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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury
Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation THE BROADFIELD FOUNDATION		A Employer identification number 58-6462442
Number and street (or P O box number if mail is not delivered to street address) P.O. BOX 30351	Room/suite	B Telephone number 912-638-3611
City or town, state or province, country, and ZIP or foreign postal code SEA ISLAND, GA 31561-0351		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,014,316.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	7.	7.		STATEMENT 1
4 Dividends and interest from securities	23,605.	23,605.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	138,637.			
b Gross sales price for all assets on line 6a 1,277,791.				
7 Capital gain net income (from Part IV, line 2)		138,637.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	335.	335.		STATEMENT 3
12 Total. Add lines 1 through 11	162,584.	162,584.		
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees STMT 4	3,900.	0.		0.
c Other professional fees STMT 5	12,673.	12,673.		0.
17 Interest				
18 Taxes STMT 6	375.	375.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 7	1,875.	1,875.		0.
24 Total operating and administrative expenses. Add lines 13 through 23	18,823.	14,923.		0.
25 Contributions, gifts, grants paid	226,586.			226,586.
26 Total expenses and disbursements. Add lines 24 and 25	245,409.	14,923.		226,586.
27 Subtract line 26 from line 12.				
a Excess of revenue over expenses and disbursements	-82,825.			
b Net investment income (if negative, enter -0-)		147,661.		
c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		53,906.	75,065.	75,065.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock STMT 8		346,990.	0.	0.
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 9		695,654.	938,660.	939,251.	
14	Land, buildings, and equipment: basis ▶					
	Less accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		1,096,550.	1,013,725.	1,014,316.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		1,096,550.	1,013,725.	
30	Total net assets or fund balances		1,096,550.	1,013,725.		
31	Total liabilities and net assets/fund balances		1,096,550.	1,013,725.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,096,550.
2	Enter amount from Part I, line 27a	2	-82,825.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,013,725.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,013,725.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a			
b	SEE ATTACHED STATEMENT		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	1,277,791.	1,139,154.	138,637.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			138,637.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	138,637.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	406,331.	1,297,051.	.313273
2011	247,581.	1,593,669.	.155353
2010	567,207.	1,935,835.	.293004
2009	577,059.	2,237,957.	.257851
2008	559,048.	3,085,173.	.181205

2 Total of line 1, column (d)	2	1.200686
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.240137
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,063,787.
5 Multiply line 4 by line 3	5	255,455.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,477.
7 Add lines 5 and 6	7	256,932.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	226,586.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	2,953.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	2,953.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-	5	2,953.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	4,666.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	4,666.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,713.
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
Website address N/A
14 The books are in care of MOORE STEPHENS TILLER LLC Telephone no. (912) 265 1750
Located at P.O. BOX 490, BRUNSWICK, GA ZIP+4 31521-0490
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here
and enter the amount of tax-exempt interest received or accrued during the year 15 N/A
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53 4945-5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALFRED W. JONES, III P.O. BOX 30351 SEA ISLAND, GA 31561	TRUSTEE 1.00	0.	0.	0.
SARAH HOPPER JONES P.O. BOX 30351 SEA ISLAND, GA 31561	DISTRIBUTION COMMITTEE 1.00	0.	0.	0.
DAVIS LOVE, III P.O. BOX 30351 SEA ISLAND, GA 31561	DISTRIBUTION COMMITTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE PART XV FOR DETAIL OF ALL DONATIONS - THE FOUNDATION'S ACTIVITIES CONSIST SOLELY OF MAKING DONATIONS TO VARIOUS OTHER TAX-EXEMPT ORGANIZATIONS.	226,586.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
3 All other program-related investments See instructions	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,014,955.
b	Average of monthly cash balances	1b	64,486.
c	Fair market value of all other assets	1c	546.
d	Total (add lines 1a, b, and c)	1d	1,079,987.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,079,987.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	16,200.
5	Net value of noncharitable-use assets . Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,063,787.
6	Minimum investment return . Enter 5% of line 5	6	53,189.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	53,189.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,953.
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,953.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	50,236.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	50,236.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	50,236.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	226,586.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions . Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	226,586.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions . Subtract line 5 from line 4	6	226,586.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				50,236.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	405,855.			
b From 2009	465,615.			
c From 2010	471,263.			
d From 2011	169,404.			
e From 2012	342,534.			
f Total of lines 3a through e	1,854,671.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 226,586.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				50,236.
e Remaining amount distributed out of corpus	176,350.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below.	2,031,021.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	405,855.			
9 Excess distributions carryover to 2014 Subtract lines 7 and 8 from line 6a	1,625,166.			
10 Analysis of line 9				
a Excess from 2009	465,615.			
b Excess from 2010	471,263.			
c Excess from 2011	169,404.			
d Excess from 2012	342,534.			
e Excess from 2013	176,350.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**
 a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ALFRED W. JONES, III
 b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment					
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount	
Name and address (home or business)					
a Paid during the year					
SEE ATTACHMENT		PUBLIC CHARITY OR CHURCH	GENERAL	226,586.	
Total				▶ 3a	226,586.
b Approved for future payment					
NONE					
Total				▶ 3b	0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr.)
1a SYNOVUS TRUST COMPANY	P	VARIOUS	12/31/13
b SYNOVUS TRUST COMPANY	P	VARIOUS	12/31/13
c SYNOVUS TRUST COMPANY	P	VARIOUS	12/31/13
d SYNOVUS TRUST COMPANY	P	VARIOUS	12/31/13
e SYNOVUS SECURITIES	P	VARIOUS	11/12/13
f VANGUARD ST INVM GRADE	P	VARIOUS	11/13/13
g FAM ABSOLUTE RETURN FUND I	P	VARIOUS	12/31/13
h POWERSHARES DB USD INDEX BULLISH	P	VARIOUS	12/31/13
i FAM ABSOLUTE RETURN FUND I	P	VARIOUS	12/31/13
j CAPITAL GAINS DIVIDENDS			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 206,108.		192,746.	13,362.
b 84,954.		77,580.	7,374.
c 76.			76.
d 9,335.		6,648.	2,687.
e 473,257.		379,677.	93,580.
f 248,238.		248,701.	-463.
g 26,626.			26,626.
h 2,509.		3,659.	-1,150.
i 209,000.		230,143.	-21,143.
j 17,688.			17,688.
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	
a			13,362.
b			7,374.
c			76.
d			2,687.
e			93,580.
f			-463.
g			26,626.
h			-1,150.
i			-21,143.
j			17,688.
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	138,637.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST	7.	7.	
TOTAL TO PART I, LINE 3	7.	7.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	41,293.	17,688.	23,605.	23,605.	
TO PART I, LINE 4	41,293.	17,688.	23,605.	23,605.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME	208.	208.	
NONDIVIDEND DISTRIBUTIONS	127.	127.	
TOTAL TO FORM 990-PF, PART I, LINE 11	335.	335.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,900.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	3,900.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT & OTHER FEES	12,673.	12,673.		0.
TO FORM 990-PF, PG 1, LN 16C	12,673.	12,673.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	375.	375.		0.
TO FORM 990-PF, PG 1, LN 18	375.	375.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PORTFOLIO DEDUCTIONS	391.	391.		0.
OTHER EXPENSES	1,484.	1,484.		0.
TO FORM 990-PF, PG 1, LN 23	1,875.	1,875.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10B	0.	0.

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
FIXED INCOME	COST	0.	0.
PARTNERSHIP INTERESTS	COST	143,922.	143,806.
INCOME IN TRANSIT	COST	266.	266.
MUTUAL FUNDS	COST	794,472.	795,179.
TOTAL TO FORM 990-PF, PART II, LINE 13		938,660.	939,251.

THE BROADFIELD FOUNDATION
PART XV
ATTACHMENT
YEAR 2013

	<u>Amount</u>
American Heart Association	25
Auxiliary of the Hospice of the Golden Isles	100
Boys and Girls Club of SE Georgia	40,000
Boy Scouts of America/Friends of Scouting	5,000
Coastal Georgia Historical Society	26,000
Coastal Youth Symphony	1,000
College of Coastal Georgia Foundation	1,000
Davis Love Foundation	10,000
First African Baptist Church	12,981
Georgia Historical Society	25,000
Georgia Natural Resources Foundation	25,000
Golden Isles YMCA	940
Grace House	5,000
Humane Society of South Coastal Georgia	20,000
Jubilee International Ministries	6,000
Linden Lodge Foundation	100
Roosevelt High School	2,500
St. Simons Land Trust	10,240
Satilla River Keeper	2,500
Temple Resoration Fund	50
The Friends of Coastal Georgia History	100
The Gathering Place	2,500
UGA Foundation	550
United Way of Coastal Georgia, Inc.	<u>30,000</u>
 Total	 <u><u>226,586</u></u>

THE BROADFIELD FOUNDATION

PART IV ATTACHMENTS

YEAR 2013

SUMMARY OF CAPITAL GAINS

THE BROADFIELD FOUNDATION
Part IV - Capital Gains and Losses
Tax Year 2013

(a) <u>Description</u>	(e) <u>Gross Sales Price</u>	(g) <u>Cost Basis</u>	(h) <u>Gain or (Loss)</u>
Synovus - See Attached Short Term Covered Sales	206,108	192,746	13,362
Synovus - See Attached Short Term Noncovered Sales	76	-	76
Synovus - See Attached Long Term Covered Sales	84,954	77,580	7,375
Synovus - See Attached Long Term Noncovered Sales	9,335	6,648	2,687
DB Powershares basis adjustment	-	3,659	(3,659)
Synovus Securities - Vanguard ST Inv Grade Admiral	248,238	248,701	(463)
Synovus Securities - See Attached Long Term Noncovered	473,257	379,677	93,580
Form 1099-B Totals	1,021,969	909,011	112,958
Synovus Investments	300,474	280,633	19,841
Synovus Securities	721,495	628,378	93,117
	1,021,969	909,011	112,958
Capital Gain Distributions - Synovus Investments	638	-	638
Capital Gain Distributions - Synovus Securities	17,050	-	17,050
	17,688	-	17,688
FAM Absolute Redemption	209,000	230,143	(21,143)
Capital Gain (Loss) from K-1	2,509	-	2,509
Capital Gain (Loss) from K-1 passthrough	26,626	-	26,626
Total Capital Gain (Loss) Transactions	1,277,791	1,139,154	138,638

2013 Tax Information Statement

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 SYNOVUS TRUST COMPANY, N.A.
 1148 BROADWAY, 2ND FLOOR
 COLUMBUS, GA 31902

Account Number: 68A067005
Recipient's Tax ID Number: 58-6462442
Payer's Federal ID Number: 58-2146977
Payer's State ID Number: GA
State: GA
Questions? (706)644-3129
 Corrected 2nd TIN notice

Recipient's Name and Address:
 BROADFIELD FOUNDATION TRUST UAW
 ALFRED W JONES, III - TRUSTEE
 100 HUDSON PLACE
 SEA ISLAND, GA 31561

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
 Covered (Box 6a is not checked)

Quantity Sold	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)	(Box 4)	(Box 15)	(Box 4)	(Box 15)	
Short Term Sales Reported on 1099-B										
037411105	APACHE CORP COM			APA						
29.0000	02/15/2013	Various		2,254.17	2,402.33	0.00	-148.16	0.00	0.00	
046353108	ASTRAZENECA PLC SPONSORED ADR			AZN						
4.0000	03/26/2013	Various		197.29	179.93	0.00	17.36	0.00	0.00	
108441205	BRIDGESTONE CORP ADR			BRDCY						
5.0000	05/20/2013	10/09/2012		354.60	225.59	0.00	129.01	0.00	0.00	
202597605	COMMERZBANK A G SPONSORED ADR NE			CRZBY						
.5000	05/10/2013	04/18/2013		7.16	7.74	0.00	-0.58	0.00	0.00	
G29183103	EATON CORP PLC SHS			ETN						
8.0000	03/05/2013	11/30/2012		501.53	415.24	0.00	86.29	0.00	0.00	
G29183103	EATON CORP PLC SHS			ETN						
10.0000	03/28/2013	11/30/2012		610.62	519.06	0.00	91.56	0.00	0.00	
G29183103	EATON CORP PLC SHS			ETN						
47.0000	06/12/2013	11/30/2012		3,038.79	2,439.56	0.00	599.23	0.00	0.00	
278642103	EBAY INC COM			EBAY						
11.0000	01/08/2013	06/07/2012		587.58	453.26	0.00	134.32	0.00	0.00	

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Payer's State ID Number: GA
State: (706)644-3129
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 100 HUDSON PLACE
 SEA ISLAND, GA 31561

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 Covered (Box 6a is not checked)

Cusip	Description (Box 6)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Stocks or Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
278642103	EBAY INC COM	02/15/2013	06/07/2012	EBAY	284.36	206.03	0.00	78.33	0.00	0.00
278642103	EBAY INC COM	05/03/2013	Various	EBAY	1,954.85	1,482.55	0.00	472.30	0.00	0.00
43731T102	HOME RETAIL GROUP PLC SPONSORED ADR	03/13/2013	06/15/2012	HMRTY	23.44	13.20	0.00	10.24	0.00	0.00
452553308	IMPALA PLATINUM HLDGS SPONSORED ADR	03/12/2013	Various	IMPULY	89.12	103.12	0.00	-14.00	0.00	0.00
464287234	ISHARES MSCI EMERGING MARKETS ETF	08/02/2013	Various	EEM	17,666.14	18,830.50	0.00	-1,164.36	0.00	0.00
464287630	ISHARES RUSSELL 2000 VALUE ETF	06/11/2013	12/05/2012	IWN	47,385.42	40,454.04	0.00	6,931.38	0.00	0.00
464287499	ISHARES RUSSELL MID-CAP ETF	06/11/2013	12/05/2012	IWR	47,632.32	40,218.94	0.00	7,413.38	0.00	0.00
501889208	LKQ CORP COM	09/16/2013	06/11/2013	LKO	1,955.92	1,532.97	0.00	422.95	0.00	0.00
560877300	MAKITA CORP ADR NEW	01/10/2013	08/27/2012	MKTAY	232.71	183.14	0.00	49.57	0.00	0.00

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 Covered (Box 6a is not checked)

Quantity Sold	Description (Box 6)	Date of Sale or Exchange	Date of Acquisition	(Box 1a)	(Box 1b)	Stocks or Other Symbols (Box 1d)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 1c)	(Box 1d)	(Box 1e)	(Box 1f)	(Box 2)	(Box 3)	(Box 4)	(Box 5)	(Box 15)
560877300	MAKITA CORP ADR NEW	05/20/2013	08/27/2012	MKTAY	57.93		36.63	0.00	21.30	0.00	0.00
617446448	MORGAN STANLEY COM	01/15/2013	02/01/2012	MS	770.34		724.72	0.00	45.62	0.00	0.00
617446448	MORGAN STANLEY COM	01/18/2013	02/01/2012	MS	400.72		343.29	0.00	57.43	0.00	0.00
80105N105	SANOFI SA ADR	01/11/2013	02/01/2012	SNY	1,273.26		963.06	0.00	310.20	0.00	0.00
81369Y803	SECTOR SPDR TR SHS BEN INT TECHNOLOGY	08/07/2013	09/07/2012	XLK	8,427.45		8,204.94	0.00	222.51	0.00	0.00
264.0000	SIBANYE GOLD LTD SPONSORED ADR	02/27/2013	Various	SBGL	31.37		42.79	0.00	-11.42	0.00	0.00
825724206	SIBANYE GOLD LTD SPONSORED ADR	03/07/2013	06/28/2012	SBGL	1.52		1.94	0.00	-0.42	0.00	0.00
83088M102	SKYWORKS SOLUTIONS INC COM	09/16/2013	06/11/2013	SWKS	862.61		733.48	0.00	129.13	0.00	0.00
34.0000	SPDR GOLD TR GOLD SHS	08/02/2013	01/30/2013	GLD	14,797.91		19,033.27	0.00	-4,235.36	0.00	0.00

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Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis (Box 3)	Wash-Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
857477103	STATE STREET CORP	10/17/2013	Various	STT	2,337.58	0.00	1,124.55	0.00	0.00
863667101	STRYKER CORP	07/17/2013	09/27/2012	SYK	332.93	0.00	81.76	0.00	0.00
892356106	TRACTOR SUPPLY CO COM	09/16/2013	06/11/2013	TSCO	339.96	0.00	53.79	0.00	0.00
922031836	VANGUARD SHORT TERM INVESTMENT GRADE FUND - ADM #539	01/14/2013	04/18/2012	VFSUX	49,584.87	0.00	415.13	0.00	0.00
4612.5460	WYNDHAM WORLDWIDE CORP COM	09/16/2013	06/11/2013	WYN	399.63	0.00	38.94	0.00	0.00
98310W108	WYNDHAM WORLDWIDE CORP COM	09/16/2013	06/11/2013	WYN	192,746.29	0.00	13,361.98	0.00	0.00
7.0000	WYNDHAM WORLDWIDE CORP COM	09/16/2013	06/11/2013	WYN	438.57	0.00	38.94	0.00	0.00
Total Short Term Sales Reported on 1099-B					206,108.27	0.00	13,361.98	0.00	0.00
Report on Form 8949, Part I, with Box A checked									
Long Term Sales Reported on 1099-B									
037411105	APACHE CORP COM	02/15/2013	07/08/2011	APA	1,987.40	0.00	-742.91	0.00	0.00
16.0000	APPLE COMPUTER INC	02/15/2013	07/08/2011	AAPL	914.20	0.00	88.35	0.00	0.00
037833100	APPLE COMPUTER INC	08/20/2013	02/01/2012	AAPL	1,002.55	0.00	88.35	0.00	0.00
2.0000	APPLE COMPUTER INC	08/20/2013	02/01/2012	AAPL	914.20	0.00	88.35	0.00	0.00

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Quantity Sold	Description (Box 6)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)	State Tax Withheld
G0457F107	ARCOS DORADOS HOLDINGS INC SHS CLASS A	06/12/2013	05/25/2011	ARCO	282.88	482.44	0.00	-199.56	0.00	0.00
046353108	ASTRAZENECA PLC SPONSORED ADR	01/23/2013	Various	AZN	343.50	329.04	0.00	14.46	0.00	0.00
046353108	ASTRAZENECA PLC SPONSORED ADR	03/26/2013	08/09/2011	AZN	49.32	42.17	0.00	7.15	0.00	0.00
14040H105	CAPITAL ONE FINL CORP COM RTS EXP 11/29/2005	08/08/2013	02/01/2012	COF	615.49	418.10	0.00	197.39	0.00	0.00
172967424	CITIGROUP INC COM NEW	04/16/2013	02/01/2012	C	1,255.83	851.10	0.00	404.73	0.00	0.00
172967424	CITIGROUP INC COM NEW	10/17/2013	02/01/2012	C	508.33	315.22	0.00	193.11	0.00	0.00
233806306	DAI NIPPON PRITG LTD JAPAN SPONSORED ADR	01/10/2013	03/18/2011	DNPLY	77.82	113.17	0.00	-35.35	0.00	0.00
233806306	DAI NIPPON PRITG LTD JAPAN SPONSORED ADR	01/17/2013	03/18/2011	DNPLY	77.32	113.17	0.00	-35.85	0.00	0.00
233806306	DAI NIPPON PRITG LTD JAPAN SPONSORED ADR	03/12/2013	03/18/2011	DNPLY	56.29	67.91	0.00	-11.62	0.00	0.00

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Corrected 2nd TIN notice

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 Covered [Box 6a is not checked]

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
35958N107	FUJIFILM HLDGS CORP ADR 2 ORD	09/06/2013	03/18/2011	FUJIY	89.04	0.00	-21.86	0.00
369550108	GENERAL DYNAMICS CORP COM	02/15/2013	02/01/2012	GD	487.08	0.00	-22.76	0.00
369550108	GENERAL DYNAMICS CORP COM	08/14/2013	02/01/2012	GD	765.41	0.00	173.21	0.00
42809H107	HESS CORP COM	04/24/2013	Various	HES	485.44	0.00	78.03	0.00
42809H107	HESS CORP COM	09/18/2013	Various	HES	1,884.39	0.00	751.91	0.00
43731T102	HOME RETAIL GROUP PLC SPONSORED ADR	03/13/2013	Various	HMRTY	83.16	0.00	18.40	0.00
443304100	HUANENG PWR INTL INC SPON ADR H SHS	05/20/2013	08/09/2011	HNP	190.67	0.00	324.68	0.00
452553308	IMPALA PLATINUM HLDGS SPONSORED ADR	01/10/2013	03/18/2011	IMPUY	134.15	0.00	-33.84	0.00
452553308	IMPALA PLATINUM HLDGS SPONSORED ADR	03/12/2013	Various	IMPUY	119.89	0.00	-45.63	0.00

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2013 Tax Information Statement

Payer's Name and Address:
 SYNOVUS TRUST COMPANY, N.A.
 1148 BROADWAY, 2ND FLOOR
 COLUMBUS, GA 31902

Account Number: 68A067005
Recipient's Tax ID Number: 58-6462442
Payer's Federal ID Number: 58-2146977
Payer's State ID Number: GA
State: GA
Questions? (706)644-3129
 Corrected 2nd TIN notice

Recipient's Name and Address:
 BROADFIELD FOUNDATION TRUST UAW
 ALFRED W JONES, III - TRUSTEE
 100 HUDSON PLACE
 SEA ISLAND, GA 31561

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums. Covered (Box 6a is not checked)

Quantity Sold	Description (Box 8)	Date of Sale/Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Stocks, Bonds, etc.	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
(Box 1e)	(Box 1a)	(Box 1b)	(Box 1c)	(Box 1d)	(Box 1e)	(Box 3)	(Box 5)	(Box 4)	(Box 15)
559222401	MAGNA INTERNATIONAL INC CL A	06/07/2013	08/09/2011	MGA	135.52	76.88	0.00	58.64	0.00
2.0000									
559222401	MAGNA INTERNATIONAL INC CL A	06/26/2013	08/09/2011	MGA	139.26	76.88	0.00	62.38	0.00
2.0000									
559222401	MAGNA INTERNATIONAL INC CL A	09/05/2013	08/09/2011	MGA	160.79	76.89	0.00	83.90	0.00
2.0000									
58933Y105	MERCK & CO INC NEW COM	03/28/2013	02/01/2012	MRK	3,166.39	2,794.39	0.00	372.00	0.00
72.0000									
617446448	MORGAN STANLEY COM	02/15/2013	02/01/2012	MS	1,043.34	839.15	0.00	204.19	0.00
44.0000									
617446448	MORGAN STANLEY COM	04/24/2013	02/01/2012	MS	1,846.59	1,640.17	0.00	206.42	0.00
86.0000									
701094104	PARKER HANNIFIN CORP COM	09/13/2013	02/01/2012	PH	1,461.45	1,144.03	0.00	317.42	0.00
14.0000									
72200Q182	PIMCO ALL ASSET ALL AUTHORITY FUND - IS #1860	08/02/2013	02/16/2012	PAUIX	10,999.99	11,449.84	0.00	-449.85	0.00
1071.0800									
73936D107	POWERSHARES DB US DLR INDEX TR POWERSHARES	04/10/2013	Various	UUP	25,471.39	24,995.25	0.00	476.14	0.00
1136.0000									

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 100 HUDSON PLACE
 SEA ISLAND, GA 31561

Questions? Corrected 2nd TIN notice

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
 Covered (Box 6a is not checked)

Quantity Sold	Date of Sale or Exchange	Description (Box 8)	Date of Acquisition	Stock or Other Symbol (Box 7d)	Stocks, Bonds, etc.	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
775376106	03/26/2013	ROHM CO LTD ADR	06/10/2011	ROHCY	87.11	138.79	0.00	-51.68	0.00	0.00
775376106	05/20/2013	ROHM CO LTD ADR	Various	ROHCY	183.97	226.29	0.00	-42.32	0.00	0.00
775376106	10/21/2013	ROHM CO LTD ADR	Various	ROHCY	167.30	164.73	0.00	2.57	0.00	0.00
80105N105	06/19/2013	SANOFI SA ADR	02/01/2012	SNY	373.32	259.29	0.00	114.03	0.00	0.00
80105N105	09/16/2013	SANOFI SA ADR	02/01/2012	SNY	2,305.48	1,777.96	0.00	527.52	0.00	0.00
80687P106	07/12/2013	SCHNEIDER ELECTRIC SA ADR	Various	SBSY	345.17	243.09	0.00	102.08	0.00	0.00
815794102	04/30/2013	SEGA SAMMY HLDGS INC SPONSORED ADR REPSTG COM SEGA SAMMY	02/01/2011	SGAMY	259.29	207.69	0.00	51.60	0.00	0.00
815794102	10/10/2013	SEGA SAMMY HLDGS INC SPONSORED ADR REPSTG COM SEGA SAMMY	Various	SGAMY	324.43	234.34	0.00	90.09	0.00	0.00
81369Y209	01/30/2013	SELECT SECTOR SPDR TR HEALTHCARE	Various	XLV	19,538.09	15,238.04	0.00	4,300.05	0.00	0.00

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 SYNOVUS TRUST COMPANY, N.A.
 1148 BROADWAY, 2ND FLOOR
 COLUMBUS, GA 31902

Account Number: 68A067005
Recipient's Tax ID Number: 58-6462442
Payer's Federal ID Number: 58-2146977
Payer's State ID Number: GA
State: GA
Questions? (706)644-3129

Recipient's Name and Address:
 BROADFIELD FOUNDATION TRUST UAW
 ALFRED W JONES, III - TRUSTEE
 100 HUDSON PLACE
 SEA ISLAND, GA 31561

Corrected 2nd TIN notice

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
 Covered (Box 6a is not checked)

Quantity Sold	Date of Sale or Exchange	Description (Box 8)	Date of Acquisition	Stocks or Other Symbol (Box 1b)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
4.7500	02/27/2013	SIBANYE GOLD LTD SPONSORED ADR	Various	SBGL	46.52	0.00	-18.15	0.00
86210M106	05/20/2013	STORA ENSO CORP SPONSORED ADR REPSTG SER R SHS	08/09/2011	SEOAY	178.97	0.00	2.59	0.00
86210M106	06/07/2013	STORA ENSO CORP SPONSORED ADR REPSTG SER R SHS	08/09/2011	SEOAY	64.43	0.00	-2.31	0.00
86562X106	03/26/2013	SUMITOMO MITSUI TR HLDGS INC SPONSORED ADR	02/01/2011	SUTNY	114.96	0.00	13.35	0.00
87927Y201	03/12/2013	TELECOM ITALIA S P A NEW SPONSORED ADR REPSTG SVGS SHS	Various	TI A	284.20	0.00	-100.01	0.00
881624209	02/06/2013	TEVA PHARMACEUTICAL INDS LTD ADR	02/01/2012	TEVA	4,096.16	0.00	-714.89	0.00
958102105	04/24/2013	WESTERN DIGITAL CORP COM	02/01/2012	WDC	840.70	0.00	321.56	0.00
958102105	09/13/2013	WESTERN DIGITAL CORP COM	02/01/2012	WDC	496.77	0.00	345.54	0.00
Total Long Term Sales Reported on 1099-B					84,954.47	0.00	7,374.90	0.00

Report on Form 8949, Part II, with Box D checked

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2013 Tax Information Statement

Recipient's Name and Address:
 BROADFIELD FOUNDATION TRUST UAW
 ALFRED W JONES, III - TRUSTEE
 100 HUDSON PLACE
 SEA ISLAND, GA 31561

Account Number: 68A067005
 Recipient's Tax ID Number: 58-6462442
 Payer's Federal ID Number: 58-2146977
 Payer's State ID Number: GA
 State: (706)644-3129
 Questions? 2nd TIN notice
 Corrected

Payer's Name and Address:
 SYNOVUS TRUST COMPANY, N.A.
 1148 BROADWAY, 2ND FLOOR
 COLUMBUS, GA 31902

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.
 For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Quantity Sold	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)			(Box 2a)			(Box 4)	(Box 4)	(Box 15)
Short Term Sales Reported on 1099-B									
202597605	COMMERZBANK A G SPONSORED ADR NE	06/13/2013	Various	CRZBY	0.00	0.00	51.38	0.00	0.00
826197501	SIEMENS AG-ADR	07/25/2013	Various	SI	0.00	0.00	24.86	0.00	0.00
Total Short Term Sales Reported on 1099-B					0.00	0.00	76.24	0.00	0.00
Report on Form 8949, Part I, with Box B checked									
Long Term Sales Reported on 1099-B									
02209S103	ALTRIA GROUP INC	06/05/2013	12/06/2006	MO	664.13	0.00	549.74	0.00	0.00
037833100	APPLE COMPUTER INC	08/20/2013	12/01/2006	AAPL	91.19	0.00	410.08	0.00	0.00
30231G102	EXXON MOBIL CORP COM	07/08/2013	12/06/2006	XOM	772.40	0.00	151.11	0.00	0.00

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2013 Tax Information Statement

Account Number: 68A067005
Recipient's Tax ID Number: 58-6462442
Payer's Federal ID Number: 58-2146977
Payer's State ID Number: GA
State: (706)644-3129
Questions? Corrected 2nd TIN notice

Recipient's Name and Address:
 BROADFIELD FOUNDATION TRUST UAW
 ALFRED W JONES, III - TRUSTEE
 100 HUDSON PLACE
 SEA ISLAND, GA 31561

Payer's Name and Address:
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 1148 BROADWAY, 2ND FLOOR
 COLUMBUS, GA 31902

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

(Box 1e) CUSIP	(Box 1a) Description (Box 8) Date of Sale or Exchange	(Box 2a) Stock or Other Symbol (Box 1d) Bonds, etc.	(Box 4) Cost or Other Basis	(Box 5) Wash Sale Loss Disallowed	(Box 6) Net Gain or Loss	(Box 7) Federal Income Tax Withheld	(Box 8) State Tax Withheld
42809H107	HESS CORP COM	HES	306.09	0.00	68.98	0.00	0.00
42809H107	HESS CORP COM	HES	867.24	0.00	450.91	0.00	0.00
478160104	JOHNSON & JOHNSON COM	JNJ	324.45	0.00	94.71	0.00	0.00
580135101	MCDONALDS CORP COM	MCD	606.20	0.00	393.31	0.00	0.00
65248E104	NEWS CORP CL A	NWSA	483.00	0.00	245.53	0.00	0.00
65249B109	NEWS CORP NEW CL A	NWSA	7.22	0.00	4.03	0.00	0.00
718546104	PHILLIPS 66 COM	PSX	704.77	0.00	895.67	0.00	0.00
767204100	RIO TINTO PLC SPONSORED ADR	RIO	1,600.44	0.00	-602.20	0.00	0.00
931422109	WALGREEN CO	WAG	219.95	0.00	24.76	0.00	0.00
Total Long Term Sales Reported on 1099-B			9,334.90	0.00	2,686.63	0.00	0.00

Report on Form 8949, Part II, with Box E checked

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**The Broadfield Foundation
2013 Proceeds from Broker and Barter Exchange Transactions**

Action	1a Date of Sale or Exchange	1b Date of Acquisition	1c Quantity Sold	2a Sales Price of Stocks, Bonds, etc.	3 Cost or Other Basis	Gain/Loss(-)
ABBOTT LABORATORIES, ABT, 002824100						
Sale	11/12/2013	Unknown	62.00	2,322.04	1,615.90	706.14
ABBVIE INC COM USD0.01, ABBV, 00287Y109						
Sale	11/12/2013	Unknown	53.00	2,525.94	1,415.55	1,110.39
ACE LIMITED ORO CHF27.49, ACE, H0023R105						
Sale	11/12/2013	Unknown	8.00	777.35	732.06	45.29
Sale	11/12/2013	Unknown	39.00	3,789.59	2,836.85	952.74
AIR PRODUCTS & CHEM, APD, 009158106						
Sale	11/12/2013	Unknown	16.00	1,736.46	1,390.65	345.81
ALTRIAGROUP INC, MO, 022095103						
Sale	11/12/2013	Unknown	84.00	3,131.46	1,455.68	1,675.78
AMERICAN CAMPUS CMNTYS INC, ACC, 024835100						
Sale	11/12/2013	Unknown	26.00	859.54	996.50	(136.96)
AMERICAN EXPRESS CO, AXP, 025816109						
Sale	11/12/2013	Unknown	24.00	1,943.00	1,399.77	543.23
APPLE INC, AAPL, 037833100						
Sale	11/12/2013	Unknown	7.00	3,651.76	1,634.14	2,017.62
Sale	11/12/2013	Unknown	13.00	6,781.85	1,291.74	5,490.11
APPROACH RES INC COM, AREX, 03834A103						
Sale	11/12/2013	Unknown	35.00	844.18	1,051.69	(207.51)
ASG GLOBAL ALTERNATIVES FUNDY, GAFYX, 63872T885						
Sale	11/12/2013	Unknown	2,928.21	34,230.74	33,323.00	907.74
AUTOMATIC DATA PROCESSING INC, ADP, 053015103						
Sale	11/12/2013	Unknown	23.00	1,738.53	994.13	744.40
AXAADS-EACH REP 1 ORD EUR2 29, AXAHY, 054536107						
Sale	11/12/2013	Unknown	18.00	444.76	279.77	164.99
BAE SYSTEMS SPON ADREA REP 4 ORD GBP O.O, BAESY, 05523R107						
Sale	11/12/2013	Unknown	38.00	1,095.52	759.39	336.13
BALFOUR BEATTY SPON ADREA REPR 2 ORD GB, BAFY, 05845R306						
Sale	11/12/2013	Unknown	77.00	666.03	584.34	81.69
BARRICK GOLD CORP COM NPV ISIN #CA067901, ABX, 067901108						
Sale	11/12/2013	Unknown	13.00	235.29	608.65	(373.36)
BAXTER INTL INC, BAX, 071813109						
Sale	11/12/2013	Unknown	34.00	2,235.46	2,279.40	(43.94)
BEAZER HOMES USA INCCOM NEW, BZH, 075560881						
Sale	11/12/2013	Unknown	211.00	3,952.91	4,079.14	(126.23)
BED BATH & BEYOND INC, BBBY, 075896100						

Sale	BELGACOM SA UNSP ADREACH REPR 0.20 ORO, BGAOY, 077701100	11/12/2013	Unknown	46.00	3,538.71	3,227.12	311.59
Sale	BHP BILLITON LIMITEDADR EACH REP 2 ORO N, BHP, 088606108	11/12/2013	Unknown	136.00	741.62	965.64	(224.02)
Sale	BIG LOTS INC (OHIO), BIG, 089302103	11/12/2013	Unknown	8.00	560.87	524.73	36.14
Sale	BLACKROCK INC, BLK, 09247X101	11/12/2013	Unknown	52.00	1,928.65	1,758.26	170.39
Sale	BP PLCADR (CNV INT06 ORO USD0 25 SHS), BP, 055622104	11/12/2013	Unknown	9.00	2,697.97	1,590.34	1,107.63
Sale	BRIDGESTONE CORP ADREACH CNV 0.5 ORO NPV, BRDCY, 108441205	11/12/2013	Unknown	13.00	599.28	588.55	10.73
Sale	BRISTOW GROUP INC COM, BRS, 110394103	11/12/2013	Unknown	16.00	274.10	180.32	93.78
Sale	CANADIAN PACIFIC RAILWAY LIMITED COM NPV,CP, 13645T100	11/12/2013	Unknown	32.00	2,670.35	2,051.02	619.33
Sale	CAPITAL ONE FINANCIAL CORP	11/12/2013	Unknown	12.00	1,760.48	1,426.55	333.93
Sale	CATERPILLAR INC, CAT, 149123101	11/12/2013	Unknown	57.00	3,962.01	2,766.75	1,195.26
Sale	CHEVRON CORP NEW,CVX,166764100	11/12/2013	Unknown	27.00	2,259.40	1,519.97	739.43
Sale	CHINA MOBILE LTD SPON ADR REP 5 ORO HKDO, CHL, 16941M109	11/12/2013	Unknown	38.00	4,567.25	3,945.69	621.56
Sale	CITIGROUP INC COM NEW,C, 172967424	11/12/2013	Unknown	46.00	5,528.78	3,460.17	2,068.61
Sale	COCA COLA CO, KO,191216100	11/12/2013	Unknown	20.00	1,032.58	1,041.73	(9.15)
Sale	COHEN & STEERS INC, CNS,19247A100	11/12/2013	Unknown	87.00	4,337.75	2,685.09	1,652.66
Sale	COMCAST CORP NEW CL A, CMCSA,20030N101	11/12/2013	Unknown	172.00	6,838.43	4,475.09	2,363.34
Sale	COMMERZBANK AG SPON ADR EA REPR 1 ORD(PO, CRZBY, 202597605	11/12/2013	Unknown	89.00	3,326.77	3,259.37	67.40
Sale	CONOCOPHILLIPS, COP, 20825C104	11/12/2013	Unknown	35.00	1,633.77	1,450.08	183.69
Sale	CUMMINS INC FORMERLYCUMMINS ENGINE INC T,CMI, 231021106	11/12/2013	Unknown	30.00	416.54	377.09	39.45
Sale	DAI NIPPON PRINTING CO ADR-EACH CNV INTO, DNPLY, 233806306	11/12/2013	Unknown	67.00	4,901.83	2,810.01	2,091.82
Sale	DANONE ADR-EA CNV INTO 1/5 EURO.25(CBK), DAN0Y,23636T100	11/12/2013	Unknown	25.00	3,262.94	2,815.98	446.96
Sale		11/12/2013	Unknown	31.00	315.94	277.54	38.40

Sale	DEUTSCHE BANKAG ORDNPV(REGD),DB, D18190898	11/12/2013	Unknown	43.00	624.17	603.61	20.56
Sale	DIAGEO ADR EACH REPR4 ORO GBX28.935185, DEO, 252430205	11/12/2013	Unknown	8.00	367.59	339.89	27.70
Sale	DIGITAL GENERATION INC COM, DGIT,25400B108	11/12/2013	Unknown	12.00	1,536.21	1,376.34	159.87
Sale	DISNEY WALT CO, DIS,254687106	11/12/2013	Unknown	188.00	2,262.20	1,419.39	842.81
Sale	EM C CORP MASS, EMC,268648102	11/12/2013	Unknown	24.00	1,624.29	805.37	818.92
Sale	EMERSON ELECTRIC CO, EMR, 291011104	11/12/2013	Unknown	85.00	2,051.87	2,292.24	(240.37)
Sale	EOG RESOURCES INC, EOG, 26875P101	11/12/2013	Unknown	55.00	3,653.59	3,209.83	443.76
Sale	ERICSSON ADR EACH REP 1 ORD B SEK1, ERIC,294821608	11/12/2013	Unknown	5.00	841.43	696.84	144.59
Sale	ESTEE LAUDER COMPANIES INC CL A, EL, 518439104	11/12/2013	Unknown	58.00	710.48	542.25	168.23
Sale	EXXON MOBIL CORP, XOM, 30231G102	11/12/2013	Unknown	21.00	1,486.14	675.77	810.37
Sale	FOREST CITY ENTERPRISES CL A, FCEA, 345550107	11/12/2013	Unknown	38.00	3,515.51	3,347.25	168.26
Sale	FRANKLIN RES INC,BEN, 354613101	11/12/2013	Unknown	85.00	7,863.64	6,244.86	1,618.78
Sale	FREEPORT MCMORAN COPPER & GOLD INC., FCX, 356710857	11/12/2013	Unknown	233.00	4,518.72	4,212.41	306.31
Sale	FUJIFILM HOLDINGS CORPORATION ADR-EACHCN, FUJIY, 35958N107	11/12/2013	Unknown	48.00	2,524.75	1,709.04	815.71
Sale	GATX CORP	11/12/2013	Unknown	45.00	1,612.32	1,998.63	(386.31)
Sale	GENCORP INC,GY, 368682100	11/12/2013	Unknown	28.00	689.12	667.00	22.12
Sale	GENERAL DYNAMICS CRP, GD,369550108	11/12/2013	Unknown	49.00	2,571.96	2,419.24	152.72
Sale	GENERAL ELECTRIC CO, GE, 369604103	11/12/2013	Unknown	402.00	6,991.74	6,106.18	885.56
Sale	GOLD FIELDS LTO ADR EACH REPR 1 ORO ZARO, GFI, 38059T106	11/12/2013	Unknown	27.00	2,343.82	1,878.75	465.07
Sale	GOOGLE INC CL A, GOOG, 38259P508	11/12/2013	Unknown	85.00	2,283.32	2,924.94	(641.62)
Sale	HACHIJUNIBANK ADR EACH REPR 10 ORO NPV, HACBY,404508202	11/12/2013	Unknown	41.00	180.80	495.33	(314.53)
Sale		11/12/2013	Unknown	5.00	5,048.91	2,903.77	2,145.14

Sale	HASBRO INC, HAS,418056107	11/12/2013	Unknown	5.00	303.49	277.28	26.21
Sale	HOME RETAIL GROUP SPON ADR EACH REP 4 OR, HMRTY,43731T102	11/12/2013	Unknown	70.00	3,580.44	2,440.79	1,139.65
Sale	HSBC HOLDINGS PLC ADR EACH REPR 5 ORD US, HSBC,	11/12/2013	Unknown	76.00	944.13	334.53	609.60
Sale	HUANENG POWER INTERNATIONAL INCADR EACH, HNP, 443304100	11/12/2013	Unknown	29.00	1,606.86	718.94	887.92
Sale	HYSTER-YALE MATLS HANDLING INC COM USDO., HY, 449172105	11/12/2013	Unknown	12.00	471.11	339.79	131.32
Sale	INDRA SISTEMAS S A ADR EACH REPR 0.50 OR, ISMAY,45579R106	11/12/2013	Unknown	27.00	2,213.42	1,863.33	350.09
Sale	INSPIRITY INC COM, NSP,457780107	11/12/2013	Unknown	159.00	1,190.56	847.44	343.12
Sale	INTEL CORP,INTC,458140100	11/12/2013	Unknown	81.00	2,712.65	2,505.01	207.64
Sale	INTESANPAOLO S.PA ADR	11/12/2013	Unknown	105.00	2,562.27	2,240.58	321.69
Sale	INTL BUSINESS MACH, IBM, 459200101	11/12/2013	Unknown	165.00	4,026.42	4,060.80	(34.38)
Sale	INTUITIVE SURGICAL INC COM NEW,ISRG, 46120E602	11/12/2013	Unknown	35.00	497.80	332.36	165.44
Sale	IPC THE HOSPITALIST CO INC COM, IPCM, 44984A105	11/12/2013	Unknown	14.00	2,563.63	1,474.40	1,089.23
Sale	JOHNSON & JOHNSON, JNJ,478160104	11/12/2013	Unknown	22.00	4,028.57	3,340.38	688.19
Sale	JPMORGAN CHASE & CO, JPM,46625H100	11/12/2013	Unknown	6.00	2,368.63	580.48	1,788.15
Sale	KAISER ALUM CORP COMPAR	11/12/2013	Unknown	60.00	3,384.62	2,994.32	390.30
Sale	KAO CORP SPONS ADR	11/12/2013	Unknown	40.00	3,735.65	2,971.24	764.41
Sale	KBC GROEP NV UNSP ADREA	11/12/2013	Unknown	49.00	4,576.18	2,995.61	1,580.57
Sale	KENNAMETAL INC, KMT,489170100	11/12/2013	Unknown	70.00	3,768.73	2,066.68	1,702.05
Sale	KINROSS GOLD CORP COM NPV ISIN #CM96902, KGC,496902404	11/12/2013	Unknown	72.00	3,876.41	3,580.64	295.77
Sale		11/12/2013	Unknown	45.00	2,935.29	2,843.83	91.46
Sale		11/12/2013	Unknown	19.00	625.00	513.02	111.98
Sale		11/12/2013	Unknown	11.00	295.85	132.60	163.25
Sale		11/12/2013	Unknown	54.00	2,526.12	2,214.66	311.46
Sale		11/12/2013	Unknown	40.00	195.99	442.70	(246.71)

KONINKLIJKE AHOLD NVNEW ADR REP 1 EURO.2,AHONY, 500467402

Sale	11/12/2013	Unknown	32.00	592.62	383.48	209.14
KRAFT FOODS GROUP INC COM NPV,KRFT, 500760106						
Sale	11/12/2013	Unknown	13.00	674.94	435.33	239.61
KT CORPORATION SPON ADR EACH REP 112 ORD, KT, 48268K101						
Sale	11/12/2013	Unknown	47.00	703.10	705.51	(2.41)
LEGG MASON, LM, 524901105						
Sale	11/12/2013	Unknown	48.00	1,889.72	1,615.79	273.93
LIFE TIME FITNESS INC,LTM,53217R207						
Sale	11/12/2013	Unknown	62.00	2,920.21	3,169.54	(249.33)
LIQUIDITY SVCS INC COM, LQDT,536358107						
Sale	11/12/2013	Unknown	77.00	2,129.78	2,583.05	(453.27)
LKQ CORP, LKQ, 501889208						
Sale	11/12/2013	Unknown	75.00	2,323.45	1,854.41	469.04
LLOYDS BANKING GROUPADR REP 4 ORD GBP0.1, LYG,539439109						
Sale	11/12/2013	Unknown	137.00	669.91	280.13	389.78
MAGNA INTERNATIONAL INC.						
Sale	11/12/2013	Unknown	3.00	251.63	123.87	127.76
MAKITA CORP ADR-EACHCNV INTO 1ORO NPV, MKTAY,560877300						
Sale	11/12/2013	Unknown	5.00	246.59	183.13	63.46
MARCHEX INC CL B, MCHX,56624R108						
Sale	11/12/2013	Unknown	431.00	3,819.84	2,539.83	1,280.01
MATTEL INC,MAT, 577061102						
Sale	11/12/2013	Unknown	111.00	4,912.27	3,588.85	1,323.42
MCDONALDS CORP, MCD,560135101						
Sale	11/12/2013	Unknown	41.00	3,998.25	2,144.38	1,853.87
MERCK & CO INC NEW COM, MRK, 58933Y105						
Sale	11/12/2013	Unknown	32.00	1,515.81	843.48	672.33
MICROSOFT CORP, MSFT, 594918104						
Sale	11/12/2013	Unknown	119.00	4,445.13	3,551.73	893.40
MODINE MANUFACTURINGCO, MOD, 607828100						
Sale	11/12/2013	Unknown	218.00	2,853.57	2,248.33	605.24
MONDELEZ INTL INC COM,MDLZ,609207105						
Sale	11/12/2013	Unknown	39.00	1,252.26	805.28	446.98
MS&AD INS GP HLDGS ADR EACH REPR 0.5 ORD, MSADY,						
Sale	11/12/2013	Unknown	31.00	397.75	326.08	71.67
NESTLE SA SPON ADR EACH REPR 1 COM CHFO, NSRGY,641069406						
Sale	11/12/2013	Unknown	61.00	4,398.75	2,178.69	2,220.06
NEWMONT MNG CORP HLDG CO, NEM, 651639106						
Sale	11/12/2013	Unknown	15.00	406.49	832.66	(426.17)
NEWS CORP NEW COM USD0 01 CL A, NWSA, 652498109						
Sale	11/12/2013	Unknown	16.00	276.63	189.72	86.91
NINTENDO CO LTD ADR(8 CNV INTO 1 ORD)NPV, NTDOY,654445303						

Sale	ROHM CO LTD UNSP ADREACH REPR 1/2 ORD, ROHCY, 775376106	11/12/2013	Unknown	72.00	1,704.93	1,934.70	(229.77)
Sale	ROYAL DUTCH SHELL ADR EA REP 2 CL A EURO, RDSA, 780259206	11/12/2013	Unknown	8.00	158.34	145.42	12.92
Sale	ROYAL DUTCH SHELL ADR EA REP 2 CL B EURO, RDSB, 780259107	11/12/2013	Unknown	49.00	3,243.99	2,378.26	865.73
Sale	SABMILLERADREACH REP 1 ORD USD0.10 LEV, SBMRY, 78572M105	11/12/2013	Unknown	68.00	4,501.86	4,702.40	(200.54)
Sale	SANOFISPONSORED ADR,SNY, 80105N105	11/12/2013	Unknown	11.00	756.56	779.80	(23.24)
Sale	SCHNEIDER ELECTRIC UNSPON ADREA REPR 0., SBGSY,80687P106	11/12/2013	Unknown	20.00	1,020.36	817.97	202.39
Sale	SECOM CO ADR EACH REPR 1/4 ORD SH, SOMLY, 813113206	11/12/2013	Unknown	18.00	949.30	637.71	311.59
Sale	SECTOR SPDR TR SHS BEN INT TECHNOLOGY,XLK, 81369Y803	11/12/2013	Unknown	20.00	328.31	207.88	120.43
Sale	SEVEN & I HOLDINGS CO LTD UNSP ADR EACHR,SVNDY, 81783H105	11/12/2013	Unknown	64.00	984.04	724.08	259.96
Sale	SHANGHAI ELECTRIC GROUP CO UNSP ADR EACH,SIELY, 81943J108	11/12/2013	Unknown	575.00	19,526.72	17,870.60	1,656.12
Sale	SHIN-ETSU CHEMICAL CO UNSP ADR EACH REPR, SHECY, 824551105	11/12/2013	Unknown	7.00	503.50	387.56	115.94
Sale	SHISEIDO COMPANY LIMITED ADR EACH CNVINT, SSDOY,824841407	11/12/2013	Unknown	67.00	464.73	508.02	(43.29)
Sale	SIEMENS AG ADR-EACH CNV INTO 1ORO NPV, SI,826197501	11/12/2013	Unknown	10.00	147.39	145.47	1.92
Sale	SKULLCANDY INC COM USD0.0001, SKUL, 83083J104	11/12/2013	Unknown	39.00	662.04	636.99	25.05
Sale	SKYWORCS SOLUTIONS INC COM, SWKS, 83088M102	11/12/2013	Unknown	8.00	1,015.74	722.83	292.91
Sale	STORA ENSO OYJ ADR EACH REP 1 SER R SHSS,SEOAY, 86210M106	11/12/2013	Unknown	257.00	1,507.40	1,492.01	15.39
Sale	STRYKER CORP, SYK, 863667101	11/12/2013	Unknown	93.00	2,360.30	2,006.27	354.03
Sale	SUMITOMO MITSUI TRUST HOLDINGS INC SPON,SUTNY, 86562X106	11/12/2013	Unknown	33.00	312.50	209.36	103.14
Sale	SUNCOR ENERGY COM NPV NEW ISIN #CA867224, SU,867224107	11/12/2013	Unknown	56.00	4,090.17	3,003.97	1,086.20
Sale	SVB FINL GROUP,SIVB, 784860101	11/12/2013	Unknown	62.00	299.65	206.54	93.11
Sale	TARGET CORP, TGT, 87612E106	11/12/2013	Unknown	17.00	594.64	504.91	89.73
Sale		11/12/2013	Unknown	52.00	5,022.85	4,011.40	1,011.45

Sale	TENET HEALTHCARE CORP COM NEW,THC,88033G407	11/12/2013	Unknown	48.00	3,141.06	2,633.11	507.95
Sale	TERADYNE INC,TER,880770102	11/12/2013	Unknown	66.00	2,896.03	2,872.96	23.07
Sale	TESCO ADR EACH CNV INTO 3 ORO (GBP), TSCDY,881575302	11/12/2013	Unknown	147.00	2,512.62	2,594.61	(81.99)
Sale	TETRA TECH INC NEW,TTEK,88162G103	11/12/2013	Unknown	50.00	875.48	751.14	124.34
Sale	TEVA PHARMACEUTICAL INDUSTRIES ADR-EACH,TEVA,681624209	11/12/2013	Unknown	107.00	2,820.95	2,894.82	(73.87)
Sale	TEXAS CAP BANCSHARESINC,TCBI,882240107	11/12/2013	Unknown	11.00	414.03	437.04	(23.01)
Sale	TEXAS INSTRUMENTS INC,TXN,882508104	11/12/2013	Unknown	46.00	2,386.94	2,040.34	346.60
Sale	TIME WARNER CABLE INC COM,TWC,88732J207	11/12/2013	Unknown	61.00	2,546.71	1,786.29	760.42
Sale	TIMKEN CO,TKR,887389104	11/12/2013	Unknown	13.00	1,531.50	828.20	703.30
Sale	TNT EXPRESS NV ADR,TNTEY,87262N109	11/12/2013	Unknown	46.00	2,425.53	2,650.61	(225.08)
Sale	TOTAL SPON ADREA REP 1 ORO SHS,TOT,89151E109	11/12/2013	Unknown	116.00	1,020.78	884.14	136.64
Sale	TRACTOR SUPPLY CO, TSCO,892356106	11/12/2013	Unknown	60.00	3,527.94	3,177.31	350.63
Sale	TWENTY-FIRST CENY FOX INC CLA, FOXA,90130A101	11/12/2013	Unknown	20.00	1,440.17	1,133.19	306.98
Sale	UNITED NATURAL FOODSINC, UNFI,911163103	11/12/2013	Unknown	47.00	1,548.15	873.95	674.20
Sale	UNITED TECHNOLOGIES CORP, UTX,913017109	11/12/2013	Unknown	37.00	2,612.15	1,938.64	673.51
Sale	UNUM GROUP, UNM,91529Y106	11/12/2013	Unknown	23.00	2,466.01	1,575.50	890.51
Sale	WALGREEN COMPANY,WAG,931422109	11/12/2013	Unknown	99.00	3,234.28	2,753.77	480.51
Sale	WALMART STORES INC, WMT,931142103	11/12/2013	Unknown	31.00	1,839.81	1,363.69	476.12
Sale	WELLS FARGO & CO NEW, WFC,949746101	11/12/2013	Unknown	34.00	2,668.61	1,561.17	1,107.44
Sale	WESTERN DIGITAL CORPDEL,WDC,958102105	11/12/2013	Unknown	59.00	4,630.83	3,793.38	837.45
Sale	WISDOMTREE TRUST JAPAN HEDGE EQT, DXJ,97717W851	11/12/2013	Unknown	34.00	1,434.09	1,460.21	(26.12)
Sale		11/12/2013	Unknown	60.00	4,318.13	1,965.44	2,352.69

Sale	WOLTERS KLUWERS ADR-CNV INTO 1 ORO EURO,WTIWIY, 977874205	11/12/2013	Unknown	408 00	19,537 23	18,742.17	795.06
Sale	WYNDHAM WORLDWIDE CORP COM,WYN, 98310W108	11/12/2013	Unknown	44 00	1,212 31	836.76	375.55
Sale	XILINX INC,XLNX, 983919101	11/12/2013	Unknown	23 00	1,519.12	1,313.09	206.03
Sale	3M COMPANY, MMM,88579Y101	11/12/2013	Unknown	19 00	842 68	624.01	218.67
Sale		11/12/2013	Unknown	27 00	3,451 61	2,544.11	907.50

Total Sales 473,256.82 379,677.02 93,579.80

Statement for the Period December 1, 2013 to December 31, 2013
 THE BROADFIELD FOUNDATION - Trust: Under Agreement
 Account Number: SN5-000525

SYNOVUS[®]

SECURITIES, INC.

MEMBER FINRA/SIPC

HOLDINGS > MUTUAL FUNDS *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/13	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
AMERICAN THE NEW ECONOMY FUND CL F2	NEFX CASH	3,768.858	\$38.18	\$143,818.64	\$653.57	\$144,007.00	(\$188.36)
Dividend Option Cash							
Capital Gain Option Cash							
ARBITRAGE FUND CLASS I	ARBXX CASH	7,459.518	\$12.86	\$95,929.40		\$96,004.00	(\$74.60)
Dividend Option Cash							
Capital Gain Option Cash							
DIAMOND HILL SMALL- MID CAP FD I	DHMX CASH	5,301.16	\$17.87	\$94,731.73	\$306.38	\$95,004.00	(\$1,272.27)
Dividend Option Cash							
Capital Gain Option Cash							
MAINSTAY MARKETFIELD FUND CLASS I	MFLDX CASH	9,025.202	\$18.52	\$167,146.74	\$19.08	\$160,556.21 C	\$6,590.53
Estimated Yield 0.01%							
Dividend Option Cash							
Capital Gain Option Cash							
VIRTUS ALPHASECTOR ROTATION FUND CL I	VARIX CASH	9,816.428	\$14.30	\$140,374.92	\$1,649.16	\$144,007.00	(\$3,632.08)
Estimated Yield 1.17%							
Dividend Option Cash							
Capital Gain Option Cash							
Total Equity				\$642,001.43	\$2,828.19	\$640,578.21	\$1,423.22
Fixed Income							
VANGUARD SHORT TERM INVMT GRADE ADMIRAL	VFSJX CASH	14,315.691	\$10.70	\$153,177.89	\$2,916.29	\$153,693.69 C	(\$715.80)
Estimated Yield 1.90%							
Dividend Option Cash							
Capital Gain Option Cash							
Total Mutual Funds				\$795,179.37	\$5,744.48	\$794,471.90	\$707.42
Total Securities				\$938,985.72	\$12,072.89	\$938,393.90	\$591.82
TOTAL PORTFOLIO VALUE				\$993,136.69	\$12,072.89	\$938,393.90	\$591.82

Account carried with National Financial Services LLC, Member
 NYSE, SIPC

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

Department of the Treasury
Internal Revenue Service

▶ File a separate application for each return.

▶ Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868
Electronic filing (e-file). You can electronically file Form 8868 if you need a 3 month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number

Type or print	Name of exempt organization or other filer, see instructions THE BROADFIELD FOUNDATION	Employer identification number (EIN) or 58-6462442
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. P.O. BOX 30351	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. SEA ISLAND, GA 31561-0351	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990 T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990 T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

MOORE STEPHENS TILLER LLC

• The books are in the care of ▶ **P.O. BOX 490 - BRUNSWICK, GA 31521-0490**
 Telephone No ▶ **(912) 265 1750** Fax No ▶ _____

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
 ▶ calendar year **2013** or
 ▶ tax year beginning _____, and ending _____

2 If the tax year entered in line 1 is for less than 12 months, check reason Initial return Final return
 Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	4,666.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box **X**
- Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions	Enter filer's identifying number, see instructions	
	THE BROADFIELD FOUNDATION	Employer identification number (EIN) or	58-6462442
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)	
	P.O. BOX 30351		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions		
	SEA ISLAND, GA 31561-0351		

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990 EZ	01		
Form 990-BL	02	Form 1041 A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990 PF	04	Form 5227	10
Form 990 T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

MOORE STEPHENS TILLER LLC
 The books are in the care of P.O. BOX 490 - BRUNSWICK, GA 31521-0490
 Telephone No (912) 265 1750 Fax No

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3 month extension of time until NOVEMBER 15, 2014

5 For calendar year 2013, or other tax year beginning _____, and ending _____

6 If the tax year entered in line 5 is for less than 12 months, check reason Initial return Final return Change in accounting period

7 State in detail why you need the extension
INFORMATION NEEDED FROM VARIOUS THIRD PARTIES HAS NOT BEEN RECEIVED. WE RESPECTFULLY REQUEST AN EXTENSION SO THAT AN ACCURATE AND COMPLETE RETURN MAY BE FILED.

8a If this application is for Forms 990-BL, 990 PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	0.
b If this application is for Forms 990 PF, 990 T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	4,666.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature [Signature] Title CPA Date 8-2-14