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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

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OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation IRVIN & CONNIE PUND FOUNDATION INC C/O NORTHERN TRUST		A Employer identification number  58-2363843	
Number and street (or P O box number if mail is not delivered to street address) 3282 NORTHSIDE PARKWAY NW NO 100		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code ATLANTA, GA 30327		B Telephone number (see instructions)  (404) 279-5221	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		C If exemption application is pending, check here <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 544,220	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	31,721			
	2 Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities. . . . .	12,620	12,620		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	80,912			
	b Gross sales price for all assets on line 6a 362,502				
	7 Capital gain net income (from Part IV , line 2) . . .		80,912		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold . . . . .				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	125,253	93,532	0	
	13 Compensation of officers, directors, trustees, etc	0	0	0	0
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	1,300	1,300	0	0
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions)	2,207	436	0	0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	3,232	3,232	0	0
	24 <b>Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	6,739	4,968	0	0
	25 Contributions, gifts, grants paid . . . . .	23,000			23,000
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	29,739	4,968	0	23,000
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	95,514			
	b <b>Net investment income</b> (if negative, enter -0-)		88,564		
	c <b>Adjusted net income</b> (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	23,479	24,076	24,076
	3	Accounts receivable ▶ 846			
		Less allowance for doubtful accounts ▶	77	846	846
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .	306		
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	423,833	519,298	519,298
	c	Investments—corporate bonds (attach schedule). . . . .			
	11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans . . . . .				
13	Investments—other (attach schedule) . . . . .				
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	447,695	544,220	544,220	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶)	0	1,011	
	23	Total liabilities (add lines 17 through 22) . . . . .	0	1,011	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶				
	and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	447,695	543,209	
30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	447,695	543,209		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	447,695	544,220		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	447,695
2	Enter amount from Part I, line 27a . . . . .	2	95,514
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3 . . . . .	4	543,209
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	543,209

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 a	NORTHERN TRUST - SEE ATTACHED STATEMENT	P		
b	NORTHERN TRUST - SEE ATTACHED STATEMENT	P		
c	NORTHERN TRUST - SEE ATTACHED STATEMENT	P		
d	CAPITAL GAINS DIVIDENDS	P		
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 106,499		100,817	5,682
b 225,524		165,652	59,872
c 20,749		15,121	5,628
d 9,730			9,730
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			5,682
b			59,872
c			5,628
d			9,730
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	80,912
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . }		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	21,000	472,368	044457
2011	20,000	446,464	044796
2010	17,783	362,722	049027
2009	12,500	299,731	041704
2008	17,000	384,581	044204

2	Total of line 1, column (d). . . . .	2	224188
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .	3	044838
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .	4	550,529
5	Multiply line 4 by line 3. . . . .	5	24,685
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	886
7	Add lines 5 and 6. . . . .	7	25,571
8	Enter qualifying distributions from Part XII, line 4. . . . .	8	23,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

<b>Part VI    Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)</b>		
<b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	1,771
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b> Add lines 1 and 2. . . . .	<b>3</b>	1,771
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b> <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	1,771
<b>6</b> Credits/Payments		
<b>a</b> 2013 estimated tax payments and 2012 overpayment credited to 2013	<b>6a</b>	760
<b>b</b> Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b> Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b> Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b> Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	760
<b>8</b> Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b> <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	1,011
<b>10</b> <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	
<b>11</b> Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <b>Refunded</b>	<b>11</b>	

<b>Part VII-A    Statements Regarding Activities</b>			
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	<b>Yes</b>	<b>No</b>
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	<b>1b</b>		<b>No</b>
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?. . . . .	<b>1c</b>		<b>No</b>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation  \$ _____ 0 <b>(2)</b> On foundation managers  \$ _____ 0			
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers  \$ _____ 0			
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	<b>2</b>		<b>No</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>		<b>No</b>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .	<b>4a</b>		<b>No</b>
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?. . . . .	<b>4b</b>		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	<b>5</b>		<b>No</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	<b>Yes</b>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	<b>7</b>	<b>Yes</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) GA _____			
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	<b>8b</b>	<b>Yes</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>		<b>No</b>
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	<b>10</b>		<b>No</b>

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of JOHN OGLESBY Telephone no (770) 933-2148 Located at 3282 NORTHSIDE PARKWAY NW NO 100 ATLANTA GA ZIP+4 30327			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions ).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CONNIE PUND 3350 RIVERWOOD PARKWAY SUITE 2140 ATLANTA, GA 30339	PRESIDENT 0 00	0	0	0
IRVIN PUND 3350 RIVERWOOD PARKWAY SUITE 2140 ATLANTA, GA 30339	SECRETARY 0 00	0	0	0
JOHN OGLESBY 3350 RIVERWOOD PARKWAY SUITE 2140 ATLANTA, GA 30339	TRUSTEE/DIRECTOR 0 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . .		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . .	0



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	541,449
b	Average of monthly cash balances. . . . .	1b	16,618
c	Fair market value of all other assets (see instructions). . . . .	1c	846
d	Total (add lines 1a, b, and c). . . . .	1d	558,913
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	558,913
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	8,384
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	550,529
6	Minimum investment return. Enter 5% of line 5. . . . .	6	27,526

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	27,526
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	1,771
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	1,771
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	25,755
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	25,755
6	Deduction from distributable amount (see instructions). . . . .	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	25,755

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	23,000
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	23,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	23,000
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				25,755
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only. . . . .			5,264	
b Total for prior years 20__, 20__, 20__		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008. . . . .				
b From 2009. . . . .				
c From 2010. . . . .				
d From 2011. . . . .				
e From 2012. . . . .				
f Total of lines 3a through e. . . . .	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 23,000				
a Applied to 2012, but not more than line 2a			5,264	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
c Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
d Applied to 2013 distributable amount. . . . .				17,736
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				8,019
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

## Part XIV

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . .

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

## 1 Information Regarding Foundation Managers:

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

See Additional Data Table

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	23,000
b Approved for future payment				
Total . . . . .			3b	0

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

1a(1)

No

2

Other assets.

1a(2)

No

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

1b(1)

No

2

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

3

Rental of facilities, equipment, or other assets.

1b(3)

No

4

Reimbursement arrangements.

1b(4)

No

5

Loans or loan guarantees.

1b(5)

No

6

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐

Yes

☒

No

b

If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2014-05-13

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒

Yes

☐

No

Paid Preparer Use Only


Print/Type preparer's name CHRISTOPHER B DAVIS CPA	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P00546438	
Firm's name	HABIF AROGETI & WYNNE LLP			Firm's EIN	57-1157523
Firm's address	FIVE CONCOURSE PARKWAY SUITE 1000 ATLANTA, GA 30328			Phone no.	(404) 892-9651

Form 990-PF (2013)

**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).**

CONNIE PUND
IRVIN PUND

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ATLANTA MISSION PO BOX 1807 ATLANTA,GA 30301	NONE	N/A	UNRESTRICTED	5,000
CENTER FOR THE VISUALLY IMPAIRED 739 W PEACHTREE ST NW ATLANTA,GA 30308	NONE	N/A	UNRESTRICTED	1,000
CHRIST COMMUNITY HEALTH SERVICES 2595 CENTRAL AVENUE MEMPHIS,TN 38104	NONE	N/A	UNRESTRICTED	5,000
MACULA VISION RESEARCH FOUNDATION 100 FRONT STREET STE 300 WEST CONSHOHOCKEN,PA 19428	NONE	N/A	UNRESTRICTED	2,000
NEWBERRY COLLEGE 2100 COLLEGE STREET NEWBERRY,SC 29108	NONE	N/A	UNRESTRICTED	5,000
LUTHERAN DISASTER RESPONSE 8765 WHIGGINS ROAD CHICAGO,IL 60631	NONE	N/A	UNRESTRICTED	1,000
BLUE RIBBON RIDERS PO BOX 828 C/O CLAUDIA T MORIN GROVETOWN,GA 30813	NONE	N/A	UNRESTRICTED	1,000
UNIVERSITY HEALTH CARE FOUNDATION 1350 WALTON WAY AUGUSTA,GA 30901	NONE	N/A	UNRESTRICTED	3,000
<b>Total . . . . .</b>  <b>3a</b>				23,000



<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.</div>	<div>OMB No 1545-0047</div> <div>2013</div>
	<div>Name of the organization</div> <div>IRVIN &amp; CONNIE PUND FOUNDATION INC C/O NORTHERN TRUST</div>	<div>Employer identification number</div> <div>58-2363843</div>

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<div><input type="checkbox"/> 501(c)( ) (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div>
Form 990-PF	<div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CONNIE AND IRVIN PUND 3350 RIVERWOOD PARKWAY STE 2140  ATLANTA, GA 30339	\$ 46,585	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/>  (Complete Part II for noncash contributions )
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )

[illegible]

<b>Name of organization</b> IRVIN & CONNIE PUND FOUNDATION INC C/O NORTHERN TRUST	<b>Employer identification number</b>  58-2363843
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<b>Part III</b>	<b>Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year.</b> Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of <i>exclusively</i> religious, charitable, etc , contributions of <b>\$1,000 or less</b> for the year (Enter this information once See instructions ) ▶ \$ Use duplicate copies of Part III if additional space is needed
-----------------	---

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
—	<div></div>	<div></div>	
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

TY 2013 Accounting Fees Schedule

**Name:** IRVIN & CONNIE PUND FOUNDATION INC  
C/O NORTHERN TRUST  
**EIN:** 58-2363843

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	1,300	1,300	0	0

**TY 2013 Investments Corporate  
Stock Schedule**

**Name:** IRVIN & CONNIE PUND FOUNDATION INC  
C/O NORTHERN TRUST

**EIN:** 58-2363843

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SECURITIES	519,298	519,298

**TY 2013 Other Expenses Schedule**

**Name:** IRVIN & CONNIE PUND FOUNDATION INC  
C/O NORTHERN TRUST

**EIN:** 58-2363843

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	3,202	3,202	0	0
STATE LICENSE	30	30	0	0

## TY 2013 Other Liabilities Schedule

**Name:** IRVIN & CONNIE PUND FOUNDATION INC  
C/O NORTHERN TRUST

**EIN:** 58-2363843

Description	Beginning of Year - Book Value	End of Year - Book Value
FEDERAL TAX LIABILITY	0	1,011



## TY 2013 Taxes Schedule

**Name:** IRVIN & CONNIE PUND FOUNDATION INC

C/O NORTHERN TRUST

**EIN:** 58-2363843

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
IRS	1,771	0	0	0
FOREIGN TAX	436	436	0	0

# 2013 Tax Information Statement

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Ref: RMS

**Recipient's Name and Address:**  
PUND FOUNDATION  
C/O MR & MRS T IRVIN PUND  
4275 OWENS RD. APT. 521  
EVANS, GA 30809-3077

**Account Number:** 23-26231  
**Recipient's Tax ID Number:** XX-XXX3843

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

## 2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed
Short Term Sales					
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND	PCRIX			
323.4400	01/24/2013 Various	2,180.00	2,094.09	0.00	85.91
78463V107	MFC SPDR GOLD TR GOLD SHS	GLD			
61.0000	03/14/2013 Various	9,377.18	9,676.34	0.00	-299.16
665162517	MFB NORTHERN FUNDS MULTI MANAGER LARGE CAP FD	NMMLX			
3892.8300	05/29/2013 Various	38,383.26	34,352.27	0.00	4,030.99
665162806	MFB NORTHERN FDS FIXED INCOME FD	NOFIX			
54.0100	05/29/2013 Various	564.94	571.12	0.00	-6.18
665162772	MFB NORTHERN FDS STK INDEX FD	NOSIX			
76.0000	06/11/2013 05/29/2013	1,539.76	1,559.52	0.00	-19.76
665162533	MFB NORTHERN FUNDS BD INDEX FD	NOBOX			
457.0300	07/25/2013 Various	4,803.37	4,913.05	0.00	-109.68
665162772	MFB NORTHERN FDS STK INDEX FD	NOSIX			
1569.0000	07/25/2013 05/29/2013	32,949.00	32,195.86	0.00	753.14
33939L605	MFC FLEXSHARES TR IBOXX 5 YR TARGET DURATION TIPS INDEX FD				
15.0000	09/19/2013 01/08/2013	376.93	393.00	0.00	-16.07
665162772	MFB NORTHERN FDS STK INDEX FD	NOSIX			
734.0000	12/05/2013 05/29/2013	16,324.16	15,061.67	0.00	1,262.49

This is important tax information and is being furnished to you.

# 2013 Tax Information Statement

Recipient's Name and Address:  
PUND FOUNDATION  
C/O MR & MRS T IRVIN PUND  
4275 OWENS RD. APT. 521  
EVANS, GA 30809-3077

Account Number: 23-26231  
Recipient's Tax ID Number: XX-XXX3843  
☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
<b>Total Short Term Sales</b>										
					106,498.60	100,816.92	0.00	5,681.68	0.00	0.00
<b>Long Term Sales</b>										
665162442	MFB NORTHERN FUNDS MULTI MANAGER HIGH YIELD OPPORTUNITY FUND	01/24/2013	04/01/2011	NMHYX	11.02	10.99	0.00	0.03	0.00	0.00
665162483	MFB NORTHN FDS MULTI-MANAGER EMERGING MKTS EOTY FD	01/24/2013	11/18/2010	NMMEX	38.82	48.50	0.00	-9.68	0.00	0.00
665162517	MFB NORTHERN FUNDS MULTI MANAGER LARGE CAP FD	01/24/2013	02/27/2008	NMMLX	89.70	91.50	0.00	-1.80	0.00	0.00
665162558	MFB NORTHN MULTI-MANAGER INTL EQTY FD FD	01/24/2013	04/01/2011	NMIEX	49.05	51.30	0.00	-2.25	0.00	0.00
665162574	MFB NORTHN MULTIMGR MID CAP FD	01/24/2013	12/16/2010	NMMCX	25.16	23.12	0.00	2.04	0.00	0.00
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND	01/24/2013	Various	PCRIX	3,041.23	2,959.22	0.00	82.01	0.00	0.00
665162442	MFB NORTHERN FUNDS MULTI MANAGER HIGH YIELD OPPORTUNITY FUND	03/14/2013	04/01/2011	NMHYX	22.08	21.98	0.00	0.10	0.00	0.00
665162475	MFB NORTHN FDS MULTI-MANAGER GLOBAL REALESTATE FD	03/14/2013	04/01/2011	NMMGX	19.02	18.34	0.00	0.68	0.00	0.00

This is important tax information and is being furnished to you.

# 2013 Tax Information Statement

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Ref: RMS

**Recipient's Name and Address:**  
PUND FOUNDATION  
C/O MR & MRS T IRVIN PUND  
4275 OWENS RD. APT. 521  
EVANS, GA 30809-3077

**Account Number:** 23-26231  
**Recipient's Tax ID Number:** XX-XXX3843

☐ **Corrected** ☐ **2nd TIN notice**

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
665162483	MFB NORTHN FDS MULTI-MANAGER EMERGING MKTS EOTY FD	NMMEX	1.0000	03/14/2013	11/18/2010	19.10	24.25	0.00	-5.15	0.00	0.00
665162517	MFB NORTHERN FUNDS MULTI MANAGER LARGE CAP FD	NMMLX	6.0000	03/14/2013	02/27/2008	55.98	54.90	0.00	1.08	0.00	0.00
665162558	MFB NORTHN MULTI-MANAGER INTL EOTY FD	NMIE	239.0000	03/14/2013	04/01/2011	2,385.22	2,452.14	0.00	-66.92	0.00	0.00
842587107	SOUTHERN CO	SO	1000.0000	05/17/2013	Various	46,358.96	31,720.61	0.00	14,638.35	0.00	0.00
339391605	MFG FLEXSHARES TR IBOX 5 YR TARGET DURATION TIPS INDEX FD		6.0000	05/29/2013	04/20/2012	153.78	155.04	0.00	-1.26	0.00	0.00
665162475	MFB NORTHN FDS MULTI-MANAGER GLOBAL REAL ESTATE FD	NMMGX	23.0000	05/29/2013	04/01/2011	441.83	421.83	0.00	20.00	0.00	0.00
665162483	MFB NORTHN FDS MULTI-MANAGER EMERGING MKTS EOTY FD	NMMEX	20.0000	05/29/2013	11/18/2010	376.40	485.00	0.00	-108.60	0.00	0.00
665162517	MFB NORTHERN FUNDS MULTI MANAGER LARGE CAP FD	NMMLX	13290.6400	05/29/2013	Various	131,045.55	90,690.16	0.00	40,355.39	0.00	0.00
665162558	MFB NORTHN MULTI-MANAGER INTL EOTY FD	NMIE	83.0000	05/29/2013	04/01/2011	839.96	851.58	0.00	-11.62	0.00	0.00

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					Bonds	Cost or Other Basis				
665162566	19.0000	MFB NORTHN MULTIMGR SMALL CAP FD	05/29/2013	06/06/2011	NMMSX	213.56	200.07	0.00	13.49	0.00
665162574	30.0000	MFB NORTHN MULTIMGR MID CAP FD	05/29/2013	12/16/2010	NMMCX	413.10	346.79	0.00	66.31	0.00
665162665	13.0000	MFB NORTHN FDS SMALL CAP CORE FD	05/29/2013	12/16/2010	NSGRX	247.13	188.11	0.00	59.02	0.00
665162806	420.4000	MFB NORTHERN FDS FIXED INCOME FD	05/29/2013	Various	NOFIX	4,397.37	4,432.29	0.00	-34.92	0.00
33939L407	2.0000	MFCIFLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD	06/11/2013	04/20/2012		66.32	69.63	0.00	-3.31	0.00
665162442	5.0000	MFB NORTHERN FUNDS MULTI MANAGER HIGH YIELD OPPORTUNITY FUND	06/11/2013	04/01/2011	NMHYX	54.20	54.95	0.00	-0.75	0.00
665162475	2.0000	MFB NORTHN FDS MULTI-MANAGER GLOBAL REAL ESTATE FD	06/11/2013	04/01/2011	NMMGX	36.40	36.68	0.00	-0.28	0.00
665162483	5.0000	MFB NORTHN FDS MULTI-MANAGER EMERGING MKTS EQUITY FD	06/11/2013	11/18/2010	NMMEX	88.50	121.25	0.00	-32.75	0.00
665162558	15.0000	MFB NORTHN MULTI-MANAGER INTL EQUITY FD	06/11/2013	04/01/2011	NMIEX	147.75	153.90	0.00	-6.15	0.00

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665162566	MFB NORTN MULTIMGR SMALL CAP FD			NMMSX					
2.0000		06/11/2013	06/06/2011		22.52	0.00	1.46	0.00	0.00
665162574	MFB NORTN MULTIMGR MID CAP FD			NMMCX					
2.0000		06/11/2013	12/16/2010		23.12	0.00	4.10	0.00	0.00
339391605	MFC FLEXSHARES TR IBOXX 5 YR TARGET DURATION								
121.0000	TIPS INDEX FD	07/25/2013	Various		3,012.91	0.00	19.30	0.00	0.00
339391605	MFC FLEXSHARES TR IBOXX 5 YR TARGET DURATION								
44.0000	TIPS INDEX FD	07/25/2013	Various		1,139.07	0.00	-36.44	0.00	0.00
665162566	MFB NORTN MULTIMGR SMALL CAP FD			NMMSX					
483.0000		07/25/2013	Various		5,791.17	0.00	1,128.10	0.00	0.00
665162565	MFB NORTN FDS SMALL CAP CORE FD			NSGRX					
256.7100		07/25/2013	12/16/2010		5,242.02	0.00	1,527.43	0.00	0.00
665162565	MFB NORTN FDS SMALL CAP CORE FD			NSGRX					
66.2900		07/25/2013	06/06/2012		1,353.64	0.00	377.84	0.00	0.00
339391605	MFC FLEXSHARES TR IBOXX 5 YR TARGET DURATION								
207.0000	TIPS INDEX FD	09/19/2013	09/29/2011		5,201.56	0.00	51.71	0.00	0.00
665162475	MFB NORTN FDS MULTI-MANAGER GLOBAL			NMMGX					
129.5900	REALISTATE FD	09/19/2013	Various		2,466.10	0.00	189.77	0.00	0.00

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665162475	MFB NORTN FDS MULTI-MANAGER GLOBAL REALESTATE FD	09/19/2013	04/20/2012	NMMGX	1,511.17	1,376.97	0.00	134.20	0.00	0.00
339391407	MFC FLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD	12/05/2013	09/29/2011		3,240.85	3,017.76	0.00	223.09	0.00	0.00
339391407	MFC FLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD	12/05/2013	Various		945.25	940.48	0.00	4.77	0.00	0.00
665162558	MFB NORTN MULTI-MANAGER INTL EQTY FD FD	12/05/2013	04/01/2011	NMIE	543.66	523.26	0.00	20.40	0.00	0.00
665162566	MFB NORTN MULTIMGR SMALL CAP FD	12/05/2013	02/27/2008	NMMSX	718.53	508.20	0.00	210.33	0.00	0.00
665162566	MFB NORTN MULTIMGR SMALL CAP FD	12/05/2013	06/06/2012	NMMSX	442.92	307.16	0.00	135.76	0.00	0.00
665162574	MFB NORTN MULTIMGR MID CAP FD	12/05/2013	12/16/2010	NMMSX	1,046.19	780.75	0.00	265.44	0.00	0.00
665162574	MFB NORTN MULTIMGR MID CAP FD	12/05/2013	06/06/2012	NMMSX	1,168.88	857.24	0.00	311.64	0.00	0.00
665162665	MFB NORTN FDS SMALL CAP CORE FD	12/05/2013	12/16/2010	NSGRX	1,030.71	680.09	0.00	350.62	0.00	0.00

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld			
Total Long Term Sales											
Long Term 28% Sales											
78463V107	MFC SPDR GOLD TR GOLD SHS		GLD								
96.0000	01/24/2013	Various	15,522.60	12,009.24	0.00	3,513.36	0.00	0.00	0.00	0.00	
78463V107	MFC SPDR GOLD TR GOLD SHS		GLD								
34.0000	03/14/2013	Various	5,226.63	3,112.22	0.00	2,114.41	0.00	0.00	0.00	0.00	
Total Long Term 28% Sales			20,749.23	15,121.46	0.00	5,627.77	0.00	0.00	0.00	0.00	

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**IRVIN & CONNIE PUND FOUNDATION**  
**SCHEDULE OF AVERAGE FMV**  
**2013**

	<u>Cash Total FMV</u>	<u>Avg. Monthly Total FMV</u>	<u>Securities Total FMV</u>	<u>Avg. Monthly Total FMV</u>
<b>DECEMBER 2012</b>	<u>23,479</u>		<u>493,268</u>	
<b>JANUARY</b>	12,193	\$ 17,836	519,361	\$ 506,315
<b>FEBRUARY</b>	12,214	12,204	517,426	518,393
<b>MARCH</b>	12,308	12,261	525,609	521,517
<b>APRIL</b>	12,283	12,295	534,613	530,111
<b>MAY</b>	15,814	14,048	551,825	543,219
<b>JUNE</b>	14,986	15,400	533,408	542,616
<b>JULY</b>	18,790	16,888	550,562	541,985
<b>AUGUST</b>	18,734	18,762	537,885	544,223
<b>SEPTEMBER</b>	19,504	19,119	562,902	550,393
<b>OCTOBER</b>	19,444	19,474	582,755	572,828
<b>NOVEMBER</b>	19,369	19,406	587,780	585,267
<b>DECEMBER 2013</b>	<u>24,076</u>	<u>21,723</u>	591,402	<u>540,524</u>
<b>TOTAL AVG MONTHLY FMV</b>		<u>\$ 199,415</u>		<u>\$ 6,497,392</u>
<b>AVG MONTHLY FMV</b>		<b>\$ 16,618</b>		<b>\$ 541,449</b>