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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Open to Public Inspection

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation Center Family Foundation		A Employer identification number 58-6076183
Number and street (or P O box number if mail is not delivered to street address) 91 W Wieuca Rd NE	Room/suite 2000	B Telephone number (see instructions) (404) 233-0001
City or town, state or province, country, and ZIP or foreign postal code Atlanta, GA 30342		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply	<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change	<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,227,990	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)	93,651			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	36			
4	Dividends and interest from securities	26,724			
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	51,319			
b	Gross sales price for all assets on line 6a 2,628,085				
7	Capital gain net income (from Part IV, line 2)		51,319		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	171,730	51,319		
13	Compensation of officers, directors, trustees, etc.				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule) STM107	1,842			
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions) STM110		941		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) STM103	47			
24	Total operating and administrative expenses. Add lines 13 through 23	1,889	941		0
25	Contributions, gifts, grants paid	37,943			37,943
26	Total expenses and disbursements. Add lines 24 and 25	39,832	941		37,943
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	131,898			
b	Net investment income (if negative, enter -0-)		50,378		
c	Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	305,823	42,808	42,808
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) . . . STM137	1,280,500	1,675,413	2,185,182
	c	Investments - corporate bonds (attach schedule)			
	Liabilities	11	Investments - land, buildings, and equipment basis ▶		
		Less accumulated depreciation (attach schedule) ▶			
12		Investments - mortgage loans			
13		Investments - other (attach schedule)			
14		Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
15		Other assets (describe ▶)			
16		Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	1,586,323	1,718,221	2,227,990
17		Accounts payable and accrued expenses			
18		Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	1,586,323	1,718,221	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	1,586,323	1,718,221	
31	Total liabilities and net assets/fund balances (see instructions)	1,586,323	1,718,221		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,586,323
2	Enter amount from Part I, line 27a	2	131,898
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,718,221
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,718,221

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (yr, mo, day)	(d) Date sold (yr, mo, day)
1a See STM-134				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 2,628,085		2,576,766	51,319	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
a			51,319	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	51,319
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }			3	(22,488)

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	47,121	1,533,601	0.030726
2011	36,617	1,412,673	0.02592
2010	47,144	1,209,764	0.03897
2009			
2008			
2 Total of line 1, column (d)			2 0.095616
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.019123
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 1,466,006
5 Multiply line 4 by line 3			5 28,034
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 504
7 Add lines 5 and 6			7 28,538
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 37,943

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	504
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	504
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	504
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	504
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> GA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ Charles N. Center Telephone no ▶ 404-233-0001 Located at ▶ 91 W Wieuca Rd NE, Atlanta, GA ZIP+4 ▶ 30342			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041-Check here and enter the amount of tax-exempt interest received or accrued during the year	15		<input type="checkbox"/>
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years ▶		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**5b****6b****7b**

X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Charles N. Center 91 W Wieuca Rd NE, Atlanta, GA 30342	Trustee 40	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,485,786
b	Average of monthly cash balances	1b	2,545
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	1,488,331
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,488,331
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	22,325
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,466,006
6	Minimum investment return. Enter 5% of line 5	6	73,300

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	73,300
2a	Tax on investment income for 2013 from Part VI, line 5	2a	504
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	504
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	72,796
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	72,796
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	72,796

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	37,943
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	37,943
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	504
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	37,439

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				72,796
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years		338,656		
3 Excess distributions carryover, if any, to 2013				
a From 2008	86,474			
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	86,474			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$				37,943
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				37,943
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	34,853			34,853
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	51,621			
b Prior years' undistributed income Subtract line 4b from line 2b		338,656		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions		338,656		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	51,621			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
The Temple 1589 Peachtree Street Atlanta, GA 30309	None	PC	General Support	1,065
Paula's House PO Box 2002 Monroe, MI 48161	None	PC	General Support	600
Temple B'nai Israel 1358 Hollywood Blvd Monroe, MI 48162	None	PC	General Support	300
Weinstein Hospice 150 Howell Mill Rd NW Atlanta, GA 30327	None	PC	General Support	1,100
Woodruff Arts Center 1280 Peachtree Street Atlanta, GA 30309	None	PC	General Support	15,000
Black Bear Film Festival 115 Mill Street Milford, PA 18337	None	PC	General Support	1,000
Temple Beth El 220 South Bedford Road Chappaqua, NY 10514	None	PC	General Support	4,900
Davis Academy 8105 Roberts Drive Atlanta, GA 30350	None	PC	General Support	200
Total			3a	
b Approved for future payment				
Total			3b	

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Secretary of State's Award (SOSA) 5555 Columbia Pike Suite 208 Arlington, VA 22204	None	PC	General Support	500
Light the Night 3715 Northside Pkwy Atlanta, GA 30327	None	PC	General Support	1,000
AA Synagogue 600 Peachtree Battle Ave NW Atlanta, GA 30327	None	PC	General Support	100
A Hand Up Atlanta 1440 Spring Street Atlanta, GA 30309	None	PC	General Support	1,000
MJCCA 5300 Tilly Mill Rd Atlanta, GA 30338	None	PC	General Support	240
Congregation Bnai Tikveh 1558 Wilmont Road Deerfield, IL 60015	None	PC	General Support	2,438
Cobb Justice Foundation c/o Legal Aid of Cobb County Marietta, GA 30090	None	PC	General Support	500
Children's Hospital (CHKD) PO Box 2156 Norfolk, VA 23501	None	PC	General Support	500
Total			3a	
b Approved for future payment				
Total			3b	

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year William Breman Jewish Home 3150 Howell Mill Road NW Atlanta, GA 30327	None	PC	General Support	5,000
William Greenleaf Eliot Society 7425 Forsyth Blvd Campus Box 1228 Saint Louis, MO 63105	None	PC	General Support	1,000
Mi Casa Resource Center 360 Acoma St, Denver, CO 80223	None	PC	General Support	1,000
Total			3a	37,443
b Approved for future payment				
Total			3b	

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

OMB No 1545-0047

2013

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

Name of the organization

Center Family Foundation

Employer identification number

58-6076183

Organization type (check one)

Filers of:

Section

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$

Caution An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Center Family Foundation

Employer identification number

58-6076183

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Charles N. Center 91 W Wieuca Ste 2000 Atlanta, GA 30342	\$ 20,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	Charlene Berman 18 Laurel Lane Chappaqua, NY 10514	\$ 56,501	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Employer identification number

58-6076183

Part II

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	390 Shares of Hillshire Brands on January 23, 2013 at \$30.45. 700 Shares of Hanes Brands at	\$ 56,501	01-23-2013
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Federal Supporting Statements

2013 PG 01

Name(s) as shown on return

FEIN

Center Family Foundation

58-6076183

Form 990PF, Part II, Line 10(b) Investments: Corporate Stock Schedule

Statement #137

<u>Category</u>	<u>BOY</u>	<u>Book Value</u>	<u>EOY FMV</u>
Stocks, Options and ETFs	<u>1,280,500</u>	<u>1,675,413</u>	<u>2,185,182</u>
Totals	<u><u>1,280,500</u></u>	<u><u>1,675,413</u></u>	<u><u>2,185,182</u></u>

Federal Supporting Statements

2013 PG 01

Your Social Security Number

58-6076183

Name(s) as shown on return

Center Family Foundation

Statement #103

Form 990PF, Part I, Line 23 - Other Expenses Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
Publication Fees	15	0	0	0
Bank Fees	32	0	0	0
Totals	47	0	0	0

PG 01

Statement #107

Form 990PF, Part I, Line 16(a) - Legal Fees Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
Legal Fees	1,842	0	0	0
Totals	1,842	0	0	0

Federal Supporting Statements

2013 PG 01

Your Social Security Number

58-6076183

Name(s) as shown on return

Center Family Foundation

Statement #110

Form 990PF, Part 1, Line 18 - Taxes Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
Foreign Taxes	0	941	0	0
Totals	0	941	0	0

Federal Supporting Statements

2013 PG 01

Name(s) as shown on return

Your Social Security Number

Center Family Foundation

58-6076183

Form 990-PF, Part IV, Capital Gains And Losses Information (Overflow)

Statement #134

Description	P-Purchase D-Donation	Date Acquired	Date Sold	Sales Price	Depreciation	Cost or other basis	Gain or Loss	Gains Minus Excess or Losses
Caterpillar Inc.	P	2012-08-03	2013-01-18	134,722		129,098	5,624	5,624
Short Term Options Caterpillar	P	2013-01-19	2013-01-19	6,307			6,307	6,307
Apple Inc.	P	VARIOUS	2013-12-20	233,252		284,160	(50,908)	(50,908)
FMC Technologies	P	VARIOUS	2013-10-23	201,391		210,570	(9,179)	(9,179)
Galaxy Entertainment	P	2013-03-28	2013-07-09	23,006		20,940	2,066	2,066
Google Inc.	P	2013-05-28	2013-08-27	169,779		178,306	(8,527)	(8,527)
Hillshire Brands	P	VARIOUS	2013-09-24	169,127		188,724	(19,597)	(19,597)
Ishares MSCI Brazil	P	2013-02-28	2013-04-02	53,790		55,160	(1,370)	(1,370)
Johnson and Johnson	P	2013-08-08	2013-07-19	95,449		90,216	5,233	5,233
Northrop Grumman Corp	P	2013-04-16	2013-08-16	176,767		156,552	20,215	20,215
Powershares Dynamic	P	VARIOUS	2013-04-16	82,007		75,467	6,540	6,540
Proctor Gamble	P	2013-02-15	2013-07-16	65,798		64,252	1,546	1,546
SPDR DJ Wilshire	P	2013-07-29	2013-10-18	172,116		163,580	8,536	8,536
De Master Blenders	D	2012-12-10	2013-07-15	1,640		1,125	515	515
Coca Cola	P	2012-04-26	2013-05-17	223,166		209,439	13,727	13,727
FMC Technologies	P	VARIOUS	2013-07-19	196,499		155,173	41,326	41,326
Galaxy Entertainment	P	2012-04-26	2013-06-24	139,261		93,065	46,196	46,196
Ishares Brazil	P	2012-02-21	2013-04-02	53,790		67,917	(14,127)	(14,127)
Bank of America	P	2010-03-15	2013-04-22	1,667		2,466	(799)	(799)
FMC Technologies	P	2010-03-23	2013-05-08	67,246		36,848	30,398	30,398
Hillshire Brands	P	2013-03-11	2013-06-24	12,485		18,974	(6,489)	(6,489)
Johnson and Johnson	P	2007-03-21	2013-04-19	16,008		12,100	3,908	3,908
Bank of America	P	2010-03-15	2013-04-22	9,912		11,128	(1,216)	(1,216)
Coca Cola	P	2010-10-22	2013-05-17	1,606		1,232	374	374
FMC Technologies	P	2011-12-01	2013-02-15	1,747		1,388	359	359
Hillshire Brands Co	P	2010-12-12	2013-06-24	64,033		175,000	(110,967)	(110,967)
John Bean Technologies	P	2008-08-01	2013-02-15	1,555		1,032	523	523
Johnson and Johnson	P	2007-01-18	2013-04-19	20,010		16,625	3,385	3,385
Proctor Gamble	P	2010-02-24	2013-07-16	12,533		10,000	2,533	2,533
De Masters Blenders	P	1991-02-12	2013-04-15	160,787		102,600	58,187	58,187
Option Sales See Attached	P	VARIOUS		60,629		43,629	17,000	17,000
Total				2,628,085		2,576,766	51,319	51,319

TD AMERITRADE CLEARING INC

Account 866055818

Option Transactions

2013

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
APPLE INC AAPL May 18 2013 495.0 Call	AAPL 05/18/13 C 495.000	02/22/13 05/20/13	-4.000 4.000	3,567.85 0.00	Option short sale- call Option expiration- long call	
	Security Total:			3,567.85		
APPLE INC AAPL Jun 22 2013 600.0 Call	AAPL 06/22/13 C 600.000	02/15/13 03/28/13	-4.000 4.000	856.91 -227.07	Option short sale- call Short sale closed- call	
	Security Total:			629.84		
APPLE INC AAPL Jul 20 2013 500.0 Call	AAPL 07/20/13 C 500.000	05/28/13 07/22/13	-4.000 4.000	1,258.91 0.00	Option short sale- call Option expiration- long call	
	Security Total:			1,258.91		
APPLE INC AAPL Sep 21 2013 490.0 Call	AAPL 09/21/13 C 490.000	07/26/13 09/04/13	-4.000 4.000	678.89 -7,097.09	Option short sale- call Short sale closed- call	
	Security Total:			-6,418.20		
APPLE INC AAPL Nov 16 2013 520.0 Call	AAPL 11/16/13 C 520.000	09/04/13 10/21/13	-4.000 4.000	6,898.78 -7,165.09	Option short sale- call Short sale closed- call	
	Security Total:			-266.31		
APPLE INC AAPL Dec 21 2013 545.0 Call	AAPL 12/21/13 C 545.000	09/24/13 12/23/13	-1.000 1.000	914.20 0.00	Option short sale- call Option assignment- short call	
	Security Total:			914.20		
APPLE INC AAPL Jan 18 2014 600.0 Call	AAPL 01/18/14 C 600.000	10/21/13	-4.000	2,586.86	Option short sale- call	
APPLE INC AAPL Feb 22 2014 625.0 Call	AAPL 02/22/14 C 625.000	12/24/13	-1.000	844.20	Option short sale- call	
AMGEN INC AMGN Jan 18 2014 125.0 Call	AMGN 01/18/14 C 125.000	10/21/13	-12.000	1,694.68	Option short sale- call	
AMGEN INC AMGN Jan 18 2014 135.0 Call	AMGN 01/18/14 C 135.000	10/23/13	-7.000	274.57	Option short sale- call	

Account 866055818

Option Transactions

(continued)

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
BANK OF AMERICA CORP BAC Apr 20 2013 15.0 Call	BAC 04/20/13 C 15 000	02/15/13 04/22/13	-10.000 10.000	12.32 0.00	Option short sale- call Option expiration- long call	
	Security Total:			12.32		
ISHARES EWZ Feb 16 2013 57.0 Call	EWZ 02/16/13 C 57.000	02/19/13	10.000	0.00	Option expiration- long call	
ISHARES EWZ Mar 16 2013 59.0 Call	EWZ 03/16/13 C 59.000	02/19/13 03/18/13	-10.000 10.000	112.32 0.00	Option short sale- call Option expiration- long call	
	Security Total:			112.32		
FMC TECHNOLOGIES INC FTI Mar 16 2013 55.0 Call	FTI 03/16/13 C 55.000	02/19/13 03/18/13	-55.000 55.000	1,332.77 0.00	Option short sale- call Option expiration- long call	
	Security Total:			1,332.77		
FMC TECHNOLOGIES INC FTI Jun 22 2013 60.0 Call	FTI 06/22/13 C 60.000	05/15/13 05/29/13 05/29/13 06/24/13	-35.000 35.000 -35.000 35.000	1,573.12 0.00 0.00 0.00	Option short sale- call Option expiration- long call Short sale closed- call expired Option expiration- long call	
	Security Total:			1,573.12		
FMC TECHNOLOGIES INC FTI Jul 20 2013 55.0 Call	FTI 07/20/13 C 55.000	06/24/13 07/22/13	-35.000 35.000	4,022.84 0.00	Option short sale- call Option assignment- short call	
	Security Total:			4,022.84		
FMC TECHNOLOGIES INC FTI Sep 21 2013 60.0 Call	FTI 09/21/13 C 60.000	07/26/13 07/26/13 09/23/13	-6.000 -25.000 31.000	204.59 874.38 0.00	Option short sale- call Option short sale- call Option expiration- long call	
	Security Total:			1,078.97		
FMC TECHNOLOGIES INC FTI Jan 18 2014 62.5 Call	FTI 01/18/14 C 62.500	09/24/13 09/26/13 09/27/13 10/01/13 10/23/13	-31.000 31.000 -31.000 -7.000 38.000	2,631.96 -3,257.99 2,631.96 778.56 -1,141.41	Option short sale- call Short sale closed- call Option short sale- call Option short sale- call Short sale closed- call	
	Security Total:			1,643.08		

Option Transactions

(continued)

Account 866055818

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
GOOGLE INC GOOG Aug 17 2013 980.0 Call	GOOG 08/17/13 C 980.000	05/28/13	-1.000	980.46	Option short sale- call	
		05/28/13	-1.000	980.96	Option short sale- call	
		08/19/13	2.000	0.00	Option expiration- long call	
	Security Total:			1,961.42		
GOOGLE INC GOOG Nov 16 2013 940.0 Call	GOOG 11/16/13 C 940.000	08/28/13	-2.000	1,938.41	Option short sale- call	
		10/18/13	2.000	-15,171.54	Short sale closed- call	
	Security Total:			-13,233.13		
GOOGLE INC GOOG Nov 22 2013 1100.0 Call	GOOG 11/22/13 C 1100.000	10/18/13	-2.000	558.45	Option short sale- call	
		11/25/13	2.000	0.00	Option expiration- long call	
	Security Total:			558.45		
GOOGLE INC GOOG Dec 21 2013 1000.0 Call	GOOG 12/21/13 C 1000.000	08/19/13	-2.000	1,548.43	Option short sale- call	
		08/27/13	2.000	-1,231.54	Short sale closed- call	
	Security Total:			316.89		
GOOGLE INC GOOG Feb 22 2014 1300.0 Call	GOOG 02/22/14 C 1300.000	11/25/13	-2.000	388.45	Option short sale- call	
HANESBRAND INC HBI Jan 18 2014 70.0 Call	HBI 01/18/14 C 70.000	11/04/13	-7.000	1,174.56	Option short sale- call	
HILLSHIRE BRANDS CO HSH Apr 20 2013 35.0 Call	HSH 04/20/13 C 35.000	02/15/13	-25.000	630.80	Option short sale- call	
		04/22/13	25.000	0.00	Option expiration- long call	
	Security Total:			630.80		
HILLSHIRE BRANDS CO HSH Jun 22 2013 37.0 Call	HSH 06/22/13 C 37.000	04/22/13	-25.000	1,124.54	Option short sale- call	
		05/29/13	25.000	0.00	Option expiration- long call	
		05/29/13	-25.000	0.00	Short sale closed- call expired	
		06/24/13	25.000	0.00	Option expiration- long call	
	Security Total:			1,124.54		
HILLSHIRE BRANDS CO HSH Jul 20 2013 37.0 Call	HSH 07/20/13 C 37.000	04/22/13	-25.000	1,730.78	Option short sale- call	
		07/22/13	25.000	0.00	Option expiration- long call	
	Security Total:			1,730.78		

2013

Option Transactions

(continued)

Account 866055818

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
HILLSHIRE BRANDS CO HSH Aug 17 2013 37.0 Call	HSH 08/17/13 C 37.000	07/24/13	-4.000	154.90	Option short sale- call	
		07/24/13	-21.000	897.73	Option short sale- call	
		08/19/13	25.000	0.00	Option expiration- long call	
	Security Total:			1,052.63		
HILLSHIRE BRANDS CO HSH Sep 21 2013 38.0 Call	HSH 09/21/13 C 38.000	07/26/13	-14.000	839.64	Option short sale- call	
		07/26/13	-14.000	846.64	Option short sale- call	
		09/23/13	28.000	0.00	Option expiration- long call	
	Security Total:			1,686.28		
HILLSHIRE BRANDS CO HSH Oct 19 2013 38.0 Call	HSH 10/19/13 C 38.000	08/19/13	-25.000	345.65	Option short sale- call	
		10/01/13	25.000	-504.34	Short sale closed- call	
	Security Total:			-158.69		
JOHNSON & JOHNSON JNJ Apr 20 2013 80.0 Call	JNJ 04/20/13 C 80.000	02/15/13	-10.000	62.32	Option short sale- call	
		04/22/13	10.000	0.00	Option assignment- short call	
	Security Total:			62.32		
JOHNSON & JOHNSON JNJ May 18 2013 87.5 Call	JNJ 05/18/13 C 87.500	04/08/13	-10.000	89.82	Option short sale- call	
		05/17/13	10.000	-237.66	Short sale closed- call	
	Security Total:			-147.84		
JOHNSON & JOHNSON JNJ Jun 22 2013 87.5 Call	JNJ 06/22/13 C 87.500	04/08/13	-6.000	89.88	Option short sale- call	
		05/29/13	6.000	0.00	Option expiration- long call	
		05/29/13	-6.000	0.00	Short sale closed- call expired	
		06/24/13	6.000	0.00	Option expiration- long call	
	Security Total:			89.88		
JOHNSON & JOHNSON JNJ Jul 20 2013 85.0 Call	JNJ 07/20/13 C 85.000	06/24/13	-6.000	447.35	Option short sale- call	
		07/22/13	6.000	0.00	Option assignment- short call	
	Security Total:			447.35		
JOHNSON & JOHNSON JNJ Sep 21 2013 95.0 Call	JNJ 09/21/13 C 95.000	07/26/13	-10.000	612.24	Option short sale- call	
		09/23/13	10.000	0.00	Option expiration- long call	
	Security Total:			612.24		

2013

Option Transactions

(continued)

Account 866055818

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
JOHNSON & JOHNSON JNJ Oct 19 2013 95.0 Call	JNJ 10/19/13 C 95.000	05/17/13 10/21/13	-10.000 10.000	452.32 0.00	Option short sale- call Option expiration- long call	
	Security Total:			452.32		
JOHNSON & JOHNSON JNJ Nov 16 2013 95.0 Call	JNJ 11/16/13 C 95.000	09/24/13 10/01/13 11/18/13	-10.000 -5.000 15.000	182.26 56.12 0.00	Option short sale- call Option short sale- call Option expiration- long call	
	Security Total:			238.38		
JOHNSON & JOHNSON JNJ Jan 18 2014 97.5 Call	JNJ 01/18/14 C 97.500	10/21/13	-10.000	272.26	Option short sale- call	
JOHNSON & JOHNSON JNJ Jan 18 2014 100.0 Call	JNJ 01/18/14 C 100.000	11/18/13	-15.000	233.39	Option short sale- call	
COCA COLA CO KO Feb 16 2013 40.0 Call	KO 02/16/13 C 40.000	02/19/13	56.000	0.00	Option expiration- long call	
COCA COLA CO KO May 18 2013 40.0 Call	KO 05/18/13 C 40.000	02/19/13 05/20/13	-56.000 56.000	797.02 0.00	Option short sale- call Option assignment- short call	
	Security Total:			797.02		
MANULIFE FINANCIAL CORP MFC Apr 20 2013 16.0 Call	MFC 04/20/13 C 16.000	02/19/13 04/22/13	-11.000 11.000	156.55 0.00	Option short sale- call Option expiration- long call	
	Security Total:			156.55		
MANULIFE FINANCIAL CORP MFC Sep 21 2013 17.0 Call	MFC 09/21/13 C 17.000	05/28/13 09/23/13	-85.000 85.000	2,134.77 0.00	Option short sale- call Option expiration- long call	
	Security Total:			2,134.77		
MANULIFE FINANCIAL CORP MFC Jan 18 2014 20.0 Call	MFC 01/18/14 C 20.000	11/18/13	-120.000	1,217.10	Option short sale- call	
NORTHROP GRUMMAN CORP NOC Aug 17 2013 80.0 Call	NOC 08/17/13 C 80.000	04/16/13 04/16/13 08/19/13	-4.000 -18.000 22.000	123.92 665.67 0.00	Option short sale- call Option short sale- call Option assignment- short call	
	Security Total:			789.59		

Option Transactions

(continued)

2013

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
NORTHROP GRUMMAN CORP NOC Aug 17 2013 95.0 Call	NOC 08/17/13 C 95.000	07/29/13 08/19/13	-3.000 3.000	17.67 0.00 17.67	Option short sale- call Option expiration- long call	
	Security Total:					
NORTHROP GRUMMAN CORP NOC Oct 19 2013 105.0 Call	NOC 10/19/13 C 105.000	08/19/13 10/21/13	-20.000 20.000	174.52 0.00 174.52	Option short sale- call Option expiration- long call	
	Security Total:					
NORTHROP GRUMMAN CORP NOC Jan 18 2014 110.0 Call	NOC 01/18/14 C 110.000	10/21/13 11/18/13	-23.000 23.000	1,122.17 -7,594.80 -6,472.63	Option short sale- call Short sale closed- call	
	Security Total:					
NORTHROP GRUMMAN CORP NOC Jan 18 2014 120.0 Call	NOC 01/18/14 C 120.000	11/18/13	-23.000	662.18	Option short sale- call	
PROCTER GAMBLE CO PG Apr 20 2013 82.5 Call	PG 04/20/13 C 82.500	02/15/13 02/28/13 04/22/13	-10.000 -9.000 19.000	72.32 53.83 0.00 126.15	Option short sale- call Option short sale- call Option expiration- long call	
	Security Total:					
PROCTER GAMBLE CO PG Jun 22 2013 87.5 Call	PG 06/22/13 C 87.500	04/22/13 05/29/13 05/29/13 06/24/13	-10.000 10.000 -10.000 10.000	222.32 0.00 0.00 0.00 222.32	Option short sale- call Option expiration- long call Short sale closed- call expired Option expiration- long call	
	Security Total:					
PROCTER GAMBLE CO PG Jul 20 2013 77.5 Call	PG 07/20/13 C 77.500	06/24/13 07/17/13	-10.000 10.000	852.25 0.00 852.25	Option short sale- call Option assignment- short call	
	Security Total:					
PROCTER GAMBLE CO PG Jul 20 2013 87.5 Call	PG 07/20/13 C 87.500	04/22/13 04/22/13 07/22/13	-2.000 -8.000 10.000	64.45 279.85 0.00 344.30	Option short sale- call Option short sale- call Option expiration- long call	
	Security Total:					
PROCTER GAMBLE CO PG Sep 21 2013 87.5 Call	PG 09/21/13 C 87.500	07/26/13	-10.000	82.26	Option short sale- call	

2013

Option Transactions

(continued)

Account 866055818

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
PROCTER GAMBLE CO PG Sep 21 2013 87.5 Call (Cont'd)	PG 09/21/13 C 87.500	09/23/13	10.000	0.00	Option expiration- long call	
	Security Total:			82.26		
PROCTER GAMBLE CO PG Oct 19 2013 87.5 Call	PG 10/19/13 C 87.500	07/29/13 10/21/13	-12.000 12.000	280.71 0.00	Option short sale- call Option expiration- long call	
	Security Total:			280.71		
PROCTER GAMBLE CO PG Dec 21 2013 85.0 Call	PG 12/21/13 C 85.000	09/26/13 12/23/13	-10.000 10.000	222.26 0.00	Option short sale- call Option expiration- long call	
	Security Total:			222.26		
PROCTER GAMBLE CO PG Jan 18 2014 85.0 Call	PG 01/18/14 C 85.000	10/21/13	-12.000	376.71	Option short sale- call	
PROCTER GAMBLE CO PG Feb 22 2014 92.5 Call	PG 02/22/14 C 92.500	12/11/13 12/11/13 12/24/13	-1.000 -9.000 -10.000	12.96 118.27 12.26	Option short sale- call Option short sale- call Option short sale- call	
	Security Total:			143.49		
SPDR RWX Aug 17 2013 42.0 Call	RWX 08/17/13 C 42.000	07/29/13 08/19/13	-40.000 40.000	359.04 0.00	Option short sale- call Option expiration- long call	
	Security Total:			359.04		
SPDR RWX Oct 19 2013 43.0 Call	RWX 10/19/13 C 43.000	08/19/13 10/18/13 10/21/13	-40.000 4.000 36.000	159.04 0.00 0.00	Option short sale- call Option assignment- short call Option assignment- short call	
	Security Total:			159.04		
	Total Option Transactions:			17,000.60		