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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation The Shepard Broad Foundation Inc		A Employer identification number 59-0998866	
% c/o Foundation Source		B Telephone number (see instructions)	
Number and street (or P O box number if mail is not delivered to street address) 801 Brckell Ave Suite 2350		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code Miami, FL 33131		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 16,762,233		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	1,555,691			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,029	1,029		
	4 Dividends and interest from securities.	339,793	339,793		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	452,979			
	b Gross sales price for all assets on line 6a 3,004,348				
	7 Capital gain net income (from Part IV, line 2) . . .		452,979		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	1,500	1,500		
	12 Total. Add lines 1 through 11	2,350,992	795,301		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	74,326	74,326		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	11,602			
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy	2,931			2,931
	21 Travel, conferences, and meetings	11,819			11,819
	22 Printing and publications				
	23 Other expenses (attach schedule)	57,939	38		57,901
	24 Total operating and administrative expenses. Add lines 13 through 23	158,617	74,364		72,651
	25 Contributions, gifts, grants paid	1,487,249			1,487,249
	26 Total expenses and disbursements. Add lines 24 and 25	1,645,866	74,364		1,559,900
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	705,126			
	b Net investment income (if negative, enter -0-)		720,937		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	698,425	633,828	633,828
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ 50,000 Less allowance for doubtful accounts ▶ _____	50,000	50,000	50,000
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	1,500		
	10a	Investments—U S and state government obligations (attach schedule)	778,764	902,467	897,054
	b	Investments—corporate stock (attach schedule)	9,119,087	9,797,189	13,367,281
	c	Investments—corporate bonds (attach schedule).	1,657,546	1,626,965	1,664,070
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)	150,000	150,000	150,000
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	12,455,322	13,160,449	16,762,233
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	12,455,323	13,160,449	
	30	Total net assets or fund balances (see page 17 of the instructions)	12,455,323	13,160,449	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	12,455,323	13,160,449	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	12,455,323
2	Enter amount from Part I, line 27a	2	705,126
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	13,160,449
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	13,160,449

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Publicly-traded Securities			
b	SECURITY DEPOSIT - SIMKIN MANAGE - SECURITY DEPOSIT - SIMKIN MANAGEMEN	P	2006-12-31	
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,002,848		2,549,869	452,979
b 1,500		1,500	
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	452,979
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,390,898	13,132,204	0 105915
2011	1,339,917	12,563,008	0 106656
2010	1,447,991	11,332,112	0 127778
2009	1,195,486	9,520,220	0 125573
2008	1,448,760	10,851,068	0 133513

2	Total of line 1, column (d).	2	0 599435
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 119887
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	15,184,553
5	Multiply line 4 by line 3.	5	1,820,431
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	7,209
7	Add lines 5 and 6.	7	1,827,640
8	Enter qualifying distributions from Part XII, line 4.	8	1,559,900

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	14,419
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)					
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		2	14,419
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
3		Add lines 1 and 2.			
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	14,419
6		Credits/Payments		7	14,755
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	8,695		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	6,060		
d	Backup withholding erroneously withheld	6d			
7		Total credits and payments. Add lines 6a through 6d.		7	
8		Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	336
11		Enter the amount of line 10 to be Credited to 2014 estimated tax 336 Refunded		11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) FL _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of <div>co Foundation Source</div> Telephone no <div>(800) 839-1754</div> <div>Located at 501 Silverside Road Suite 123 Wilmington DE</div> ZIP +4 <div>198091377</div>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<div><div></div> Yes <div>✓</div> No</div> <div><div></div> Yes <div>✓</div> No</div> <div><div></div> Yes <div>✓</div> No</div> <div><div>✓</div> Yes <div></div> No</div> <div><div></div> Yes <div>✓</div> No</div> <div><div></div> Yes <div>✓</div> No</div>		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☒

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Hewins Financial Advisors LLC	Investment Mgmt	74,326
400 S El Camino Real Ste 800 SAN MATEO, CA 94402		
Foundation Source	Administrative	56,775
55 Walls Drive suite 302 Fairfield, CT 06824		
Total number of others receiving over \$ 50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	14,541,851
b	Average of monthly cash balances.	1b	822,439
c	Fair market value of all other assets (see instructions).	1c	51,500
d	Total (add lines 1a, b, and c).	1d	15,415,790
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	15,415,790
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	231,237
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	15,184,553
6	Minimum investment return. Enter 5% of line 5.	6	759,228

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	759,228
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	14,419
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	14,419
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	744,809
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	744,809
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	744,809

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,559,900
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,559,900
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,559,900
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				744,809
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 2011 , 2010 , 2009				
3 Excess distributions carryover, if any, to 2013				
a From 2008.	911,031			
b From 2009.	724,111			
c From 2010.	886,305			
d From 2011.	719,784			
e From 2012.	742,094			
f Total of lines 3a through e.	3,983,325			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 1,559,900				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				744,809
e Remaining amount distributed out of corpus	815,091			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,798,416			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	911,031			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	3,887,385			
10 Analysis of line 9				
a Excess from 2009. . . .	724,111			
b Excess from 2010. . . .	886,305			
c Excess from 2011. . . .	719,784			
d Excess from 2012. . . .	742,094			
e Excess from 2013. . . .	815,091			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	1,487,249
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated


Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)


Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Karen Berman	Dir 1 0	0		
801 Brickell Ave Miami, FL 33131				
Morris Broad	Dir / Chairman 1 0	0		
801 Brickell Ave Miami, FL 33131				
Ann B Bussel	VP / Dir 1 0	0		
801 Brickell Ave Miami, FL 33131				
Daniel J Bussel	Dir 1 0	0		
801 Brickell Ave Miami, FL 33131				
Deborah Bussel	Dir / Sec / Treas 1 0	0		
801 Brickell Ave Miami, FL 33131				
John M Bussel	Pres / Dir 1 0	0		
801 Brickell Ave Miami, FL 33131				


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AHRC NEW YORK CITY FOUNDATION INC 83 MAIDEN LN NEW YORK,NY 10038	N/A	PC	General & Unrestricted	1,000
AISH HATORAH JERUSALEM FUND INC 3150 SHERIDAN AVE MIAMI,FL 33140	N/A	PC	General & Unrestricted	1,000
AMERICAN FRIENDS OF LEKET ISRAEL INC 384 WARWICK AVE TEANECK,NJ 07666	N/A	PC	General & Unrestricted	2,500
AMERICAN JEWISH COMMITTEE 165 E 56TH ST NEW YORK,NY 10022	N/A	PC	General & Unrestricted	2,500
AMERICAN JEWISH WORLD SERVICE INC 45 W 36TH ST 11TH FL NEW YORK,NY 10018	N/A	PC	Typhoon Haiyan Relief	1,000
AMERICAN SOCIETY OF THE UNIVERSITY OF HAIFA 245 5TH AVE STE 2203 NEW YORK,NY 10016	N/A	PC	Expectant Parenting Program	30,000
BARRY UNIVERSITY 11300 NE 2ND AVE MIAMI SHORES,FL 33161	N/A	PC	2013-14 Annual Fund	5,000
BIG BROTHERS AND BIG SISTERS OF GREATER MIAMI 701 SW 27TH AVE MIAMI,FL 33135	N/A	PC	General & Unrestricted	2,500
BOYS TOWN JERUSALEM FOUNDATION OF AMERICA INC 1 PENN PLZ STE 6250 NEW YORK,NY 10119	N/A	PC	General & Unrestricted	10,000
CAMP FIESTA INC 2750 N E 183RD ST NORTH MIAMI BEACH,FL 33160	N/A	PC	General & Unrestricted	2,500
CASA VALENTINA INC 2990 SW 35TH AVE MIAMI,FL 33133	N/A	PC	Annual Gift for 2013 Fund	200
CHILDRENS BEREAVEMENT CENTER INC 7600 S RED RD STE 307 SOUTH MIAMI,FL 33143	N/A	PC	General & Unrestricted	10,000
CHRISTOPHER COLUMBUS HIGH SCHOOL 3000 SW 87TH AVE MIAMI,FL 33165	N/A	PC	Memorial Fund	5,000
CONGREGATION BNAI JESHURUN 1025 S ORANGE AVE SHORT HILLS,NJ 07078	N/A	PC	General & Unrestricted	5,000
DUKE UNIVERSITY 710 W MAIN ST STE 200 DURHAM,NC 27701	N/A	PC	General & Unrestricted	6,000
Total  3a				1,487,249


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DUKE UNIVERSITY 710 W MAIN ST STE 200 DURHAM,NC 27701	N/A	PC	Ruth K Broad Biomedical Research Foundation	500
DUKE UNIVERSITY 710 W MAIN ST STE 200 DURHAM,NC 27701	N/A	PC	Ruth K Broad Biomedical Research Foundation's Lecture Seminar on Neurobiology and Disease	40,000
DUKE UNIVERSITY 710 W MAIN ST STE 200 DURHAM,NC 27701	N/A	PC	Jewish Life at Duke	250
DURHAM ACADEMY INC 3601 RIDGE RD DURHAM,NC 27705	N/A	PC	Evergreen Campaign for the matching grant	2,500
DURHAM-CHAPEL HILL JEWISH FEDERATION 1937 W CORNWALLIS RD DURHAM,NC 27705	N/A	PC	Scholarship Mural Project	1,000
EASTER SEALS SOUTH FLORIDA INC 1475 NW 14TH AVE MIAMI,FL 33125	N/A	PC	Child Development Center	4,000
FEEDING AMERICA 35 E WACKER DR STE 2000 CHICAGO,IL 60601	N/A	PC	General & Unrestricted	2,500
FLORIDA INTERNATIONAL UNIVERSITY FOUNDATION INC 11200 SW 8TH ST MIAMI,FL 33199	N/A	PC	General & Unrestricted	5,000
FLORIDA INTERNATIONAL UNIVERSITY FOUNDATION INC 11200 SW 8TH ST MIAMI,FL 33199	N/A	PC	School of International and Public Affairs	20,000
FLORIDA INTERNATIONAL UNIVERSITY FOUNDATION INC 11200 SW 8TH ST MIAMI,FL 33199	N/A	PC	School of International and Public Affairs for the Ruth K and Shepard Broad Lecture Series	10,000
FLORIDA LIONS EYE BANK INC 900 NW 17TH ST 3RD FL MIAMI,FL 33136	N/A	PC	General & Unrestricted	10,000
FLORIDA STATE UNIVERSITY FOUNDATION INC INNOVATION PARK 2010 LEVY AVE TALLAHASSEE,FL 32306	N/A	PC	School of Social Sciences & Public Policy	2,000
FREEDOM OF RELIGION FOR ISRAEL INC 182 E 95TH ST APT 24G NEW YORK,NY 10128	N/A	PC	General & Unrestricted	5,000
FRIENDS OF HUMANITY CORPORATION 15414 NE 2ND AVE MIAMI,FL 33162	N/A	PC	General & Unrestricted	1,000
FRIENDS OF THE DANIEL CENTERS FOR PROGRESSIVE JUDA 4108 LELAND ST CHEVY CHASE,MD 20815	N/A	PC	General & Unrestricted	2,500
Total  3a				1,487,249


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FRIENDS OF THE ISRAEL DEFENSE FORCES 8177 W GLADES RD STE 208 BOCA RATON,FL 33434	N/A	PC	General & Unrestricted	10,000
GABLESTAGE INC 1200 ANASTASIA AVE CORAL GABLES,FL 33134	N/A	PC	GableStage Productions and Dade County Educational Programming	3,000
GRACE FOUNDATION OF NEW YORK 264 WATCHOGUE RD STATEN ISLAND,NY 10314	N/A	PC	General & Unrestricted	1,000
GREATER MIAMI JEWISH FEDERATION 4200 BISCAYNE BLVD MIAMI,FL 33137	N/A	PC	Miami Beach Jewish Community Center Fund	10,000
GREATER MIAMI JEWISH FEDERATION 4200 BISCAYNE BLVD MIAMI,FL 33137	N/A	PC	Morris N Broad Philanthropic Fund	472,305
GREATER MIAMI JEWISH FEDERATION 4200 BISCAYNE BLVD MIAMI,FL 33137	N/A	PC	2013 Annual Campaign	50,000
GREATER MIAMI JEWISH FEDERATION 4200 BISCAYNE BLVD MIAMI,FL 33137	N/A	PC	2013 Annual Campaign	18,000
GREEN MOUNTAIN COLLEGE 1 BRENNAN CIR POULTNEY,VT 05764	N/A	PC	General & Unrestricted	10,000
H3 HEALTH HOPE HEALING INC 1935 CALAIS DR APT 205 MIAMI BEACH,FL 33141	N/A	PC	General & Unrestricted	750
HEALTH INFORMATION PROJECT INC 4601 PONCE DE LEON BLVD STE 300 CORAL GABLES,FL 33146	N/A	PC	General & Unrestricted	2,500
HEARING RESEARCH INSTITUTE INC 7000 SW 62ND AVE STE 210 SOUTH MIAMI,FL 33143	N/A	PC	General & Unrestricted	5,000
HEARING RESEARCH INSTITUTE INC 7000 SW 62ND AVE STE 210 SOUTH MIAMI,FL 33143	N/A	PC	Hearing Screenings at Ruth K Broad Bay Harbor School	5,000
HILLEL THE FOUNDATION FOR JEWISH CAMPUS LIFE 574 HILGARD AVE LOS ANGELES,CA 90024	N/A	PC	2013 Annual Gala Honoring Carole Goldberg	1,200
HILLEL THE FOUNDATION FOR JEWISH CAMPUS LIFE 777 GLADES RD BLDG LY NO 3A BOCA RATON,FL 33431	N/A	PC	General & Unrestricted	1,000
HISTORIC SALEM COURTHOUSE PRESERVATION ASSOCIATION PO BOX 140 SALEM,NY 12865	N/A	PC	General & Unrestricted	2,500
Total  3a				1,487,249


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
HUMANE SOCIETY OF GREATER MIAMI INC AND DADE COUNT 16101 W DIXIE HWY MIAMI,FL 33160	N/A	PC	General & Unrestricted	2,000
ICIVICS 1875 K ST NW STE 500 WASHINGTON,DC 20006	N/A	PC	General & Unrestricted	11,500
JEWISH COMMUNAL FUND 575 MADISON AVE STE 703 NEW YORK,NY 10022	N/A	PC	General & Unrestricted	20,000
JEWISH LIFE 16501 VENTURA BLVD ENCINO,CA 91436	N/A	PC	JLTV's filming of the Maccabiah in Israel	1,800
KESHER LD INC 18900 NE 25TH AVE N MIAMI BEACH,FL 33180	N/A	PC	Golden Guardian Fund for the 2013/2014 School Year	1,000
LEO BAECK EDUCATION CENTER FOUNDATION 3555 TIMMONS LN STE 1400 HOUSTON,TX 77027	N/A	PC	Mercaz Ruth Program and Beit Ligdol Tov Center	30,000
LIBERATION IN NORTH KOREA 1751 TORRANCE BLVD TORRANCE,CA 90501	N/A	PC	General & Unrestricted	500
LOOMIS INSTITUTE 4 BATCHELDER RD WINDSOR,CT 06095	N/A	PC	Endowment Fund and Lecture Series	30,000
LOOMIS INSTITUTE 4 BATCHELDER RD WINDSOR,CT 06095	N/A	PC	2013-14 Annual Fund	10,000
LOOMIS INSTITUTE 4 BATCHELDER RD WINDSOR,CT 06095	N/A	PC	Irving Bussel Scholarship Fund	50,000
MIAMI CITY BALLET INC 2200 LIBERTY AVE MIAMI BEACH,FL 33139	N/A	PC	West Side Story Suite Company Premier Program	250
MIAMI COUNTRY DAY SCHOOL INC 601 NE 107TH ST MIAMI,FL 33161	N/A	PC	General & Unrestricted	1,500
MIAMI COUNTRY DAY SCHOOL INC 601 NE 107TH ST MIAMI,FL 33161	N/A	PC	Lower School Faculty Endowment Fund	1,500
MIAMI JEWISH HEALTH SYSTEMS INC 5200 NE 2ND AVE MIAMI,FL 33137	N/A	PC	Hazel Cypen Tower Activities Program	2,000
MIAMI THEATRE CENTER INC 9806 NE 2ND AVE MIAMI SHORES,FL 33138	N/A	PC	2013 Miami Theatre Center Program for Miami-Dade County Public Schools	5,000
Total  3a				1,487,249


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MOUNT SINAI MEDICAL CENTER FOUNDATION INC 4300 ALTON RD MIAMI BEACH,FL 33140	N/A	PC	General & Unrestricted	12,300
NATIONAL COUNCIL OF JEWISH WOMEN INCORPORATED 4144 CHASE AVE MIAMI BEACH,FL 33140	N/A	PC	Women of Valor Patron Fund	1,000
NEW ISRAEL FUND 2100 M ST NW WASHINGTON,DC 20037	N/A	PC	General & Unrestricted	34,845
NEW WORLD SYMPHONY INC 500 17TH ST MIAMI BEACH,FL 33139	N/A	PC	Educational music program	5,000
NOVA SOUTHEASTERN UNIVERSITY INC 3301 COLLEGE AVE DAVIE,FL 33314	N/A	PC	General & Unrestricted	50,000
NYU LANGONE MEDICAL CENTER 1 PARK AVE 17TH FL NEW YORK,NY 10016	N/A	PC	Cancer Institute Screening Center Breast Cancer Screening Program	10,000
OAKWOOD SCHOOL 11600 MAGNOLIA BLVD N HOLLYWOOD,CA 91601	N/A	PC	Annual Fund	7,000
OAKWOOD SCHOOL 11600 MAGNOLIA BLVD N HOLLYWOOD,CA 91601	N/A	PC	Haas Endowment Fund	3,000
OAKWOOD SCHOOL 11600 MAGNOLIA BLVD N HOLLYWOOD,CA 91601	N/A	PC	Haas Scholarship Fund	1,000
OUR PRIDE ACADEMY INC 11025 SW 84TH ST STE 8 MIAMI,FL 33173	N/A	PC	General & Unrestricted	5,000
P E F ISRAEL ENDOWMENT FUNDS INC Interreligious C 317 MADISON AVE STE 607 NEW YORK,NY 10017	N/A	PC	Interreligious Coordinating Council in Israel	1,800
PELICAN HARBOR SEABIRD STATION INC 1279 NE 79 ST CAUSEWAY MIAMI,FL 33138	N/A	PC	repair and renovation of 2 exterior mews Project	500
PLANNED PARENTHOOD OF SOUTH FLORIDA AND THE TREASU 2300 N FLORIDA MANGO RD WEST PALM BEACH,FL 33409	N/A	PC	General & Unrestricted	1,000
PTA FLORIDA CONGRESS - BROAD BAY HARBOR K-8 CENTER 1155 93RD ST BAY HARBOR ISLANDS,FL 33154	N/A	PC	Teacher of the Year Fund	1,000
PTA FLORIDA CONGRESS - BROAD BAY HARBOR K-8 CENTER 1155 93RD ST BAY HARBOR ISLANDS,FL 33154	N/A	PC	Technology Wall Fund	1,300
Total  3a				1,487,249


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PTA FLORIDA CONGRESS - BROAD BAY HARBOR K-8 CENTER 1155 93RD ST BAY HARBOR ISLANDS,FL 33154	N/A	PC	Bobcat pins for the Character Program	1,094
PTA FLORIDA CONGRESS - BROAD BAY HARBOR K-8 CENTER 1155 93RD ST BAY HARBOR ISLANDS,FL 33154	N/A	PC	Pride Outside Campaign	1,400
RANSOM EVERGLADES SCHOOL INC 3575 MAIN HWY COCONUT GROVE,FL 33133	N/A	PC	Annual Fund	10,000
RANSOM EVERGLADES SCHOOL INC 3575 MAIN HWY COCONUT GROVE,FL 33133	N/A	PC	signage at the school's Aquatic Center Program	32,000
SABRINA COHEN FOUNDATION FOR STEM CELL RESEARCH IN 1800 SUNSET HARBOUR DR NO 2406 MIAMI BEACH,FL 33139	N/A	PC	General & Unrestricted	5,000
SCHOOL BOARD OF MIAMI-DADE COUNTY FLORIDA DIVISION 1155 93RD ST BAY HARBOR ISLANDS,FL 33154	N/A	PC	2013/2014 School Tutoring Program at RKBBH K-8 Center	20,000
SCHOOL BOARD OF MIAMI-DADE COUNTY FLORIDA DIVISION 1155 93RD ST BAY HARBOR ISLANDS,FL 33154	N/A	PC	Summer Services provided by Ms Janice Thomas and Ms Lauren Perez	5,565
SCHOOL BOARD OF MIAMI-DADE COUNTY FLORIDA DIVISION 1155 93RD ST BAY HARBOR ISLANDS,FL 33154	N/A	PC	Summer Services provided by Ms Janice Thomas and Ms Lauren Perez	5,565
SHAKE-A-LEG MIAMI INC 2620 S BAYSHORE DR MIAMI,FL 33133	N/A	PC	General & Unrestricted	3,000
SLATE VALLEY MUSEUM FOUNDATION 17 WATER ST GRANVILLE,NY 12832	N/A	SO III FI	General & Unrestricted	5,000
SLATE VALLEY MUSEUM FOUNDATION 17 WATER ST GRANVILLE,NY 12832	N/A	SO III FI	Educational Programming	500
SOUTHERN SCHOLARSHIP FOUNDATION INC 322 STADIUM DR TALLAHASSEE,FL 32304	N/A	PC	General & Unrestricted	1,500
SOUTHERN SCHOLARSHIP FOUNDATION INC 322 STADIUM DR TALLAHASSEE,FL 32304	N/A	PC	for scholarship needs	1,500
STANFORD LAW SCHOOL CROWN QUADRANGLE STANFORD,CA 94305	N/A	PC	O'Connor Fellowships Program	5,000
SUNDARI FOUNDATION INC 1514 NW 2ND AVE APT 1 MIAMI,FL 33136	N/A	PC	General & Unrestricted	5,000
Total  3a				1,487,249

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SYRACUSE UNIVERSITY 820 COMSTOCK AVE STE 100 SYRACUSE,NY 13244	N/A	PC	Ruth Kugel Broad Endowed Scholarship Fund 2013/2014	10,000
TEACH FOR AMERICA - MIAMI TEACH FOR AMERICA INC 3252 NE 1ST MIAMI,FL 33137	N/A	PC	General & Unrestricted	2,500
TEMPLE BETH SHOLOM 4144 CHASE AVE MIAMI BEACH,FL 33140	N/A	PC	General & Unrestricted	1,000
TEMPLE BETH SHOLOM 4144 CHASE AVE MIAMI BEACH,FL 33140	N/A	PC	Endowment Fund to promote innovative and creative Jewish programming in the Arts	20,000
TEMPLE BETH SHOLOM 4144 CHASE AVE MIAMI BEACH,FL 33140	N/A	PC	initial 5 years of annual programming cost to promote innovative and creative Jewish programming in the Arts	5,000
TEMPLE EMANU-EL OF GREATER MIAMI INC 1701 WASHINGTON AVE MIAMI BEACH,FL 33139	N/A	PC	Charitable Event	800
TEMPLE ISRAEL OF GREATER MIAMI 137 NE 19TH ST MIAMI,FL 33132	N/A	PC	Next at 19th	5,000
TEMPLE ISRAEL OF HOLLYWOOD 7300 HOLLYWOOD BLVD LOS ANGELES,CA 90046	N/A	PC	General & Unrestricted	5,000
TEMPLE ISRAEL OF HOLLYWOOD 7300 HOLLYWOOD BLVD LOS ANGELES,CA 90046	N/A	PC	Building Campaign Fund	25,000
THE AMERICAN FRIENDS OF BEIT ISSIE SHAPIRO INC - F 18900 NE 25TH AVE NORTH MIAMI BEACH,FL 33180	N/A	PC	Kalansua Home Treatments Program	30,000
THE INSTITUTE FOR THE ADVANCEMENT OF EDUCATION IN 171-06 76TH AVE FLUSHING,NY 11366	N/A	PC	2013 scholarship Fund	2,000
THE LERNER JEWISH COMMUNITY DAY SCHOOL 1935 W CORNWALLIS RD DURHAM,NC 27705	N/A	PC	Annual Fund	2,200
THE LIBRARY FOUNDATION OF MARTIN COUNTY INC 2351 SE MONTEREY RD STUART,FL 34996	N/A	PC	General & Unrestricted	500
THE MIAMI FOUNDATION INC - THE GOOD GOVERNMENT INI 200 S BISCAYNE BLVD STE 505 MIAMI,FL 33131	N/A	PC	General & Unrestricted	2,500
THE MIAMI LIGHTHOUSE FOR THE BLIND AND VISUALLY IM 601 SW 8TH AVE MIAMI,FL 33130	N/A	PC	visual screenings at Ruth K Broad Bay Harbor K-8 Center	6,000
Total  3a				1,487,249

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE PAINTED TURTLE 1300 4TH ST STE 300 SANTA MONICA, CA 90401	N/A	PC	Equine Program (Ladybug)	2,500
THE UCLA FOUNDATION - SCHOOL OF LAW 405 HILGARD BOX 951476 LOS ANGELES, CA 90095	N/A	PC	Scholarship Fund	8,500
THE WORLD UNION FOR PROGRESSIVE JUDAISM LTD 633 3RD AVE NEW YORK, NY 10017	N/A	PC	Israel Religious Action Committee	1,800
THE YOUNG MENS CHRISTIAN ASSOCIATION OF GREATER MI 730 NW 107TH AVE STE 200 MIAMI, FL 33172	N/A	PC	Morningside Elementary afterschool program	10,000
THOMAS ARMOUR YOUTH BALLET INC 5818 SW 73RD ST SOUTH MIAMI, FL 33143	N/A	PC	General & Unrestricted	2,000
TOWN OF BAY HARBOR ISLANDS 9665 BAY HARBOR TERRACE BAY HARBOR ISLANDS, FL 33154	N/A	PC	Annual Picnic Program	20,000
TOWN OF BAY HARBOR ISLANDS 9665 BAY HARBOR TERRACE BAY HARBOR ISLANDS, FL 33154	N/A	PC	computers for senior citizens Program	10,000
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA The Pe 601 FRANKLIN BLDG 3451 WALNUT ST PHILADELPHIA, PA 19104	N/A	PC	Arts & Sciences Annual Giving Fund	2,500
UNIVERSITY OF FLORIDA FOUNDATION INC PO BOX 14425 GAINESVILLE, FL 32604	N/A	PC	Lastinger Center - College of Education Division	20,000
UNIVERSITY OF FLORIDA FOUNDATION INC PO BOX 14425 GAINESVILLE, FL 32604	N/A	PC	School of Engineering for powering the new Engineer	10,000
UNIVERSITY OF MIAMI PO BOX 248073 CORAL GABLES, FL 33124	N/A	PC	Frost School of Music	1,000
UNIVERSITY OF MIAMI PO BOX 248073 CORAL GABLES, FL 33124	N/A	PC	Michael S Gordon Center for Research and Medical Education	1,000
UNIVERSITY OF MIAMI PO BOX 248073 CORAL GABLES, FL 33124	N/A	PC	School of Education	12,500
UNIVERSITY OF MIAMI PO BOX 248073 CORAL GABLES, FL 33124	N/A	PC	Classroom Enhancement Fund	25,000
UNIVERSITY OF MIAMI PO BOX 248073 CORAL GABLES, FL 33124	N/A	PC	Frost School of Music for the Moon River Society Program	2,500
Total  3a				1,487,249

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF MIAMI PO BOX 248073 CORAL GABLES,FL 33124	N/A	PC	Breakthrough Miami Program	25,000
UNIVERSITY OF MIAMI 4600 RICKENBACKER CAUSEWAY MIAMI,FL 33149	N/A	PC	Sea Secrets Lecture Series Program	5,000
UNIVERSITY OF MIAMI - UNIVERSITY OF MIAMI TISSUE B PO BOX 248073 CORAL GABLES,FL 33146	N/A	PC	General & Unrestricted	5,000
VOICES UNITED INC 285 WENID DR KEY BISCAYNE,FL 33149	N/A	PC	workshops for drama school students at Ruth K Broad Bay Harbor school	1,750
WOMENS FUND OF MIAMI-DADE INC 3001 PONCE DE LEON BLVD STE 264 MIAMI,FL 33134	N/A	PC	General & Unrestricted	11,000
WOMENS FUND OF MIAMI-DADE INC 3001 PONCE DE LEON BLVD STE 264 MIAMI,FL 33134	N/A	PC	Charitable Event	375
WWP INC - WOUNDED WARRIOR PROJECT INC 4899 BELFORT RD STE 300 JACKSONVILLE,FL 32256	N/A	PC	General & Unrestricted	1,000
YOUNG PATRONESSES OF THE OPERA INC PO BOX 347616 MAIMI,FL 33234	N/A	PC	2 performances of Papageno at Ruth K Broad Bay Harbor K-8 Center	1,500
ZOOLOGICAL SOCIETY OF FLORIDA 12400 SW 152ND ST MIAMI,FL 33177	N/A	PC	to cover programming at KRBBH School K-8 for the 2013-2014 school year	3,200
Total				1,487,249

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.</div>	<div>OMB No 1545-0047</div>
		<div>2013</div>
<div>Name of the organization</div> <div>The Shepard Broad Foundation Inc</div>		<div>Employer identification number</div> <div>59-0998866</div>

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<div><input type="checkbox"/> 501(c)() (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div>
Form 990-PF	<div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization The Shepard Broad Foundation Inc	Employer identification number 59-0998866
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Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Estate of Ellaine Honig 1200 S Pine Island Road Ste 800 Plantation, FL 33324	\$ 211,120	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	Shepard Broad Charitable Lead Annui c/o John M Bussel 801 Brickel Ave Miami, FL 33131	\$ 1,344,571	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization The Shepard Broad Foundation Inc	Employer identification number 59-0998866
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization The Shepard Broad Foundation Inc	Employer identification number 59-0998866
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: The Shepard Broad Foundation Inc

EIN: 59-0998866

TY 2013 Investments Corporate
Bonds Schedule

Name: The Shepard Broad Foundation Inc
EIN: 59-0998866

Name of Bond	End of Year Book Value	End of Year Fair Market Value
7TH JUBILEE FIX 10Y-3.70%-12/0	149,999	149,999
ACE INA HLDG INC - 5.6% - 05/1	15,392	16,003
ACE INA HLDG INC DEB-8.8750%-0	6,610	6,899
AFLAC INC - 8.50% - 05/15/2019	10,471	12,741
ALTRIA GROUP INC - 9.250% - 08	6,383	6,581
AMER EXP - 5.5000% - 09/12/201	10,019	11,128
AMERITECH CAP FUNDING CORP6.55	10,418	10,793
APPLE - 3.850% - 05/04/2043	5,060	4,178
ARCHER DANIELS - 7.5000% - 03/	12,608	12,548
AT&T BROADBAND - 9.455% -	15,439	13,817
AVALON BAY - 5.700% - 03/15/20	17,589	16,603
BANC OF AMER COMM SER 2005-6 C	14,476	15,953
BANK OF NEW YORK MELLON - 5.45	10,002	11,362
BANK OF NOVA SCOTIA - 3.400% -	25,962	25,792
BB&T CORP - 5.2000% - 12/2	19,138	21,586
BECTON DCKNSN - 7.000% - 08/01	11,959	12,197
BELLSOUTH CORP NT - 5.200% - 0	25,843	25,815
BERKSHIRE HATHAWAY - 3.000% -	9,952	9,375
BERKSHIRE HATHAWAY FIN CORP -	21,501	22,947
BESTFOODS MTS - 6.6250% - 04/1	11,562	12,231
BLACKROCK INC - 5.000% - 12/10	10,639	11,291
BOEING CO - 7.250% - 06/15/202	12,597	12,561
BP CAP MKTS - 6.625% - 05/08/2	10,511	10,110
BP CAPITAL MARKETS - 3.875% -	26,090	26,008
BURLINGTON NORTHN SANTA - 6.15	4,810	5,642
CAMPBELL SOUP - 8.8750% - 05/0	12,851	12,875
CAPITAL ONE FINANCIAL CO 7.375	32,353	30,789
CATERPILLAR - 6.625% - 07/15/2	11,712	12,152
CHUBB - 6.6000% - 08/15/2018	16,698	17,502
CINTAS CORP NO - 4.3% - 06/01/	15,955	15,568
CLOROX CO DEL SR NT - 5.950% -	16,697	17,127
CME GROUP INC - 3.00% - 09/15/	15,062	14,165
COMCAST CORP NT - 5.300% - 01/	25,777	25,032
CONOCOPHILLIPS - 5.750% - 02/0	16,563	17,322
CONSOL NAT GAS CO (DOMINION RE	25,496	25,157
DEERE & CO DEB-8.10%-05/15	13,311	13,802
DEVON ENERGY CORP NOTE - 6.300	10,094	11,589
DOVER - 6.6500% - 06/01/2028	11,846	12,165
DU PONT E I DE NEMOURS - 6.000	21,277	23,219
EATON CORP - 5.600% - 05/15/20	14,897	16,874
EQUITABLE COS - 7.0000% - 04/0	11,698	10,992
FLORIDA POWER CORP - 5.8% - 09	10,036	11,408
GE CAP CORP - 5.50% - 01/08/20	5,530	5,726
GE CAP CORP MTN BE FR - 6.750%	5,667	6,171
GENERAL ELEC CO 5.25% DUE 12-0	10,591	11,319
GOLDMAN SACHS GROUP INC BOND D	14,968	15,023
GOLDMAN SACHS GRP INC - 6.00%	5,077	5,733
GOLDMAN SACHS GRP INC MTN BE 6	5,393	5,089
HALLIBURTON COMPANY - 6.70% -	4,997	6,137
HARTFORD FINL SVC GRP NT - 6.3	9,249	11,489
HERSHEY - 4.850% - 08/15/2015	14,439	16,017
HOME DEPOT INC SR NT-4.40%-04/	5,678	5,400
INTERNATIONAL BUSINESS MACHS-8	6,865	6,535
ISRAELI 3RD JUBILEE 10 YR BD -	100,000	99,999
ISRAELI 3RD JUBILEE 10 YR BD -	100,000	99,999
ISRAELI 4TH JUBILEE 10 YR BD -	149,999	149,999
JPMORGAN CHASE & CO - 4.35	5,003	5,275
JPMORGAN CHASE & CO SR NT	15,219	15,901
KELLOGG CO - 4.00% - 12/15/202	15,910	15,524
KEYCORP SER I - 5.100% - 03/24	10,786	10,929
KRAFT FOODS INC - 6.125% - 08/	9,822	11,696
LB-UBS COML MTG REMIC - 5.156%	28,166	26,693
LINCOLN NATIONAL CORP - 8.75%	12,180	12,858
LOCKHEED MARTIN CORP - 4.85% -	9,920	9,829
MARKEL CORP SR NT-5.35%-06/01/	10,064	10,771
MCDONALD'S CORP - 5.350% - 03/	5,016	5,681
MELLON FDG - 5.0000% - 12/01/2	10,012	10,400
MERRILL LYNCH MTN BE - 6.400%	15,661	17,321
METLIFE INC GLBL NT - 5.500% -	10,550	10,222
MORGAN STANLEY - 5.375% - 10/1	20,456	21,511
MORGAN STANLEY - 6.625% - 04/0	5,457	5,833
NEW AMERICA HOLDINGS 8.500% DU	4,798	6,255
PARKER-HANNIFIN CORP- 6.250% -	6,327	5,950
PFIZER INC - 6.200% - 03/15/20	15,000	17,781
PNC FDG CORP - 5.625% - 02/01/	9,949	11,084
PNC FUNDING CORP - 5.25% - 11/	10,363	10,760
PROCTER & GAMBLE CO DEB 8.	14,886	13,452
PROGRESSIVE CORP - 3.75% - 08/	20,807	20,426
PRUDENTIAL FINL INC - 6.10% -	10,081	11,417
THERMO FISHER SCIENTIFIC INC -	10,111	9,910
TIME WARNER CO - 6.625% - 05/1	5,675	5,739
U S BANCORP SER R - 4.200% - 0	10,516	10,141
UNION PACIFIC CORP - 7.875% -	12,151	12,288
UNITED PARCEL SERVC - 6.20% -	6,522	6,045
UNITED PARCEL SERVICE - 3.875%	25,543	25,214
UNITED TECHNLS CORP - 5.375%	10,849	11,396
UNITED TECHNOLOGIES CORP - 9.0	6,789	6,672
US BANK TR NAT - 4.8000% - 04/	14,382	15,784
VERIZON COMMUNICATIONS - 8.750	11,687	14,075
VERIZON GLOBAL FDG CORP - 7.75	6,828	6,347
VIRGINIA ELEC & PWR CO - 8	6,235	7,667
WAL MART STORES INC - 6.5% - 0	6,306	6,222
WELLS FARGO - 5.1250% - 09/15/	14,136	16,477
WISCONSIN PWR & LT CO - 6.	6,996	5,990

TY 2013 Investments Corporate
Stock Schedule

Name: The Shepard Broad Foundation Inc
EIN: 59-0998866

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ALEXION PHARMACEUTICALS, INC.	21,609	31,891
AMAZON COM	22,670	123,625
AMERN AADV INTL EQ FD INTL CL	510,171	497,934
APPLE INC.	37,720	90,885
ARM HOLDINGS PLC	29,609	65,676
BE AEROSPACE, INC.	25,020	105,741
CABOT OIL & GAS CORP	25,639	25,969
CELGENE CORP	43,058	54,070
CHIPOTLE MEX GRILL	42,659	54,876
CUMMINS INC	43,636	55,683
DFA EMERGING MARKETS VALUE POR	374,022	412,287
DFA INTERNATIONAL SMALL CO POR	410,309	489,335
DFA INTERNATIONAL VALUE PORTFO	434,090	486,175
DFA U.S. LARGE CAP VALUE PORTF	1,091,624	2,044,479
DFA U.S. SMALL CAP VALUE PORTF	808,821	970,053
DUNKIN' BRANDS GROUP INC	28,193	28,438
EATON VANCE STRUCTURED EMERGIN	420,500	434,419
EBAY INC.	48,853	51,852
FACEBOOK INC	67,764	110,666
GILEAD SCIENCES INC	52,380	80,357
ING FLOATING RATE FUND CLASS I	369,500	367,309
INTUITIVE SURGICAL, INC.	12,533	38,024
ISHARES RUSSELL 2000 GROWTH IN	506,577	870,245
LAS VEGAS SANDS CORP	49,130	73,349
LINKEDIN CORPORATION	59,906	116,004
MASTERCARD INC	42,212	104,433
MICHAEL KORS HOLDINGS LIMITED	52,449	68,200
MIDDLEBY CORPORATION	20,008	22,773
MONSANTO CO	43,281	47,786
PHARMACYCLICS INC.	35,826	41,254

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PIMCO EMERGING MARKETS FULL SP	222,971	219,973
PIMCO FD PAC INV MGMT FOREIGN	425,245	393,143
PIMCO HIGH-YIELD INSTL	474,034	596,114
PRECISION CASTPARTS	33,314	76,751
PRICELINE.COM INCORPORATED	21,267	116,240
REGENERON PHARMACEUTICALS, INC	21,535	39,084
SALESFORCE.COM	31,171	77,652
SPLUNK INC	34,562	54,936
STARBUCKS CORP COM	53,688	84,269
TRANSDIGM GRP INC	21,787	38,645
TWITTER INC	32,883	46,528
ULTA SALON, COSMETICS & FR	26,554	37,160
UNDER ARMOUR INC	26,268	56,745
VANGUARD 500 IDX FD SIGNAL SHS	1,362,042	1,973,828
VANGUARD INTL GROWTH FD ADMIRA	884,521	986,565
VANGUARD SMALL CAP GROWTH INDE	294,605	419,466
VISA INC	23,069	87,959
WHOLE FOODS MARKET, INC.	38,033	54,360
WORKDAY INC	39,871	44,075

TY 2013 Investments Government Obligations Schedule

Name: The Shepard Broad Foundation Inc

EIN: 59-0998866

**US Government Securities - End of
Year Book Value:**

902,467

**US Government Securities - End of
Year Fair Market Value:**

897,054

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2013 Investments - Land Schedule

Name: The Shepard Broad Foundation Inc

EIN: 59-0998866

TY 2013 Land, Etc. Schedule

Name: The Shepard Broad Foundation Inc

EIN: 59-0998866

TY 2013 Other Assets Schedule

Name: The Shepard Broad Foundation Inc

EIN: 59-0998866

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PRI LOAN - TZEDEC ECONOMIC DEV		150,000	150,000

TY 2013 Other Expenses Schedule**Name:** The Shepard Broad Foundation Inc**EIN:** 59-0998866

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADMINISTRATIVE FEES	56,714			56,714
Bank Charges	38	38		
FOUNDATION DUES & MEMBERSHIP	1,124			1,124
Postage	2			2
State or Local Filing Fees	61			61

TY 2013 Other Income Schedule

Name: The Shepard Broad Foundation Inc

EIN: 59-0998866

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
INTEREST INCOME FROM PRI	1,500	1,500	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Other Notes/Loans
Receivable Long Schedule

Name: The Shepard Broad Foundation Inc
EIN: 59-0998866

Borrower's Name	Relationship to Insider	Original Amount of Loan	Balance Due	Date of Note	Maturity Date	Repayment Terms	Interest Rate	Security Provided by Borrower	Purpose of Loan	Description of Lender Consideration	Consideration FMV
LEO BAECK EDUCATION CENTER		100,000	50,000	2003-07	2011-07	INTEREST QUARTERLY	600 %	REAL PROPERTY	EDUCATION	CASH	100,000

TY 2013 Other Notes/Loans
Receivable Short Schedule

Name: The Shepard Broad Foundation Inc
EIN: 59-0998866

Name of 501(c)(3) Organization	Balance Due
LEO BAECK EDUCATION CENTER	50,000

TY 2013 Other Professional Fees Schedule

Name: The Shepard Broad Foundation Inc

EIN: 59-0998866

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	74,326	74,326		

TY 2013 Substantial Contributors Schedule

Name: The Shepard Broad Foundation Inc

EIN: 59-0998866

Name	Address
Estate of Ellaine Honig	1200 S Pine Island Road Ste 800 Plantation, FL 33324

TY 2013 Taxes Schedule**Name:** The Shepard Broad Foundation Inc**EIN:** 59-0998866

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2013	8,500			
990-PF Extension for 2012	3,102			