08	\$0 00	
	5 000	10/18/11	06/24/13	\$226 91	\$146 96	\$0 00	\$79 95	\$0 00	
	10 000	10/19/11	06/24/13	\$453 83	\$304 36	\$0 00	\$149 47	\$0 00	
	2 000	01/03/12	06/24/13	\$90 77	\$56 96	\$0 00	\$33 81	\$0 00	
Security Subtotal	25 000			\$1,100.79	\$719.91	\$0.00	\$380.88	\$0.00	
COMMONWEALTH REIT 7 2500 SER E									
		CUSIP: 203233806	Symbol (Box 1d): CWH E						
	13 000	09/29/11	01/04/13	\$330 17	\$314 60	\$0 00	\$15 57	\$0 00	
	2 000	09/29/11	02/27/13	\$51 22	\$47 49	\$0 00	\$3 73	\$0 00	
	6 000	09/29/11	04/18/13	\$154 69	\$142 49	\$0 00	\$12 20	\$0 00	
	3 000	10/03/11	04/18/13	\$77 34	\$71 75	\$0 00	\$5 59	\$0 00	
Security Subtotal	24 000			\$613.42	\$576.33	\$0.00	\$37.09	\$0.00	
COMMONWEALTH REIT 7500 *19NV15									
		CUSIP: 203233507	Symbol (Box 1d): CWHN						
	6 000	09/29/11	02/28/13	\$127 61	\$129 60	\$0 00	(\$1 99)	\$0 00	
	7 000	09/29/11	04/01/13	\$150 17	\$151 20	\$0 00	(\$1 03)	\$0 00	
	3 000	09/29/11	04/02/13	\$63 92	\$64 80	\$0 00	(\$0 88)	\$0 00	
	1 000	10/07/11	04/02/13	\$21 30	\$21 59	\$0 00	(\$0 29)	\$0 00	
Security Subtotal	17 000			\$363.00	\$367.19	\$0.00	(\$4.19)	\$0.00	
COUNTRYWIDE IV 6750 *33AP01									
		CUSIP: 22238E206	Symbol (Box 1d): CFC A						
	16 000	09/29/11	11/01/13	\$399 12	\$318 56	\$0 00	\$80 56	\$0 00	

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CREDIT SUISSE GU 7.9%		CUSIP: 225448208	Symbol (Box 1d):						
	118.000	09/29/11	03/28/13	\$2,950.00	\$2,990.30	\$0.00	(\$40.30)	\$0.00	\$0.00
	9.000	10/03/11	03/28/13	\$225.00	\$224.37	\$0.00	\$0.63	\$0.00	\$0.00
Security Subtotal	127.000			\$3,175.00	\$3,214.67	\$0.00	(\$39.67)	\$0.00	\$0.00
CVS CAREMARK CORP		CUSIP: 126650100	Symbol (Box 1d):	CVS					
	11.000	09/06/11	05/14/13	\$649.07	\$390.62	\$0.00	\$258.45	\$0.00	\$0.00
	6.000	09/06/11	08/29/13	\$347.63	\$213.07	\$0.00	\$134.56	\$0.00	\$0.00
	5.000	09/15/11	09/19/13	\$300.79	\$182.93	\$0.00	\$117.86	\$0.00	\$0.00
	3.000	09/15/11	09/24/13	\$174.47	\$109.76	\$0.00	\$64.71	\$0.00	\$0.00
Security Subtotal	25.000			\$1,471.96	\$896.38	\$0.00	\$575.58	\$0.00	\$0.00
DEUTSCHE BK CAP ED TR X 7.35%		CUSIP: 25154D102	Symbol (Box 1d):	DCE					
	8.000	09/29/11	01/07/13	\$201.75	\$178.08	\$0.00	\$23.67	\$0.00	\$0.00
	3.000	09/29/11	03/15/13	\$76.55	\$66.78	\$0.00	\$9.77	\$0.00	\$0.00
	6.000	09/29/11	04/03/13	\$153.06	\$133.56	\$0.00	\$19.50	\$0.00	\$0.00
	3.000	10/03/11	04/03/13	\$76.53	\$66.06	\$0.00	\$10.47	\$0.00	\$0.00
Security Subtotal	20.000			\$507.89	\$444.48	\$0.00	\$63.41	\$0.00	\$0.00
DEUTSCHE BK CONT CAP III 7.60%		CUSIP: 25154A108	Symbol (Box 1d):	DTK					
	7.000	09/29/11	05/09/13	\$198.34	\$165.20	\$0.00	\$33.14	\$0.00	\$0.00
	4.000	09/29/11	10/22/13	\$104.84	\$94.40	\$0.00	\$10.44	\$0.00	\$0.00
	21.000	09/29/11	11/01/13	\$557.13	\$495.60	\$0.00	\$61.53	\$0.00	\$0.00
	5.000	09/29/11	11/19/13	\$130.58	\$118.00	\$0.00	\$12.58	\$0.00	\$0.00
	6.000	09/29/11	12/16/13	\$156.79	\$141.60	\$0.00	\$15.19	\$0.00	\$0.00

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Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

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DEUTSCHE BK CONT CAP III 7 60%	3 000	09/29/11	12/19/13	\$77.99	\$70.80	\$0.00	\$7.19	\$0.00	
	5 000	09/29/11	12/31/13	\$129.71	\$118.00	\$0.00	\$11.71	\$0.00	
Security Subtotal	51 000			\$1,355.38	\$1,203.60	\$0.00	\$151.78	\$0.00	
DEUTSCHE BK CONT CAP T II 6 55	CUSIP	25153X208	Symbol (Box 1d):	DXB					
	31 000	09/29/11	11/01/13	\$778.70	\$635.19	\$0.00	\$143.51	\$0.00	
	2 000	09/29/11	12/04/13	\$49.91	\$40.98	\$0.00	\$8.93	\$0.00	
Security Subtotal	33 000			\$828.61	\$676.17	\$0.00	\$152.44	\$0.00	
DEUTSCHE BK CONT CAP TR V 8 05	CUSIP	25150L108	Symbol (Box 1d):	DKT					
	9 000	09/29/11	11/01/13	\$242.99	\$219.60	\$0.00	\$23.39	\$0.00	
	3 000	09/29/11	11/07/13	\$81.36	\$73.20	\$0.00	\$8.16	\$0.00	
	4 000	09/29/11	12/17/13	\$108.47	\$97.60	\$0.00	\$10.87	\$0.00	
	1 000	10/03/11	12/17/13	\$27.12	\$24.15	\$0.00	\$2.97	\$0.00	
	1 000	10/07/11	12/17/13	\$27.11	\$23.96	\$0.00	\$3.15	\$0.00	
Security Subtotal	18 000			\$487.05	\$438.51	\$0.00	\$48.54	\$0.00	
DIGITAL REALTY TR INC 7% SER-E	CUSIP	253868707	Symbol (Box 1d):	DLR E					
	3 000	09/29/11	11/01/13	\$68.84	\$74.85	\$0.00	(\$6.01)	\$0.00	
DIGITAL REALTY TRUST 6 625%-F	CUSIP	253868806	Symbol (Box 1d):	DLR F					
	2 000	04/10/12	11/01/13	\$43.69	\$49.92	\$0.00	(\$6.23)	\$0.00	
DOMINION RES SR-A 8375 *64JN15	CUSIP	25746U604	Symbol (Box 1d):	DRU					
	7 000	09/29/11	03/21/13	\$186.70	\$202.23	\$0.00	(\$15.53)	\$0.00	
	10 000	09/29/11	11/01/13	\$258.48	\$288.90	\$0.00	(\$30.42)	\$0.00	
Security Subtotal	17 000			\$445.18	\$491.13	\$0.00	(\$45.95)	\$0.00	

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Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
DTE ENER 5 25%-C 5250 *62DE01	8 000	10/04/12	11/01/13	\$164.46	\$201.33	\$0.00	(\$36.87)	\$0.00	
DUKE REALTY CORP 8 375% SER O	8 000	09/29/11	01/17/13	\$202.23	\$207.59	\$0.00	(\$5.36)	\$0.00	
	1 000	10/03/11	01/17/13	\$25.28	\$25.90	\$0.00	(\$0.62)	\$0.00	
Security Subtotal	9 000			\$227.51	\$233.49	\$0.00	(\$5.98)	\$0.00	
EATON VANCE COMMODITY STRAT I	658 794	09/29/11	11/01/13	\$5,296.70	\$6,522.06	\$0.00	(\$1,225.36)	\$0.00	
EMC CORP MASS	2 000	05/13/11	04/11/13	\$45.90	\$55.67	\$0.00	(\$9.77)	\$0.00	
	5 000	10/18/11	04/11/13	\$114.75	\$118.32	\$0.00	(\$3.57)	\$0.00	
	3 000	10/18/11	10/08/13	\$75.29	\$70.99	\$0.00	\$4.30	\$0.00	
	9 000	10/25/11	10/08/13	\$225.86	\$217.90	\$0.00	\$7.96	\$0.00	
	2 000	10/25/11	10/23/13	\$46.90	\$48.42	\$0.00	(\$1.52)	\$0.00	
Security Subtotal	21 000			\$508.70	\$511.30	\$0.00	(\$2.60)	\$0.00	
ENSCO PLC CLASS A	2 000	10/11/11	08/29/13	\$111.66	\$86.68	\$0.00	\$24.98	\$0.00	
	5 000	10/21/11	08/29/13	\$279.15	\$240.09	\$0.00	\$39.06	\$0.00	
	4 000	05/18/12	08/29/13	\$223.33	\$189.87	\$0.00	\$33.46	\$0.00	
Security Subtotal	11 000			\$614.14	\$516.64	\$0.00	\$97.50	\$0.00	
ENTERGY ARK INC 5750 *40NV01	7 000	09/29/11	11/01/13	\$167.93	\$185.71	\$0.00	(\$17.78)	\$0.00	

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(Continued)

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ENERGY LA LLC 5875 *41JN15	CUSIP: 29364W405	Symbol (Box 1d): ELA							
	1.000	09/29/11	11/01/13	\$24.14	\$26.60	\$0.00	(\$2.46)	\$0.00	
ENERGY LA LLC 6000 *40MH15	CUSIP: 29364W306	Symbol (Box 1d): ELB							
	3.000	09/29/11	11/01/13	\$72.35	\$81.87	\$0.00	(\$9.52)	\$0.00	
ENERGY MISS 6% 6000 *51MY01	CUSIP: 29364N835	Symbol (Box 1d): EMZ							
	3.000	09/29/11	11/01/13	\$72.14	\$80.19	\$0.00	(\$8.05)	\$0.00	
ENERGY TEXAS INC 7875 *39JN01	CUSIP: 29365T203	Symbol (Box 1d): EDT							
	6.000	09/29/11	03/21/13	\$163.29	\$175.68	\$0.00	(\$12.39)	\$0.00	
	4.000	09/29/11	11/01/13	\$103.45	\$117.12	\$0.00	(\$13.67)	\$0.00	
	10.000			\$266.74	\$292.80	\$0.00	(\$26.06)	\$0.00	
Security Subtotal									
EVEREST RE CP II 6200 *34MH29	CUSIP: 29980R202	Symbol (Box 1d):							
	9.000	09/29/11	03/21/13	\$225.00	\$220.41	\$0.00	\$4.59	\$0.00	
	33.000	09/29/11	05/24/13	\$825.00	\$808.17	\$0.00	\$16.83	\$0.00	
	1.000	10/03/11	05/24/13	\$25.00	\$24.56	\$0.00	\$0.44	\$0.00	
	2.000	10/07/11	05/24/13	\$50.00	\$48.54	\$0.00	\$1.46	\$0.00	
	45.000			\$1,125.00	\$1,101.68	\$0.00	\$23.32	\$0.00	
Security Subtotal									
EXPRESS SCRIPTS HLDG CO COM	CUSIP: 30219G108	Symbol (Box 1d): ESRX							
	2.000	08/02/11	09/19/13	\$126.31	\$106.19	\$0.00	\$20.12	\$0.00	
	3.000	08/02/11	09/24/13	\$186.16	\$159.29	\$0.00	\$26.87	\$0.00	
	6.000	08/02/11	10/25/13	\$365.99	\$318.58	\$0.00	\$47.41	\$0.00	
	11.000			\$678.46	\$584.06	\$0.00	\$94.40	\$0.00	
Security Subtotal									

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Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
EXXON MOBIL CORP		CUSIP: 30231G102	Symbol (Box 1d)	XOM					
	3 000	02/25/11	04/11/13	\$267 27	\$257 19	\$0 00	\$10 08	\$0 00	
	3 000	04/04/11	04/11/13	\$267 26	\$254 36	\$0 00	\$12 90	\$0 00	
	3 000	04/04/11	04/16/13	\$258 97	\$254 36	\$0 00	\$4 61	\$0 00	
	4 000	04/04/11	04/25/13	\$353 81	\$339 14	\$0 00	\$14 67	\$0 00	
Security Subtotal	13.000			\$1,147.31	\$1,105.05	\$0.00	\$42.26	\$0.00	
FIRST NIAGARA FIN GP 8 625% B		CUSIP: 33582V207	Symbol (Box 1d)	FNFG B					
	3 000	01/18/12	11/01/13	\$86 27	\$78 75	\$0 00	\$7 52	\$0 00	
	2 000	03/08/12	11/01/13	\$57 52	\$54 38	\$0 00	\$3 14	\$0 00	
	5.000	03/08/12	12/04/13	\$140 73	\$135.94	\$0 00	\$4.79	\$0 00	
Security Subtotal	10 000			\$284.52	\$269.07	\$0.00	\$15.45	\$0.00	
GA MUN ELEC AU BE 5250 14JA01		CUSIP: 373541VE8	Symbol (Box 1d)						
	15,000 000	12/06/10	11/04/13	\$15,053 40	\$15,080 55	\$0 00	(\$27 15)	\$0 00	
GEN ELEC 4 875%-A 4875 *52OC15		CUSIP: 369622428	Symbol (Box 1d)	GEB					
	20 000	10/15/12	11/01/13	\$415 56	\$499 70	\$0 00	(\$84 14)	\$0 00	
GENERAL ELECTRIC CO		CUSIP: 369604103	Symbol (Box 1d)	GE					
	8.000	12/19/11	01/09/13	\$167 91	\$135 04	\$0 00	\$32 87	\$0 00	
	2.000	12/22/11	01/09/13	\$41 98	\$36 16	\$0 00	\$5 82	\$0 00	
	13.000	12/22/11	10/08/13	\$309.87	\$235 03	\$0.00	\$74.84	\$0 00	
Security Subtotal	23.000			\$519.76	\$406.23	\$0.00	\$113.53	\$0 00	

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
GENERAL MTRS CO									
		CUSIP: 37045V100	Symbol (Box 1d): GM						
	5 000	10/25/11	01/16/13	\$146.40	\$125.20	\$0.00	\$21.20	\$0.00	
	7 000	03/08/12	04/09/13	\$193.49	\$177.10	\$0.00	\$16.39	\$0.00	
	6 000	04/03/12	04/09/13	\$165.84	\$153.84	\$0.00	\$12.00	\$0.00	
Security Subtotal	18.000			\$505.73	\$456.14	\$0.00	\$49.59	\$0.00	
GILEAD SCIENCE									
		CUSIP: 375558103	Symbol (Box 1d): GILD						
	12 000	12/07/11	06/04/13	\$636.73	\$237.46	\$0.00	\$399.27	\$0.00	
	2 000	03/08/12	06/04/13	\$106.12	\$46.71	\$0.00	\$59.41	\$0.00	
	2 000	04/03/12	06/04/13	\$106.12	\$47.67	\$0.00	\$58.45	\$0.00	
	3 000	04/12/12	06/05/13	\$150.47	\$68.76	\$0.00	\$81.71	\$0.00	
	4 000	04/12/12	06/17/13	\$203.92	\$91.68	\$0.00	\$112.24	\$0.00	
Security Subtotal	23.000			\$1,203.36	\$492.28	\$0.00	\$711.08	\$0.00	
GOLDMAN SACHS 6125 *60NV01									
		CUSIP: 38145X111	Symbol (Box 1d): GSF						
	5 000	09/29/11	05/13/13	\$133.59	\$124.06	\$0.00	\$9.53	\$0.00	
	25.000	09/29/11	11/01/13	\$617.64	\$620.31	\$0.00	(\$2.67)	\$0.00	
Security Subtotal	30.000			\$751.23	\$744.37	\$0.00	\$6.86	\$0.00	
GOLDMAN SACHS GP 6500 *61NV01									
		CUSIP: 38144G184	Symbol (Box 1d): GSJ						
	1 000	11/01/11	05/13/13	\$27.47	\$24.68	\$0.00	\$2.79	\$0.00	
	5 000	11/23/11	05/13/13	\$137.34	\$122.50	\$0.00	\$14.84	\$0.00	
	20 000	11/23/11	11/01/13	\$506.41	\$490.00	\$0.00	\$16.41	\$0.00	
Security Subtotal	26.000			\$671.22	\$637.18	\$0.00	\$34.04	\$0.00	
HARTFORD FINL SVC 7875 *42AP15									
		CUSIP: 416518504	Symbol (Box 1d): HGH						
	6 000	05/01/12	11/01/13	\$170.89	\$158.39	\$0.00	\$12.50	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
HEALTH CARE REIT 6 5000% SER J	CUSIP: 42217K700	Symbol (Box 1d): HCN J							
	8 000	03/14/12	11/01/13	\$184 23	\$200 35	\$0 00	(\$16 12)	\$0 00	
HOME DEPOT INC	CUSIP: 437076102	Symbol (Box 1d): HD							
	10 000	10/18/11	10/22/13	\$747 04	\$355 71	\$0 00	\$391 33	\$0 00	
HOSPITALITY PPTYS TR PFD-D	CUSIP: 44106M607	Symbol (Box 1d): HPT D							
	6 000	01/23/12	11/01/13	\$147 95	\$149 04	\$0 00	(\$1 09)	\$0 00	
HSBC HLDGS PLC 6 2000% SER A	CUSIP: 404280604	Symbol (Box 1d): HSBC A							
	11 000	09/29/11	03/13/13	\$276 90	\$249 83	\$0 00	\$27 07	\$0 00	
	5 000	09/29/11	10/09/13	\$120 61	\$113 56	\$0 00	\$7 05	\$0 00	
	9 000	09/29/11	10/16/13	\$217 59	\$204 41	\$0 00	\$13 18	\$0 00	
	14 000	09/29/11	11/01/13	\$345 09	\$317 97	\$0 00	\$27 12	\$0 00	
Security Subtotal	39 000			\$960 19	\$885 77	\$0 00	\$74 42	\$0 00	
HSBC HOLDINGS PLC 8 00%	CUSIP: 404280802	Symbol (Box 1d): HSEB							
	46 000	09/29/11	11/01/13	\$1 252 00	\$1 171 62	\$0 00	\$80 38	\$0 00	
ING GROEP NV 06 375%	CUSIP: 456837608	Symbol (Box 1d): ISF							
	14 000	09/29/11	11/01/13	\$328 77	\$239 96	\$0 00	\$88 81	\$0 00	
ING GROEP NV 6 2000% SER	CUSIP: 456837400	Symbol (Box 1d): ISP							
	2 000	03/29/12	11/01/13	\$46 23	\$42 74	\$0 00	\$3 49	\$0 00	
ING GROEP NV 7 2% SER	CUSIP: 456837301	Symbol (Box 1d): INZ							
	11 000	09/29/11	04/02/13	\$279 64	\$213 18	\$0 00	\$66 46	\$0 00	
	8 000	09/29/11	04/30/13	\$204 23	\$155 04	\$0 00	\$49 19	\$0 00	
	17 000	09/29/11	05/07/13	\$434 70	\$329 46	\$0 00	\$105 24	\$0 00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities [#] (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ING GROEP NV 7 2% SER	11 000	09/29/11	05/29/13	\$278.29	\$213.18	\$0.00	\$65.11	\$0.00	
	15 000	09/29/11	11/01/13	\$377.53	\$290.70	\$0.00	\$86.83	\$0.00	
Security Subtotal	62.000			\$1,574.39	\$1,201.56	\$0.00	\$372.83	\$0.00	
ING GROUP NV 7 0500% SER PFD		CUSIP: 456837202 Symbol (Box 1d): IND							
	11 000	09/29/11	03/22/13	\$281.51	\$207.24	\$0.00	\$74.27	\$0.00	
	8 000	09/29/11	04/02/13	\$203.69	\$150.72	\$0.00	\$52.97	\$0.00	
	10 000	09/29/11	05/07/13	\$255.83	\$188.40	\$0.00	\$67.43	\$0.00	
	22 000	09/29/11	11/01/13	\$550.73	\$414.48	\$0.00	\$136.25	\$0.00	
Security Subtotal	51 000			\$1,291.76	\$960.84	\$0.00	\$330.92	\$0.00	
INTL BUSINESS MACHINES CORP		CUSIP: 459200101 Symbol (Box 1d): IBM							
	2 000	09/08/10	01/09/13	\$385.03	\$252.20	\$0.00	\$132.83	\$0.00	
	1 000	09/08/10	04/23/13	\$189.98	\$126.10	\$0.00	\$63.88	\$0.00	
	2 000	09/15/11	08/07/13	\$376.30	\$338.44	\$0.00	\$37.86	\$0.00	
Security Subtotal	5.000			\$951.31	\$716.74	\$0.00	\$234.57	\$0.00	
ISHARES GOLD TRUST		CUSIP: 464285105 Symbol (Box 1d): IAU							
	1,136 000	06/09/10	11/01/13	\$14,478.06	\$13,692.89	\$0.00	\$785.17	\$0.00	
JP CHASE CAP XI 5875 *33JUN15		CUSIP: 46626V207 Symbol (Box 1d):							
	10 000	09/29/11	03/26/13	\$251.87	\$249.40	\$0.00	\$2.47	\$0.00	
	3 000	10/03/11	03/26/13	\$75.56	\$74.28	\$0.00	\$1.28	\$0.00	
	1 000	10/07/11	03/26/13	\$25.19	\$24.84	\$0.00	\$0.35	\$0.00	
Security Subtotal	14.000			\$352.62	\$348.52	\$0.00	\$4.10	\$0.00	

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949, Part II, with box E checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
JPM CAP XXIX 6700 *40AP02		CUSIP: 48125E207	Symbol (Box 1d): JPM C						
	6 000	09/29/11	11/01/13	\$153.33	\$150.78	\$0.00	\$2.55	\$0.00	
	10 000	09/29/11	12/11/13	\$255.50	\$251.30	\$0.00	\$4.20	\$0.00	
	1 000	09/29/11	12/19/13	\$25.51	\$25.13	\$0.00	\$0.38	\$0.00	
	4 000	10/03/11	12/19/13	\$102.03	\$100.24	\$0.00	\$1.79	\$0.00	
	1 000	10/07/11	12/19/13	\$25.51	\$25.18	\$0.00	\$0.33	\$0.00	
Security Subtotal	22 000			\$561.88	\$552.63	\$0.00	\$9.25	\$0.00	
JPMORGAN CHASE & CO		CUSIP: 46625H100	Symbol (Box 1d): JPM						
	5 000	08/15/11	09/19/13	\$265.12	\$184.86	\$0.00	\$80.26	\$0.00	
	10 000	08/29/11	09/19/13	\$530.23	\$373.06	\$0.00	\$157.17	\$0.00	
	3 000	08/29/11	10/23/13	\$158.87	\$111.92	\$0.00	\$46.95	\$0.00	
	1 000	10/03/11	10/23/13	\$52.96	\$29.16	\$0.00	\$23.80	\$0.00	
	7 000	10/19/11	10/23/13	\$370.70	\$232.41	\$0.00	\$138.29	\$0.00	
Security Subtotal	26 000			\$1,377.88	\$931.41	\$0.00	\$446.47	\$0.00	
KIMCO REALTY CORP 6 90% SER H		CUSIP: 49446R828	Symbol (Box 1d): KIM H						
	2 000	09/29/11	11/01/13	\$49.07	\$51.58	\$0.00	(\$2.51)	\$0.00	
KIMCO REALTY CORP 6% SER-I		CUSIP: 49446R794	Symbol (Box 1d): KIM I						
	7 000	03/26/12	11/01/13	\$150.91	\$172.82	\$0.00	(\$21.91)	\$0.00	
	2 000	03/27/12	11/01/13	\$43.12	\$49.40	\$0.00	(\$6.28)	\$0.00	
Security Subtotal	9 000			\$194.03	\$222.22	\$0.00	(\$28.19)	\$0.00	
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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
KRAFT FOODS GROUP INC COM		CUSIP: 50076Q106	Symbol (Box 1d): KRFT						
	1 000	09/08/11	05/02/13	\$50 50	\$49 08	\$0 00	\$1 42	\$0 00	
	1 000	12/22/11	05/02/13	\$50 50	\$52 50	\$0 00	(\$2 00)	\$0 00	
Security Subtotal	2 000			\$101 00	\$101 58	\$0 00	(\$0 58)	\$0 00	
LLOYDS BANKING 7750 *50JUL15		CUSIP: 539439802	Symbol (Box 1d): LYGA						
	4 000	09/29/11	04/11/13	\$109 84	\$99 67	\$0 00	\$10 17	\$0 00	
	37 000	09/29/11	11/01/13	\$985 32	\$921 94	\$0 00	\$63 38	\$0 00	
Security Subtotal	41 000			\$1 095 16	\$1 021 61	\$0 00	\$73 55	\$0 00	
MERRILL CAP TR II 6450 *62JN15		CUSIP: 59024T203	Symbol (Box 1d): MER M						
	11 000	09/29/11	11/01/13	\$270 77	\$219 45	\$0 00	\$51 32	\$0 00	
ML PFD CAPITAL TR 7120 *28JN30		CUSIP: 59021G204	Symbol (Box 1d): MER E						
	11 000	09/29/11	05/08/13	\$277 81	\$232 21	\$0 00	\$45 60	\$0 00	
	1 000	10/03/11	05/08/13	\$25 26	\$19 49	\$0 00	\$5 77	\$0 00	
Security Subtotal	12 000			\$303 07	\$251 70	\$0 00	\$51 37	\$0 00	
ML PFD CAPITAL TR 7375 *62SP15		CUSIP: 59025D207	Symbol (Box 1d): MER P						
	10 000	09/29/11	03/18/13	\$254 62	\$223 20	\$0 00	\$31 42	\$0 00	
	1 000	10/07/11	03/18/13	\$25 46	\$22 16	\$0 00	\$3 30	\$0 00	
Security Subtotal	11 000			\$280 08	\$245 36	\$0 00	\$34 72	\$0 00	
MONDELEZ INTL INC COM		CUSIP: 609207105	Symbol (Box 1d): MDLZ						
	4 000	09/08/11	02/15/13	\$107 62	\$90 78	\$0 00	\$16 84	\$0 00	
	4 000	12/22/11	02/15/13	\$107 62	\$97 12	\$0 00	\$10 50	\$0 00	
	1 000	01/18/12	02/15/13	\$26 90	\$25 17	\$0 00	\$1 73	\$0 00	
Security Subtotal	9 000			\$242 14	\$213 07	\$0 00	\$29 07	\$0 00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
NATIONAL RETAIL PROP 6 625%-D	CUSIP: 637417601	Symbol (Box 1d): NNN D							
	2 000	03/01/12	11/01/13	\$45 51	\$50 18	\$0 00	(\$4 67)	\$0 00	
NATIXIS LOOMIS SAY INV GR BD Y	CUSIP: 543487136	Symbol (Box 1d): LSIIX							
	1,466 103	09/29/11	11/01/13	\$18,091 71	\$17 842 47	\$0 00	\$249 24	\$0 00	
NEWS CORP LTD CL A DEL	CUSIP: 65248E104	Symbol (Box 1d):							
	11 000	08/15/11	02/15/13	\$313 79	\$180 53	\$0 00	\$133 26	\$0 00	
NEWS CORPORATION CL A	CUSIP: 65249B109	Symbol (Box 1d): NWSA							
	0 250	08/24/11	06/28/13	\$3 92	\$1 90	\$0 00	\$2 02	\$0 00	
NEXTERA ENERGY-H 5625 *72JN15	CUSIP: 65339K704	Symbol (Box 1d): NEE H							
	2 000	06/20/12	11/01/13	\$41 72	\$50 40	\$0 00	(\$8 68)	\$0 00	
OH ST HGHR-C BE 5000 15AU01	CUSIP: 677521DW3	Symbol (Box 1d):							
	15 000 000	09/30/10	08/20/13	\$16,299 30	\$16,020 11	\$0 00	\$279 19	\$0 00	
OPPENHEIMER INTL GROWTH Y	CUSIP: 68380L407	Symbol (Box 1d): OIGYX							
	561 352	06/09/10	11/01/13	\$20,697 05	\$12,534 99	\$0 00	\$8,162 06	\$0 00	
	150,204	09/08/10	11/01/13	\$5,538 02	\$3,689 00	\$0 00	\$1,849 02	\$0 00	
	711,556			\$26,235 07	\$16,223 99	\$0 00	\$10,011 08	\$0 00	
Security Subtotal									
PARTNERRE LTD SER C 6 75 PRF	CUSIP: G6852T204	Symbol (Box 1d):							
	31 000	09/29/11	03/18/13	\$775 00	\$759 19	\$0 00	\$15 81	\$0 00	
	1,000	10/03/11	03/18/13	\$25 00	\$24 44	\$0 00	\$0 56	\$0 00	
Security Subtotal	32,000			\$800 00	\$783 63	\$0 00	\$16 37	\$0 00	
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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PARTNERRE LTD 6 5000 SER D		CUSIP: G68603409	Symbol (Box 1d): PRE D						
	11 000	09/29/11	03/04/13	\$279.78	\$270.37	\$0.00	\$9.41	\$0.00	
	5 000	09/29/11	11/01/13	\$120.19	\$122.90	\$0.00	(\$2.71)	\$0.00	
Security Subtotal	16 000			\$399.97	\$393.27	\$0.00	\$6.70	\$0.00	
PFIZER INC		CUSIP: 717081103	Symbol (Box 1d): PFE						
	16 000	03/16/11	05/21/13	\$456.47	\$312.97	\$0.00	\$143.50	\$0.00	
PHILIP MORRIS INTL INC		CUSIP: 718172109	Symbol (Box 1d): PM						
	5 000	08/23/11	08/27/13	\$421.29	\$350.26	\$0.00	\$71.03	\$0.00	
	3 000	10/21/11	08/27/13	\$252.77	\$209.70	\$0.00	\$43.07	\$0.00	
Security Subtotal	8 000			\$674.06	\$559.96	\$0.00	\$114.10	\$0.00	
PIMCO EMERGING LOCAL BD P		CUSIP: 72201M396	Symbol (Box 1d): PELPX						
	4,188.702	09/29/11	11/01/13	\$40,672.25	\$42,264.00	\$0.00	(\$1,591.75)	\$0.00	
	225.530	10/03/11	11/01/13	\$2,189.94	\$2,235.00	\$0.00	(\$45.06)	\$0.00	
Security Subtotal	4,414.232			\$42,862.19	\$44,499.00	\$0.00	(\$1,636.81)	\$0.00	
PLC CAP TRUST V 6125 *34JA27		CUSIP: 69340Y208	Symbol (Box 1d): PL B						
	4 000	09/29/11	01/25/13	\$100.22	\$97.00	\$0.00	\$3.22	\$0.00	
	5 000	09/29/11	02/06/13	\$124.78	\$121.25	\$0.00	\$3.53	\$0.00	
	1 000	10/03/11	02/06/13	\$24.96	\$24.00	\$0.00	\$0.96	\$0.00	
Security Subtotal	10 000			\$249.96	\$242.25	\$0.00	\$7.71	\$0.00	
PNC FINL-P 6 125% FLTS 5/01/22		CUSIP: 693475857	Symbol (Box 1d): PNC P						
	4 000	05/02/12	11/01/13	\$101.11	\$101.42	\$0.00	(\$0.31)	\$0.00	
	5 000	05/03/12	11/01/13	\$126.38	\$126.79	\$0.00	(\$0.41)	\$0.00	
Security Subtotal	9 000			\$227.49	\$228.21	\$0.00	(\$0.72)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SYMBOL (Box 1d)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PROLOGIS INC 6.75% PFD SER R	CUSIP: 74340W301	09/29/11	02/13/13	Symbol (Box 1d): PLD R						
	5.000				\$126.49	\$117.65	\$0.00	\$8.84	\$0.00	
PROTECTIVE LIFE 6250 *42MY15	CUSIP: 743674608	09/29/11		Symbol (Box 1d): PLC						
	6.000	05/25/12	11/01/13		\$137.72	\$149.48	\$0.00	(\$11.76)	\$0.00	
PRUDENTIAL FINL 9000 *38JN15	CUSIP: 744320508	09/29/11		Symbol (Box 1d)						
	35.000	09/29/11	06/17/13		\$875.00	\$955.15	\$0.00	(\$80.15)	\$0.00	
	3.000	10/03/11	06/17/13		\$75.00	\$82.05	\$0.00	(\$7.05)	\$0.00	
Security Subtotal	38.000				\$950.00	\$1,037.20	\$0.00	(\$87.20)	\$0.00	
PRUDENTIAL PLC 6.75 SERIES	CUSIP: G7293H114	09/29/11		Symbol (Box 1d): PUK						
	8.000	09/29/11	03/06/13		\$205.38	\$199.76	\$0.00	\$5.62	\$0.00	
	8.000	09/29/11	05/20/13		\$206.31	\$199.76	\$0.00	\$6.55	\$0.00	
	5.000	09/29/11	11/01/13		\$125.09	\$124.85	\$0.00	\$0.24	\$0.00	
Security Subtotal	21.000				\$536.78	\$524.37	\$0.00	\$12.41	\$0.00	
PS BUSINESS PARKS INC-S 6.45%	CUSIP: 69360J719	09/29/11		Symbol (Box 1d): PSB S						
	8.000	01/23/12	11/01/13		\$180.39	\$199.36	\$0.00	(\$18.97)	\$0.00	
PUBLIC STORAGE PFD SHS SER P	CUSIP: 74460D158	09/29/11		Symbol (Box 1d): PSA P						
	1.000	09/29/11	11/01/13		\$25.07	\$25.71	\$0.00	(\$0.64)	\$0.00	
PUBLIC STORAGE SER-R 06.35%	CUSIP: 74460D125	09/29/11		Symbol (Box 1d): PSA R						
	11.000	09/29/11	11/01/13		\$269.49	\$279.73	\$0.00	(\$10.24)	\$0.00	
PUBLIC STORAGE 5.75% SER-T	CUSIP: 74460W404	09/29/11		Symbol (Box 1d): PSA T						
	11.000	03/13/12	11/01/13		\$241.51	\$274.18	\$0.00	(\$32.67)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities [#] (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PUBLIC STORAGE 5 9000%		CUSIP: 74460W206	Symbol (Box 1d): PSA S						
	8.000	01/18/12	11/01/13	\$179.11	\$199.78	\$0.00	(\$20.67)	\$0.00	
PUBLIC STORAGE 6 500 SERS Q		CUSIP: 74460D141	Symbol (Box 1d): PSA Q						
	8.000	09/29/11	11/01/13	\$198.15	\$207.52	\$0.00	(\$9.37)	\$0.00	
PUBLIC STORAGE 6 875		CUSIP: 74460D182	Symbol (Box 1d): PSA O						
	1.000	09/29/11	11/01/13	\$25.74	\$27.50	\$0.00	(\$1.76)	\$0.00	
QUALCOMM INC		CUSIP: 747525103	Symbol (Box 1d): QCOM						
	7.000	04/15/11	04/25/13	\$433.67	\$372.73	\$0.00	\$60.94	\$0.00	
	6.000	11/17/11	04/25/13	\$371.71	\$334.57	\$0.00	\$37.14	\$0.00	
	3.000	11/17/11	07/08/13	\$179.85	\$167.29	\$0.00	\$12.56	\$0.00	
Security Subtotal	16.000			\$985.23	\$874.59	\$0.00	\$110.64	\$0.00	
QWEST CORP 7000 *52AP01		CUSIP: 74913G402	Symbol (Box 1d): CTX						
	3.000	04/10/12	11/01/13	\$73.70	\$75.60	\$0.00	(\$1.90)	\$0.00	
QWEST CORP 7% 7000 *52JL01		CUSIP: 74913G501	Symbol (Box 1d): CTU						
	1.000	07/05/12	11/01/13	\$24.42	\$25.59	\$0.00	(\$1.17)	\$0.00	
QWEST CORPORATION 7375 *51JN01		CUSIP: 74913G204	Symbol (Box 1d): CTQ						
	8.000	09/29/11	11/01/13	\$197.65	\$199.28	\$0.00	(\$1.63)	\$0.00	
QWEST CORPORATION 7500 *51SP15		CUSIP: 74913G303	Symbol (Box 1d): CTW						
	9.000	09/29/11	11/01/13	\$224.31	\$224.10	\$0.00	\$0.21	\$0.00	

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II, with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
RAYMOND JAMES FIN 6900 *42MH15									
	4 000	03/14/12	11/01/13	\$100 95	\$101 78	\$0 00	(\$0 83)	\$0 00	
	2 000	03/14/12	11/01/13	\$50 48	\$50 76	\$0 00	(\$0 28)	\$0 00	
Security Subtotal	6 000			\$151 43	\$152 54	\$0 00	(\$1 11)	\$0 00	
REALTY INCOME CORP-F 6 625%									
	7 000	02/13/12	11/01/13	\$171 23	\$174 76	\$0 00	(\$3 53)	\$0 00	
REGENCY CENTERS 6 625% SER 6									
	8 000	02/13/12	11/01/13	\$181 51	\$200 80	\$0 00	(\$19 29)	\$0 00	
RENAISSANCE HLDGS-D 6 60%									
	1 000	12/14/11	06/27/13	\$25 00	\$24 97	\$0 00	\$0 03	\$0 00	
	1 000	03/08/12	06/27/13	\$25 00	\$25 12	\$0 00	(\$0 12)	\$0 00	
	4 000	03/21/12	06/27/13	\$100 00	\$99 92	\$0 00	\$0 08	\$0 00	
	2 000	04/02/12	06/27/13	\$50 00	\$50 43	\$0 00	(\$0 43)	\$0 00	
Security Subtotal	8 000			\$200 00	\$200 44	\$0 00	(\$0 44)	\$0 00	
RENAISSANCE 6 08 SERIES C									
	5 000	09/29/11	03/21/13	\$126 61	\$116 95	\$0 00	\$9 66	\$0 00	
	22 000	09/29/11	06/05/13	\$550 00	\$514 58	\$0 00	\$35 42	\$0 00	
	17 000	09/29/11	11/01/13	\$392 52	\$397 63	\$0 00	(\$5 11)	\$0 00	
	2 000	10/03/11	11/01/13	\$46 18	\$46 12	\$0 00	\$0 06	\$0 00	
	2 000	10/07/11	11/01/13	\$46 18	\$46 38	\$0 00	(\$0 20)	\$0 00	
Security Subtotal	48 000			\$1,161 49	\$1,121 66	\$0 00	\$39 83	\$0 00	
ROYAL BK SCOT GRP PLC ADS5 75%									
	24 000	09/29/11	11/01/13	\$483 66	\$384 00	\$0 00	\$99 66	\$0 00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
RS GLOBAL NATURAL RES Y		CUSIP: 74972H648	Symbol (Box 1d)	RSNYX					
	484 762	09/29/11	11/01/13	\$18,508.21	\$15,691.74	\$0.00	\$2,816.47	\$0.00	
SANTANDER FIN 10 50% SER 10		CUSIP: E8683R144	Symbol (Box 1d)	SAN E					
	8 000	11/23/11	01/16/13	\$221.36	\$203.13	\$0.00	\$18.23	\$0.00	
	5 000	11/23/11	02/21/13	\$138.59	\$126.96	\$0.00	\$11.63	\$0.00	
	8 000	11/23/11	03/15/13	\$220.89	\$203.13	\$0.00	\$17.76	\$0.00	
Security Subtotal	21 000			\$580.84	\$533.22	\$0.00	\$47.62	\$0.00	
SCANA CORP 7700 *65JA30		CUSIP: 80589M201	Symbol (Box 1d)	SCU					
	2 000	11/14/11	11/01/13	\$52.37	\$56.58	\$0.00	(\$4.21)	\$0.00	
SCHLUMBERGER LTD		CUSIP: 806857108	Symbol (Box 1d)	SLB					
	4 000	06/07/11	12/20/13	\$347.94	\$335.13	\$0.00	\$12.81	\$0.00	
	11 000	08/05/11	12/20/13	\$956.84	\$897.43	\$0.00	\$59.41	\$0.00	
Security Subtotal	15 000			\$1,304.78	\$1,232.56	\$0.00	\$72.22	\$0.00	
SENIOR HSG TRST 5625 *42AU01		CUSIP: 81721M208	Symbol (Box 1d)	SNHN					
	10 000	07/24/12	11/01/13	\$197.67	\$239.73	\$0.00	(\$42.06)	\$0.00	
ST TAMMANY PAR BE 4000 16MH01		CUSIP: 793572XU7	Symbol (Box 1d)						
	10,000 000	03/23/12	05/09/13	\$10,937.20	\$10,759.06	\$0.00	\$178.14	\$0.00	
STANLEY BLK SER-I 5750 *52JL25		CUSIP: 854502705	Symbol (Box 1d)	SWJ					
	7 000	07/27/12	11/01/13	\$156.09	\$179.63	\$0.00	(\$23.54)	\$0.00	
TEL&DATA SYS INC 7000 *60MH15		CUSIP: 879433837	Symbol (Box 1d)	TDJ					
	5 000	09/29/11	11/01/13	\$123.22	\$128.00	\$0.00	(\$4.78)	\$0.00	
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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
TELE&DATA SYS INC 6875 *59NV15		CUSIP: 879433845	Symbol (Box 1d)	TDE					
	8 000	09/29/11	11/01/13	\$192 89	\$203 44	\$0 00	(\$10 55)	\$0 00	
TEMPLETON GLOBAL BD FD ADV		CUSIP: 880208400	Symbol (Box 1d):	TGBAX					
	1,455 419	09/29/11	11/01/13	\$19,051 43	\$18,483 82	\$0 00	\$567 61	\$0 00	
TEXAS TRANS-B BE 4000 17AP01		CUSIP: 882722VW8	Symbol (Box 1d)						
	15,000 000	09/23/10	11/04/13	\$16,279 95	\$16 027 12	\$0 00	\$252 83	\$0 00	
U S BANCORP PFD B		CUSIP: 902973155	Symbol (Box 1d):	USB H					
	14,000	09/29/11	04/24/13	\$334 76	\$295 40	\$0 00	\$39 36	\$0 00	
	3,000	09/29/11	11/01/13	\$58 64	\$63 30	\$0 00	(\$4 66)	\$0 00	
Security Subtotal	17,000			\$393 40	\$358 70	\$0 00	\$34 70	\$0 00	
UNION PACIFIC CORP		CUSIP: 907818108	Symbol (Box 1d):	UNP					
	3 000	09/08/10	10/17/13	\$455 82	\$238 52	\$0 00	\$217 30	\$0 00	
UNITED TECHNOLOGIES CORP		CUSIP: 913017109	Symbol (Box 1d):	UTX					
	1 000	10/18/10	10/10/13	\$105 01	\$74 54	\$0 00	\$30 47	\$0 00	
US CELLULAR CORP 6950 *60MY15		CUSIP: 911684405	Symbol (Box 1d):	UZA					
	6 000	09/29/11	11/01/13	\$145 84	\$152 10	\$0 00	(\$6 26)	\$0 00	
VORNADO REALTY TR SER J 6 875%		CUSIP: 929042869	Symbol (Box 1d):	VNO J					
	3 000	09/29/11	11/01/13	\$74 09	\$77 70	\$0 00	(\$3 61)	\$0 00	
VORNADO RLTY LP 7875 *39OC01		CUSIP: 929043602	Symbol (Box 1d):	VNOD					
	13 000	09/29/11	02/06/13	\$354 79	\$344 24	\$0 00	\$10 55	\$0 00	
	23 000	09/29/11	11/01/13	\$598 13	\$609 04	\$0 00	(\$10 91)	\$0 00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
VORNADO RLTY LP 7875 *39OC01	6 000	09/29/11	11/07/13	\$155.59	\$158.88	\$0.00	(\$3.29)	\$0.00	
	2 000	09/29/11	12/31/13	\$51.57	\$52.96	\$0.00	(\$1.39)	\$0.00	
Security Subtotal	44.000			\$1,160.08	\$1,165.12	\$0.00	(\$5.04)	\$0.00	
WACHOVIA PFD FD 7 25 SERS N/CU		CUSIP: 92977V206 Symbol (Box 1d): WNA							
	2 000	03/20/12	04/04/13	\$54.96	\$51.43	\$0.00	\$3.53	\$0.00	
	8 000	03/21/12	04/04/13	\$219.83	\$205.04	\$0.00	\$14.79	\$0.00	
	4 000	03/21/12	04/23/13	\$110.04	\$102.52	\$0.00	\$7.52	\$0.00	
	6 000	03/30/12	04/23/13	\$165.05	\$156.54	\$0.00	\$8.51	\$0.00	
Security Subtotal	20.000			\$549.88	\$515.53	\$0.00	\$34.35	\$0.00	
WALT DISNEY CO HLDG CO		CUSIP: 254687106 Symbol (Box 1d): DIS							
	3 000	09/08/10	05/17/13	\$198.77	\$101.91	\$0.00	\$96.86	\$0.00	
WEINGARTEN REALTY 6 5 SER F		CUSIP: 948741889 Symbol (Box 1d): WRI F							
	6 000	09/29/11	03/21/13	\$152.02	\$140.88	\$0.00	\$11.14	\$0.00	
	10 000	09/29/11	06/03/13	\$250.00	\$234.80	\$0.00	\$15.20	\$0.00	
	6 000	09/29/11	11/01/13	\$145.07	\$140.88	\$0.00	\$4.19	\$0.00	
	1 000	10/03/11	11/01/13	\$24.18	\$23.97	\$0.00	\$0.21	\$0.00	
Security Subtotal	23.000			\$571.27	\$540.53	\$0.00	\$30.74	\$0.00	
WELLS FARGO 8% NON-CUM SER J		CUSIP: 949746879 Symbol (Box 1d): WFC J							
	7 000	09/29/11	11/01/13	\$199.49	\$192.92	\$0.00	\$6.57	\$0.00	
	3 000	09/29/11	12/04/13	\$85.28	\$82.68	\$0.00	\$2.60	\$0.00	
Security Subtotal	10 000			\$284.77	\$275.60	\$0.00	\$9.17	\$0.00	

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities [#] (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box F checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CUSIP 98389B886 Symbol (Box 1d):									
XCEL ENERGY INC 7600 *68JA01	5 000	01/23/12	03/04/13	\$126 40	\$134 95	\$0 00	(\$8 55)	\$0 00	
	6 000	01/23/12	03/21/13	\$151 20	\$161 94	\$0 00	(\$10 74)	\$0 00	
	20 000	01/23/12	05/31/13	\$500 00	\$539 80	\$0 00	(\$39 80)	\$0 00	
Security Subtotal	31,000			\$777.60	\$836.69	\$0.00	(\$59.09)	\$0.00	
Total Long Term Noncovered Securities				\$327,655.11	\$294,325.92	\$0.00	\$33,329.19	\$0.00	

SAM BERMAN CHARITABLE FOUNDATION
FORM 990-PF
EIN# 59-2812513
TAX YEAR 2013
ATTACHMENT J

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b (cost basis reported to the IRS)								
<i>IShares CORE TOTAL US BOND MARKET ETF</i>								
<i>1532 0000 Sale</i>								
		05/23/12	03/11/13	168,304.34	169,922.85	0.00	(1,618.51)	
	3.0000 Sale	08/08/12	03/11/13	329.57	335.60	0.00	(6.03)	
	1.0000 Sale	09/11/12	03/11/13	109.85	111.86	0.00	(2.01)	
	2.0000 Sale	09/11/12	03/11/13	219.72	223.72	0.00	(4.00)	
	2.0000 Sale	10/08/12	03/11/13	219.71	223.89	0.00	(4.18)	
	1.0000 Sale	11/08/12	03/11/13	109.86	111.94	0.00	(2.08)	
	3.0000 Sale	12/10/12	03/11/13	329.58	335.97	0.00	(6.39)	
	6.0000 Sale	12/11/12	03/11/13	659.16	669.59	0.00	(10.43)	
	3.0000 Sale	01/03/13	03/11/13	329.58	332.95	0.00	(3.37)	
	1.0000 Sale	02/08/13	03/11/13	109.86	111.02	0.00	(1.16)	
	2.0000 Sale	02/08/13	03/11/13	219.72	220.34	0.00	(0.62)	
	1.0000 Sale	03/08/13	03/11/13	109.86	110.05	0.00	(0.19)	
	2.0000 Sale	03/08/13	03/11/13	219.73	219.72	0.00	0.01	
	6004 Sale	03/08/13	03/25/13	66.27	65.96	0.00	0.31	
Security Subtotal				171,666.39	173,330.41	0.00	(1,664.02)	

2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MARKET VECTORS ETF TR								
		CUSIP Number	57060U100					
	GOLD MINERS ETF							
1955.0000	Sale	05/23/12	03/11/13	71,923.03	86,702.69	0.00	(14,779.66)	
19.0000	Sale	01/03/13	03/11/13	699.00	888.05	0.00	(189.05)	
.3241	Sale	01/03/13	03/12/13	12.17	15.15	0.00	(2.98)	
	Security Subtotal			72,634.20	87,605.89	0.00	(14,971.69)	
WISDOMTREE EMERGING MRKT EQUITY INCOME								
		CUSIP Number	97717W315					
976.0000	Sale	11/15/12	08/14/13	49,630.68	49,971.20	0.00	(340.52)	
956.0000	Sale	11/20/12	08/14/13	48,613.67	49,972.23	0.00	(1,358.56)	
6.0000	Sale	01/03/13	08/14/13	305.10	345.60	0.00	(40.50)	
1.0000	Sale	04/01/13	08/14/13	50.85	57.03	0.00	(6.18)	
4.0000	Sale	04/01/13	08/14/13	203.40	220.31	0.00	(16.91)	
37.0000	Sale	07/01/13	08/14/13	1,881.51	1,799.23	0.00	82.28	
.6079	Sale	07/01/13	08/26/13	30.00	31.80	0.00	(1.80)	
	Security Subtotal			100,715.21	102,397.40	0.00	(1,682.19)	
SPDR BARCLAYS HIGH YIELD BOND ETF								
		CUSIP Number	78464A417					
2990.0000	Sale	05/23/12	03/11/13	122,268.53	114,990.02	0.00	7,278.51	
17.0000	Sale	08/10/12	03/11/13	695.17	676.76	0.00	18.41	
16.0000	Sale	09/13/12	03/11/13	654.27	649.12	0.00	5.15	
1.0000	Sale	10/10/12	03/11/13	40.89	40.27	0.00	0.62	
16.0000	Sale	10/10/12	03/11/13	654.27	641.91	0.00	12.36	
17.0000	Sale	11/12/12	03/11/13	695.17	680.67	0.00	14.50	
630.0000	Sale	11/15/12	03/11/13	25,762.29	24,979.50	0.00	782.79	
20.0000	Sale	12/12/12	03/11/13	817.85	816.99	0.00	0.86	
1.0000	Sale	01/08/13	03/11/13	40.89	40.54	0.00	0.35	
1.0000	Sale	01/08/13	03/11/13	40.89	41.08	0.19 (W)	0.00	
18.0000	Sale	01/08/13	03/11/13	736.07	739.43	3.36 (W)	0.00	
19.0000	Sale	02/12/13	03/11/13	776.96	769.12	0.00	7.84	
1.0000	Sale	01/09/13	05/28/13	41.15	40.88	0.00 (Y)	0.27	
18.0000	Sale	01/09/13	05/28/13	740.87	740.28	0.00 (Y)	0.59	
1.0000	Sale	03/12/13	05/28/13	41.17	40.94	0.00	0.23	
.2116	Sale	03/12/13	06/24/13	8.19	8.66	0.00	(0.47)	
	Security Subtotal			154,014.63	145,896.17	3.55	8,122.01	

1099-B

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
POWERSHARES S&P 500 BUYWRITE PORTFOLIO								
	5015.0000 Sale	11/15/12	03/11/13	102,070.89	99,898.80	0.00	2,172.09	
	137.0000 Sale	01/03/13	03/11/13	2,788.38	2,730.40	0.00	57.98	
	.7802 Sale	01/03/13	03/25/13	15.94	15.55	0.00	0.39	
	Security Subtotal			104,875.21	102,644.75	0.00	2,230.46	
POWERSHARES PREFERRED PORTFOLIO								
	1.0000 Sale	10/01/12	09/12/13	13.69	14.79	0.00	(1.10)	
	43.0000 Sale	10/01/12	09/12/13	589.09	636.22	0.00	(47.13)	
	42.0000 Sale	11/01/12	09/12/13	575.39	624.29	0.00	(48.90)	
	1720.0000 Sale	11/15/12	09/12/13	23,563.61	24,991.60	0.00	(1,427.99)	
	44.0000 Sale	12/03/12	09/12/13	602.79	649.74	0.00	(46.95)	
	1.0000 Sale	01/03/13	09/12/13	13.69	14.79	0.00	(1.10)	
	53.0000 Sale	01/03/13	09/12/13	726.09	781.05	0.00	(54.96)	
	53.0000 Sale	02/01/13	09/12/13	726.09	785.08	0.00	(58.99)	
	1.0000 Sale	03/01/13	09/12/13	13.70	14.79	0.00	(1.09)	
	53.0000 Sale	03/01/13	09/12/13	726.10	785.72	0.00	(59.62)	
	.0936 Sale	03/01/13	09/23/13	1.27	1.39	0.00	(0.12)	
	Security Subtotal			27,551.51	29,299.46	0.00	(1,747.95)	
TCW EMERGING MARKETS INCOME I SHARE								
	0530 Sale	04/30/13	09/12/13	0.44	0.50	0.00	(0.06)	
	4227.0000 Sale	10/09/12	09/12/13	34,999.56	38,835.77	0.00	(3,836.21)	
	Security Subtotal			35,000.00	38,836.27	0.00	(3,836.27)	
DOUBLELINE TOTAL RETURN BOND FUND CL I								
	8833.0000 Sale	05/23/12	03/11/13	99,989.56	99,282.92	0.00	706.64	
	1910 Sale	05/23/12	03/11/13	2.17	2.15	0.00	0.02	
	.7310 Sale	02/28/13	03/11/13	8.27	8.29	0.00	(0.02)	
	Security Subtotal			100,000.00	99,293.36	0.00	706.64	
WESTERN ASSET CORE PLUS BOND FUND CL I								
	1.0000 Sale	01/31/13	08/14/13	11.18	11.69	0.00	(0.51)	
	35.0000 Sale	01/31/13	08/14/13	391.30	406.70	0.00	(15.40)	
	5560 Sale	02/28/13	08/14/13	6.22	6.47	0.00	(0.25)	

2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
WESTERN ASSET CORE PLUS BOND FUND CL I								
		CUSIP Number	957663503					
1 0000	Sale	08/31/12	08/14/13	11.18	11.62	0.00	(0.44)	
43 0000	Sale	08/31/12	08/14/13	480.74	499.66	0.00	(18.92)	
34 0000	Sale	09/28/12	08/14/13	380.12	396.78	0.00	(16.66)	
1 0000	Sale	11/01/12	08/14/13	11.18	11.68	0.00	(0.50)	
40 0000	Sale	11/01/12	08/14/13	447.20	468.00	0.00	(20.80)	
43 0000	Sale	11/30/12	08/14/13	480.74	502.23	0.00	(21.49)	
35 0000	Sale	02/28/13	08/14/13	391.31	407.75	0.00	(16.44)	
38 0000	Sale	12/31/12	08/14/13	424.84	443.45	0.00	(18.61)	
Security Subtotal				3,036.01	3,166.03	0.00	(130.02)	
PIMCO TOTAL RETURN FUND CL P								
		CUSIP Number	72201M552					
44 0000	Sale	08/31/12	08/14/13	472.56	505.99	0.00	(33.43)	
1 0000	Sale	10/01/12	08/14/13	10.73	11.52	0.00	(0.79)	
35 0000	Sale	10/01/12	08/14/13	375.90	405.30	0.00	(29.40)	
53 0000	Sale	10/31/12	08/14/13	569.22	614.26	0.00	(45.04)	
1 0000	Sale	11/30/12	08/14/13	10.74	11.59	0.00	(0.85)	
54 0000	Sale	11/30/12	08/14/13	579.96	627.48	0.00	(47.52)	
194 0000	Sale	12/12/12	08/14/13	2,083.56	2,203.83	0.00	(120.27)	
265 0000	Sale	12/12/12	08/14/13	2,846.10	3,010.39	0.00	(164.29)	
202 0000	Sale	12/27/12	08/14/13	2,169.49	2,270.47	0.00	(100.98)	
1 0000	Sale	12/31/12	08/14/13	10.74	11.36	0.00	(0.62)	
42 0000	Sale	12/31/12	08/14/13	451.08	472.08	0.00	(21.00)	
1 0000	Sale	01/31/13	08/14/13	10.74	11.23	0.00	(0.49)	
32 0000	Sale	01/31/13	08/14/13	343.68	358.08	0.00	(14.40)	
9850	Sale	02/28/13	08/14/13	10.58	11.05	0.00	(0.47)	
36 0000	Sale	02/28/13	08/14/13	386.65	404.27	0.00	(17.62)	
Security Subtotal				10,331.73	10,928.90	0.00	(597.17)	
PIMCO EMERGING LOCAL BOND FUND CL P								
		CUSIP Number	72201M396					
1 0000	Sale	10/01/12	09/12/13	9.47	10.80	0.00	(1.33)	
17 0000	Sale	10/01/12	09/12/13	161.16	184.62	0.00	(23.46)	
23 0000	Sale	11/15/12	09/12/13	218.04	249.77	0.00	(31.73)	
3714 0000	Sale	11/15/12	09/12/13	35,208.75	39,999.78	0.00	(4,791.03)	
30 0000	Sale	11/30/12	09/12/13	284.40	327.00	0.00	(42.60)	
132 0000	Sale	12/27/12	09/12/13	1,251.36	1,449.36	0.00	(198.00)	
39 0000	Sale	12/31/12	09/12/13	369.72	428.22	0.00	(58.50)	
1 0000	Sale	01/31/13	09/12/13	9.48	10.91	0.00	(1.43)	

1099-B

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PIMCO EMERGING LOCAL BOND FUND CL P								
		CUSIP Number	72201M396					
36 0000	Sale	01/31/13	09/12/13	341.28	396.36	0.00	(55.08)	
1 0000	Sale	02/28/13	09/12/13	9.48	10.97	0.00	(1.49)	
31 0000	Sale	02/28/13	09/12/13	293.88	338.52	0.00	(44.64)	
1 0000	Sale	03/28/13	09/12/13	9.48	10.87	0.00	(1.39)	
39 0000	Sale	03/28/13	09/12/13	369.72	422.75	0.00	(53.03)	
4980	Sale	04/30/13	09/12/13	4.72	5.46	0.00	(0.74)	
34 0000	Sale	04/30/13	09/12/13	322.33	379.43	0.00	(57.10)	
	Security Subtotal			38,863.27	44,224.82	0.00	(5,361.55)	
PERMANENT PORTFOLIO FUND								
		CUSIP Number	714199106					
15 0000	Sale	12/05/12	09/12/13	708.45	725.54	0.00	(17.09)	
.4600	Sale	12/05/12	09/12/13	21.73	21.79	0.00	(0.06)	
20 0000	Sale	12/05/12	09/12/13	944.60	967.40	0.00	(22.80)	
	Security Subtotal			1,674.78	1,714.73	0.00	(39.95)	
Covered Short Term Capital Gains and Losses Subtotal								
				820,362.94	839,338.19	3.55	(18,971.70)	
NET SHORT TERM CAPITAL GAINS AND LOSSES								
				820,362.94	839,338.19	3.55	(18,971.70)	

SAM BERMAN CHARITABLE FOUNDATION
FORM 990-PF
EIN# 59-2812513
TAX YEAR 2013
ATTACHMENT K

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PIMCO EMERGING LOCAL BOND FUND CL P								
		CUSIP Number	72201M396					
36 0000	Sale	01/31/13	09/12/13	341 28	396 36	0 00	(55 08)	
1 0000	Sale	02/28/13	09/12/13	9 48	10 97	0 00	(1 49)	
31 0000	Sale	02/28/13	09/12/13	293 88	338 52	0 00	(44 64)	
1 0000	Sale	03/28/13	09/12/13	9 48	10 87	0 00	(1 39)	
39 0000	Sale	03/28/13	09/12/13	369 72	422 75	0 00	(53 03)	
4980	Sale	04/30/13	09/12/13	4 72	5 46	0 00	(0 74)	
34 0000	Sale	04/30/13	09/12/13	322 33	379 43	0 00	(57 10)	
Security Subtotal				38,863.27	44,224.82	0.00	(5,361.55)	
PERMANENT PORTFOLIO FUND								
		CUSIP Number	714199106					
15 0000	Sale	12/05/12	09/12/13	708 45	725 54	0 00	(17 09)	
4600	Sale	12/05/12	09/12/13	21 79	21 79	0 00	(0 06)	
20 0000	Sale	12/05/12	09/12/13	944 60	967 40	0 00	(22 80)	
Security Subtotal				1,674.78	1,714.73	0.00	(39.95)	
Covered Short Term Capital Gains and Losses Subtotal				820,362.94	839,338.19	3.55	(18,971.70)	
NET SHORT TERM CAPITAL GAINS AND LOSSES				820,362.94	839,338.19	3.55	(18,971.70)	
LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
POWERSHARES PREFERRED PORTFOLIO								
		CUSIP Number	73936T565					
8122 0000	Sale	05/23/12	09/12/13	111,269 46	114,975 03	0 00	(3,705 57)	
43 0000	Sale	08/01/12	09/12/13	589 08	634 21	0 00	(45 13)	
1 0000	Sale	09/04/12	09/12/13	13 69	14 75	0 00	(1 06)	
42 0000	Sale	09/04/12	09/12/13	575 39	621 22	0 00	(45 83)	
Security Subtotal				112,447.62	116,245.21	0.00	(3,797.59)	
DOUBLELINE TOTAL RETURN BOND FUND CL I								
		CUSIP Number	258620103					
1765 0000	Sale	05/23/12	05/28/13	19,997 45	19,838 60	0 00	158 85	
2250	Sale	05/23/12	05/28/13	2 55	2 53	0 00	0 02	
Security Subtotal				20,000.00	19,841.13	0.00	158.87	

THE SAM BERMAN CHARITABLE

1099-B

2013 ANNUAL STATEMENT SUMMARY

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
WESTERN ASSET CORE PLUS								
	BOND FUND CL I							
14977 0000	Sale	05/23/12	08/14/13	167,442.86	169,988.94	0.00	(2,546.08)	
1 0000	Sale	05/31/12	08/14/13	11.17	11.36	0.00	(0.19)	
10 0000	Sale	05/31/12	08/14/13	111.80	113.90	0.00	(2.10)	
41.0000	Sale	07/02/12	08/14/13	458.38	468.62	0.00	(10.24)	
1.0000	Sale	07/31/12	08/14/13	11.18	11.45	0.00	(0.27)	
38 0000	Sale	07/31/12	08/14/13	424.84	441.17	0.00	(16.33)	
Security Subtotal					171,035.44	0.00	(2,575.21)	
PIMCO TOTAL RETURN FUND								
	CL P							
19145 0000	Sale	05/23/12	08/14/13	205,617.30	214,998.35	0.00	(9,381.05)	
15 0000	Sale	05/31/12	08/14/13	161.09	169.20	0.00	(8.11)	
52.0000	Sale	06/29/12	08/14/13	558.48	587.60	0.00	(29.12)	
1 0000	Sale	07/31/12	08/14/13	10.74	11.31	0.00	(0.57)	
41.0000	Sale	07/31/12	08/14/13	440.34	470.27	0.00	(29.93)	
Security Subtotal					216,236.73	0.00	(9,448.78)	
PIMCO EMERGING LOCAL								
	BOND FUND CL P							
5905 0000	Sale	05/23/12	09/12/13	55,979.40	59,994.80	0.00	(4,015.40)	
6 0000	Sale	05/31/12	09/12/13	56.87	60.18	0.00	(3.31)	
1 0000	Sale	06/29/12	09/12/13	9.47	10.30	0.00	(0.83)	
20 0000	Sale	06/29/12	09/12/13	189.60	210.19	0.00	(20.59)	
18 0000	Sale	07/31/12	09/12/13	170.64	192.05	0.00	(21.41)	
1 0000	Sale	08/31/12	09/12/13	9.47	10.59	0.00	(1.12)	
24 0000	Sale	08/31/12	09/12/13	227.52	254.88	0.00	(27.36)	
Security Subtotal					60,732.99	0.00	(4,090.02)	
PERMANENT PORTFOLIO FUND								
	2706 0000	Sale	05/23/12	127,804.38	124,990.14	0.00	2,814.24	
Covered Long Term Capital Gains and Losses Subtotal					692,143.15	0.00	(16,938.49)	
NET LONG TERM CAPITAL GAINS AND LOSSES					692,143.15	0.00	(16,938.49)	